|  |
| --- |
| 3GPP TS 38.314 V0.0.5 (2020-03) |
| Technical Specification |

|  |
| --- |
| 3rd Generation Partnership Project;  Technical Specification Group Radio Access Network;  NR;  Layer 2 Measurements  (Release 16) |

|  |
| --- |
|  |

|  |  |
| --- | --- |
| *5G-logo_175px* | 3GPP-logo_web |
| The present document has been developed within the 3rd Generation Partnership Project (3GPP TM) and may be further elaborated for the purposes of 3GPP. The present document has not been subject to any approval process by the 3GPPOrganizational Partners and shall not be implemented. This Specification is provided for future development work within 3GPPonly. The Organizational Partners accept no liability for any use of this Specification. Specifications and Reports for implementation of the 3GPP TM system should be obtained via the 3GPP Organizational Partners' Publications Offices. | |

|  |
| --- |
|  |
| ***3GPP***  Postal address  3GPP support office address  650 Route des Lucioles - Sophia Antipolis  Valbonne - FRANCE  Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16  Internet  http://www.3gpp.org |
| ***Copyright Notification***  No part may be reproduced except as authorized by written permission. The copyright and the foregoing restriction extend to reproduction in all media.  © 2019, 3GPP Organizational Partners (ARIB, ATIS, CCSA, ETSI, TSDSI, TTA, TTC).  All rights reserved.  UMTS™ is a Trade Mark of ETSI registered for the benefit of its members  3GPP™ is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners LTE™ is a Trade Mark of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners  GSM® and the GSM logo are registered and owned by the GSM Association |

Contents

Foreword 4

1 Scope 5

2 References 5

3 Definitions of terms, symbols and abbreviations 5

3.1 Terms 5

3.2 Symbols 5

3.3 Abbreviations 6

4 Layer 2 measurements 6

4.1 NR measurements performed by the gNB 6

4.1.1 Measurements valid for all gNB deployment scenarios 6

4.1.1.1 Received Random Access Preambles 6

4.1.1.1.1 Received Random Access Preambles per cell 6

4.1.1.1.2 Received Random Access Preambles per SSB 6

4.1.1.2 Packet delay 6

4.1.1.2.1 Average over-the-air interface packet delay in the UL per DRB per UE 7

4.1.1.2.2 Average RLC packet delay in the UL per DRB per UE 7

4.1.1.2.3 Average PDCP re-ordering delay in the UL per DRB per UE 8

4.1.1.3 Number of active UEs in RRC\_CONNECTED 8

4.1.1.3.1 Mean number of Active UEs in the DL per DRB per cell 9

4.1.1.3.2 Max number of Active UEs in the DL per DRB per cell 9

4.1.1.3.3 Mean number of Active UEs in the UL per DRB per cell 11

4.1.1.3.4 Max number of Active UEs in the UL per DRB per cell 11

4.1.1.3.5 Mean number of Active UEs per cell 12

4.1.1.3.6 Max number of Active UEs per cell 13

4.1.1.3.7 Mean number of Active UEs per DRB per cell 14

4.1.1.3.8 Max number of Active UEs per DRB per cell 15

4.1.1.4 Number of stored inactive UE contexts 16

4.1.1.4.1 Mean number of stored inactive UE contexts 16

4.1.1.4.2 Max number of stored inactive UE contexts 17

4.1.2 Measurements valid for split gNB deployment scenario 19

4.2 NR measurements performed by the UE 19

4.2.1 Packet delay 19

4.2.1.1 UL PDCP Packet Average Delay per DRB per UE 19

Annex <X> (informative): Change history 21

# Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

x the first digit:

1 presented to TSG for information;

2 presented to TSG for approval;

3 or greater indicates TSG approved document under change control.

y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.

z the third digit is incremented when editorial only changes have been incorporated in the document.

# 1 Scope

The present document contains the description and definition of the measurements performed by NR or the UE that are transferred over the standardised interfaces in order to support NR radio link operations, radio resource management (RRM), network operations and maintenance (OAM), minimization of drive tests (MDT) and self-organising networks (SON).

