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| Technical Report | |
| 3rd Generation Partnership Project;  Technical Specification Group Services and System Aspects;  Study on security aspects of enhancement for proximity based services in the 5G System (5GS)  (Release 17) | |
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# Foreword

This Technical Report has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

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y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.

z the third digit is incremented when editorial only changes have been incorporated in the document.

In the present document, modal verbs have the following meanings:

**shall** indicates a mandatory requirement to do something

**shall not** indicates an interdiction (prohibition) to do something

The constructions "shall" and "shall not" are confined to the context of normative provisions, and do not appear in Technical Reports.

The constructions "must" and "must not" are not used as substitutes for "shall" and "shall not". Their use is avoided insofar as possible, and they are not used in a normative context except in a direct citation from an external, referenced, non-3GPP document, or so as to maintain continuity of style when extending or modifying the provisions of such a referenced document.

**should** indicates a recommendation to do something

**should not** indicates a recommendation not to do something

**may** indicates permission to do something

**need not** indicates permission not to do something

The construction "may not" is ambiguous and is not used in normative elements. The unambiguous constructions "might not" or "shall not" are used instead, depending upon the meaning intended.

**can** indicates that something is possible

**cannot** indicates that something is impossible

The constructions "can" and "cannot" are not substitutes for "may" and "need not".

**will** indicates that something is certain or expected to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document

**will not** indicates that something is certain or expected not to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document

**might** indicates a likelihood that something will happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

**might not** indicates a likelihood that something will not happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

In addition:

**is** (or any other verb in the indicative mood) indicates a statement of fact

**is not** (or any other negative verb in the indicative mood) indicates a statement of fact

The constructions "is" and "is not" do not indicate requirements.

# Introduction

# 1 Scope

This document studies the security and privacy aspects of proximity based services (including public safety and commercial proximity services) in the 5G system. It ensures that the security solutions are aligned with the work in TR 23.752 [2], TS 22.278 [3] and TS 22.261 [4]. This document covers the following issues:

* Security and privacy key issues, threats and potential requirements of proximity based services in 5G system.
* Potential security solutions to cover these potential requirements.

Both roaming and non-roaming scenarios are considered.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TR 23.752: "Study on system enhancement for Proximity based Services (ProSe) in the 5G System (5GS)".

[3] 3GPP TS 22.278: "Service requirements for the Evolved Packet System (EPS)".

[4] 3GPP TS 22.261: "Service requirements for the 5G system; Stage 1".

[5] 3GPP TS 23.303: "Proximity-based services (ProSe); Stage 2".

[6] 3GPP TS 33.303: "Proximity-based Services (ProSe); Security aspects".

[7] 3GPP TS 33.535: "Authentication and Key Management for Applications (AKMA) based on 3GPP credentials in the 5G System (5GS)".

[8] 3GPP TS 33.536: "Security aspects of 3GPP support for advanced Vehicle-to-Everything (V2X) services".

[9] 3GPP TS 23.287: "Architecture enhancements for 5G System (5GS) to support Vehicle-to-Everything (V2X) services".

[10] 3GPP TS 23.502: "Procedures for the 5G System (5GS); Stage 2".

[11] IETF RFC 8446: "The Transport Layer Security (TLS) Protocol Version 1.3".

[12] 3GPP TS 33.220: "Generic Authentication Architecture (GAA), Generic Bootstrapping Architecture (GBA)".

[13] 3GPP TS 33.222: "Generic Authentication Architecture (GAA); Access to network application functions using Hypertext Transfer Protocol over Transport Layer Security (HTTPS)".

[14] 3GPP TS 33.501: "Security architecture and procedures for 5G system".

[15] 3GPP TS 23.501: "System Architecture for the 5G System".

# 3 Definitions of terms, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

**5G ProSe UE-to-Network Relay:** A UE that provides functionality to support connectivity to the network for Remote UE(s).

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

5GC 5G Core

ProSe Proximity-based Services

5G DDNMF 5G Direct Discovery Name Management Function

AF Application Function

AMF Access and Mobility management Function

AS layer Access Stratum layer

NG Next Generation

NG-RAN Next Generation RAN

NGAP NG Application Protocol

NR New Radio (5G)

PCF Policy Control Function

RAN Radio Access Network

RAT Radio Access Technology

REAR Remote UE Access via Relay UE

UDM Unified Data Management

# 4 Security Aspects of 5G ProSe

Editor’s Note: This clause contains a high-level overview of the 5G ProSe features, the security aspects and the potential impacts on the current Rel-17 security mechanisms.

## 4.1 Architecture assumption

### 4.1.1 Introduction

The following clauses describe the control plane based and user plane based architecture for supporting 5G ProSe direct discovery.

### 4.1.2 Control Plane based architecture for direct discovery

The Control Plane based architecture has been captured in TR 23.752[2] Annex B.



Figure 4.1.1-1: Control Plane based architecture

In Figure 4.1.1-1, 5G DDNMF is introduced into 5GC as a new network function. 5G DDNMF has similar functions from architecture point of view to the DDNMF part of ProSe Function as defined in TS 23.303[5].

Control Plane based 5G Prose architecture only reuses the PC5 interface comparing to the Prose Architecture defined in TS 23.303[5]. This means the UE will use NAS message to get discovery parameters for open discovery or restricted discovery.

### 4.2.1 User Plane based architecture for direct discovery

The User Plane based architecture has been captured in TR 23.752[2] Annex B.



Figure 4.2.1-1: User Plane based architecture

In Figure 4.2.1-1, 5G DDNMF is introduced into 5GC as a new network function. 5G DDNMF has similar functions from architecture point of view to the DDNMF part of ProSe Function as defined in TS 23.303[5].

User Plane based 5G Prose architecture tries to reuse Prose reference points defined in TS 23.303[5], especially for PC2 and PC3 reference points.

# 5 Key issues

Editor’s Note: This clause contains all the key issues identified during the study.

## 5.1 Key Issue #1: Discovery message protection

### 5.1.1 Key issue details

The Open ProSe direct discovery procedure is used for a UE to discover or be discovered by other UE(s) in proximity over the PC5 interface. The UE can discover other UE(s) with interested application(s) and/or interested group(s) using the ProSe direct discovery procedure. In Open Discovery, a UE which wants to discover other UE’s does not require any explicit permission from the other UE’s in order to be allowed to discover them.

The Restricted ProSe direct discovery procedure is used for a UE to discover or be discovered by other UE(s) in proximity over the PC5 interface. In Restricted Discovery, a UE which wants to discover other UE’s requires an explicit permission from the other UE’s in order to be allowed to discover them.

There could be a case where a discoverer UE intends to discover two different discoveree UEs (called discoveree UE A and discoveree UE B) at a time. With the existing ProSe architecture, the three UEs have to have the same security keys to support the case.

There is a vulnerability with the model B architecture in this use case. Despite discoveree UE A allowing to be discovered by only the discoverer UE, discoveree UE B is also capable of detecting and decoding the discovery response message from discoveree UE A because it has the same keys, and thus discovering discoveree UE A.

Hence it needs to be studied how to protect the discovery response message of a UE in a restricted direct discovery model B architecture mode from being discovered by other discoveree UE(s).

Editor’s Note: Whether there is a case where a discoverer UE wants to discover more than one different discoveree UEs using same ProSe service at a time is FFS.

### 5.1.2 Security threats

If the discovery message cannot be confidentiality protected, integrity protected, and replay protected, the Prose APP Code can be intercepted, modified, or replayed by an attacker. The announcing UE or discoveree UE may connect with a UE that is not interested in that particular Prose service.

An attacker may impersonate the discoveree or the discovered UE.

A discoveree UE can detect the response message from other discoveree UE(s) that uses the same security keys in restricted direct discovery model B architecture, and may discover other discoveree UE(s), that are not supposed to be discoverable to it. This puts the privacy of other discoveree UE(s) at risk.

Editor’s Note: Whether this threat is valid is FFS.

### 5.1.3 Potential security requirements

The discovery message in open discovery shall support integrity protection and replay protection.

The discovery message in restricted discovery shall support confidentiality protection, integrity protection, and replay protection.

The entity which receives a restricted discovery message on the PC5 interface shall be able to verify the source authenticity.

Editor note: It is FFS in SA2 whether ProSe code or similar parameter will be used in discovery messages sent on PC5 interface. Any related privacy issues with the use of ProSe code or similar parameter needs to be further studied in restricted discovery.

The 5G System shall provide means to protect the discovery response message of a discoveree UE in the restricted direct discovery model B architecture from other discoveree UE(s).

Editor’s Note: In case that a discoverer UE wants to discover more than one different discoveree UEs using same ProSe service at a time, if security protection between different discoveree UEs is needed is FFS

## 5.2 Key Issue #2: Keys in ProSe discovery scenario

### 5.2.1 Key issue details

In TS 33.303[6], Prose Function sends discovery key to announce UE for calculating MIC in open discovery. In Restricted discovery, Prose Function also may send DUCK, DUIK, and DUSK to UEs.

In 5G, the functions of Prose Function are split into different network functions along with different network architecture approaches. Meanwhile, AKMA has been defined in TS 33.535[7], and GBA is under study to adapt to 5G system. The elements above have to be considered to calculate and share discovery key(s) to UEs in 5G Prose.

Following issues need to be addressed in this key issue:

- Which network function derives the discovery key.

- How to send the keys to the UEs.

### 5.2.2 Security threats

Not applicable

### 5.2.3 Potential security requirements

Not applicable

## 5.3 Key Issue #3: Security of UE-to-Network Relay

### 5.3.1 Key issue details

In KI#3 of TR 23.752[2], the UE maybe be able to access the network via the direct network communication or the indirect network communication as showing in figure 5.3.1-1. The path#1 is direct network communication path and the path#2 and path#3 are indirect network communication paths via different UE-to-network Relays.



Figure 5.3.1-1

The UE-to-Network relay is registered to the 5GS as a UE. In order to provide service to the remote UE, the UE-to-Network relay needs to establish an NR PC5 link with the Remote UE. Security for PC5 link establishment is documented for LTE Prose in TS 33.303 [6] and for eV2X in TS 33.536 [8]. However, it should be studied how to accommodate such procedures to 5G Prose.

For UE-to-Network relay, two options (Layer-2 UE-to-Network relay and Layer-3 UE-to-Network relay) are under consideration in TR 23.752 [2]. Both options commonly provide network access service to remote UE with the following differences.

* Layer 2 relay: remote UE is registered to the 5GC and has an AS security context established with the gNB in the connected mode.
* Layer 3 relay: remote UE may be registered to the 5GC, but does not have an AS security context.

Both options described above require PC5 unicast link between the remote UE and UE-to-Network relay. Therefore, it should be studied how to establish PC5 link securely (e.g., authentication and security context establishment) for both options.

TR 23.752 [2] in Clause 5.3, Key Issue #3: Support of UE-to-Network Relay has the following key issue:

*- How to transfer data between the Remote UE and the network over the UE-to-Network Relay.*

*NOTE 1: Security and privacy aspects will be handled by SA WG3.*

The UE-to-Network Relay in 5G is enhanced compared to LTE ProSe, to support commercial case. This may bring new security requirements compared to LTE Prose where the UE-to-Network Relay is only used in public safety scenario as defined in clause 4.4.3 of TS 23.303[5]. Public safety enabled UEs can be considered under control by police or government. When a UE-to-Network Relay is used in commercial case, the UE-to-network Relay may be a commercial UE that could belong to any person. In this case, the trust relationship between remote UE and relay UE is not as strong as the trust relationship between public safety enabled UEs.

### 5.3.2 Security threats

Lack of security during PC5 link establishment for UE-to-Network relay may cause to DoS attacks against the remote UE.

Lack of security during PC5 link establishment for UE-to-Network relay may allow MitM attack where the attacker can eavesdrop, modify, or inject messages into the remote UE data.

Failure to protect integrity and confidentiality of information exchanged between the Remote UE and the network over the UE-to-Network Relay will open vulnerability in 5GS and allow various attacks such as unauthorised access..If the UE-to-Network Relay is compromised, the security (i.e., the integrity and confidentiality) of information between the Remote UE and the network may be compromised.

Failure to protect integrity and confidentiality of information during UE-to-Network Relay path change will open vulnerability in 5GS and allow various attacks resulting in unauthorised disclosure and modification of information.

### 5.3.3 Potential security requirements

The system shall support a secure means to establish a PC5 link between the remote UE and the UE-to-Network relay.

Confidentiality protection, Integrity protection and replay-protection shall be supported between the remote UE and the 3GPP network.

3GPP system shall provide means to protect security (i.e., the integrity and confidentiality) of information during UE-to-Network Relay path switch.

## 5.4 Key issue #4: Authorization in the UE-to-Network relay scenario

### 5.4.1 Key issue details

3GPP system has to be able to authorise a UE to access 5GC via a 5G UE-to-Network Relay and to authorise a UE to perform as a UE-to-Network Relay. Without a proper authorisation, unauthorised entities will be able to access 5GC via UE-to-Network Relay or act as UE-to-Network Relays creating a vulnerability and causing possible (D)DOS attacks or leading to unauthorised service usage on both 5GS and UE-to-Network Relay.

TR 23.752 [2], key issue #3 describes the issue on the support of UE-to-Network Relay, i.e.

*“-How to authorize a UE to be a 5G UE-to-Network Relay and how to authorize a UE to access 5GC via a 5G UE-to-Network Relay.*

*…*

*NOTE 1: Security and privacy aspects will be handled by SA WG3”*

From the security point of view, whether the UE can play the UE-to-Network Relay role should be assured by the Remote UE. On the contrary, whether the UE can play the remote UE role shouldl be assured by the UE-to-Network relay.

In addition, the following aspects on how the network authorizes the Remote UE via the UE-to-Network Relay need to be studied:

* Should there be different authorization mechanisms for L2 and L3 relay?
* Which Network Functions should be involved in the Remote UE authorization?
* What type of information (e.g. identifiers) should the Remote UE provide to the network via the UE-to-Network Relay and how should it be used for Remote UE authorization?

This key issue is to study the authorization issue in the UE-to-Network relay scenario.

### 5.4.2 Security threats

An attacker may impersonate the UE-to-Network Relay. If the authorization of the UE-to-Network relay role is not supported, the attacker UE could play the UE-to-Network relay role, and force a UE to camp on to it by passing all the message on between the UE and the network. It may then deny the UE services between the two UEs, such as drop the message.

An attacker may impersonate the Remote UE. If the authorization of the remote UE is not supported, the attacker UE could play the remote UE role, and arbitrarily consume the services provided by the UE-to-Network relay. The charging of the attacker UE as a remote UE may not be supported.

### 5.4.3 Potential security requirements

The 5GS shall support to authorize the UE as a UE-to-Network relay in the UE-to-Network relay scenario.

The 5GS shall support to authorize the UE as a Remote UE in the UE-to-Network relay scenario.

## 5.5 Key Issue #5: Privacy protection over the UE-to-Network Relay

### 5.5.1 Key issue details

3GPP system has to be able to protect privacy of the Remote UE that is using the UE-to-Network Relay. Failure to protect privacy of the Remote UE that is using the UE-to-Network Relay will open vulnerability in 5GS and allow various privacy attacks including tracing and tracking of identities.

TR 23.752 [2] in Clause 5.3, Key Issue #3: Support of UE-to-Network Relay has the following key issue:

*- How to transfer data between the Remote UE and the network over the UE-to-Network Relay.*

*NOTE 1: Security and privacy aspects will be handled by SA WG3.*

### 5.5.2 Security threats

Failure to protect privacy of the Remote UE that is using the UE-to-Network Relay will open vulnerability in 5GS and allow various privacy attacks including tracing and tracking of identities.

Path switch between UE-to-Network Relay UEs is a new feature aiming to preserve user experience. Such preservation may be achieved by making certain elements (e.g., IP addresses) of user experience persistent across sessions and UE-to-Network Relays. Persistent parameters may leak unique attributes associated with UEs and other ProSe entities and allow privacy attacks on these entities (e.g., UEs). Failure to protect privacy of entities and identities during UE-to-Network Relay path change will open vulnerability in 5GS and allow various privacy attacks including tracing and tracking of entities and identities.

### 5.5.3 Potential security requirements

The 5G System should provide means for mitigating trackability attacks on the Remote UE during communications over a UE-to-Network Relay including during UE-to-Network Relay path switch.

The 5G System should provide means for mitigating linkability attacks on the Remote UE during communications over a UE-to-Network Relay including during UE-to-Network Relay path switch.

## 5.6 Key Issue #6: Integrity and confidentiality of information over the UE-to-UE Relay

### 5.6.1 Key issue details

3GPP system has to be able to protect security (i.e, the integrity and confidentiality) of information between the peer UEs over the UE-to-UE Relay. Failure to protect integrity and confidentiality of information exchanged between the peer UEs over the UE-to-UE Relay will open vulnerability in 5GS and allow various attacks such as unauthorised disclosure and modification of information. Protection of communications between the peer UEs should take into consideration that the UE-to-UE Relay is an untrusted node.

TR 23.752 [2] in Clause 5.4, Key Issue #4: Support of UE-to-UE Relay, has the following key issue:

*- How to enhance the system architecture to provide the security protection for relayed connection?*

### 5.6.2 Security threats

Failure to protect integrity and confidentiality of information exchanged between the peer UEs over the UE-to-UE Relay will open vulnerability in 5GS and allow various attacks such as unauthorised disclosure and modification of information.

The UE-to-UE Relay being an untrusted node may be compromised, allowing the security (i.e., the integrity and confidentiality) of information between the peer UEs to be compromised. Therefore, end-to-end security between the peer UEs communicating over the UE-to-UE Relay is needed.

A malicious Relay UE that can establish unicast link with the source UE as well as the target UE may conduct MITM attack.

Failure to protect integrity and confidentiality of information during path change will open vulnerability in 5GS and allow various attacks resulting in unauthorised disclosure and modification of information.

### 5.6.3 Potential security requirements

3GPP system shall provide means to confidentially and integrity protect security end-to-end between the peer UEs during communications over the UE-to-UE Relay.

3GPP system shall provide means to protect security (i.e., the integrity, confidentiality, and replay protection) of user plane data and signalling information during UE-to-UE Relay path switch.

## 5.7 Key issue #7: Authorization in the UE-to-UE relay scenario

### 5.7.1 Key issue details

TR 23.752 [2], key issue #4 describes its Key Issue regarding support of UE-to-UE Relay:

*“- Whether and how for the network can control the UE-to-UE Relay operation, at least including how to:*

*- Authorize the UE-to-UE Relay, e.g. authorize a UE as UE-to-UE Relay?*

*- Authorize the Remote UE to access a UE-to-UE Relay?*

*…*

*NOTE 2: For security aspects, coordination with SA3 is needed.”*

From a security point of view, whether the UE can act as a UE-to-UE Relay is be assured by the Remote UE. On the contrary, whether the UE can act as a remote UE should be assured by the UE-to-UE relay.

3GPP system has to be able to autorise a UE to perform as UE-to-UE Relay and a UE to communicate with another UE via a UE-to-UE Relay. This key issue directs SA3 to study the authorization aspects in the UE-to-UE relay scenario.

### 5.7.2 Security threats

An attacker may impersonate the UE-to-UE Relay. If the authorization of the UE acting as UE-to-UE relay is not supported, the attacker UE may impersonate the UE-to-UE relay, and force a remote UE to camp on it by passing messages between two UEs. The attacker may then deny the UE services between the two UEs (e.g., arbitrary discard messages).

An attacker may impersonate the source UE or the target UE.

### 5.7.3 Potential security requirements

The 5GS shall support authorisation of the UE as a UE-to-UE relay in the UE-to-UE relay scenario.

Authorisation of a UE that requests to be a source UE or a target UE discovering a UE-to-UE Relay, should be provided.

3GPP system shall provide means to authorise a UE to communicate with another UE via a UE-to-UE Relay.

## 5.8 Key Issue #8: Privacy of information over the UE-to-UE Relay

### 5.8.1 Key issue details

3GPP system has to be able to protect the privacy of identities exchanged in the communications between peer UEs over a UE-to-UE Relay. Failure to protect privacy of identities of peer UEs communicating over the UE-to-UE Relay will open vulnerability in 5GS and allow various privacy attacks including tracing and tracking of identities.

TR 23.752 [2] in Clause 5.4, Key Issue #4: Support of UE-to-UE Relay, has the following key issue:

*- How to enhance the system architecture to provide the security protection for relayed connection?*

### 5.8.2 Security threats

Failure to protect privacy of identities exchanged in the communications between the peer UEs over the UE-to-UE Relay will open vulnerability in 5GS and allow various privacy attacks including tracing and tracking of identities.

Existing Link identifier update procedure specified in TS 33.536 [8] provides privacy of the identities on a per unicast link basis (e.g., the link between a UE and the UE-to-UE Relay). Therefore an attacker may be able to link identities exchanged over the link between a UE and the UE-to-UE Relay to those exchanged over the corresponding link between the peer UE and the UE-to-UE Relay

Path switch between UE-to-UE Relay UEs is a new feature aiming to preserve user experience. Such preservation may be achieved by making certain elements (e.g., IP addresses) of user experience persistent across sessions and UE-to-UE Relays. Persistent parameters may leak unique attributes associated with UEs and other ProSe entities and allow privacy attacks on these entities (e.g., UEs). Failure to protect the privacy of entities and identities during UE to UE Relay path change will open vulnerability in 5GS and allow various privacy attacks including tracing and tracking of entities and identities.

### 5.8.3 Potential security requirements

The 5G System should provide means for mitigating trackability attacks on peer UEs during communications over a UE-to-UE Relay including during UE-to-UE Relay path switch.

The 5G System should provide means for mitigating linkability attacks on peer UEs during communications over a UE-to-UE Relay including during UE-to-UE Relay path switch.

## 5.9 Key Issue #9: Key management in 5G Proximity Services for UE-to-Network relay communication

### 5.9.1 Key issue details

This key issue covers both Layer-2 and Layer-3 relays in 5G Proximity Services.

TR 23.752 [2] has candidate solution for both layer 2 and layer 3 UE-to-network relay. There are security solutions which will be adapted for PC5 unicast communication for ProSe from 5G V2X.

Currently, V2X does not support relay communication (both UE-to-network or UE-to-UE relay).

Based on V2X security TS 33.536 [8], the Direct Provisioning Function (DPF) defined in TS 23.303 [5] is replaced by PCF, based on the V2X architecture as defined in TS 23.287 [9], and is not supported by the DDNMF. The architecture reference model as described in clause 2 User Plane based architecture, with the following additional considerations:

* each PLMN deploys one logical 5G DDNMF
* the 5G DDNMF interacts with PCF for the authorization of the ProSe discovery service



Figure 5.9.1-1: User Plane architecture for ProSe

In LTE ProSe, the ProSe Key Management Function supports the key derivation required to support the UE-to-network relay communication.

Whereas in 5G the existing entity can support the key derivation, authentication and authorization of the remote UE and UE-to-Network relay.

In order to attach to the network via a UE-to-network relay, a remote UE may have to authenticate to the network and vice versa. Because the UE-to-network relay sits in between the remote UE and the network, it may have the possibility to perform MitM, DoS, and replay attacks in between.

### 5.9.2 Security threats

Following are the possible threats

- A man-in-the-middle attack by the relay UE;

- A denial of service attack by the relay UE on the remote UE;

- Impersonation of the remote UE by the relay UE.

### 5.9.3 Potential security requirements

- 5GS shall support secure communication between the remote UE and the network via UE-to-Network relays.

- 5GS shall support generation of separate security contexts for remote UEs for ProSe relay communication.

## 5.10 Key Issue #10: Key issue on secure data transfer between UE and 5GDDNMF

### 5.10.1 Key issue details

This key issue describes about the issue in secure communication between UE and ProSe function (5GDDNMF).

The ProSe-enabled UEs have many interactions with the 5GDDNMFin the 5G ProSe solution currently described in SA2 study TR 23.752 [2]. For example, to retrieve ProSe Discovery parameters and provision of ProSe discovery related security parameters.

If not secured an attacker may manipulate or modify the data being transmitted between UE and 5GDDNMF, thus adversely affecting the ProSe communication.

### 5.10.2 Security threats

- An attacker may manipulate the data being transmitted between the UE and 5GDDNMF, thus adversely affecting the ProSe communication.;

- An attacker may eavesdrop on transmitted data and further utilize it for improper use.;

- An attacker may replay an intercepted data thus affecting an expected state of action at the ProSe-enabled UE. .

### 5.10.3 Potential requirements

The ProSe-enabled UE and 5GDDNMF shall mutually authenticate each other for secure ProSe communication.

The transmission of data between 5GDDNMF and the ProSe-enabled UE shall be integrity protected.

The transmission of data between 5GDDNMF and the ProSe-enabled UE shall be confidentiality protected

The transmission of data between 5GDDNMF and the ProSe-enabled UE shall be protected from replay attacks.

## 5.11 Key Issue #11: UE identity protection during ProSe discovery

### 5.11.1 Key issue details

During ProSe discovery a ProSe UE that is to be discovered needs to broadcast information via which it can be discovered. In some use cases the broadcasted information is uniquely associated to the (identity of the) ProSe UE. If this broadcasted information is not properly protected, the privacy of the UE can not be guaranteed in the sense that the UE can be traced and followed. Also impersonation of the ProSe UE can occur leading to identity theft.

### 5.11.2 Security threats

A ProSe UE identity broadcasted during ProSe discovery can be used to trace a ProSe UE.

A ProSe UE identity broadcasted during ProSe discovery can be used to impersonate the ProSe UE.

### 5.11.3 Potential security requirements

The 5GS shall provide means to mitigate against the use of the identity of a ProSe UE broadcasted during ProSe discovery to trace the ProSe UE.

The 5GS shall provide means to mitigate against the use of the identity of a ProSe UE broadcasted during ProSe discovery to impersonate the ProSe UE.

## 5.12 Key Issue #12: Security of one-to-one communication over PC5

### 5.12.1 Key issue details

One-to-one ProSe communication is realised by establishing a secure link over PC5 between initiating UE and peer UE, it is used by two UEs that want to directly exchange traffic or when a remote UE attaches to ProSe relay. The establishment of this secure link needs to be possible also when either one or both the ProSe UEs are out-of-coverage.

The LTE ProSe one-to-one communication may happen after discovery procedures, or after one-to-many ProSe communications. The detailed one-to-one (i.e. unicast) communication and the corresponding security aspects are defined for LTE ProSe in 3GPP TS 23.303 [5] and TS 33.303 [6], respectively. The architecture study in the TR 23.752 [2] proposes to introduce new features to 5G ProSe from 5G V2X, this may protentially reuse the security meshanisms from 5G V2X as defined in TS 33.536 [8]. Although the 5G V2X and the ProSe one-to-one communications both rely on the PC5 reference point, the ProSe may not be able to fully reuse the security mechanisms from 5G V2X scenario which is due to the fact that they may use different processing procedures. For this reason, it’s necessary to study the security of one-to-one communication which is dedicated for 5G ProSe scenario. 5G ProSe needs a reliable mechanism to establish and to use one-to-one communication over PC5.

### 5.12.2 Security threats

If the two UE cannot be mutually authenticated during one-to-one communication, a peer may connect to an attacker.

The signalling and user plane message exchanges during one-to-one communication may be seen in cleartext, modified or replayed by an attacker if lack of confidentiality protection and integrity protection.

If one-to-one communication (unicast) mechanism in 5G V2X is reused, an attacker may deploy bidding-down attack to force establishing unprotected connection between initiating UE and peer UE.

Failure to secure protect the security context refreshing may introduce potential vulnerability.

### 5.12.3 Potential security requirements

The initiating UE shall establish a different security context for each peer UE during the PC5 one-to-one communication establishment if the security is activated. It shall be possible to establish this security context also when either one or both the ProSe UEs are out-of-coverage.

The mutual authentication between two UEs during one-to-one communication shall be supported.

The one-to-one communication link security establishment shall be protected from MitM attacks.

The PC5 one-to-one communication signalling shall support confidentiality protection, integrity protection and anti-replay protection.

The PC5 one-to-one communication user plane shall support confidentiality protection, integrity protection and anti-replay protection.

The system shall support means of providing the signalling and user plane security policies to UEs for a particular PC5 one-to-one communication.

The initiating UE and peer UE shall provide a means to mitigate establishing unprotected connection caused by bidding-down attack.

The system shall support means for a secure refresh of the UE security context.

NOTE: The security context refresh may be triggered based on various options (e.g. validity time etc.)

## 5.13 Key Issue #13: Security and privacy of groupcast communication

### 5.13.1 Key issue details

In TR 23.752 [2], Solution #22 "V2X-based group communication for commercial services" mentions the following note:

*“NOTE 2: The mechanism for converting the ProSe application layer provided group identifier to the destination Layer-2 ID depends on the conclusion of KI#8.”*

Solution #37 “Groupcast mode communication for commercial services and public safety” and solution#4 “PC5 group communication for commercial services”, also mentions the provisioning of Application layer group ID and the corresponding Destination L2-IDs in collaboration with the application server.

Thus far solution #7, #35 and #36 have been proposed for KI#8 “Support of PC5 Service Authorization and Policy/Parameter Provisioning” but do not address the conversion mechanism for application layer group ID to the destination L2 ID.

This conversion/mapping procedure should be secured in terms of privacy and traceability. Unless the conversion is carefully performed, the group membership of specific UEs could be disclosed. For example, attackers might be able to make an inquiry whether any member of certain group are exists in some location.

Also, for group communications, UEs are able to start communication without first discovering the receiving UE(s). This means that a UE can unilaterally start sending encrypted one-to-many data packets, which may be successfully decrypted by other group members without knowing in advance which group members can actually receive the data. Security for one-to-many direct communication in LTE Prose is specified in TS 33.303 [6]. However, it should be studied how to accommodate such procedures to 5G Prose.

In 5GS, ProSe services can be used for both public safety services and commercial services (e.g. interactive service). In TR 23.752 [2], group communications for commercial services has been studied. Therefore, the security of ProSe group communications for commercial services needs to be considered.

### 5.13.2 Security threats

If the group IDs are not securely converted by the application layer, the intruder can link them back to UE groupcast memberships, revealing which UEs have been associated with a specific group and hence causes privacy attacks.

Failures to protect groupcastcommunications, the following threats are identified:

- Passive attackers can eavesdrop on data packets exchanged between UEs.

- Active attackers can intercept, modify or replay data packets exchanged between UEs.

- An UE as a group member may be impersonated by an attacker.

### 5.13.3 Potential security requirements

5G system shall ensure that the group IDs and L2 IDs are protected from linkability and traceability attacks for ProSe groupcast communications.

One-to-many communications between ProSe-enabled UEs shall be protected by confidentiality and integrity.

## 5.14 Key Issue #14: security for support of Non-IP traffic

### 5.14.1 Key issue details

3GPP system has to be able to preserve security (i.e., the integrity and confidentiality) while supporting Non-IP traffic. Failure to protect integrity and confidentiality of information while supporting Non-IP traffic will open vulnerability in 5GS and allow attacks such as unauthorised disclosure and modification of information.

