Source: SA5 (Telecom Management)

Title: 5 Rel-99/4/5 CR 32104/32.401 (Performance Management;

Concept and requirements)

Document for: Approval

Agenda Item: 7.5.3

Doc-1st-	Spec	CR	R	Ph	Subject	Ca	Ver	Doc-2nd-	Workite	Relation
SP-030291	32.104	011	-	R99	Remove ambiguity in NE file generation behaviour in case of multiple	F	3.5.0	S5-038238	OAM-PM	Parent
					granularity periods					
SP-030291	32.401	005	-	Rel-4	Clarification of NE file generation behaviour in case of multiple granularity periods	F	4.2.0	S5-038239	OAM-PM	Mirror
SP-030291	32.401	006	-	Rel-5	Clarification of NE file generation behaviour in case of multiple granularity periods.	F	5.1.0	S5-038240	OAM-PM	Mirror
SP-030291	32.401	007	-	Rel-4	Correction of Measurement Result File Name Definition for alignment with Windows based systems	F	4.2.0	S5-038241	OAM-PM	Parent
SP-030291	32.401	800	-	Rel-5	Correction of Measurement Result File Name Definition for alignment with Windows based systems	F	5.1.0	S5-038242	OAM-PM	Mirror

Meeting #33, Phoenix, USA, 24-28 February 2003 CR-Form-v7 CHANGE REQUEST \mathfrak{R} Current version: 32,104 CR 011 **# rev** For **HELP** on using this form, see bottom of this page or look at the pop-up text over the **%** symbols. UICC apps# ME Radio Access Network X Core Network X Proposed change affects: Title: Remove ambiguity in NE file generation behaviour in case of multiple granularity Source: \mathfrak{R} S5 Work item code:

★ OAM-PM Date: # 11/04/2003 Category: ж F Release: # R99 Use one of the following categories: Use one of the following releases: F (correction) (GSM Phase 2) **A** (corresponds to a correction in an earlier release) R96 (Release 1996) **B** (addition of feature), R97 (Release 1997) **C** (functional modification of feature) R98 (Release 1998) **D** (editorial modification) R99 (Release 1999) Detailed explanations of the above categories can Rel-4 (Release 4) be found in 3GPP TR 21.900. Rel-5 (Release 5) Rel-6 (Release 6) Reason for change: # The behaviour of the NE is not clear in event of multiple granularity periods coming to an end at the same time. This CR aims to clarify the behaviour expected by the NE in such a scenario. Add a sentence clarifying the behaviour of the NE in event of multiple granularity Summary of change: ₩ periods coming to an end at the same time. Consequences if Ambiguous interpretation of requirements is possible. not approved: Clauses affected: Annex B.1.1.1 ж Other specs X Other core specifications

How to create CRs using this form:

affected:

Other comments:

Rel-4/5 32.401

Rel-4/5 Mirror CR 32.401 attached in S5-038239 (Rel-4) & S5-038240 (Rel-5).

Test specifications O&M Specifications

Change in Clause Annex B.1.1.1

B.1.1.1 NE based approach

The NE shall generate one file immediately at the end of each granularity period. This file shall contain all measurement results produced by the NE within that granularity period. For example, if a NodeB runs 10 measurements with a granularity period of 15 minutes and 5 measurements with a granularity period of 5 minutes, then it shall generate one file containing 10 results every 15 minutes, and one file containing 5 measurement results every five5 minutes.

In the event of two or more granularity periods coming to an end at the same time, the NE shall generate one file per granularity period. Hence in the above example, the NodeB shall generate 2 files – one containing 10 results (15min granularity period) and the other containing 5 measurement results (5min granularity period), when the end time of the granularity periods coincide.

The NE and the granularity period shall be identified both in the file name and the file contents. NE identifiers (names) used for the files shall be in accordance with the NE naming conventions defined in 3GPP TS 32.106 [3]. The file shall be available for transfer to or collection by the NM as soon as all applicable results have been assembled.

Each NE is responsible for the generation and maintenance of the files pertaining to its own measurements (i.e. the measurements it executes). In particular, this implies that the RNC is not involved in the generation, provision or transfer of measurement result files of its controlled NodeBs, i.e. for the measurements defined for the NodeB in the present document, no results will be sent via the Iub interface. (Note that NodeB measurement results may be routed across the same physical interface as Iub, see 3GPP TS 25.442 [4] for details).