Only the differences relative to TS 28.552 v16.2.0 [2] are specified in this specification.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 28.552: "5G performance measurements".

[3] 3GPP TS 38.331: " Radio Resource Control (RRC) protocol specification".

# 3 Definitions of terms, symbols and abbreviations

This clause and its three subclauses are mandatory. The contents shall be shown as "void" if the TS/TR does not define any terms, symbols, or abbreviations.

## 3.1 Terms

For the purposes of the present document, the terms given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

Definition format (Normal)

**<defined term>:** <definition>.

**example:** text used to clarify abstract rules by applying them literally.

## 3.2 Symbols

For the purposes of the present document, the following symbols apply:

Symbol format (EW)

<symbol> <Explanation>

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

Abbreviation format (EW)

<ACRONYM> <Explanation>

# 4 Layer 2 measurements

All the per DRB per cell measurements and per DRB per UE measurements can be aggregated into per QoS level per cell by network implementation. Per QoS level refers to per mapped 5QI for NR SA or per QCI for EN-DC.

## 4.1 NR measurements performed by the gNB

### 4.1.1 Measurements valid for all gNB deployment scenarios

#### 4.1.1.1 Received Random Access Preambles

##### 4.1.1.1.1 Received Random Access Preambles per cell

A use case for this measurement is RACH configuration optimization, where Received Random Access Preambles is signalled across an OAM interface.

Protocol Layer: MAC

|  |  |
| --- | --- |
| **Definition** | Received Random Access Preambles per cell. This measurement is applicable to PRACH. The reference point is the Service Access Point between MAC and L1. The measured quantity is the number of received Random Access preambles during a time period over all PRACHs configured in a cell. The measurement is done separately for:  - Dedicated preambles  - Randomly selected preambles in the low range  - Randomly selected preambles in the high range.  The unit of the measured value is [/s]. |

##### 4.1.1.1.2 Received Random Access Preambles per SSB

A use case for this measurement is RACH configuration optimization, where Received Random Access Preambles is signalled across an OAM interface.

Protocol Layer: MAC

|  |  |
| --- | --- |
| **Definition** | Received Random Access Preambles per SSB. This measurement is applicable to PRACH. The reference point is the Service Access Point between MAC and L1. The measured quantity is the number of received Random Access preambles during a time period over all PRACHs configured in a cell. The measurement is done separately for:  - Dedicated preambles  - Randomly selected preambles in the low range  - Randomly selected preambles in the high range.  The unit of the measured value is [/s]. |

#### 4.1.1.2 Packet delay

Packet delay includes RAN part of delay and CN part of delay.

The RAN part of DL packet delay measurement comprises:

- D1 (DL delay in gNB-DU), referring to Average delay DL air-interface in TS 28.552 [2] 5.1.1.1.1.

- D2 (DL delay on F1-U), referring to Average delay on F1-U in TS 28.552 [2] 5.1.3.3.2.

- D3 (DL delay in CU-UP), referring to Average delay DL in CU-UP in TS 28.552 [2] 5.1.3.3.1.

The DL packet delay measurements, i.e. D1 (the DL delay in gNB-DU), D2 (the DL delay on F1-U) and D3 (the DL delay in CU-UP), should be measured per DRB per UE.

Note: The unit of D1 and D2 as defined in TS 28.552 is 0.1 ms.

The RAN part (including UE) of UL packet delay measurement comprises:

- D1 (UL PDCP packet average delay, as defined in section 4.2.1.1).

- D2.1 (average over-the-air interface packet delay, as defined in 4.1.1.2.1).

- D2.2 (average RLC packet delay, as defined in 4.1.1.2.2).

- D2.3 (average delay UL on F1-U, it is measured using the same metric as the average delay DL on F1-U defined in TS 28.552 [2] section 5.1.3.3.2).

- D2.4 (average PDCP re-ordering delay, as defined in 4.1.1.2.3).

The UL packet delay measurements, i.e. D1(UL PDCP packet average delay), D2.1(average over-the-air interface packet delay), D2.2(average RLC packet delay), D2.3(average delay UL on F1-U) and D2.4(average PDCP re-ordering delay), should be measured per DRB per UE.