TR 23.752 [2] in Clause 6.5.2, Procedures, states the following:

*The "Procedures for V2X communication over PC5 reference point" defined in TS 23.287 [5] clause 6.3 is reused to support ProSe communication over NR based PC5 reference point, and the differences are highlighted as followings.*

*- For broadcast and groupcast mode ProSe communication, the procedures as defined in TS 23.287 [5] clauses 6.3.1 and 6.3.2 are applied with the following differences are identified:*

*- The following data unit types are supported: IP, non-IP, Ethernet, Unstructured and Address Resolution Protocol (see RFC 826 [10]).*

*NOTE: Whether "non-IP type" is used for "Unstructured type" can be decided in normative phase.*

*- The ProSe Group IP multicast address for groupcast communication may be provisioned by PCF and is used to send and receive IP data.*

*- For unicast mode ProSe communication, the procedure as defined in TS 23.287 [5] clause 6.3.3 is applied with the following differences are identified:*

*- DHCPv4 based IP address allocation is supported.*

*- Both Ethernet and Unstructured data unit types are supported.*

Multiple solutions in TR 23.752 [2] address support of NoN-IP traffic and require security and privacy protection to be addressed in the present document.

### 5.14.2 Security threats

Failure to protect integrity and confidentiality of information while supporting NoN-IP traffic for unicast/multicast/broadcast communication between two UEs, and for communication via UE-to-UE and UE-to-Network relays, will open vulnerability in 5GS and allow various attacks resulting in unauthorised disclosure and modification of information.

### 5.14.3 Potential security requirements

3GPP system shall provide means to protect security (i.e., the integrity, confidentiality, and replay protection) while supporting NoN-IP traffic for unicast/multicast/broadcast communication between two UEs, and for communication via UE-to-UE and UE-to-Network relays.

## 5.15 Key Issue #15: privacy of ProSe entities while supporting Non-IP traffic

### 5.15.1 Key issue details

3GPP system has to be able to preserve privacy of ProSe entities while supporting NoN-IP traffic. Failure to protect privacy of identities while supporting NoN-IP traffic will open vulnerability in 5GS and allow various privacy attacks including tracing and tracking of identities.

TR 23.752 [2] in Clause 6.5.2, Procedures, states the following:

*The "Procedures for V2X communication over PC5 reference point" defined in TS 23.287 [5] clause 6.3 is reused to support ProSe communication over NR based PC5 reference point, and the differences are highlighted as followings.*

*- For broadcast and groupcast mode ProSe communication, the procedures as defined in TS 23.287 [5] clauses 6.3.1 and 6.3.2 are applied with the following differences are identified:*

*- The following data unit types are supported: IP, non-IP, Ethernet, Unstructured and Address Resolution Protocol (see RFC 826 [10]).*

*NOTE: Whether "non-IP type" is used for "Unstructured type" can be decided in normative phase.*

*- The ProSe Group IP multicast address for groupcast communication may be provisioned by PCF and is used to send and receive IP data.*

*- For unicast mode ProSe communication, the procedure as defined in TS 23.287 [5] clause 6.3.3 is applied with the following differences are identified:*

*- DHCPv4 based IP address allocation is supported.*

*- Both Ethernet and Unstructured data unit types are supported.*

Multiple solutions in TR 23.752 [2] address support of NoN-IP traffic and require security and privacy protection to be addressed in the present document.

### 5.15.2 Security threats

Failure to protect privacy of entities and identities while supporting NoN-IP traffic for unicast/multicast/broadcast communication between two UEs, and for communication via UE-to-UE and UE-to-Network relays will open vulnerability in 5GS and allow various privacy attacks including tracing and tracking of entities and identities.

### 5.15.3 Potential security requirements

3GPP system shall provide means to preserve privacy of entities and identities while supporting NoN-IP unicast/multicast/broadcast communication between two UEs, and for communication via UE-to-UE and UE-to-Network relays.

NoN-IP traffic unicast/multicast/broadcast communication between two UEs, and for communication via UE-to-UE and UE-to-Network relays.

unicast/multicast/broadcast communication between two UEs, and for communication via UE-to-UE and UE-to-Network relays

## 5.16 Key Issue #16: Privacy protection of PDU session-related parameters for relaying.

### 5.16.1 Key issue details

As part of Key Issue #3 in TR 23.752 [2], SA2 studies layer-2 and layer-3 relays. One of the aspects to be studied as denoted in Key Issue #3 is:

*“- How to support end-to-end requirements between Remote UE and the network via a UE-to-Network Relay, including QoS (such as data rate, reliability, latency) and the handling of PDU Session related attributes (e.g. S-NSSAI, DNN, PDU Session Type and SSC mode).”*

In case of Layer-2 relays, the Remote UE itself is responsible to perform initial registration and set up the PDU session with the Core Network, and the UE-to-Network relay is expected to transparently forward all RRC and NAS messages to/from the network. Although privacy-sensitive slice information from a Remote UE may be revealed to a UE-to-Network relay if requested NSSAI information is included during the initial registration, it is assumed that the inclusion of the requested NSSAI information can be controlled in a similar manner as specified in clause 5.15.9 “Operator-controlled inclusion of NSSAI in Access Stratum Connection Establishment” of TS 23.501 [15]. The subsequent PDU session request is sent only after AS security is established between the UE and the network and hence the privacy sensitive information contained in that request (e.g. requested NSSAI, requested DNN) is not exposed to the UE-to-Network relay.

For Layer-3 relays, the UE-to-Network relay is responsible for setting up a PDU session to the Core Network on behalf of the Remote UE, in order to send the relayed traffic to the Core Network. To facilitate this, the UE-to-Network relay needs to be provided with the PDU session parameters that the Remote UE needs to use for its applications to make sure it connects to the correct DNN, slice, etc. However, if information about PDU session attributes, such as information about a particular slice and/or DNN that a Remote UE wishes to use, is exposed, pre-configured or otherwise made available to UE-to-Network relays or other Remote UEs, this may impose a privacy risk for the Remote UE. In particular, since relay UEs and remote UEs are typically end-user devices, and hence these may not be trusted at the same level as base stations or core network functions.

Several solutions in TR 23.752 [2] (such as solutions #16, #19, #28, #35) that are dealing with preconfiguring PDU session parameter related information to Remote UEs and UE-to-Network relays, dealing with discovery, and dealing with connection setup have already identified an action for SA WG3 to study the privacy concerns that were raised, e.g.:

*“Editor's note: The privacy protection for S-NSSAI information and group information in discovery message and the security of pre-configuring, storing and exposing all this privacy sensitive information with the UE-to-Network relay is FFS and in coordination with SA WG3.”*

*“NOTE: The privacy aspects of preconfiguring slicing information in UE-to-Network relays need to be coordinated with SA WG3.”*

*“NOTE 1: The privacy aspects of transporting PDU session parameters using an unsecured PC5 Direct Communication Request message need to be coordinated with SA WG3.”*

This key issue is to study the privacy issues related to the pre-configuration of PDU session parameter related information to UE-to-Network relays and Remote UEs, and privacy issues related to exposing PDU session parameter related information during discovery and/or connection setup messages.

For Layer-2 relays transparently forwarding all RRC/NAS messages between the remote UE and the network, although the PDU session request containing privacy sensitive information (e.g. requested NSSAI, requested DNN) is protected by NAS and AS security established between the remote UE and the network, the use of confidentiality protection of signally messages is however a configuration option. Hence it is still possible that the information may be exposed in clear text if NAS/AS signalling confidentiality is not activated. This is an existing issue not related to Layer-2 relay.

### 5.16.2 Security threats

Information related to slices and DNNs that a UE uses or wishes to use for its relay operation (i.e. for the purpose of relay selection and/or setting up a relayed connection to the network), is privacy sensitive as it may reveal that a UE belongs a special subscription group, e.g. police/law enforcement/customs, or is linked e.g. to a healthcare facility. This leads to the following threats:

- Exposure of this information in the clear (e.g. in discovery or connection setup messages) enable eavesdroppers to perform privacy attacks on Remote UEs or UE-to-Network relays.

### 5.16.3 Potential security requirements

The 5G System shall provide a means to mitigate tracing and tracking privacy attacks on Remote UEs based on potential exposure of slicing information, DNN information, and other PDU session related persistent information.

## 5.X Key Issue #X: <Key Issue Name>

### 5.X.1 Key issue details

### 5.X.2 Security threats

### 5.X.3 Potential security requirements

# 6 Solutions

Editor’s Note: This clause contains the proposed solutions addressing the identified key issues.

## 6.0 Mapping of Solutions to Key Issues

Table 6.0-1: Mapping of Solutions to Key Issues

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | Key Issues | | | | | | | | | | | | | | | |
| Solutions | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
| 1 |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  |
| 2 |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |
| 3 | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 4 | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 5 |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |
| 6 |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |
| 7 |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |
| 8 |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  |
| 9 |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 10 |  |  | X | X |  |  |  |  | X |  |  |  |  |  |  |  |
| 11 |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |
| 12 |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |
| 13 |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |
| 14 |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 15 |  |  | X | X |  |  |  |  | X |  |  |  |  |  |  |  |
| 16 |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  |
| 17 |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |
| 18 |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 19 |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 20 |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  |
| 21 |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |
| 22 |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |
| 23 |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |
| 24 |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |
| 25 |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |
| 26 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |
| 27 | X |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |
| 28 | X |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |
| 29 |  |  | X | X |  |  |  |  | X |  |  |  |  |  |  |  |
| 30 |  |  | X | X |  |  |  |  | X |  |  |  |  |  |  |  |
| 31 |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |
| 32 |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  | X |
| 33 |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |
| 34 |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |
| 35 |  | X |  |  |  |  |  |  |  | X |  |  |  |  |  |  |

## 6.1 Solution #1: Solution for key management in 5G Proximity Services relay communication

### 6.1.1 Introduction

This solution describes how the existing network function Authentication Server Function performs the key management instead of PKMF (ProSe Key Management Function) as done in TS 33.303 [6] in LTE ProSe. This solution addresses key issue #9.

### 6.1.2 Solution details

Proposed solution reuses the PCF discovery procedure as defined in 23.502[10] for provisioning or configuration of the relay discovery material and the required security material.



Figure 6.1.2-1: Procedural call flow for key management in 5G ProSe

Step 0a-0e: The remote UE seeking access via UE-to-Network relay, REAR (Remote Access via Relay) sends a UE policy provisioning request to the AMF. The request may include the Remote UE capability i.e., ProSe UE capability, PC5 capability.

AMF sends N5gddnmf\_UEpolicycontrol\_update or Npcf\_UEpolicycontrol\_update request over Service based interface to discover the corresponding PCF or 5GDDNMF and requests for the policy required for ProSe UE Discovery and security material.

5GDDNMF or PCF responds back with Npcf\_GetDiscovery\_info response message with ProSe relay UE discovery and security material to 5GDDNMF. The 5GDDNMF sends back N5gddnmf\_UEpolicycontrol\_update response with the required ProSe relay discovery and security material. AMF delivers the ProSe relay discovery and security material to the Remote UE.

The UE-to-Network relay gets authenticated and authorized by the network to support as a relay for ProSe communication.

Editor's Note: The definition of 5GDDNMF should be aligned with SA2.

Step 1: The Remote UE sends a key request message to the AMF, where the message includes the ProSe Remote access indication and 5G-GUTI if already assigned or the SUCI. This solution based on single hop relay i.e., one UE-to-Network relay between Remote UE and the core network. The proposed solution also works for multiple hop relay communication.

The ProSe Remote access indication is set to 1, which indicates that there is only single hop UE-to-Network relay in between.

The AMF forwards the Key request to the AUSF instance which is capable of authentication, authorization and key derivation for the ProSe UE-to-Network relay communication.

Step 2: In order to authorize the UE requesting for keys for remote access, the AUSF sends Nudm\_UEAuthentication request to UDM and retrieves the UE details or subscription data. In this message the AUSF includes ProSe Remote access indication and 5G-GUTI or SUCI.

Step 3: On receiving the Nudm\_UEAuthentication request, the UDM verifies the 5G-GUTI or SUCI and sends the corresponding SUPI to the AUSF in Nudm\_UEAuthentication response message.

Step 4: On receiving the SUPI from UDM, the AUSF generates the REAR Key for Remote UE communication via UE-to-Network relay. REAR key will be used for deriving the ProSe key KNR\_ProSe.

Input to the Key Derivation Function for deriving the REAR key is as follows:

REAR Key = KDF (Latest KAUSF, SUPI of the Remote UE, Relay UE ID bound to SUPI of relay/TempID of relay, other possible parameters)

The generated key is 256 bits in which, the 128 bits MSB of key is the REAR Key and the other 128 bits is the REAR Key ID. The purpose of REAR Key ID is to identify the REAR key.

Editor's Note: The input parameters to derive the keys are FFS.

Step 5: AUSF sends the generated REAR key and Relay UE ID/TempID of Relay which is bound to UE-to-Network relays SUPI in the key response message to the Remote UE.

Step 6: Remote UE discovers the relay UE using any of Model A or Model B method. The discovery message must include the relay UE ID provided by the AUSF.

Step 7: After the discovery of the UE-to-Network relay, the Remote UE sends the Direct communication request to the discovered relay for establishing secure PC5 unicast link. The message should include Relay Service Code or ServiceID, 5G-GUTI of the Remote UE and Message Authentication Code MACREAR.

Step 8: On receiving the Direct Communication request, the UE-to-Network relay sends a key request message Relay Service Code or ServiceID, 5G-GUTI of the Remote UE and Message Authentication Code MACREAR received from the remote UE.

Step 9: AUSF authorizes the remote UE requesting for remote access by checking the MACREAR using the REAR key, and 5G-GUTI.

Step 10: After authorization the AUSF generates the ProSe key to be used for Remote access via Relay.

The input to the KDF for generating ProSe key is as follows:

KNR\_ProSe = KDF (REAR key, 5G-GUTI, Relay Service Code or ServiceID, KNR\_ProSe freshness parameter, other possible parameters). KNR\_ProSe freshness parameter can be any nonce or counter or random number. KNR\_ProSe is used as a root key. The Relay UE derives PC5 session key Krelay-sess from KNR-ProSe, and confidentiality and integrity keys from Krelay-sess, in a similar way as KNRP-sess is derived from KNRP, and confidentiality and integrity keys from KNRP-sess in TS 33.536[8].

Step 11: AUSF sends the KNR\_ProSe freshness parameter in the key response message to the UE-to-Network relay.

Step 12: The UE-to-Network relay sends the received KNR\_ProSe freshness parameter to the Remote UE in Direct Security mode command message.

Step 13: The remote UE generates the ProSe key to be used for Remote access via Relay same as defined in step 10.

Step 14: Remote UE sends the Direct Security mode complete message to the UE-to-Network relay. Further communication between Remote UE and Network takes place securely via the UE-to-Network relay.

### 6.1.3 Evaluation

The proposed solution addresses the key issue#9 for key management in ProSe. This solution assumes and require network connectivity for both remote UE and relay UE. The proposed solution lacks details on the security material provisioned by PCF or 5GDDNMF. Also, this solution may impact more than one key issue. Therefore, this solution needs to combine with other solutions on security for discovery of ProSe UEs.

Editor’s Note: It is FFS whether the key request is needed when remote UE provides 5G-GUTI as KAMF already exists.

Editor’s Note: Further Evaluation is FFS

## 6.2 Solution #2: Secure data transfer between UE and 5GDDNMF

### 6.2.1 Introduction

This solution addresses key issue#10.

### 6.2.2 Solution details

In LTE ProSe, the protection of traffic between UE and ProSe Function is as specified in clause 5.3.3.2 in TS 33.303 [6]. For 5G ProSe the security can be established using Authentication and Key Management for Applications. Where AF is the ProSe Application Function (5GDDNMF) and AF should be authenticated and authorized by the operator network before providing the AKMA Application Key (KAF) to the AF.

Editor's Note: Whether 5GDDNMF is a functionality of PCF or an AF is based on conclusion from SA2.

It is proposed to use the AKMA network model and security procedure to have a secure data transfer between UE and the 5GDDNMF.



Figure 6.2.2-1: User plane architecture

Figure 6.2.2-1 is reference model for AKMA modified for supporting Proximity based services. The Application function in AKMA is 5GDDNMF in ProSe having a service-based interface N5gddnmf with other Network Functions, to consume or provide services from or to other NFs.

Editor's Note: Whether AKMA user plane architecture is used shall be based on conclusion from SA2.

The PC3 interface between UE and 5GDDNMF is considered as Ua\* interface and depends on Ua\* protocol.

However, the security requirement of PC3 interface should be aligned to satisfy the Ua\* interface. Also, the interface Ua\* needs to have new functionalities in addition to specified in clause 4.4.1 of TS 33.535 [7] for Ua\*.

Editor’s note: The need of new functionalities is FFS and whether the new functionalities can be used in Ua\* is FFS.

Editor’s note: The impact on Ua\* interface and PC3 interface are FFS.

### 6.2.3 Evaluation

TBD

6.3 Solution #3: Reuse LTE security mechanism for 5G ProSe open discovery

6.3.1 Introduction

This solution addresses Key Issue #1(Discovery message protection).It proposes to reuse the open discovery security mechanism specified in TS 33.303[6] for 5G ProSe open discovery. This solution does not address UE-to-Network and UE-to-UE relay discovery.

In LTE ProSe, the ProSe function is used to provide the UE with the necessary security material in order to protect discovery messages transmitted over the air.In 5G ProSe, the 5G Direct Discovery Name Management Function (DDNMF) is used to replace the ProSe function in the open discovery.

This solution allows the discovery key to be provided to the DDNMF in the HPLMN of the monitoring UE and the monitoring UE in order to support out of coverage scenario and more security flexibility.

NOTE: The security flexibility is addressed in other solution. (e.g. Solution #27)

Editor’s note: It’s FFS about new security parameters for 5G that is different from LTE ProSe.

Editor’s note: The detailed security-related parameters in the announcing message are FFS.

6.3.2 Solution details

This solution does not address the discovery key generation and key delivery protocol used in the discovery procedure, which is up to the conclusion of key issue #2.

The open discovery security procedure is described as follows:

1. The announcing UE sends a Discovery Request message containing the ProSe Application ID to the DDNMF in its HPLMN in order to be allowed to announce a code on its serving PLMN (either VPLMN or HPLMN).
2. If the announcing UE wants to send announcements in the VPLMN, it needs to be authorised from the VPLMN ProSe Function. The DDNMF in the HPLMN requests authorization from the VPLMN DDNMF by sending Announce Auth.() message.
3. VPLMN DDNMF responds with an Announce Auth. Ack () message, if authorization is granted. There are no changes to these messages for the purpose of protecting the transmitted code for open discovery. If the Announcing UE is not roaming, these steps do not take place.
4. The DDNMF in HPLMN of the announcing UE returns the ProSe App Code that the announcing UE can announce and a Discovery Key associated with it. The DDNMF stores the Discovery Key with the ProSe App Code. In addition, the DDNMF provides the UE with a CURRENT\_TIME parameter, which contains the current UTC-based time at the DDNMF, a MAX\_OFFSET parameter, and a Validity Timer. The UE sets a clock which is used for ProSe authentication (i.e. ProSe clock) to the value of CURRENT\_TIME and the UE stores the MAX\_OFFSET parameter, overwriting any previous values. The announcing UE obtains a value for a UTC-based counter associated with a discovery slot based on UTC time. The counter is set to a value of UTC time in a granularity of seconds. The UE may obtain UTC time from any sources available, e.g. the RAN via SIB16, NITZ, NTP, GPS, via Ub interface (in GBA) (depending on which is available).

NOTE 1: The UE may use unprotected time to obtain the UTC-based counter associated with a discovery slot. This means that the discovery message could be successfully replayed if a UE is fooled into using a time different to the current time. The MAX\_OFFSET parameter is used to limit the ability of an attacker to successfully replay discovery messages or obtain correctly MICed discovery message for later use. This is achieved by using MAX\_OFFSET as a maximum difference between the UTC-based counter associated with the discovery slot and the ProSe clock held by the UE.

NOTE 2: A discovery slot is the time at which an announcing UE sends the announcement.

1. The UE starts announcing, if the difference between UTC-based counter provided by the system associated with the discovery slot and the UE’s ProSe clock is not greater than the MAX\_OFFSET and if the Validity Timer has not expired. For each discovery slot it uses to announce, the announcing UE calculates a 32-bit Message Integrity Check (MIC) to include with the ProSe App Code in the discovery message. Four least significant bits of UTC-based counter are transmitted along with the discovery message. The MIC is calculated as described in clause A.2 of TS 33.303 [6] using the Discovery Key and the UTC-based counter associated with the discovery slot.
2. The Monitoring UE sends a Discovery Request message containing the ProSe Application ID to the DDNMF in its HPLMN in order to get the Discovery Filters that it wants to listen for.
3. The DDNMF in the HPLMN of the monitoring UE sends Monitor Req. message to the DDNMF in the HPLMN of the announcing.
4. The DDNMF in the HPLMN of the announcing UE sends Monitor Resp. message to the DDNMF in the HPLMN of the monitoring.IfMIC needs to be checked by the DDNMF in the HPLMN of the monitoring UE or the monitoring UE, the Discovery Key should be contained in the response message.
5. The DDNMF returns the Discovery Filter containing either the ProSe App Code(s), the ProSe App Mask(s) or both along with the CURRENT\_TIME and the MAX\_OFFSET parameters. The UE sets its ProSe clock to CURRENT\_TIME and stores the MAX\_OFFSET parameter, overwriting any previous values. The monitoring UE obtains a value for a UTC-based counter associated with a discovery slot based on UTC time. The counter is set to a value of UTC time in a granularity of seconds. The UE may obtain UTC time from any sources available, e.g. the RAN via SIB16, NITZ, NTP, GPS (depending on which is available).IfMIC needs to be checked by the monitoring UE, the Discovery Key should be contained in the response message.
6. The Monitoring UE listens for a discovery message that satisfies its Discovery Filter, if the difference between UTC-based counter associated with that discovery slot and UE’s ProSe clock is not greater than the MAX\_OFFSET of the monitoring UE's ProSe clock.If the monitoring UE has the Discovery Key, the MIC check is performed locally, and steps 11 to 15 are omitted.
7. On hearing such a discovery message, and if the UE needs to check the MIC for the discovered ProSe App Code, the Monitoring UE sends a Match Report message to the DDNMF in the HPLMN of the monitoring UE. The Match Report contains the UTC-based counter value with four least significant bits equal to four least significant bits received along with discovery message and nearest to the monitoring UE’s UTC-based counter associated with the discovery slot where it heard the announcement, and other discovery message parameters including the ProSe App Code and MIC.If the DDNMF in the HPLMN of the monitoring UE has the Discovery Key, the MIC check is performed locally, and steps 12 to 14 are omitted.
8. The DDNMF in the HPLMN of the monitoring UE passes the discovery message parameters including the ProSe App Code and MIC and associated counter parameter to the DDNMF in the HPLMN of the announcing UE in the Match Report message.
9. The DDNMF in the HPLMN of the announcing UE should check the MIC is valid. The relevant Discovery Key is found using the ProSe App Code.
10. The DDNMF in the HPLMN of the announcing UE should acknowledge a successful check of the MIC to the DDNMF in the HPLMN of the monitoring UE in the Match Report Ack message. The DDNMF in the HPLMN of the announcing UE include a Match Report refresh timer in the Match Report Ack message. The Match Report refresh timer indicates how long the UE will wait before sending a new Match Report for the ProSe App Code.
11. The DDNMF in the HPLMN of the monitoring UE acknowledges the check result to the monitoring UE. The DDNMF returns the parameter ProSe Application ID to the UE. It also provides the CURRENT\_TIME parameter, by which the UE (re)sets its ProSe clock The DDNMF in the HPLMN of the monitoring UE may optionally modify the received Match Report refresh timer based on local policy and then include the Match Report refresh timer in the message to the Monitoring UE.



**Figure 6.3.2-1: Open discovery security procedure**

6.3.3 Evaluation

This solution supports integrity protection of the discovery message.

This solution mitigates replay attack against the discovery message.

As defined in TS 23.303 [5], the User Identity, IMSI, is sent in the discovery request message. The proposed solution lacks details on how to protect the discovery request message, especially how to protect IMSI. There could be several solutions that can address IMSI protection (e.g., activation of the ciphering protection for the PC3 interface). Therefore, this solution needs to combine other solutions to make sure that IMSI is not sent in cleartext.

Editor’s Note: Further Evaluation is FFS

6.4 Solution #4: Reuse LTE security mechanism for 5G ProSe restricted discovery

6.4.1 Introduction

This solution addresses Key Issue #1(Discovery message protection).It proposes to reuse the restricted discovery security mechanisms of Model A and Model B specified in TS 33.303 [6] for 5G ProSe restricted discovery.

In LTE ProSe, the ProSe function is used to provide the UE with the necessary security material in order to protect discovery messages transmitted over the air.In 5G ProSe, the 5G Direct Discovery Name Management Function (DDNMF) is used to replace the ProSe function in the restricted discovery.

NOTE: The security flexibility is addressed in other solution. (e.g. Solution #28)

Editor’s note: It’s FFS about new security parameters for 5G that is different from LTE ProSe.

Editor’s note: The detailed security-related parameters in the announcing message are FFS.

6.4.2 Solution details

6.4.2.1 Model A restricted discovery

This solution does not address the discovery key generation and key delivery protocol used in the discovery procedure, which is up to the conclusion of key issue #2.

The security procedure for Model A restricted discovery is described as follows:

Steps 1-4 refer to an Announcing UE.

1. Announcing UE sends a Discovery Request message containing the RPAUID to the DDNMF in its HPLMN in order to get the ProSe Code to announce and to get the associated security material.
2. The DDNMF may check for the announce authorization with the ProSe Application Server.
3. If the Announcing UE is roaming, the DDNMFs in the HPLMN and VPLMN of the Announcing UE exchange Announce Auth.
4. The DDNMF in the HPLMN of the Announcing UE returns the ProSe Code and the corresponding Code-Sending Security Parameters, along with the CURRENT\_TIME and MAX\_OFFSET parameters. The Code-Sending Security Parameters provide the necessary information for the Announcing UE to protect the transmission of the ProSe Code and are stored with the ProSe Code. The Announcing UE takes the same actions with CURRENT\_TIME and MAX\_OFFSET as described for the Announcing UE in step 4 of subclause 6.3.2 of the current specification.

Steps 5-10 refer to a Monitoring UE

1. The Monitoring UE sends a Discovery Request message containing the RPAUID to the DDNMF in its HPLMN in order to be allowed to monitor for one or more Restricted ProSe Application IDs.
2. The DDNMF in the HPLMN of the Monitoring UE sends an authorization request to the ProSe Application Server. If, based on the permission settings, the RPAUID is allowed to discover at least one of the Target RPAUIDs contained in the Application Level Container, the ProSe Application Server returns an authorization response.
3. If the Discovery Request is authorized, and the PLMN ID in the Target RPAUID indicates a different PLMN, the DDNMF in the HPLMN of the Monitoring UE contacts the indicated PLMN’s DDNMF i.e. the DDNMF in the HPLMN of the Announcing UE, by sending a Monitor Request message.
4. The DDNMF in the HPLMN of the Monitoring UE may exchange authorization messages with the ProSe Application Server.
5. The DDNMF in the HPLMN of the Announcing UE responds to the DDNMF in the HPLMN of the Monitoring UE with a Monitor Response message including the ProSe Code, the corresponding Code-Receiving Security Parameters and an optional Discovery User Integrity Key (DUIK). The Code-Receiving Security Parameters provide the information needed by the Monitoring UE to undo the protection applied by the announcing UE. The DUIK be included as a separate parameter if the Code-Receiving Security Parameters indicate that the Monitoring UE use Match Reports for MIC checking. The DDNMF in the HPLMN of the Monitoring UE stores the ProSe Code and the Discovery User Integrity Key (if it received one outside of the Code-Receiving Security Parameters).

NOTE 1: There are two configurations possible for integrity checking, namely, MIC checked by the DDNMF, and MIC checked at the UE side. Which of the configuration is used is decided by the DDNMF that assigned the ProSe Code being monitored, and signalled to the Monitoring UE in the Code-Receiving Security Parameters.

1. The DDNMF in the HPLMN of the Monitoring UE returns the Discovery Filter and the Code-Receiving Security Parameters, along with the CURRENT\_TIME and MAX\_OFFSET parameters. The Monitoring UE takes the same actions with CURRENT\_TIME and MAX\_OFFSET as described for the Monitoring UE in step 9 of subclause 6.3.2 of the current specification. The UE stores the Discovery Filter and Code-Receiving Security Parameters.

Steps 11 and 12 occur over PC5.

1. The UE starts announcing, if the UTC-based counter provided by the system associated with the discovery slot is within the MAX\_OFFSET of the announcing UE's ProSe clock and if the Validity Timer has not expired. The UE forms the discovery message and protects it. The four least significant bits of UTC-based counter are transmitted along with the protected discovery message.
2. The Monitoring UE listens for a discovery message that satisfies its Discovery Filter, if the UTC-based counter associated with that discovery slot is within the MAX\_OFFSET of the monitoring UE's ProSe clock. In order to find such a matching message, it processes the message. If the Monitoring UE was not asked to send Match Reports for MIC checking, it stops at this step from a security perspective. Otherwise it proceeds to step 13.

NOTE 2: The UE checking the integrity of the discovery message on its own does not prevent the UE from sending a Match Report due to requirements in TS 23.303 [5]. If such a Match Report is sent, then there is no security functionality involved.

Steps 13-16 refer to a Monitoring UE that has encountered a match.

1. If the UE has either not had the DDNMF check the MIC for the discovered ProSe Code previously or the DDNMF has checked a MIC for the ProSe Code and the associated Match Report refresh timer (see step 15 for details of this timer) has expired, then the Monitoring UE sends a Match Report message to the DDNMF in the HPLMN of the monitoring UE. The Match Report contains the UTC-based counter value with four least significant bits equal to four least significant bits received along with discovery message and nearest to the monitoring UE’s UTC-based counter associated with the discovery slot where it heard the announcement, and other discovery message parameters including the ProSe Code and MIC. The DDNMF checks the MIC.
2. The DDNMF in the HPLMN of the Monitoring UE may exchange an Auth Req/Auth Resp with the ProSe App Server to ensure that Monitoring UE is authorised to discover the Announcing UE.
3. The DDNMF in the HPLMN of the monitoring UE returns to the Monitoring UE an acknowledgement that the integrity check passed. It also provides the CURRENT\_TIME parameter, by which the UE (re)sets its ProSe clock. The DDNMF in the HPLMN of the Monitoring UE include the Match Report refresh timer in the message to the Monitoring UE. The Match Report refresh timer indicates how long the UE will wait before sending a new Match Report for the ProSe Code.
4. The DDNMF in the HPLMN of the Monitoring UE may send a Match Report Info message to the DDNMF in the HPLMN of the Announcing UE.



**Figure 6.4.2.1-1: Model Arestricted discovery security procedure**

6.4.2.2 Model B restricted discovery

This solution does not address the discovery key generation and key delivery protocol used in the discovery procedure, which is up to the conclusion of key issue #2.

The security procedure for Model B restricted discovery is described as follows:

Steps 1-4 refer to a Discoveree UE.