End of Change in Annex B.1.1.1
End of Document

CR-Form-v7 CHANGE REQUEST											I-v7			
*	32.	401	CR	800	₩ I	rev	-	\mathfrak{H}	Curre	ent vers	sion:	5.1.	0 #	
For <u>HELP</u> on using this form, see bottom of this page or look at the pop-up text over the % symbols.														
Proposed change affects: UICC apps# ME Radio Access Network X Core Network											Network	X		
Title: 第		rection ed sys		easureme	nt Resul	t File N	Name	e Det	finition	for ali	gnme	nt with	Windows	
Source: #	S5													
Work item code: ₩	OAI	M-PM							D	Date:	11/	04/200	3	
Category:	Use of	F (corn A (corn B (add C (fun D (edi led exp	rection) respon dition of ctional torial m blanatic	owing cated of the storage of the storage of the storage of the attraction of the attraction of the attraction.	rection in n of featu	ıre)		lease	Use 2 e) H H H H H	ase: % one of 2 R96 R97 R98 R99 R99 Rel-4 Rel-5 Rel-6	the for (GSN) (Rele (Rele (Rele (Rele (Rele	-	96) 97) 98)	
Reason for change	e: #			ent Result ased syst		ne is no	ot co	mpa	tiable	with so	me fil	e syste	ms, e.g.	
Summary of chang	ge:₩			in the Mea									e charact	er
Consequences if not approved:	Ж	and l	be non	Measurer i-complian ws based	nt in orde	r to be								€.
Clauses affected:	ж	Anne	ex B.1.	2										
Other specs affected:	¥	Y N X X	Test	r core spe specificati Specifica	ons	าร	¥							
Other comments:	æ	Rel-	Mirro	r of Rel-4	CR 32 4	101 att	ache	d in	S5-03	8241				

How to create CRs using this form:

Change in Annex B.1.2

B.1.2 File naming

The following convention shall be applied for measurement result file naming:

<Type><Startdate>.<Starttime>-[<Enddate>.]<Endtime>_[<UniqueId>][:_-_<RC>]

- 1) The Type field indicates if the file contains measurement results for single or multiple NEs and/or granularity periods, where:
 - "A" means single NE, single granularity period;
 - "B" indicates multiple NEs, single granularity period;
 - "C" signifies single NE, multiple granularity periods;
 - "D" stands for multiple NEs, multiple granularity periods.

Note that files generated by the NEs will always have the Type field set to "A".

- 2) The Startdate field indicates the date when the granularity period began if the Type field is set to A or B. If the Type field is either "C" or "D" then Startdate contains the date when the first granularity period of the measurement results contained in the file started. The Startdate field is of the form YYYYMMDD, where:
 - YYYY is the year in four-digit notation;
 - MM is the month in two digit notation (01 12);
 - DD is the day in two digit notation (01 31).
- 3) The Starttime field indicates the time when the granularity period began if the Type field is set to A or B. If the Type field is either "C" or "D" then Starttime contains the time when the first granularity period of the measurement results contained in the file began. The Starttime field is of the form HHMMshhmm, where:
 - HH is the two digit hour of the day (local time), based on 24 hour clock (00 23);
 - MM is the two digit minute of the hour (local time), possible values are 00, 05, 10, 15, 20, 25, 30, 35, 40, 45, 50, and 55;
 - s is the sign of the local time differential from UTC (+ or -), in case the time differential to UTC is 0 then the sign may be arbitrarily set to "+" or "-";
 - hh is the two digit number of hours of the local time differential from UTC (00-23);
 - mm is the two digit number of minutes of the local time differential from UTC (00-59).
- 4) The Enddate field shall only be included if the Type field is set to "C" or "D", i.e. measurement results for multiple granularity periods are contained in the file. It identifies the date when the last granularity period of these measurements ended, and its structure corresponds to the Startdate field.
- 5) The Endtime field indicates the time when the granularity period ended if the Type field is set to A or B. If the Type field is either "C" or "D" then Endtime contains the time when the last granularity period of the measurement results contained in the file ended. Its structure corresponds to the Starttime field, however, the allowed values for the minute of the hour are 05, 10, 15, 20, 25, 30, 35, 40, 45, 50, 55, and 00.
- 6) UniqueId. This is the name of the NE, EM or domain, as defined in clauses B.1.1.1 and B.1.1.2 (e.g. a distinguishedName). The field may be omitted only if the distinguishedName is not available from the CM applications.
- 7) The RC parameter is a running count, starting with the value of "1", and shall be appended only if the filename is otherwise not unanimous, i.e. more than one file is generated and all other parameters of the file name are identical. Therefore it may only be used by the EM, since the described situation can not occur with NE generated files. Note that the delimiter for this field, _-_, is an underscore character (_), followed by a minus character (_), followed by an underscore character (_).