##### 4.1.1.2.1 Average over-the-air interface packet delay in the UL per DRB per UE

The objective of this measurement is to measure air interface UL packet delay for OAM performance observability or for QoS verification of MDT.

Protocol Layer: MAC, RLC

|  |  |
| --- | --- |
| **Definition** | Average over-the-air packet delay in the UL per DRB per UE. This measurement is applicable for EN-DC and SA. This measurement refers to packet delay for DRBs. This measurement provides the average (arithmetic mean) time it takes to successfully receive a transport block from the time of UL transmission indicated in scheduling grant.  Detailed Definition:  ,where  explanations can be found in the table 4.1.1.2.1-1 below. |

**Table 4.1.1.2.1-1**

|  |  |
| --- | --- |
|  | Over-the-air packet delay in the UL per DRB per UE, averaged during time period . Unit: 0.1 ms. |
|  | The point in time when the UL RLC SDU i is scheduled as per the scheduling grant provided. |
|  | The point in time when the RLC SDU i was received successfully by the network. |
|  | A MAC SDU that arrives at the RLC during time period . |
|  | Total number of RLC SDUs . |
|  | Time Period during which the measurement is performed |
|  | The identity of the measured DRB. |

##### 4.1.1.2.2 Average RLC packet delay in the UL per DRB per UE

The objective of this measurement is to measure RLC delay in the UL for OAM performance observability or for QoS verification of MDT.

Protocol Layer: RLC

|  |  |
| --- | --- |
| **Definition** | Average RLC delay in the UL per DRB per UE. This measurement is applicable for EN-DC and SA. This measurement refers to packet delay for DRBs. For CU-DU split scenario or DC scenario, this measurement refers to the RLC delay on each DU or RAN node. This measurement provides the average (arithmetic mean) time it takes from the first part of an RLC PDU is received to the RLC SDU is sent to PDCP or CU for split gNB.  Detailed Definition:  ,where  explanations can be found in the table 4.1.1.2.1-1 below. |

NOTE: Per DRB refers to per mapped 5QI for NR SA or per QCI for EN-DC.

**Table 4.1.1.2.1-1**

|  |  |
| --- | --- |
|  | RLC delay in the UL per DRB per UE, averaged during time period . Unit: 0.1 ms. |
|  | The point in time when the first part of RLC PDU i is received. |
|  | The point in time when the RLC SDU i is sent to PDCP or CU for split gNB. |
|  | A RLC SDU that is received by the RLC during time period . |
|  | Total number of RLC SDUs . |
|  | Time Period during which the measurement is performed |
|  | The identity of the measured DRB. |

##### 4.1.1.2.3 Average PDCP re-ordering delay in the UL per DRB per UE

The objective of this measurement is to measure PDCP re-ordering delay in the UL for OAM performance observability or for QoS verification of MDT.

Protocol Layer: PDCP

|  |  |
| --- | --- |
| **Definition** | Average PDCP re-ordering delay in the UL per DRB per UE. This measurement is applicable for EN-DC and SA. This measurement refers to packet delay for DRBs. This measurement provides the average (arithmetic mean) time it takes from the point a PDCP SDU is received to the PDCP SDU is sent to upper SAP.  Detailed Definition:  ,where  explanations can be found in the table 4.1.1.2.3-1 below. |

**Table 4.1.1.2.3-1**

|  |  |
| --- | --- |
|  | PDCP re-ordering delay in the UL per DRB per UE, averaged during time period . Unit: 0.1 ms. |
|  | The point in time when the first part of PDCP SDU i is received. |
|  | The point in time when the PDCP SDU i is sent to upper SAP. |
|  | A PDCP SDU that is received by the PDCP during time period . |
|  | Total number of PDCP SDUs . |
|  | Time Period during which the measurement is performed |
|  | The identity of the measured DRB. |

#### 4.1.1.3 Number of active UEs in RRC\_CONNECTED

The objective of the measurement is to measure number of active UEs per QoS level for OAM performance observability. It is intended to be part of a calculation to determine the bitrate UEs achieve when they are active, i.e. when applications are transmitting and receiving data. The measurements are applicable for both non-split gNB and split gNB deployment scenario.

