**3GPP T****SG-RAN WG2 Meeting #119-e R2-220xxxx**

**Online, Aug 17th – 26th, 2022**

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| *CR-Form-v12.2* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** | **3417** | **rev** | **1** | **Current version:** | **17.1.0** |  |
|  |
| *For* ***[HE](http://www.3gpp.org/3G_Specs/CRs.htm%22%20%5Cl%20%22_blank)******[LP](http://www.3gpp.org/3G_Specs/CRs.htm%22%20%5Cl%20%22_blank)*** *on using this form: comprehensive instructions can be found at <http://www.3gpp.org/Change-Requests>.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:***  | Clarification on headerCompression for DAPS bearer |
|  |  |
| ***Source to WG:*** | ZTE Corporation, Sanechips |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_Mob\_enh-Core |  | ***Date:*** | 2022-07-31 |
|  |  |  |  |  |
| ***Category:*** | **A** |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19)* |
|  |  |
| ***Reason for change:*** | For DAPS bearers, the PDCP entity is configured with two sets of security functions and keys and two sets of header compression protocols, associated with source cell and target cell, respectively. So the target cell can reconfigure *headerCompression* for PDCP entity associated with DAPS bearer. According to the field description for *headerCompression*, the network reconfigures *headerCompression* only upon reconfiguration involving PDCP re-establishment, and without any *drb-ContinueROHC*. However, for DAPS bearers, no PDCP re-establishment shall be performed. The network only reconfigures the PDCP entity to configure or release DAPS. |
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| ***Summary of change:*** | Update the field description for *headerCompression* to clarify that the network reconfigures *headerCompression* only upon reconfiguration involving PDCP re-establishment or involving PDCP entity reconfiguration to configure DAPS bearer(s), and without any *drb-ContinueROHC*.**Impact analysis**Impacted 5G architecture options:NR SAImpacted functionality:DAPS HOInter-operability:If the network implements the CR and the UE does not, there is no inter-operability issue.If the UE implements the CR and the network does not, the target cell can not configure *headerCompression* for PDCP entity associated with DAPS bearer. |
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| ***Consequences if not approved:*** | The target cell can not configure *headerCompression* for PDCP entity associated with DAPS bearer. |
|  |  |
| ***Clauses affected:*** | 6.3.2 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

*START OF CHANGE*

6.3.2 Radio resource control information elements

– *PDCP-Config*

The IE *PDCP-Config* is used to set the configurable PDCP parameters for signalling, MBS multicast and data radio bearers.

***PDCP-Config* information element**

-- ASN1START

-- TAG-PDCP-CONFIG-START

PDCP-Config ::= SEQUENCE {

 drb SEQUENCE {

 discardTimer ENUMERATED {ms10, ms20, ms30, ms40, ms50, ms60, ms75, ms100, ms150, ms200,

 ms250, ms300, ms500, ms750, ms1500, infinity} OPTIONAL, -- Cond Setup

 pdcp-SN-SizeUL ENUMERATED {len12bits, len18bits} OPTIONAL, -- Cond Setup1

 pdcp-SN-SizeDL ENUMERATED {len12bits, len18bits} OPTIONAL, -- Cond Setup2

 headerCompression CHOICE {

 notUsed NULL,

 rohc SEQUENCE {

 maxCID INTEGER (1..16383) DEFAULT 15,

 profiles SEQUENCE {

 profile0x0001 BOOLEAN,

 profile0x0002 BOOLEAN,

 profile0x0003 BOOLEAN,

 profile0x0004 BOOLEAN,

 profile0x0006 BOOLEAN,

 profile0x0101 BOOLEAN,

 profile0x0102 BOOLEAN,

 profile0x0103 BOOLEAN,

 profile0x0104 BOOLEAN

 },

 drb-ContinueROHC ENUMERATED { true } OPTIONAL -- Need N

 },

 uplinkOnlyROHC SEQUENCE {

 maxCID INTEGER (1..16383) DEFAULT 15,

 profiles SEQUENCE {

 profile0x0006 BOOLEAN

 },

 drb-ContinueROHC ENUMERATED { true } OPTIONAL -- Need N

 },

 ...