Some examples describing file naming convention:

- 1) file name: A20000626.2315+0200-2330+0200_NodeBId, meaning: file produced by NodeB <NodeBId> on June 26, 2000, granularity period 15 minutes from 23:15 local to 23:30 local, with a time differential of +2 hours against UTC.
- 2) file name: B20021224.1700-1130-1705-1130_EMId, meaning: file containing results for multiple NEs, produced by EM <EMId> on December 24, 2002, granularity period 5 minutes from 17:00 local to 17:05 local, with a time differential of –11:30 hours against UTC.
- 3) file name: D20050907.1030+0000-20050909.1500+0000_DomainId÷_-_2, meaning: file containing results for NEs belonging to domain <DomainId>, start of first granularity period 07 September 2005, 10:30 local, end of last granularity period 09 September 2005, 15:00 local, with a time differential of 0 against UTC. This file is produced by the EM managing the domain, and it is the second file for this domain/granularity periods combination.

End of Change in Annex B.1.2 End of Document

CHANGE REQUEST											R-Form-v7			
							`	•						
*	32	.401	CR (007	жr	ev	-	¥	Current	vers	ion:	4.2.)	
For HELP on using this form, see bottom of this page or look at the pop-up text over the % symbols.														
Proposed change affects: UICC apps# ME Radio Access Network X Core Network											work X			
Title:		rrectio sed sys		asuremer	nt Result	File N	lame	Defi	inition fo	r alig	nmer	nt with \	Vind	dows
Source:	S5													
Work item code: 3	8 OA	M-PM							Date	e: #	11/0	04/2003	3	
Category: 3	Deta	F (cor A (cor B (add C (fun D (edi ailed ex	rection) responds dition of f ctional m torial mo planation	wing catego s to a correleature), nodification dification) s of the al R 21.900.	ection in a	re)		lease	2	ne of 6 7 8 9 1-4 1-5	(GSM (Rele (Rele (Rele (Rele (Rele	-4 Ilowing I I Phase ase 199 ase 199 ase 199 ase 4) ase 5) ase 6)	2) 6) 7) 8)	oses:
Reason for chang	e: #	Mea	sureme	nt Result	file name	e is no	ot con	npati	iable wit	hav	vindo	vs base	ed s	vstem.
Reason for change: Measurement Result file name is not compatiable with a windows based s Summary of change: Change :: in the Measurement Result file name to '' (an underscore change) followed by a minus character followed by an underscore character)														
Consequences if not approved:	*	and	be non-	leasurem compliant s based s	t in order									
Clauses affected:	ж	Anne	ex B.1.2											
Other specs affected:	ж	Y N X X	Test s	core spec pecification	ons tions				5 32.401					
Other comments:	${\tt x}$	Rel-	Mirror	CR 32.40	J1 attach	ed in	\$5-03	3824	2.					

How to create CRs using this form:

Change in Annex B.1.2

B.1.2 File naming

The following convention shall be applied for measurement result file naming:

<Type><Startdate>.<Starttime>-[<Enddate>.]<Endtime>_[<UniqueId>][_-_:<RC>]

- 1) The Type field indicates if the file contains measurement results for single or multiple NEs and/or granularity periods, where:
 - "A" means single NE, single granularity period;
 - "B" indicates multiple NEs, single granularity period;
 - "C" signifies single NE, multiple granularity periods;
 - "D" stands for multiple NEs, multiple granularity periods.

Note that files generated by the NEs will always have the Type field set to "A".