1. Discoveree UE sends a Discovery Request message containing the RPAUID to the DDNMF in its HPLMN in order to get the ProSe Code to announce and associated security material. The command indicates that this is for ProSe Response (Model B) operation, i.e. for a Discoveree UE.
2. The DDNMF may check for the announce authorization with the ProSe Application Server depending on DDNMF configuration.
3. The DDNMFs in the HPLMN and VPLMN of the Discoveree UE exchange Announce Auth. messages. If the Discoveree UE is not roaming, these steps do not take place.
4. The DDNMF in the HPLMN of the Discoveree UE returns the ProSe Response Code and the Code-Sending Security Parameters, Discovery Query Filter(s) and their Code-Receiving Security Parameters corresponding to each discovery filter along with the CURRENT\_TIME and MAX\_OFFSET parameters. The Code-Sending Security Parameters provide the necessary information for the Discoveree UE to protect the transmission of the ProSe Response Code and are stored with the ProSe Response Code. The Code-Receiving Security Parameters provide the information needed by the Discoveree UE to undo the protection applied to the ProSe Query Code by the Discoverer UE. The Code-Receiving Security Parameters indicate a Match Report will not be used for MIC checking. The UE stores each Discovery Filter with its associated Code-Receiving Security Parameters. The Discoveree UE takes the same actions with CURRENT\_TIME and MAX\_OFFSET as described for the Announcing UE in step 4 of subclause 6.3.2 of the current specification.

Steps 5-10 refer to a Discoverer UE

1. The Discoverer UE sends a Discovery Request message containing the RPAUID to the DDNMF in its HPLMN in order to be allowed to discover one or more Restricted ProSe Application IDs.
2. The DDNMF in the HPLMN of the Discoverer UE sends an authorization request to the ProSe Application Server. If the RPAUID is allowed to discover at least one of the Target RPAUIDs contained in the Application Level Container, the ProSe Application Server returns an authorization response.
3. If the Discovery Request is authorized, and the PLMN ID in the Target RPAUID indicates a different PLMN, the DDNMF in the HPLMN of the Discoverer UE contacts the indicated PLMN’s DDNMF i.e. the DDNMF in the HPLMN of the Discoveree UE, by sending a Discovery Request message.
4. The DDNMF in the HPLMN of the Discoveree UE may exchange authorization messages with the ProSe Application Server.
5. The DDNMF in the HPLMN of the Discoveree UE responds to the DDNMF in the HPLMN of the Discoverer UE with a Discovery Response message including the ProSe Query Code(s) and their associated Code-Sending Security Parameters, ProSe Response Code and its associated Code-Receiving Security Parameters, and an optional Discovery User Integrity Key (DUIK) for the ProSe Response Code. The Code-Receiving Security Parameters provide the information needed by the Discoverer UE to undo the protection applied by the Discoveree UE. The DUIK be included as a separate parameter if the Code-Receiving Security Parameters indicate that the Discoverer UE use Match Reports for MIC checking. The DDNMF in the HPLMN of the Discoverer UE stores the ProSe Response Code and the Discovery User Integrity Key (if it received one outside of the Code-Receiving Security Parameters). The Code-Sending Security Parameters provide the information needed by the Discoverer UE to protect the ProSe Query Code.

NOTE 1: There are two configurations possible for integrity checking, namely, MIC checked by the DDNMF, and MIC checked at the UE side; this is decided by the DDNMF that assigned the ProSe Code being monitored, and signalled to the Monitoring UE in the Code-Receiving Security Parameters.

1. The DDNMFs in the HPLMN and VPLMN of the Discoverer UE exchange Announce Auth. messages. If the Discoverer UE is not roaming, these steps do not take place.
2. The DDNMF in the HPLMN of the Discoverer UE returns the Discovery Response Filter and the Code-Receiving Security Parameters, the ProSe Query Code and the Code-Sending Security Parameters along with the CURRENT\_TIME and MAX\_OFFSET parameters. The Discoverer UE takes the same actions with CURRENT\_TIME and MAX\_OFFSET as described for the Monitoring UE in step 9 of subclause 6.3.2 of the current specification. The UE stores the Discovery Response Filter and its Code-Receiving Security Parameters and the ProSe Query Code and its Code-Sending Security Parameters.

Steps 12 to 15 occur over PC5.

1. The Discoverer UE sends the ProSe Query Code and also listens for a response message, if the UTC-based counter provided by the system associated with the discovery slot is within the MAX\_OFFSET of the announcing UE's ProSe clock and if the Validity Timer has not expired. The Discoverer UE forms the discovery message and protects it. The four least significant bits of UTC-based counter are transmitted along with the protected discovery message.
2. The Discoveree UE listens for a discovery message that satisfies its Discovery Filter, if the UTC-based counter associated with that discovery slot is within the MAX\_OFFSET of the Discoverer UE's ProSe clock. In order to find such a matching message, it processes the message.

NOTE 2: Match Reports are not used for the MIC checking of ProSe Query Codes.

1. The Discoveree sends the ProSe Response Code associated with the discovered ProSe Query Code. The Discoveree UE forms the discovery message and protects it. The four least significant bits of UTC-based counter are transmitted along with the protected discovery message.
2. The Discoverer UE listens for a discovery message that satisfies its Discovery Filter. In order to find such a matching message, it processes the message. If the Discoverer UE was not asked to send Match Reports for MIC checking, it stops at this step from a security perspective. Otherwise it proceeds to step 16.

NOTE 3: The UE checking the integrity of the discovery message on its own does not prevent the UE from sending a Match Report due to requirements in TS 23.303 [5]. If such a Match Report is sent, then there is no security functionality involved.

Steps 16-19 refer to a Discoverer UE that has encountered a match.

1. If the Discoverer UE has either not had the DDNMF check the MIC for the discovered ProSe Response Code previously or the DDNMF has checked a MIC for the ProSe Response Code and the associated Match Report refresh timer (see step 18 for details of this timer) has expired, then the Discoverer UE sends a Match Report message to the DDNMF in the HPLMN of the Discoverer UE. The Match Report contains the UTC-based counter value with four least significant bits equal to four least significant bits received along with discovery message and nearest to the monitoring UE’s UTC-based counter associated with the discovery slot where it heard the announcement, and other discovery message parameters including the ProSe Response Code and MIC. The DDNMF checks the MIC.
2. The DDNMF in the HPLMN of the Discoverer UE may exchange an Auth Req/Auth Resp with the ProSe App Server to ensure that Discoverer UE is authorised to discover the Discoveree UE.
3. The DDNMF in the HPLMN of the Discoverer UE returns to the Discoverer UE an acknowledgement that the integrity check passed. It also provides the CURRENT\_TIME parameter, by which the UE (re)sets its ProSe clock. The DDNMF in the HPLMN of the Discoverer UE include the Match Report refresh timer in the message to the Discoverer UE. The Match Report refresh timer indicates how long the UE will wait before sending a new Match Report for the ProSe Response Code.
4. The DDNMF in the HPLMN of the Discoverer UE may send a Match Report Info message to the DDNMF in the HPLMN of the Discoveree UE.



**Figure 6.4.2.2-1: Model Brestricted discovery security procedure**

6.4.3 Evaluation

This solution supports integrity protection, confidentiality protection and replay protection of the discovery message.

As defined in TS 23.303[5], the User Identity, IMSI, is sent in the discovery request message. The proposed solution lacks details on how to protect the discovery request message, especially how to protect IMSI. There could be several solutions that can address IMSI protection (e.g., activation of the ciphering protection for the PC3 interface). Therefore, this solution needs to combine other solutions to make sure that IMSI is not sent in cleartext.

Editor’s Note: Further Evaluation is FFS

## 6.5 Solution #5: Protection of the PC3 interface using AKMA and TLS

### 6.5.1 Introduction

This solution describes how AF in AKMA TS 33.535 [7] can be used to generate the key to be used to protect the PC3 interface between the UE and the 5GDNNMF. This solution addresses key issue #10.

This solution can also be used with other AF’s used for ProSe services which are accessed in the user plane.

### 6.5.2 Solution details

This solution assumes that 5GDDNMF is a separate entity and not a functionality of the PCF. This solution assumes that the 5GDDNMF takes the role as the AF in AKMA and uses AKMA procedures as defined in TS 33.535 [7] to generate a symmetric key in the UE and the AF.

This solution proposes to use TLS 1.3 with PSK authentication as described in RFC 8446 [11].

The signaling flow in clause 6.5.2-1 describes the establishment of TLS 1.3 with PSK authentication.

There is no separate authentication of the UE to support AKMA functionality. Instead, it reuses the 5G primary authentication procedure executed e.g. during the UE Registration to authenticate the UE. A successful 5G primary authentication results in KAUSF being stored at the AUSF and the UE.

The AUSF generates KAKMA from KAUSF and generates a A-KID which is mapped to the new generated KAKMA and pushes the KAKMA and A-KID to the AAnF.



Figure 6.5.2-1: Procedure for security protection of the PC3 interface between the AF (e.g. 5GDDNMF) and the UE

Step 1) The UE mutually authenticates with and registers in the 5GC. As part of the UE authentication with the 5GC, the UE and the AUSF store a KAUSF. Additionally, the UE and the AUSF generate AKMA Key material (i.e. KAKMA and A-KID) and the AUSF sends this material to the AAnF as specified in the AKMA TS 33.535 [7]. The UDM will indicate whether the UE is allowed to use AKMA services. The PCF (or some other network function) provides the AF address (e.g 5GDNNMF address) to the UE and the UE establish PDU session with the network.

Step 2a) The UE initiates TLS 1.3 with PSK authentication with the AF server as described in RFC 8446 [11. The UE sends Client Hello where the ClientHello contains a pre\_shared\_key extension containg a PSK identity formatted from A-KID and 3GPP-akma hint together with a psk\_key\_exchange\_modes extension indicating e.g. psk\_dhe\_ke.

The following steps in 2 b)-c) are part of AKMA procedures defined in TS 33.535 [7].

Step 2b) The AF server contacts the AAnF with the A-KID.

Step 2c) The AAnF looks up the KAKMA key using the A-KID and generates a KAF key from the KAKMA key.

Step 2d) The AAnF server responds with the KAF key and the expiration time for the KAF key to the AF.

Step 2e) The AF server responds with a Server Hello with a pre\_shared\_key extension indicating the chosen PSK identity.

Step 2f) The UE generates KAF from KAKMA.

Step 2g) The UE responds with a Finished message.

Step 3 The UE and the AF server can exchange application data over a secured link.

### 6.5.3 Evaluation

Editor’s Note: Each solution should motivate how the potential security requirements of the key issues being addressed are fulfilled.

SA2 has adopted the user plane architecture described in TR 23.752 [2].

This solution resolves key issue #10 and can secure PC3 interface using AKMA which is specified in TS 33.535 [7] in Rel-17.

## 6.6 Solution #6: Key management for UE-to-Network Relays and Remote UE’s

### 6.6.1 Introduction

This solution describes how an AF in the user plane provisions security keys to the Remote UE and the UE-to-network relay, to be used for PC5 communication over PC5 interface between a Remote UE and a UE-to-NW Relay. This solution addresses key issue#4.

The AF could be a new key management function for example similar to the PKMF (ProSe Key Management Function) defined for in TS 33.303 [6] which is accessed in the user plane.

This solution assumes that the Remote UE and the UE-to-network relay belongs to the same home PLMN and are able to access the same AF used for PC5 key management.

Solution #6 [in step 9] is originally based on solution #1 [in step 6]  where the Remote UE calculates a message authentication code (MAC) over the included ProSe parameters and includes the MAC in the Direct Communication Request to the UE-to-network relay. The difference between solution #1 and solution #6 is that the key management for security key used for PC5 communication takes place in user plane in solution #6 but in solution #1 it takes place in the control plane. Also in solution #1, the key for PC5 communication is generated from Kausf but this is not the case in solution #6.

Editor’s note: This solution works when the UE is in 3GPP coverage. The use case when the Remote UE is out of coverage is FFS.

Editor’s note: The solution needs to describe how the solution can work when Remote UE has been authorized by the network to use the ProSe services but it has never connected with the AF before i.e. when UE has no security connection with AF.

### 6.6.2 Solution details

The Remote UE needs to retrieve the address to the AF(s) from the network when it wants to act as a Remote UE.



Figure 6.6.2-1: Procedures for key management in ProSe UE-to-Network Relay

Step 1) The Remote UE gets authenticated and authorized by the network to act as a Remote UE. The Remote UE mutually authenticates with and registers in the 5GC. The network provides the AF address to the Remote UE for the AF used for key management for PC5 communication in ProSe UE-to-Network Relay and the Relay Service Code. The AF of the Remote UE could be e.g. a 5GDDNMF function or a new key management function similar to the PKMF (ProSe Key Management Function) defined for public safety in TS 33.303 [6]. The Remote UE establish a PDU session with the network.

NOTE: How the Remote UE and UE-to-network relay receives the address of the AF-1(Remote UE) used for ProSe key management located in its home PLMN and the Relay Service Code, and which function provides these parameters to the Remote UE is for SA2 to decide.

Editor’s note: When Remote UE gets the Relay service code will be decided by SA2, the solution needs updated after SA2 concluded.

Step 2) The Remote UE retrievs a discovery key for discovery of a UE-to-network relay from the network. The Remote UE sends a Key request message in order to be able to discover a UE-to-network relay. The AF provides a Relay Discovery key together with a Relay Discovery key ID in the Key response message to the Remote UE.

Step 3) The Remote UE establishes a secure connection with the AF server. As this connection is established in the user plane, the same mechanism as used to protect the PC3 interface can be re-used. Either solution #5 or solution #11 can be used for securing the connection.

Step 4) The Remote UE sends a Key request message for PC5 communication with a UE-to-network relay to the AF. The Remote UE includes the Relay Service Code and the Remote UE ID in the Key request message.

Editor’s note: It’s FFS whether the Remote UE ID consists of one or more of the following parameters: ProSe application id, ProSe application user id and/or GPSI of the Remote UE.

Step 5) The AF generates a KPC5 key and provides the KPC5 key and a KPC5 key ID in the Key response message to the Remote UE to be used for PC5 communication with a UE-to-network relay.

Step 6) The UE-to-network relay gets authenticated and authorized by the network to act as a UE-to-network relay.

Step 7) The UE-to-network relay retrievs a discovery key for UE-to-network relay discovery from the network. The UE-to-network relay sends a Key request message and the network provides a Relay Discovery key together with a Relay Discovery key ID in the Key response message to the UE-to-network relay.

Editor’s note: For step 6) and step 7), if UE-to-network relay and the Remote UE support the same application they will connect to the same AF.  Different relays do not need to be connected to the same AF, it depends on the application. This needs to be further clarified in the solution. Also, it needs to be clarified how all the potential relay candidates get authorized.

Step 8) UE-to-network relay discovery is taken place on PC5 interface using either model A or model B discovery.

Step 9) When the Remote UE and the UE-to-network relay discovery have discovered each other, the Remote UE sends a Direct Communication Request on PC5 interface. The Remote UE generates a Nonce\_1. The Remote UE includes the KPC5 key ID received from the AF together with a Relay Service Code, the Remote UE ID, Nonce\_1 and the address of the AF used for key management for PC5 communication. The KPC5 key ID indicates the KPC5 key which the Remote UE want to use to get relay connectivity. The Direct Communication Request contains the Relay Service Code that the Remote UE would like to access. The Remote UE generates a freshness parameter Nonce-1. The Remote UE calculates a message authentication code (MAC) over the included ProSe parameters using the KPC5 key and the Nonce-1 and includes the message authentication code (MAC) in the Direct Communication Request.

Editor’s note: The details on how to calculate the MAC in step 9 is FFS.

Step 10) The UE-to-network relay establishes a secure connection with the AF server. As this connection is established in the user plane, the same mechanism as used to protect the PC3 interface can be re-used. Either solution #5 or solution #11 can be used for securing the connection.

Step 11) The UE-to-network relay sends a Key request message for PC5 communication with a Remote UE to the AF using the address of the AF received from the Remote UE over PC5 interface. The Key request message includs the KPC5 key ID, the Relay Service Code, Nonce\_1, the Remote UE ID, the UE-to-network relay ID and the MAC.

Step 12) The AF authenticates the Remote UE by verifying the message authentication code (MAC) using the KPC5 key identified by the KPC5 key ID and the Nonce-1. The AF checks the context of the Remote UE to confirm whether it can connect to the network via the selected ProSe UE-to-network Relay for the given Relay Service Code. The AF checks if the Remote UE and the UE-to-network relay are allowed to communicate by checking the Remote UE ID and the UE-to-network relay ID. Step 13) If the AF confirms the Remote UE can connect to the network via the selected ProSe UE-to- network Relay, the AF generates a new freshness parameter (i.e. KPC5-COM freshness parameter). The AF generates a new key KPC5-COM from at least the KPC5 key, Nonce\_1, Relay Service Code and the new KPC5-COM freshness parameter. The generation of the KPC5-COM key can be done in a similar was as described in Annex A.7 in TS 33.303 if PRUK is replaced by KPC5 key.

Step 14) The AF sends the KPC5-COM key, Remote UE ID and the KPC5-COM freshness parameter to the UE-to-network relay in the Key response message.

Step 14a) The UE-to-network Relay generates a Nonce\_2. The UE-to-network Relay generates the KSESS key for PC5 communication from the KPC5-COM key received from the AF-1 (Remote UE) and the Nonce\_2.

Step 15) The UE-to-network Relay initiates a Direct Security Mode Command integrity protected with the KSESS key for PC5 communication. The UE-to-network Relay includes the KPC5-COM key ID, KPC5-COM freshness parameter together with calculated MAC and the Nonce\_2 in the Direct Security Mode Complete message.

Editor’s note: The details on how to calculate the MAC in step 15 is FFS.

Editor’s note: The differences on how MAC in step 9 and step 15 are calculated needs to be clarified.

Step 16) The Remote UE derives the KPC5-COM key in the same way as the AF in step 13 using the KPC5-COM freshness parameter and then generates the KSESS key from the KPC5-COM key and Nonce\_2.

Step 17-18) The Remote UE processes the Direct Security Mode Command by verifying the MAC using the generated KSESS key. If this verification is successful, the Remote UE responds with a Direct Security Mode Complete message and the Remote UE and UE-to-network relay can start to exchange user data.

### 6.6.3 Evaluation

## 6.7 Solution #7: Security establishment of one-to-one PC5 communication

### 6.7.1 Solution overview

This solution addresses the Key Issue #12: Security of one-to-one communication over PC5.

The initiating UE initiates the one-to-one communication establishment procedures to the receiving UE and the security-related information (e.g. security protection methods, security algorithms, keys if applicable, etc) are confirmed or created during the one-to-one communication establishment procedures.

The one-to-one communication establishment starts with a Direct Communication Request (DCR) message to send the initiating UE’s security capabilities and to trigger the mutual authentication. In order to perform the Direct Communication Request, the ProSe one-to-one communication may happen after discovery procedures, or after one-to-many ProSe communications. After DCR and mutual authentication, the Direct Security Mode Command and the Direct Security Mode Complete messages are emitted to inform the selected security protection algorithms for the connection and the initiating UE’s user plane security policies (i.e. user plane confidentiality and integrity protection policies), respectively. Finally, the receiving UE replies a Direct Communication Accept (DCA) message to confirm the user plane protection methods and finish the one-to-one communication establishment procedures.

### 6.7.2 Solution details

Initiating UE

Receiving UE

2. Direct Communication Request ( Initiating UE's security capabilities )

3. Direct Auth and Key Establishment

4. Direct Security Mode Command( Chosen\_algs, Initiating UE's security capabilities )

5. Direct Security Mode Complete (Initiating UE's user plane security policies)

1. Discovery Procedures, or One-to-Many Communication

6. Direct Communication Accept ( User plane security indication )

0. ProSe Parameter pre-configuration and previsioning

Figure 6.7.2-1 Procedures for one-to-one communication security establishment over PC5

0. ProSe security-related parameter (for one-to-one secure communication over PC5) pre-configuration and previsioning, the signalling messages are integrity protected and the signalling ciphering protection is a configuration option.

NOTE: Step 0 is done only in coverage. After step 0, the following steps 1-6 are done either within coverage or out-of-coverage.

1. Discovery procedures or after one-to-many ProSe communications for getting initial parameters (e.g. L2 IDs).

2. The initiating UE starts Direct Communication Request (DCR) message contains and the initiating UE’s security capabilities. The initiating UE’s security capabilities are the confidentiality and integrity protection algorithms that the initiating UE accepts for this connection.

3. The receiving UE may initiate the Direct authentication and key establishment procedures with the initiating UE.

4. The receiving UE uses the Chosen\_algs to indicate the selected confidentiality and integrity protection algorithms of this link and contains the Chosen\_algs in the Direct Security Mode Command message. The initiating UE’s security capabilities are sent back to the initiating UE to mitigate the bidding down attack. The receiving UE integrity protects the Direct Security Mode Command message before sending it to the initiating UE.

5. The initiating UE sends its user plane security policies to the receiving UE by using Direct Security Mode Complete message.

6. The receiving replies the Direct Communication Accept message to accept the DCR message and one-to-one communication establishment including the user plane security indication. The user plane security protection methods (the user plane confidentiality protection activated or not, and the user plane integrity protection activated or not) are explicitly indicated by using user plane security indication.

NOTE: The privacy protection of entities is not addressed in this solution.

### 6.7.3 Evaluation

The Solution #7 addresses the first seven security requirements of key issue #12. The mutual authentication between two UEs during one-to-one communication is supported in step 3. MitM attacks during link establishment and bidding-down attacks are mitigated by mandatory activation of the signalling integrity protection. The system supports providing the signalling and user plane security policies to UEs for a particular PC5 one-to-one communication in step 0. According to the step 0 and 5, PC5 signalling and user plane confidentiality protection, integrity protection and anti-replay protection are assumed to be supported by the system as they can be negotiated or pre-configured to be activated.

This solution requires network coverage for pre-configuration and provisioning of the ProSe security-related parameters.

The privacy protection is not addressed in this solution.

## 6.8 Solution #8: Confidential protection against UE-to-UE relay using asymmetric cryptography

### 6.8.1 Introduction

This solution is targeting to address key issue#6, which is proposing that the communication via the UE-to-UE Relay between source UE and target UE should be confidentially and integrity protected. This solution proposes a method to protect the communication between source UE and target UE using asymmetric cryptography. To be more specific, the Source UE and Target UE use their public key and private key to confidentially protect the communication.

The authentication between source UE and target UE is not included in this solution.

### 6.8.2 Solution details

#### 6.8.2.1 Procedure

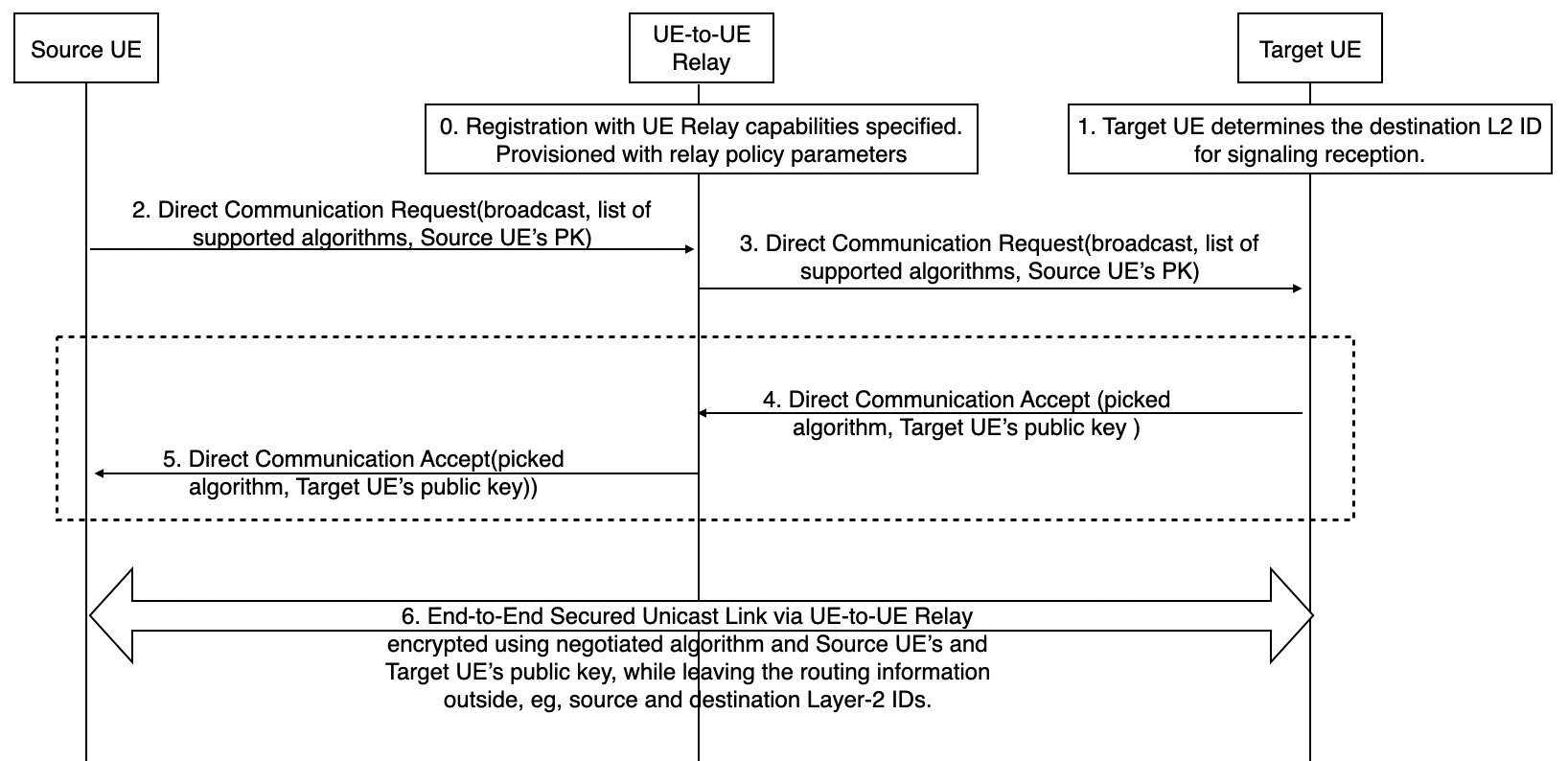


Figure 6.8.2.1-1: Security protection via UE-to-UE Relay using asymmetric cryptography

The connection establishment procedure is based on TR 23.752 [2], Clause 6.9. However, the security procedure below is not limited to one connection establishment procedure defined in TR 23.752 [2].

The security procedure details are as following:

0. UE-to-UE Relay registers with the network and specifies its UE-to-UE Relay capabilities. UE-to-UE Relay is provisioned from the network with relay policy parameters and with a unique Relay identifier (RID). The assumption in this solution is source UE and target UE are presumed already authenticated.

1. The target UE determines the destination Layer-2 ID for signalling reception for PC5 unicast link establishment as specified in TS 23.287 [9] clause 5.6.1.4. The destination Layer-2 ID is configured with the target UEs as specified in TS 23.287 [9] clause 5.1.2.1.

2. On the source UE, the application layer provides information to the ProSe layer for PC5 unicast communication (e.g. broadcast Layer-2 ID, ProSe Application ID, UE's Application Layer ID, target UE's Application Layer ID, relay applicable indication), as specified in TS 23.287 [9] clause 6.3.3.1. ProSe layer triggers the peer UE discovery mechanism by sending a broadcast Direct Communication Request message. The message is sent using the source Layer-2 ID and broadcast Layer-2 ID as destination, and includes other parameters related to the application offered. Source UE should also include 2 IEs, which are list of source UE’s supported algorithms and the source UE’s public key.

3. The UE-to-UE Relay receives the broadcast Direct Communication Request message and verifies if it's configured to relay this application, i.e. it compares the announce ProSe Application ID with its provisioned relay policy/parameters and, if it matches, the UE-to-UE Relay assigns itself a Relay-Layer-2 ID (e.g. R-L2 ID-a) for source UE (i.e. related to source UE 's L2 ID).The UE-to-UE Relay proceeds in forwarding the broadcast Direct Communication Request message, which includes list of source UE’s supported algorithms and the source UE’s public key, received from the source UE.

4.Target UE is interested in the announced application thus, target UE will check whether it could support the security algorithms in the list of source UE’s supported algorithms, if yes, then it sends the Direct Communication Accept message to source UE, including the chosen algorithm, and also target UE’s public key.

5. UE-to-UE Relay forwarded the Direct Communication Accept message to source UE.

6. An "extended" unicast link is established between source UE and target UE, via the UE-to-UE Relay. The extended link is secured end to end using source UE’s and target UE’s public key, while the routing information will be left in the clear.

Editor’s Note: it is FFS how to bind the public key with a specific UE and how to revoke public keys.

Editor’s Note: it is FFS whether and (if yes, then)how to protect the privacy of the routing information.

Editor’s Note: it is FFS on how to make sure the DCA message can be trusted.

Editor’s Note: public/private keys provisioning into the peer UEs is FFS

Editor’s Note: The solution is under the assumption that only a single (ProSe) application is supported.

### 6.8.3 Evaluation

TBD.

## 6.9 Solution #9: Key management in discovery procedure

### 6.9.1 Introduction

This solution addresses the key issue #2: Keys in ProSe discovery scenario.

This solution proposes to generate discovery root key from AUSF and the 5G DDNMF derives the discovery keys. At the UE side, UE generates both discovery root key and discovery keysl.

### 6.9.2 Solution details

In control plane architecture as illustrated in clause 4.1.1, a UE reaches the 5G DDNMF via AMF. The 5G DDNMF allocates the Prose APP code and gets the discovery root key from AUSF. The AUSF will generate the discovery root key based on the KAUSF. The 5G DDNMF will further generate discovery IK based on the discovery root key for open discovery and will further generate discovery IK and discovery CK for restricted discovery. The 5G DDNMF will send the key material to the UE via AMF. On UE side, the UE will generate the same keys as the network side based on the key material sent from the 5G DDNMF. The 5G DDNMF and AMF will relay on the security of SBI.

NOTE: the detail of SBI used between 5G DDNMF and AMF is not introduced in this solution.

In user plane architecture as illustrated in clause 4.1.2, a UE reaches the 5G DDNMF via user plane. The 5G DDNMF allocates the Prose APP code and gets the discovery root key from AAnF. The AAnF will generate the discovery root key based on the KAKMA as described in TS 33.535[7]. The 5G DDNMF will further generate discovery IK based on the discovery root key for open discovery and will further generate discovery IK and discovery CK for restricted discovery. The 5G DDNMF will send the key material to the UE via Ua\* protocol. On UE side, the UE will generate the same keys as the network side based on the key material sent from the 5G DDNMF

Editor’s Note: The details of key derivation for both CP and UP solutions are FFS.

### 6.9.3 Evaluation

This solution does not specifiy the protocol used between UE and 5G DDNMF.

## 6.10 Solution #10: Authorization and security with UE-to-Network relay using Remote UE network primary authentication

### 6.10.1 Introduction

The contribution proposes a solution to address the following Key Issues:

- KI #3: Security of UE-to-Network Relay

- KI #4: Authorization in the UE-to-Network relay scenario

- KI #9: Key management in 5G Proximity Services for UE-to-Network relay communication

This solution builds on top of common high-level principles from existing solution #46 and solution #47 specified in TR 23.752 [2]. These solutions address Remote UE and UE-to-Network authorization aspects in the case of L3 relay. This solution leverages a Remote UE primary authentication run to establish keys used to secure the PC5 link between the Remote UE and the UE-to-Network relay. If the Remote UE has already successfully performed a primary authentication with the network prior to connecting with the relay, the solution enables the Remote UE to reuse its 5G native security context to be authorized and establish a secure connection via the UE-to-Network relay.

This solution assumes that the Remote UE selects a relay based on the connectivity service (e.g., S-NSSAI, DNN) that the relay can provide. The Remote UE learns about the connectivity service as part of the discovery procedure. It is assumed that the relay's Allowed NSSAI includes the S-NSSAIs needed to provide the connectivity service. The UE-to-Network relay either has a PDU session or is able to establish a new one without having to request a S-NSSAI (same assumption as solution #6 in TR 23.752 [2]). Therefore, in the context of the connectivity service provided by the relay, the AMF serving the relay is always able to serve the Remote UE.

