**3GPP TSG-SA3 Meeting #99e *S3-201275r1***

**e-meeting, 11 -15 May 2020**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
|  |
|  | **33.401** | **CR** | **0695** | **rev** | - | **Current version:** | **16.2.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network | **X** |

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| ***Title:***  | Security Aspects of DNS and ICMP |
|  |  |
| ***Source to WG:*** | Qualcomm Incorporated |
| ***Source to TSG:*** | S3 |
|  |  |
| ***Work item code:*** | UPIP\_SEC |  | ***Date:*** | 2020-04-30 |
|  |  |  |  |  |
| ***Category:*** | B |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | In EPS, UP IP is not supported on the Uu interface. Lack of support for UP IP may allow an attacker to modify the user plane data over the air undetected, leading to a security vulnerability that may be exploited when DNS or ICMP messages are carried over the user plane. |
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| ***Summary of change:*** | Addition of reference to Annex X (Security Aspects of DNS and ICMP) of TS 33.501 so that DNS and ICMP messages can be protected in EPS. |
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| ***Consequences if not approved:*** | DNS and ICMP messages remain vulnerable in EPS. |
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| ***Clauses affected:*** | 5.1.4.1 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **x** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **x** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** | Approval of this CR is dependent on the approval of CR#0841 to TS 33.501 proposed in S3-201274 |
|  |  |
| ***This CR's revision history:*** |  |

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* Start of the changes \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

### 5.1.4 User data and signalling data integrity

#### 5.1.4.1 Integrity requirements

Synchronization of the input parameters for integrity protection shall be ensured for the protocols involved in the integrity protection.

Integrity protection, and replay protection, shall be provided to NAS and RRC-signalling.

All NAS signaling messages except those explicitly listed in TS 24.301 [9] as exceptions shall be integrity-protected. All RRC signaling messages except those explicitly listed in TS 36.331 [21] as exceptions shall be integrity-protected.

When authentication of the credentials on the UICC during Emergency Calling in Limited Service Mode, as defined in the TS 23.401 [2], can not be successfully performed, the integrity and replay protection of the RRC and NAS signaling shall be omitted (see clause 15). This shall be accomplished by the network by selecting EIA0 for integrity protection of NAS and RRC. EIA0 shall only be used for unauthenticated emergency calls.

User plane packets between the eNB and the UE shall not be integrity protected on the Uu interface. User plane packets between the RN and the UE shall not be integrity protected. All user plane packets carrying S1 and X2 messages between RN and DeNB shall be integrity-protected. Integrity protection for all other user plane packets between RN and DeNB may be supported.

Security measures specified in Annex X of TS 33.501 [43], with the PGW taking the role of UPF, should be used to protect the DNS and ICMP messages that are carried over the user plane.

All user data packets sent via the MME shall be integrity protected.

#### 5.1.4.2 Algorithm Identifier Values

All algorithms specified in this subclause are algorithms with a 128-bit input key.

NOTE: Deviations from the above requirement have to be indicated explicitly in the algorithm identifier list below.

Each EPS Integrity Algorithm (EIA) will be assigned a 4-bit identifier. Currently, the following values have been defined:

"00002" EIA0 Null Integrity Protection algorithm

"00012" 128-EIA1 SNOW 3G based algorithm

"00102" 128-EIA2 AES based algorithm

"00112" 128-EIA3 ZUC based algorithm

The remaining values have been reserved for future use.

UEs and eNBs shall implement 128-EIA1 and 128-EIA2 for RRC signalling integrity protection. UEs and eNBs may implement 128-EIA3 for RRC signalling integrity protection.

UEs and MMEs shall implement 128-EIA1 and 128-EIA2 for NAS signalling integrity protection. UEs and MMEs may implement 128-EIA3 for NAS signalling integrity protection.

UEs shall implement EIA0 for integrity protection of NAS and RRC signalling. As specified in clause 5.1.4.1 of this specification, EIA0 is only allowed for unauthenticated emergency calls. EIA0 shall not be used for integrity protection between RN and DeNB.

Implementation of EIA0 in MMEs, RNs and eNBs is optional, EIA0, if implemented, shall be disabled in MMEs, RNs and eNBs in the deployments where support of unauthenticated emergency calling is not a regulatory requirement.

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\* End of the changes \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*