**3GPP TSG-RAN2 Meeting #109bis ElectronicR2-2003445**

**Electronic, 20 – 30 April, 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** | **1560** | **rev** |  | **Current version:** | **16.0.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | SRS Capability report for SRS only Scell | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_newRAT-Core | | | | |  | ***Date:*** | | | 2020-04-21 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **A** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | SRS carrier switching is the feature that is used when a TDD SCell doesn’t support uplink PUSCH transmission (PUSCH-less SCell). When the UE wants to send SRS on such a PUSCH-less SCell, the UE can tune its uplink from a source cell supporting normal uplink transmisison to do so.  The SRS capability used for SRS transmission for the PUSCH-less SCell should be reported in the *FeatureSetUplink* for that band. However, if the PUSCH-less SCell is in a band where no PUSCH SCell is supported in that band, the *FeatureSetUplink* for that band would not be reported according to current spec. when network switches the SRS transmission to a PUSCH-less SCell belong to such band, it is not clear how to derive the UE SRS capability on this band due to absence of *FeatureSetUplink* for this band.    To solve this issue is to include the SRS capability for PUSCH-less SCell in *FeatureSetDownlink* for a band where no PUSCH on any cell is supported. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Introduce SRS capability in *FeatureSetDownlink*  **Impact analysis**  Impacted 5G architecture options:  Standalone, (NG)EN-DC, NE-DC, NR-DC  Impacted functionality:  SRS Switching  Inter-operability:  1. If the network is implemented according to the CR and the UE is not, there is no compatibilty issue s as network will not configure UE with the SRS carrier switching for DL-only carriers because UE doesn’t indicate the SRS carrier switching capabilities.  2. If the UE is implemented according to the CR and the network is not, there is no compatiblity issue s since network will not comprehend the UE capabilities for SRS carrier switching for DL-only carriers and can only assume UE supports the minimum possible (1 port) SRS carrier switching. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | It is not clear for the network on how to derive the UE SRS capability for a PUSCH-less SCell on a band where no PUSCH on any cell is supported and the SRS carrier switching configuration will fail. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 6.3.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

----------------------------------- [Change Start] -----------------------------------

– *FeatureSetDownlink*

The IE *FeatureSetDownlink* indicates a set of features that the UE supports on the carriers corresponding to one band entry in a band combination.

***FeatureSetDownlink* information element**

-- ASN1START

-- TAG-FEATURESETDOWNLINK-START

FeatureSetDownlink ::= SEQUENCE {

featureSetListPerDownlinkCC SEQUENCE (SIZE (1..maxNrofServingCells)) OF FeatureSetDownlinkPerCC-Id,