### 6.10.2 Solution details

#### 6.10.2.1 Connection with UE-to-Network relay using Remote UE network primary authentication via the UE-to-Network relay

The procedure for Authorization and security with UE-to-Network relay using Remote UE network primary authentication is depicted in Figure 6.10.2.1-1.



Figure 6.10.2.1-1: Procedure for Authorization and security with UE-to-Network relay using Remote UE network primary authentication

0. The Relay UE is registered and authorized to operate as a UE-to-Network relay.

1. The Remote UE sends a Direct Communication request message to the Relay UE. The Remote UE includes its SUCI in the message to request UE-to-Network relay service. The Remote UE also provides its security capabilities and security policy as in TS 33.536[8]. If the Remote UE is reconnecting to the same Relay UE and is already authenticated and authorized for relay communication via that Relay UE, it includes the Krelay ID (instead of SUCI) established during the previous connection using this procedure. If the Relay UE has the Krelay and Krelay ID it skips steps 2 to 12, otherwise the Relay UE rejects the connection request.

NOTE 1:Krelay and Krelay ID are reused during reconnection the same way as KRNP and KRNP ID in TS 33.536[8].

2. The Relay UE sends a NAS Relay Authorization request message to its serving AMF. The Relay UE includes the Remote UE's SUCI in the message.

3. The Relay UE's AMF checks that the Relay UE is authorized to act as a Relay based on subscription information obtained during Relay UE's registration

4-8. The Relay UE's AMF initiates Remote UE authentication with Remote UE's AUSF according to existing primary authentication procedures. The authentication messages are exchanged transparently via the Relay UE. Authentication messages between AMF and AUSF and AMF and Relay UE include an indication that it is for a relayed authentication i.e. to authenticate Remote UE via Relay UE.

Editor's note: Whether UDM is made aware that the Remote UE is being authenticated via a Relay UE is FFS.

9. Upon successful authentication of the network, the Remote UE derives a PC5 link root key Krelay and its Krelay ID from KAMF

NOTE 2:Krelay and its Krelay ID can be considered as equivalent to KRNP and KRNP ID in TS 33.536[8].

Editor's note: Details for how Krelay and Krelay ID are derived are FFS

10. Upon successful authentication of the Remote UE, Relay UE's AMF checks with Remote UE's UDM that Remote UE is authorized to use UE-to-Network relaying. Upon successful authorization check, Relay UE's AMF registers with Remote UE's UDM as its Relay's AMF, providing the Relay UE identity (SUPI or GPSI).

11. Relay UE's AMF derives a PC5 link root key Krelay and its Krelay ID from KAMF asperformed by Remote UE in step 9.

12. Relay UE's AMF sends a NAS Relay Authorization response message to the Relay UE. The Relay UE's AMF includes the PC5 link root key Krelay and its Krelay ID in the message. The Relay UE stores the key and its id and associates them with the PC5 link with Remote UE.

13. The Relay UE initiates PC5 link security establishment with Remote UE based on PC5 link root key Krelay. The Relay UE derives PC5 session key Krelay-sess from Krelay, and confidentiality and integrity keys from Krelay-sess the same way KNRP-sess is derived from KNRP, and confidentiality and integrity keys from KNRP-sess in TS 33.536[8]. The Relay UE integrity protects the Direct Security Mode Command and includes parameters as in TS 33.536[8]. The Relay UE includes the Krelay ID to indicate that the PC5 security establishment should be based on Remote UE's primary authentication run.

Editor's note: Details for key hierarchy used for PC5 link security above is FFS

14. The Remote UE checks that the received Krelay ID matches the one derived in step 9. If the provided key id matches, then the Remote UE proceeds with PC5 session, confidentiality, and integrity keys derivation using Krelay as the PC5 link root key as performed by the Relay UE. The Remote UE performs security checks of the Direct Security Mode Command message as in TS 33.536[8].

15. The Remote UE sends integrity and confidentiality protected Direct Security Mode Complete message to Relay UE as in TS 33.536[8].

16. Procedure continues as per L3 relay setup procedure as defined in TR 23.752 [2] (e.g., in step 3 in solution#6).

#### 6.10.2.2 Connection with UE-to-Network relay using the 5G native security context of the Remote UE

The procedure for Authorization and security with UE-to-Network relay using the 5G native security context of the Remote UE is depicted in Figure 6.10.2.2-1.

 Figure 6.10.2.2-1: Procedure for Authorization and security with UE-to-Network relay using 5G native security context of Remote UE

0. The Remote UE has registered with the network and established a 5G native security context with a source AMF. The Relay UE is registered and authorized to operate as a relay.

1. The Remote UE performs a discovery procedure with a Relay UE and decides to connect with the Relay UE using its 5G native security context.

2. If the Remote UE is aware of the PLMN ID of the Relay UE's serving PLMN, the Remote UE verifies that its 5G native security context was established with the Relay UE's serving PLMN before sending its 5G-GUTI to the Relay UE. If the PLMN ID of the Relay UE's serving PLMN and the PLMN ID part in its 5G-GUTI are different, the Remote UE sends its SUCI instead (as described in clause 6.10.2.1, step 1). If the Remote UE is not aware of the PLMN ID of the Relay UE's serving PLMN, the Remote UE may choose to send no identifier (i.e. neither SUCI nor 5G-GUTI). If a 5G-GUTI is sent, the Remote UE sends a DCR message to the Relay UE including Remote UE's core network identity (e.g., 5G-GUTI), ngKSI identifying the KAMF being used, the Remote UE's NAS security capabilities. These parameters may be included in a message integrity protected using Remote UE's 5G native security context.

NOTE 1: Remote UE has the option to use the knowledge of Relay PLMN ID to select a Relay (e.g., select Relay 1 with same PLMN over Relay 2 with different PLMN). This option of PLMN ID based selection of Relay is to be confirmed with SA2.

3. If the request includes a 5G-GUTI, the Relay UE checks whether the PLMN ID of its serving PLMN and in Remote UE's 5G-GUTI are equal. If they are not equal, the Relay UE sends an Identity Request message to the Remote UE including Relay UE's serving PLMN ID, to obtain the Remote UE's identifier (SUCI or 5G-GUTI). If the Remote UE provides a SUCI in the Identity Response, Relay UE proceeds with the procedure as described in clause 6.10.2.1, from step 2. Otherwise, the Relay UE sends the Remote UE's 5G-GUTI and integrity protected message from Remote UE to its serving AMF (target AMF) in a NAS request message.

4. The target AMF checks that Relay UE is authorized to act as a relay.

5. The target AMF identifies the source AMF serving the Remote UE using the provided 5G-GUTI. If the source and target AMFs are different, the target AMF sends a request message to the source AMF to obtain security parameters for the Remote UE. The target AMF includes the integrity protected message from Remote UE and Remote UE's identity received from the Relay UE. The target AMF indicates that the access type and reason for the request are for relay access. If the source and target AMFs are the same (i.e., Remote UE has registered with target AMF), the target AMF retrieves the Remote UE’s context directly from its local storage instead.

6. The source AMF locates the Remote UE's security context using the received Remote UE's 5G-GUTI. The source AMF checks the integrity protection of the message from the Remote UE using the Remote UE's security context. If the security checks are successful, the source AMF derives a Krelay and Krelay ID from KAMF identified by the ngKSI. Alternatively, the source AMF may generate a new 5G security context. The source AMF sends a response message to the target AMF that includes the Remote UE SUPI. The message may include a Krelay and Krelay ID, a new 5G security context to be used for Remote UE with a KAMF change indication or current Remote UE's 5G security context, and Remote UE's context.

NOTE 2: In the first option, the Remote UE's registration remains with Remote UE's (source) AMF which provides Relay UE's (target) AMF with Krelay and Krelay ID (and SUPI). In the second option, the Remote UE context is transferred to the Relay UE's AMF, with a KAMF change (using the same mechanisms as in TS 33.501[14], clause 6.9.2.3.3). In that case, the target AMF derives Krelay and Krelay ID. Source AMF uses its local policy to determine whether to transfer the Remote UE context and perform horizontal key derivation. If the Remote UE’s 5G security context is moved from the source AMF to the target AMF, then if the Remote UE has simultaneously access to the 3GPP network then this connection with the source AMF (of Remote UE) will be dropped. Following the context transfer, source and target AMF registration update with UDM is handled according to existing AMF change mechanisms (see TS 23.502, clause 4.2.2.2.2).

NOTE 3: Whether Option 2 (with Remote UE context transfer to Relay's AMF) can be used is to be confirmed with SA2.

7. The target AMF checks from Remote UE's context (e.g., obtained from source AMF or locally) or with Remote UE's UDM (e.g., using SUPI provided by source AMF) for authorization to use the Relay UE. If not provided by the source AMF, the target AMF derives a Krelay and Krelay ID using Remote UE's security context.

Editor's note: Details for how Krelay and Krelay ID are derived are FFS.

8. The target AMF sends a NAS response message to the Relay UE that includes the Remote UE id (e.g., GPSI, SUPI), Krelay and Krelay ID. The message may include a KAMF change flag and new ngKSI if a new security context was generated by source AMF in previous step.

9. The Relay UE sends a Direct Security Mode Command message to the Remote UE that includes Krelay ID and, if provided by the target AMF, KAMF change flag and new ngKSI. The message is integrity protected using security key derived based on Krelay.

10. If the KAMF change flag is set, the Remote UE derives a new KAMF from the KAMF indicated by the value of ngKSI. The Remote UE derives a Krelay and Krelay ID from the existing KAMF or the newly derived KAMF. The Remote UE verifies the DSMC message security using security derived based on Krelay. A successful security verification indicates to the Remote UE that the Relay UE is authorized to provide the relay service for Remote UE.

Editor's note: When there is a KAMF change (option 2), how to deal with desynchronization of K\_AMF is FFS.

11. If the security verification is successful, the Remote UE sends a Direct Security Mode Complete message to the Relay UE with security protection (integrity, confidentiality) using security keys derived based on Krelay. The Relay UE verifies the Direct Security Mode Complete message security using security derived based on Krelay. A successful security verification indicates to the Relay UE that the Remote UE is authorized to use the relay service provided by Relay UE.

12. The Remote UE receives a DCA message completing the successful PC5 link establishment.

Editor's note: PC5 link security handling during changes of Relay UE's AMF and/or Remote UE re-authentication is FFS

Editor's note: Authorization revocation of Remote UE to use Relay is FFS

NOTE 4: The purpose of 5G GUTI reallocation is to preserve the privacy of the subscription temporary identifier. In the above procedure using first option (i.e., Remote UE context remains with source AMF), the Remote UE transmits its 5G-GUTI only once (in the DCR). In that case, 5G-GUTI re-allocation by source AMF over Uu is performed as per TS 33.501[14], clause 6.12.3. If the Remote UE has already a prior connection with the Relay it can send the Krelay ID (see 6.10.2.1 step 1) instead of 5G-GUTI. An attacker cannot track the Remote UE using the 5G-GUTI during communication with the Relay UE. Therefore, 5G-GUTI re-assignment is not necessary for this procedure for these scenarios.

Editor's note: How 5G-GUTI reallocation and Registration Update is performed when Remote UE is transferred to Relay’s AMF (option 2) is FFS.

### 6.10.3 Evaluation

## 6.11 Solution #11: Protection of the PC3 interface using GBA

### 6.11.1 Introduction

This solution addresses key issue #10.

This solution proposes to protect the PC3 interface thanks to the use of TLS with PSK, where the Pre-Shared Key is established thanks to GBA (Generic Boostrapping Architecture).

### 6.11.2 Solution details

This solution assumes that the 5GDDNMF takes the role of the NAF (Network Application Function) in GBA.

GBA (Generic Boostrapping Architecture), as specified in 3GPP TS 33.220 [12], is a generic mechanism enabling the establishment of shared keys between the UE and any Application Function (named Network Application Function in GBA description) thanks to 3GPP user authentication (AKA-based authentication).

The UE and an Application Function can establish a TLS tunnel using GBA-based secret as specified in clause 4 of 3GPP TS 33.222 [13]. Then, the UE and the Application Function can exchange application data over the secure tunnel.

Consequently, the UE and the 5GDDNMF can exchange applicative data thanks to TLS tunnel established with GBA-based-secret.

### 6.11.3 Evaluation

This solution addresses the requirement in Key Issue#10.

## 6.12 Solution #12: Privacy handling for Layer-3 UE-to-UE Relay based on IP routing

### 6.12.1 Introduction

This solution addresses Key Issue #8: Privacy of information over the UE-to-UE Relay and describes operations of the 5G ProSe UE-to-UE Relay in support of privacy.

A Source UE (UE1) communicating with a Target UE (UE2) over PC5 unicast links, via a UE-to-UE Relay, may need to change its IP address/prefix and/or other identifiers, e.g., for privacy reasons.

When a Source UE changes its IP address/prefix, its Target UE must be informed of UE1’s new IP address/prefix since communication between UE1 and UE2 is IP-based. Furthermore, the UE-to-UE Relay must as well be informed of UE1’s new IP address/prefix and/or other new identifiers since communication between UE1 and the UE-to-UE Relay is over a PC5 unicast link and the UE-to-UE Relay also handles the IP routing of messages exchanged between the peer UEs. Moreover, since the PC5 unicast link used by UE1 is established with the UE-to-UE Relay, this UE-to-UE Relay also needs to update its identifiers at the same time as the Source UE.

Likewise, UE1 may be communicating with more than one Target UE over the PC5 unicast link via the UE-to-UE Relay. In that case, all Target UEs must be informed of UE1’s new IP address/prefix.

### 6.12.2 Solution details

Figure 6.12.2-1 illustrates the IP address/prefix change procedure between the Source UE (UE1), the Target UE (UE2), and the UE-to-UE Relay. The Link Identifier Update procedure defined in TS 23.287 [9] is reused between UE1 and the UE-to-UE Relay and a new procedure is defined between the UE-to-UE Relay and UE2 (i.e., Target UE(s)).

This solution applies to Layer-3 based Solution #10 and Solution #32 described in TR 23.752[2]. Since Solution #10 and Solution #32 described in TR 23.752[2] are similar, this proposed solution applies to both of them. The main difference between Solution #10 and Solution #32 described in TR 23.752[2] is the proposed method of IP address/prefix allocation. In Solution #10, the UE-to-UE Relay allocates the IP address/prefix to the UE. In Solution #32, a link-local IP address that is assigned by the UE itself, is used and sent to the UE-to-UE Relay. Differences in the procedure detailed below are explained when needed.

As defined for the Layer-3 based Solution #10 and Solution #32 described in TR 23.752[2], each UE uses the same IP address with all its peer UEs which are connected to the same UE-to-UE Relay. UE1’s IP address is thus not associated or linked to UE2’s IP address thus UE2’s IP address cannot indirectly be used to track UE1 after the Link Identifier Update procedure is run between UE1 and the UE-to-UE Relay. For this reason, UE2 does not need to change its IP address at the same time as UE1. UE2 however needs to be informed of UE1’s new IP address since IP communication is used between UE1 and UE2.

For simplicity, Figure 6.12.2-1 and the detailed text below focus on the change of IP addresses/prefixes. However, it is understood that other identifiers that are updated and exchanged during the Link Identifier Update procedure as specified in TS 23.287 [9] are also included in this proposed procedure. For example, Layer-2 IDs (source/destination) and security information IEs are changed on the PC5 unicast link between UE1 and the UE-to-UE Relay although not shown in Figure 6.12.2-1.



Figure 6.12.2-1: Privacy handling for Layer-3 based UE-to-UE Relay

0) A PC5 unicast link is established between UE1 and the UE-to-UE Relay. Another PC5 unicast link is established between the UE-to-UE Relay and UE2. The Relay maintains a mapping table that includes its PC5 peer UEs’ user info, their IP addresses/prefixes, and associated PC5 unicast links. Both Source/Target UEs learn their peer UE’s IP addresses/prefixes via the Relay UE using DNS. IP data is exchanged between UE1 and UE2 via the UE-to-UE Relay over the PC5 unicast links. The UE-to-UE Relay handles the routing of IP packets to another PC5 unicast link based on the destination IP address/prefix.

1) UE1 is informed (e.g., via the application layer, timer expiration) that its IP address/prefix, Layer-2 ID, and possibly other identifiers (e.g., Application ID) must be changed. UE1 triggers the Link Identifier Update procedure, as defined in TS 23.287 [9], with some changes added for the support of UE-to-UE Relay.

2) UE1 sends a Link Identifier Update Request message to the UE-to-UE Relay over the PC5 unicast link, which includes a “new IP address needed” indication and its peer UE info (i.e., UE2 IP address, UE2 User Info), in addition to the usual parameters sent on the Link Identifier Update request message, e.g., Layer-2 ID and security info.

1. The “new IP address needed” indication is used when the UE-to-UE Relay assigns the IP address/prefix to its peer UEs, as defined in sol#10. If the UE uses a link-local IP address, as defined in sol#32, a new link-local IP address is self-allocated on UE1 and sent to the UE-to-UE Relay using the Link Identifier Update Request message.

3) UE-to-UE Relay assigns a new IP address/prefix to UE1 and saves it locally, while still preserving UE1’s current IP address/prefix. UE-to-UE Relay fetches UE2’s entry from its local table based on the peer UE info specified on the Link Identifier Update Request message. UE-to-UE Relay sends a new PC5 Relay Update Request message to UE2 (including UE1’s old IP address/prefix, UE1’s User Info, and UE1’s new IP address/prefix) to inform UE2 about UE1’s new IP address/prefix.

4) UE2 saves UE1’s new IP address/prefix. UE2 sends a new PC5 Relay Update Response message to UE-to-UE Relay including all parameters received on the PC5 Relay Update Request message to ACK them.

1. At this point, UE2 still sends/receives IP data using UE1’s old IP address/prefix until an IP packet using UE1’s new IP address/prefix is received. At that point, UE2 starts using UE1’s new IP address/prefix and forgets UE1’s old IP address/prefix.

5) UE-to-UE Relay sends a Link Identifier Update Response message to UE1 including UE1’s new IP address/prefix (and UE-to-UE relay new info e.g., new IP address/prefix, new Layer-2 ID, new security info).

6) UE1 saves its new IP address/prefix and UE-to-UE Relay new IP address/prefix and other new info. UE1 sends back a Link Identifier Update ACK message to the UE-to-UE Relay including all parameters received on the Link Identifier Update Response message. UE1 may start using its new IP address/prefix. The new Layer-2 IDs associated with the PC5 unicast link (i.e., for UE1 and UE-to-UE Relay, as well as new security info) are also used at that point. Once UE1 has received data using UE1’s new IP address/prefix, the old IP address/prefix may be released or deleted.

Editor’s Note: Whether IP address change for privacy is always needed is FFS.

### 6.12.3 Evaluation

## 6.13 Solution #13: Secondary Authentication for a Layer 3 Remote UE

### 6.13.1 Introduction

This solution addresses KI#4, Authorization in the UE-to-Network relay scenario. This solution especially describes how to perform the secondary authentication for Remote UE. It is necessary to develop a mechanism to support the secondary authentication for Remote UE in UE-to-Network Relay communication for the case where a DN requires the secondary authentication. Because the DN cannot distinguish whether a UE is connected directly to the 5GC or connected to the 5GC via UE to Network Relay, so the DN may block the Remote UE access if the network does not support secondary authentication for a Remote UE. There are two proposed solutions specified in the following clauses for the secondary authentication of Remote UE, where one is performed after PC5 link setup, and the other is performed before finishing the PC5 link setup. These solutions are only applicable for Layer 3 UE-to-Network Relay.

### 6.13.2 Solution details

#### 6.13.2.1 Secondary Authentication after PC5 link setup



Figure 6.13.2.1-1: Secondary authentication procedure for a Remote UE (after PC5 link setup)

1. During the Registration procedure, Authorization and provisioning is performed for the ProSe UE-to-NW relay(0a) and Remote UE(0b). When the Remote UE is not in the coverage, the Remote UE may use its preconfigured policy and parameter for PC5 discovery and communication to establish PC5 connection with a UE-to-Network Relay.

1. The ProSe 5G UE-to-Network Relay may establish a PDU session for relaying with default PDU session parameters received in step 0 or pre-configured in the UE-to-NW relay, e.g. S-NSSAI, DNN, SSC mode or PDU Session Type.

2. Based on the Authorization and provisioning in step 0, the Remote UE performs discovery of a ProSe 5G UE-to-Network Relay. As part of the discovery procedure the Remote UE learns about the connectivity service the ProSe UE-to-Network Relay provides.

3. The Remote UE selects a ProSe 5G UE-to-Network Relay and establishes a connection for One-to-one ProSe Direct Communication. When requesting UE-to-Network relaying over PC5, the Remote UE provides its Remote User ID (SUCI) to the 5G ProSe UE-to-Network Relay UE, which then triggers a Remote UE authorization procedure to validate if the Remote UE is authorized to access the network over the UE-to-Network Relay UE.

If there is no PDU session satisfying the requirements of the PC5 connection with the remote UE, e.g. S-NSSAI, DNN, QoS, UP security activation status, the ProSe 5G UE-to-Network Relay initiates a new PDU session establishment or modification procedure for relaying.

NOTE 1: The secondary authentication of the UE-to-Network Relay UE takes part of the general PDU session establishment.

NOTE 2: How the Remote UE is authorized to access the network over the UE-to-Network Relay UE is not part of this solution but it is assumed that the AMF can get the Remote UE's SUPI during the authorization / authentication procedure using any solutions for KI#4 (e.g. Sol#10).

4. For IP PDU Session Type and IP traffic over PC5 reference point, IPv6 prefix or IPv4 address is allocated for the remote UE. From this point the uplink and downlink relaying can start.

5. The ProSe 5G UE-to-Network Relay sends a Remote UE Report (Remote User ID, IP info) message to the SMF for the PDU session associated with the relay. The SMF receives the Remote UE's SUPI from the AMF, obtained during the step 3.

6. When the SMF received Remote UE Report the SMF may retrieve subscription data of the Remote UE from the UDM and, based on local configuration of the SMF, may perform Secondary authentication/authorization for the Remote UE. The SMF sends PDU Session Authentication Command message to the 5G ProSe UE-to-Network Relay including Remote User ID.

NOTE 3: The local configuration of the SMF is set by the operator. If it indicates that secondary A&A is not required, the SMF does not perform secondary authentication/authorization for the Remote UE.

7. The 5G ProSe UE-to-Network Relay sends EAP message to the Remote UE via PC5 signalling. The Remote UE sends EAP message to the 5G ProSe UE-to-Network Relay via PC5 signalling.

NOTE 4: When a PDU session being shared by multiple UEs is revoked or changed due to any reason, how to handle this is not introduced in this solution.

8. The 5G ProSe UE-to-Network Relay sends PDU Session Authentication Complete message to the SMF including Remote User ID and EAP message received from the Remote UE.

9. The SMF sends EAP message to the DN-AAA.

10. The DN AAA server and the UE should exchange EAP messages, as required by the EAP method.

11. If the authentication/authorization success, the DN-AAA sends EAP-Success to the SMF.

12. If the authentication/authorization fails, the DN-AAA sends EAP-Failure to the SMF. The SMF sends NAS message (e.g. PDU Session Modification, Remote UE Release Command) to the 5G ProSe UE-to-Network Relay. The NAS message includes Remote User ID to indicate the Remote UE and the 5G ProSe UE-to-Network Relay releases the PC5 link with the Remote UE.

NOTE 5: It is possible to perform secondary authentication procedure in parallel when multiple Remote UEs are connected to the 5G ProSe UE-to-Network Relay almost at the same time.

NOTE 6: The DN-AAA does not know whether a UE is connected via 5G ProSe UE-to-Network Relay or connected directly to the network.

NOTE 7: The solution assumes that the used EAP method provides the necessary security (e.g., for user id privacy protection).

#### 6.13.2.2 Secondary Authentication before PC5 link setup



Figure 6.13.2.2-1: Secondary authentication procedure for a Remote UE (before PC5 link setup)

1. During the Registration procedure, Authorization and provisioning is performed for the ProSe UE-to-NW relay(0a) and Remote UE(0b). When the Remote UE is not in the coverage, the Remote UE may use its preconfigured policy and parameter for PC5 discovery and communication to establish PC5 connection with a UE-to-Network Relay.

1. The ProSe 5G UE-to-Network Relay may establish a PDU session for relaying with default PDU session parameters received in step 0 or pre-configured in the UE-to-NW relay, e.g. S-NSSAI, DNN, SSC mode or PDU Session Type.

2. Based on the Authorization and provisioning in step 0, the Remote UE performs discovery of a ProSe 5G UE-to-Network Relay. As part of the discovery

3. Remote UE establishes PC5 connection towards the 5G ProSe UE-to-Network Relay UE. When requesting UE-to-Network relaying over PC5, the Remote UE provides its Remote User ID (SUCI) to the 5G ProSe UE-to-Network Relay UE, which then triggers a Remote UE authorization procedure to validate if the Remote UE is authorized to access the network over the UE-to-Network Relay UE.

NOTE 1: The secondary authentication of the UE-to-Network Relay UE takes part of the general PDU session establishment.

NOTE 2: How the Remote UE is authorized to access the network over the UE-to-Network Relay UE is not part of this solution but it is assumed that the AMF can get the Remote UE's SUPI during the authorization / authentication procedure using any solutions for KI#4 (e.g. Sol#10).

4. The 5G ProSe UE-to-Network Relay UE sends PDU Session Establishment or PDU Session Modification Request to the SMF and provides the Remote UE ID of the Remote UE. The SMF receives the Remote UE's SUPI from the AMF, obtained during the step 3.

5. When the SMF received Remote User ID the SMF may retrieve subscription data of the Remote UE from the UDM and, based on local configuration of the SMF, may perform Secondary authentication/authorization for the Remote UE. The SMF sends PDU Session Authentication Command message to the 5G ProSe UE-to-Network Relay including Remote User ID.

NOTE 3: The local configuration of the SMF is set by the operator. If it indicates that secondary A&A is not required, the SMF does not perform secondary authentication/authorization for the Remote UE.

6. The 5G ProSe UE-to-Network Relay sends EAP message to the Remote UE via PC5 signalling. The Remote UE sends EAP message to the 5G ProSe UE-to-Network Relay via PC5 signalling.

NOTE 4: When a PDU session being shared by multiple UEs is revoked or changed due to any reason, how to handle this is not introduced in this solution.

7. The 5G ProSe UE-to-Network Relay sends PDU Session Authentication Complete message to the SMF including Remote User ID and EAP message received from the Remote UE.

8. The SMF sends EAP message to the DN-AAA.

9. The DN AAA server and the UE should exchange EAP messages, as required by the EAP method.

10. If the authentication/authorization success, the DN-AAA sends EAP-Success to the SMF.

11. The SMF sends PDU Session Establishment Accept or PDU Session Modification Command to accept Remote UE's request.

12. The 5G ProSe UE-to-Network Relay UE sends PC5 connection accept message to the Remote UE.

13. The ProSe 5G UE-to-Network Relay sends a Remote UE Report (Remote User ID, IP info) message to the SMF for the PDU session associated with the relay.

14. If the authentication/authorization fails, the DN-AAA sends EAP-Failure to the SMF.

15. The SMF sends PDU Session Establishment Reject or PDU Session Modification Command to the 5G ProSe UE-to-Network Relay UE to reject Remote UE's request.

16. The 5G ProSe UE-to-Network Relay UE rejects PC5 connection establishment.

NOTE 5: It is possible to perform secondary authentication procedure in parallel when multiple Remote UEs are connected to the 5G ProSe UE-to-Network Relay almost at the same time.

NOTE 6: The DN-AAA does not know whether a UE is connected via 5G ProSe UE-to-Network Relay or connected directly to the network.

NOTE 7: The solution assumes that the used EAP method provides the necessary security (e.g., for user id privacy protection).

### 6.13.3 Evaluation

The Solution #13 proposes to support secondary authentication of the Remote UE. With this solution the AAA server in the DN can authorize the Remote UE to give an access to the services using the PDU session of the L3 UE-to-NW Relay UE via PC5 link. In this solution the Remote UE and the UE-to-Network Relay UE exchange the EAP message via PC5 signalling and the UE-to-Network Relay UE relays the message between the Remote UE and SMF. SMF obtaining Remote UE SUPI is assumed to be performed as part of a procedure addressed in solutions for KI#4.

Performing the secondary authentication for the remote UE over L3 UE-to-NW Relay UE may increase both NAS and PC5 signalling compared to not performing but it can be performed only when it is needed based on the subscription of the Remote UE and local configuration of the SMF.

* Editor's Note: Further evaluation is FFS

## 6.14 Solution #14: A security solution for UE-to-Network Relay based on Layer 2 Relay

### 6.14.1 Introduction

This solution addresses Key Issue #3 on Security of UE-to-Network Relay. The solution is based on TR 23.752[2] solution #7 which is a Layer 2 Relay solution.

### 6.14.2 Solution details



Figure 6.14.2-1: UE-to-Network Relay solution for Layer2

0. If the Remote UE is in the coverage, the Remote UE perform initial registration to the network according to the registration procedure in TS 23.502[10]. If the Remote UE is not in the coverage, the Remote UE will perform the Initial Registration via the UE-to-Network Relay in step 7.

1. If in coverage, the Remote UE and UE-to-Network Relay UE independently get the service authorization for indirect communication from the network. If the Remote UE is not in coverage, the pre-configured information will be used.

2-3. The Remote UE and UE-to-Network Relay UE perform UE-to-Network Relay UE discovery and selection. For details of UE-to Network Relay discovery and selection for Layer2 UE-to-Network Relay see clause see clause 6.7.2.9 and Solution #19, Solution #41 of TR 23.752[2].

4. Remote UE initiate a one-to-one communication connection with the selected UE-to-Network Relay UE over PC5 using the solutions to address KI#12 in this document.

NOTE: when remote UE out of coverage, how to authorize the remote UE is addressed in key issue#4.

5. If the UE-to-Network Relay UE is in CM\_IDLE state, triggered by the communication request received from the Remote UE, the UE-to-Network Relay UE sends a Service Request message to its serving AMF. The relay UE transitions to the connected state by sending a service request.

6. The Remote UE initials AS connection with NG-RAN via the UE-to-Network Relay UE to establish AS Connection with the same NG-RAN serving the Relay UE.

7. The Remote UE sends a NAS message to the serving AMF. The NAS message is encapsulated in an RRC message that is sent over PC5 to the UE-to-Network Relay UE, and the UE-to-Network Relay UE forwards the message to the NG-RAN. The NG-RAN derives Remote UE's serving AMF and forwards the NAS message to this AMF.

If the Remote UE has registered to the network in step0, then the NAS message is integrity protected by using the NAS security context derived in step0, and the UE puts 5G-GUTI in the NAS message. Both the UE and AMFshould perform the procedures defined in TS 33.501[14].

If the Remote UE has not registered to the network, then the UE should send a NAS message with a SUCI and perform primary authentication with the Remote UE’s AMF. Both the UE and AMF should perform the procedures defined in TS 33.501[14].

8. Remote UE may trigger the PDU Session Establishment procedure as defined in clause 4.3.2.2 of TS 23.502 [10]. The user plane security between the Remote UE and the gNB should reuse the procedure defined in clause 6.6 of TS 33.501[14].

