**3GPP TSG-SA3 Meeting #105-e *draft\_S3-214189-r1***

e-meeting, 8 - 19 November 2021

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **33.501** | **CR** | **1231** | **rev** | **-** | **Current version:** | **16.8.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network |  | Core Network | **x** |

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| ***Title:***  | Mutual authentiation NRF-NRF in roaming |
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| ***Source to WG:*** | Nokia, Nokia Shanghai Bell |
| ***Source to TSG:*** | S3 |
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| ***Work item code:*** | 5G\_eSBA |  | ***Date:*** | 2021-11-19 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
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| ***Reason for change:*** | Current spec text states, that in roaming scenario, the pre-requisite for OAuth token request is that the hNRF and the vNRF have “mutually authenticated”, this is misleading. hNRF and vNRF communicate via SEPPs and potentially SCPs. In our opinion, it is not expected that NRFs would set up a secure connection on top, but rely on the SEPPs trusting each other. |
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| ***Summary of change:*** | Update of 13.3.8.1 to clarify usage of CCA in order to support implicit authentication between the two NRFs in roaming scenaro |
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| ***Consequences if not approved:*** | wrong association in specification, between 2 NRFs there is no mutual authentication in roaming scenario. |
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| ***Clauses affected:*** | 13.3.8.1, 13.4.1.2.2 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **x** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **x** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

\*\*\*\*\*\*\*\*\*\*\*\* START OF CHANGES

#### 13.3.8.1 General

The Client credentials assertion (CCA) is a token signed by the NF Service Consumer. It enables the NF Service Consumer to authenticate towards the receiving end point (NRF, NF Service Producer) by including the signed token in a service request.

It includes the NF Service Consumer’s NF Instance ID that can be checked against the certificate by the NF Service Producer. The CCA includes a timestamp as basis for restriction of its lifetime.

CCAs are expected to be more short-lived than NRF generated access tokens. So, they can be used in deployments with requirements for tokens with shorter lifetime for NF-NF communication. There is a trade-off that when the lifetime of the CCA is too short, it requires the NF Service Consumer to generate a new CCA for every new service request.

The CCA cannot be used in the roaming case, as the NF Service Producer in the home PLMN will not be able to verify the signature of the NF Service Consumer in the visited PLMN unless cross-certification process is established between the two PLMNs through one of the mechanisms specified in TS 33.310. CCA does not provide integrity protection on the full service request. Neither does it provide a mechanism for the NF Service Consumer to authenticate the NF Service Producer.

In this clause, CCAs are described generally for both NF-NRF communication and NF-NF communication.

\*\*\*\*\*\*\*\*\*\*\*\* NEXT CHANGE

13.4.1.2.2 Service Request Process

The complete service request is two-step process including requesting an access token by NF Service Consumer (Step 1, i.e. 1a or 1b), and then verification of the access token by NF Service Consumer (Step 2).

**Step 1: Access token request**

Pre-requisite:

- The NF Service Consumer (OAuth2.0 client) is registered with the vNRF (Authorization Server in the vPLMN).

- The hNRF and NF Service Producer share the required credentials. Additionally, the NF Service Producer (OAuth2.0 resource server) is registered with the hNRF (Authorization Server in the hPLMN) with "additional scope" information per NF type.

- The two NRFs are implicitly authenticated via N32 mutual authentication of SEPPs.

NOTE: In roaming, vSEPP to hSEPP communication is secured via N32. Only transitive trust between vNRF and hNRF can be achieved: The vNRF and vSEPP mutually authenticate, the vSEPP and hSEPP mutually authenticate, and the hSEPP and hNRF mutually authenticate. Hence, vNRF and hNRF can only implicitly authenticate each other.

- The NRF in the serving PLMN (vNRF) has authenticated the NF Service Consumer.

**1a. Access token request for accessing services of NF Service Producers of a specific NF type**

The following procedure describes how the NF Service Consumer obtains an access token for NF Service Producers of a specific NF type for use in the roaming scenario.

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**Figure 13.4.1.2.2-1: NF Service Consumer obtaining access token before NF Service access (roaming)**

1. The NF Service Consumer shall invoke Nnrf\_AccessToken\_Get Request (NF Instance Id of the NF Service Consumer, the requested "scope" including the expected NF Service Name (s) and optionally "additional scope" information (i.e. requested resources and requested actions (service operations) on the resources), NF Type of the expected NF Service Producer instance, NF type of the NF Service Consumer, home and serving PLMN IDs, optionally list of NSSAIs or list of NSI IDs for the expected NF Service Producer instances, optionally NF Set ID of the expected NF Service Producer) from NRF in the same PLMN.