intraBandFreqSeparationDL FreqSeparationClass OPTIONAL,

scalingFactor ENUMERATED {f0p4, f0p75, f0p8} OPTIONAL,

crossCarrierScheduling-OtherSCS ENUMERATED {supported} OPTIONAL,

scellWithoutSSB ENUMERATED {supported} OPTIONAL,

csi-RS-MeasSCellWithoutSSB ENUMERATED {supported} OPTIONAL,

dummy1 ENUMERATED {supported} OPTIONAL,

type1-3-CSS ENUMERATED {supported} OPTIONAL,

pdcch-MonitoringAnyOccasions ENUMERATED {withoutDCI-Gap, withDCI-Gap} OPTIONAL,

dummy2 ENUMERATED {supported} OPTIONAL,

ue-SpecificUL-DL-Assignment ENUMERATED {supported} OPTIONAL,

searchSpaceSharingCA-DL ENUMERATED {supported} OPTIONAL,

timeDurationForQCL SEQUENCE {

scs-60kHz ENUMERATED {s7, s14, s28} OPTIONAL,

scs-120kHz ENUMERATED {s14, s28} OPTIONAL

} OPTIONAL,

pdsch-ProcessingType1-DifferentTB-PerSlot SEQUENCE {

scs-15kHz ENUMERATED {upto2, upto4, upto7} OPTIONAL,

scs-30kHz ENUMERATED {upto2, upto4, upto7} OPTIONAL,

scs-60kHz ENUMERATED {upto2, upto4, upto7} OPTIONAL,

scs-120kHz ENUMERATED {upto2, upto4, upto7} OPTIONAL

} OPTIONAL,

dummy3 DummyA OPTIONAL,

dummy4 SEQUENCE (SIZE (1.. maxNrofCodebooks)) OF DummyB OPTIONAL,

dummy5 SEQUENCE (SIZE (1.. maxNrofCodebooks)) OF DummyC OPTIONAL,

dummy6 SEQUENCE (SIZE (1.. maxNrofCodebooks)) OF DummyD OPTIONAL,

dummy7 SEQUENCE (SIZE (1.. maxNrofCodebooks)) OF DummyE OPTIONAL

}

FeatureSetDownlink-v1540 ::= SEQUENCE {

oneFL-DMRS-TwoAdditionalDMRS-DL ENUMERATED {supported} OPTIONAL,

additionalDMRS-DL-Alt ENUMERATED {supported} OPTIONAL,

twoFL-DMRS-TwoAdditionalDMRS-DL ENUMERATED {supported} OPTIONAL,

oneFL-DMRS-ThreeAdditionalDMRS-DL ENUMERATED {supported} OPTIONAL,

pdcch-MonitoringAnyOccasionsWithSpanGap SEQUENCE {

scs-15kHz ENUMERATED {set1, set2, set3} OPTIONAL,

scs-30kHz ENUMERATED {set1, set2, set3} OPTIONAL,

scs-60kHz ENUMERATED {set1, set2, set3} OPTIONAL,

scs-120kHz ENUMERATED {set1, set2, set3} OPTIONAL

} OPTIONAL,

pdsch-SeparationWithGap ENUMERATED {supported} OPTIONAL,

pdsch-ProcessingType2 SEQUENCE {

scs-15kHz ProcessingParameters OPTIONAL,

scs-30kHz ProcessingParameters OPTIONAL,

scs-60kHz ProcessingParameters OPTIONAL

} OPTIONAL,

pdsch-ProcessingType2-Limited SEQUENCE {

differentTB-PerSlot-SCS-30kHz ENUMERATED {upto1, upto2, upto4, upto7}

} OPTIONAL,

dl-MCS-TableAlt-DynamicIndication ENUMERATED {supported} OPTIONAL

}

FeatureSetDownlink-v15xy ::= SEQUENCE {

supportedSRS-Resources SRS-Resources OPTIONAL

}

DummyA ::= SEQUENCE {

maxNumberNZP-CSI-RS-PerCC INTEGER (1..32),

maxNumberPortsAcrossNZP-CSI-RS-PerCC ENUMERATED {p2, p4, p8, p12, p16, p24, p32, p40, p48, p56, p64, p72, p80,

p88, p96, p104, p112, p120, p128, p136, p144, p152, p160, p168,

p176, p184, p192, p200, p208, p216, p224, p232, p240, p248, p256},

maxNumberCS-IM-PerCC ENUMERATED {n1, n2, n4, n8, n16, n32},

maxNumberSimultaneousCSI-RS-ActBWP-AllCC ENUMERATED {n5, n6, n7, n8, n9, n10, n12, n14, n16, n18, n20, n22, n24, n26,

n28, n30, n32, n34, n36, n38, n40, n42, n44, n46, n48, n50, n52,

n54, n56, n58, n60, n62, n64},

totalNumberPortsSimultaneousCSI-RS-ActBWP-AllCC ENUMERATED {p8, p12, p16, p24, p32, p40, p48, p56, p64, p72, p80,

p88, p96, p104, p112, p120, p128, p136, p144, p152, p160, p168,

p176, p184, p192, p200, p208, p216, p224, p232, p240, p248, p256}

}

DummyB ::= SEQUENCE {

maxNumberTxPortsPerResource ENUMERATED {p2, p4, p8, p12, p16, p24, p32},

maxNumberResources INTEGER (1..64),

totalNumberTxPorts INTEGER (2..256),

supportedCodebookMode ENUMERATED {mode1, mode1AndMode2},

maxNumberCSI-RS-PerResourceSet INTEGER (1..8)

}

DummyC ::= SEQUENCE {

maxNumberTxPortsPerResource ENUMERATED {p8, p16, p32},

maxNumberResources INTEGER (1..64),

totalNumberTxPorts INTEGER (2..256),

supportedCodebookMode ENUMERATED {mode1, mode2, both},

supportedNumberPanels ENUMERATED {n2, n4},

maxNumberCSI-RS-PerResourceSet INTEGER (1..8)

}

DummyD ::= SEQUENCE {

maxNumberTxPortsPerResource ENUMERATED {p4, p8, p12, p16, p24, p32},

maxNumberResources INTEGER (1..64),

totalNumberTxPorts INTEGER (2..256),

parameterLx INTEGER (2..4),

amplitudeScalingType ENUMERATED {wideband, widebandAndSubband},

amplitudeSubsetRestriction ENUMERATED {supported} OPTIONAL,

maxNumberCSI-RS-PerResourceSet INTEGER (1..8)