9. The data is transmitted between Remote UE and UPF via UE-to-Network Relay UE and NG-RAN. The UE-to-Network Relay UE forwards all the data messages between the Remote UE and NG-RAN using RAN specified L2 relay method.

### 6.14.3 Evaluation

The solution shows that L2 solution can reuse existing security mechanism in TS 33.501 [14].

## 6.15 Solution #15: Key management in UE-to-Network Relay based on primary authentication

### 6.15.1 Introduction

This solution addresses the KI #3, KI#4 and KI#9. This solution provides a mechanism setup a PC5 link security between a remote UE and UE-to-network relay based on primary authentication.

NOTE: Remote UE needs to be in coverage to request relay key from AUSF (i.e. NAS messages step1-6).

### 6.15.2 Solution details

#### 6.15.2.1 Procedure

The procedure for key management in UE-to-Network relay using primary authentication is depicted in Figure 6.15.2-1.

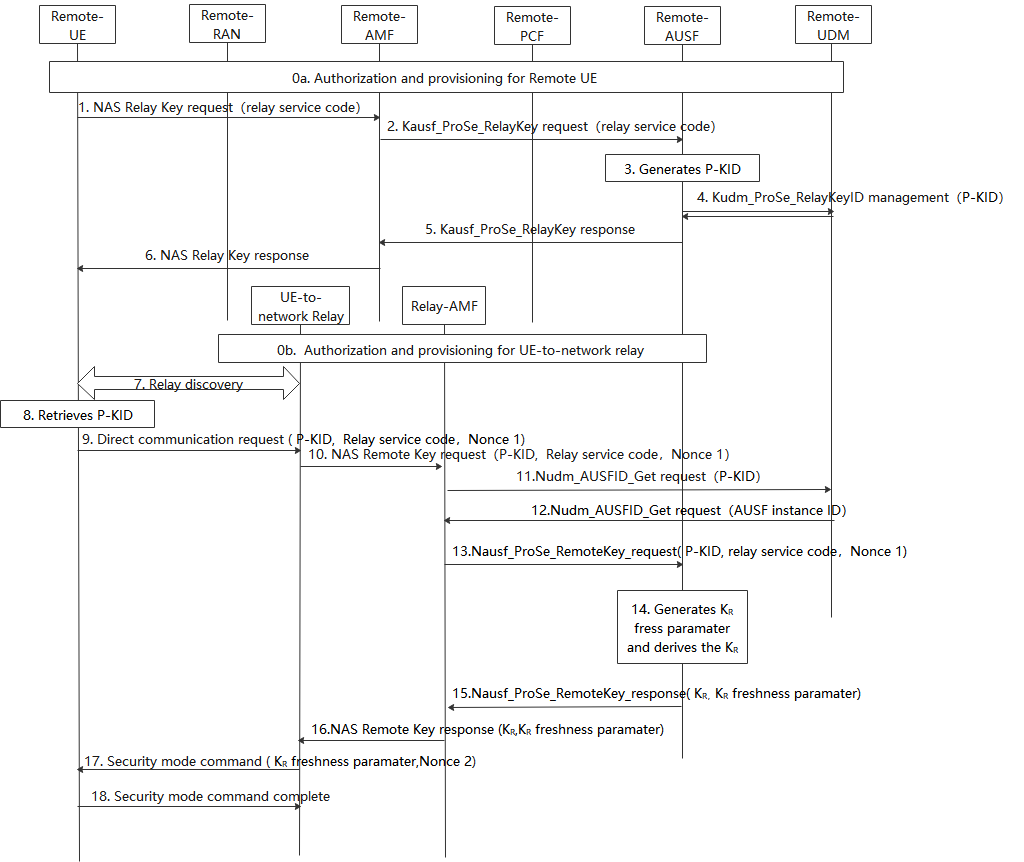


Figure 6.15.2-1: Procedures for key management in ProSe UE-to-Network Relay based on primary authentication

0. During Registration procedure, authorization and provisioning is performed for the Remote UE (0a) and ProSe UE-to-NW relay (0b).

1. The Remote UE sends a NAS relay Key request message with relay service code for PC5 communication with a UE-to-network relay.

2. After receiving the NAS relay key request from UE, the Remote AMF that serves the remote UE first checks whether the UE is authorized to be as a remote UE. If it is authorized, the Remote AMF further sends Nausf\_ProSe\_RelayKey request with relay service code.

3. The Remote AUSF that serves the remote UE generates P-KID for the relay service code in the Nausf\_ProSe\_RelayKey request.

P-KID is in NAI format as specified in clause 2.2 of IETF RFC 7542 [6], i.e. username@realm. The username part includes the Routing Identifier and the P-TID (ProSe Temporary UE Identifier), and the realm part includes Home Network Identifier.

The P-TID is derived from KAUSF as specified in 6.15.2.2.

4. The Remote AUSF further stores P-KID in UDM via Kudm\_ProSe\_RelayKey service.

5. The Remote AUSF sends Nausf\_ProSe\_RelayKey response to the AMF.

6. The Remote AMF sends NAS relay Key response to the UE.

Note: If the Kausf changes, the UE initiates step 1~6 to trigger the AUSF to derive the new P-KID and stores the new P-KID in the UDM.

7. The Remote UE discovers the UE-to-network Relay using either model A or model B discovery.

8. The Remote UE derives P-KID based on the relay service code the Remote UE would like to access using the same method as the remote AUSF.

9. The Remote UE sends a Direct Communication Request. The Direct Communication Request contains the Relay Service Code, P-KID and Nonce1.

10. The UE-to-Network relay triggers the PC5 root key (i.e PC5 root key for communicating with the remote UE) retrival procedure. The Relay sends NAS remote Key request with P-KID, Relay service code, Nonce 1.

11. The relay AMF that serves the relay UE first check whether the relay UE is authorized to be a relay. If authorized, The AMF discovers the UDM based on the P-KID, the relay AMF sends Nudm\_AUSFID\_Get request with P-KID to the UDM.

12. UDM response to relay AMF via Nudm\_AUSFID\_Get with AUSF instance ID of the AUSF serving remote UE.

13. AMF further sends Nausf\_ProSe\_RemoteKey request with P-KID, Relay service code, Nonce 1 to the remote AUSF.

14. The remote AUSF generates KR freshness parameter and derives KR using at least Kausf, relay service code,KR freshness parameter and Nonce 1 as input.

15. The remote AUSF sends Nausf\_ProSe\_RemoteKey response with KR, KR freshness parameter to the relay AMF.

16. The relay AMF sends NAS remote Key response KR, KR freshness parameter to the UE-to-network relay.

NOTE: This solution requires the integrity and confidentiality protected NAS messages.

17. The UE-to-network relay sends a Direct Security Mode Command message to the Remote UE, KR freshness parameter and Nonce 2 are included in the message.

18. The Remote UE derives KR key in the same way as the remote AUSF in step 14. The DSMC message is integrity and confidentiality protected as in TS 33.536[8].

#### 6.15.2.2 Derivation of P-TID

When deriving the P-TID from KAUSF, the following parameters are used to form the input S to the KDF:

- FC = xxxx(to be allocated by 3GPP);

- P0 = SUPI;

- L0 = length of SUPI.

- P1 = relay service code;

- L1 = length of relay service code.

The input key KEY is KAUSF.

SUPI has the same value as parameter P0 in Annex A.7.0 of TS 33.501 [2].

### 6.15.3 Solution Evaluation

TBD.

## 6.16 Solution #16: Security establishment procedures between two UEs in the UE-to-UE relay scenario

### 6.16.1 Introduction

This solution addressed the key issue #6 by establishing the security context between the two remote UEs. Generally, protection key negotiation procedures defined in TS 33.536 [8] eV2X will be reused as the baseline.

### 6.16.2 Solution details

This clause gives out the procedure for the security establishment.



Figure 6.16.2-1: Security establishment procedure between UE1 and UE2 in the UE-to-UE relay scenario

The procedure assumes the UE1 and UE2 has preconfigured with the shared key ID and Key (i.e. K-ID, Key).

Editor’s Note: what protocol is used for the e2e messages protection is FFS

Step 1: UE1 performs the discovery procedure based on the Model A or Model B. It is possible that two potential UE-to-UE relays that can be selected for the services between UE1 and UE 2 are identified.

Note X: As concluded in TR 23.752, althernative 2 (i.e. Model B) of solution #8 is selected for the normative work, in which if more than one candidate Relay UEs responding discovery response message, UE-1 can select one Relay UE based on e.g. implementation or link qualification.

Step 2: UE1 selects UE-to-UE relay1 to relay the following ProSe service data based on its local policy.

Step 3: (Optional) UE1 may establish the direct security establishment with the UE-to-UE relay1.

Step 4: (Optional) UE-to-UE relay1 may establish the direct security establishment with the UE2. Then the UE2 stores the UE-to-UE relay1 ID.

NOTE: Details on Step 1-4 can be found in the other solutions of this document.

Editor’s Note: how to protect messages over PC5 between the remote UE and the relay is FFS.

Step 5-6: UE1 sends the indirect communication request to UE2 via the UE-to-UE relay1, including the K-ID, UE1 security capability, UE1 ID, UE2 ID, UE-to-UE relay1 ID, a security info, where the security info can be used by the UE2 to verify the UE1 authenticity. The security info could be a message authentication code, which is generated by the Key, the UE1 ID, UE-to-UE relay1 ID, UE2 ID and a fresh parameter.

Editor’s Note: What type of ID is used as a UE or relay ID is FFS.

Step 7: UE2 verified the security info to assure the correctness of the indirect communication request message, may check that the received UE2 ID matches with its own identity, and may verify that the authenticity of UE-to-UE relay by comparing the received UE-to-UE relay1 ID in step 6, and the UE-to-UE relay1 ID stored in step8.

Step 8: UE1 and UE2 perform the indirect security mode command procedure to establish the security connection, including the protection key and security algorithms. Steps 4b and 5b of direct security mode complete procedures in TS 33.536 [8] clause 5.3.3.1.4.4 could be reused here for the indirect SMC between UE1 and UE2.

Step 9-10: UE2 sends indirect communication response message to the UE1.

The following data transferred between the two UEs could be protected based on the security connection estabhlished in the above.

### 6.16.3 Evaluation

TBD

6.17 Solution #17: Solution on securely creating destination Layer-2 ID in groupcast communication

6.17.1 Introduction

This solution addresses the KI #13 "Security and privacy of groupcast communication". This solution ensures that the group IDs and the L2 IDs are protected in tems of linkability, tracebility and privacy even when the groupcast security is not enabled. The group creation and management, including the group announcement message is the scope of application layer.

6.17.2 Solution details

The detailed solution is illustrated in Figure.

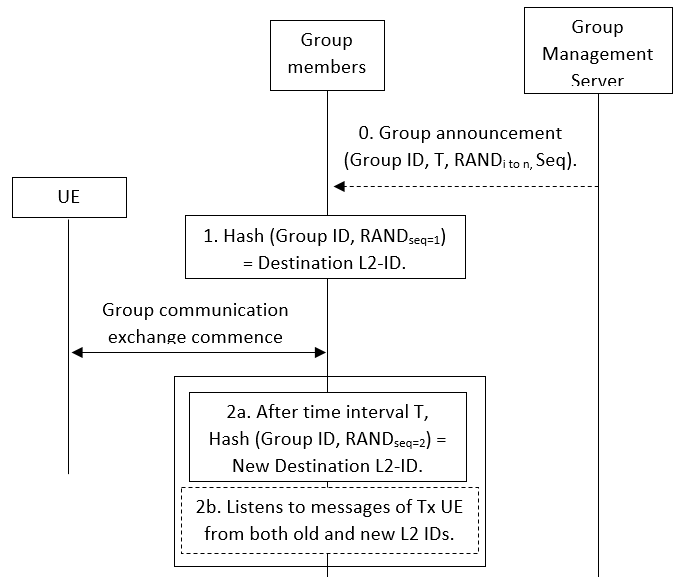


Figure 6.17.2-1: Procedure for secure conversion of application layer group ID to destination Layer-2 ID

0. Group Setup: Once the group is created, the group management server will send the application layer group ID to the associated UEs and a timer T. It will also send a set of random numbers and a specific sequence in which these random number are to be used. It is assumed that the application layer signalling is protected.

1. ID Conversion: All the UEs use the application layer group ID and the first random number according to the sequence as an input to a hash function to generate the destination Layer-2 ID.

2. ID Update: When the timer T expires, a new destination Layer-2 ID is calculated using the next random number according to the sequence. The UEs can listen to both old a new destination Layer-2 IDs, to avoid any time synchronization issues, for a certain period of time or until receives a message with the new ID.

The message 0 as presented in the figure is from the application layer specification. Hence the time synchronization for the members of the group while calculating the destination L2 IDs during the first conversion is not in the scope of this solution.

The destination Layer-2 ID is updated until the ProSe application layer changes the group ID.

The group management server can also send the corresponding materials to generate random numbers rather than sending the random numbers itself.

6.17.3 Evaluation

The solution fulfils the first security requirement outlined by key issue #13. The solution provides a mechanism to securely convert the group ID to destination L2 ID as well as preserve the privacy of the destination L2 ID by regularly updating it.

## 6.18 Solution #18: Authorization and PC5 link setup for UE-to-Network relay

### 6.18.1 Introduction

This solution addresses the KI #3 and the KI #4. This solution provides a mechanism to setup a PC5 link between a remote UE and UE-to-network relay. In addition, this solution describes how a Remote UE and UE-to-network relay get authorized by the ProSe Key Management Function and verify each other’s role. This solution assumes 5G Prose function and Prose Key Management Function as in LTE Prose. This solution only describes the PC5 link setup procedure that is common for both L2 and L3 UE-to-network relay.

### 6.18.2 Solution details



Figure 6.18.2-1: Authorization and secure PC5 link establishment procedure for UE-to-network relay

NOTE: In this solution, the remote UE needs to be provisioned with the discovery security materials and Remote User Key when it is in coverage. Also, those security materials are associated with an expiration time, after which they become invalid. When the security materials become invalid the Remote UE needs to be in coverage to obtain fresh ones to be able to connect via relay.

Editor’s Note: The architecture of this solution needs to be aligned with SA2.

0a. The Remote UE gets the discovery parameters and ProSe Key management function (PKMF) address from the 5G DDNMF.

NOTE x: The Remote UE may get multiple PKMF addresses for different PLMNs. If the Remote UE receives multiple PKMF addresses, it will contact each of PKMFs separately. The remote UE may contact those PKMFs directly or via the PKMF of its home PLMN.

0b. The Remote UE is authorized to receive UE-to-network relay service and gets the discovery security material from the PKMF

0c. The UE-to-network relay gets the discovery parameters and ProSe Key management function (PKMF) address from the 5G DDNMF.

0d. The UE-to-network relay is authorized to act as a relay and gets the discovery security material from the PKMF.

The remote UE and relay UE communicate with the PKMF via PC8 reference point (like in LTE Prose [6]). Security for PC8 interface relies on Ua security if GBA [12] is used or Ua\* when AKMA [7] is used.

NOTE y: For commercial services, the PKMF is located in the operator’s network. For Public Safety use cases, the PKMF can be managed by the Public Safety service provider.

1a. The Remote UE sends a Prose Remote User Key (PRUK) Request message to the PKMF of the UE-to-network relay.

1b. The ProSe Key Management Function checks that the Remote UE is authorised to receive UE-to-network Relay service. This is done by using the Remote UE’s identity that is bound to the keys that established the secure connection between the Remote UE and PKMF in step 0b. If the Remote UE is authorised to receive the service, the PKMF sends a PRUK and PRUK ID to the Remote UE.

2. The discovery procedure is performed between the Remote UE and the UE-to-network Relay using the discovery parameters and discovery security material.

Editor’s Note: The detail of discovery security material is FFS, and how it impacts on discovery procedure needs to be clarified

3. The Remote UE sends a Direct Communication Request that contain the PRUK ID, Relay Service Code (RSC) of the UE-to-network relay service and KNRP freshness parameter 1.

4a. The UE-to-network relay sends a Key Request message that contains PRUK ID, RSC and KNRP freshness parameter 1 to the PKMF.

4b. On receiving the Key Request message, the PKMF checks that the UE-to-network relay is authorized to act as a relay to the Remote UE. This is done by using the relay’s identity that is bound to the keys that established the secure connection between the relay and PKMF in step 0d. If the UE-to-network relay is authorized to provide the relay service, the PKMF generates KNRP freshness parameter 2 and derives KNRP using PRUK identified by PRUK ID, KNRP freshness parameter 1 and KNRP freshness parameter 2. Then, the PKMF sends a Key Response message that contains KNRP and KNRP freshness parameter 2 to the UE-to-network relay.

5a. The UE-to-network relay sends a Direct Security Mode Command message to the Remote UE (see 6.5.2.2). This message contains the KNRP Freshness Parameter 2 and protected based on the session key (KNRP-SESS) derived from KNRP. The Direct Security Mode Command message is integrity protected using the integrity protection key (KNRPIK) derived from the session key (KNRP-SESS).

Editor’s Note: How to support flexibility between remote UE and relay UE is FFS.

5b. The Remote UE derives KNRP from its PRUK, RSC, KNRP Freshness Parameter 1 and the received KNRP Freshness Parameter 2. It then derives the session key (KNRP-SESS) in the same manner as the UE-to-network relay and processes the Direct Security Mode Command. The Remote UE further derives the integrity protection key (KNRPIK) and encryption key (KNRPEK) from the session key (KNRP-SESS). Then, the Remote UE checks the integrity of the Direct Security Mode Command message. If the integrity check is successful, the Remote UE is assured that the UE-to-network relay is authorized to provide the relay service.

5c. The Remote UE responds with a Direct Security Mode Complete message to the UE-to-network relay. The Direct Security Mode Complete message is ciphered and integrity protected.

5d. On receiving and processing the Direct Security Mode Complete message, the UE-to-network relay checks the integrity of the Direct Security Mode Complete message. If the integrity check is successful, the UE-to-network relay is assured that the Remote UE is authorized to get the relay service.

6. The remote UE and UE-to-network relay continues the rest of procedure for the relay service over the secure PC5 link.

NOTE: The rest of procedure is determined depending on the UE-to-network relay types (i.e., L2 or L3 relay).

### 6.18.3 Evaluation

TBD.

## 6.19 Solution #19: End-to-end security for the L3 UE-to-Network relay

### 6.19.1 Introduction

This solution addresses the KI #3. This solution provides a mechanism to provide an end-to-end security between a remote UE and the network for Layer 3 UE-to-network relay.

### 6.19.2 Solution details

#### 6.19.2.1 Procedure



Figure 6.19.2.1-1: Secure PC5 link establishment procedure for UE-to-network relay

1. The Remote UE establishes a secure PC5 link with the UE-to-network (U2N) relay.

2. The remote UE performs a registration procedure to 5GC via N3IWF as specified in clause 7.2.1 of TS 33.501 [14]. The only difference from the untrusted non-3GPP access procedure is that the UE-to-network relay and the serving network of the UE-to-network relay take the role of untrusted access network. As a result of successful registration via the N3IWF, IPsec tunnel is established between the remote UE and N3IWF and all traffic between the remote UE and N3IWF is end-to-end protected.

3. The remote UE may establish a PDU session via N3IWF for the traffic that requires end-to-end security between the remote UE and 5GC.

Editor’s Note: It is FFS how Remote UE connects to N3IWF after step 1.

#### 6.19.2.2 Protocol Stack

The protocol stacks for remote UE’s control-plane and user-plane via N3IWF are shown in Figure 6.19.2.2-1 and 6.19.2.2-2 respectively.



Figure 6.19.2.2-1 Control-plane protocol stack



Figure 6.19.2.2-2 User-plane protocol stack

### 6.19.3 Evaluation

TBD.

## 6.20 Solution #20: PC5 link setup for UE-to-UE relay

### 6.20.1 Introduction

This solution addresses the KI #6. This solution provides a mechanism to setup a connection between remote UEs via the UE-to-UE relay. This solution is a L3 relay solution and assumes 5G Direct Discovery Name Management Function (DDNMF) and Prose Key Management Function (PKMF) as in LTE Prose. This solution only describes the PC5 link setup procedure between the remote UE and the UE-to-UE relay and end-to-end security setup between remote UEs.

### 6.20.2 Solution details



Figure 6.20.2-1:. Secure PC5 link establishment procedure for UE-to-network relay

NOTE: In this solution, the remote UEs and relay UE are assumed to be provisioned with the discovery security materials when they are in coverage. Also, those security materials are associated with an expiration time, after which they become invalid. When the security materials become invalid the Remote UE needs to be in coverage to obtain fresh ones to be able to connect via relay.

Editor’s Note: the detail of discovery security materials is FFS.

NOTE: This solution assumes a peer UE discovery mechanism (e.g., DNS based).

0. The Remote UEs and the UE-to-UE (U2U) relay get the discovery parameters and Prose Key management function (PKMF) address from the 5G DDNMF and the discovery security material from the PKMF respectively. Furthermore, the Remote UEs can be provisioned with the security materials for end-to-end security setup by the PKMF. For example, the security materials for end-to-end security setup include the Prose Service Code (PSC) and associated key. The service code may be used as a key ID when IKEv2 PSK based authentication is used.

1. Remote UE 1 performs the discovery procedure and PC5 unicast link setup procedure with the UE-to-UE relay.

a. The Remote UE performs discovery of a U2U relay.

b. The Remote UE sends a Direct Communication Request that includes Relay Service Code (RSC) and Nonce1.

c. Authentication and key agreement may be performed between the remote UE and U2U relay. As a result of successful authentication, KNRP is derived.

d. The U2U relay generates Nonce2 and derives KNRP-SESS using KNRP, Nonce1 and Nonce2. The U2U relay sends a Direct Security Mode Command that contains Nonce 2 to the Remote UE. The Direct Security Mode Command is integrity protected based on KNRP-SESS.

e. The Remote UE derives KNRP-SESS using KNRP, Nonce1 and Nonce2 and checks the integrity of the Direct Security Mode Command. If the verification is successful, the Remote UE sends a Direct Security Mode Complete to the U2U relay. From this point, all PC5 unicast traffic between the Remote UE and the U2U relay can be protected based on the KNRP-SESS.

Editor’s Note: How to support flexibility between remote UE1 and relay UE, and between Relay and Remote UE 2 are FFS.

NOTE x: For commercial services, the PKMF is located in the operator’s network. For Public Safety use cases, the PKMF can be managed by the Public Safety service provider.

2. Remote UE 2 performs the discovery procedure and PC5 unicast link setup procedure with the UE-to-UE relay in the same manner as Remote UE 1.

3. Remote UE 1 and Remote UE 2 can establish an end-to-end IPsec connection via U2U relay. To establish an end-to-end IPsec connection, Remote UE1 and Remote UE2 may perform IKEv2 authentication using the keying materials provisioned in step 0.

NOTE: Whether the end-to-end IPsec is needed is configured at the remote UEs by the PKMF.

### 6.20.3 Evaluation

This solution provides end-to-end confidentiality and integrity protection of commincation between the peer UEs over the UE-to-UE Relay at the IP layer.

This solution provides confidentiality and integrity protection of user-plane data and control-plane signaling between the remote UE and the UE-to-UE relay based on PC5 unicast security.

Editor’s Note: Further evaluation is FFS.

## 6.21 Solution #21: AF for key management in PC5 communication

### 6.21.1 Introduction

This solution describes how the Remote UE and the UE-to-network relay finds out the address of the common key management server (AF) to be able to communicate over PC5 interface. This solution addresses key issue#4.

This solution is for commercial services. The Remote UE and the UE-to-network Relay have no knowledge of each other beforehand.

The different layers of keys are the following:

* KPC5 key is a key with a key lifetime generated by the AF-1(Remote UE) and provisioned to the Remote UE. KPC5 key is not provisioned to the UE-to-network relay.
* KPC5-COM key is generated from the KPC5 key and is a key shared between the two entities (Remote UE and UE-to-network relay) that are communicating over PC5 unicast link. The KPC5-COM ID identified the KPC5-COM key.
* KSESS: This is a key that is derived by Remote UE and UE-to-network relay from KPC5-COM key and is used to protect the transfer of data between the Remote UE and UE-to-network relay. The actual keys (see next bullet) that are used in the confidentiality and integrity algorithms are derived directly from KSESS.
* KSESS-IK and KSESS-CK: The NR PC5 Encryption Key (KSESS-CK) and NR PC5 Integrity Key (KSESS-IK) are used in the chosen confidentiality and integrity algorithms respectively for protecting PC5-S signalling, PC5 RRC signalling, and PC5 user plane data. They are derived from KSESS and are refreshed automatically every time KSESS is changed.

### 6.21.2 Solution details

In this solution the Remote UE has an AF (AF-1) in it’s home PLMN for ProSe key management. The UE-to-network relay has an AF (AF-2) in its home PLMN for ProSe key management. These two AF’s (AF-1 and AF-2) can be located in the same or different PLMN’s and can communicate with each other. The AF in this solution could be similar to the PKMF in 4G ProSe (TS 33.303).

The Remote UE receives the Relay Service Code and the address of the AF in its home PLMN from HPLMN.

The UE-to-network Relay receives the Relay Service Code and the address of the AF in its home PLMN from HPLMN.

NOTE: How the address of the AF in its HPLMN and the Relay Service Code are provided to the Remote UE and UE-to-network relay are for SA2 to decide.

When the Remote UE has discovered a UE-to-network relay in its vicinity, it sends the address of the AF-1(Remote UE) explicitly on the PC5 interface to the UE-to-network relay.

The UE-to-network relay contacts the AF-1(Remote UE) via the AF-2(UE-to-network relay) (as described in Option 1 in Figure 6.21.2.1.-1) or the UE-to-network relay contacts the AF-1(Remote UE) directly (as described in Option 2 in Figure 6.21.2.1-2). Option 1 and Option 2 are described in clause 6.21.2.1

A third option (as described in Option 3 in Figure 6.21.2.2-1) could be that the Remote UE provides the *Remote UE ID* on PC5 interface to the UE-to-network relay and the UE-to-network relay uses the *Remote UE ID* to query the AF-1(Remote UE) address from its 5GDDNMF in it’s home PLMN. Option 3 is described in clause 6.21.2.2.

It is expected that the Remote UE has been provisioned with the Relay Service Code when it is in 3GPP coverage. The Remote UE must be authenticated and authorized by its home PLMN before its provisioned with the Relay Service Code. The Remote UE retrieves the discovery key and the key for PC5 communication while in 3GPP coverage.

The Remote UEs and UE-to-network relay are assumed to be provisioned with the discovery security materials when they are in 3GPP coverage. If the discovery keys expire when the Remote UE is not in 3GPP coverage, then the Remote UE needs to find a cell which provides 3GPP access as this solution requires Remote UE to be in 3GPP coverage in order to obtain discovery security material.

#### 6.21.2.1 Option 1 and option 2



Figure 6.21.2.1-1: AF for key management in PC5 communication

Step 0) The Remote UE retrieves the address of the AF-1(Remote UE) used for ProSe key management located in its home PLMN and the Relay Service Code.

The UE-to-network Relay retrieves the address of the AF-2 (UE-to-network Relay) used for ProSe key management located in its home PLMN and the Relay Service Code.

NOTE: How the Remote UE and UE-to-network relay receives the address of the AF-1(Remote UE) used for ProSe key management located in its home PLMN and the Relay Service Code, and which function provides these parameters to the Remote UE is for SA2 to decide.

Step 1) The Remote UE retrievs the discovery keys for discovery of the UE-to-network relay as described in solution #35 (Discovery procedures for UE-to-network relays).

Step 2) The Remote UE establishes a secure connection with the AF-1 (Remote UE) server. The Remote UE ID is authenticated and authorized by the AF-1 (Remote UE) server. As this connection is established in the user plane, the same mechanism as used to protect the PC3 interface can be re-used. Either solution #5 or solution #11 can be used for securing the connection.

Step 3a) The Remote UE contacts the AF-1(Remote UE) by initiating a Key Request message for PC5 communication including the Relay Service Code and the Remote UE ID. The AF-1(Remote UE) generates a KPC5 key and a KPC5 key ID.

NOTE: Whether the Remote UE ID consists of one or more of the following parameters: ProSe application id, ProSe application user id and/or GPSI of the Remote UE is for SA2 to decide

Step 3b) The AF-1(Remote UE) provides the KPC5 key and the KPC5 key ID in the Key Response message to the Remote UE to be used for PC5 communication with a UE-to-network relay.

Step 4) The UE-to-network relay retrievs the discovery keys for discovery of the Remote UE as described in solution #35 (Discovery procedures for UE-to-network relays)..

Step 5) The UE-to-network relay discovery is taken place on PC5 interface using either model A or model B discovery.

Step 6) The Remote UE sends a Direct Communication Request on PC5 interface. The Remote UE includes the address of the AF-1(Remote UE), the Nonce\_1, the Remote UE ID and the KPC5 key ID received from the AF-1 (Remote UE) together with the Relay Service Code.

When the Remote UE discovers a UE-to-network relay in its vicinity, it can send the address of the AF-1(Remote UE) explicitly on the PC5 interface to the UE-to-network relay.

One option could be that the Remote UE uses the discovery key to confidentiality protect the address of the AF-1(Remote UE) over PC5 interface due to privacy issues. This would imply that other Remote UE’s belonging to different PLMN’s and being authorized to access the same UE-to-network relay can decrypt and get access to the address of the AF-1(Remote UE). But these Remote UE’s should not succeed in establishing a secure connection to the AF-1(Remote UE).

A third option could be that the UE-to-network relay uses the *Remote UE ID* to query the AF-1(Remote UE) address from its 5GDDNMF in it’s home PLMN. The third option, Option 3, is described in sub-clause 6.21.2.2.

**Option 1:**

Step 7) UE-to-network Relay establishes a secure connection with the AF-2 (UE-to-network Relay) server. As this connection is established in the user plane, the same mechanism as used to protect the PC3 interface can be re-used. Either solution #5 or solution #11 can be used for securing the connection. The AF-1(Remote UE) needs to trust the AF2(UE-to-network Relay) to perform the authentication of the UE-to-network relay on behalf of the AF-1(Remote UE.

NOTE: There are business agreements between AF-1(Remote UE) and AF-2(UE-to-network relay) and therefore implicit trust in between AF-1(Remote UE) and AF-2(UE-to-network relay) so that AF-1(Remote UE) relies on AF-2(UE-to-network relay) having authenticated the UE-to-network relay.

Step 8) The UE-to-network Relay contacts the AF-2(UE-to-network Relay) and includes the address of the AF-1(Remote UE), the Relay Service Code, Nonce\_1, the Remote UE ID and the UE-to-network relay ID in the Key Request message for PC5 communication including the KPC5 key ID.

NOTE: Whether the UE-to-network relay ID consists of one or more of the following parameters: ProSe application id, ProSe application user id and/or GPSI of the Remote UE is for SA2 to decide

Step 9) The AF-2(UE-to-network Relay) contacts the AF-1(Remote UE) and forwards the Key Request message including the Relay Service Code, Nonce\_1, the Remote UE ID, KPC5 key ID and the UE-to-network relay ID to the AF-1(Remote UE).

NOTE: The interface between the AF-2(UE-to-network Relay) and the AF-1(Remote UE) is out of 3GPP scope.

Step 10a) The AF-1(Remote UE) needs to contact the 5GDDNMF(Remote UE) to check if the Remote UE and the UE-to-network relay are allowed to communicate with each other by checking the Remote UE ID and the UE-to-network relay ID.