##### 4.1.1.3.1 Mean number of Active UEs in the DL per DRB per cell

Protocol Layer: MAC, RLC

|  |  |
| --- | --- |
| **Definition** | Mean number of Active UEs in the DL per DRB per cell. The DRBs are mapped with the same 5QI for NR SA or mapped with the same QCI for EN-DC. This measurement refers to UEs for which there is buffered data for the DL for DRBs.  Detailed Definition:  ,where  explanations can be found in the table 4.1.1.3.1-1 below. |

**Table 4.1.1.3.1-1**

|  |  |
| --- | --- |
|  | Mean number of Active UEs in the DL per DRB, averaged during time period . Unit: Integer. |
|  | Number of UEs for which there is buffered data for the DL in MAC or RLC protocol layers for a Data Radio Bearer of traffic class at sampling occasion.  In RLC and MAC layers, buffered data corresponds to *data available for transmission* according to the definitions in TS 38.322 and TS 38.321.  Buffered data includes data for which HARQ transmission has not yet terminated. |
|  | Sampling occasion during time period . A sampling occasion shall occur once every seconds. |
|  | Sampling period length. Unit: second. The sampling period shall be at most 0.1 s. |
|  | Total number of sampling occasions during time period . |
|  | Time Period during which the measurement is performed, Unit: second. |
|  | The DRBs mapped with the same 5QI for NR SA or mapped with the same QCI for EN-DC. |

##### 4.1.1.3.2 Max number of Active UEs in the DL per DRB per cell

Protocol Layer: MAC, RLC

|  |  |
| --- | --- |
| **Definition** | Maximum number of Active UEs in the DL per DRB per cell. The DRBs are mapped with the same 5QI for NR SA or mapped with the same QCI for EN-DC. This measurement refers to UEs for which there is buffered data for the DL for DRBs.  Detailed Definition:  ,where  explanations can be found in the table 4.1.1.3.2-1 below. |

**Table 4.1.1.3.2-1**

|  |  |
| --- | --- |
|  | Maximum number of Active UEs in the DL per DRB per cell, averaged during time period . Unit: Integer. |
|  | Number of UEs for which there is buffered data for the DL in MAC, RLC or PDCP protocol layers for a Data Radio Bearer of traffic class at sampling occasion.  In RLC and MAC layers, buffered data corresponds to *data available for transmission* according to the definitions in TS 38.322 and TS 38.321.  Buffered data includes data for which HARQ transmission has not yet terminated. |
|  | Sampling occasion during time period. A sampling occasion shall occur once every seconds. |
|  | Sampling period length. Unit: second. The sampling period shall be at most 0.1 s. |
|  | Time Period during which the measurement is performed, Unit: second. |
|  | The DRBs mapped with the same 5QI for NR SA or mapped with the same QCI for EN-DC. |

##### 4.1.1.3.3 Mean number of Active UEs in the UL per DRB per cell

Protocol Layer: MAC, RLC

|  |  |
| --- | --- |
| **Definition** | Mean number of Active UEs in the UL per DRB per cell. The DRBs are mapped with the same 5QI for NR SA or mapped with the same QCI for EN-DC. This measurement refers to UEs for which there is buffered data for the UL for DRBs.  Detailed Definition:  where  explanations can be found in the table 4.1.1.3.3-1 below. |

NOTE: For this measurement, the expected accuracy is dependent on application scenario, cell load UE configuration and how DRBs are distributed over logical channel groups.