 },

 integrityProtection ENUMERATED { enabled } OPTIONAL, -- Cond ConnectedTo5GC1

 statusReportRequired ENUMERATED { true } OPTIONAL, -- Cond Rlc-AM-UM

 outOfOrderDelivery ENUMERATED { true } OPTIONAL -- Need R

 } OPTIONAL, -- Cond DRB

 moreThanOneRLC SEQUENCE {

 primaryPath SEQUENCE {

 cellGroup CellGroupId OPTIONAL, -- Need R

 logicalChannel LogicalChannelIdentity OPTIONAL -- Need R

 },

 ul-DataSplitThreshold UL-DataSplitThreshold OPTIONAL, -- Cond SplitBearer

 pdcp-Duplication BOOLEAN OPTIONAL -- Need R

 } OPTIONAL, -- Cond MoreThanOneRLC

 t-Reordering ENUMERATED {

 ms0, ms1, ms2, ms4, ms5, ms8, ms10, ms15, ms20, ms30, ms40,

 ms50, ms60, ms80, ms100, ms120, ms140, ms160, ms180, ms200, ms220,

 ms240, ms260, ms280, ms300, ms500, ms750, ms1000, ms1250,

 ms1500, ms1750, ms2000, ms2250, ms2500, ms2750,

 ms3000, spare28, spare27, spare26, spare25, spare24,

 spare23, spare22, spare21, spare20,

 spare19, spare18, spare17, spare16, spare15, spare14,

 spare13, spare12, spare11, spare10, spare09,

 spare08, spare07, spare06, spare05, spare04, spare03,

 spare02, spare01 } OPTIONAL, -- Need S

 ...,

 [[

 cipheringDisabled ENUMERATED {true} OPTIONAL -- Cond ConnectedTo5GC

 ]],

 [[

 discardTimerExt-r16 SetupRelease { DiscardTimerExt-r16 } OPTIONAL, -- Cond DRB2

 moreThanTwoRLC-DRB-r16 SEQUENCE {

 splitSecondaryPath-r16 LogicalChannelIdentity OPTIONAL, -- Cond SplitBearer2

 duplicationState-r16 SEQUENCE (SIZE (3)) OF BOOLEAN OPTIONAL -- Need S

 } OPTIONAL, -- Cond MoreThanTwoRLC-DRB

 ethernetHeaderCompression-r16 SetupRelease { EthernetHeaderCompression-r16 } OPTIONAL -- Need M

 ]],

 [[

 survivalTimeStateSupport-r17 ENUMERATED {true} OPTIONAL, -- Cond Drb-Duplication

 uplinkDataCompression-r17 SetupRelease { UplinkDataCompression-r17 } OPTIONAL, -- Cond Rlc-AM

 discardTimerExt2-r17 SetupRelease { DiscardTimerExt2-r17 } OPTIONAL, -- Need M

 multicastHFN-AndRefSN-r17 BIT STRING (SIZE (32)) OPTIONAL -- Cond SetupOnlyMRB

 ]]

}

EthernetHeaderCompression-r16 ::= SEQUENCE {

 ehc-Common-r16 SEQUENCE {

 ehc-CID-Length-r16 ENUMERATED { bits7, bits15 },

 ...

 },

 ehc-Downlink-r16 SEQUENCE {

 drb-ContinueEHC-DL-r16 ENUMERATED { true } OPTIONAL, -- Need N

 ...

 } OPTIONAL, -- Need M

 ehc-Uplink-r16 SEQUENCE {

 maxCID-EHC-UL-r16 INTEGER (1..32767),

 drb-ContinueEHC-UL-r16 ENUMERATED { true } OPTIONAL, -- Need N

 ...

