**3GPP TSG-RAN WG2 Meeting#121-bis-eR2-230xxxx**

**Online, April 17th – April 26th, 2023**

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| *CR-Form-v12.2* |
| **CHANGE REQUEST** |
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|  | **38.331** | **CR** | **3958** | **rev** | **1** | **Current version:** | **16.12.0** |  |
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| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:***  | Clarifications on CG Parameters in NR-U  |
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| ***Source to WG:*** | vivo |
| ***Source to TSG:*** | R2 |
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| ***Work item code:*** | NR\_unlic-Core |  | ***Date:*** | 2023-04-26 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19)* |
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| ***Reason for change:*** | For the field *cg-StartingFullBW-InsideCOT* in *CG-StartingOffsets*, it is used to configure a set of configured grant PUSCH transmission starting offset indices, as specified in TS 38.211, Table 5.3.1-2. However, in the current RRC spec, a reference to TS 38.211 is missing. |
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| ***Summary of change:*** | For the field *cg-StartingFullBW-InsideCOT* in *CG-StartingOffsets*, add a reference to TS 38.211. **Impact analysis**Impacted 5G architecture options: NR standalone, MR-DCImpacted functionality: Configured Grant Inter-operability: If the UE is implemented according to this CR but the network is not, there is no inter-operability issue.If the network is implemented according to this CR but the UE is not, there is no inter-operability issue. |
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| ***Consequences if not approved:*** | The RRC spec is not fully clear. |
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| ***Clauses affected:*** | 6.3.2 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** |  |
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| ***This CR's revision history:*** |  |

**START OF THE CHANGE**

#### – *ConfiguredGrantConfig*

The IE *ConfiguredGrantConfig* is used to configure uplink transmission without dynamic grant according to two possible schemes. The actual uplink grant may either be configured via RRC (*type1*) or provided via the PDCCH (addressed to CS-RNTI) (*type2*). Multiple Configured Grant configurations may be configured in one BWP of a serving cell.

*ConfiguredGrantConfig* information element

-- ASN1START

-- TAG-CONFIGUREDGRANTCONFIG-START

ConfiguredGrantConfig ::= SEQUENCE {

 frequencyHopping ENUMERATED {intraSlot, interSlot} OPTIONAL, -- Need S

 cg-DMRS-Configuration DMRS-UplinkConfig,

 mcs-Table ENUMERATED {qam256, qam64LowSE} OPTIONAL, -- Need S

 mcs-TableTransformPrecoder ENUMERATED {qam256, qam64LowSE} OPTIONAL, -- Need S

 uci-OnPUSCH SetupRelease { CG-UCI-OnPUSCH } OPTIONAL, -- Need M

 resourceAllocation ENUMERATED { resourceAllocationType0, resourceAllocationType1, dynamicSwitch },

 rbg-Size ENUMERATED {config2} OPTIONAL, -- Need S

 powerControlLoopToUse ENUMERATED {n0, n1},

 p0-PUSCH-Alpha P0-PUSCH-AlphaSetId,

 transformPrecoder ENUMERATED {enabled, disabled} OPTIONAL, -- Need S

 nrofHARQ-Processes INTEGER(1..16),

 repK ENUMERATED {n1, n2, n4, n8},

 repK-RV ENUMERATED {s1-0231, s2-0303, s3-0000} OPTIONAL, -- Need R

 periodicity ENUMERATED {

 sym2, sym7, sym1x14, sym2x14, sym4x14, sym5x14, sym8x14, sym10x14, sym16x14, sym20x14,

 sym32x14, sym40x14, sym64x14, sym80x14, sym128x14, sym160x14, sym256x14, sym320x14, sym512x14,

 sym640x14, sym1024x14, sym1280x14, sym2560x14, sym5120x14,

 sym6, sym1x12, sym2x12, sym4x12, sym5x12, sym8x12, sym10x12, sym16x12, sym20x12, sym32x12,

