**3GPP TSG-RAN WG2 Meeting #116bis electronic R2-2201714**

**Online, Jan. 17th – Jan. 25th, 2022**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | 38.331 | **CR** |  | **rev** | - | **Current version:** | 16.6.0 |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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|  |
| ***Title:***  | Introduction of TRS based SCell activation-38331 |
|  |  |
| ***Source to WG:*** | OPPO |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** |  |  | ***Date:*** | 2022-01-20 |
|  |  |  |  |  |
| ***Category:*** | B |  | ***Release:*** | *Rel-17* |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
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| ***Reason for change:*** | Introduction of TRS based SCell activation |
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| ***Summary of change:*** | 1. Define new MAC CE inlcuding both SCell activation and TRS activation with two eLCID for “one octet” SCell activation indication and “four octet” SCell activation indication respectively.
2. New IE for temp-RS based on *NZP-CSI-RS-ResourceSe*t is defined in RRC signalling.
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| ***Consequences if not approved:*** | The feature of TRS based SCell activation is missing. |
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| ***Clauses affected:*** | 6.3.2, 6.4 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | TS 38.321 CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

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| The begin of changes |

### 6.3.2 Radio resource control information elements

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– *CSI-MeasConfig*

The IE *CSI-MeasConfig* is used to configure CSI-RS (reference signals) belonging to the serving cell in which *CSI-MeasConfig* is included, channel state information reports to be transmitted on PUCCH on the serving cell in which *CSI-MeasConfig* is included and channel state information reports on PUSCH triggered by DCI received on the serving cell in which *CSI-MeasConfig* is included. See also TS 38.214 [19], clause 5.2.

***CSI-MeasConfig* information element**

-- ASN1START

-- TAG-CSI-MEASCONFIG-START

CSI-MeasConfig ::= SEQUENCE {

 nzp-CSI-RS-ResourceToAddModList SEQUENCE (SIZE (1..maxNrofNZP-CSI-RS-Resources)) OF NZP-CSI-RS-Resource OPTIONAL, -- Need N

 nzp-CSI-RS-ResourceToReleaseList SEQUENCE (SIZE (1..maxNrofNZP-CSI-RS-Resources)) OF NZP-CSI-RS-ResourceId OPTIONAL, -- Need N

 nzp-CSI-RS-ResourceSetToAddModList SEQUENCE (SIZE (1..maxNrofNZP-CSI-RS-ResourceSets)) OF NZP-CSI-RS-ResourceSet

 OPTIONAL, -- Need N

 nzp-CSI-RS-ResourceSetToReleaseList SEQUENCE (SIZE (1..maxNrofNZP-CSI-RS-ResourceSets)) OF NZP-CSI-RS-ResourceSetId

 OPTIONAL, -- Need N

 csi-IM-ResourceToAddModList SEQUENCE (SIZE (1..maxNrofCSI-IM-Resources)) OF CSI-IM-Resource OPTIONAL, -- Need N

 csi-IM-ResourceToReleaseList SEQUENCE (SIZE (1..maxNrofCSI-IM-Resources)) OF CSI-IM-ResourceId OPTIONAL, -- Need N

 csi-IM-ResourceSetToAddModList SEQUENCE (SIZE (1..maxNrofCSI-IM-ResourceSets)) OF CSI-IM-ResourceSet OPTIONAL, -- Need N

 csi-IM-ResourceSetToReleaseList SEQUENCE (SIZE (1..maxNrofCSI-IM-ResourceSets)) OF CSI-IM-ResourceSetId OPTIONAL, -- Need N

 csi-SSB-ResourceSetToAddModList SEQUENCE (SIZE (1..maxNrofCSI-SSB-ResourceSets)) OF CSI-SSB-ResourceSet OPTIONAL, -- Need N

 csi-SSB-ResourceSetToReleaseList SEQUENCE (SIZE (1..maxNrofCSI-SSB-ResourceSets)) OF CSI-SSB-ResourceSetId OPTIONAL, -- Need N

 csi-ResourceConfigToAddModList SEQUENCE (SIZE (1..maxNrofCSI-ResourceConfigurations)) OF CSI-ResourceConfig

 OPTIONAL, -- Need N

 csi-ResourceConfigToReleaseList SEQUENCE (SIZE (1..maxNrofCSI-ResourceConfigurations)) OF CSI-ResourceConfigId