Step 10b) If the 5GDDNMF(Remote UE) has not received the subscription information from the UDM, then the 5GDDNMF(Remote UE) contacts the UDM(Remote UE) to check if the Remote UE and UE-to-network relay are allowed to communicate with each other.

Step 10c) The UDM(Remote UE) provides the subscription information to the 5GDDNMF(Remote UE).

Step 10d) The 5GDDNMF(Remote UE) responds to the AF-1(Remote UE) whether the authorization is successful or not.

Step 11) If the AF-1(Remote UE) confirms the Remote UE can connect to the network via the selected ProSe UE-to- network Relay, the AF-1(Remote UE) generates a new freshness parameter (i.e. KPC5-COM freshness parameter). The AF generates a new key KPC5-COM key from at least the KPC5 key, Nonce\_1, Relay Service Code and the new KPC5-COM freshness parameter. The generation of the KPC5-COM key can be done in a similar was as described in Annex A.7 in TS 33.303 if PRUK is replaced by KPC5 key. The AF-1(Remote UE) includes the Remote UE ID, KPC5-COM freshness parameter, the KPC5-COM key ID and the KPC5-COM key in the Key Response message to the AF-2(Remote UE).

NOTE: The interface between the AF-2(UE-to-network Relay) and the AF-1(Remote UE) is out of 3GPP scope.

Step 12) The AF-2(UE-to-network Relay) forwards the Key Response message to the UE-to-network Relay.

**Option 2:**

Step 7) The UE-to-network Relay uses the address of the AF-1(Remote UE) and contacts directly the AF-1(Remote UE). The UE-to-network Relay establishes a secure connection with the AF-1 (Remote UE) server. As this connection is established in the user plane, the same mechanism as used to protect the PC3 interface can be re-used. Either solution #5 or solution #11 can be used for securing the connection. The UE-to-network relay is implicitly authenticated by the AF-1(Remote UE) server when establishing the secure connection.

Step 8) The UE-to-network Relay uses the address of the AF-1(Remote UE) and contacts directly the AF-1(Remote UE) by initiating a Key Request message for PC5 communication including the KPC5 key ID, the Relay Service Code, Nonce\_1, the Remote UE ID and the UE-to-network relay ID. The AF-1(Remote UE) checks if the Remote UE and the UE-to-network relay are allowed to communicate by checking the Remote UE ID and the UE-to-network relay ID.

Step 9a) The AF-1(Remote UE) needs to contact the 5GDDNMF(Remote UE) to check if the Remote UE and UE-to-network relay are allowed to communicate with each other.

Step 9b) If the 5GDDNMF(Remote UE) has not received this information earlier from the subscription in the UDM, then the 5GDDNMF(Remote UE) contacts the UDM(Remote UE) to check if the Remote UE and UE-to-network relay are allowed to communicate with each other.

Step 9c) The UDM(Remote UE) provides the subscription information to the 5GDDNMF(Remote UE).

Step 9d) The 5GDDNMF(Remote UE) responds to the AF-1(Remote UE) regarding whether the authorization is successful or not.

Step 10) If the AF-1(Remote UE) confirms the Remote UE can connect to the network via the selected ProSe UE-to- network Relay, the AF-1(Remote UE) generates a new freshness parameter (i.e. KPC5-COM freshness parameter). The AF generates a new key KPC5-COM key from at least the KPC5 key, Nonce\_1, Relay Service Code and the new KPC5-COM freshness parameter. The generation of the KPC5-COM key can be done in a similar was as described in Annex A.7 in TS 33.303 if PRUK is replaced by KPC5 key. The AF-1(Remote UE) includes the Remote UE ID, the KPC5-COM key, the KPC5-COM key ID and the KPC5-COM freshness parameter for PC5 communication identified by KPC5 key ID in the Key Response message to the UE-to-network Relay.

**Option 1 and Option 2:**

Step 13) The UE-to-network Relay generates a Nonce\_2. The UE-to-network Relay generates KSESS key from the KPC5-COM key and Nonce\_2. The UE-to-network Relay initiates a Direct Security Mode Command integrity protected with KSESS-IK key generated from the KSESS key. The UE-to-network Relay includes the KPC5-COM key ID and the KPC5-COM freshness parameter together with calculated MAC and the Nonce\_2 in the Direct Security Mode Command message.

Step 14) The Remote UE generates the KPC5-COM key in the same way as the AF-1(Remote UE) in step 11 in Option 1 using the KPC5-COM freshness parameter. The Remote UE then generates the KSESS key from the KPC5-COM key and Nonce\_2. The Remote UE checks the integrity of the received Direct Security Mode Command by verifying the received MAC using a KSESS-IK key generated from the KSESS key. If the verification is successful, then the Remote UE sends a Direct Security Mode Complete message which is integrity protected and encrypted using the KSESS-IK key and the KSESS-CK key.

Step 15) The UE-to-network Relay responds with a Direct Communication Accept on the PC5 interface.

#### 6.21.2.2 Option 3

A third option could be that the UE-to-network relay uses the Remote UE ID received from the Remote UE over PC5 interface to query the AF-1(Remote UE) address from its 5GDDNMF of Relay UE in it’s home PLMN.



Figure 6.21.2.2-2: AF for key management in PC5 communication

Step 6) The Remote UE sends a Direct Communication Request on PC5 interface. The Remote UE includes the Remote UE ID, Nonce\_1, Relay Service Code and the KPC5-COM key ID received from the AF-1 (Remote UE).

NOTE: Whether the Remote UE ID consists of one or more of the following parameters: ProSe application id, ProSe application user id and/or GPSI of the Remote UE is for SA2 to decide.

Step 6b) UE-to-network Relay establishes a secure connection with the 5GDDNMF of the Relay UE. As this connection is established in the user plane, the same mechanism as used to protect the PC3 interface can be re-used. Either solution #5 or solution #11 can be used for securing the connection.

Step 6c) The UE-to-network Relay contacts the 5GDDNMF of the UE-to-network relay and includes the Remote UE ID, KPC5-COM key ID, Nonce\_1, Relay Service Code.

Step 6d) The 5GDDNMF of the UE-to-network relay is using the Remote UE ID and the Relay Service Code to discover the address of the AF-1(Remote UE) and includes the address of the AF-1(Remote UE) in the response message to the UE-to-network Relay.

NOTE: The 5GDDNMF of the Relay UE can be pre-configured with the address of the AF-1(Remote UE) but this is for SA2 to decide. The 5GDDNMF of the Relay UE and the AF-2(UE-to-network Relay) can be co-located and the 5GDDNMF of the Remote UE and the AF-1(Remote UE) can also be co-located.

### 6.21.3 Evaluation

Editor’s Note: Each solution should motivate how the potential security requirements of the key issues being addressed are fulfilled.

## 6.22 Solution #22: Representation of identities during broadcast

### 6.22.1 Introduction

This solution addresses Key Issue #11, UE identity protection during ProSe discovery.

The solution is based on the idea to use representations of the UE identity during announcing (i.e. broadcasting) and monitoring (i.e. filtering). Using a representation enables resistance to tracing and impersonation of UEs.

The solution is described using the framework and terminology of ProSe Direct Discovery as described in TS 23.303 [5], i.e. for Model A discovery, the terms Announcing UE and Monitoring UE are used, and for Model B discovery, the terms Discoverer UE and Discoveree UE are used.

ProSE Direct Discovery procedure can partially work in out-of-coverage scenarios in the following sense: at the start of the discovery process, codes and filters are provided through a required connection with the 5GC. The connection with the 5GC can be direct or via a relay. After this step the discovery process can continue out of coverage.

Editor's note: It is FFS how to handle renewal of Codes and Filters in out-of-coverage scenarios.

### 6.22.2 Solution details

#### 6.22.2.1 Solution for Model A

The solution for Model A consists of the following components:

- The use of a Discovery Code (DC), representing the UE identity, that is broadcast by the Announcing UE for announcing its presence and its identity;

- The use of a Discovery Filter (DF), that can be used by Monitoring UEs for recognizing and identifying the Announcing UE.

The corresponding information flows are described in Figure 6.22.2.1-1 for the Announcing UE and in Figure 6.22.2.1-2 for the Monitoring UE.



Figure 6.22.2.1-1 Direct discovery flows for Announcing UE

The steps performed by the Announcing UE during direct discovery are as follows, see also Figure 6.22.2.1-1.

1. At the start of direct discovery the Announcing UE requests and receives from the 5GC a Discovery Code (DC) which is a representation of the UE identity; the DC originates in the 5G DDNMF in the 5GC and is carried in the Discovery Request message over the PC3 interface;

NOTE 1: It is implementation dependent how the 5GC assigns a DC to a particular UE; in its simplest form it can be (pseudo) random number; it also can be a hash applied to a UE identitier. If two distinct UEs are assigned the same DC, they will be indistinguishable during ProSe discovery.

NOTE 2: The DC is carried in the response to the Discovery Request message, which is protected according to the solutions for Key Issue #10.

2. The Announcing UE broadcasts its DC so that it can be discovered by other UEs; in case of restricted discovery only authorized UEs should be able to use the DC they receive.



Figure 6.22.2.1-2 Direct discovery flows for Monitoring UE

The steps performed by the Montoring UE during direct discovery are as follows, see also Figure 6.22.2.1-2.

1. At the start of direct discovery the Monitoring UE requests and receives from the 5GC a Discovery Filter (DF) which is a representation of the Discovery Code broadcast by Announcing UEs that can be used to check for a match; the DF originates in the 5G DDNMF in the 5GC and is carried in the Discovery Request message over the PC3 interface;

NOTE 3: The Discovery Filter is constructed in the same way as has been described for LTE based ProSe (e.g. in clause 4.6.4.2a of TS 23.303 [5]).

NOTE 4: The DF is carried in the response to the Discovery Request message, which is protected according to the solutions for Key Issue #10.

2. The Monitoring UE uses the DF in order to try to match it against any received DCs; in case of a match it has discovered the Announcing UE that it looked for.

#### 6.22.2.2 Solution for Model B

The solution for Model B consists of the following components:

- The use of a Query Code (QC), that is broadcast by the Discoverer UE for announcing its query in search for (one or more) Discoveree UEs; the Query Code both represents the (properties of the) Discoveree UE and the Discoverer UE;

- The use of a Query Filter (QF), that can be used by Discoveree UEs for recognizing the query sent by the Discoverer UE; it also can be used to identity the Discoverer UE (and based on that authorize the discovery of the Discoveree UE);

- The use of a Response Code (RC), that is broadcast by Discoveree UE for announcing its response to the query received from the Discoverer UE; the Response Code also represents the Discoveree UE;

- The use of a Response Filter (RF), that can be used by Discoverer UEs for recognizing the response sent by the Discoveree UE; it also can be used to identify the Discoveree UE.

The corresponding information flows are described in Figure 6.22.2.2-1 for the Discoverer UE and in Figure 6.22.2.2-2 for the Discoveree UE.



Figure 6.22.2.2-1 Direct discovery flows for Discoverer UE

The steps performed by the Discoverer UE during direct discovery are as follows, see also Figure 6.22.2.2-1.

1. At the start of direct discovery the Discoverer UE requests and receives from the 5GC a Query Code (QC) and a Response Filter (RF); the Query Code is a representation of the identity of the Discoverer UE and of the identity of the Discoveree UEs that it wants to discover; the Response Filter is a representation of the Response Code broadcast by Discoveree UEs that can be used to check for a match; the QC and RF originate in the 5G DDNMF in the 5GC and are carried in the Discovery Request message over the PC3 interface;

NOTE 1: The Response Filter is constructed in the same way as has been described for LTE based ProSe (e.g. in clause 4.6.4.2a of TS 23.303 [5]).

NOTE 2: The QC and RF are carried in the response to the Discovery Request message, which is protected according to the solutions for Key Issue #10.

2. The Discoverer UE broadcasts its QC so that it can be received by the Discoveree UEs that it looks for;

3. The Discoverer UE uses the RF in order to try to match it against any received RCs; in case of a match it has discovered a Discoveree UE that it looked for.



Figure 6.22.2.2-2 Direct discovery flows for Discoveree UE

The steps performed by the Discoveree UE during direct discovery are as follows, see also Figure 6.22.2.2-2.

1. At the start of direct discovery the Discoveree UE request and receives from the 5GC a Query Filter (QF) and a Response Code (RC); the Query Filter is representation of the Query Code broadcast by Discoverer UEs that can be used to check for a match; the Response Code is a representation of the identity of the Discoveree UE; the QF and RC originate in the 5G DDNMF in the 5GC and are carried in the Discovery Request message over the PC3 interface;

NOTE 3: The Query Filter is constructed in the same way as has been described for LTE based ProSe (e.g. in clause 4.6.4.2a of TS 23.303 [5]).

NOTE 4: The QF and RC are carried in the response to the Discovery Request message, which is protected according to the solutions for Key Issue #10.

2. The Discoveree UE uses the QF in order to try to match it against any received QCs; in case of a match it has received a query from an interested Discoverer UE;

3. The Discoveree UE broadcasts its RC so that it can be received by the interested Discoverer UE.

### 6.22.3 Evaluation

Editor’s Note: Each solution should motivate how the potential security requirements of the key issues being addressed are fulfilled.

## 6.23 Solution #23: Initial key with validity time

### 6.23.1 Introduction

This solution addresses Key Issue #12 Security of one-to-one communication over PC5. This solution assumes that the security context is established based on keys derived from a shared initial key provided by the 5GC. In order to prevent unbounded direct communication between ProSe UEs, the initial key and keys derived from it should have a validity time.

### 6.23.2 Solution details

The solution involves the following steps:

1. A shared initial key is provisioned to a selected set of authenticated and authorised ProSe UEs by the 5GC. This initial key has a validity time.

NOTE 1: The provisioning of the shared initial key can occur when ProSe UEs are authenticated/authorized or when ProSe UEs request access to perform ProSe direct communication.

2. As long as the initial key is valid, it can be used to derive keys needed for the security context. This security context enables establishment of a secure link between ProSe UEs with confidentiality and integrity protection.

NOTE 2: Derivation of keys from initial key can take place even when the ProSe UEs are outside the coverage area.

NOTE 3: Lifetime of the initial key and security context of a PC5 communication link are synchronised. Upon expiry of the initial key, the corresponding security context will also expire.

3. Upon expiration of the intial key, all keys derived from it will become invalid. In order to continue secure communication ProSe UEs need to request for a new initial key from the 5GC. Until it gets the new initial key, ProSe UEs cannot perform direct communication with peer ProSe UEs.

### 6.23.3 Evaluation

This solution addresses the following security requirement of key issue #12: "The system shall support means for a secure refresh of the UE security context.". It provides means for a secure refresh of the UE security context.

The benefits of this solution are that it prevents unbounded direct communication between ProSe UEs and secure direct communication between ProSe UEs is possible even when the ProSe UEs are out of coverage.

The drawback of the solution is that once the initial key expires, ProSe UEs cannot have direct communication. They need to contact the 5GC to obtain a new initial key.

Editor’s Note: If and how this solution needs to be combined with other solutions is FFS.

## 6.24 Solution #24: NSSAA for Remote UE with L3 UE-to-Network relay

### 6.24.1 Introduction

The contribution proposes a solution to address KI #4: Authorization in the UE-to-Network relay scenario. The solution describes how to support a Network Slice-Specific Authentication and Authorization (NSSAA) procedure for a Remote UE connecting via a L3 UE-to-Network relay.

This solution proposes to use a Network-controlled authorization of Remote UE procedure as described in sol#10 with enhancements to enable the Remote UE to perform NSSAA for a given S-NSSAI. The S-NSSAI subject to NSSAA is associated with the connectivity service requested by the Remote UE. The authentication messages for NSSAA of Remote UE are exchanged securely during or after the PC5 link establishment. Upon successful completion of NSSAA procedure by the Remote UE, the relay provides Remote UE access to the slice.

This solution assumes that the AMF serving the relay is always able to serve the Remote UE (same as solution #10, clause 6.10.1). Moreover, the S-NSSAI associated with the connectivity service provided by the Relay is assumed to be the same for the Remote UE and Relay UE or that it can be mapped to the same S-NSSAI in the Relay serving PLMN.

### 6.24.2 Solution details

#### 6.24.2.1 PC5 link establishment with L3 UE-to-Network relay to use an S-NSSAI subject to NSSAA

The procedure for PC5 link establishment with L3 UE-to-Network relay to use an S-NSSAI subject to NSSAA is depicted in Figure 6.24.2-1.



Figure 6.24.2.1-1: Procedure for PC5 link establishment with L3 UE-to-Network relay to use an S-NSSAI subject to NSSAA

0. Remote UE is provisioned with authorization parameters to act as Remote UE. Relay UE is provisioned with authorization parameters to act as a Relay UE. Relay has registered for the S-NSSAI(s) associated with the services that are provided by the relay, including for the S-NSSAIs that are subject to NSSAA.

1. Remote UE and Relay perform a discovery procedure.

2. The Remote UE determines from the configuration provided in step 0 that the relay service code/type discovered in step 1 is associated with a S-NSSAI that is subject to NSSAA (e.g., based on an indication parameter for the S-NSSAI). Based on this determination, the Remote UE sends a DCR message including a Remote UE's identity (e.g.,) and NSSAA capabilities. The Remote UE may include the requested S-NSSAI.

Editor’s Note: whether S-NSSAI is sent by a remote UE is to be in line with SA2.

NOTE 1: For privacy protection reasons, the Remote UE may decide whether to include the S-NSSAI in the DCR message based on a configuration parameter (e.g., Access Stratum Connection Establishment NSSAI Inclusion Mode) which may have been provided by the PLMN during a previous Registration procedure. If the S-NSSAI is not included in the DCR message, the Relay may retrieve the S-NSSAI associated with the relay service code from its configuration from step 0.

3. Upon receiving the DCR message, the Relay may determine that a network-controlled authorization based NSSAA for Remote UE is required to provide Remote UE access to the slice. The determination may be based on any of the following conditions:

- Relay has performed NSSAA for the S-NSSAI (e.g., as performed in step 0). For example, during NSSAA procedure, S-NSSAI is marked with an indication that it is subject to NSSAA.

- Based on configuration from step 0, the service provided is associated with a particular S-NSSAI that is subject to NSSAA (e.g., based on an indication parameter for the S-NSSAI) or an indication that network-controlled authorization is required to use the relay service.

4. Upon receiving the DCR message including a Remote UE identity (e.g., SUCI), the relay decides to trigger a network-controlled authorization of Remote UE. The relay sends a NAS request message that includes the Remote UE id, the S-NSSAI and Remote UE's NSSAA capabilities.

NOTE 2: If the Remote UE has a 5G native context established with the Relay UE's serving PLMN, it provides its 5G-GUTI (instead of SUCI) and the primary authentication steps below may be skipped as described in solution #10.

Editor’s Note: procedure for network controlled authorization is to be in line with SA2.

5. The AMF checks that the relay is authorized to act as a relay and is authorized to provide access to the S-NSSAI (e.g., S-NSSAI is part of Relay's UE Allowed NSSAI). Upon successful check, the AMF decides to trigger a primary authentication of Remote UE via the relay.

6. The Remote UE performs a primary authentication procedure via the relay. Authentication messages are transported over NAS messages between the AMF and Relay. The NAS messages include an indication (e.g., Remote UE's GPSI, Remote User Id or any id provided by Remote UE in message 2) that the authentication messages are for the Remote UE. The relay forwards those messages transparently between Remote UE and AMF.

7. Upon successful authentication procedure, the AMF checks with Remote UE's UDM that Remote UE is authorized to use the relay and has S-NSSAI as part of its subscription. If S-NSSAI is subject to NSSAA, AMF verifies that Remote UE supports NSSAA from the capabilities received from the Relay. AMF registers with Remote UE's UDM (including information about serving Relay) to handle further UDM subscription notifications or to handle revocation/re-authentication requests for Remote UE from AAA-S.

Following successful subscription-based authorization checks, AMF generates key material to authorize and enable secure communication between the Relay and Remote UE. The generated key material is derived from the key material generated during the primary authentication with the Remote UE. Upon successful authentication procedure, the Remote UE generates key material for securing communication with relay the same way as AMF.

8. Upon successful completion of the authentication procedure, the AMF sends a NAS response message that includes the Remote UE id (e.g., GPSI, SUPI), the generated key material, the S-NSSAI, NSSAA status which indicates whether NSSAA is to be performed, ongoing or successful (e.g., if initiated or performed successfully from a previous registration). If primary authentication or subscription-based authorization check fails, the NAS message indicate a failure cause (e.g., S-NSSAI not authorized).

NOTE 3: Remote UE may have performed successfully or initiated NSSAA for the S-NSSAI from a previous Registration with Relay UE's AMF or another AMF. In that case the Relay UE's AMF may retrieve the current NSSAA status from Remote UE context (as described in sol#10).

9. The relay establishes the PC5 link security with the Remote UE using the key material generated from step 7. This step is skipped in case of failure indication in message 8.

10. The relay may send an ack message to confirm the PC5 link security establishment to the AMF. AMF may trigger the NSSAA procedure upon receiving this message illustrated in Figure 6.24.2.2-1.

11. The relay sends a DCA message including indication for NSSAA status (e.g., pending, required, successful) and S-NSSAI to Remote UE. In case of failure indication in message 8, the relay sends a reject message to the Remote UE including the failure cause.

12. If NSSAA is required, a NSSAA procedure for Remote UE via Relay may be triggered by Relay as illustrated in Figure 6.24.2.2-1.

13. Upon successful completion of the NSSAA procedure, the relay sends a PC5 message (e.g., a PC5 Link Modification Request) that includes a successful NSSAA indication, the authorized S-NSSAI. If the NSSAA procedure fails, the relay may release the PC5 link indicating the failure cause.

In the above procedure the NSSAA procedure is triggered after the relay sends the DCA message to the Remote UE. Alternatively, the NSSAA procedure may be triggered during the PC5 link establishment (e.g., NSSAA triggered after successful completion of DSMC procedure and before sending DCA to Remote UE). Upon successful NSSAA procedure, the relay sends a DCA message that includes a successful NSSAA indication and the authorized S-NSSAI. If the NSSAA fails, the relay may send a PC5 reject message indicating the failure cause.

#### 6.24.2.2 NSSAA of Remote UE connecting via L3 UE-to-Network relay

The procedure for NSSAA of Remote UE connecting via L3 UE-to-Network relay is depicted in Figure 6.24.2.2-1.



Figure 6.24.2.2-1: Procedure for NSSAA of Remote UE connecting via L3 UE-to-Network relay

1. The relay UE or the AMF may decide to trigger an NSSAA procedure for the Remote UE according to conditions as described in Figure 6.24.2.1-1 (i.e., successful completion of network controlled authorization procedure, confirmation of PC5 link security establishment from Relay). If the procedure is triggered by AMF steps 2 and 3 are skipped. If the procedure is triggered by Relay step 8 is skipped.

2. The Relay sends a NAS request message to the AMF that includes the Remote UE id (e.g., SUPI, GPSI) and S-NSSAI.

3. The AMF checks that the relay is authorized to act as a relay and is authorized to provide access to the S-NSSAI (e.g., S-NSSAI is part of Relay's UE Allowed NSSAI) and that NSSAA is to be performed for Remote UE to use S-NSSAI (e.g., based on NSSAA status associated with S-NSSAI/Remote UE stored in Relay UE context). Upon successful check, the AMF decides to trigger a primary authentication of Remote UE via the relay.

4. The Remote UE performs a NSSAA procedure via the relay. Authentication messages are transported over NAS messages between the AMF and Relay. The NAS messages include an indication (e.g., Remote UE's GPSI, SUPI) to inform the Relay that authentication messages are for the Remote UE. The relay forwards those messages transparently between Remote UE and AMF. AMF may receive authorization information from AAA-S for the Remote UE to use the S-NSSAI (e.g., a time limit).

5. Upon successful completion of the NSSAA procedure, the AMF updates the S-NSSAI status information associated with Remote UE in Relay UE context (e.g., mark S-NSSAI as Allowed for Remote UE).

6. The AMF sends a NAS message to the relay indicating the result of the NSSAA procedure, including an identity of the Remote UE, S-NSSAI and optionally authorization information associated with S-NSSAI (as provided by the AAA-S).

7. On the condition of successful NSSAA, the relay stores S-NSSAI authorization information for Remote UE.

8. The relay sends a NAS message to acknowledge message 6 from AMF.

The Relay UE proceeds with the rest of PC5 link setup with relay using S-NSSAI subject to NSSAA as illustrated in Figure 6.24.2.1-1.

#### 6.24.2.3 AAA-S triggered Authorization Revocation to use S-NSSAI for Remote UE

The procedure for AAA-S triggered Authorization Revocation to use S-NSSAI for Remote UE in Figure 6.24.2.3-1.

### 6.24.3 Evaluation

## 6.25 Solution #25: Secondary authentication of Remote UE with L3 UE-to-Network relay

### 6.25.1 Introduction

The contribution proposes a solution to address KI #4: Authorization in the UE-to-Network relay scenario. The solution describes how to support a secondary authentication of a Remote UE via a L3 UE-to-Network relay.

During a PC5 link establishment procedure, the UE-to-Network relay enables a Remote UE to perform a PDU Session establishment with secondary A&A following a network-controlled authorization of Remote UE, where the UE-to-Network Relay reports Remote UE's SUCI to network, as described in sol#10. The EAP authentication messages for secondary A&A of Remote UE are exchanged over a secure d PC5 link during or after the PC5 link establishment. Upon successful completion of PDU Session with secondary A&A for Remote UE, the relay provides Remote UE access to the PDU Session. The PDU Session may be shared among multiple Remote UEs.

To maintain compliance with the pre-requisites specified for existing PDU Session with secondary A&A (see TS 23.501 [15], clause 5.6.6), the PDU Session with secondary A&A for a Remote UE via Relay UE takes place in addition to the Remote UE primary authentication by Relay UE's AMF and PDU Session authorization enforced by Relay UE's SMF with regard to subscription data retrieved from Remote UE's UDM. Remote UE's primary authentication and access to subscription data are enabled via the network-controlled authorization procedure.

### 6.25.2 Solution details

#### 6.25.2.1 PC5 link establishment with L3 UE-to-Network relay to use a PDU Session subject to secondary A&A

The procedure for PC5 link establishment with L3 UE-to-Network relay to use a PDU Session subject to secondary A&A is depicted in Figure 6.25.2.1-1.



Figure 6.25.2.1-1: Procedure for PC5 link establishment with L3 UE-to-Network relay to use a PDU Session subject to secondary A&A

0. Remote UE is provisioned with authorization parameters to act as Remote UE. Relay UE is provisioned with authorization parameters to act as a Relay UE.

1. The Relay may perform a PDU Session with secondary A&A by DN-AAA. It is assumed that the Relay is provisioned with credentials used during this procedure.

2. The Remote UE and Relay UE perform a discovery procedure whereby the Remote UE may discover the connectivity service provided by the Relay (e.g., based on a broadcasted service code).

3. The Remote UE may determine from configuration in step 0 that the service code is associated with a DN that requires secondary A&A. Based on this determination, the Remote UE sends a DCR message including its identity (e.g., SUPI).

4. Upon receiving the DCR message, the Relay may determine that a network controlled authorization is needed before trying to fulfil the Remote UE PC5 connection request. The determination may be based on any of the following conditions:

- an existing PDU session that can satisfy the Remote UE connectivity requirements (e.g., as established in step 1) is marked with an indication that secondary A&A is required

- from configuration in step 0, the service code is associated with a DN that is marked with a parameter indicating that it requires network controlled authorization.

If the network controlled authorization is not required the Relay may proceed according to procedure as described in 23.752 [2], clause 6.6.

5. On the condition that the DCR message includes a SUCI, the relay triggers a network-controlled authorization of Remote UE, as described in sol#10. Alternatively, the relay may send an identity request message to the Remote UE to obtain the Remote UE identity (e.g., SUCI) before triggering the network-controlled authorization procedure of Remote UE if the procedure is required as per step 4. If the Remote UE fails to provide its identity required for network-controlled authorization, the relay rejects the connection request and provides in the rejection message a cause indicating that a required identity parameter (e.g., SUPI) is missing.

6. Upon successful network-controlled authorization of Remote UE procedure the Relay initiates a Direct Security Mode Command procedure with Remote UE to establish the security of the PC5 link. The security of the PC5 link may be established as described in sol#10.

7. Upon successful security establishment, the relay sends a DCA message that may include an indication that a PDU Session with secondary A&A is pending. The relay may allocate IP address or IPv6 prefix to Remote UE. The relay may configure a traffic filter (e.g., as a default filter for IP or non-IP traffic) for the PC5 link to prevent any data traffic until successful completion of subsequent PDU Session secondary A&A (next step). Based on the indication in the DCA message, the Remote UE may refrain from sending any data traffic over the PC5 link until successful completion of subsequent PDU Session secondary A&A.

8. The relay triggers a PDU Session secondary A&A over relay procedure (e.g., as described in Figure 6.25.2.2-1).

9. Upon successful PDU Session with secondary A&A over relay procedure, the relay sends a PC5 message (e.g., a PC5 Link Modification Request) that includes a successful indication, that may include a EAP success message (received from SMF in step 8). The relay may configure the link to allow data traffic between the Remote UE and the network/DN (e.g., remove the filter configured in step 7). If the PDU Session with secondary A&A fails, the relay may release the PC5 link indicating the failure cause. The reject message may include the EAP failure message (received from SMF in step 8).

In the above procedure the PDU Session secondary A&A procedure is triggered after the relay sends the DCA message to the Remote UE. Alternatively, the relay may trigger the PDU Session secondary A&A during the link establishment procedure (e.g., trigger PDU Session secondary A&A after successful completion of DSMC procedure and before sending DCA to Remote UE). In this case, secure PC5-S messages may be used to carry EAP authentication messages for the PDU Session secondary A&A to/from the Remote UE. Upon successful PDU Session with secondary A&A of Remote UE, the relay sends a DCA message that includes a successful secondary A&A indication. The message may include a EAP success message (received from SMF in step 8). If the PDU Session with secondary A&A fails, the relay sends a PC5 reject message indicating the failure cause. The reject message may include the EAP failure message (received from SMF).

#### 6.25.2.2 PDU Session secondary A&A of Remote UE via L3 UE-to-Network relay

The procedure for PDU Session secondary A&A of Remote UE via L3 UE-to-Network relay is depicted in Figure 6.25.2.2-1.



Figure 6.25.2.2-1: Procedure for PDU Session secondary A&A of Remote UE via L3 UE-to-Network relay

1. The relay UE decides to trigger a PDU Session secondary A&A for the Remote UE according to conditions, as described in Figure 6.25.2.1-1.

2. The Relay sends a NAS message (e.g., PDU Session Modification or Establishment request or Remote UE Report) to the SMF. The message may include the Remote UE User id and Remote UE addressing info (e.g., IP or MAC address) and other PDU Session parameters (e.g., S-NSSAI, DNN). The SMF receives the message from AMF which includes the Remote UE's SUPI, obtained by AMF during a controlled authorization of Remote UE procedure as described in sol#10.

NOTE: In the case of Home Routed roaming, the SMF in the call flow is the H-SMF (and the V-SMF is not shown for simplicity). SMF selection by AMF is performed as per TS 23.502 [10], clause 4.3.2.2.3 (e.g., using PLMN ID of the SUPI, S-NSSAI etc.).