 sym40x12, sym64x12, sym80x12, sym128x12, sym160x12, sym256x12, sym320x12, sym512x12, sym640x12,

 sym1280x12, sym2560x12

 },

 configuredGrantTimer INTEGER (1..64) OPTIONAL, -- Need R

 rrc-ConfiguredUplinkGrant SEQUENCE {

 timeDomainOffset INTEGER (0..5119),

 timeDomainAllocation INTEGER (0..15),

 frequencyDomainAllocation BIT STRING (SIZE(18)),

 antennaPort INTEGER (0..31),

 dmrs-SeqInitialization INTEGER (0..1) OPTIONAL, -- Need R

 precodingAndNumberOfLayers INTEGER (0..63),

 srs-ResourceIndicator INTEGER (0..15) OPTIONAL, -- Need R

 mcsAndTBS INTEGER (0..31),

 frequencyHoppingOffset INTEGER (1.. maxNrofPhysicalResourceBlocks-1) OPTIONAL, -- Need R

 pathlossReferenceIndex INTEGER (0..maxNrofPUSCH-PathlossReferenceRSs-1),

 ...,

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 pusch-RepTypeIndicator-r16 ENUMERATED {pusch-RepTypeA,pusch-RepTypeB} OPTIONAL, -- Need M

 frequencyHoppingPUSCH-RepTypeB-r16 ENUMERATED {interRepetition, interSlot} OPTIONAL, -- Cond RepTypeB

 timeReferenceSFN-r16 ENUMERATED {sfn512} OPTIONAL -- Need S

 ]]

 } OPTIONAL, -- Need R

 ...,

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 cg-RetransmissionTimer-r16 INTEGER (1..64) OPTIONAL, -- Need R

 cg-minDFI-Delay-r16 ENUMERATED

 {sym7, sym1x14, sym2x14, sym3x14, sym4x14, sym5x14, sym6x14, sym7x14, sym8x14,

 sym9x14, sym10x14, sym11x14, sym12x14, sym13x14, sym14x14,sym15x14, sym16x14

 } OPTIONAL, -- Need R

 cg-nrofPUSCH-InSlot-r16 INTEGER (1..7) OPTIONAL, -- Need R

 cg-nrofSlots-r16 INTEGER (1..40) OPTIONAL, -- Need R

 cg-StartingOffsets-r16 CG-StartingOffsets-r16 OPTIONAL, -- Need R

 cg-UCI-Multiplexing-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

 cg-COT-SharingOffset-r16 INTEGER (1..39) OPTIONAL, -- Need R

 betaOffsetCG-UCI-r16 INTEGER (0..31) OPTIONAL, -- Need R

 cg-COT-SharingList-r16 SEQUENCE (SIZE (1..1709)) OF CG-COT-Sharing-r16 OPTIONAL, -- Need R

 harq-ProcID-Offset-r16 INTEGER (0..15) OPTIONAL, -- Need M

 harq-ProcID-Offset2-r16 INTEGER (0..15) OPTIONAL, -- Need M

 configuredGrantConfigIndex-r16 ConfiguredGrantConfigIndex-r16 OPTIONAL, -- Cond CG-List

 configuredGrantConfigIndexMAC-r16 ConfiguredGrantConfigIndexMAC-r16 OPTIONAL, -- Cond CG-IndexMAC

 periodicityExt-r16 INTEGER (1..5120) OPTIONAL, -- Need R

 startingFromRV0-r16 ENUMERATED {on, off} OPTIONAL, -- Need R

 phy-PriorityIndex-r16 ENUMERATED {p0, p1} OPTIONAL, -- Need R

 autonomousTx-r16 ENUMERATED {enabled} OPTIONAL -- Cond LCH-BasedPrioritization

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}

CG-UCI-OnPUSCH ::= CHOICE {

 dynamic SEQUENCE (SIZE (1..4)) OF BetaOffsets,

 semiStatic BetaOffsets

}

CG-COT-Sharing-r16 ::= CHOICE {

 noCOT-Sharing-r16 NULL,

 cot-Sharing-r16 SEQUENCE {

 duration-r16 INTEGER (1..39),

 offset-r16 INTEGER (1..39),

 channelAccessPriority-r16 INTEGER (1..4)