2. The NRF in serving PLMN shall identify the NRF in home PLMN (hNRF) based on the home PLMN ID, and request an access token from hNRF as described in clause 4.17.5 of TS 23.502 [8]. The vNRF shall forward the parameters it obtained from the NF Service Consumer, including NF Service Consumer type, to the hNRF.

3. The hNRF checks whether the NF Service Consumer is authorized to access the requested service(s). If the NF Service Consumer is authorized, the hNRF shall generate an access token with appropriate claims included as defined in clause 13.4.1.1. The hNRF shall digitally sign the generated access token based on a shared secret or private key as described in RFC 7515 [45]. If the NF service consumer is not authorized, the hNRF shall not issue an access token to the NF Service Consumer.

The claims in the token shall include the NF Instance Id of NRF (issuer), NF Instance Id of the NF Service Consumer appended with its PLMN ID (subject), NF type of the NF Service Producer appended with its PLMN ID (audience), expected services name(s), (scope) and expiration time (expiration), and optionally "additional scope" information (allowed resources and allowed actions (service operations) on the resources). The claims may include a list of NSSAIs or NSI IDs for the expected NF Service Producer instances. The claims may include the NF Set ID of the expected NF Service Producer instances.

4. If the authorization is successful, the access token shall be included in Nnrf\_AccessToken\_Get Response message to the vNRF. Otherwise it shall reply based on Oauth 2.0 error response defined in RFC 6749 [43].

5. The vNRF shall forward the Nnrf\_AccessToken\_Get Response or error message to the NF Service Consumer. The NF Service Consumer may store the received token(s). Stored tokens may be re-used for accessing service(s) from NF Service Producer NF type listed in claims (scope, audience) during their validity time. The other parameters (e.g., the expiration time, allowed scope) sent by NRF in addition to the access token are described in TS 29.510 [68].

**1b. Obtain access token for accessing services of a specific NF Service Producer instance / NF Service Producer service instance**

The following steps describes how the NF Service Consumer obtains an access token before service access to a specific NF Service Producer instance / NF Service Producer service instance.

1. The NF Service Consumer shall request an access token from the NRF for a specific NF Service Producer instance / NF Service Producer service instance. The request shall include the NF Instance Id of the requested NF Service Producer, appended with its PLMN ID, the expected NF service name and NF Instance Id of the NF Service Consumer, appended with its PLMN ID.

2. The NRF in the visiting PLMN shall forward the request to the NRF in the home PLMN.

3. The NRF in the home PLMN checks whether the NF Service Consumer is authorized to use the requested NF Service Producer instance/NF Service Producer service instance and shall then proceed to generate an access token with the appropriate claims included. If the NF Service Consumer is not authorized, the NRF in the home PLMN shall not issue an access token to the NF Service Consumer.

The claims in the token shall include the NF Instance Id of NRF (issuer), NF Instance Id of the NF Service Consumer appended with its PLMN ID (subject), NF Instance Id of the requested NF Service Producer appended with its PLMN ID (audience), expected service name(s) (scope) and expiration time (expiration).

4. The token shall be included in the Nnrf\_AccessToken\_Get response sent to the NRF in the visiting PLMN.

5. The NRF in the visiting PLMN shall forward the Nnrf\_AccessToken\_Get response message to the NF Service Consumer. The NF Service Consumer may store the received token(s). Stored tokens may be re-used for accessing service(s) from NF Instance Id or several NF Instance Id(s) of the requested NF Service Producer listed in claims (scope, audience) during their validity time.

**Step 2:Service access request based on token verification**

In addition to the steps described in the non-roaming scenario in 13.4.1.1, the NF Service Producer shall verify that the PLMN-ID contained in the API request is equal to the one inside the access token.

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**Figure 13.4.1.2.2-2: NF Service Consumer requesting service access with an access token in roaming case**

The NF Service Producer shall check that the home PLMN ID of audience claim in the access token matches its own PLMN identity.

The pSEPP shall check that the serving PLMN ID of subject claim in the access token matches the remote PLMN ID corresponding to the N32-f context Id in the N32 message.

\*\*\*\*\*\*\*\*\*\*\*\* END OF CHANGES