**3GPP TSG-RAN WG2 Meeting #116bis electronic R2-2201714**

**Online, Jan. 17th – Jan. 25th, 2022**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | 38.331 | **CR** |  | **rev** | - | **Current version:** | 16.7.0 |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Introduction of TRS based SCell activation-38331 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | OPPO | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | LTE\_NR\_DC\_enh2 | | | | |  | ***Date:*** | | | 2022-01-20 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | B |  | | | | | ***Release:*** | | | *Rel-17* |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Introduction of TRS based SCell activation | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | 1. New IE for tracking RS based on *NZP-CSI-RS-ResourceSe*t is defined in RRC signalling. | | | | | | | | |
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| ***Consequences if not approved:*** | | The feature of TRS based SCell activation is missing. | | | | | | | | |
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| ***Clauses affected:*** | | 6.3.2, 6.4 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS 38.321 CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

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| The begin of changes |

### 6.3.2 Radio resource control information elements

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– *CSI-MeasConfig*

The IE *CSI-MeasConfig* is used to configure CSI-RS (reference signals) belonging to the serving cell in which *CSI-MeasConfig* is included, channel state information reports to be transmitted on PUCCH on the serving cell in which *CSI-MeasConfig* is included and channel state information reports on PUSCH triggered by DCI received on the serving cell in which *CSI-MeasConfig* is included. See also TS 38.214 [19], clause 5.2.

***CSI-MeasConfig* information element**

-- ASN1START

-- TAG-CSI-MEASCONFIG-START

CSI-MeasConfig ::= SEQUENCE {

nzp-CSI-RS-ResourceToAddModList SEQUENCE (SIZE (1..maxNrofNZP-CSI-RS-Resources)) OF NZP-CSI-RS-Resource OPTIONAL, -- Need N

nzp-CSI-RS-ResourceToReleaseList SEQUENCE (SIZE (1..maxNrofNZP-CSI-RS-Resources)) OF NZP-CSI-RS-ResourceId OPTIONAL, -- Need N

nzp-CSI-RS-ResourceSetToAddModList SEQUENCE (SIZE (1..maxNrofNZP-CSI-RS-ResourceSets)) OF NZP-CSI-RS-ResourceSet

OPTIONAL, -- Need N

nzp-CSI-RS-ResourceSetToReleaseList SEQUENCE (SIZE (1..maxNrofNZP-CSI-RS-ResourceSets)) OF NZP-CSI-RS-ResourceSetId

OPTIONAL, -- Need N

csi-IM-ResourceToAddModList SEQUENCE (SIZE (1..maxNrofCSI-IM-Resources)) OF CSI-IM-Resource OPTIONAL, -- Need N

csi-IM-ResourceToReleaseList SEQUENCE (SIZE (1..maxNrofCSI-IM-Resources)) OF CSI-IM-ResourceId OPTIONAL, -- Need N

csi-IM-ResourceSetToAddModList SEQUENCE (SIZE (1..maxNrofCSI-IM-ResourceSets)) OF CSI-IM-ResourceSet OPTIONAL, -- Need N

csi-IM-ResourceSetToReleaseList SEQUENCE (SIZE (1..maxNrofCSI-IM-ResourceSets)) OF CSI-IM-ResourceSetId OPTIONAL, -- Need N

csi-SSB-ResourceSetToAddModList SEQUENCE (SIZE (1..maxNrofCSI-SSB-ResourceSets)) OF CSI-SSB-ResourceSet OPTIONAL, -- Need N

csi-SSB-ResourceSetToReleaseList SEQUENCE (SIZE (1..maxNrofCSI-SSB-ResourceSets)) OF CSI-SSB-ResourceSetId OPTIONAL, -- Need N

csi-ResourceConfigToAddModList SEQUENCE (SIZE (1..maxNrofCSI-ResourceConfigurations)) OF CSI-ResourceConfig

OPTIONAL, -- Need N

csi-ResourceConfigToReleaseList SEQUENCE (SIZE (1..maxNrofCSI-ResourceConfigurations)) OF CSI-ResourceConfigId