 }

}

CG-StartingOffsets-r16 ::= SEQUENCE {

 cg-StartingFullBW-InsideCOT-r16 SEQUENCE (SIZE (1..7)) OF INTEGER (0..6) OPTIONAL, -- Need R

 cg-StartingFullBW-OutsideCOT-r16 SEQUENCE (SIZE (1..7)) OF INTEGER (0..6) OPTIONAL, -- Need R

 cg-StartingPartialBW-InsideCOT-r16 INTEGER (0..6) OPTIONAL, -- Need R

 cg-StartingPartialBW-OutsideCOT-r16 INTEGER (0..6) OPTIONAL -- Need R

}

-- TAG-CONFIGUREDGRANTCONFIG-STOP

-- ASN1STOP

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| *ConfiguredGrantConfig* field descriptions |
| ***antennaPort***Indicates the antenna port(s) to be used for this configuration, and the maximum bitwidth is 5. See TS 38.214 [19], clause 6.1.2, and TS 38.212 [17], clause 7.3.1. |
| ***autonomousTx***If this field is present, the Configured Grant configuration is configured with autonomous transmission, see TS 38.321 [3]. |
| ***betaOffsetCG-UCI***Beta offset for CG-UCI in CG-PUSCH, see TS 38.213 [13], clause 9.3 |
| ***cg-COT-SharingList***Indicates a table for COT sharing combinations (see 37.213 [48], clause 4.1.3). One row of the table can be set to noCOT-Sharing to indicate that there is no channel occupancy sharing. |
| ***cg-COT-SharingOffset***Indicates the offset from the end of the slot where the COT sharing indication in UCI is enabled where the offset in symbols is equal to 14\*n, where n is the signaled value for *cg-COT-SharingOffset*. Applicable when *ul-toDL-COT-SharingED-Threshold-r16* is not configured (see 37.213 [48], clause 4.1.3). |
| ***cg-DMRS-Configuration***DMRS configuration (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-minDFI-Delay***Indicates the minimum duration (in unit of symbols) from the ending symbol of the PUSCH to the starting symbol of the PDCCH containing the downlink feedback indication (DFI) carrying HARQ-ACK for this PUSCH. The HARQ-ACK received before this minimum duration is not considered as valid for this PUSCH (see TS 38.213 [13], clause 10.5). The following minimum duration values are supported, depending on the configured subcarrier spacing [symbols]:15 kHz: 7, m\*14, where m = {1, 2, 3, 4}30 kHz: 7, m\*14, where m = {1, 2, 3, 4, 5, 6, 7, 8}60 kHz: 7, m\*14, where m = {1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16} |
| ***cg-nrofPUSCH-InSlot***Indicates the number of consecutive PUSCH configured to CG within a slot where the SLIV indicating the first PUSCH and additional PUSCH appended with the same length (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-nrofSlots***Indicates the number of allocated slots in a configured grant periodicity following the time instance of configured grant offset (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-RetransmissionTimer***Indicates the initial value of the configured retransmission timer (see TS 38.321 [3]) in multiples of *periodicity*. The value of *cg-RetransmissionTimer* is always less than or equal to the value of *configuredGrantTimer.* This field is always configured for operation with shared spectrum channel access together with *harq-ProcID-Offset*. This field is not configured for operation in licensed spectrum or simultaneously with *harq-ProcID-Offset2.* |
| ***cg-UCI-Multiplexing***If present, this field indicates that in the case of PUCCH overlapping with CG-PUSCH(s) within a PUCCH group, the CG-UCI and HARQ-ACK are jointly encoded (see TS 38.213 [13], clause 9). |
| ***configuredGrantConfigIndex***Indicates the index of the Configured Grant configurations within the BWP. |
| ***configuredGrantConfigIndexMAC***Indicates the index of the Configured Grant configurations within the MAC entity. |
| ***configuredGrantTimer***Indicates the initial value of the configured grant timer (see TS 38.321 [3]) in multiples of periodicity. When *cg-RetransmissonTimer* is configured, if HARQ processes are shared among different configured grants on the same BWP, *configuredGrantTimer \* periodicity* is set to the same value for the configurations that share HARQ processes on this BWP. |