 OPTIONAL, -- Need N

 csi-ReportConfigToAddModList SEQUENCE (SIZE (1..maxNrofCSI-ReportConfigurations)) OF CSI-ReportConfig OPTIONAL, -- Need N

 csi-ReportConfigToReleaseList SEQUENCE (SIZE (1..maxNrofCSI-ReportConfigurations)) OF CSI-ReportConfigId

 OPTIONAL, -- Need N

 reportTriggerSize INTEGER (0..6) OPTIONAL, -- Need M

 aperiodicTriggerStateList SetupRelease { CSI-AperiodicTriggerStateList } OPTIONAL, -- Need M

 semiPersistentOnPUSCH-TriggerStateList SetupRelease { CSI-SemiPersistentOnPUSCH-TriggerStateList } OPTIONAL, -- Need M

 ...,

 [[

 reportTriggerSizeDCI-0-2-r16 INTEGER (0..6) OPTIONAL, -- Need R

 ]],

 [[

 scellActivationRS-ConfigToAddModList-r17 SEQUENCE (SIZE ((1.. maxNrofSCellActRS-r17)) OF SCellActivationRS-Config-r17 OPTIONAL, -- Need N

 scellActivationRS-ConfigToReleaseList-r17 SEQUENCE (SIZE ((1.. maxNrofSCellActRS-r17)) OF SCellActivationRS-ConfigId-r17 OPTIONAL -- Need N

 ]]}

-- TAG-CSI-MEASCONFIG-STOP

-- ASN1STOP

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| ***CSI-MeasConfig* field descriptions** |
| ***aperiodicTriggerStateList***Contains trigger states for dynamically selecting one or more aperiodic and semi-persistent reporting configurations and/or triggering one or more aperiodic CSI-RS resource sets for channel and/or interference measurement (see TS 38.214 [19], clause 5.2.1). |
| ***csi-IM-ResourceSetToAddModList***Pool of *CSI-IM-ResourceSet* which can be referred to from *CSI-ResourceConfig* or from MAC CEs. |
| ***csi-IM-ResourceToAddModList***Pool of *CSI-IM-Resource* which can be referred to from *CSI-IM-ResourceSet*. |
| ***csi-ReportConfigToAddModList***Configured CSI report settings as specified in TS 38.214 [19] clause 5.2.1.1. |
| ***csi-ResourceConfigToAddModList***Configured CSI resource settings as specified in TS 38.214 [19] clause 5.2.1.2. |
| ***csi-SSB-ResourceSetToAddModList***Pool of CSI-SSB-ResourceSet which can be referred to from *CSI-ResourceConfig*. |
| ***nzp-CSI-RS-ResourceSetToAddModList***Pool of *NZP-CSI-RS-ResourceSet* which can be referred to from *CSI-ResourceConfig* or from MAC CEs. |
| ***nzp-CSI-RS-ResourceToAddModList***Pool of *NZP-CSI-RS-Resource* which can be referred to from *NZP-CSI-RS-ResourceSet*. |
| ***reportTriggerSize, reportTriggerSizeDCI-0-2***Size of CSI request field in DCI (bits) (see TS 38.214 [19], clause 5.2.1.5.1). The field *reportTriggerSize* applies to DCI format 0\_1 and the field *reportTriggerSizeDCI-0-2* applies to DCI format 0\_2 (see TS 38.214 [19], clause 5.2.1.5.1). |
| ***scellActivationRS-ConfigToAddModList***Configured RS for efficient SCell activation as specified in TS 38.214 [19] clause x.y.z. |

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– *SCellActivationRS-Config*

The IE *SCellActivationRS-Config* is used to configure a Reference Signal for efficient activation of the SCell where the IE is included (see TS 38.214 [19], clause x.y.z). Usage of an *SCellActivationRS-Config* is indicated by including its *scellActivationRS-Id* in the extended SCell activation MAC CE (see TS 38.321 [3] clause 6.1.3.x).

