**3GPP SA3LI#81-e-b *S3i210326***

**eMeeting, 19-21 May 2021**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v11.4* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **33.128** | **CR** | **326** | **rev** | **1** | **Current version:** | **16.6.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network |  | Core Network | **X** |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | LI state information transfer in SMF sets, 33.128 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | SA3 LI (PIDS) | | | | | | | | | |
| ***Source to TSG:*** | SA3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | LI16 | | | | |  | ***Date:*** | | | 2021-05-14 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | ***C*** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | SMF sets share SM context information and together handle PDU sessions for a group of users. The same PDU session can be managed by different SMs, requiring the TF in the SMF sets to share LI state information. The behaviour of LI functions in SMF sets is currently undefined and can violate LI requirements. This might also be an issue for other LI functions in the future. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Implementation of LI for SMF sets remains undefined and confusing. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 3.3, 4.2, 5.X, 6.2.3.X, Annex X | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS 33.127 CR s3i210325 | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |

-------------------------FIRST CHANGE-------------------------

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

ADMF LI Administration Function

CC Content of Communication

CSP Communication Service Provider

CUPS Control and User Plane Separation

IRI Intercept Related Information

LALS Lawful Access Location Services

LEA Law Enforcement Agency

LEMF Law Enforcement Monitoring Facility

LI Lawful Interception

LICF Lawful Interception Control Function

LI\_HI1 LI\_Handover Interface 1

LI\_HI2 LI\_Handover Interface 2

LI\_HI3 LI\_Handover Interface 3

LI\_HI4 LI\_Handover Interface 4

LIPF Lawful Interception Provisioning Function

LIR Location Immediate Request

LI\_SI Lawful Interception System Information Interface

LISSF Lawful Interception State Storage Function

LI\_ST Lawful Interception State Transfer Interface

LI\_X1 Lawful Interception Internal Interface 1

LI\_X2 Lawful Interception Internal Interface 2

LI\_X3 Lawful Interception Internal Interface 3

LTF Location Triggering Function

MDF Mediation and Delivery Function

MDF2 Mediation and Delivery Function 2

MDF3 Mediation and Delivery Function 3

MM Multimedia Message

MMS Multimedia Message Service

NPLI Network Provided Location Information

O&M Operations and Management

POI Point Of Interception

SIRF System Information Retrieval Function

SOI Start Of Interception

TF Triggering Function

xCC LI\_X3 Communications Content.

xIRI LI\_X2 Intercept Related Information

------------------------SECOND CHANGE-----------------------

## 4.2 Basic principles for internal interfaces

This clause lists the internal interfaces shown in clause 4.1, indicates the protocol used to realise each interface, and gives a reference to the relevant clauses of the present document that specify how the protocol is to be used for the given interface.

Table 4.2-1: Internal interfaces and related protocols

|  |  |  |  |
| --- | --- | --- | --- |
| Interface | Description | Protocol used to realise interface | Usage |
| LI\_SI | Used to provide system information to the LIPF from the SIRF. | Out of scope of the present document. |  |
| LI\_X1 | Used to configure and audit Directly-provisioned POIs, TFs and MDFs. | ETSI TS 103 221-1 [7]. | See clause 5.2.2 |
| LI\_X1 (Management) | Used to audit Triggered POIs. | ETSI TS 103 221-1 [7]. | See clause 5.2.3 |
| LI\_X2 | Used to pass xIRI from IRI-POIs to the MDF2. | ETSI TS 103 221-2 [8]. | See clause 5.3.2 |
| LI\_X3 | Used to pass xCC from CC-POIs to the MDF3. | ETSI TS 103 221-2 [8]. | See clause 5.3.3 |
| LI\_T2 | Used to pass triggering information from the IRI-TF to a Triggered IRI-POI. | ETSI TS 103 221-1 [7]. | See clause 5.2.4 |
| LI\_T3 | Used to pass triggering information from a CC-TF to a Triggered CC-POI. | ETSI TS 103 221-1 [7]. | See clause 5.2.4 |
| LI\_ADMF | Used to pass intercept provisioning information form the LICF to the LIPF. | Out of scope of the present document. |  |
| LI\_MDF | Used by MDF2 and MDF3 in interactions necessary to correctly generate CC and IRI from xCC and xIRI. | Out of scope of the present document. |  |
| LI\_ST | Used to transfer LI state information to and from the LISSF. | 3GPP TS 29.598 [X] | See clauses 5.X and 6.2.3.X |