| ***dmrs-SeqInitialization***The network configures this field if *transformPrecoder* is disabled. Otherwise the field is absent. |
| ***frequencyDomainAllocation***Indicates the frequency domain resource allocation, see TS 38.214 [19], clause 6.1.2, and TS 38.212 [17], clause 7.3.1). |
| ***frequencyHopping***The value *intraSlot* enables 'Intra-slot frequency hopping' and the value *interSlot* enables 'Inter-slot frequency hopping'. If the field is absent, frequency hopping is not configured. The field *frequencyHopping* applies to configured grant for 'pusch-RepTypeA' (see TS 38.214 [19], clause 6.3.1). |
| ***frequencyHoppingOffset***Frequency hopping offset used when frequency hopping is enabled (see TS 38.214 [19], clause 6.1.2 and clause 6.3). |
| ***frequencyHoppingPUSCH-RepTypeB***Indicates the frequency hopping scheme for Type 1 CG when *pusch-RepTypeIndicator* is set to 'pusch-RepTypeB' (see TS 38.214 [19], clause 6.1). The value *interRepetition* enables 'Inter-repetition frequency hopping', and the value *interSlot* enables 'Inter-slot frequency hopping'. If the field is absent, the frequency hopping is not enabled for Type 1 CG. |
| ***harq-ProcID-Offset***For operation with shared spectrum channel access, this configures the range of HARQ process IDs which can be used for this configured grant where the UE can select a HARQ process ID within [*harq-procID-offset, ..,* (*harq-procID-offset + nrofHARQ-Processes* – 1)]. |
| ***harq-ProcID-Offset2***Indicates the offset used in deriving the HARQ process IDs, see TS 38.321 [3], clause 5.4.1. This field is not configured for operation with shared spectrum channel access. |
| ***mcs-Table***Indicates the MCS table the UE shall use for PUSCH without transform precoding. If the field is absent the UE applies the value *qam64*. |
| ***mcs-TableTransformPrecoder***Indicates the MCS table the UE shall use for PUSCH with transform precoding. If the field is absent the UE applies the value *qam64*. |
| ***mcsAndTBS***The modulation order, target code rate and TB size (see TS 38.214 [19], clause 6.1.2). The NW does not configure the values 28~31 in this version of the specification. |
| ***nrofHARQ-Processes***The number of HARQ processes configured. It applies for both Type 1 and Type 2. See TS 38.321 [3], clause 5.4.1. |
| ***p0-PUSCH-Alpha***Index of the *P0-PUSCH-AlphaSet* to be used for this configuration. |
| ***pathlossReferenceIndex***Indicates the reference signal index used as PUSCH pathloss reference (see TS 38.213 [13], clause 7.1.1). |
| ***periodicity***Periodicity for UL transmission without UL grant for type 1 and type 2 (see TS 38.321 [3], clause 5.8.2).The following periodicities are supported depending on the configured subcarrier spacing [symbols]:15 kHz: 2, 7, n\*14, where n={1, 2, 4, 5, 8, 10, 16, 20, 32, 40, 64, 80, 128, 160, 320, 640}30 kHz: 2, 7, n\*14, where n={1, 2, 4, 5, 8, 10, 16, 20, 32, 40, 64, 80, 128, 160, 256, 320, 640, 1280}60 kHz with normal CP 2, 7, n\*14, where n={1, 2, 4, 5, 8, 10, 16, 20, 32, 40, 64, 80, 128, 160, 256, 320, 512, 640, 1280, 2560}60 kHz with ECP: 2, 6, n\*12, where n={1, 2, 4, 5, 8, 10, 16, 20, 32, 40, 64, 80, 128, 160, 256, 320, 512, 640, 1280, 2560}120 kHz: 2, 7, n\*14, where n={1, 2, 4, 5, 8, 10, 16, 20, 32, 40, 64, 80, 128, 160, 256, 320, 512, 640, 1024, 1280, 2560, 5120} |
