**3GPP TSG-RAN WG4 Meeting # 98-bis-e R4-2105688**

**Electronic Meeting, 12th – 20th April, 2021**

**Agenda item:** 8.5.1, 8.5.2.2

**Source:** Moderator (MediaTek inc.)

**Title:** Email discussion summary for [98-bis-e][218] NR\_MG\_enh\_1

**Document for:** Information

# Introduction

This document is the email discussion summary for [98-bis-e][218] NR\_MG\_enh\_1 with the following topics covered

* Topic 1: General (AI 8.5.1)
* Topic 2: Multiple concurrent and independent MG patterns (AI 8.5.2.2)

List of candidate target of email discussion for 1st round and 2nd round

* 1st round: Decide on the scope, priority, options and tentative agreement to be discussed in the 2nd round. Conclude issues with strict consensus, if any.
* 2nd round: Conclude the issues identified in the 1st round.

# Topic #1: General (AI 8.5.1)

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| **T-doc number** | **Company** | **Proposals / Observations** |
| R4-2104581 | MediaTek inc | **Proposal 1**: RAN4 to agree on the latest RRM work plan for “R17 NR and MR-DC measurement gap enhancements WI” as presented in this contribution. |

## Open issues summary

### Sub-topic 1-1 Work plan

**Issue 1-1: Workplan proposals**

* Proposals
  + Option 1: R4-2104581 (extend core part by 2 quarters according to RAN#90e decision in PR-210679)
* Recommended WF
  + Agree on the updated workplan in R4-2104581

## Companies views’ collection for 1st round

### Open issues

**Issue 1-1: Workplan proposals**

|  |  |
| --- | --- |
| **Company** | **Comments** |
| CMCC | In general, we are OK with the WP. One small comment, according to the WP, the updated WP is discussed in #98e, but it should be in #98b-e. |
|  |  |
|  |  |
|  |  |

### CRs/TPs comments collection

Moderator: No CRs/TPs in this AI

## Summary for 1st round

### Open issues

*Moderator tries to summarize discussion status for 1st round, list all the identified open issues and tentative agreements or candidate options and suggestion for 2nd round i.e. WF assignment.*

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic #1** | *Tentative agreements:*  *Candidate options:*  *Recommendations for 2nd round:* |

### CRs/TPs

*Moderator tries to summarize discussion status for 1st round and provides recommendation on CRs/TPs Status update*

*Note: The tdoc decisions shall be provided in Section 3 and this table is optional in case moderators would like to provide additional information.*

|  |  |
| --- | --- |
| **CR/TP number** | **CRs/TPs Status update recommendation** |
| XXX | *Based on 1st round of comments collection, moderator can recommend the next steps such as “agreeable”, “to be revised”* |

## Discussion on 2nd round (if applicable)

# Topic #2: Multiple concurrent and independent MG patterns (AI 8.5.2.2)

*Main technical topic overview. The structure can be done based on sub-agenda basis.*