OPTIONAL, -- Need N

csi-ReportConfigToAddModList SEQUENCE (SIZE (1..maxNrofCSI-ReportConfigurations)) OF CSI-ReportConfig OPTIONAL, -- Need N

csi-ReportConfigToReleaseList SEQUENCE (SIZE (1..maxNrofCSI-ReportConfigurations)) OF CSI-ReportConfigId

OPTIONAL, -- Need N

reportTriggerSize INTEGER (0..6) OPTIONAL, -- Need M

aperiodicTriggerStateList SetupRelease { CSI-AperiodicTriggerStateList } OPTIONAL, -- Need M

semiPersistentOnPUSCH-TriggerStateList SetupRelease { CSI-SemiPersistentOnPUSCH-TriggerStateList } OPTIONAL, -- Need M

...,

[[

reportTriggerSizeDCI-0-2-r16 INTEGER (0..6) OPTIONAL, -- Need R

]],

[[

scellActivationRS-ConfigToAddModList-r17 SEQUENCE (SIZE ((1.. maxNrofSCellActRS-r17)) OF SCellActivationRS-Config-r17 OPTIONAL, -- Need N

scellActivationRS-ConfigToReleaseList-r17 SEQUENCE (SIZE ((1.. maxNrofSCellActRS-r17)) OF SCellActivationRS-ConfigId-r17 OPTIONAL -- Need N

]]

}

-- TAG-CSI-MEASCONFIG-STOP

-- ASN1STOP

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| ***CSI-MeasConfig* field descriptions** |
| ***aperiodicTriggerStateList***  Contains trigger states for dynamically selecting one or more aperiodic and semi-persistent reporting configurations and/or triggering one or more aperiodic CSI-RS resource sets for channel and/or interference measurement (see TS 38.214 [19], clause 5.2.1). |
| ***csi-IM-ResourceSetToAddModList***  Pool of *CSI-IM-ResourceSet* which can be referred to from *CSI-ResourceConfig* or from MAC CEs. |
| ***csi-IM-ResourceToAddModList***  Pool of *CSI-IM-Resource* which can be referred to from *CSI-IM-ResourceSet*. |
| ***csi-ReportConfigToAddModList***  Configured CSI report settings as specified in TS 38.214 [19] clause 5.2.1.1. |
| ***csi-ResourceConfigToAddModList***  Configured CSI resource settings as specified in TS 38.214 [19] clause 5.2.1.2. |
| ***csi-SSB-ResourceSetToAddModList***  Pool of CSI-SSB-ResourceSet which can be referred to from *CSI-ResourceConfig*. |
| ***nzp-CSI-RS-ResourceSetToAddModList***  Pool of *NZP-CSI-RS-ResourceSet* which can be referred to from *CSI-ResourceConfig* or from MAC CEs. |
| ***nzp-CSI-RS-ResourceToAddModList***  Pool of *NZP-CSI-RS-Resource* which can be referred to from *NZP-CSI-RS-ResourceSet*. |
| ***reportTriggerSize, reportTriggerSizeDCI-0-2***  Size of CSI request field in DCI (bits) (see TS 38.214 [19], clause 5.2.1.5.1). The field *reportTriggerSize* applies to DCI format 0\_1 and the field *reportTriggerSizeDCI-0-2* applies to DCI format 0\_2 (see TS 38.214 [19], clause 5.2.1.5.1). |
| ***scellActivationRS-ConfigToAddModList***  Configured RS for efficient SCell activation as specified in TS 38.214 [19] clause x.y.z. |

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– *SCellActivationRS-Config*

The IE *SCellActivationRS-Config* is used to configure a Reference Signal for efficient activation of the SCell where the IE is included (see TS 38.214 [19], clause x.y.z). Usage of an *SCellActivationRS-Config* is indicated by including its *scellActivationRS-Id* in the Enhanced SCell activation MAC CE (see TS 38.321 [3] clause 6.1.3.x).

