**3GPP TSG-RAN WG2 Meeting #121 *R2-2302179***

**Athens, Greece, 27th February – 3rd March 2023**

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| *CR-Form-v12.2* |
| **CHANGE REQUEST** |
|  |
|  | **38.321** | **CR** | **1541** | **rev** | 2 | **Current version:** | **17.3.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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|  |
| ***Title:***  | Corrections for RA-SDT and CG-SDT |
|  |  |
| ***Source to WG:*** | Ericsson, NEC, Huawei, LGE |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_SmallData\_INACTIVE-Core |  | ***Date:*** | 2023-03-02 |
|  |  |  |  |  |
| ***Category:*** | F |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19)* |
|  |  |
| ***Reason for change:*** | * In RA-SDT for 2-step and 4-step access the UE will transmit UL SDT classified data as part of MsgA/Msg3. MsgB then contains the RAR message and contention resolution but should also be able to carry DL data to the UE. For 4-step RA-SDT the network should be able to carry datain Msg4. In the MO-SDT work item this option seems to not have been captured in the MAC specification.
* "If" is missed. Agreed CR R2-2208949(RP-222525) contains "If", but it seems to be missed.
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| ***Summary of change:*** | * Added the DTCH as an option for where the MAC SDU could be sent to the UE.
* For the usage of Temporary C-RNTI during contention resolution (when no valid C-RNTI is available), add DTCH as one logical channel in case of RA-SDT.
* Add “if”

**Impact Analysis**Impacted 5G architecture options: NR SA Impacted functionality:Small Data Transmission in Inactive. By the approval of this CR it will be possible for the network to send DL data to the UE in MsgB and Msg4.Inter-operability:1. If the network is implemented according to the CR and the UE is not, there are inter-operability issues.2. If the UE is implemented according to the CR and the network is not, the UE can’t receive DTCH in MSGB or Msg4. |
|  |  |
| ***Consequences if not approved:*** | MsgB can’t be used to deliver DL data to the UE during a 2-step RA-SDT procedure. DL SDT data cannot be transmitted in Msg4 as response of the initial UL transmission of RA-SDT. |
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| ***Clauses affected:*** | 6.1.5a7.15.8.2 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

FIRST CHANGE

### 6.1.5a MAC PDU (MSGB)

A MAC PDU consists of one or more MAC subPDUs and optionally padding. Each MAC subPDU consists one of the following:

- a MAC subheader with Backoff Indicator only;

- a MAC subheader and fallbackRAR;

- a MAC subheader and successRAR;

- a MAC subheader and MAC SDU for CCCH, DCCH or DTCH;

- a MAC subheader and padding.

A MAC subheader with Backoff Indicator consists of five header fields E/T1/T2/R/BI as described in Figure 6.1.5a-1. A MAC subPDU with Backoff Indicator only is placed at the beginning of the MAC PDU, if included.

A MAC subheader for fallbackRAR consists of three header fields E/T1/RAPID as described in Figure 6.1.5a-2. A MAC subheader for successRAR consists of eight header fields E/T1/T2/S/R/R/R/R as described in Figure 6.1.5a-3. A MAC subheader for MAC SDU consists of the four header fields R/F/LCID/L as described in Figure 6.1.2-1 and Figure 6.1.2-2.

At most one 'MAC subPDU for successRAR' indicating presence of 'MAC subPDU(s) for MAC SDU' is included in a MAC PDU. MAC subPDU(s) for MAC SDU are placed immediately after the 'MAC subPDU for successRAR' indicating presence of 'MAC subPDU(s) for MAC SDU'.

If MAC PDU includes MAC subPDU(s) for MAC SDU, the last MAC subPDU for MAC SDU is placed before MAC subPDU with padding as depicted in Figure 6.1.5a-4. Otherwise, the last MAC subPDU in MAC PDU is placed before padding as depicted in Figure 6.1.5a-5. The MAC subPDU with padding includes R/R/LCID MAC subheader as described in Figure 6.1.2-3 and padding. The size of padding in the MAC subPDU with padding can be zero. The length of padding is implicit based on TB size, size of MAC subPDU(s).

END OF FIRST CHANGE

SECOND CHANGE

7.1 RNTI values

RNTI values are presented in Table 7.1-1.