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| **T-doc number** | **Company** | **Proposals / Observations** |
| R4-2104583 | MediaTek inc. | ***Observation 1: Without clear indication, NW and UE may have different understanding on the usage of the new gap.***  ***Proposal 1: Independent gaps are independent configurations by NW. In the same FR at least one of the configurations in MGL, MGRP, time offset is different.***  ***Proposal 2: UE’s behavior for gaps’ non-overlapping, partially or fully overlapping cases in time domain is irrelevant to the definition of independent gaps.***  ***Proposal 3: The definitions of concurrent gaps and independent gaps should be merged. RAN4 does not need to specify 2 separate definitions.***  ***Proposal 4: The common period of time is the duration in which UE can see at least two activated measurement gaps in one FR during this time period.***  ***Proposal 5: The concurrent (and independent) gaps shall be multiple activated measurement gaps in at least one FR that are independent configured for measurements during a common period of time.***  ***Proposal 6: NW shall clearly indicate at least single specific usage (MO, RS or RAT) and a type (legacy, pre-configured or NCSG) for the new gap together with measurement gap’s configuration. The max number of usages for one new gap is FFS.***  ***Proposal 7: For any gap occasion that the concurrent gaps are partially or fully overlapping in their durations, they are treated as fully overlapped duration in that occasion.***  ***Proposal 8: UE will not perform the measurements on more than one frequency layers during a fully overlapped duration for concurrent gaps.***  ***Proposal 9: RAN4 starts from the requirements with scenario where 2 gaps are fully non-overlapping.***  ***Proposal 10: The new gap with a specific usage should be prioritized over legacy gap when they collide in time.***  ***Proposal 11: RAN4 can define a general rule for UE behaviour w.r.t. gap collision between Rel-17 new gap and legacy gap.***   * ***In the gap occasions where 2 gap collides, UE performs measurement toward the objects associated to new gap.*** * ***The legacy gap occasions, except the occasions occupied by the Rel-17 new gap, will be reserved for the remaining measurement objects which aren’t indicated to be measured in the specific gap but still need gaps.*** * ***The measurement objects which don’t need the gap will be measured in other SMTC occasions which don’t overlap with any new or legacy gap occasions.***   ***Proposal 12: When UE supports concurrent gaps, at most one additional new gap will be supported.***  ***Proposal 13: When UE doesn’t support per-FR gap, all concurrent gaps are per-UE.***  ***Proposal 14: When UE supports per-FR gap and concurrent gaps, UE shall support more than one gap in at least one FR. RAN4 can start the discussion for the scenario: 1 FR1 gap, 1 FR2 gap and 1 additional FR1 new gap.***  ***Proposal 15: When UE supports concurrent gaps, explicitly captured the overhead limitation in the spec.***   * ***Option 1: The overall data dropping rate won't be larger than 30%.*** * ***Option 2: The MGRP of concurrent gaps can’t be less than 40ms.***   ***Proposal 16: Reuse the following existing MG related requirements: MG reference timing (including MGTA), effective MGRP, MG interruption and UE UL behaviour after MG.***  ***Proposal 17: RAN4 to send the LS to ask RAN2 for how to configure the concurrent gaps once RAN4 agreed the maximum number of concurrent gaps and the relation with per-UE and per-FR gap.*** |
| R4-2104636 | vivo | **Proposal 1: The definition of concurrent and multiple MGs should be sufficient enough to differentiate individual gaps among a common period. Whether a configuration satisfying concurrent and multiple MG definition will be used or not in practice should be separated with concurrent MG definition**  **Proposal 2: The concurrent and multiple MG is defined when either one of the following two rules is satisfied:**  **1. different gap pattern with same/different MG offset 2. same gap pattern with different MG offset**  **Proposal 3: the MG overhead of a concurrent and multiple MG configuration shall not exceed a threshold defined based on UE capabilities.**  **Proposal 4: When MGs are fully nonoverlapping, not matter the offsets between individual gap patterns among a concurrent MG configuration, the overhead ratio can be calculated as: (total MGL length within X)/X, where X is the least common multiple among all MGRPs within a concurrent and multiple MG configuration.**  **Proposal 5: When MGs are overlapping, not matter the offsets between individual gap patterns among a concurrent MG configuration, the overhead ratio can be calculated as: [(total MGL length – total overlapping period) within X]/X, where X is the least common multiple among all MGRPs within a concurrent and multiple MG configuration.**  **Proposal 6: At least 2 concurrent gaps are considered for the concurrent and multiple MG design.**  **Proposal 7: For a per UE capable UE, the concurrent and multiple gaps apply at per UE level whereas for the per FR capable UE, the concurrent and multiple gaps could apply at per FR level.**  **Proposal 8: When all objects cannot share one particular gap among a concurrent and multiple gap configuration, the CSSFwithin\_gap,i for these objects are not within that gap needs recalculation. The new value of CSSFwithin\_gap,i should be known by the network side as well.** |
| R4-2104688 | Xiaomi | **Proposal 1: The MGs are considered as independent gaps if at least one of the configurations in MGL, MGRP or gapOffset is different per FR for per-FR gap capable UE or per UE for per-UE gap capable UE.**  **Proposal 2: it is proposed to merge the definition of independent MG and concurrent MG.**  **Proposal 3a: For per-UE capable UE, the independent gap shall be applied per-UE.**  **Proposal 3b: For per-FR capable UE, the independent gap shall be applied per-FR.**  **Proposal 4: The maximum number of the independent measurement gap pattern are proposed as follows:**   * **For per-UE capable UE, the max number of independent MG pattern is 2;** * **For per-FR capable UE,**    + **the max number of independent MG pattern in FR1 is 2;**   + **the max number of independent MG pattern in FR2 is 2;**   + **the max number of independent MG pattern in FR1+ FR2 is 3;**   **Observation 1: UE should be implemented parallel RF chains per-UE for per-UE gap capable UE or per-FR for per-FR gap capable UE to support the simultaneous measurements with independent gaps on the MOs which are fully overlapped or partial overlapped.**  **Proposal 5: RAN4 is prioritized to work on non-overlapping independent gap in 1st phase.**  **Proposal 6: The CSSF with gap should be defined based on the carriers to be measured with the same measurement gap pattern.** |
| R4-2104751 | CATT | **Proposal 1: The common period is the time when multiple gap patterns are existing in the system simultaneously i.e. from the time that the second gap pattern is configured to the start time when only one gap pattern is existing.**  **Proposal 2: There is no need to define concurrent and independent separately. Concurrent means independent.**  **Proposal 3: All concurrent MGs are of the same type (per UE MG or per FR MG).**  **Proposal 4: When all the concurrent MGs are selected from gap pattern #0 to pattern #23 defined in table 9.1.2-1 in TS 38.133, at most three concurrent gap patterns can be configured. When one of gap #24 and #25 is used, at most 2 concurrent gap patterns can be configured.**  **Proposal 5: RAN to work on at least non-overlapping case. For overlapping case, only the cases when the concurrent gaps have different periodicity are considered. The gap offset can be same or different.**  **Proposal 6: The overhead for configuring multiple concurrent MG patterns depends on the NW configuration (Option 2).**  **Proposal 7: The requirements are defined based on the following assumption:**   * **Only one frequency layer can be measured in a single gap instance.** * **Only one type of RSs can be performed in a single gap instance.** * **One RS configuration can only be measured in one MG pattern.**   **Proposal 8: The CSSF for concurrent gaps in non-overlapping case can reuse the definition in R16. And only the measurement object that will be measured using this gap pattern will be considered.**  **Proposal 9: There is no need to trigger LS to RAN2 in this stage. RAN4 should focus on the concurrent gaps design and trigger LS to RAN2 after having conclusions.**  **Proposal 10: Multiple concurrent gap patterns can also be used for the case when the SMTC from different cells cannot be covered by one gap occasion due to the asynchronization.** |