- 2) The Startdate field indicates the date when the granularity period began if the Type field is set to A or B. If the Type field is either "C" or "D" then Startdate contains the date when the first granularity period of the measurement results contained in the file started. The Startdate field is of the form YYYYMMDD, where:
 - YYYY is the year in four-digit notation;
 - MM is the month in two digit notation (01 12);
 - DD is the day in two digit notation (01 31).
- 3) The Starttime field indicates the time when the granularity period began if the Type field is set to A or B. If the Type field is either "C" or "D" then Starttime contains the time when the first granularity period of the measurement results contained in the file began. The Starttime field is of the form HHMMshhmm, where:
 - HH is the two digit hour of the day (local time), based on 24 hour clock (00 23);
 - MM is the two digit minute of the hour (local time), possible values are 00, 05, 10, 15, 20, 25, 30, 35, 40, 45, 50, and 55;
 - s is the sign of the local time differential from UTC (+ or -), in case the time differential to UTC is 0 then the sign may be arbitrarily set to "+" or "-";
 - hh is the two digit number of hours of the local time differential from UTC (00-23);
 - mm is the two digit number of minutes of the local time differential from UTC (00-59).
- 4) The Enddate field shall only be included if the Type field is set to "C" or "D", i.e. measurement results for multiple granularity periods are contained in the file. It identifies the date when the last granularity period of these measurements ended, and its structure corresponds to the Startdate field.
- 5) The Endtime field indicates the time when the granularity period ended if the Type field is set to A or B. If the Type field is either "C" or "D" then Endtime contains the time when the last granularity period of the measurement results contained in the file ended. Its structure corresponds to the Starttime field, however, the allowed values for the minute of the hour are 05, 10, 15, 20, 25, 30, 35, 40, 45, 50, 55, and 00.
- 6) UniqueId. This is the name of the NE, EM or domain, as defined in clauses B.1.1.1 and B.1.1.2 (e.g. a distinguishedName). The field may be omitted only if the distinguishedName is not available from the CM applications.

7) The RC parameter is a running count, starting with the value of "1", and shall be appended only if the filename is otherwise not unanimous, i.e. more than one file is generated and all other parameters of the file name are identical. Therefore it may only be used by the EM, since the described situation can not occur with NE generated files. Note that the delimiter for this field, _-_, is an underscore character (_), followed by a minus character (_), followed by an underscore character (_).

Some examples describing file naming convention:

- 1) file name: A20000626.2315+0200-2330+0200_NodeBId, meaning: file produced by NodeB <NodeBId> on June 26, 2000, granularity period 15 minutes from 23:15 local to 23:30 local, with a time differential of +2 hours against UTC.
- 2) file name: B20021224.1700-1130-1705-1130_EMId, meaning: file containing results for multiple NEs, produced by EM <EMId> on December 24, 2002, granularity period 5 minutes from 17:00 local to 17:05 local, with a time differential of –11:30 hours against UTC.
- 3) file name: D20050907.1030+0000-20050909.1500+0000_DomainId_-_-;2, meaning: file containing results for NEs belonging to domain <DomainId>, start of first granularity period 07 September 2005, 10:30 local, end of last granularity period 09 September 2005, 15:00 local, with a time differential of 0 against UTC. This file is produced by the EM managing the domain, and it is the second file for this domain/granularity periods combination.

End of Change in Annex B.1.2 End of Document

CR-Form-v7 CHANGE REQUEST \mathfrak{R} 32,401 CR 006 Current version: **# rev** For **HELP** on using this form, see bottom of this page or look at the pop-up text over the **%** symbols. UICC apps# ME Radio Access Network X Core Network X Proposed change affects: Title: Clarification of NE file generation behaviour in case of multiple granularity periods. Source: S₅ Work item code:

★ OAM-PM Date: # 11/04/2003 Category: Release: # Rel-5 Use one of the following categories: Use one of the following releases: F (correction) 2 (GSM Phase 2) A (corresponds to a correction in an earlier release) (Release 1996) R96 **B** (addition of feature), R97 (Release 1997) **C** (functional modification of feature) R98 (Release 1998) **D** (editorial modification) (Release 1999) R99 Detailed explanations of the above categories can Rel-4 (Release 4) be found in 3GPP TR 21.900. Rel-5 (Release 5) Rel-6 (Release 6) Reason for change: # The behaviour of the NE is not clear in event of multiple granularity periods coming to an end at the same time. This CR aims to clarify the behaviour expected by the NE in such a scenario. Summary of change: ₩ Add a sentence clarifying the behaviour of the NE in event of multiple granularity periods coming to an end at the same time. Consequences if Multiple interpretations of requirements. not approved: Clauses affected: Annex B.1.1.1 ж X Other specs \mathfrak{R} Other core specifications \mathfrak{R} affected: Test specifications **O&M Specifications**

How to create CRs using this form:

Other comments:

Rel-5 Mirror of Rel-99 CR 32.104 attached in S5-038238.