 } OPTIONAL -- Need M

}

UL-DataSplitThreshold ::= ENUMERATED {

 b0, b100, b200, b400, b800, b1600, b3200, b6400, b12800, b25600, b51200, b102400, b204800,

 b409600, b819200, b1228800, b1638400, b2457600, b3276800, b4096000, b4915200, b5734400,

 b6553600, infinity, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1}

DiscardTimerExt-r16 ::= ENUMERATED {ms0dot5, ms1, ms2, ms4, ms6, ms8, spare2, spare1}

DiscardTimerExt2-r17 ::= ENUMERATED {ms2000, spare3, spare2, spare1}

UplinkDataCompression-r17 ::= CHOICE {

 newSetup SEQUENCE {

 bufferSize-r17 ENUMERATED {kbyte2, kbyte4, kbyte8, spare1},

 dictionary-r17 ENUMERATED {sip-SDP, operator} OPTIONAL -- Need N

 },

 drb-ContinueUDC NULL

}

-- TAG-PDCP-CONFIG-STOP

-- ASN1STOP

| ***PDCP-Config* field descriptions** |
| --- |
| ***cipheringDisabled***If included, ciphering is disabled for this DRB regardless of which ciphering algorithm is configured for the SRB/DRBs. The field may only be included if the UE is connected to 5GC. Otherwise the field is absent. The network configures all DRBs with the same PDU-session ID with same value for this field. The value for this field cannot be changed after the DRB is set up. |
| ***discardTimer***Value in ms of *discardTimer* specified in TS 38.323 [5]. Value *ms10* corresponds to 10 ms, value *ms20* corresponds to 20 ms and so on. The value for this field cannot be changed in case of reconfiguration with sync, if the bearer is configured as DAPS bearer. |
| ***discardTimerExt***Value in ms of *discardTimer* specified in TS 38.323 [5]. Value *ms0dot5* corresponds to 0.5 ms, value *ms1* corresponds to 1ms and so on. If this field is present, the field *discardTimer* is ignored and *discardTimerExt* is used instead. |
| ***discardTimerExt2***Value in ms of *discardTimerExt* specified in TS 38.323 [5]. Value *ms2000* corresponds to 2000 ms. If this field is present, the field *discardTimer* and *discardTimerExt* are ignored and *discardTimerExt2* is used instead. |
| ***drb-ContinueROHC***Indicates whether the PDCP entity continues or resets the ROHC header compression protocol during PDCP re-establishment, as specified in TS 38.323 [5]. This field is configured only in case of resuming an RRC connection or reconfiguration with sync, where the PDCP termination point is not changed and the *fullConfig* is not indicated. The network does not include the field if the bearer is configured as DAPS bearer. This field can be configured for both DRB and multicast MRB. |
| ***duplicationState***This field indicates the uplink PDCP duplication state for the associated RLC entities at the time of receiving this IE. If set to *true,* the PDCP duplication state is activated for the associated RLC entity. The index for the indication is determined by ascending order of logical channel ID of all RLC entities other than the primary RLC entityindicated by *primaryPath* in the order of MCG and SCG, as in clause 6.1.3.32 of TS 38.321 [3]. If the number of associated RLC entities other than the primary RLC entity is two, UE ignores the value in the largest index of this field. If the field is absent, the PDCP duplication states are deactivated for all associated RLC entities.  |
| ***ethernetHeaderCompression***This fields configures Ethernet Header Compression. This field can only be configured for a bi-directional DRB or a bi-directional multicast MRB. The network reconfigures *ethernetHeaderCompression* only upon reconfiguration involving PDCP re-establishment and with neither *drb-ContinueEHC-DL* nor *drb-ContinueEHC-UL* configured. Network only configures this field when *uplinkDataCompression* is not configured. |
| ***headerCompression***If rohc is configured, the UE shall apply the configured ROHC profile(s) in both uplink and downlink. If *uplinkOnlyROHC* is configured, the UE shall apply the configured ROHC profile(s) in uplink (there is no header compression in downlink). ROHC can be configured for any bearer type. ROHC and EHC can be both configured simultaneously for a DRB or a multicast MRB. The network reconfigures *headerCompression* only upon reconfiguration involving PDCP re-establishment or involving PDCP entity reconfiguration to configure DAPS bearer(s), and without any *drb-ContinueROHC*. Network configures *headerCompression* to *notUsed* when *outOfOrderDelivery* is configured. Network only configures this field when *uplinkDataCompression* is not configured. |
| ***integrityProtection***Indicates whether or not integrity protection is configured for this radio bearer. The network configures all DRBs with the same PDU-session ID with same value for this field. The value for this field cannot be changed after the DRB is set up. |
| ***maxCID***Indicates the value of the MAX\_CID parameter as specified in TS 38.323 [5].The total value of MAX\_CIDs across all bearers for the UE should be less than or equal to the value of *maxNumberROHC-ContextSessions* parameter as indicated by the UE. |
| ***moreThanOneRLC***This field configures UL data transmission when more than one RLC entity is associated with the PDCP entity. This field is not present if the bearer is configured as DAPS bearer. |
| ***moreThanTwoRLC-DRB***This field configures UL data transmission when more than two RLC entities are associated with the PDCP entity for DRBs. |