| R4-2104855 | Apple | **Proposal 1: gaps are considered as independent gaps if at least one of the configurations in MGL, MGRP, time offset is different.**  **Proposal 2: RAN4 shall merge the definition of independent gap and concurrent gap.**  **Proposal 3: All concurrent MGs are of the same type (per UE MG or per FR MG).**  **Proposal 4: RAN4 to work on non-overlapping concurrent gaps and partially overlapped gaps.**  **Proposal 5: RAN4 to specify a cap on aggregate fractional interruption time as applicability condition for multiple concurrent MG patterns. One possible option is to define it as the maximum MG overhead according to the supported single MG pattern.**  **Proposal 6: if each MO can only be covered by certain MG pattern (cannot be covered by other MG pattern), then CSSFwithin\_gap for each MO can be calculated independently. For other scenarios, further discussion is needed.** |
| R4-2104933 | CMCC | Definition of concurrent MG  ***Observation 1: it is not necessary to restrict that“concurrent gaps are operating at the same time”. It can be considered that “concurrent gaps are operating during the single MGRP duration”***  ***Proposal 1: common period of time is a certain value, and the exact value could be selected from MGRP, which is {20, 40, 80, 160} ms.***  Definition of independent MG  ***Observation 2: network do not have the information of whether multiple gaps are operated simultaneously by UE, since which MG instance is in use and which MG instance is not used is up to UE implementation.***  ***Proposal 2: it is preferred to define the independent MG from configuration perspective. And at least the difference in MGL, MGRP and time offset need to be considered.***  ***Proposal 3: for the definition of independent MG, it is proposed to discuss that except MGL, MGRP, time offset, whether MGTA is considered or not?***   * ***For two gaps with same MGL, MGRP, time offset, and only MGTA is different, whether these two gaps are considered as independent MG or not?***   Relation to per-UE gap and per-FR gap  ***Observation 3: according to TS 38.331 on MeasGapConfig, per FR gap cannot be configured together with per UE gap.***  ***Proposal 4:***   * ***For the per-UE gap capable UE, multiple concurrent and independent MGs applies per UE.*** * ***For the per-FR gap capable UE, there are two cases.*** * ***Case 1: multiple concurrent and independent MGs can be configured as per-UE gaps and applies per UE.*** * ***Case 2: multiple concurrent and independent MGs can be configured as per-FR gaps and applies per FR.***   Partially and fully-overlapped cases  ***Proposal 5: it is proposed to consider partially and fully-overlapped concurrent gaps, which could reduce the impact on the data loss.***  Network configuration under DC mode  ***Proposal 6: for multiple concurrent and independent MG patterns, existing configuration mechanism under DC mode can be reused:***   * ***In EN-DC, per-UE gap and FR1 gap are configured by MN, FR2 gap is configured by SN.*** * ***In NE-DC and NR-DC, per-UE gap, FR1 gap and FR2 gap are configured by MN.*** |
| R4-2104982 | NEC | **Proposal 1: RAN4 to agree that measurement gaps are considered as independent if UE can measure on these gaps simultaneously without impacting the measurement performance requirements of each MG.**  **Proposal 2: RAN4 to agree that concurrent MGs are multiple MGs that are configured for measurements during a common period of time**   * + **Where common period of time is measurement period of UE**   + **Any two measurement gaps configured as concurrent MG can have different MGL, MGRP, offset different values.**   + **Note 1: UE behaviour for non-overlapping, partially or fully overlapped cases is irrelevant to the definition and will be discussed separately.**   + **Note 2: current definition does not address pre-configured MG patterns and NCSG. FFS how to address pre-configured MG patterns and NCSG**   **Proposal 3: RAN4 to further study and request other WG to define new capability to indicate which of the following capability is supported by UE.**   * **Concurrent but not independent** * **Concurrent and independent** * **Independent but not concurrent**   **Proposal 4: When designing multiple MG patterns in a measurement period, RAN4 to agree that maximum of only one MG is allowed for every 20ms.**  **Proposal 5: RAN4 to agree the principle for deciding the number of MG patterns per measurement period is “total cumulative MGL across MG patterns in a measurement period shall be less than current maximum MGL of 20ms and there cannot be more than one MG for each 20ms period”.** |
| R4-2106303 | LG Electronics Polska | **Proposal 1: A common period of time for multiple MGs can be considered as the commonly activated duration of the multiple MGs.**  **Proposal 2: MGs are considered as independent gaps if at least one of the configurations in MGL, MGRP, time offset is different**  **Proposal 3: For a Per UE gap capable UE, multiple concurrent and independent MG Patterns apply per UE.**  **For a Per FR gap capable UE, multiple concurrent and independent MG Patterns apply per FR**  **Proposal 4: Define the number of activated multiple MGs simultaneously as follows.**   * **2 for per-UE gap** * **2 for per-FR gap in FR1** * **2 for per-FR gain in FR2** |
| R4-2106344 | Qualcomm Incorporated | **Proposal 1: RAN4 should discuss whether each of the multiple MG will have its own separate configuration (preferred) or whether some parameters will be shared among them.**  **Proposal 2: RAN4 will discuss applicability conditions that may limit the allowable combinations of MG that can be configured concurrently.**  **Proposal 3: Concurrent MG can be any combination of per-UE and per-FR gaps (on one or more FRs), subject to UE capabilities to support of multiple MG and support of per-FR gaps.**  **Proposal 4: RAN4 to discuss whether to specify a cap on aggregate overhead of multiple concurrent MGs. RAN4 should first discuss which measurement objectives warrant configuring multiple concurrent MG.**  **Proposal 5: Multiple concurrent MGs are not applicable when the UE is configured to perform only non-NR RAT measurements.**  **Proposal 6: When multiple concurrent per-FR MGs are configured, MG overhead should be calculated per FR and limits on MG overhead, if any, should be applied per FR.**  **Proposal 7:**   1. **Add signaling from the UE to the network, potentially via *LocationMeasurementIndication*, indicating whether the requested MG would be dedicated to NR positioning measurements.** 2. **Add signaling from the UE to the network, potentially via *LocationMeasurementIndication*, indicating whether the requested MG for NR positioning is per-UE or per-FR.** 3. **The decision to request a dedicated MG of per-UE or per-FR type would be based on the requested/expected measurement latency and on the processing capabilities of the UE.** 4. **If the number of configured MG patterns at the time when serving gNB receives the MG request is less than the maximum number of concurrent MG patterns supported by the UE, then the serving gNB would configure one additional MG pattern for NR positioning as requested by the UE.** 5. **On the other hand, if the number of configured MG patterns already equals the maximum number of concurrent MG patterns supported by the UE, then the serving gNB would release one of the active MG patterns and replace it with a MG pattern for NR positioning as requested by the UE.** 6. **When the UE has completed performing the NR positioning measurements it would signal to the network to release the MG pattern.** 7. **The specification of CSSF within gap would be updated to enable and account for MG dedicated to NR positioning measurements.**   **Proposal 8: RAN4 to discuss whether a dedicated MG requested for NR positioning would be prioritized over another MG pattern that is already configured if any instances of the two MG overlap in time. e.g. the network would release the conflicting MG.** |