Change in Clause Annex B.1.1.1

B.1.1.1 NE based approach

The NE shall generate one file immediately at the end of each granularity period. This file shall contain all measurement results produced by the NE within that granularity period. For example, if a NodeB runs 10 measurements with a granularity period of 15 minutes and 5 measurements with a granularity period of 5 minutes, then it shall generate one file containing 10 results every 15 minutes, and one file containing 5 measurement results every five5 minutes.

In the event of two or more granularity periods coming to an end at the same time, the NE shall generate one file per granularity period. Hence in the above example, the NodeB shall generate 2 files – one containing 10 results (15min granularity period) and the other containing 5 measurement results (5min granularity period), when the end time of the granularity periods coincide.

The NE and the granularity period shall be identified both in the file name and the file contents. NE identifiers (names) used for the files shall be in accordance with the NE naming conventions defined in 3GPP TS 32.300 [10]. The file shall be available for transfer to or collection by the NM as soon as all applicable results have been assembled.

Each NE is responsible for the generation and maintenance of the files pertaining to its own measurements (i.e. the measurements it executes). In particular, this implies that the RNC is not involved in the generation, provision or transfer of measurement result files of its controlled NodeBs, i.e. for the measurements defined for the NodeB in the present document, no results will be sent via the Iub interface. (Note that NodeB measurement results may be routed across the same physical interface as Iub, see 3GPP TS 25.442 [4] for details).

End of Change in Annex B.1.1.1
End of Document

CR-Form-v7 CHANGE REQUEST \mathfrak{R} 32,401 CR 005 Current version: **# rev** For **HELP** on using this form, see bottom of this page or look at the pop-up text over the **%** symbols. UICC apps# ME Radio Access Network X Core Network X Proposed change affects: Title: Clarification of NE file generation behaviour in case of multiple granularity periods Source: S₅ Work item code:

★ OAM-PM Date: # 11/04/2003 Category: Release: # Rel-4 Use one of the following categories: Use one of the following releases: F (correction) 2 (GSM Phase 2) A (corresponds to a correction in an earlier release) (Release 1996) R96 **B** (addition of feature), R97 (Release 1997) **C** (functional modification of feature) R98 (Release 1998) **D** (editorial modification) (Release 1999) R99 Detailed explanations of the above categories can Rel-4 (Release 4) be found in 3GPP TR 21.900. Rel-5 (Release 5) Rel-6 (Release 6) Reason for change: # The behaviour of the NE is not clear in event of multiple granularity periods coming to an end at the same time. This CR aims to clarify the behaviour expected by the NE in such a scenario. Summary of change: ₩ Add a sentence clarifying the behaviour of the NE in event of multiple granularity periods coming to an end at the same time. Consequences if Multiple interpretations of requirements. not approved: Clauses affected: Annex B.1.1.1 ж X Other specs \mathfrak{R} Other core specifications affected: Test specifications **O&M Specifications** Rel-5 32.401 Other comments: # Rel-4 Mirror of Rel-99 CR 32.104 attached in S5-038238.

How to create CRs using this form:

Rel-5 Mirror CR 32.401 attached in S5-038240.

Change in Clause Annex B.1.1.1

B.1.1.1 NE based approach

The NE shall generate one file immediately at the end of each granularity period. This file shall contain all measurement results produced by the NE within that granularity period. For example, if a NodeB runs 10 measurements with a granularity period of 15 minutes and 5 measurements with a granularity period of 5 minutes, then it shall generate one file containing 10 results every 15 minutes, and one file containing 5 measurement results every 5 five minutes.

In the event of two or more granularity periods coming to an end at the same time, the NE shall generate one file per granularity period. Hence in the above example, the NodeB shall generate 2 files – one containing 10 results (15min granularity period) and the other containing 5 measurement results (5min granularity period), when the end time of the granularity periods coincide.

The NE and the granularity period shall be identified both in the file name and the file contents. NE identifiers (names) used for the files shall be in accordance with the NE naming conventions defined in 3GPP TS 32.300 [10]. The file shall be available for transfer to or collection by the NM as soon as all applicable results have been assembled.

Each NE is responsible for the generation and maintenance of the files pertaining to its own measurements (i.e. the measurements it executes). In particular, this implies that the RNC is not involved in the generation, provision or transfer of measurement result files of its controlled NodeBs, i.e. for the measurements defined for the NodeB in the present document, no results will be sent via the Iub interface. (Note that NodeB measurement results may be routed across the same physical interface as Iub, see 3GPP TS 25.442 [4] for details).

End of Change in Annex B.1.1.1
End of Document