**3GPP TSG-RAN4 Meeting #98-bis-e *R4-210xxxx***

**Electronic Meeting, April 12 –20, 2021**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.133** | **CR** | **draft** | **rev** | **1** | **Current version:** | **16.7.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | draftCR on CSI-SINR accuracy requirements | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_CSIRS\_L3meas-Perf | | | | |  | ***Date:*** | | | 2021-03-23 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | This draftCR is based on Big draftCR R4-2101291 endorsed at RAN4#98-e. The CSI-SINR requirements are incomplete due to missing timing error side condition and upper bound Es/Iot. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Add timing error side condition and upper bound Es/Iot for CSI-SINR requirements. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | The CSI-SINR requirements are incomplete | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 10.1.12.2, 10.1.13.2, 10.1.14.2, 10.1.15.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **x** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

## <Start of Change 11>

10.1.12.2 Intra-frequency CSI-SINR accuracy requirements in FR1

10.1.12.2.1 Absolute CSI-SINR Accuracy in FR1

Unless otherwise specified, the requirements for absolute accuracy of CSI-SINR in this clause apply to a cell on the same frequency as that of the serving cell in FR1.

The accuracy requirements in Table 10.1.12.2.1-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-1 [18] for reference sensitivity are fulfilled.

- Conditions for intra-frequency measurements are fulfilled according to Annex B.2.8 for a corresponding Band.

- The timing offset between the reference measurement timing and the target CSI-RS in one layer is no larger than [0.5]\*CP

- The bandwidth of the CSI-RS resource is no less than 48 PRBs

- The resource density of the CSI-RS resource in frequency domain D=3

Table 10.1.12.2.1-1: CSI-SINR Intra frequency absolute accuracy in FR1

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Accuracy** | | **Conditions** | | | | | | |
| **Normal condition** | **Extreme condition** | **CSI-RS Ês/Iot** | **Io Note 1 range** | | | | | |
| **NR operating band groups** | **Minimum Io** | | | | **Maximum Io** |
| **dB** | **dB** | **dB** |  | **dBm / SCS** | | | **dBm/BW Channel** | **dBm/BW Channel** |
| **SCS (kHz)** | | |
| ±3 | ±4 | ≥-3 | **15** | **30** | **60** |
| NR\_FDD\_FR1\_A, NR\_TDD\_FR1\_A,  NR\_SDL\_FR1\_A | -121 | -118 | -115 | N/A | -70 |
| NR\_FDD\_FR1\_B | -120.5 | -117.5 | -114.5 | N/A | -70 |
| NR\_TDD\_FR1\_C | -120 | -117 | -114 | N/A | -70 |
| NR\_FDD\_FR1\_D, NR\_TDD\_FR1\_D | -119.5 | -116.5 | -113.5 | N/A | -70 |
| NR\_FDD\_FR1\_E, NR\_TDD\_FR1\_E | -119 | -116 | -113 | N/A | -70 |
| NR\_FDD\_FR1\_F | -118.5 | -115.5 | -112.5 | N/A | -70 |
| NR\_FDD\_FR1\_G | -118 | -115 | -112 | N/A | -70 |
| NR\_FDD\_FR1\_H | -117.5 | -114.5 | -111.5 | N/A | -70 |
| ±3.5 | ±4 | ≥-6 | Note 2 | Note 2 | Note 2 | Note 2 | N/A | Note 2 |
| NOTE 1: Io is assumed to have constant EPRE across the bandwidth.  NOTE 2: The same bands and the same Io conditions for each band apply for this requirement as for the corresponding highest accuracy requirement.  NOTE 3: NR operating band groups in FR1 are as defined in clause 3.5.2.  NOTE 4: The requirements apply for CSI-RS Ês/Iot ≤ [15] dB. | | | | | | | | |

## <End of Change 11>

## <Start of Change 12>

10.1.13.2 Intra-frequency CSI-SINR accuracy requirements in FR2

10.1.13.2.1 Absolute CSI-SINR Accuracy in FR2

Unless otherwise specified, the requirements for absolute accuracy of CSI-SINR in this clause apply to a cell on the same frequency as that of the serving cell in FR2.