**Table 4.1.1.3.3-1**

|  |  |
| --- | --- |
|  | Mean number of Active UEs in the UL per DRB per cell, averaged during time period . Unit: Integer. |
|  | Number of UEs for which there is buffered data for the UL in MAC or RLC protocol layers for a Data Radio Bearer of traffic class at sampling occasion.  This is a gNB estimation that is expected to be based on Buffer Status Reporting, provided semi-persistent grants and progress of ongoing HARQ transmissions (by including buffered data for which HARQ transmission has not yet terminated in buffered data).  In addition, the gNB can use the analysis of received data in the estimation. In such case, when DRB cannot be determined at the time of the sampling occasion, gNB can determine DRB after successful reception of data. |
|  | Sampling occasion during time period. A sampling occasion shall occur once every seconds. |
|  | Sampling period length. Unit: second. The sampling period shall be at most 0.1 s. |
|  | Total number of sampling occasions during time period . |
|  | Time Period during which the measurement is performed, Unit: second. |
|  | The DRBs mapped with the same 5QI for NR SA or mapped with the same QCI for EN-DC. |

##### 4.1.1.3.4 Max number of Active UEs in the UL per DRB per cell

Protocol Layer: MAC, RLC

|  |  |
| --- | --- |
| **Definition** | Maximum number of Active UEs in the UL per DRB per cell. The DRBs are mapped with the same 5QI for NR SA or mapped with the same QCI for EN-DC. This measurement refers to UEs for which there is buffered data for the UL for DRBs.  Detailed Definition:  , where  explanations can be found in the table 4.1.1.3.4-1 below. |

NOTE: For this measurement, the expected accuracy is dependent on application scenario, cell load UE configuration and how DRBs are distributed over logical channel groups.

**Table 4.1.1.3.4-1**

|  |  |
| --- | --- |
|  | Maximum number of Active UEs in the UL per DRB per cell, averaged during time period . Unit: Integer. |
|  | Number of UEs for which there is buffered data for the UL in MAC or RLC protocol layers for a Data Radio Bearer of traffic class at sampling occasion.  This is a gNB estimation that is expected to be based on Buffer Status Reporting, provided semi-persistent grants and progress of ongoing HARQ transmissions (by including buffered data for which HARQ transmission has not yet terminated in buffered data).  In addition, the gNB can use the analysis of received data in the estimation. In such case, when DRB cannot be determined at the time of the sampling occasion, gNB can determine DRB after successful reception of data. |
|  | Sampling occasion during time period. A sampling occasion shall occur once every seconds. |
|  | Sampling period length. Unit: second. The sampling period shall be at most 0.1 s. |
|  | Time Period during which the measurement is performed, Unit: second. |
|  | The DRBs mapped with the same 5QI for NR SA or mapped with the same QCI for EN-DC. |

##### 4.1.1.3.5 Mean number of Active UEs per cell

Protocol Layer: MAC, RLC

|  |  |
| --- | --- |
| **Definition** | Mean number of Active UEs per cell. This measurement refers to UEs for which there is buffered data for the UL for DRBs, or there is buffered data for the DL for DRBs, or both.  Detailed Definition:  where  explanations can be found in the table 4.1.1.3.5-1 below. |

NOTE: For this measurement, the expected accuracy is dependent on application scenario, cell load UE configuration and how DRBs are distributed over logical channel groups.

**Table 4.1.1.3.5-1**

|  |  |
| --- | --- |
|  | Mean number of Active UEs, averaged during time period . Unit: Integer. |
|  | Number of UEs for which there is buffered data for the UL or for the DL or for both in MAC or RLC protocol layers at sampling occasion.  For UL, this is a gNB estimation that is expected to be based on Buffer Status Reporting, provided semi-persistent grants and progress of ongoing HARQ transmissions (by including buffered data for which HARQ transmission has not yet terminated in buffered data). In addition, the gNB can use the analysis of received data in the estimation.  For DL, in RLC and MAC layers, buffered data corresponds to *data available for transmission* according to the definitions in TS 38.322 and TS 38.321. Buffered data includes data for which HARQ transmission has not yet terminated. |
|  | Sampling occasion during time period. A sampling occasion shall occur once every seconds. |
|  | Sampling period length. Unit: second. The sampling period shall be at most 0.1 s. |
|  | Total number of sampling occasions during time period . |
|  | Time Period during which the measurement is performed, Unit: second. |

##### 4.1.1.3.6 Max number of Active UEs per cell

Protocol Layer: MAC, RLC

|  |  |
| --- | --- |
| **Definition** | Maximum number of Active UEs per cell. This measurement refers to UEs for which there is buffered data for the UL for DRBs, or there is buffered data for the DL for DRBs, or both.  Detailed Definition:  , where  explanations can be found in the table 4.1.1.3.6-1 below. |

NOTE: For this measurement, the expected accuracy is dependent on application scenario, cell load UE configuration and how DRBs are distributed over logical channel groups.