**Table 7.1-1: RNTI values.**

|  |  |
| --- | --- |
| **Value (hexa-decimal)** | **RNTI** |
| 0000 | N/A |
| 0001–FFF2 | RA-RNTI, MSGB-RNTI, Temporary C-RNTI, C-RNTI, CI-RNTI, MCS-C-RNTI, CS-RNTI, TPC-PUCCH-RNTI, TPC-PUSCH-RNTI, TPC-SRS-RNTI, INT-RNTI, SFI-RNTI, SP-CSI-RNTI, PS-RNTI, SL-RNTI, SLCS-RNTI SL Semi-Persistent Scheduling V-RNTI, AI-RNTI, G-RNTI, G-CS-RNTI, and CG-SDT-CS-RNTI |
| FFF3–FFFB | Reserved |
| FFFC | PEI-RNTI |
| FFFD | MCCH-RNTI |
| FFFE | P-RNTI |
| FFFF | SI-RNTI |

**Table 7.1-2: RNTI usage.**

|  |  |  |  |
| --- | --- | --- | --- |
| **RNTI** | **Usage** | **Transport Channel** | **Logical Channel** |
| P-RNTI | Paging and System Information change notification | PCH | PCCH |
| SI-RNTI | Broadcast of System Information | DL-SCH | BCCH |
| RA-RNTI | Random Access Response | DL-SCH | N/A |
| MSGB-RNTI | Random Access Response for 2-step RA type | DL-SCH | CCCH, DCCH, DTCH |
| Temporary C-RNTI | Contention Resolution(when no valid C-RNTI is available) | DL-SCH | CCCH, DCCH, DTCH |
| Temporary C-RNTI | Msg3 transmission | UL-SCH | CCCH, DCCH, DTCH |
| C-RNTI, MCS-C-RNTI | Dynamically scheduled unicast transmission | UL-SCH | DCCH, DTCH |
| C-RNTI | Dynamically scheduled unicast transmission | DL-SCH | CCCH, DCCH, DTCH |
| MCS-C-RNTI | Dynamically scheduled unicast transmission | DL-SCH | DCCH, DTCH |
| C-RNTI | Triggering of PDCCH ordered random access | N/A | N/A |
| C-RNTI | Dynamically scheduled PTP retransmission for initial PTM transmission for multicast MBS. | DL-SCH | MTCH |
| CG-SDT-CS-RNTI | Dynamically scheduled unicast transmission(retransmission) | UL-SCH | CCCH, DCCH, DTCH |
| CS-RNTI | Configured scheduled unicast transmission(activation, reactivation and retransmission) | DL-SCH, UL-SCH | DCCH, DTCH |
| CS-RNTI | Configured scheduled unicast transmission(deactivation) | N/A | N/A |
| CS-RNTI | Configured scheduled unicast transmission(PTP retransmission for initial PTM transmission) | DL-SCH | MTCH |
| CS-RNTI | Configured scheduled unicast transmission(MBS SPS deactivation) | N/A | N/A |
| G-CS-RNTI | Configured scheduled multicast transmission(activation, reactivation and retransmission) | DL-SCH | MTCH |
| G-CS-RNTI | Configured scheduled multicast transmission (deactivation) | N/A | N/A |
| TPC-PUCCH-RNTI | PUCCH power control | N/A | N/A |
| TPC-PUSCH-RNTI | PUSCH power control | N/A | N/A |
| TPC-SRS-RNTI | SRS trigger and power control | N/A | N/A |
| INT-RNTI | Indication pre-emption in DL | N/A | N/A |
| SFI-RNTI | Slot Format Indication on the given cell | N/A | N/A |
| SP-CSI-RNTI | Activation of Semi-persistent CSI reporting on PUSCH | N/A | N/A |
| CI-RNTI | Cancellation indication in UL | N/A | N/A |
| PS-RNTI | DCP to indicate whether to start *drx-onDurationTimer* for associated DRX cycle | N/A | N/A |
| SL-RNTI | Dynamically scheduled sidelink transmission | SL-SCH | SCCH, STCH |
| SLCS-RNTI | Configured scheduled sidelink transmission(activation, reactivation and retransmission) | SL-SCH | SCCH, STCH |
| SLCS-RNTI | Configured scheduled sidelink transmission(deactivation) | N/A | N/A |
| SL Semi-Persistent Scheduling V-RNTI (NOTE 2) | Semi-Persistently scheduled sidelink transmission for V2X sidelink communication(activation, reactivation and retransmission) | SL-SCH | STCH |
| SL Semi-Persistent Scheduling V-RNTI(NOTE 2) | Semi-Persistently scheduled sidelink transmission for V2X sidelink communication(deactivation) | N/A | N/A |
| AI-RNTI | Availability indication on the given cell | N/A | N/A |
| G-RNTI | Dynamically scheduled MBS PTM transmission | DL-SCH | MTCH |
| MCCH-RNTI | Dynamically scheduled MCCH signalling and MCCH change notification | DL-SCH | MCCH |
| PEI-RNTI | Paging Early Indication | N/A | N/A |
| NOTE 1: The usage of MCS-C-RNTI is equivalent to that of C-RNTI in MAC procedures (except for the C-RNTI MAC CE).NOTE 2: The MAC entity uses SL Semi-Persistent Scheduling V-RNTI to control semi-persistently scheduled sidelink transmission on SL-SCH for V2X sidelink communication as specified in clause 5.14.1.1 of TS 36.321 [22].NOTE 3: The usage of CG-SDT-CS-RNTI is equivalent to that of CS-RNTI when there is an CG-SDT procedure ongoing. |

