3GPP TSG-RAN WG2 Meeting #112-e R2-20xxxxx

Electronic, 02 November - 13 November 2020

Agenda item: 6.15

Source: Apple

Title: Summary on [AT112-e][023][R4 NR16] UL 7.5kHz Shift (Apple)

Document for: Discussion

# 1 Introduction

This document is to capture offline discussions for the below.

* [AT112-e][023][R4 NR16] UL 7.5kHz Shift (Apple)

Treat R2-2008740, R2-2009466, R2-2009467, R2-2009468, R2-2009469, R2-2009470, R2-2009471, R2-2009700, R2-2009701, R2-2010227

Intended outcome: Intermediate: Determine agreeable parts. Final: For agreeable parts, agreed CRs.

Deadline: Intermediate deadline(s) by Rapporteur, Final: Discussion stop at Wed Nov 11, 1200 UTC

# 2 Contact Information

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# 3 Discussion

There were recently some discussions in RAN4 on UL 7.5kHz shifting especially on whether UE is mandatory to support it on NR TDD spectrums for dynamic spectrum sharing. This topic is in response to RAN4 LS R4-2011746 [1] which proposes that RAN4 understanding is if a UE does not support UL 7.5kHz shift for the given network configuration, the UE should avoid camping on this cell and consider this cell as barred.

R2-2009466 [2] presents three alternatives to introduce the access barring mechanism. R2-2009701 [3] proposes a way similar to the 3rd alternative in R2-2009466 [2]. While R2-2010227 [10] has a different view and thinks that there is no need to prevent UE camping in RAN2 specification for TDD 7.5kHz shift function for Rel-16 onwards UEs. And for Rel-15 UE which supports the TDD bands but not support 7.5kHz shift, R2-2010227 [10] proposes to rely on RAN4 spec [38.101] which specifies that “A UE that does not support it will be unable to communicate with a network that signals Δshift = 7.5 kHz.” and there is no need of RAN2 spec change to support UL 7.5kHz shift for TDD bands.

**Q1: Should we change RAN2 spec to support the RAN4 agreement that if a UE does not support UL 7.5kHz shift for the given network configuration, the UE should avoid camping on this cell and consider this cell as barred?**

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| Company | Yes/No | Comments |
| Apple | Yes | As explained in our paper R2-2009466, the access barring procedure is needed in order to support: 1) legacy Rel-15 UE which does not implement the potential CR to be introduced; 2) UL shift is only mandatory for Band n40 from Rel-17, thus Rel-16 UE can still have UL 7.5kHz shift optional. |
| Huawei, HiSilicon | No | We understand RAN4 agreement is as follows:   1. For n38, it is mandatory to support 7.5KHz shift for 15KHz SCS; 2. For n38, 7.5KHz shift for 30KHz SCS is not supported.   So in this case currently all the 7.5KHz support agreed by RAN4 so far is mandatory for UEs and we don’t see need to address the case that a UE does not support it. If in the future it appears optional support for 7.5KHz, we can discuss whether to have some mechanism in RAN2 but this can be discussed only when there is new agreement from RAN4 to optionally support 7.5KHz for some bands. |
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**Q2: Do you think we should introduce a solution to let legacy Rel-15 UE to properly bar the cell configured with UL 7.5kHz shift?**

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| Company | Yes/No | Comments |
| Apple | Yes | When RAN4 discussed the DSS problem for band n41, the main reason why they finally selected the solution to introduce a new band number n90 was exactly to not impact the legacy UE.  Thus, we feel similar argument applies here and we prefer to have a backward compatible solution, i.e., let legacy Rel-15 UE to properly bar the cell configured with UL 7.5kHz shift. |
| Huawei, HiSilicon | No | To change Rel-15 is NBC, and would impact UEs which already support 7.5KHz shift. |
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**Q3: If the answer to Q2 is Yes, which alternative is preferred?**