}

DummyE ::= SEQUENCE {

maxNumberTxPortsPerResource ENUMERATED {p4, p8, p12, p16, p24, p32},

maxNumberResources INTEGER (1..64),

totalNumberTxPorts INTEGER (2..256),

parameterLx INTEGER (2..4),

amplitudeScalingType ENUMERATED {wideband, widebandAndSubband},

maxNumberCSI-RS-PerResourceSet INTEGER (1..8)

}

-- TAG-FEATURESETDOWNLINK-STOP

-- ASN1STOP

|  |
| --- |
| ***FeatureSetDownlink* field descriptions** |
| ***crossCarrierScheduling-OtherSCS***  The UE shall set this field to the same value as *crossCarrierScheduling-OtherSCS* in the associated *FeatureSetUplink* (if present). |
| ***featureSetListPerDownlinkCC***  Indicates which features the UE supports on the individual DL carriers of the feature set (and hence of a band entry that refer to the feature set). The UE shall hence include at least as many *FeatureSetDownlinkPerCC-Id* in this list as the number of carriers it supports according to the *ca-BandwidthClassDL*, except if indicating additional functionality by reducing the number of *FeatureSetDownlinkPerCC-Id* in the feature set (see NOTE 1 in *FeatureSetCombination* IE description). The order of the elements in this list is not relevant, i.e., the network may configure any of the carriers in accordance with any of the *FeatureSetDownlinkPerCC-Id* in this list. |
| ***supportedSRS-Resources***  Indicates supported SRS resources for SRS carrier switching to the band associated with this *FeatureSetDownlink*. The UE is only allowed to set this field for a band without reporting any *FeatureSetuplink.* |

----------------------------------- [Next Change] -----------------------------------

– *FeatureSets*

The IE *FeatureSets* is used to provide pools of downlink and uplink features sets. A *FeatureSetCombination* refers to the IDs of the feature set(s) that the UE supports in that *FeatureSetCombination*. The *BandCombination* entries in the *BandCombinationList* then indicate the ID of the *FeatureSetCombination* that the UE supports for that band combination.

The entries in the lists in this IE are identified by their index position. For example, the *FeatureSetUplinkPerCC-Id* = 4 identifies the 4th element in the *featureSetsUplinkPerCC* list.

NOTE: When feature sets (per CC) IEs require extension in future versions of the specification, new versions of the *FeatureSetDownlink*, *FeatureSetUplink*, *FeatureSets*, *FeatureSetDownlinkPerCC* and/or *FeatureSetUplinkPerCC* will be created and instantiated in corresponding new lists in the *FeatureSets* IE. For example, if new capability bits are to be added to the *FeatureSetDownlink*, they will instead be defined in a new *FeatureSetDownlink-rxy* which will be instantiated in a new *featureSetDownlinkList-rxy* list. If a UE indicates in a *FeatureSetCombination* that it supports the *FeatureSetDownlink* with ID #5, it implies that it supports both the features in *FeatureSetDownlink* #5 and *FeatureSetDownlink-rxy* #5 (if present). The number of entries in the new list(s) shall be the same as in the original list(s).

***FeatureSets* information element**

-- ASN1START

-- TAG-FEATURESETS-START

FeatureSets ::= SEQUENCE {

featureSetsDownlink SEQUENCE (SIZE (1..maxDownlinkFeatureSets)) OF FeatureSetDownlink OPTIONAL,

featureSetsDownlinkPerCC SEQUENCE (SIZE (1..maxPerCC-FeatureSets)) OF FeatureSetDownlinkPerCC OPTIONAL,

featureSetsUplink SEQUENCE (SIZE (1..maxUplinkFeatureSets)) OF FeatureSetUplink OPTIONAL,

featureSetsUplinkPerCC SEQUENCE (SIZE (1..maxPerCC-FeatureSets)) OF FeatureSetUplinkPerCC OPTIONAL,

...,

[[

featureSetsDownlink-v1540 SEQUENCE (SIZE (1..maxDownlinkFeatureSets)) OF FeatureSetDownlink-v1540 OPTIONAL,

featureSetsUplink-v1540 SEQUENCE (SIZE (1..maxUplinkFeatureSets)) OF FeatureSetUplink-v1540 OPTIONAL,

featureSetsUplinkPerCC-v1540 SEQUENCE (SIZE (1..maxPerCC-FeatureSets)) OF FeatureSetUplinkPerCC-v1540 OPTIONAL

]] ,

[[

featureSetsDownlink-v15xy SEQUENCE (SIZE (1..maxDownlinkFeatureSets)) OF FeatureSetDownlink-v15xy OPTIONAL

]]

}

-- TAG-FEATURESETS-STOP

-- ASN1STOP

----------------------------------- [Change End] -----------------------------------