| R4-2106392 | Nokia, Nokia Shanghai Bell | **Concurrent MG Definition:**   1. Once an MGP is configured it is active and gap assisted measurements are performed.   When UE is configured with more than one MGP, the UE is configured with multiple MGPs.   1. The time when UE is configured with more than one MGP is defined as the common period of time 2. The UE shall apply the configured MGP no later than after the RRC processing delay.   **Definition of independent MG:**  It is important that the UE requirements are well defined when UE is configured with more than MGP.   1. For concurrent MGPs, each RRC configuration configures independent MGPs. 2. RAN4 shall define the UE measurement requirements when UE is configured with concurrent MGPs.   **Merging the definition of independent gap and concurrent gap:**   1. There is no strong need to merge the definition of independent gaps and concurrent gaps if the definition of each is clear and captured.   **Measurement gaps applicability:**  Discussion of new gap patterns and the current measurement gap applicability is not part of this WI.  The WI does not include objectives related to defining gaps dedicated to specific purpose(s).  **Per-UE gap and Per-FR gap:**   1. A UE support Per-UE gap can be configured with concurrent MGPs Per-UE. 2. A UE supporting Per-FR MG can be configured with concurrent MGPs Per-UE and Per-FR. 3. UEs supporting this feature would at least need to support 2 concurrent MGPs being configured. 4. A UEs supporting this feature and capable of per-FR MGPs should support at least 2 concurrent MGPs per FR.   **Overhead:**   1. RAN4 need to identify any UE constraints related to network configuration of concurrent MGPs. and use such when defining the applicability of concurrent MGPs. 2. UE restriction related to configuration of concurrent MGPs should be reflected in the applicability of the UE requirements defined for when UE is configured with concurrent MGPs.   **Concurrent measurement gaps and CSSF:**   1. Both partially and fully-overlapped MGPs can be configured as concurrent MGPs. 2. Define that the CSSF applies also when concurrent MGPs are configured. |
| R4-2106447 | Intel Corporation | **Observation 1: The common period in the definition of concurrent MG [2] can be max(MGRPi). MGRPi is the measurement periodicity of th induvial MG configured within these concurrent MGs.**  ***Proposal 1: Concurrent MGs are multiple individual MGs that can be co-existent for UE’s measurements during [160ms].***  **Observation 2 *:* The induvial MGs within these concurrent MGs can be independent each other.**  ***Proposal 2: The definition of independent MG is unnecessary***.  ***Proposal 3: The concurrent MGs can be any of***   * + - ***all per-UE,***     - ***all per-FR (for the same FR), or***     - ***a combination of per-UE and per-FR MG patterns, with at least one per-UE and at least one per-FR***   **Observation 3**: **Whether and how many concurrent gaps supported by UE shall be completely up to UE themselves.**  ***Proposal 4: The number of maximum support individual gaps within a concurrent MG pattern can be also defined as one of UE capabilities***.  **Observation 4: In case of per-FR MGs being configured to UE as concurrent MGs, there are more than 2 gaps beside the per-FR MGs configured at least.**  **Observation 5: How to define the limitation of the total concurrent gap patterns activated can be FFS, e.g.**   * **The static number (e.g. a cap as the applicability condition)** * **The adaptive limitation based on the gap instances within the concurrent gap pattern**   ***Proposal 5: The adaptive way depending on NW configuration to limit the overhead of concurrent MGs is preferred.***  ***Proposal 6: Concurrent MGs can be configured based on the legacy MeasConfig [4, TS38.331].***  **Observation 6: The gap instances configured by a same concurrent MG pattern can be used by the specific measurement type(s) occurred in a same frequency layers indicated by serving gNB .**  ***Proposal 6a: the concurrent gap pattern can be configured based on the legacy MeasConfig [4,TS38.331] with the indication of the specific measurement reservation.***  **Observation 7: The serving gNB can configure the concurrent MGs without overlapping (e.g. the gaps for SSB and CSI-RS measurements).**  **Observation 8: When non-overlapping concurrent measurement gap patterns, the measurement requirements for SSB/CSI-RS/PRS in Rel15/Rel16 without the gap sharing can be applicable for them independently.**  **Observation 9: The gap sharing factor shall be applicable to the delay requirements when overlapping case.**  **Observation 10: How to define the gap sharing factor when the multiple concurrent gap patterns configured can be FFS.**  ***Proposal 7: The measurement delay requirement in case of multiple gaps shall be revisited. As the start point, the non-overlapping scenarios can be studied as a start point.***  **Observation 11: UE processing capability shall be taken count into the proximity of two adjacent gap instances in a concurrent measurement gap configuration.** |
| R4-2106536 | OPPO | **Proposal 1: Gaps are considered as independent gaps if at least one of the configurations in MGL, MGRP, time offset is different.**  **Proposal 2: Concurrent MGs are multiple independent MGs that are configured for measurements during a common period of time.**  **Proposal 3: From configuration perspective, RAN4 study the feasibility of per UE and per FR gaps configured or pre-configured in parallel as concurrent gaps.**  **Observation 1: If per UE and per FR gaps are not allowed to be configured in parallel, UE capable of per FR gap and concurrent gap supports multiple concurrent gaps on at least one FR.**  **Observation 2: Assuming per UE and per FR gaps are independent gap, they are allowed to be configured in parallel.**  **Observation 3: Assuming per UE and per FR gaps are not independent gap, they are not allowed to be configured in parallel.**  **Proposal 4: UE supports at most 3 concurrent MG patterns activated at any time.** |
| R4-2106880 | Ericsson | ***Proposal 1:*** *Starting point for common period of time is defined by the start of the first MG in the added concurrent MGP. Ending point for common period of time is defined by completion of processing of RRC message that releases the concurrent MGP(s).*  ***Proposal 2:*** *UE shall support combinations of concurrent gaps comprising any of the by UE supported MGPs.*  ***Proposal 3:*** *Focus on the definition of concurrent gaps that cover all necessary aspects, and do not further define independent MGs.*  ***Proposal 4:*** *Define a framework for configuring gaps dedicated to specific purpose(s). Consider at least the following aspects while defining rules for usage of the parallel MGPs:*   * *measurement type* * *RAT* * *Periodicity of signals to be measured in MGs* * *Relation between the parameters of the parallel patterns.*   ***Proposal 5:*** *The parallel MGPs can be any of: all per-UE, all per-FR (for same FR), or a combination of per-UE and per-FR MGPs with at least one per-UE and one per-FR.* |
| R4-2106923 | ZTE Corporation | **Proposal 1: Gaps are considered as independent gaps if at least one of the configurations in MGL, MGRP, time offset is different.** |
| R4-2107028 | Huawei, HiSilicon | **Proposal 1: UE is configured with concurrent MG when it is configured with more than one independent MGs. Gaps are considered as independent gaps if at least one of the configurations in MGL, MGRP, time offset is different.**  **Proposal 2: When UE is configured with concurrent MG, NW configures which MG is to be used for each MO.**  **Proposal 3: UE not capable of per FR MG but capable of concurrent MG can be configured with up to 2 per UE MGs.**  **Proposal 4: UE capable of per FR MG and capable of concurrent MG can be configured with**   * **Up to 2 per UE MGs, or** * **Up to 3 per FR MGs**   **Proposal 5: UE is assumed to measure only in MGL of one MG in occasions where two MGs are overlapped. RAN4 to define sharing rules for cases where multiple MGs are partially/fully overlapped.**  **Proposal 6: No need to specify a cap on aggregate fractional interruption time as applicability condition.**  **Proposal 7: CSSF is calculated independently for each of the multiple concurrent MGs.**  **Proposal 8: All MG related requirements defined for single MG, including MG reference timing, effective MGRP, MG interruption and UE UL behaviour after MG, apply for each of the multiple concurrent MGs.** |