***SCellActivationRS-Config* information element**

-- ASN1START

-- TAG-SCELLACTIVATIONRS-CONFIG-START

SCellActivationRS-Config-r17 ::= SEQUENCE {

 scellActivationRS-Id-r17 SCellActivationRS-ConfigId-r17,

 resourceSet-r17 NZP-CSI-RS-ResourceSetID,

 gapBetweenBursts-r17 SEQUENCE (SIZE (1..maxGL)) OF INTEGER (2..31) OPTIONAL,

 qcl-Info-r17 SEQUENCE (SIZE(1..maxNrofAP-CSI-RS-ResourcesPerSet)) OF TCI-StateId,

 aperiodicTriggeringOffset-r17 INTEGER(0..31),

 bwp-Id BWP-Id

 ...

}

-- TAG-SCELLACTIVATIONRS-CONFIG-STOP

-- ASN1STOP

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| ***SCellActivationRS-Config* field descriptions** |
| ***aperiodicTriggeringOffset-r17***Offset X between the reference slot (see TS 38.213 [13], clause 4.3) and the slot in which the CSI-RS resource set is transmitted. For *aperiodicTriggeringOffset*, the value 0 corresponds to 0 slots, value 1 corresponds to 1 slot, value 2 corresponds to 2 slots, value 3 corresponds to 3 slots, value 4 corresponds to 4 slots and so on. |
| ***bwp-Id***The DL BWP which the CSI-RS associated with this *TRS-forScellActivationConfig* are located in and it is associated with the first DL active BWP. |
| ***gapBetweenBursts***When this field is present, there are two bursts and it indicates the gap between the two bursts in number of slots. When this field is absent, there is a single burst. |
| ***qcl-Info***List of references to TCI-States for providing the QCL source and QCL type for each *NZP-CSI-RS-Resource* listed in *nzp-CSI-RS-Resources* of the *NZP-CSI-RS-ResourceSet* indicated by *resourceSet* within *nzp-CSI-RS*. Each *TCI-StateId* refers to the *TCI-State* which has this value for *tci-StateId* and is defined in *tci-StatesToAddModList* in the *PDSCH-Config* included in the *BWP-Downlink* of this serving cell indicated by *firstActiveDownlinkBWP-Id* in the *ServingCellConfig* in which this IE is included. First entry in *qcl-info* corresponds to first entry in *nzp-CSI-RS-Resources* of that *NZP-CSI-RS-ResourceSet*, second entry in *qcl-info* corresponds to second entry in *nzp-CSI-RS-Resources*, and so on (see TS 38.214 [19], clause x.y.z). |
| ***resourceSet****nzp-CSI-ResourceSetId* of the *NZP-CSI-RS-ResourceSet* of this serving cell used as resource configuration for a temporary burst for SCell activation. This NZP-CSI-RS-ResourceSet consists of either four NZP CSI-RS resources in two consecutive slots with two NZP CSI-RS resources in each slot, or consists of two NZP CSI-RS resources in one slot (see TS 38.214 [19], clause x.y.z). |

– S*CellActivationRS-ConfigId*

The IE *SCellActivationRS-ConfigId* is used to identify one *SCellActivationTR-Config*.

***SCellActivationRS-ConfigId* information element**

-- ASN1START

-- TAG-SCELLACTIVATIONRS-CONFIGID-START

SCellActivationRS-ConfigId-r17 ::= INTEGER (1.. maxNrofSCellActRS-r17)

-- TAG-SCELLACTIVATIONRS-CONFIGID-STOP

-- ASN1STOP

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| The next change |

## 6.4 RRC multiplicity and type constraint values

### – Multiplicity and type constraint definitions

-- ASN1START

-- TAG-MULTIPLICITY-AND-TYPE-CONSTRAINT-DEFINITIONS-START

maxAI-DCI-PayloadSize-r16 INTEGER ::= 128 --Maximum size of the DCI payload scrambled with ai-RNTI

maxAI-DCI-PayloadSize-1-r16 INTEGER ::= 127 --Maximum size of the DCI payload scrambled with ai-RNTI minus 1

maxBandComb INTEGER ::= 65536 -- Maximum number of DL band combinations

maxBandsUTRA-FDD-r16 INTEGER ::= 64 -- Maximum number of bands listed in UTRA-FDD UE caps

maxBH-RLC-ChannelID-r16 INTEGER ::= 65536 -- Maximum value of BH RLC Channel ID

maxBT-IdReport-r16 INTEGER ::= 32 -- Maximum number of Bluetooth IDs to report

maxBT-Name-r16 INTEGER ::= 4 -- Maximum number of Bluetooth name

maxCAG-Cell-r16 INTEGER ::= 16 -- Maximum number of NR CAG cell ranges in SIB3, SIB4

maxTwoPUCCH-Grp-ConfigList-r16 INTEGER ::= 32 -- Maximum number of supported configuration(s) of {primary PUCCH group