**Table 4.1.1.3.6-1**

|  |  |
| --- | --- |
|  | Maximum number of Active UEs, averaged during time period . Unit: Integer. |
|  | Number of UEs for which there is buffered data for the UL or for the DL or for both in MAC or RLC protocol layers at sampling occasion.  For UL, this is a gNB estimation that is expected to be based on Buffer Status Reporting, provided semi-persistent grants and progress of ongoing HARQ transmissions (by including buffered data for which HARQ transmission has not yet terminated in buffered data). In addition, the gNB can use the analysis of received data in the estimation.  For DL, in RLC and MAC layers, buffered data corresponds to *data available for transmission* according to the definitions in TS 38.322 and TS 38.321. Buffered data includes data for which HARQ transmission has not yet terminated. |
|  | Sampling occasion during time period. A sampling occasion shall occur once every seconds. |
|  | Sampling period length. Unit: second. The sampling period shall be at most 0.1 s. |
|  | Time Period during which the measurement is performed, Unit: second. |

##### 4.1.1.3.7 Mean number of Active UEs per DRB per cell

Protocol Layer: MAC, RLC

|  |  |
| --- | --- |
| **Definition** | Mean number of Active UEs per DRB per cell. The DRBs are mapped with the same 5QI for NR SA or mapped with the same QCI for EN-DC. This measurement refers to UEs for which there is buffered data for the UL for DRBs, or there is buffered data for the DL for DRBs, or both.  Detailed Definition:  , where  explanations can be found in the table 4.1.1.3.7-1 below. |

NOTE: For this measurement, the expected accuracy is dependent on application scenario, cell load UE configuration and how DRBs are distributed over logical channel groups.

**Table 4.1.1.3.7-1**

|  |  |
| --- | --- |
|  | Number of Active UEs per DRB, averaged during time period . Unit: Integer. |
|  | Number of UEs for which there is buffered data for the UL or for the DL or for both in MAC or RLC protocol layers for a Data Radio Bearer of traffic class at sampling occasion.  For UL, This is a gNB estimation that is expected to be based on Buffer Status Reporting, provided semi-persistent grants and progress of ongoing HARQ transmissions (by including buffered data for which HARQ transmission has not yet terminated in buffered data). In addition, the gNB can use the analysis of received data in the estimation. In such case, when DRB cannot be determined at the time of the sampling occasion, gNB can determine DRB after successful reception of data.  For DL, in RLC and MAC layers, buffered data corresponds to *data available for transmission* according to the definitions in TS 38.322 and TS 38.321. Buffered data includes data for which HARQ transmission has not yet terminated. |
|  | Sampling occasion during time period. A sampling occasion shall occur once every seconds. |
|  | Sampling period length. Unit: second. The sampling period shall be at most 0.1 s. |
|  | Total number of sampling occasions during time period . |
|  | Time Period during which the measurement is performed, Unit: second. |
|  | The DRBs mapped with the same 5QI for NR SA or mapped with the same QCI for EN-DC. |

##### 4.1.1.3.8 Max number of Active UEs per DRB per cell

Protocol Layer: MAC, RLC

|  |  |
| --- | --- |
| **Definition** | Maximum number of Active UEs per DRB per cell. The DRBs are mapped with the same 5QI for NR SA or mapped with the same QCI for EN-DC. This measurement refers to UEs for which there is buffered data for the UL for DRBs, or there is buffered data for the DL for DRBs, or both.  Detailed Definition:  ,where  explanations can be found in the table 4.1.1.3.8-1 below. |

NOTE: For this measurement, the expected accuracy is dependent on application scenario, cell load UE configuration and how DRBs are distributed over logical channel groups.