## Open issues summary

### Sub-topic 2-1 Definition

**Issue 2-1: Definition of independent gap**

* Proposals
  + Option 1a: (MTK, Xiaomi, Apple, LGE, QC, Nokia, OPPO, ZTE, Huawei)
    - Multiple MGs with their own separate configurations, i.e., MGL, MGRP, time offset.
  + Option 1b: (CMCC)
    - Multiple MGs with their own separate configurations, i.e., MGL, MGRP, time offset, MGTA.
  + Option 2: (NEC)
    - Measurement gaps are considered as independent if UE can measure on these gaps simultaneously without impacting the measurement performance requirements of each MG .
  + Option 3: (Intel, E///, vivo, CATT)
    - The definition of independent MG is unnecessary
* Recommended WF
  + More discussion are needed.

**Issue 2-2: Common period of time for concurrent gap**

* Proposals
  + Option 1a: (MTK, CATT, LGE, E///, Nokia)
    - Common period of time is the duration in which multiple gap patterns are configured in the system simultaneously
  + Option 1b: (Intel)
    - The common period in the definition of concurrent MG [2] can be max(MGRPi). MGRPi is the measurement periodicity of th induvial MG configured within these concurrent MGs**.** .
  + Option 1c: (CMCC)
    - Common period of time is a certain value, and the exact value could be selected from MGRP, which is {20, 40, 80, 160} ms.
  + Option 2: (NEC)
    - Common period of time is the measurement period of UE.
* Recommended WF
  + More discussion are needed.
  + Note that in the discussion of this phase, we do not consider pre-configured gap. Therefore a gap is already activated (or ON) once configured.

**Issue 2-3: Whether to merge the definitions of concurrent and independent gaps**

* Note: this discussion is pending on the conclusion in Issue 2-1.
* Proposals
  + Option 1: (MTK, Xiaomi, CATT, Apple)
    - Yes, e.g., concurrent = independent
  + Option 1a: (Huawei)
    - Yes, UE is configured with concurrent MG when it is configured with more than one independent MGs.
  + Option 2a: (Nokia)
    - No, if the definition of each is clear and captured. For concurrent MGPs, each RRC configuration configures independent MGPs.
  + Option 2b: (NEC)
    - RAN4 to further study and request other WG to define new capability to indicate which of the following capability is supported by UE.
      * Concurrent but not independent
      * Concurrent and independent
      * Independent but not concurrent
* Recommended WF
  + More discussion are needed.

### Sub-topic 2-2 Applicability and configurations

**Issue 2-4: Use case**

* Proposals
  + Option 1: (CATT)
    - Multiple concurrent gap patterns can also be used for the case when the SMTC from different cells cannot be covered by one gap occasion due to the asynchronization
  + Option 2: (QC)
    - Multiple concurrent MGs are not applicable when the UE is configured to perform only non-NR RAT measurements.
  + Option 3: (E///)
    - Define a framework for configuring gaps dedicated to specific purpose(s). Consider at least the following aspects while defining rules for usage of the parallel MGPs:
      * measurement type
      * RAT
      * Periodicity of signals to be measured in MGs
      * Relation between the parameters of the parallel patterns.
  + Option 4: (Nokia)
    - Discussion of new gap patterns and the current measurement gap applicability is not part of this WI. The WI does not include objectives related to defining gaps dedicated to specific purpose(s).
* Recommended WF
  + More discussion are needed.