END OF SECOND CHANGE

THIRD CHANGE

### 5.8.2 Uplink

There are two types of transmission without dynamic grant:

- configured grant Type 1 where an uplink grant is provided by RRC, and stored as configured uplink grant;

- configured grant Type 2 where an uplink grant is provided by PDCCH, and stored or cleared as configured uplink grant based on L1 signalling indicating configured uplink grant activation or deactivation.

Type 1 and Type 2 are configured by RRC for a Serving Cell per BWP. Multiple configurations can be active simultaneously in the same BWP. For Type 2, activation and deactivation are independent among the Serving Cells. For the same BWP, the MAC entity can be configured with both Type 1 and Type 2.

Only configured grant Type 1 can be configured for CG-SDT. CG-SDT can only be configured on initial BWP.

RRC configures the following parameters when the configured grant Type 1 is configured:

- *cs-RNTI*: CS-RNTI for retransmission;

- *cg-SDT-CS-RNTI*: CS-RNTI for CG-SDT retransmission;

- *cg-SDT-RSRP-ThresholdSSB*: an RSRP threshold configured for SSB selection for CG-SDT;

- *periodicity*: periodicity of the configured grant Type 1;

- *timeDomainOffset*: Offset of a resource with respect to SFN = *timeReferenceSFN* in time domain;

- *timeDomainAllocation*: Allocation of configured uplink grant in time domain which contains *startSymbolAndLength* (i.e. *SLIV* in TS 38.214 [7]) or *startSymbol* (i.e. *S* in TS 38.214 [7]);

- *nrofHARQ-Processes*: the number of HARQ processes for configured grant;

- *harq-ProcID-Offset*: offset of HARQ process for configured grant configured with *cg-RetransmissionTimer* for operation with shared spectrum channel access;

- *harq-ProcID-Offset2*: offset of HARQ process for configured grant not configured with *cg-RetransmissionTimer*;

- *timeReferenceSFN*: SFN used for determination of the offset of a resource in time domain. The UE uses the closest SFN with the indicated number preceding the reception of the configured grant configuration.

RRC configures the following parameters when the configured grant Type 2 is configured:

- *cs-RNTI*: CS-RNTI for activation, deactivation, and retransmission;

- *periodicity*: periodicity of the configured grant Type 2;

- *nrofHARQ-Processes*: the number of HARQ processes for configured grant;

- *harq-ProcID-Offset*: offset of HARQ process for configured grant configured with *cg-RetransmissionTimer* for operation with shared spectrum channel access;

- *harq-ProcID-Offset2*: offset of HARQ process for configured grant not configured with *cg-RetransmissionTimer*.

RRC configures the following parameter when retransmissions on configured uplink grant is configured:

- *cg-RetransmissionTimer*: the duration after a configured grant (re)transmission of a HARQ process when the UE shall not autonomously retransmit that HARQ process;

- *cg-SDT-RetransmissionTimer*: the duration after a configured grant (re)trasnmission of a HARQ process of the initial CG-SDT transmission with CCCH message when the UE shall not autonomously retransmit the HARQ process.

Upon configuration of a configured grant Type 1 for a BWP of a Serving Cell by upper layers, the MAC entity shall:

1> store the uplink grant provided by upper layers as a configured uplink grant for the indicated BWP of the Serving Cell;

1> initialise or re-initialise the configured uplink grant to start in the symbol according to *timeDomainOffset*, *timeReferenceSFN*, and *S* (derived from *SLIV* or provided by *startSymbol* as specified in TS 38.214 [7]), and to reoccur with *periodicity*.

After an uplink grant is configured for a configured grant Type 1, the MAC entity shall consider sequentially that the Nth (N >= 0) uplink grant occurs in the symbol for which:

 [(SFN × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot*)
 + (slot number in the frame × *numberOfSymbolsPerSlot*) + symbol number in the slot] =
 (*timeReferenceSFN* × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot*
 + *timeDomainOffset* × *numberOfSymbolsPerSlot* + S + N × *periodicity*)
 modulo (1024 × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot*)

For an uplink grant configured for configured grant Type 1 for CG-SDT on the selected uplink carrier as in clause 5.27, when CG-SDT is triggered and not terminated, for each configured uplink grant valid according to TS 38.214 [7] for which the above formula is satisfied, the MAC entity shall:

1> if, after initial transmission for CG-SDT with CCCH message has been performed according to clause 5.4.1, PDCCH addressed to the MAC entity's C-RNTI has not been received:

2> if the SSB corresponding to the configured UL grant has the same SSB index as the SSB selected for initial transmission for CG-SDT with CCCH message (i.e., retransmission of initial transmission of CG-SDT):

3> select this SSB;

3> indicate the SSB index corresponding to the configured uplink grant to the lower layer;

3> consider this configured uplink grant as valid.