The accuracy requirements in Table 10.1.13.2.1-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-2 [19] for reference sensitivity are fulfilled.

- Conditions for inter-frequency measurements are fulfilled according to Annex B.2.8 for a corresponding Band.

- The measured signals are in the directions covered by the percentile EIS spherical coverage of the UE, defined in clause 7.3.4 of TS 38.101-2 [19].

- The timing offset between the reference measurement timing and the target CSI-RS in one layer is no larger than [0.5]\*CP

- The bandwidth of the CSI-RS resource is no less than 48 PRBs

- The resource density of the CSI-RS resource in frequency domain D=3

Table 10.1.13.2.1-1: CSI-SINR Intra frequency absolute accuracy in FR2

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Accuracy | | Conditions | | | |
| Normal condition | Extreme condition | CSI-RS Ês/Iot | Io Note 2 range | | |
|  |  |  | Minimum Io | | Maximum Io |
| dB | dB | dB | dBm / SCSCSI-RS Note 1 | | dBm/BWChannel |
|  |  |  | SCSCSI-RS = 60kHz | SCSCSI-RS = 120kHz |  |
| ±3 | ±4 | ≥-3 | Same value as CSI\_RP in Table B.2.8-2, according to UE Power class, operating band and angle of arrival | | -50 |
| ±3.5 | ±4 | ≥-6 |
| Note 1: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival.  Note 2: Io specified at the Reference point, and assumed to have constant EPRE across the bandwidth.  Note 3: In the test cases, the CSI-RS Ês/Iot and related parameters may need to be adjusted to ensure Ês/Iot at UE baseband is above the value defined in this table.  Note 4: The requirements apply for CSI-RS Ês/Iot ≤ [15] dB. | | | | | |

## <End of Change 12>

## <Start of Change 13>

10.1.14.2 Inter-frequency CSI-SINR accuracy requirements in FR1

10.1.14.2.1 Aboslute Accuracy of CSI-SINR in FR1

The requirements for absolute accuracy of CSI-SINR in this clause apply to a cell on a frequency in FR1 that has different carrier frequency from the serving cell.

The accuracy requirements in Table 10.1.14.2.1-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-1 [18] for reference sensitivity are fulfilled.

- Conditions for inter-frequency measurements are fulfilled according to Annex B.2.9 for a corresponding Band.

- The timing offset between the reference measurement timing and the target CSI-RS in one layer is no larger than [0.5]\*CP

- The bandwidth of the CSI-RS resource is no less than 48 PRBs

- The resource density of the CSI-RS resource in frequency domain D=3

Table 10.1.14.2.1-1: CSI-SINR Inter frequency absolute accuracy in FR1

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Accuracy** | | **Conditions** | | | | | | |
| **Normal condition** | **Extreme condition** | **CSI-RS Ês/Iot** | **Io Note 1 range** | | | | | |
| **NR operating band groups** | **Minimum Io** | | | | **Maximum Io** |
| **dB** | **dB** | **dB** |  | **dBm / SCS** | | | **dBm/BW Channel** | **dBm/BW Channel** |
| **SCS (kHz)** | | |
| ±3 | ±4 | ≥-3 | **15** | **30** | **60** |
| NR\_FDD\_FR1\_A, NR\_TDD\_FR1\_A,  NR\_SDL\_FR1\_A | -121 | -118 | -115 | N/A | -70 |
| NR\_FDD\_FR1\_B | -120.5 | -117.5 | -114.5 | N/A | -70 |
| NR\_TDD\_FR1\_C | -120 | -117 | -114 | N/A | -70 |
| NR\_FDD\_FR1\_D, NR\_TDD\_FR1\_D | -119.5 | -116.5 | -113.5 | N/A | -70 |
| NR\_FDD\_FR1\_E, NR\_TDD\_FR1\_E | -119 | -116 | -113 | N/A | -70 |
| NR\_FDD\_FR1\_F | -118.5 | -115.5 | -112.5 | N/A | -70 |
| NR\_FDD\_FR1\_G | -118 | -115 | -112 | N/A | -70 |
| NR\_FDD\_FR1\_H | -117.5 | -114.5 | -111.5 | N/A | -70 |
| ±3.5 | ±4 | ≥-6 | Note 2 | Note 2 | Note 2 | Note 2 | N/A | Note 2 |
| NOTE 1: Io is assumed to have constant EPRE across the bandwidth.  NOTE 2: The same bands and the same Io conditions for each band apply for this requirement as for the corresponding highest accuracy requirement.  NOTE 3: NR operating band groups in FR1 are as defined in clause 3.5.2.  NOTE 4: The requirements apply for CSI-RS Ês/Iot ≤ [15] dB. | | | | | | | | |