3. The SMF determines based on Remote UE's subscription information (i.e., Secondary authentication indication as per TS 23.502 [10], Table 5.2.3.3.1) that the requested DN is subject to secondary A&A and triggers a PDU Session secondary A&A of Remote UE via Relay.

4. The Remote UE performs a PDU Session secondary A&A via the Relay. Authentication messages are transported over NAS messages between the SMF and Relay. The NAS messages include an identity of the Remote UE (e.g., GPSI, Remote User Id) to indicate to the Relay that authentication messages are for the Remote UE. The relay forwards those messages transparently and securely between Remote UE and SMF. The SMF maintains an N4 session with DN-AAA for all UEs sharing the PDU Session as long as the PDU Session is not released. DN-AAA may allocate and assign an IP address/IPv6 prefix for the Remote UE during the procedure. The DN-AAA may authorize QoS parameter (e.g., session AMBR) for the Remote UE using the shared PDU Session.

Editor’s Note: Details on support for DN-AAA allocation of address/IPv6 prefix and DN-AAA authorized QoS parameters for a shared PDU Session is FFS with coordination with SA2.

5. Upon successful PDU Session secondary A&A via the Relay procedure, the SMF stores the Remote UE information in the Relay Session Management context including Remote UE identity (e.g., SUPI or GPSI), individual authorization information (e.g., assigned IP, QoS parameters) received from DN-AAA.

6. The SMF sends a NAS message (e.g., PDU Session Modification or Establishment response or Remote UE Report ack) to the relay indicating the result of the PDU Session secondary A&A, including an identity of the Remote UE (e.g., GPSI, Remote User Id), an EAP success or failure message. In the case of successful secondary A&A, the message may include addressing and QoS authorization info for the relay to respectively apply and enforce.

7. In the case of successful secondary A&A, the relay stores any received authorization info associated with the Remote UE. The Relay UE proceeds with the rest of PC5 link setup with Remote UE as described in Figure 6.25.2.1-1.

#### 6.25.2.3 DN-AAA triggered PDU Session Authorization Revocation for Remote UE

The procedure for DN-AAA triggered PDU Session Authorization Revocation for Remote UE is depicted in Figure 6.25.2.3-1.



Figure 6.25.2.3-1: procedure for DN-AAA triggered PDU Session Authorization Revocation for Remote UE

0. The Remote UE is connected to the Relay UE and authorized to use PDU Session subject to secondary A&A.

1. The SMF receives an authorization revocation request from DN-AAA via UPF. The request includes the GPSI of the Remote UE and addressing info (e.g., IP/MAC address).

2. The SMF identifies the PDU Session/Relay UE SM context and removes Remote UE information from Relay UE SM context and may release any address allocated for the Remote UE. SMF configures the UPF to drop any remaining packets associated with the Remote UE. The SMF retains the N4 session with the DN-AAA to continue serving other UEs (including Relay UE) that are still sharing the PDU Session.

3. The SMF sends a NAS command message to release the connection with the Remote UE whose authorization has been revoked. The message includes the Remote UE identity (e.g., Remote User Id, GPSI), Remote UE addressing info, and an indication of the reason for the release.

4. The Relay UE initiates a PC5 link release procedure with the Remote UE.

5. The Relay acknowledges the NAS command message.

NOTE: if DN-AAA revokes Relay UE authorization for the PDU Session the SMF may release the PDU Session as specified in sub-clause 4.3.4 of TS 23.502[10] and the Relay UE initiates a Link Release procedure with all Remote UE(s) sharing the released PDU Session.

#### 6.25.2.4 DN-AAA triggered PDU Session Re-Authentication/Authorization for Remote UE

The procedure for DN-AAA triggered PDU Session Re-Authentication/Authorization for Remote UE is depicted in Figure 6.25.2.4-1.



Figure 6.25.2.4-1: Procedure for DN-AAA triggered PDU Session Re-Authentication/Authorization for Remote UE

0. The Remote UE is connected to the Relay UE and authorized to use a PDU Session subject to secondary A&A.

1. The SMF receives a re-authentication/authorization request from DN-AAA via UPF. The request includes the GPSI of the Remote UE and addressing info (e.g., IP/MAC address).

2. The SMF identifies the PDU Session/Relay UE SM context and retrieves the Remote UE information from Relay UE SM context based on the provided information.

3. The SMF initiates a procedure of PDU Session secondary A&A of Remote UE via Relay procedure as described in clause 6.25.2.2, step 4.

4. If new authorization information is provided by DN-AAA the SMF updates the Remote UE info in the Relay UE SM context accordingly.

5. The SMF sends a NAS message (e.g., PDU Session Modification Command) to the relay indicating the result of the PDU Session secondary re-authentication/re-authorization, including Remote UE Id (e.g., GPSI, Remote User Id), an EAP success or failure message. In the case of successful secondary re-A&A, the message may include new authorization information associated with the Remote UE connection.

6. In case of successful secondary re-A&A of Remote UE, the Relay UE updates any authorization info associated with the Remote UE with new info received from SMF. The relay sends a PC5 message (e.g., a PC5 Link Modification Request) that includes EAP success message. In case of a failed re-A&A procedure, the relay initiates a PC5 link release procedure with Remote UE.

7. The Relay acknowledges the NAS command message from SMF.

### 6.25.3 Evaluation

## 6.26 Solution #26: Protecting PDU session-related parameters for L2 relay with existing mechanism.

### 6.26.1 Introduction

This solution addresses Key Issue #16: Privacy protection of PDU session-related parameter for relaying. In the L2 UE-to-network relay scenario, the PDU session-related parameters in the communications after initial registration between remote UE and core network transparently pass the relay with protected AS and NAS security. Hence only privacy sensitive PDU session-related parameters during initial registration are needed to be protected, specifically speaking, the NSSAI information in the initial registration messages.

This solution proposes to reuse the existing mechanism in order to protect the PDU session-related parameters that may be exposed to the UE-to-network relay in clear during initial registration.

### 6.26.2 Solution details

Based on the threat mentioned in Key Issue #16, exposing slice and DNN information may violate privacy about a UE’s special subscription group belongings. During the UE-to-Network relay discovery and PC5 connection setup, DNN and slice information are not included in the UE-to-Network Relay Discovery messages (both Model A and Model B) and PC5 connection setup as specified in solution #7 and clause 8.2 of TR 23.752 [2], respectively. The only privacy sensitive PDU session-related parameter that may expose to the L2 UE-to-network relay is the NSSAI information in the initial registration message as the subsequent parameters are covered by the protected AS and NAS information. This solution proposes to reuse the existing mechanism to protect PDU session-related parameters for L2 relay:

If the operator decides to protect the privacy of PDU session-related parameter(s) (i.e. slice information) for L2 relay, AMF shall provide the remote UE an ‘Access Stratum Connection Establishment NSSAI Inclusion Mode’ parameter in the Registration Accept message during the registration procedure. This parameter indicates the Remote UE to not include any NSSAI in the Access Stratum (AS), as specified in the mode (d) in 23.501 [15] clause 5.15.9. The remote UE shall by default not to provide NSSAI in the AS under UE-to-network relay scenario unless it has been provided with an indication to operate in other modes as specified in 23.501[15] clause 5.15.9.

The subsequent communications between remote UE and the core network are sent with AS and NAS security, thus the PDU session-related parameters (e.g. requested NSSAI, requested DNN) are prevented to be read by the UE-to-network relay.

### 6.26.3 Evaluation

The L2 UE-to-network relay doesn’t introduce any new security vulnerabilities related to Key Issue #16 and the existing mechanism in TS 23.501 [15] is capable to fulfil the security requirements of KI#16 to prevent from tracing and tracking privacy attacks on the remote UE caused by exposing PDU session-related parameters.

## 6.27 Solution #27: Mitigating the conflict between security policies using match report procedures.

### 6.27.1 Introduction

This solution addresses Key Issue #1 and Key Issue #12, including how to get the security materials to protect discovery and avoids one-to-one communication failure in advance. Two UEs should finish the discovery procedure and the direct one-to-one communication establishment before actually starting direct one-to-one communication (i.e. the discovery request procedures are prerequisite steps of direct one-to-one communication). Security flexibility is provided by introducing on-demand PC5 one-to-one communication policies. The security policies for ProSe UEs may be provisioned by different PCFs and they might issue different values. The referenced technology, eV2X unicast in 33.536 [8], still has mechanism to abort the PC5 unicast establishment upon policy mismatch (e.g. NOT NEED and REQUIRED) if the peer UE replies to the announciation of the same v2x service from the initiating UE. This shows the security policies on each UE may not the same for the same service/ProSe Code. Moreover, UEs still need to negotiate final security activation status according to the real-time conditions and the network has no such real-time information. For the above reasons, the conflict between security policies may cause one-to-one communication establishment failure and make the previous discovery request procedures in vain. To avoid resource waste caused by the conflict between policies, this contribution proposes to check the policy match in advance with the help of the match report procedures specified in TS 33.303 [6] for 5G ProSe open discovery and restricted discovery.

NOTE: This solution does not work with the V2X based direct discovery (e.g. clause 6.2 in TR 23.752 [2]).

### 6.27.2 Solution details

Editor’s Note: How security policy is configured at A-DDNMF and M-DDNMF for a ProSe Service is FFS.

NOTE: This solution requires network coverage to work.

#### 6.27.2.1 Open discovery scenario

Mitigating security conflict between policies using open discovery match report procedures is described as follows:

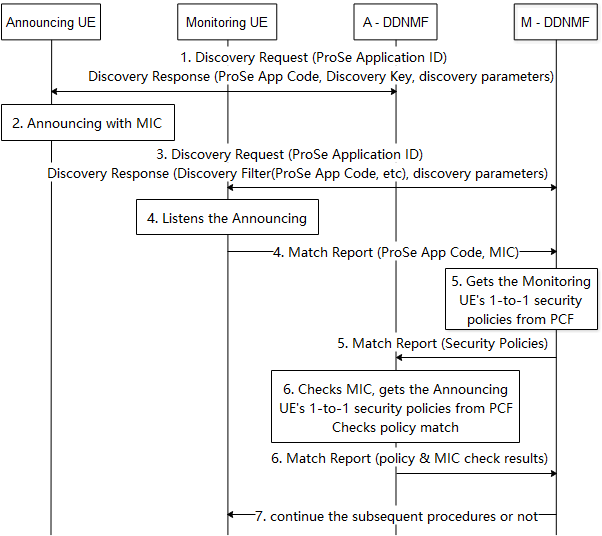


Figure 6.27.2.1-1: Check the conflict between policies using open discovery match report

1. The Announcing UE sends a Discovery Request message containing the ProSe Application ID to the DDNMF in its HPLMN (A-DDNMF) to get the permission to announce on its serving PLMN. The A-DDNMF returns the ProSe App Code, Discovery Key and other discovery parameters in Discovery Response message. This step reuses the procedures as specified in TS 33.303 [6].
2. The Announcing UE starts announcing with a Message Integrity Check (MIC) calculated by using the Discovery Key as described in TS 33.303 [6].
3. The Monitoring UE sends a Discovery Request message containing the ProSe Application ID to the DDNMF in its HPLMN (M-DDNMF) to get the parameters for monitoring. The DDNMF returns the Discovery Filter containing the ProSe App Code(s) and/or the ProSe App Mask(s) with other discovery parameters in Discovery Response message. The M-DDNMF and A-DDNMF exchanges Monitor Req/Resp messages if the ProSe Application ID indicates a different PLMN. This step reuses the procedures as specified in TS 33.303 [6].
4. The Monitoring UE listens for a discovery message that satisfies its Discovery Filter. On hearing the discovery message, and if the UE needs to check the MIC for the discovered ProSe App Code, the Monitoring UE sends a Match Report message to the M-DDNMF. The Match Report includes the ProSe App Code and MIC.
5. The M-DDNMF gets the Monitoring UE’s ProSe one-to-one communication security policies of the service related to the ProSe App Code from PCF and passes the policies to the A-DDNMF in the Match Report message. The one-to-one communication security policies are used to establish security during one-to-one communication establishment.
6. The A-DDNMF shall check the MIC is valid. The A-DDNMF also gets the security policies of the Announcing UE for direct one-to-one communication service related to the ProSe App Code from PCF, and checks if the security policies of the Monitoring UE and the policies of the Announcing UE are not conflict. If the MIC check passes and the security policies are not conflict to each other, the A-DDNMF shall acknowledge a successful check of the MIC to the M-DDNMF in the Match Report Ack message, otherwise it shall acknowledge check failure.
7. The M-DDNMF acknowledges the Monitoring UE to continue the subsequent procedures if passing the checks in step 6. Otherwise the M-DDNMF indicates the Monitoring UE to stop the procedure.

#### 6.27.2.2 Restricted discovery scenario

Mitigating security conflict between policies using restricted discovery match report procedures is described as follows:

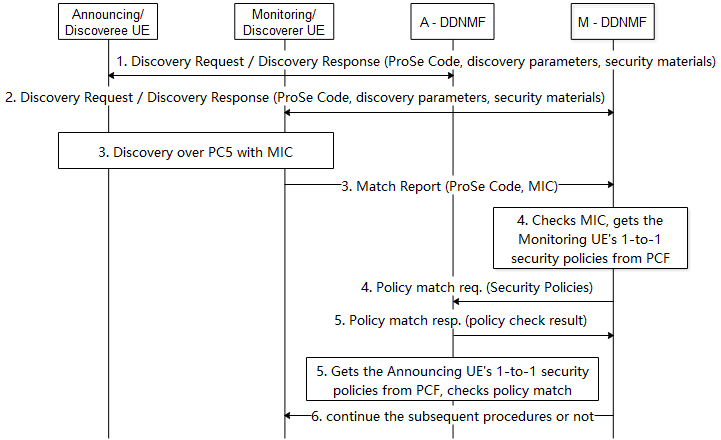


Figure 6.27.2.2-1: Check the conflict between policies using open discovery match report

1. The Announcing/Discoveree UE sends a Discovery Request message to the DDNMF in its HPLMN (A-DDNMF) to get the ProSe Code, the discovery parameters and the associated security material for announcing. The DDNMF may check for the announce authorization with the ProSe Application Server. The A-DDNMF returns the ProSe Code, the discovery parameters and the associated security materials to the Announcing/Discoveree UE.
2. The Monitoring/Discoverer UE sends a Discovery Request message to the DDNMF in its HPLMN (M-DDNMF) to get the ProSe Code, the discovery parameters and security materials for monitoring. The M-DDNMF sends an authorisation request to the ProSe Application Server and gets an authorisation response. If the Discovery Request is authorised, the M-DDNMF sends a Monitor Request to the A-DDNMF to get the discovery parameters and the associated security materials if they are not in the same PLMN. The M-DDNMF returns the discovery parameters and the associated security materials to the Monitoring/Discoverer UE.
3. The Monitoring/Discoverer UE and the Announcing/Doscoveree UE continue the discovery procedure over PC5 including the MIC, i.e. Model A or Model B discovery. The Monitoring/Discoverer UE sends a Match Report to M-DDNMF including the MIC and ProSe Code if required.
4. The M-DDNMF checks the MIC is valid. The M-DDNMF gets the Monitoring/Discoverer UE’s ProSe one-to-one communication security policies of the service related to the ProSe Code from PCF and passes the policies to the A-DDNMF. The one-to-one communication security policies are used to establish security during one-to-one communication establishment.
5. The A-DDNMF gets the security policies of the Announcing UE for direct one-to-one communication service related to the ProSe Code from PCF, and checks if the security policies of the Monitoring/Discoverer UE and the policies of the Announcing/Discoveree UE are not conflict to each other. The A-DDNMF returns the check result to the M-DDNMF.
6. The M-DDNMF shall only indicate the acknowledge Monitoring/Discoverer UE to continue subsequent procedures if both MIC and the policies are not conflict to each other.

### 6.27.3 Evaluation

TBD

## 6.28 Solution #28: Mitigating the conflict between security policies using restricted discovery procedures on network side.

### 6.28.1 Introduction

This solution addresses Key Issue #1 and Key Issue #12, including how to get the security materials to protect discovery and avoids one-to-one communication failure in advance. Two UEs should finish the discovery authorisation and the direct one-to-one communication establishment before actually starting direct one-to-one communication (i.e. the discovery request procedures are prerequisite steps of direct one-to-one communication). Security flexibility is provided by introducing on-demand PC5 one-to-one communication policies. The security policies for ProSe UEs may be provisioned by different PCFs and they might issue different values. The referenced technology, eV2X unicast in 33.536 [8], still has mechanism to abort the PC5 unicast establishment upon policy mismatch (e.g. NOT NEED and REQUIRED) if the peer UE replies to the announciation of the same v2x service from the initiating UE. This shows the security policies on each UE may not the same for the same service/ProSe Code. Moreover, UEs still need to negotiate final security activation status according to the real-time conditions and the network has no such real-time information. For the above reasons. For the above reasons, the conflict between security policies may cause one-to-one communication establishment failure and make the previous discovery request procedures in vain. To avoid resource waste caused by the conflict between policies, this contribution proposes to check the conflict between policies in advance with the help of the discovery request procedures specified in TS 33.303 [6] for 5G ProSe restricted discovery.

NOTE: This solution does not work with the V2X based direct discovery (e.g. clause 6.2 in TR 23.752 [2]).

### 6.28.2 Solution details

The security procedure for restricted discovery is described as follows:

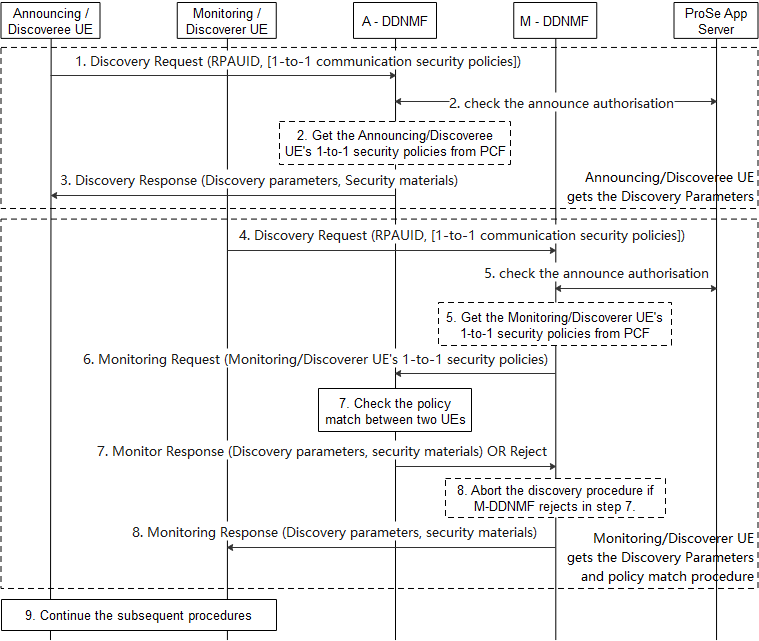


Figure 6.28.2-1: Check the conflict between policies using restricted discovery procedure

The Step 1-3 show the discovery request procedures of Announcing/Discoveree UE:

1. The Announcing/Discoveree UE sends a Discovery Request message including its PRAUID to the DDNMF in its HPLMN (A-DDNMF) to get the discovery parameters and the associated security material for announcing. The Announcing/Doscoveree UE may include its ProSe one-to-one communication security policies of the service related to the RPAUID. The one-to-one communication security policies are used to establish security during one-to-one communication establishment.
2. The DDNMF may check for the announce authorization with the ProSe Application Server. The A-DDNMF further exchanges the announce authorisation with the DDNMF of VPLMN if the Announcing/Doscoveree UE is roaming. The A-DDNMF get the Announcing/Doscoveree UE’s ProSe one-to-one communication security policies of the service related to the RPAUID from PCF if not received in step 1.
3. The A-DDNMF returns the discovery parameters and the associated security materials.

The Step 4-8 shows the discovery request procedures of Monitoring/Discoverer UE:

1. The Monitoring/Discoverer UE sends a Discovery Request message including its RPAUID to the DDNMF in its HPLMN (M-DDNMF) to get the discovery parameters and security materials for monitoring. The Monitoring/Discoverer UE may include its ProSe one-to-one communication security policies of the service related to its RPAUID.
2. The M-DDNMF sends an authorisation request to the ProSe Application Server and gets an authorisation response if the RPAUID is allowed to discovery at least one of the Target RPAUID(s). The authorisation response includes the above Target RPAUID(s). The M-DDNMF get the Monitoring/Doscoverer UE’s ProSe one-to-one communication security policies of the service related to the RPAUID from PCF if not received in step 4.
3. If the Discovery Request is authorised, and the PLMN ID in the Target RPAUID indicates a different PLMN, the M-DDNMF sends a Monitor Request to the indicated PLMN’s DDNMF i.e. the A-DDNMF. The Monitor Request includes the security policies got from either step 4 or 5. The A-DDNMF may exchange authorisation messages with the ProSe Application Server.
4. The A-DDNMF shall first check if the security policies provided by the DDNMF of the Monitoring/Discoverer UE and the security policies of the Announcing/Doscoveree UE are not conflict, the A-DDNMF responds to the M-DDNMF with a Monitor Response message including the discovery parameters and the associated security materials if the Monitoring/Discoverer UE’s security policies and the Announcing/Doscoveree UE’s security policies are not conflict to each other. The A-DDNMF shall reject the monitor request if the security policies between the Announcing/Doscoveree UE conflict the security policies of the Monitoring/Discoverer UE. If the Discovery Request is authorised, and the PLMN ID in the Target RPAUID indicates the same PLMN, then the M-DDNMF does the confliction check locally.

For Model B scenario, the DDNMFs in the HPLMN and VPLMN of the Discoverer UE exchange Announce Authorisation messages if the Discoverer UE is roaming.

1. The M-DDNMF returns the discovery parameters and the associated security materials to the Monitoring/Discoverer UE. If the A-DDNMF rejects the Monitor Request, the M-DDNMF shall abort the discovery procedure and inform the Monitoring/Discoverer UE.
2. The Monitoring/Discoverer UE and the Announcing/Discoveree UE continue the subsequent procedures if M-DDNMF informs to continue the discovery procedures, i.e. Model A or Model B discovery, the match report procedures (if applicable) and establishment of ProSe one-to-one communication.

NOTE: This solution requires network coverage to work.

Editor’s Note: How security policy is configured at A-DDNMF and M-DDNMF for a ProSe Service is FFS.

### 6.28.3 Evaluation

TBD

## 6.29 Solution #29: Security flow for Layer-3 UE-to-Network Relay

### 6.29.1 Introduction

This solution addresses the Key Issue #3, Key Issue #4 and Key Issue #9. It is a L3 relay solution.

This solution uses the UE-to-network relay security flows specified in TS 33.303 [6] as the baseline, with some necessary modifications to suit 5GS.

The solution reuses the PC5 unicast communication security procedure defined in TS 33.536 [8] for the PC5 communication security.

The main differences between this solution and the LTE procedure defined in TS 33.303 [6] are as follows:

- In LTE, PKMF does not distinguish between roaming and non-roaming scenarios.

- In this solution, 5GPRUK is generated based on an AV by the 5GPKMF of the Remote UE, but in LTE, PRUK is generated by the GBA system or by the PKMF.

- In LTE, the Remote UE may provide IMSI in a Direct Communication Request, but in this solution, the Remote UE may provide SUCI in a Direct Communication Request.

Editor’s note: It is FFS whether this is a feasible solution under unprotected one-to-one PC5 communication when reuses unicast mechanism in TS 33.536 [8].

### 6.29.2 Solution details

The UE-to-network relay security flow is described as follows:

NOTE: The Remote UE needs to be in coverage to obtain 5GPRUK from 5GPKMF through steps 1-4, otherwise the 5GPRUK is derived during link establishment with the Relay UE.

0. The Remote UE and the Relay UE get the discovery parameters, security materials, relay service codes and address of the 5GPKMF from the DDNMF in the HPLMN respectively.

1. The Remote UE establishes a secure connection with the 5GPKMF of the Remote UE.

2. The Remote UE sends a Relay Key Request to the 5GPKMF of Remote UE. The message includes Relay Service Code, and an optional 5GPRUK ID if the Remote UE already has a 5GPRUK.

*“NOTE : 5GPRUK and 5GPRUK ID are equivalent to PRUK and PRUK ID in TS 33.303 [6], respectively.*

3. The 5GPKMF of the Remote UE checks whether the Remote UE is authorized to be a Remote UE according to the Relay Service Code.

4. The 5GPKMF of the Remote UE generates a relay key (i.e. 5GPRUK) and a corresponding key ID (i.e. 5GPRUK ID) for the Remote UE, and sends them to the Remote UE in a Relay Key Response.

5. The Remote UE discovers the UE-to-network Relay using either model A or model B discovery.

6. The Remote UE generates a freshness parameter Nonce\_1 for the one-to-one communication, and sends a Direct Communication Request to the Relay UE. In addition to the one-to-one communication parameters and Relay Service Code, the message includes 5GPRUK ID if the Remote UE already has a 5GPRUK, otherwise, it needs to include a SUCI.

7. The Relay UE sends a Relay Key Request to the 5GPKMF of Relay UE. The message includes 5GPRUK ID or a SUCI of the Remote UE, Relay Service Code and Nonce\_1.

8. The 5GPKMF of the Relay UE checks whether the Relay UE is authorized as a Relay UE according to the Relay Service Code.

9. The 5GPKMF of the Relay UE sends a Relay Key Request to the 5GPKMF of the Remote UE. The message includes 5GPRUK ID or SUCI of the Remote UE, Relay Service Code and Nonce\_1.

NOTE: The interface between the 5GPKMF of the Relay UE and the 5GPKMF of the Remote UE is out of 3GPP scope.

10-13. In the case that the Relay Key Request includes a SUCI, the 5GPKMF of the Remote UE needs to retrieve an Authentication Vector (AV) from the UDM through the AUSF of the Remote UE.

NOTE: The type of the interface (NEF or SBI) between the 5GPKMF and the AUSF of the Remote UE will be decided in normative phase.

14. The 5GPKMF of the Remote UE checks whether the Remote UE is authorized as a Remote UE according to the Relay Service Code.

15. If the 5GPKMF of the Remote UE obtains an AV, it will derive a 5GPRUK based on the AV and some other parameters, generates a corresponding 5GPRUK ID. The 5GPKMF of the Remote UE also needs to generate a 5GPRUK\_Info from which the Remote can derive 5GPRUK and obtain 5GPRUK ID.

NOTE: The detailed structure of 5GPRUK\_Info will be defined in normative phase, e.g. using a method similar to GPI used in LTE.

16. The 5GPKMF of the Remote UE generates a new random number as the 5GKd Freshness Parameter, and then generates a new 5GKd using 5GPRUK, 5GKd Freshness Parameter, Nonce\_1, Relay Service Code etc.

17-18. The 5GPKMF of the Remote UE sends 5GKd, 5GKd Freshness and 5GPRUK\_Info to the 5GPKMF of the Relay UE, and then further passes them to the Relay UE.

19. The Relay UE sends a Direct Security Mode Command to the Remote UE. In addition to the one-to-one communication parameters, the message includes the 5GKd Freshness Parameter and 5GPRUK\_Info if it exists.

20. The Remote UE derives the 5GPRUK and obtains the 5GPRUK ID using the information in 5GPRUK\_Info if 5GPRUK\_Info is provided. The Remote UE stores the 5GPRUK and 5GPRUK ID. The Remote UE further derives the 5GKd and performs other procedure.

21. The Remote UE sends Direct Security Mode Complete message to Relay UE.



Figure 6.29.2-1: UE-to-network relay security flow

### 6.29.3 Evaluation

Editor’s Note: Further evaluation is FFS.

This solution introduces a method to establish a secure connection between the Remote UE and the UE-to-Network Relay UE. This solution does not address a requirement in KI#3, that is, Confidentiality protection, Integrity protection and replay-protection shall be supported between the remote UE and the 3GPP network.

## 6.30 Solution #30: UE-to-Network Relay security based on primary authentication

### 6.30.1 Introduction

This solution addresses the Key Issue #3, Key Issue #4 and Key Issue #9. It is applicable to both L2 and L3 UE-to-Network Relay architectures.

The solution reuses the PC5 unicast communication security procedure defined in TS 33.536 [8] for the PC5 communication security.

The main differences between this solution and the LTE procedure defined in TS 33.303 [6] are as follows:

- In this solution, the PKMF in LTE is replaced by the AUSF of the Relay UE.

- In this solution, 5GPRUK is derived from Kausf, but in LTE, PRUK is generated by the GBA system.

- In LTE, the UE-to-network relay is only used for public safety services, but in this solution, it is mainly used for commercial services.

- In LTE, the Remote UE provides PRUK ID or IMSI in a Direct Communication Request, but in this solution, the Remote UE provides 5G PRUK ID and/or 5G-GUTI/SUCI in a Direct Communication Request.

Editor’s note: It is FFS whether this is a feasible solution under unprotected one-to-one PC5 communication when reuses unicast mechanism in TS 33.536 [8].

### 6.30.2 Solution details

The UE-to-network relay security flow based on primary authentication is described as follows:

1. The Remote UE generates a freshness parameter Nonce\_1 for the one-to-one communication and sends a Direct Communication Request to the Relay UE. In addition to the one-to-one communication parameters, the message may contain the following parameters:

- a Relay Service Code that the Remote UE would like to access.

- a 5GPRUK ID (if the Remote UE has a 5GPRUK for this relay connection)

- a 5G-GUTI (if the Remote UE has a 5G security context) or a SUCI (if the Remote UE does not have a 5G security context). In the case of 5G-GUTI, 5GPRUK ID, GUTI, Relay Service Code and Nonce\_1 should be integrity protected with KNASint.

NOTE 1: 5GPRUK and 5GPRUK ID are equivalent to PRUK and PRUK ID in TS 33.303 [6], respectively.

2. The Relay UE sends a NAS Relay Key Request to its serving AMF. The message includes the parameters received from the Remote UE, i.e. 5GPRUK ID and/or SUCI/GUTI, Relay Service Code and Nonce\_1. In case a 5G-GUTI is included, these parameters are integrity protected with KNASint by the Remote UE.

Editor’s note: It is FFS if the lack of integrity protection in case 5G-GUTI is not present has any security risks.

3. The AMF of the Relay UE checks whether the Relay UE is authorized to be a Relay UE according to the Relay Service Code. The relay service authorization information is stored in the UDM of the Relay UE. In the case that the Direct Communication Request message of the Remote UE contains a 5G-GUTI, the AMF should retrieve the SUPI and current 5G security context of the Remote UE, and then check the integrity protection of the parameters of Direct Communication Request message as received in the NAS Relay Key Request message.

Editor’s note: How to handle the cases that 5G-GUTI is established with another AMF or anther PLMN is FFS.

Editor’s note: The UE context transfer procedure to retrieve the 5G security context from another AMF is FFS.

4. The AMF of the Relay UE sends a Relay Key Request to the AUSF of the Remote UE. The message includes Remote UE's SUCI or SUPI, Relay Service Code, Nonce\_1 and the 5GPRUK ID (if it exists).

5-7. In the case of a SUCI in the Relay Key Request, the AUSF performs a primary authentication procedure defined in TS 33.501 [14] to the remote UE through the Relay UE.

8. The AUSF of the Remote UE checks whether the Remote UE is authorized to be a Remote UE according to Remote UE’s SUPI and Relay Service Code. The relay service authorization information is stored in the UDM of the Remote UE.

9-10. In the case of a 5GPRUK ID in the Relay Key Request, the AUSF of the Remote UE retrieves the 5GPRUK from the UDM using the Remote UE's SUPI and the 5GPRUK ID.