| ***periodicityExt***This field is used to calculate the periodicity for UL transmission without UL grant for type 1 and type 2 (see TS 38.321 [3], clause 5.8.2). If this field is present, the field *periodicity* is ignored.The following periodicites are supported depending on the configured subcarrier spacing [symbols]:15 kHz: *periodicityExt*\*14, where *periodicityExt* has a value between 1 and 640.30 kHz: *periodicityExt*\*14, where *periodicityExt* has a value between 1 and 1280.60 kHz with normal CP: *periodicityExt*\*14, where *periodicityExt* has a value between 1 and 2560.60 kHz with ECP: *periodicityExt*\*12, where *periodicityExt* has a value between 1 and 2560.120 kHz: *periodicityExt*\*14, where *periodicityExt* has a value between 1 and 5120. |
| ***phy-PriorityIndex***Indicates the PHY priority of CG PUSCH at least for PHY-layer collision handling. Value *p0* indicates low priority and value *p1* indicates high priority. |
| ***powerControlLoopToUse***Closed control loop to apply (see TS 38.213 [13], clause 7.1.1). |
| ***precodingAndNumberOfLayers***Indicates the precoding and number of layers (see TS 38.212 [17], clause 7.3.1.1.2, and TS 38.214 [19], clause 6.1.2.3). |
| ***pusch-RepTypeIndicator***Indicates whether UE follows the behavior for PUSCH repetition type A or the behavior for PUSCH repetition type B for each Type 1 configured grant configuration. The value *pusch-RepTypeA* enables the 'PUSCH repetition type A' and the value *pusch-RepTypeB* enables the 'PUSCH repetition type B' (see TS 38.214 [19], clause 6.1.2.3). The value *pusch-RepTypeB* is not configured simultaneously with *cg-nrofPUSCH-InSlot-r16* and *cg-nrofSlots-r16*. |
| ***rbg-Size***Selection between configuration 1 and configuration 2 for RBG size for PUSCH. The UE does not apply this field if *resourceAllocation* is set to *resourceAllocationType1*. Otherwise, the UE applies the value *config1* when the field is absent. Note: *rbg-Size* is used when the *transformPrecoder* parameter is disabled. |
| ***repK-RV***The redundancy version (RV) sequence to use. See TS 38.214 [19], clause 6.1.2. The network configures this field if repetitions are used, i.e., if *repK* is set to *n2*, *n4* or *n8*. This field is not configured when *cg-RetransmissionTimer* is configured. Otherwise, the field is absent. |
| ***repK***Number of repetitions K, see TS 38.214 [19]. |
| ***resourceAllocation***Configuration of resource allocation type 0 and resource allocation type 1. For Type 1 UL data transmission without grant, *resourceAllocation* should be *resourceAllocationType0* or *resourceAllocationType1*. |
| ***rrc-ConfiguredUplinkGrant***Configuration for "configured grant" transmission with fully RRC-configured UL grant (Type1). If this field is absent the UE uses UL grant configured by DCI addressed to CS-RNTI (Type2). |
| ***srs-ResourceIndicator***Indicates the SRS resource to be used.  |
| ***startingFromRV0***This field is used to determine the initial transmission occasion of a transport block for a given RV sequence, see TS 38.214 [19], clause 6.1.2.3.1. |
| ***timeDomainAllocation***Indicates a combination of start symbol and length and PUSCH mapping type, see TS 38.214 [19], clause 6.1.2 and TS 38.212 [17], clause 7.3.1. |
| ***timeDomainOffset***Offset related to the reference SFN indicated by *timeReferenceSFN*, see TS 38.321 [3], clause 5.8.2. |
| ***timeReferenceSFN***Indicates SFN used for determination of the offset of a resource in time domain. The UE uses the closest SFN with the indicated number preceding the reception of the configured grant configuration, see TS 38.321 [3], clause 5.8.2. If the field *timeReferenceSFN* is not present, the reference SFN is 0. |
| ***transformPrecoder***Enables or disables transform precoding for *type1* and *type2*. If the field is absent, the UE enables or disables transform precoding in accordance with the field *msg3-transformPrecoder* in *RACH-ConfigCommon*, see TS 38.214 [19], clause 6.1.3. |
| ***uci-OnPUSCH***Selection between and configuration of dynamic and semi-static beta-offset. For Type 1 UL data transmission without grant, *uci-OnPUSCH* should be set to *semiStatic.* |