**Issue 2-5: Associate Gap to use case(s)**

* Proposals
  + Option 1: (MTK)
    - NW shall clearly indicate at least single specific usage (MO, RS or RAT) and a type (legacy, pre-configured or NCSG) for the new gap together with measurement gap’s configuration. The max number of usages for one new gap is FFS
  + Option 2: (QC)
    - A dedicated MG requested for NR positioning.
  + Option 3: (Intel)
    - The concurrent gap pattern can be configured based on the legacy MeasConfig in TS38.331 with the indication of the specific measurement reservation.
  + Option 4: (Huawei)
    - NW configures which MG is to be used for each MO.
  + Option 4: (Nokia)
    - The WI does not include objectives related to defining gaps dedicated to specific purpose(s).
* Recommended WF
  + More discussion are needed.

**Issue 2-6: Inheriting legacy configuration in DC**

* Proposals
  + Option 1: (CMCC)
    - Existing configuration mechanism under DC mode can be reused:
      * In EN-DC, per-UE gap and FR1 gap are configured by MN, FR2 gap is configured by SN.
      * In NE-DC and NR-DC, per-UE gap, FR1 gap and FR2 gap are configured by MN.
* Recommended WF
  + More discussion are needed.

### Sub-topic 2-3 UE capability related issues

**Issue 2-7: Relation to per-UE gap capability (UE not capable for per-FR gap)**

* Proposals
  + Option 1: (MTK, vivo, Xiaomi, CATT, Apple, CMCC, LGE, QC, Nokia, Huawei)
    - When UE doesn’t support per-FR gap, all concurrent gaps are per-UE
* Recommended WF
  + Agree on Option 1.

**Issue 2-8: Relation to per-FR gap capability**

* Proposals
  + Option 1: (MTK, vivo, Xiaomi, CATT, Apple, LGE)
    - When UE supports per-FR gap, all concurrent gaps are per-FR
  + Option 1a: (Huawei)
    - When UE supports per-FR gap, concurrent gaps are either all per-FR or all per-UE, but no simultaneous configuration of per-UE and per-FR gaps
  + Option 2: (, QC, Nokia, Intel, E///)
    - Any combination of per-UE and per-FR gaps
  + Option 3: (CMCC)
    - For the per-FR gap capable UE, following two cases can be supported:
      * Case 1: multiple concurrent and independent MGs can be configured as per-UE gaps and applies per UE.
      * Case 2: multiple concurrent and independent MGs can be configured as per-FR gaps and applies per FR.
* Recommended WF
  + More discussion are needed.

**Issue 2-9: Max number of supported concurrent gap**

* Proposals
  + Option 1: (MTK)
    - At most one additional new gap will be supported
  + Option 2: (vivo)
    - At least 2 concurrent gaps
  + Option 3: (OPPO)
    - At most 3 concurrent gaps
  + Option 4: (Xiaomi)
    - For per-UE capable UE, the max number = is 2;
    - For per-FR capable UE,
      * the max number in FR1 = 2;
      * the max number in FR2 = 2;
      * the max number ern in FR1+ FR2 = 3;
  + Option 5: (CATT)
    - When all the concurrent MGs are selected from gap pattern #0 to pattern #23, at most 3 concurrent gap patterns can be configured.
    - When one of gap #24 and #25 is used, at most 2 concurrent gap patterns can be configured
  + Option 6: (LGE, Nokia)
    - 2 for per-UE gap
    - 2 for per-FR gap in FR1
    - 2 for per-FR gap in FR2
  + Option 7: (Huawei)
    - UE not capable of per FR MG can be configured with up to 2 per UE MGs
    - UE capable of per FR MG can be configured with
      * Up to 2 per UE MGs, or
      * Up to 3 per FR MGs
  + Option 8: (Intel)
    - Up to UE capability
* Recommended WF:
  + More discussion are needed. Perhaps we need to conclude Issue 2-7 and 2-8 first?

**Issue 2-10: Applicability and UE capability for gap patterns**

* Proposals
  + Option 1: (E///)
    - UE shall support combinations of concurrent gaps comprising any of the by UE supported MGPs.
  + Option 2: (QC)
    - RAN4 will discuss applicability conditions that may limit the allowable combinations of MG that can be configured concurrently
* Recommended WF
  + More discussion are needed.

### Sub-topic 2-4 Overlapping issues

**Issue 2-11: Overlapping scenarios to be studied in RAN4**

* Proposals
  + Option 1: (MTK, Xiaomi, Intel)
    - RAN4 to start from on non-overlapping independent gap.
  + Option 2 (CATT)
    - RAN to work on at least non-overlapping case. For overlapping case, only the cases when the concurrent gaps have different periodicity are considered. The gap offset can be same or different.
  + Option 3 (Apple)
    - RAN4 to work on non-overlapping concurrent gaps and partially overlapped gaps.
  + Option 4 (CMCC)
    - Except the non-overlapping gaps, it is proposed to consider partially and fully-overlapped concurrent gaps, which could reduce the impact on the data loss.
  + Option 5 (Nokia)
    - Both non-overlapping, partially overlapping and fully-overlapped MGPs can be configured as concurrent MGPs.
* Recommended WF
  + More discussion are needed.

**Issue 2-12: UE behaviour in collided gap durations**

* Note: this discussion is pending on the conclusion in Issue 2-9.
* Proposals
  + Option 1: (MTK)
    - For any gap occasion that the concurrent gaps are partially or fully overlapping in their durations, they are treated as fully overlapped duration in that occasion.
    - UE will not perform the measurements on more than one frequency layers during a fully overlapped duration for concurrent gaps.
    - The new gap with a specific usage should be prioritized over legacy gap when they collide in time.
  + Option 2: (Huawei)
    - UE is assumed to measure only in MGL of one MG in occasions where two MGs are overlapped.
    - RAN4 to define sharing rules for cases where multiple MGs are partially/fully overlapped.
  + Option 3 (QC)
    - RAN4 to discuss whether a dedicated MG requested for NR positioning would be prioritized over another MG pattern that is already configured if any instances of the two MG overlap in time. e.g. the network would release the conflicting MG
  + Option 4 (Nokia)
    - Define that the CSSF applies when concurrent MGPs are configured.
* Recommended WF
  + More discussion are needed.