11. If there is no 5GPRUK ID in the Relay Key Request or the 5GPRUK ID needs refreshing, a new 5GPRUK will be generated. The AUSF of the Remote UE derives 5GPRUK using Kausf and some other parameters, generates a corresponding 5GPRUK ID. The AUSF of the Remote UE should generate a 5GPRUK\_Info from which the Remote UE can derive 5GPRUK and obtain 5GPRUK ID.

NOTE 2: The detailed structure of 5GPRUK\_Info will be defined in normative phase.

12. In the case that a new 5GPRUK is generated, the AUSF of the Remote UE stores the newly generated 5GPRUK and 5GPRUK ID in the UDM.

13. The AUSF of the Remote UE generates a new random number as the 5GKD Freshness Parameter, and then generates a new 5GKD using 5GPRUK, 5GKD Freshness Parameter, Nonce\_1, Relay Service Code etc.

NOTE 3: 5GKD is equivalent to KD in TS 33.303 [6].

14-15. The AUSF of the Remote UE sends 5GKD, 5GKD Freshness and 5GPRUK\_Info to the AMF of the Relay UE, and then further passes them to the Relay UE.

16. The Relay UE sends a Direct Security Mode Command to the Remote UE. In addition to one-to-one communication parameters, the message includes 5GKD Freshness Parameter and 5GPRUK\_Info (if it exists).

NOTE 4: In Remote UE and Relay UE, 5GKD is equivalent to KNRP.

17. In the case that there is a 5GPRUK\_Info in the message, the Remote UE derives the 5GPRUK and obtains the 5GPRUK ID using the information in 5GPRUK\_Info. The Remote UE stores the 5GPRUK and 5GPRUK ID. The Remote UE further derives the 5GKD and performs other procedure.

18. The Remote UE sends Direct Security Mode Complete message to Relay UE.



Figure 6.30.2-1: UE-to-Network Relay security flow based on primary authentication

### 6.30.3 Evaluation

Editor’s Note: Further evaluation is FFS.

This solution introduces a method to establish a secure connection between the Remote UE and the UE-to-Network Relay UE. This solution does not address a requirement in KI#3, that is, Confidentiality protection, Integrity protection and replay-protection shall be supported between the remote UE and the 3GPP network.

## 6.31 Solution #31: Use of authorization tokens in UE-to-UE relay

### 6.31.1 Introduction

This solution address key issue #7 (Authorization in the UE-to-UE relay scenario).

In the UE-to-UE relay use case, authorization of the UE that requests to be a source UE or a target UE discovering a UE-to-UE Relay, needs to be provided. The 5GS shall also support authorization of the UE requesting to act as a UE-to-UE relay. The 3GPP system shall provide means to authorize a source UE to communicate with another target UE via a UE-to-UE Relay.

In the UE-to-UE relay use case, the source UE and the UE-to-UE relay may be out of 3GPP coverage. In this case they cannot ask the 3GPP network to perform the authorization.

This solution proposes to use authorization tokens as in OAuth 2.0 to indicate that the source UE or the UE-to-UE relay are authorized to to use a specific relaying service or to serve a specific relaying service.

This solution also applies for authorization between the UE-to-UE relay and the target UE even though the solution description below does not explicitly mention the target UE.

### 6.31.2 Solution details

When the source UE or the UE-to-UE relay registers in the 3GPP network and is authorized to use a specific service, then the core network provides a token stating what kind of relaying service it can use or serve. The token has an expiration time and is signed with a private key of the core network. The core network also provides the public key to the source UE or the UE-to-UE relay used for verifying the token from other parties.

Editor note: How the core network provides the public key to the source UE and the UE-to-UE relay is FFS.

Figure 6.31.2-1 illustrate the high-level procedure of the proposed solution.

**Figure 6.31.2-1: High-level procedure of mutual verification between Source UE and UE-to-UE relay**

Step 0: The source UE and the UE-to-UE relay register and are authorized in the 3GPP network. The network provides one authorization token to the source UE and a second token to the UE-to-UE relay stating what kind of relaying service it can use/provide and other policies (e.g. to what kinds of remote UE the relay will provide service, what kinds of relay the UE can use, etc. ). The authorization token has an expiration time and is signed with the private key of the CN. The CN also provides the public key to the source UE and the UE-to-UE relay used for verifying the token from other parties. The authorization token could be generated by a CN NF or by the Application Server.

NOTE: The information included in the token (e.g. UE ID, indication if the UE can act as relay UE or remote UE, expiration time etc.) is left for the normative work

Editor note: It’s FFS which NF generates the authorization token (e.g. UDM, PCF or ProSe Application Server).

Editor note: How the authorization token is provided to the source UE and the UE-to-UE relay is FFS.

Step1: The source UE and the UE-to-UE relay do the relay discovery and selection, e.g. using Discovery procedures using either Model A or Model B as defined in TS 33.303 and TS 23.303 or similar.

Step 2: The source UE sends a Direct Communication Request message to the UE-to-UE relay.

Step 3: Authentication and key agreement may be performed.

Editor note: The authentication and key agreement is FFS.

Step 4: The UE-to-UE relay sends Direct Security Mode Command message to the source UE.

Step 5: The source UE sends Direct Security Mode Complete message to the UE-to-UE relay.

The source UE can include its authorization token-1 in the Direct Security Mode Complete message, but the source UE could also include the authorization token-1 in a new signaling procedures as shown in step 7/7a. When the UE-to-UE relay receives the authorization token-1 from the source UE, it can verify the authorization token-1. For the verification of the authorization token, the receiving side can use the public key to verify the authorization token and check the policies/claims in the authorization token and decide if it should continue the procedure.

Step 5a: As an option, if the UE-to-UE relay is in 3GPP coverage then the 3GPP network could verify the authorization token on behalf of the UE-to-UE relay.

Step 6: If the verification of the authorization token-1 received from the source UE is successful in the UE-to-UE relay, then the UE-to-UE relay includes it’s authorization token-2 in the Direct Security Mode Response message to the source UE. For the verification of the authorization token-2, the receiving side can use the public key to verify the token and check the policies/claims in the token and decide if it should continue the procedure.

Step 7 and 7a: The source UE could also include the authorization token-1 in separate signaling procedures as shown in step 7/7a to the UE-to-UE relay. When the UE-to-UE relay receives the authorization token-1 from the source UE, it can verify the authorization token-1. For the verification of the authorization token, the receiving side can use the public key to verify the authorization token and check the policies/claims in the authorization token and decide if it should continue the procedure. If the verification of the authorization token-1 received from the source UE is successful in the UE-to-UE relay, then the UE-to-UE relay includes it’s authorization token-2 in a new signaling procedure to the source UE. For the verification of the authorization token-2, the receiving side can use the public key to verify the token and check the policies/claims in the token and decide if it should continue the procedure.

As an option, if the UE-to-UE relay is in 3GPP coverage then the 3GPP network could verify the authorization token on behalf of the UE-to-UE relay.

Step 8: If the mutual verification of the tokens fails either the UE-to-UE relay or the source UE may release the PC5 link.

**Authorization token is generated by the ProSe Application Server:**

The ProSe Application Server can be a candidate for generating the authorization token. In that case, the UE access the application server via the user plane for application level authorization. The application server generates (maybe with collaboration with PCF, UDM via NEF) and signs the token and gives it to the UE as well as the key for verifying tokens from other UEs. In this case, the application server will maintain the public/private key by itself.

Editor note: How the authorization token is provided to the source UE and the UE-to-UE relay is FFS.

Figure 6.31.2-2 illustrate the high-level procedure of the proposed solution.



Figure **6.31.2-2**: Authorization token generated by ProSe application server

In the Authorization Token Request message, the Source UE or UE-to-UE Relay could add indication that the authorization token is required, so that the ProSe Application Server will generate the token for the UE. In the response, the ProSe Application Server can provide the public key for verifying token, if the ProSe Application Server has the public key for token verification.

When the ProSe Application Server generates the authorization token, it shall digital sign the token, so that the token cannot be modified or forged by the attacker. The token generator can use a private key to sign the token and the token can be verified by the corresponding public key.

The public/private keys could be provided by the ProSe application server, or from a centralized entity like a CA.

### 6.31.3 Evaluation

Editor’s Note: Each solution should motivate how the potential security requirements of the key issues being addressed are fulfilled.

## 6.32 Solution #32: Mitigating privacy issues of relay service codes and PDU parameters for L3 UE-to-NW relays.

### 6.32.1 Introduction

This solution addresses key issues #11 (UE identity protection during ProSe discovery) and #16 (Privacy protection of PDU session-related parameters for relaying) for Layer-3 UE-to-Network Relay connections, in particular it addresses the privacy issues related the use of relay service codes and their associated PDU session parameters during discovery and connection setup.

This solution builds on top of solutions for key issues #4 and #9 (such as solution #1, #6, #10, #15, …) by adding a mechanism for updating relay service codes for Remote UEs and UE-to-Network Relays to mitigate privacy issues.

NOTE 1: how exactly this mechanism is to be integrated with solutions for key issues #4 and #9 depends on which solution is selected as baseline for normative work, and details can be defined during normative phase.

It further builds on solution #35 of TR 23.752, with the difference that UE to Network relay does not get provisioned by the PCF with PDU session parameters associated to each Relay Service Code during initial authorization and provisioning step. Instead the PDU session parameters are provided by the network only to the single UE-to-Network relay that is selected by the Remote UE and only after the network has verified the Remote UE and the selected Relay UE are authorized to set up a relay connection for the given Relay Service Code, and not to other UE-to-Network relays in vicinity for additional privacy protection.

In this solution, in line with solution #35 of TR 23.752, it is assumed that the Relay Service Codes are provisioned to the Remote UE and UE-to-Network Relay by the PCF. The PCF is assumed to be the same for both the Remote UE and the UE-to-Network relay. It is further assumed that the allocation of (new) Relay Service Codes may be done by the PCF itself or may be done in cooperation with the DDNMF.

NOTE 2: The details on whether the PCF or the DDNMF allocate (new) Relay Service Codes and how the PCF and the DDNMF may cooperate are left for SA2 to decide, and are not further elaborated in this solution.

Editor’s Note: This solution may need to be updated when SA2 has concluded which entity allocates the Relay Service Codes.

It is also assumed that the AMF and the AUSF for the Remote UE and the UE-to-Network relay are the same. For simplicity the steps related to AUSF, UDM and PKMF are not described separately (the details depend on the respective solutions for key issue #4 and #9).

### 6.32.2 Solution Details

The procedure for updating relay service codes to mitigate privacy issues is depicted in Figure 6.32.2-1.



Figure 6.32.2-1: Procedural call flow for updating relay service codes to mitigate privacy issues

**Step 0a/b:** Remote UE gets authorized by the PCF [See NOTE 2]) for relay discovery and connection setup, and is provisioned with a set of Relay Service Codes each associated with a set of PDU session parameters (S-NSSAI, DNN, etc.). Furthermore, the Remote UE gets provisioned with long term security material for ProSe discovery (e.g. root discovery key such as PSDK as defined in TS 33.303) and for relay connections (e.g. root relay connection key, such as PRUK as defined in TS 33.303), possibly with security material to allow direct communication over PC5 (e.g. the long term credentials in TS 33.536 that form the root of the security of the PC5 unicast link to derive KNRP).

Similarly, UE-to-Network Relay gets authorized by the PCF [See NOTE 2] for relay discovery and connection setup, and is provisioned with its supported Relay Service Codes, and security material for discovery (e.g. discovery key). In this solution, **t**he UE-to-Network relay does not get provisioned with a set of PDU session parameters (S-NSSAI, DNN, etc.) for each Relay Service Code, and the UE-to-Network relay should be provisioned with a set of spare Relay Service Codes.

NOTE 3: For step 0a and 0b the Remote UE and the UE-to-Network relay are assumed to be in coverage. For subsequent steps 1 through 9, the Remote UE can be out of coverage, and the UE-to-Network relay is assumed to be in coverage.

**Step 1:** Remote UE discovers the UE-to-Network Relay through model A or B open or restricted discovery procedure by using one (or more) of the Relay Service Codes provisioned to the Remote UE. In this solution, the UE-to-Network relay should provide its SUCI or 5G-GUTI (i.e. ID\_Relay) and a fresh nonce N\_Relay to the Remote UE during discovery.

**Step 2:** Remote UE sends a Direct communication request to the selected relay to establish a secure PC5 unicast link for relaying. In this solution, the message includes at least the SUCI or 5G-GUTI of the Remote UE (i.e. ID\_Remote), and an encrypted Relay Service Code (RSC) together with the SUCI or 5G-GUTI of the selected UE-to-Network relay (i.e. ID\_Relay), the nonce N\_Relay received from the UE-to-Network relay, a fresh nonce N\_Remote generated by the Remote UE, and a Message Authentication Code for integrity protection of each of these parameters. The Relay Service Code and the identity of the selected UE-to-Network relay are encrypted (together) to prevent an eavesdropper to link these identities to the Remote UE, and to ensure that only the UE-to-Network relay that is selected by the Remote UE will receive the PDU session parameters from the network.

The key (K\_enc) used for encryption can be derived from the latest KAUSF of the Remote UE or from the long term security material for relay connection as received in step 0a (e.g. PRUK, using nonces N\_Relay and N\_Remote as additional input to the key derivation function.

NOTE: the selection of which key to use, and further details on the key derivation are left for normative phase, as they depend on which solution(s) are chosen for key issues #4 and #9.

Editor’s Note: Need to add more details on the derivation of the encryption key used for protection of the relay service code.

The Message Authentication Code may be calculated as follows:

MAC (K\_int, ID\_Remote | N\_Relay | N\_Remote | ENCRYPT(K\_enc, RSC | ID\_Relay) )

**Step 3:** Upon receiving the Direct Communication request, the UE-to-Network relay the relay verifies the presence of its nonce N\_Relay. If the nonce is valid, the UE-to-Network relay issues a NAS Relay Authorization Request/Key Request to the AMF. In this solution, the UE-to-Network relay includes ID\_Remote, the encrypted Relay Service Code together with SUCI/5G-GUTI of the selected UE-to-Network relay (i.e. ENCRYPT(RSC | ID\_Relay)), the nonces and the Message Authentication Code received in step 2 in the NAS Relay Authorization Request/Key Request.

Editor’s Note: It is FFS how the U2N relay can check if the nonce is valid if it cannot verify the message integrity.

**Step 4a:** The AMF together with the AUSF/UDM/PKMF derive K\_enc and K\_int based on ID\_Remote and the received nonces, and then verify the integrity of message fields and decrypt to obtain the RSC and ID\_Relay, and verify if the ID\_Relay matches the identity of the UE-to-Network Relay from which the message was received. The core network should keep track of the used nonces, and discard any message if the nonce is reused, and should also verify if the number of requests has not exceeded a maximum per time window.

Editor’s Note: it is FFS which core network entity keeps track of the used nonces.

**Step 4b:** The AMF together with the AUSF/UDM/PKMF authenticate the Remote UE and verify if the Remote UE and the selected Relay UE are authorized to set up a relay connection for the given Relay Service Code (RSC) and generate the respective key material for the remote UE and selected UE-to-Network relay. Details of this procedure can be found in the respective solution for key issue #4 and #9.

**Step 5:** In this solution, after it has been verified that the relay connection is authorized for the respective relay service code in step 4, the AMF performs the following two additional steps:

1. AMF retrieves from the PCF the PDU session parameters associated with the requested Relay Service Code (to be returned to the UE-to-Network relay).
2. AMF requests the PCF to provide a different Relay Service Code [See NOTE 2] (e.g. one of the spare Relay Service Codes or a new Relay Service Code) for the Remote UE to replace the Relay Service Code that was used during connection setup, and also prepares a fresh 5G-GUTI for the Remote UE to use for subsequent discovery and connection setup messages over PC5. The PCF should encrypt this payload for the Remote UE in a manner that it cannot be decrypted by the UE-to-Network relay (e.g. using a key derived from the latest KAUSF of the Remote UE).

**Step 6:** AMF adds the PDU session parameters for the requested Relay Service Code (as received in step 5a) and the received encrypted payload from the PCF for the Remote UE (as received in step 5b) to the NAS Relay Authorization Response/Key Response message to be sent back to the UE-to-Network Relay.

**Step 7a/b:** UE-to-Network relay uses the information received in step 6 to complete the secure link setup between the Remote UE andthe UE-to-Network relay. In this solution, the UE-to-Network relay adds the encrypted payload for the Remote UE received from the PCF (which includes the new Relay Service Code) to the Direct Security Mode Command as additional parameter.

**Step 8:** In this solution, the Remote UE updates its list of relay service codes based on theencrypted PCF payload it received in the Direct Security Mode command. The Remote UE will use the different relay service code and the received different layer-2 identifier in subsequent discovery and/or Direct Connection setup requests.

**Step 9:** During or after secure connection setup over PC5 is completed, the UE-to-Network relay configures/initiates the PDU session used for relaying with the PDU session parameters (received in step 6) related to the Relay Service Code..

**Step 10:** The UE-to-Network relay can now start relaying data from the Remote UE to the network via the selected UE-to-Network relay.

NOTE 4:At some point in time, the UE-to-Network relays and other Remote UEs may need to be updated as well (e.g. after all spare relay service codes have been used). This can be done independently using the authorization and provisioning procedure as described in steps 0a and 0b.

NOTE 5: during the time the Remote UE is connected to the UE-to-Network relay, the Remote UE and UE-to-Network relay should run the Link Identifier Update procedure as defined in TS 33.536 to change the L2 identifiers of the UEs involved in the PC5 unicast link

### 6.32.3 Evaluation

TBD.

## 6.33 Solution #33: Security establishment of one-to-one PC5 communication rekeying

### 6.33.1 Solution overview

This solution addresses the following security requirement in Key Issue #12: Security of one-to-one communication over PC5:

‘The system shall support means for a secure refresh of the UE security context.’

The initiating UE starts a Direct Rekeying Request to the receiving UE to trigger the refresh of security context between UEs, similar to the rekeying procedures as specified in clause 6.5.5.3 of TS 33.303 [6]. After receiving the Direct Rekeying Request, new root key shared only between two UEs is generated securely by running Direct authentication and key establishment. New security context is derived after the Direct Security Mode Command message based on the new root key.

### 6.33.2 Solution details

Initiating UE

Receiving UE

2. Direct rekeying Request ( Initiating UE's security capabilities )

3. Direct Auth and Key Establishment

4. Direct Security Mode Command( Chosen\_algs, Initiating UE's security capabilities )

5. Direct Security Mode Complete ( )

1. existing One-to-One Communication

6. Direct Rekeying Accept ( )

0. ProSe Parameter pre-configuration and previsioning

Figure 6.33.2-1 Procedures for one-to-one communication rekeying over PC5

0. ProSe security-related parameter (for one-to-one secure communication over PC5) pre-configuration and provisioning.

1. The initiating UE and receiving UE already have established ProSe one-to-one communication.

2. The initiating UE starts a Direct Rekeying Request message containing the initiating UE’s security capabilities. The initiating UE’s security capabilities are the confidentiality and integrity protection algorithms that the initiating UE accepts for this connection. The message may also include a Re-auth Flag, if UE\_1 wants to rekey the root key between the initiating UE and the receiving UE

3. The receiving UE may initiate the Direct authentication and key establishment procedures with the initiating UE. This is mandatory if the Re-auth Flag appears in the Direct Rekeying Request message. The new root key shared only between two UEs is generated securely after Direct authentication and key establishment procedures.

4. The receiving UE uses the Chosen\_algs to indicate the selected confidentiality and integrity protection algorithms of this link and includes the Chosen\_algs in the Direct Security Mode Command message. The initiating UE’s security capabilities are sent back to the initiating UE. New security context is derived by using the new root key generated in step 3. The receiving UE integrity protects the Direct Security Mode Command message with the new security context before sending it to the initiating UE.

Editor’s Note: How the UEs derive new session keys based on this rekeying procedure is FFS.

Editor’s Note: The parameters that is included in Direct Rekey Request and Direct Security Mode Command is FFS.

NOTE: The security activation status of both signalling and user plane remain the same for the lifetime of this PC5 link. The signalling and user plane use the same security algorithm if they have the same security activation status.

5. The initiating UE derives the new security context using the new root key generated in step 3. After the Direct Security Mode Command message passes integrity check, the initiating UE is then ready to both send and receive both signalling and user plane traffic protected with the new security context. The initiating UE sends the Direct Security Mode Complete message protected with new security context to the receiving UE.

6. The receiving UE replies the Direct Rekeying Accept message to accept the Direct Rekeying Request.

### 6.33.3 Evaluation

The Solution #33 addresses the security requirement of secure refresh of UE security context in key issue #12. The secure refresh of UE security context is based on the root key that is securely established only between two UEs using the Direct authentication and key establishment procedure.

## 6.34 Solution #34: Authorization of the remote UE in L3 U2N relay

### 6.34.1 Introduction

This solution addresses KI #4. This solution provides a mechanism to authorize a remote UE’s access to (1) a specific network slice(s) that requires slice-specific authentication and (2) a DN that requires a secondary authentication.

### 6.34.2 Solution details

This solution reuses the existing slice-specific authentication and secondary authentication procedures specified in TS 33.501 [14].

To access a DN that requires a secondary authentication, the remote UE establishes an IPsec connection with the N3IWF via a L3 U2N relay if it has not been established yet, and then requests a PDU session via the N3IWF. The secondary authentication procedure is performed as specified in TS 33.501 [14].

To access a network slice that requires a slice-specific authentication, the remote UE establishes an IPsec connection with the N3IWF via a L3 U2N relay if it has not been established yet, and then sends a Registration Request or a Service Request to access the slice. The slice-specific authentication procedure is performed as specified in TS 33.501 [14].

Editor’s Note: Details on how the Remote UE is authorized to access a PDU Session/Slice to reach N3IWF to perform secondary A&A or NSSAA are FFS.

### 6.34.3 Evaluation

TBD.

## 6.35 Solution #35: Discovery procedures for UE-to-network relays

### 6.35.1 Introduction

This solution describes how the Remote UE and the UE-to-network relay retrives the discovery keys for the corresponding Relay Service Code. This solution addresses key issues #2 and #10.

NOTE: This solution requires Remote UE to be in coverage to obtain the discovery keys.

Editor’s Note: The architecture of this solution needs to be aligned with SA2.

### 6.35.2 Solution details

#### 6.35.2.1 Commercial applications are dependent on the VPLMNs

When the commercial applications are dependent on the VPLMNs (Visiting PLMN), i.e. the Remote UE only connects to the relays (i.e. UE-to-network relays) that are being served by some specific PLMNs, the Remote UE sends key request to the 5GDDNMF of its HPLMN and provides a list of VPLMNs, then its 5GDDMNF (5GDDNMF of the Remote UE) will contact the 5GDDMNFs of the VPLMNs to get the discovery keys. The UE-to-network relay gets the discovery key in the same way as the Remote UE. The procedures are shown below:



Figure 6.35.2.1-1: Discovery key provisioning (Commercial applications are dependent on the VPLMNs)

Step 0: The Remote UE connects to the network and get authorized to be a Remote UE. The Remote UE also gets the address of the 5GDDNMF of its HPLMN.

NOTE: Whether the Remote UE ID consists of one or more of the following parameters: ProSe application id, ProSe application user id and/or GPSI of the Remote UE is for SA2 to decide.

Editor’s Note: The list of potential VPLMN’s to obtain discovery keys from could for example be provisioned to the Remote UE from the PCF as well as Remote UE searching frequency, cell selection etc. But there could be other options as well and this is FFS.

Step 1: The Remote UE establishes a secure connection with its 5GDDNMF of the Remote UE of its HPLMN. The Remote UE ID is authenticated and authorized by the 5GDDNMF of the Remote UE. As this connection is established in the user plane, the same mechanism as used to protect the PC3 interface can be re-used. Either solution #5 or solution #11 can be used for securing the connection.

NOTE: The VPLMN DDNMF needs to trust that the HPLMN DDNMF has performed the authorization of the Remote UE to use this relay service.

Step 2: The Remote UE sends the key request to its 5GDDNMF of its HPLMN to get the discovery key. The key request includes at least the following information: the list of PLMN IDs that the Remote UE will potentially visit (note that the list of PLMN IDs can also include its HPLMN ID) , the Remote UE ID, ProSe application ID and Relay service code(s).

Step 3: The 5GDDNMF of the HPLMN of the Remote UE checks if the Remote UE can consume or provide relay service in those PLMNs.

Step 4: If the check in step 3 is successful, then the 5GDDNMF in the HPLMN of the Remote UE sends the key request to the 5GDDNMF of every PLMN in the list.

Step 5: For every PLMN in the PLMN list, its 5GDDNMF receives the key request sent by the 5GDDNMF of the HPLMN of the Remote UE. The 5GDDNMF checks if the Remote UE can consume or provide relay service in the PLMN. If the check is successful, the 5GDDNMF will generate the discovery key corresponding to the relay service code and its PLMN ID. If the key management is done by another network function or an AF, the 5GDDNMF will contact that NF or AF to get the discovery key.

Editor’s Note: When and how often the discovery key needs to be generated requires more clarification and is FFS.

Step 6: For every PLMN in the PLMN list, its 5GDDNMF sends the key response to the 5GDDNMF of the HPLMN of the Remote UE. The key response message include at least the following information: PLMN ID, Remote UE ID, ProSe application ID, Relay service code, Discovery key.

Step 7: After receiving the key response from other 5GDDNMF, the 5GDDNMF of the HPLMN sends the key response to the Remote UE. The key response message includes at least the following information: PLMN IDs, Remote UE ID, ProSe application ID, Relay service code(s), Discovery keys.

#### 6.35.2.2 Commercial applications are dependent on the HPLMNs of the relays

In this scenario, the procedure for the Remote UE is the same as section 6.35.2.1. The PLMN list sent in step 1 in section 6.35.2.1 indicates HPLMNs of the UE-to-network relays that the Remote UE wants to discover. When a 5GDDNMF receives the key request, it checks if the Remote UE can discover the relay belonging to the corresponding PLMN.

In this scenario, the procedure for the UE-to-network relay is simpler than section 6.35.2.1. The 5GDDNMF of the UE-to-network relay does not need to contact 5GDDNMFs in other PLMNs. The procedure is shown in below.



Figure 6.35.2.2-1: Discovery key provisioning (Commercial applications are dependent on the HPLMN of the Relays)

Step 0: The UE-to-network relay connects to the network and get authorized to be a relay. The UE-to-network relay also gets the address of the 5GDNNMF of its HPLMN and the Relay Service Code.

NOTE: Whether the Remote UE ID consists of one or more of the following parameters: ProSe application id, ProSe application user id and/or GPSI of the UE-to-network relay is for SA2 to decide.

Step 1: The UE-to-network relay establishes a secure connection with its 5GDDNMF of the UE-to-network relay of its HPLMN. The UE-to-network relay ID is authenticated and authorized by the 5GDDNMF of the UE-to-network relay. As this connection is established in the user plane, the same mechanism as used to protect the PC3 interface can be re-used. Either solution #5 or solution #11 can be used for securing the connection.

Step 2: The UE-to-network relay sends the key request to its 5GDDNMF of its HPLMN to get the discovery key. In the key request includes at least the following information: optionally its own HPLMN ID, UE-to-network relay ID, ProSe application ID and Relay service code.

Step 3: The 5GDDNMF of the HPLMN of the UE-to-network relay checks if the UE-to-network relay can consume or provide relay service in this PLMN.

Step 4: If the check in step 3 is successful, then the 5GDDNMF will generate the discovery key corresponding to the relay service code and its PLMN ID. If the key management is done by another network function or an AF, the 5GDDNMF will contact that NF or AF to get the discovery key.

Editor’s Note: When and how often the discovery key needs to be generated requires more clarification and is FFS.

Step 5: The 5GDDNMF sends the key response to the UE-to-network relay. The key response message includes at least the following information: optionally the PLMN ID, UE ID, ProSe application ID, Relay service code, Discovery key.

NOTE: The PLMN ID in step 2 and step 5 is optional, since the 5GDDNMF know its own PLMN ID.

### 6.35.3 Evaluation

Editor’s Note: Each solution should motivate how the potential security requirements of the key issues being addressed are fulfilled.

## 6.Y Solution #Y: <Solution Name>

### 6.Y.1 Introduction

Editor’s Note: Each solution should list the key issues being addressed.

### 6.Y.2 Solution details

### 6.Y.3 Evaluation

Editor’s Note: Each solution should motivate how the potential security requirements of the key issues being addressed are fulfilled.

# 7 Conclusions

Editor’s Note: This clause contains the agreed conclusions.

Annex <X> (informative):  
Change history

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Change history** | | | | | | | |
| **Date** | **Meeting** | **TDoc** | **CR** | **Rev** | **Cat** | **Subject/Comment** | **New version** |
| 2020-08 | SA3#100e | S3-201804 |  |  |  | Skeleton | 0.0.0 |
| 2020-08 | SA3#100e | S3-202145 |  |  |  | S3-201804, S3-202144, S3-201826, S3-201756, S3-202129, S3-201757, S3-202130, S3-202147, S3-201616, S3-202157, S3-202146, S3-201618, S3-202064, S3-202066, S3-202065, S3-202067 implemented | 0.1.0 |
| 2020-09 |  |  |  |  |  | Wrong version of the specification was uploaded to the 3GU Portal | 0.1.1 |
| 2020-10 | SA3#100bis-e | S3-202775 |  |  |  | S3-202381, S3-202472, S3-202666, S3-202754, S3-202755, S3-202705, S3-202706, S3-202763, S3-202673, S3-202773, S3-202774, S3-202708, S3-202713, S3-202689, S3-202780, S3-202769, S3-202700, S3-202701, S3-202683, S3-202684, S3-202714 implemented | 0.2.0 |
| 2020-11 | SA3#101-e | S3-203459 |  |  |  | S3-203358, S3-203362, S3-203369, S3-203351, S3-203425, S3-203426, S3-203430, S3-203431, S3-203448, S3-203015, S3-203016, S3-203440, S3-203019, S3-203432, S3-203442, S3-203462, S3-203416, S3-203417, S3-203418, S3-203373, S3-203341, S3-203391, S3-203307, S3-203340, S3-203396, S3-203334 implemented | 0.3.0 |
| 2021-01 | SA3#102-e | S3-210676 |  |  |  | S3-210636, S3-210637, S3-210555, S3-210557, S3-210598, S3-210601, S3-210600, S3-210125, S3-210174, S3-210175, S3-210618, S3-210619, S3-210208, S3-210659, S3-210660, S3-210247, S3-210662, S3-210663, S3-210664, S3-210665, S3-210680, S3-210674, S3-210675, S3-210299, S3-210652, S3-210585, S3-210586, S3-210587, S3-210577, S3-210608, S3-210484, S3-210609, S3-210607, S3-210597 implemented | 0.4.0 |
| 2021-03 | SA3#102bis-e | S3-211274 |  |  |  | S3-211240, S3-211242, S3-210827, S3-211179, S3-211185, S3-210850, S3-211174, S3-211175, S3-211202, S3-211203, S3-211295, S3-211296, S3-211297, S3-210894, S3-210895, S3-211298, S3-211309,, S3-211310, S3-211311, S3-211312, S3-211272, S3-211273, S3-211034, S3-211291, S3-211065, S3-211292, S3-211289, S3-211290, S3-211328, S3-211229, S3-211230, S3-211104, S3-211198, S3-211199, S3-211258 implemented | 0.5.0 |