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| *CG-COT-Sharing* field descriptions |
| ***channelAccessPriority***Indicates the Channel Access Priority Class that the gNB can assume when sharing the UE initiated COT (see 37.213 [48], clause 4.1.3). |
| ***duration***Indicates the number of DL transmission slots within UE initiated COT (see 37.213 [48], clause 4.1.3). |
| ***offset***Indicates the number of DL transmission slots from the end of the slot where CG-UCI is detected after which COT sharing can be used (see 37.213 [48], clause 4.1.3). |

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| *CG-StartingOffsets* field descriptions |
| ***cg-StartingFullBW-InsideCOT***A set of configured grant PUSCH transmission starting offsets (see TS 38.211[16], Table 5.3.1-2) which indicates the length of a CP extension of the first symbol that is located before the configured resource when frequency domain resource allocation includes all interlaces in the allocated RB set(s) and the CG PUSCH resource is inside gNB COT (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-StartingFullBW-OutsideCOT***A set of configured grant PUSCH transmission starting offset indices (see TS 38.211[16], Table 5.3.1-2) which indicates the length of a CP extension of the first symbol that is located before the configured resource when frequency domain resource allocation includes all interlaces in the allocated RB set(s) and the CG PUSCH resource is outside gNB COT (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-StartingPartialBW-InsideCOT***A set of configured grant PUSCH transmission starting offset index (see TS 38.211[16], Table 5.3.1-2) which indicates the length of a CP extension of the first symbol that is located before the configured resource when frequency domain resource allocation does not include all interlaces in the allocated RB set(s) and the CG PUSCH resource is inside gNB COT (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-StartingPartialBW-OutsideCOT***A set of configured grant PUSCH transmission starting offset index (see TS 38.211[16], Table 5.3.1-2) which indicates the length of a CP extension of the first symbol that is located before the configured resource when frequency domain resource allocation does not include all interlaces in the allocated RB set(s) and the CG PUSCH resource is outside gNB COT (see TS 38.214 [19], clause 6.1.2.3). |

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| Conditional Presence | Explanation |
| *LCH-BasedPrioritization* | This field is optionally present, Need R, if *lch-BasedPrioritization* is configured in the MAC entity. It is absent otherwise. |
| *RepTypeB* | The field is optionally present if pusch-RepTypeIndicator is set to pusch-RepTypeB, Need S, and absent otherwise. |
| *CG-List* | The field is mandatory present when included in *configuredGrantConfigToAddModList-r16*, otherwise the field is absent. |
| *CG-IndexMAC* | The field is mandatory present if at least one configured grant is configured by *configuredGrantConfigToAddModList-r16* in any BWP of this MAC entity, otherwise it is optionally present, need R. |

**END OF THE CHANGE**