------------------------- THIRD CHANGE------------------------

## 5.X Protocols for LI\_ST interface

### 5.X.1 Overview

LI\_ST shall be realised using the Nudsf\_DataRepository service as defined in TS 29.598 [X] subject to the following terms.

The LISSF shall adopt the role of the NF Service Provider as described in TS 29.598 [X] clause 5.2.1. The LISSF may be realised as a standalone function or within the ADMF. In either case it shall meet the requirements set out in TS 33.127 [5] clause 6.2.X.

An LI function may only store state over LI\_ST using an LISSF identified by the LIPF via LI\_X0. The LIPF shall provide the necessary details for connection, including the relevant apiRoot, apiVersion, realmId and storageId values (see TS 29.598 [X] clause 6.1.3.1) and any necessary keys for authentication.

### 5.X.2 Storage

When an NF wishes to store state in the LISSF, it shall perform the Record Create service operation as described in TS 29.598 [X] clause 5.2.2.3.1. Unless otherwise specified, the recordId shall be a randomly-assigned UUID. The record metadata shall include at least the following information as tag value pairs (see TS 29.598 [X] clause 6.1.6.2.3)

Table 6.2.3-X: Minimum information elements for RecordMeta structure

|  |  |  |
| --- | --- | --- |
| Field Name | Description | M/C/O |
| NFInstanceID | The NF instance ID associated with the NF in which the LI function is located, if applicable (see TS 29.571 [17] clause 5.3.2. | C |
| NEID | The LI\_X1 identifier associated with the LI function | M |
| XID | XID for the Task that the state is associated with, if applicable | C |
| DID | DID for the Destination that the state is associated with, if applicable | C |

Further details on the contents of the Record Blocks is given in the relevant clauses.

### 5.X.3 Retrieval

When an NF wishes to retrieve state from the LISSF and knows the RecordID of the relevant state information, it shall perform a Record Retrieval operation as described in TS 29.598 [X] clause 5.2.2.2.2. If the NF does not know the RecordID, it shall perform a search as described in TS 29.958 [X] clause 5.2.2.2.6 using appropriate search criteria. The details for choosing search criteria are specific to each NF and are therefore given in later clauses specific to that NF.

### 5.X.4 Removal

When an NF wishes to remove state from the LISSF, it shall perform a Record Delete service operation as described in TS 29.958 [X] clause 5.2.2.5.

------------------------ FOURTH CHANGE----------------------

#### 6.2.3.X Sharing LI state information over LI\_ST

##### 6.2.3.X.1 Overview

TFs in SMFs in SMF sets need to share LI state information to avoid losing track of the XIDs and CorrelationIDs used in the tasks activated in the POI in the UPF after the TF that originally activated the task is removed.

The LIPF may request, store or remove any LI state records at any moment. The LIPF may revoke the credentials of any LI function to use the LI\_ST function via LI\_X0.

##### 6.2.3.X.2 Storing LI state

The LI state related to a task active in the UPF POI shall be stored in the LISSF when the task is activated or modified and whenever the parent SMF stores session state for the relevant PDU session in the UDSF.

When storing state, the TF in the SMF shall use the state storage procedure specified in clause 5.X.2. During this procedure, the TF shall add the following metadata to the RecordMeta for the record.