1> else if at least one SSB configured for CG-SDT with SS-RSRP above *cg-SDT-RSRP-ThresholdSSB* is available:

2> if at least one SSB corresponding to the configured uplink grant with SS-RSRP above the *cg-SDT-RSRP-ThresholdSSB* is available:

3> if this is the initial transmission of CG-SDT with CCCH message after the CG-SDT procedure is initiated as in clause 5.27 (i.e., initial transmission for CG-SDT):

4> select an SSB with SS-RSRP above *cg-SDT-RSRP-ThresholdSSB* amongst the SSB(s) associated with the configured uplink grant.

3> else if PDCCH addressed to C-RNTI has been received after the initial transmission of CG-SDT with CCCH message (i.e., subsequent new transmission for CG-SDT):

4> if SS-RSRP of the SSB selected for the previous transmission for CG-SDT is above *cg-SDT-RSRP-ThresholdSSB* and this SSB is associated with this configured uplink grant:

5> select this SSB.

4> else if SS-RSRP of the SSB selected for the previous transmission for CG-SDT is not above *cg-SDT-RSRP-ThresholdSSB*:

5> select an SSB with SS-RSRP above *cg-SDT-RSRP-ThresholdSSB* amongst the SSB(s) associated with the configured uplink grant.

3> if SSB is selected above:

4> indicate the SSB index to the lower layer;

4> consider this configured uplink grant as valid.

1> else:

2> consider this configured uplink grant as not valid.

2> if PDCCH addressed to C-RNTI after the initial transmission of the CG-SDT with CCCH message has been received:

3> if there is data available for transmission for at least one RB configured for SDT:

4> initiate Random Access procedure in clause 5.1.

After an uplink grant is configured for a configured grant Type 2, the MAC entity shall consider sequentially that the Nth (N >= 0) uplink grant occurs in the symbol for which:

 [(SFN × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot*)
 + (slot number in the frame × *numberOfSymbolsPerSlot*) + symbol number in the slot] =
 [(SFNstart time × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot*
 + slotstart time × *numberOfSymbolsPerSlot* + symbolstart time) + N × *periodicity*]
 modulo (1024 × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot*)

where SFNstart time, slotstart time, and symbolstart time are the SFN, slot, and symbol, respectively, of the first transmission opportunity of PUSCH where the configured uplink grant was (re-)initialised.

If *cg-nrofPUSCH-InSlot* or *cg-nrofSlots* is configured for a configured grant Type 1 or Type 2, the MAC entity shall consider the uplink grants occur in those additional PUSCH allocations as specified in clause 6.1.2.3 of TS 38.214 [7].

NOTE: In case of unaligned SFN across carriers in a cell group, the SFN of the concerned Serving Cell is used to calculate the occurrences of configured uplink grants.

When the configured uplink grant is released by upper layers, all the corresponding configurations shall be released and all corresponding uplink grants shall be cleared.

The MAC entity shall:

1> if at least one configured uplink grant confirmation has been triggered and not cancelled; and

1> if the MAC entity has UL resources allocated for new transmission:

2> if, in this MAC entity, at least one configured uplink grant is configured by *configuredGrantConfigToAddModList*:

3> instruct the Multiplexing and Assembly procedure to generate a Multiple Entry Configured Grant Confirmation MAC CE as defined in clause 6.1.3.31.

2> else:

3> instruct the Multiplexing and Assembly procedure to generate a Configured Grant Confirmation MAC CE as defined in clause 6.1.3.7.

2> cancel all triggered configured uplink grant confirmation(s).

For a configured grant Type 2, the MAC entity shall clear the configured uplink grant(s) immediately after first transmission of Configured Grant Confirmation MAC CE or Multiple Entry Configured Grant Confirmation MAC CE which confirms the configured uplink grant deactivation.

Retransmissions use:

- repetition of configured uplink grants; or

- received uplink grants addressed to CS-RNTI; or

- configured uplink grants with *cg-RetransmissionTimer* or *cg-SDT-RetransmissionTimer* configured.

END OF THIRD CHANGE