***SCellActivationRS-Config* information element**

-- ASN1START

-- TAG-SCELLACTIVATIONRS-CONFIG-START

SCellActivationRS-Config-r17 ::= SEQUENCE {

scellActivationRS-Id-r17 SCellActivationRS-ConfigId-r17,

resourceSet-r17 NZP-CSI-RS-ResourceSetID,

gapBetweenBursts-r17 INTEGER (2..31) OPTIONAL, -- Need R

qcl-Info-r17 SEQUENCE (SIZE(1..maxNrofAP-CSI-RS-ResourcesPerSet)) OF TCI-StateId,

...

}

-- TAG-SCELLACTIVATIONRS-CONFIG-STOP

-- ASN1STOP

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| --- |
| ***SCellActivationRS-Config* field descriptions** |
| ***gapBetweenBursts***  When this field is present, there are two bursts and it indicates the gap between the two bursts in number of slots. When this field is absent, there is a single burst. |
| ***qcl-Info***  List of references to TCI-States for providing the QCL source and QCL type for each *NZP-CSI-RS-Resource* listed in *nzp-CSI-RS-Resources* of the *NZP-CSI-RS-ResourceSet* indicated by *resourceSet*. Each *TCI-StateId* refers to the *TCI-State* which has this value for *tci-StateId* and is defined in *tci-StatesToAddModList* in the *PDSCH-Config* included in the *BWP-Downlink* of this serving cell indicated by *firstActiveDownlinkBWP-Id* in the *ServingCellConfig* in which this IE is included. First entry in *qcl-info* corresponds to first entry in *nzp-CSI-RS-Resources* of that *NZP-CSI-RS-ResourceSet*, second entry in *qcl-info* corresponds to second entry in *nzp-CSI-RS-Resources*, and so on (see TS 38.214 [19], clause x.y.z). |
| ***resourceSet***  *nzp-CSI-ResourceSetId* of the *NZP-CSI-RS-ResourceSet* of this serving cell used as resource configuration for one or two bursts for SCell activation. This NZP-CSI-RS-ResourceSet consists of either four NZP CSI-RS resources in two consecutive slots with two NZP CSI-RS resources in each slot, or consists of two NZP CSI-RS resources in one slot (see TS 38.214 [19], clause x.y.z). The CSI-RS associated with this *NZP-CSI-RS-ResourceSet* are located in the BWP addressed by *firstActiveDownlinkBWP-Id*. |

– S*CellActivationRS-ConfigId*

The IE *SCellActivationRS-ConfigId* is used to identify one *SCellActivationRS-Config*.

***SCellActivationRS-ConfigId* information element**

-- ASN1START

-- TAG-SCELLACTIVATIONRS-CONFIGID-START

SCellActivationRS-ConfigId-r17 ::= INTEGER (1.. maxNrofSCellActRS-r17)

-- TAG-SCELLACTIVATIONRS-CONFIGID-STOP

-- ASN1STOP

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| The next change |

## 6.4 RRC multiplicity and type constraint values

### – Multiplicity and type constraint definitions

-- ASN1START

-- TAG-MULTIPLICITY-AND-TYPE-CONSTRAINT-DEFINITIONS-START

maxAI-DCI-PayloadSize-r16 INTEGER ::= 128 --Maximum size of the DCI payload scrambled with ai-RNTI

maxAI-DCI-PayloadSize-1-r16 INTEGER ::= 127 --Maximum size of the DCI payload scrambled with ai-RNTI minus 1

maxBandComb INTEGER ::= 65536 -- Maximum number of DL band combinations

maxBandsUTRA-FDD-r16 INTEGER ::= 64 -- Maximum number of bands listed in UTRA-FDD UE caps

maxBH-RLC-ChannelID-r16 INTEGER ::= 65536 -- Maximum value of BH RLC Channel ID

maxBT-IdReport-r16 INTEGER ::= 32 -- Maximum number of Bluetooth IDs to report

maxBT-Name-r16 INTEGER ::= 4 -- Maximum number of Bluetooth name

maxCAG-Cell-r16 INTEGER ::= 16 -- Maximum number of NR CAG cell ranges in SIB3, SIB4

maxTwoPUCCH-Grp-ConfigList-r16 INTEGER ::= 32 -- Maximum number of supported configuration(s) of {primary PUCCH group