Table 6.2.3-X: Additional metadata for the RecordMeta

|  |  |  |
| --- | --- | --- |
| Field Name | Description | M/C/O |
| PDUSessionID | Identifier for the PDU session related to task. | M |
| UDSFRecordID | The recordID used by the parent SMF to store the associated SMF session information in the UDSF | M |

The TF shall store the following information as the first record block (see TS 29.958 [X] clause 6.1.3.3.3.2), encoded as XML following the XSD schema given in Annex X.

Table 6.2.3-X: TFLIState structure for storing CC-TF state information in the LISSF

|  |  |  |
| --- | --- | --- |
| Field Name | Description | M/C/O |
| PDUSessionID | Identifier for the PDU session related to task. | M |
| XID | XID of the Task Object associated with the interception at the TF | M |
| CorrelationID | Correlation ID to assign to interception product generated by the POI in the UPF. | M |
| TriggeredTasks | Collection of information about Tasks that the TF has activated in triggered functions due to interception for this session. See Table 6.2.3-X3 below. | M |

Table 6.2.3-X2: TriggeredTask

|  |  |  |
| --- | --- | --- |
| Field Name | Description | M/C/O |
| XID | XID of the Task Object associated with the interception at the triggered function | M |
| NEID | NEID used in LI\_T2/T3 communication by the triggered function | M |

The TF needs to specify the XID in order to avoid removing the LI state related to the same ProductID but a different task in the UPF POI, for example if there is more than one PDU session.

##### 6.2.3.X.3 Retrieving LI state

When the TF in a SMF in a SMF set is provisioned by the LIPF with a specific XID and access to a LISSF function, the TF shall request the related LI state information from the LISSF.

When a TF is provisioned with a new task, it shall request the records associated to the XID received from the ADMF,by performing a search as described in clause 5.X.3, using the XID as a search criteria. If no records are found, the TF may assume that no previous interception has occurred and proceed accordingly.

When a TF detects that its parent SMF is retrieving state for a PDU session from the UDSF, the TF shall request records associated with that PDU session by performing a search as described in clause 5.X.3 and using the UDSFRecordID used by the SMF as a search criteria. If no records are found, the TF may assume that no previous interception has occurred and proceed accordingly. Implementers should be aware that multiple records may be returned.

##### 6.2.3.X.4 Removing LI state

When a task is deactivated successfully in the UPF POI (i.e. the DeactivateTask message is sent and a successful response is received), the TF shall remove the LI state record from the LISSF by sending a message with the following details.

-------------------------- FIFTH CHANGE------------------------

Annex X (normative): XSD Schema for State Transfers

<?xml version="1.0" encoding="utf-8"?>

<xs:schema xmlns:xs="http://www.w3.org/2001/XMLSchema"

xmlns="urn:3GPP:ns:li:3GPPStateTransfer:r16:v1"

targetNamespace="urn:3GPP:ns:li:3GPPStateTransfer:r16:v1"

elementFormDefault="qualified">

<xs:element name="TFLIState" type="TFLIState"></xs:element>

<xs:complexType name="TFLIState">

<xs:sequence>

<xs:element name="PDUSessionID" type="PDUSessionID"></xs:element>

<xs:element name="XID" type="UUID"></xs:element>

<xs:element name="CorrelationID" type="xs:hexBinary"></xs:element>

<xs:element name="TriggeredTasks" type="TriggeredTask" minOccurs="1" maxOccurs="unbounded"></xs:element>

</xs:sequence>

</xs:complexType>

<xs:complexType name="TriggeredTask">

<xs:sequence>

<xs:element name="XID" type="UUID"></xs:element>

<xs:element name="NEID" type="xs:token"></xs:element>

</xs:sequence>

</xs:complexType>

<xs:complexType name="UUID">

<xs:restriction base="xs:string">

<xs:pattern value="[0-1]{128}"/>

</xs:restriction>

</xs:complexType>

<xs:simpleType name="PDUSessionID">

<xs:restriction base="xs:unsignedInt">

<xs:minInclusive value="0"/>

<xs:maxInclusive value="255"/>

</xs:restriction>

</xs:simpleType>

</xs:schema>

--------------------THE END OF CHANGES--------------------