| ***multicastHFN-AndRefSN***Indicates an HFN and a reference PDCP SN associated to this HFN for multicast MRB PDCP window initialization as specified in TS 38.323 [5]. The value is composed of an HFN(MSBs) and a PDCP SN(LSBs). The size of the HFN part in bits is equal to 32 minus the length of the PDCP SN configured in *pdcp-SN-SizeDL*. |
| ***outOfOrderDelivery***Indicates whether or not *outOfOrderDelivery* specified in TS 38.323 [5] is configured. This field should be either always present or always absent, after the radio bearer is established. |
| ***pdcp-Duplication***Indicates whether or not uplink duplication status at the time of receiving this IE is configured and activated as specified in TS 38.323 [5]. The presence of this field indicates that duplication is configured. PDCP duplication is not configured for CA packet duplication of LTE RLC bearer. The value of this field, when the field is present, indicates the state of the duplication at the time of receiving this IE. If set to *true*, duplication is activated. The value of this field is always *true*, when configured for a SRB. For PDCP entity with more than two associated RLC entities for UL transmission, this field is always present. If the field *moreThanTwoRLC-DRB* is present, the value of this field is ignored and the state of the duplication is indicated by *duplicationState*. For PDCP entity with more than two associated RLC entities, only NR RLC bearer is supported. |
| ***pdcp-SN-SizeDL***PDCP sequence number size for downlink, 12 or 18 bits, as specified in TS 38.323 [5]. For SRBs only the value *len12bits* is applicable. The value for this field cannot be changed in case of reconfiguration with sync, if the bearer is configured as DAPS bearer. |
| ***pdcp-SN-SizeUL***PDCP sequence number size for uplink, 12 or 18 bits, as specified in TS 38.323 [5]. For SRBs only the value *len12bits* is applicable. The value for this field cannot be changed in case of reconfiguration with sync, if the bearer is configured as DAPS bearer. |
| ***primaryPath***Indicates the cell group ID and LCID of the primary RLC entity as specified in TS 38.323 [5], clause 5.2.1 for UL data transmission when more than one RLC entity is associated with the PDCP entity. In this version of the specification, only cell group ID corresponding to MCG is supported for SRBs, except for the split SRB2 of the IAB-MT, and, when the SCG is deactivated, for DRBs. The NW indicates *cellGroup* for split bearers using logical channels in different cell groups. The NW always indicates *logicalChannel* if CA based PDCP duplication is configured in the cell group indicated by *cellGroup* of this field. |
| ***splitSecondaryPath***Indicates the LCID of the split secondary RLC entity as specified in TS 38.323 [5] for fallback to split bearer operation when UL data transmission with more than two RLC entities is associated with the PDCP entity. This RLC entity belongs to a cell group that is different from the cell group indicated by *cellGroup* in the field *primaryPath.* |
| ***statusReportRequired***For AM DRBs, AM MRBs and DAPS UM DRBs, indicates whether the DRB or the multicast MRB is configured to send a PDCP status report in the uplink, as specified in TS 38.323 [5]. For DAPS AM DRBs, it also indicates whether the DRB is configured to send a second PDCP status report in the uplink, as specified in TS 38.323 [5]. |
| ***survivalTimeStateSupport***Indicates whether the DRB associated with this PDCP entity has survival time state support. If this field is configured to be true, all associated RLC entities are activated for PDCP duplication upon reception of a retransmission grant addressed to CS-RNTI, as specified in TS 38.321 [3]. |
| ***t-Reordering***Value in ms of t-Reordering specified in TS 38.323 [5]. Value *ms0* corresponds to 0 ms, value *ms20* corresponds to 20 ms, value *ms40* corresponds to 40 ms, and so on. When the field is absent the UE applies the value *infinity*. The value for this field cannot be changed in case of reconfiguration with sync, if the bearer is configured as DAPS bearer. |
| ***ul-DataSplitThreshold***Parameter specified in TS 38.323 [5]. Value *b0* corresponds to 0 bytes, value *b100* corresponds to 100 bytes, value *b200* corresponds to 200 bytes, and so on. The network sets this field to *infinity* for UEs not supporting *splitDRB-withUL-Both-MCG-SCG* and when the SCG is deactivated. If the field is absent when the split bearer is configured for the radio bearer first time, then the default value *infinity* is applied. |
| ***uplinkDataCompression***Indicates the UDC configuration that the UE shall apply. Network does not configure *uplinkDataCompression* for a DRB, if *headerCompression* or *ethernetHeaderCompression* is already configured or *outOfOrderDelivery* or DAPS is configured for the DRB. The maximum number of DRBs where *uplinkDataCompression* can be applied is two. The network reconfigures *uplinkDataCompression* only upon reconfiguration involving PDCP re-establishment. If the field is set to *drb-ContinueUDC*, the PDCP entity continues the uplink data compression protocol during PDCP re-establishment, as specified in TS 38.323 [5]. The field is set to *drb-ContinueUDC* only in case of resuming an RRC connection or reconfiguration with sync, where the PDCP termination point is not changed and the *fullConfig* is not indicated. |