10.1.14.2.2 Relative Accuracy of CSI-SINR in FR1

The relative accuracy of CSI-SINR in inter frequency case is defined as the CSI-SINR measured from one cell on a frequency in FR1 compared to the CSI-SINR measured from another cell on a different frequency in FR1.

The accuracy requirements in Table 10.1.14.2.2-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-1 [18] for reference sensitivity are fulfilled.

- Conditions for inter-frequency measurements are fulfilled according to Annex B.2.9 for a corresponding Band.

- |CSI\_RP1dBm - CSI\_RP2dBm| ≤ 27 dB

- | Channel 1\_Io ‑Channel 2\_Io | ≤ 20 dB

- The timing offset between the reference measurement timing and the target CSI-RS in one layer is no larger than [0.5]\*CP

- The bandwidth of the CSI-RS resource is no less than 48 PRBs

- The resource density of the CSI-RS resource in frequency domain D=3

Table 10.1.14.2.2-1: CSI-SINR Inter frequency relative accuracy in FR1

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Accuracy** | | **Conditions** | | | | | | |
| **Normal condition** | **Extreme condition** | **CSI-RS Ês/Iot****Note 3** | **Io Note 1 range** | | | | | |
| **NR operating band groups** | **Minimum Io** | | | | **Maximum Io** |
| **dB** | **dB** | **dB** |  | **dBm / SCS** | | | **dBm/BW Channel** | **dBm/BW Channel** |
| **SCS (kHz)** | | |
| ±3.5 | ±4 | ≥-3 | **15** | **30** | **60** |
| NR\_FDD\_FR1\_A, NR\_TDD\_FR1\_A,  NR\_SDL\_FR1\_A | -121 | -118 | -115 | N/A | -70 |
| NR\_FDD\_FR1\_B | -120.5 | -117.5 | -114.5 | N/A | -70 |
| NR\_TDD\_FR1\_C | -120 | -117 | -114 | N/A | -70 |
| NR\_FDD\_FR1\_D, NR\_TDD\_FR1\_D | -119.5 | -116.5 | -113.5 | N/A | -70 |
| NR\_FDD\_FR1\_E, NR\_TDD\_FR1\_E | -119 | -116 | -113 | N/A | -70 |
| NR\_FDD\_FR1\_F | -118.5 | -115.5 | -112.5 | N/A | -70 |
| NR\_FDD\_FR1\_G | -118 | -115 | -112 | N/A | -70 |
| NR\_FDD\_FR1\_H | -117.5 | -114.5 | -111.5 | N/A | -70 |
| ±4 | ±4 | ≥-6 | Note 2 | Note 2 | Note 2 | Note 2 | N/A | Note 2 |
| NOTE 1: Io is assumed to have constant EPRE across the bandwidth.  NOTE 2: The same bands and the same Io conditions for each band apply for this requirement as for the corresponding highest accuracy requirement.  NOTE 3: The parameter CSI-RS Ês/Iot is the minimum CSI-RS Ês/Iot of the pair of cells to which the requirement applies.  NOTE 4: NR operating band groups in FR1 are as defined in clause 3.5.2.  NOTE 5: The requirements apply for CSI-RS Ês/Iot ≤ [15] dB. | | | | | | | | |

## <End of Change 13>

## <Start of Change 14>

10.1.15.2 Inter-frequency CSI-SINR accuracy requirements in FR2

10.1.15.2.1 Aboslute Accuracy of CSI-SINR in FR2

The requirements for absolute accuracy of CSI-SINR in this clause apply to a cell on a frequency in FR2 that has different carrier frequency from the serving cell.