### Sub-topic 2-5 Overhead

**Issue 2-13: Whether and how to define an overhead cap**

* Proposals
  + Option 1: (MTK)
    - The overall data dropping rate won't be larger than 30%.
  + Option 2: (MTK)
    - The MGRPs of concurrent gaps can’t be less than 40ms
  + Option 3: (vivo)
    - When MGs are fully nonoverlapping, not matter the offsets between individual gap patterns among a concurrent MG configuration, the overhead ratio can be calculated as: (total MGL length within X)/X, where X is the least common multiple among all MGRPs within a concurrent and multiple MG configuration.
    - When MGs are overlapping, not matter the offsets between individual gap patterns among a concurrent MG configuration, the overhead ratio can be calculated as: [(total MGL length – total overlapping period) within X]/X, where X is the least common multiple among all MGRPs within a concurrent and multiple MG configuration.
  + Option 4: (Apple)
    - RAN4 to specify a cap on aggregate fractional interruption time as applicability condition for multiple concurrent MG patterns. One possible option is to define it as the maximum MG overhead according to the supported single MG pattern
  + Option 5: (NEC)
    - RAN4 to agree the principle for deciding the number of MG patterns per measurement period is “total cumulative MGL across MG patterns in a measurement period shall be less than current maximum MGL of 20ms and there cannot be more than one MG for each 20ms period”
  + Option 6: (QC)
    - RAN4 to discuss whether to specify a cap on aggregate overhead of multiple concurrent MGs. RAN4 should first discuss which measurement objectives warrant configuring multiple concurrent MG.
    - When multiple concurrent per-FR MGs are configured, MG overhead should be calculated per FR and limits on MG overhead, if any, should be applied per FR
  + Option 7: (Nokia)
    - RAN4 need to identify any UE constraints related to network configuration of concurrent MGPs. and use such when defining the applicability of concurrent MGPs.
    - UE restriction related to configuration of concurrent MGPs should be reflected in the applicability of the UE requirements defined for when UE is configured with concurrent MGPs.
  + Option 8: (CATT, Intel, Huawei)
    - No. Up to network configuration
* Recommended WF
  + More discussion are needed.

### Sub-topic 2-6 Measurement requirements

**Issue 2-14: Re-using legacy requirements**

* Proposals
  + Option 1: (MTK, HW)
    - Reuse the following existing MG related requirements: MG reference timing (including MGTA), effective MGRP, MG interruption and UE UL behaviour after MG.
  + Option 2 (Nokia)
    - No changes would be needed related to the existing UE requirements.
* Recommended WF
  + Agree on Option 1.

**Issue 2-15: Assumptions for requirements of concurrent gap**

* Proposals
  + Option 1: (CATT)
    - The requirements are defined based on the following assumption:
      * Only one frequency layer can be measured in a single gap instance.
      * Only one type of RSs can be performed in a single gap instance.
      * One RS configuration can only be measured in one MG pattern
* Recommended WF
  + More discussion are needed.

**Issue 2-16: CSSF**

* Proposals
  + Option 1: (vivo)
    - When all objects cannot share one particular gap among a concurrent and multiple gap configuration, the CSSFwithin\_gap,i for these objects are not within that gap needs recalculation. The new value of CSSFwithin\_gap,i should be known by the network side as well.
  + Option 2: (Xiaomi)
    - The CSSF with gap should be defined based on the carriers to be measured with the same measurement gap pattern.
  + Option 3: (CATT)
    - The CSSF for concurrent gaps in non-overlapping case can reuse the definition in R16. And only the measurement object that will be measured using this gap pattern will be considered.
  + Option 4: (Apple)
    - If each MO can only be covered by certain MG pattern (cannot be covered by other MG pattern), then CSSFwithin\_gap for each MO can be calculated independently. For other scenarios, further discussion is needed.
  + Option 5: (Nokia)
    - Define that the CSSF applies also when concurrent MGPs are configured
  + Option 6: (Huawei)
    - CSSF is calculated independently for each of the multiple concurrent MGs
* Recommended WF
  + More discussion are needed.

### Sub-topic 2-7 Others

**Issue 2-17: Gap validation delay**

* Proposals
  + Option 1: (Nokia)
    - The UE shall apply the configured MGP no later than after the RRC processing delay
* Recommended WF
  + More discussion are needed.

## Companies views’ collection for 1st round

### Open issues

**Issue 2-1: Definition of independent gap**

|  |  |
| --- | --- |
| **Company** | **Comments** |
| CMCC | The difference between option 1a and 1b is whether to consider MGTA. We would like to know companies’ view on the scenario that two gaps with same MGL, MGRP, time offset, and only MGTA is different, whether these two gaps are considered as independent MG or not?  For option 3, we have one question for clarification, if the 2 gaps are for the same purpose, e.g. both are for SSB based measurement, whether need to consider the definition of independent MG? |
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**Issue 2-2: Common period of time for concurrent gap**

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| --- | --- |
| **Company** | **Comments** |
| CMCC | We agree with option 1a, but we think option 1a is not enough, and more clarification is needed to be differentiated from legacy R15/16 MG mechanism. In our understanding, the common period is the time over which UE can see at least 2 gaps per-UE or per-FR. We agree that the total time that UE can see at least two gaps per-UE or per-FR is the duration in which multiple gap patterns are configured. From this point of view, we agree with option 1. But we think option 1 is not enough, and more clarification is needed to be differentiated from legacy R15/16 MG mechanism.  As shown in figure 1, 2 gaps are configured, but in each MGRP, there is only one gap instance. In our understanding, this case is same as the legacy MG mechanism considering that MG can be changed by reconfiguration as shown in figure 2. We would like to firstly check companies’ views whether it is the right understanding to consider the case shown in Figure 1 as legacy MG mechanism (as shown in Figure 2). If so, it is better to preclude the case in the definition of current gaps. As for how to reflect this in the definition, we propose option 1c, but we are open to have further discussion. |
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**Issue 2-3: Whether to merge the definitions of concurrent and independent gaps**