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| ***EthernetHeaderCompression field descriptions*** |
| ***drb-ContinueEHC-DL***Indicates whether the PDCP entity continues or resets the downlink EHC header compression protocol during PDCP re-establishment, as specified in TS 38.323 [5]. The field is configured only in case of resuming an RRC connection or reconfiguration with sync, where the PDCP termination point is not changed and the *fullConfig* is not indicated. |
| ***drb-ContinueEHC-UL***Indicates whether the PDCP entity continues or resets the uplink EHC header compression protocol during PDCP re-establishment, as specified in TS 38.323 [5]. The field is configured only in case of resuming an RRC connection or reconfiguration with sync, where the PDCP termination point is not changed and the *fullConfig* is not indicated. |
| ***ehc-CID-Length***Indicates the length of the CID field for EHC packet. The value *bits7* indicates the length is 7 bits, and the value *bits15* indicates the length is 15 bits. Once the field *ethernetHeaderCompression-r16* is configured for a DRB or a multicast MRB, the value of the field *ehc-CID-Length* for this DRB or multicast MRB is not reconfigured to a different value. |
| ***ehc-Common***Indicates the configurations that apply for both downlink and uplink. |
| ***ehc-Downlink***Indicates the configurations that apply for only downlink. If the field is configured, then Ethernet header compression is configured for downlink. Otherwise, it is not configured for downlink. |
| ***ehc-Uplink***Indicates the configurations that apply for only uplink. If the field is configured, then Ethernet header compression is configured for uplnik. Otherwise, it is not configured for uplink. |
| ***maxCID-EHC-UL***Indicates the value of the MAX\_CID\_EHC\_UL parameter as specified in TS 38.323 [5]. The total value of MAX\_CID\_EHC\_UL across all bearers for the UE should be less than or equal to the value of *maxNumberEHC-Contexts* parameter as indicated by the UE. |