The accuracy requirements in Table 10.1.15.2.1-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-2 [19] for reference sensitivity are fulfilled.

- Conditions for inter-frequency measurements are fulfilled according to Annex B.2.9 for a corresponding Band.

- The measured signals are in the directions covered by the percentile EIS spherical coverage of the UE, defined in clause 7.3.4 of TS 38.101-2 [19].

- The timing offset between the reference measurement timing and the target CSI-RS in one layer is no larger than [0.5]\*CP

- The bandwidth of the CSI-RS resource is no less than 48 PRBs

- The resource density of the CSI-RS resource in frequency domain D=3

Table 10.1.15.2.1-1: CSI-SINR Inter frequency absolute accuracy in FR2

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Accuracy | | Conditions | | | |
| Normal condition | Extreme condition | CSI-RS Ês/Iot | Io Note 2 range | | |
|  |  |  | Minimum Io | | Maximum Io |
| dB | dB | dB | dBm / SCSCSI-RS Note 1 | | dBm/BWChannel |
|  |  |  | SCSCSI-RS = 60kHz | SCSCSI-RS = 120kHz |  |
| ±3 | ±4 | ≥-3 | Same value as CSI\_RP in Table B.2.9-2, according to UE Power class, operating band and angle of arrival | | -50 |
| 3.5 | ±4 | ≥-4 |
| Note 1: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival.  Note 2: Io specified at the Reference point, and assumed to have constant EPRE across the bandwidth.  Note 3: In the test cases, the CSI-RS Ês/Iot and related parameters may need to be adjusted to ensure Ês/Iot at UE baseband is above the value defined in this table.  Note 4: The requirements apply for CSI-RS Ês/Iot ≤ [15] dB. | | | | | |

10.1.15.2.2 Relative Accuracy of CSI-SINR in FR2

The relative accuracy of CSI-SINR in inter frequency case is defined as the CSI-SINR measured from one cell on a frequency in FR2 compared to the CSI-SINR measured from another cell on a different frequency in FR2.

The accuracy requirements in Table 10.1.15.2.2-1 are valid under the following conditions:

- Conditions defined in clause 7.3 of TS 38.101-2 [19] for reference sensitivity are fulfilled.

- Conditions for inter-frequency measurements are fulfilled according to Annex B.2.y for a corresponding Band.

- |CSI\_RP1dBm - CSI\_RP2dBm| ≤ 27 dB

- | Channel 1\_Io ‑Channel 2\_Io | ≤ 20 dB

- The measured signals are in the directions covered by the percentile EIS spherical coverage of the UE, defined in clause 7.3.4 of TS 38.101-2 [19].

- The timing offset between the reference measurement timing and the target CSI-RS in one layer is no larger than [0.5]\*CP

- The bandwidth of the CSI-RS resource is no less than 48 PRBs

- The resource density of the CSI-RS resource in frequency domain D=3

Table 10.1.15.2.2-1: CSI-SINR Inter frequency relative accuracy in FR2

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Accuracy | | Conditions | | | |
| Normal condition | Extreme condition | CSI-RS Ês/Iot | Io Note 2 range | | |
|  |  |  | Minimum Io | | Maximum Io |
| dB | dB | dB | dBm / SCSCSI-RS Note 1 | | dBm/BWChannel |
|  |  |  | SCSCSI-RS = 60kHz | SCSCSI-RS = 120kHz |  |
| ±3.5 | ±4 | ≥-3 | Same value as CSI\_RP in Table B.2.9-2, according to UE Power class, operating band and angle of arrival | | -50 |
| ±4 | ±4 | ≥-6 |
| Note 1: Values based on Refsens and EIS spherical coverage as defined in clauses 7.3.2 and 7.3.4 of TS 38.101-2 [19]. Applicable side condition selected depending on angle of arrival.  Note 2: Io specified at the Reference point, and assumed to have constant EPRE across the bandwidth.  Note 3: In the test cases, the CSI-RS Ês/Iot and related parameters may need to be adjusted to ensure Ês/Iot at UE baseband is above the value defined in this table.  Note 4: The requirements apply for CSI-RS Ês/Iot ≤ [15] dB. | | | | | |

## <End of Change 14>