* **Approach 1: Alternative 1 in R2-2009466**
* **Approach 2: Alternative 2 in R2-2009466**
* **Approach 3: Other approach, please elaborate**

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| Company | Approach | Comments |
| Apple | Approach 2 | We believe both Approach 1 and Approach 2 are feasible and prefer Approach 2 since it is cleaner and not impact the existing field *frequencyShift7p5khz* in SIB1. |
| Huawei, HiSilicon | None | See our response to Q2. |
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In R2-2009466 [2] and R2-2009700/9701 [8][9], UE capability is raised to support mobility case where UE is handed over from a band without UL 7.5kHz shift to a TDD band with UL 7.5kHz shift. Further, R2-2009466 [2] proposes to have a per SCS UE capability for future proof.

**Q4: Do you agree that a corresponding UE capability for UL 7.5kHz shift is needed? If Yes, should we make it per SCS UE capability?**

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| Company | UE Capability on UL 7.5kHz shift?  (Yes/No) | Per SCS UE capability? (Yes/No) | Comments |
| Apple | Yes | Yes (can consult with RAN4) | Even though the capability has no use during initial access, we can run into cases where the UE goes into connected state on one band where UL 7.5kHz shift is not applicable (where DSS is not possible) and afterwards NW can handover the UE to the cell which operates on the band with UL shift is configured. In order to do that, NW has to know if the UE actually supports the UL 7.5kHz shift.  Secondly, it’s not clear to us whether 30kHz SCS would be applicable later with UL 7.5kHz SCS, thus we should better consult with RAN4 on whether a per SCS UE capability on UL 7.5kHz shift is required. |
| Huawei, HiSilicon | No | No | As we explained in Q2, currently 7.5KHz shift support is mandatory. There is no exceptional case defined in RAN4 to have optional support for 7.5KHz shift. So we should not introduce new UE capability now. |
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**Q5: Which Release to start the change in RAN2 spec? If it starts from Rel-16, should it be marked as early implementable?**

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| Company | Starting Release | Early implementable? (Yes/No) | Comments |
| Apple | Rel-16 | Yes |  |
| Huawei, HiSilicon | NA | No | See our response to Q4. |
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# 4 Conclusions

TBD

# 5 References

1. R4-2011746 LS on clarification for the UE behaviour when UL 7.5kHz shift is optionally supported by a UE
2. R2-2009466 Discussion on UL 7.5kHz shift in NR TDD bands Apple
3. R2-2009467 UL 7.5kHz shifting for NR TDD bands – Alt1 38.331 CR Apple
4. R2-2009468 UL 7.5kHz shifting for NR TDD bands – Alt 2 38.331 CR Apple
5. R2-2009469 UL 7.5kHz shifting for NR TDD bands – Alt 3 38.331 CR Apple
6. R2-2009470 UL 7.5kHz shifting for NR TDD bands 38.306 CR Apple
7. R2-2009471 Draft LS to RAN4 on UE capability for UL 7.5kHz shifting for NR TDD bands in DSS Apple
8. [R2-2009700](file:///D:\Documents\3GPP\tsg_ran\WG2\TSGR2_112-e\Docs\R2-2009700.zip) UE capability for UL 7.5KHz shift in NR TDD with 30KHz SCS Ericsson CR Rel-16 38.306 16.2.0 0433 - F NR\_n48\_LTE\_48\_coex-Core
9. [R2-2009701](file:///D:\Documents\3GPP\tsg_ran\WG2\TSGR2_112-e\Docs\R2-2009701.zip) UE behaviour 1when UL 7.5KHz shift is not supported Ericsson CR Rel-16 38.331 16.2.0 2107 - F NR\_n48\_LTE\_48\_coex-Core
10. [R2-2010227](file:///D:\Documents\3GPP\tsg_ran\WG2\TSGR2_112-e\Docs\R2-2010227.zip) Discussion on supporting 7.5KHz shift for TDD bands Huawei, HiSilicon discussion Rel-16 NR\_n48\_LTE\_48\_coex-Core