 -- config, secondary PUCCH group config}

maxCBR-Config-r16 INTEGER ::= 8 -- Maximum number of CBR range configurations for sidelink communication

 -- congestion control

maxCBR-Config-1-r16 INTEGER ::= 7 -- Maximum number of CBR range configurations for sidelink communication

 -- congestion control minus 1

maxCBR-Level-r16 INTEGER ::= 16 -- Maximum nuber of CBR levels

maxCBR-Level-1-r16 INTEGER ::= 15 -- Maximum number of CBR levels minus 1

maxCellBlack INTEGER ::= 16 -- Maximum number of NR blacklisted cell ranges in SIB3, SIB4

maxCellGroupings-r16 INTEGER ::= 32 -- Maximum number of cell groupings for NR-DC

maxCellHistory-r16 INTEGER ::= 16 -- Maximum number of visited cells reported

maxCellInter INTEGER ::= 16 -- Maximum number of inter-Freq cells listed in SIB4

maxCellIntra INTEGER ::= 16 -- Maximum number of intra-Freq cells listed in SIB3

maxCellMeasEUTRA INTEGER ::= 32 -- Maximum number of cells in E-UTRAN

maxCellMeasIdle-r16 INTEGER ::= 8 -- Maximum number of cells per carrier for idle/inactive measurements

maxCellMeasUTRA-FDD-r16 INTEGER ::= 32 -- Maximum number of cells in FDD UTRAN

maxCellWhite INTEGER ::= 16 -- Maximum number of NR whitelisted cell ranges in SIB3, SIB4

maxEARFCN INTEGER ::= 262143 -- Maximum value of E-UTRA carrier frequency

maxEUTRA-CellBlack INTEGER ::= 16 -- Maximum number of E-UTRA blacklisted physical cell identity ranges

 -- in SIB5

maxEUTRA-NS-Pmax INTEGER ::= 8 -- Maximum number of NS and P-Max values per band

maxGL INTEGER ::= 4 -- Maximum number of gap length for one TRS configured in one SCell for TRS activtion.

maxLogMeasReport-r16 INTEGER ::= 520 -- Maximum number of entries for logged measurements

maxMultiBands INTEGER ::= 8 -- Maximum number of additional frequency bands that a cell belongs to

maxNARFCN INTEGER ::= 3279165 -- Maximum value of NR carrier frequency

maxNR-NS-Pmax INTEGER ::= 8 -- Maximum number of NS and P-Max values per band

maxFreqIdle-r16 INTEGER ::= 8 -- Maximum number of carrier frequencies for idle/inactive measurements

maxNrofServingCells INTEGER ::= 32 -- Max number of serving cells (SpCells + SCells)

maxNrofServingCells-1 INTEGER ::= 31 -- Max number of serving cells (SpCell + SCells) per cell group

maxNrofAggregatedCellsPerCellGroup INTEGER ::= 16

maxNrofAggregatedCellsPerCellGroupMinus4-r16 INTEGER ::= 12

maxNrofDUCells-r16 INTEGER ::= 512 -- Max number of cells configured on the collocated IAB-DU

maxNrofAvailabilityCombinationsPerSet-r16 INTEGER ::= 512 -- Max number of AvailabilityCombinationId used in the DCI format 2\_5

maxNrofAvailabilityCombinationsPerSet-1-r16 INTEGER ::= 511 -- Max number of AvailabilityCombinationId used in the DCI format 2\_5 minus 1

maxNrofSCellActRS-r17 INTEGER ::= 255 -- Max number of RS configurations per SCell for SCell activation

maxNrofSCells INTEGER ::= 31 -- Max number of secondary serving cells per cell group

maxNrofCellMeas INTEGER ::= 32 -- Maximum number of entries in each of the cell lists in a measurement object

maxNrofCG-SL-r16 INTEGER ::= 8 -- Max number of sidelink configured grant

maxNrofCG-SL-1-r16 INTEGER ::= 7 -- Max number of sidelink configured grant minus 1

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| The end of changes |