-- config, secondary PUCCH group config}

maxCBR-Config-r16 INTEGER ::= 8 -- Maximum number of CBR range configurations for sidelink communication

-- congestion control

maxCBR-Config-1-r16 INTEGER ::= 7 -- Maximum number of CBR range configurations for sidelink communication

-- congestion control minus 1

maxCBR-Level-r16 INTEGER ::= 16 -- Maximum nuber of CBR levels

maxCBR-Level-1-r16 INTEGER ::= 15 -- Maximum number of CBR levels minus 1

maxCellBlack INTEGER ::= 16 -- Maximum number of NR blacklisted cell ranges in SIB3, SIB4

maxCellGroupings-r16 INTEGER ::= 32 -- Maximum number of cell groupings for NR-DC

maxCellHistory-r16 INTEGER ::= 16 -- Maximum number of visited cells reported

maxCellInter INTEGER ::= 16 -- Maximum number of inter-Freq cells listed in SIB4

maxCellIntra INTEGER ::= 16 -- Maximum number of intra-Freq cells listed in SIB3

maxCellMeasEUTRA INTEGER ::= 32 -- Maximum number of cells in E-UTRAN

maxCellMeasIdle-r16 INTEGER ::= 8 -- Maximum number of cells per carrier for idle/inactive measurements

maxCellMeasUTRA-FDD-r16 INTEGER ::= 32 -- Maximum number of cells in FDD UTRAN

maxCellWhite INTEGER ::= 16 -- Maximum number of NR whitelisted cell ranges in SIB3, SIB4

maxEARFCN INTEGER ::= 262143 -- Maximum value of E-UTRA carrier frequency

maxEUTRA-CellBlack INTEGER ::= 16 -- Maximum number of E-UTRA blacklisted physical cell identity ranges

-- in SIB5

maxEUTRA-NS-Pmax INTEGER ::= 8 -- Maximum number of NS and P-Max values per band

maxLogMeasReport-r16 INTEGER ::= 520 -- Maximum number of entries for logged measurements

maxMultiBands INTEGER ::= 8 -- Maximum number of additional frequency bands that a cell belongs to

maxNARFCN INTEGER ::= 3279165 -- Maximum value of NR carrier frequency

maxNR-NS-Pmax INTEGER ::= 8 -- Maximum number of NS and P-Max values per band

maxFreqIdle-r16 INTEGER ::= 8 -- Maximum number of carrier frequencies for idle/inactive measurements

maxNrofServingCells INTEGER ::= 32 -- Max number of serving cells (SpCells + SCells)

maxNrofServingCells-1 INTEGER ::= 31 -- Max number of serving cells (SpCell + SCells) per cell group

maxNrofAggregatedCellsPerCellGroup INTEGER ::= 16

maxNrofAggregatedCellsPerCellGroupMinus4-r16 INTEGER ::= 12

maxNrofDUCells-r16 INTEGER ::= 512 -- Max number of cells configured on the collocated IAB-DU

maxNrofAvailabilityCombinationsPerSet-r16 INTEGER ::= 512 -- Max number of AvailabilityCombinationId used in the DCI format 2\_5

maxNrofAvailabilityCombinationsPerSet-1-r16 INTEGER ::= 511 -- Max number of AvailabilityCombinationId used in the DCI format 2\_5 minus 1

maxNrofSCellActRS-r17 INTEGER ::= 255 -- Max number of RS configurations per SCell for SCell activation

maxNrofSCells INTEGER ::= 31 -- Max number of secondary serving cells per cell group

maxNrofCellMeas INTEGER ::= 32 -- Maximum number of entries in each of the cell lists in a measurement object

maxNrofCG-SL-r16 INTEGER ::= 8 -- Max number of sidelink configured grant

maxNrofCG-SL-1-r16 INTEGER ::= 7 -- Max number of sidelink configured grant minus 1

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| The end of changes |