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| **Company** | **Comments** |
| ZTE | Prefer Option 2a which is to have clear definitions for the two concepts separately. |
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**Issue 2-4: Use case**

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| **Company** | **Comments** |
| XXX |  |
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**Issue 2-5: Associate Gap to use case(s)**

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| --- | --- |
| **Company** | **Comments** |
| XXX |  |
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**Issue 2-6: Inheriting legacy configuration in DC**

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| --- | --- |
| **Company** | **Comments** |
| XXX |  |
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**Issue 2-7: Relation to per-UE gap capability (UE not capable for per-FR gap)**

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| --- | --- |
| **Company** | **Comments** |
| XXX |  |
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**Issue 2-8: Relation to per-FR gap capability**

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| **Company** | **Comments** |
| CMCC | We checked the TS38.331, for *MeasGapConfig*, it is stated that per FR gap cannot be configured together with per UE gap. Based on this existing restriction, we propose option 3. But we are open to the combination of per-UE gap and per-FR gap, and we would like to know more about the use case of this combination and how UE to perform measurement based on this combination. |
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**Issue 2-9: Max number of supported concurrent gap**

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| **Company** | **Comments** |
| XXX |  |
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**Issue 2-10: Applicability and UE capability for gap patterns**

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| --- | --- |
| **Company** | **Comments** |
| ZTE | Support Option 1, or else some combinations will be introduced and will complicate things. |
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**Issue 2-11: Overlapping scenarios to be studied in RAN4**

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| --- | --- |
| **Company** | **Comments** |
| CMCC | Multiple concurrent MG patterns, especially non-overlapping case will further degrade the throughput. While the overlapped MG could reduce the impact on data loss introduced by multiple MG. Based on above consideration, we support to consider the partially and fully-overlapped cases. |
| ZTE | Support Option 4 to consider all cases based on similar thinking as CMCC. |
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**Issue 2-12: UE behaviour in collided gap durations**

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| **Company** | **Comments** |
| XXX |  |
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**Issue 2-13: Whether and how to define an overhead cap**

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| **Company** | **Comments** |
| XXX |  |
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**Issue 2-14: Re-using legacy requirements**

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| **Company** | **Comments** |
| XXX |  |
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**Issue 2-15: Assumptions for requirements of concurrent gap**

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| **Company** | **Comments** |
| XXX |  |
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**Issue 2-16: CSSF**

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| **Company** | **Comments** |
| XXX |  |
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**Issue 2-17: Gap validation delay**

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| --- | --- |
| **Company** | **Comments** |
| XXX |  |
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### CRs/TPs comments collection

*Major close to finalize WIs and Rel-15 maintenance, comments collections can be arranged for TPs and CRs. For Rel-16 on-going WIs, suggest to focus on open issues discussion on 1st round.*

|  |  |
| --- | --- |
| **CR/TP number** | **Comments collection** |
| XXX | Company A |
| Company B |
|  |
| YYY | Company A |
| Company B |
|  |

## Summary for 1st round

### Open issues

*Moderator tries to summarize discussion status for 1st round, list all the identified open issues and tentative agreements or candidate options and suggestion for 2nd round i.e. WF assignment.*

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic#1** | *Tentative agreements:*  *Candidate options:*  *Recommendations for 2nd round:* |

### CRs/TPs

*Moderator tries to summarize discussion status for 1st round and provided recommendation on CRs/TPs Status update suggestion*

|  |  |
| --- | --- |
| **CR/TP number** | **CRs/TPs Status update recommendation** |
| XXX | *Based on 1st round of comments collection, moderator can recommend the next steps such as “agreeable”, “to be revised”* |

## Discussion on 2nd round (if applicable)

*Moderator can provide summary of 2nd round here. Note that recommended decisions on tdocs should be provided in the section titled ”Recommendations for Tdocs”.*

# Recommendations for Tdocs

## 1st round

**New tdocs**

|  |  |  |
| --- | --- | --- |
| **Title** | **Source** | **Comments** |
| WF on … | YYY |  |
| LS on … | ZZZ | To: RAN\_X; Cc: RAN\_Y |
|  |  |  |

**Existing tdocs**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Tdoc number** | **Title** | **Source** | **Recommendation** | **Comments** |
| R4-210xxxx | CR on … | XXX | Agreeable, Revised, Merged, Postponed, Not Pursued |  |
|  |  |  |  |  |
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Notes:

1. Please include the summary of recommendations for all tdocs across all sub-topics incl. existing and new tdocs.
2. For the Recommendation column please include one of the following:
   1. CRs/TPs: Agreeable, Revised, Merged, Postponed, Not Pursued
   2. Other documents: Agreeable, Revised, Noted
3. For new LS documents, please include information on To/Cc WGs in the comments column
4. Do not include hyper-links in the documents

## 2nd round

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Tdoc number** | **Title** | **Source** | **Recommendation** | **Comments** |
| R4-210xxxx | CR on … | XXX | Agreeable, Revised, Merged, Postponed, Not Pursued |  |
| R4-210xxxx | WF on … | YYY | Agreeable, Revised, Noted |  |
| R4-210xxxx | LS on … | ZZZ | Agreeable, Revised, Noted |  |
|  |  |  |  |  |

Notes:

1. Please include the summary of recommendations for all tdocs across all sub-topics.
2. For the Recommendation column please include one of the following:
   1. CRs/TPs: Agreeable, Revised, Merged, Postponed, Not Pursued
   2. Other documents: Agreeable, Revised, Noted
3. Do not include hyper-links in the documents