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| ***UplinkDataCompression field descriptions*** |
| ***bufferSize***This field indicates the buffer size applied for UDC as specified in TS 38.323 [5]. Value *kbyte2* means 2048 bytes, *kbyte4* means 4096 bytes and so on. |
| ***dictionary***This field indicates which pre-defined dictionary is used for UDC as specified in TS 38.323 [5]. The value *sip-SDP* means that UE shall prefill the buffer with standard dictionary for SIP and SDP defined in TS 38.323 [5], and the value *operator* means that UE shall prefill the buffer with operator-defined dictionary. |

| **Conditional presence** | **Explanation** |
| --- | --- |
| *DRB* | This field is mandatory present when the corresponding DRB/multicast MRB is being set up, absent for SRBs. Otherwise this field is optionally present, need M. |
| *DRB2* | This field is optionally present in case of DRB, need M. Otherwise, it is absent for SRBs and MRBs. |
| *Drb-Duplication* | For SRBs, this field is absent. For DRBs, this field is absent if duplication is not configured. Otherwise, this field is optional, need R. |
| *MoreThanOneRLC* | This field is mandatory present upon RRC reconfiguration with setup of a PDCP entity for a radio bearer with more than one associated logical channel and upon RRC reconfiguration with the association of additional logical channels to the PDCP entity.The field is also mandatory present in case the field *moreThanTwoRLC-DRB* is included in *PDCP-Config*.Upon RRC reconfiguration when a PDCP entity is associated with multiple logical channels, this field is optionally present need M. Otherwise, this field is absent. Need R. |
| *MoreThanTwoRLC-DRB* | For SRBs, this field is absent.For DRBs, this field is mandatory present upon RRC reconfiguration with setup of a PDCP entity for a radio bearer with more than two associated logical channels and upon RRC reconfiguration with the association of one or more additional logical channel(s) to the PDCP entity so that the PDCP entity has more than two associated logical channels.Upon RRC reconfiguration when a PDCP entity is associated with more than two logical channels, this field is optionally present, Need M. Otherwise, the field is absent, Need R. |
| *Rlc-AM* | For RLC AM, the field is optionally present, need M. Otherwise, the field is absent. |
| *Rlc-AM-UM* | In case of DRB, for RLC UM (if the UE supports DAPS handover) or RLC AM, the field is optionally present, need R. In case of multicast MRB, if multicast MRB is associated with at least one RLC AM entity, the field is optionally present, need R. Otherwise, the field is absent. |
| *Setup* | The field is mandatory present in case of SRB or DRB setup. Otherwise the field is optionally present, need M. |
| *SplitBearer* | The field is absent for SRBs. Otherwise, the field is optional present, need M, in case of radio bearer with more than one associated RLC mapped to different cell groups. |
| *SplitBearer2* | The field is mandatory present, in case of a split bearer. Otherwise the field is absent. |
| *ConnectedTo5GC* | The field is optionally present, need R, if the UE is connected to 5GC. Otherwise the field is absent. |
| *ConnectedTo5GC1* | The field is optionally present, need R, if the UE is connected to NR/5GC or if the UE supports user plane integrity protection when connected to E-UTRA/EPC (as specified in TS 33.401 [30]). Otherwise the field is absent. |
| *Setup1* | This field is mandatory present in case of SRB and DRB setup for RLC-AM and RLC-UM. Otherwise, this field is absent, Need M. |
| *Setup2* | This field is mandatory present in case for radio bearer setup for RLC-AM and RLC-UM. Otherwise, this field is absent, Need M. |
| *SetupOnlyMRB* | This field is mandatory present in case of multicast MRB setup. Otherwise, this field is absent, Need N. |

*END OF CHANGE*