**3GPP TSG-RAN4 Meeting #98-bis-e *R4-2105003***

**Electronic Meeting, 12 - 20 Apr, 2021**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.133** | **CR** |  | **rev** | **-** | **Current version:** | **16.7.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | Draft CR on UL spatial relation info switch for PUCCH | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Apple | | | | | | | | | |
| ***Source to TSG:*** | RAN4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_RRM\_enh-Core | | | | |  | ***Date:*** | | | 2021-04-02 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Spatial relation info for PUCCH also includes PL-RS. For PUCCH a spatial relation info switch would also change the PL-RS. The delay requirements for PUCCH spatial relation info switch should also include PL-RS. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Updated delay requirement for MAC-CE based switch for PUCCH to include PL-RS switching delay as defined in section 8.14.3. | | | | | | | | |
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| ***Consequences if not approved:*** | | Core requirements for UL spatial relation switch for PUCCH are incorrect. | | | | | | | | |
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| ***Clauses affected:*** | | 8.12.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **X** |  | Test specifications | | | | TS 38.533 | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

Start of Change 1

### 8.12.3 MAC-CE based spatial relation switch delay

If the target spatial relation associated to DL RS is known, upon receiving PDSCH carrying MAC-CE activation command in slot n, for UL spatial relation switch for PUCCH or semi-persistent SRS transmission of serving cell with a target UL spatial relation, the UE shall be able to transmit PUCCH or semi-persistent SRS with the target UL spatial relation in the slot n+ THARQ + + 1 when *beamCorrespondenceWithoutUL-BeamSweeping* is set to 1 where THARQ is the timing between DL data transmission and acknowledgement as specified in TS 38.213 [3].

If the target spatial relation associated to DL RS is unknown, upon receiving PDSCH carrying MAC-CE activation command in slot n, for UL spatial relation switch for PUCCH or semi-persistent SRS transmission of serving cell with a target UL spatial relation, the UE shall be able to transmit PUCCH or semi-persistent SRS with the target UL spatial relation in the slot n+ THARQ + + TL1-RSRP+1 when *beamCorrespondenceWithoutUL-BeamSweeping* is set to 1.

When the UL spatial relation info switch for PUCCH changes both the associated DL RS and *pucch-PathlossReferenceRS*, and if both the DL RS and *pucch-PathlossReferenceRS* are known as specified in clause 8.12.2 and 8.14.2, the UE shall be able to transmit PUCCH with the target UL spatial relation after the delay specified in clause 8.14.3. If either the associated DL RS or *pucch-PathlossReferenceRS* are unknown, a longer switching delay is allowed. The UE is not expected to transmit PUCCH with the target UL spatial relation until the DL RS and pathloss reference RS switch is completed.

Where

- THARQ is the timing between DL data transmission and acknowledgement as specified in TS 38.213 [3],

- T L1-RSRP is the time for Rx beam refinement in FR2, defined as

- TL1-RSPR\_Measurement\_Period\_SSB for SSB as specified in clause 9.5.4.1,

- with the assumption of M=1

- with TReport = 0

- TL1-RSRP\_Measurement\_Period\_CSI-RS for CSI-RS as specified in clause 9.5.4.2

- configured with higher layer parameter *repetition* set to ON

- with the assumption of M=1 for periodic CSI-RS

- for aperiodic CSI-RS if number of resources in resource set at least equal to *MaxNumberRxBeam*

- with TReport = 0

If the target spatial relation associated to DL RS is unknown and the UL spatial relation info switch for PUCCH also changes the *pucch-PathlossReferenceRS*, a longer switching delay is allowed. The UE is not expected to transmit PUCCH with the target UL spatial relation until the pathloss reference RS switch is completed.

End of Change 1