**Table 4.1.1.3.8-1**

|  |  |
| --- | --- |
|  | Maximum number of Active UEs per DRB per cell, averaged during time period . Unit: Integer. |
|  | Number of UEs for which there is buffered data for the UL or for the DL or for both in MAC or RLC protocol layers for a Data Radio Bearer of traffic class at sampling occasion.  For UL, This is a gNB estimation that is expected to be based on Buffer Status Reporting, provided semi-persistent grants and progress of ongoing HARQ transmissions (by including buffered data for which HARQ transmission has not yet terminated in buffered data). In addition, the gNB can use the analysis of received data in the estimation. In such case, when DRB cannot be determined at the time of the sampling occasion, gNB can determine DRB after successful reception of data.  For DL, in RLC and MAC layers, buffered data corresponds to *data available for transmission* according to the definitions in TS 38.322 and TS 38.321. Buffered data includes data for which HARQ transmission has not yet terminated. |
|  | Sampling occasion during time period. A sampling occasion shall occur once every seconds. |
|  | Sampling period length. Unit: second. The sampling period shall be at most 0.1 s. |
|  | Time Period during which the measurement is performed, Unit: second. |
|  | The DRBs mapped with the same 5QI for NR SA or mapped with the same QCI for EN-DC. |

#### 4.1.1.4 Number of stored inactive UE contexts

The objective of the measurement is to measure number of stored inactive UE contexts for OAM performance observability. It is intended to be part of indication about the memory consumption in a RAN node.

The measurement is obtained by sampling at a pre-defined interval, the number of inactive UE contexts for each NR gNB and then taking the arithmetic mean or maximum value over pre-defined time duration.

##### 4.1.1.4.1 Mean number of stored inactive UE contexts

Protocol Layer: RRC

|  |  |
| --- | --- |
| **Definition** | Mean number of inactive UE contexts.  Detailed Definition:  ,where  explanations can be found in the table 4.1.1.4.1-1 below. |

**Table 4.1.1.4.1-1**

|  |  |
| --- | --- |
|  | Mean number of Inactive UE contexts, averaged during time period . Unit: Integer. |
|  | Number of inactive UE contexts stored in the gNB at sampling occasion. |
|  | Sampling occasion during time period. A sampling occasion shall occur once every seconds. |
|  | Sampling period length. Unit: second. The sampling period shall be at most 0.1 s. |
|  | Total number of sampling occasions during time period . |
|  | Time Period during which the measurement is performed, Unit: second. |

##### 4.1.1.4.2 Max number of stored inactive UE contexts

Protocol Layer: RRC

|  |  |
| --- | --- |
| **Definition** | Maximum number of inactive UE contexts.  Detailed Definition:  ,where  explanations can be found in the table 4.1.1.4.2-1 below. |

**Table 4.1.1.4.2-1**

|  |  |
| --- | --- |
|  | Maximum number of Inactive UE contexts sampled during time period . Unit: Integer. |
|  | Number of inactive UE contexts stored in the gNB at sampling occasion. |
|  | Sampling occasion during time period. A sampling occasion shall occur once every seconds. |
|  | Sampling period length. Unit: second. The sampling period shall be at most 0.1 s. |
|  | Time Period during which the measurement is performed, Unit: second. |

4.1.1.5 Packet Loss Rate

4.1.1.5.1 Packet Uu Loss Rate in the DL per DRB per UE

The objective of this measurement is to measure packets that are lost at Uu transmission, for OAM performance observability.

Protocol Layer: RLC

|  |  |
| --- | --- |
| **Definition** | Packet Loss Rate in the DL per DRB per UE. One packet corresponds to one RLC SDU. The measurement is done separately per DRB.  Detailed Definition:  , where  explanations can be found in the table 4.1.1.5.1-1 below. |

NOTE: Packet loss is expected to be upper bounded by the PELR of the DRB which takes values between 10-6 and 10-2. The statistical accuracy of an individual packet loss rate measurement result is dependent on how many packets have been received, and thus the time for the measurement.

NOTE: The granularity for Packet loss rate measurement is per DRB per UE, as defined in TS 28.552 [2].

**Table 4.1.1.5.1-1**

|  |  |
| --- | --- |
|  | Packet Loss Rate in the DL per DRB per UE. Unit: number of lost packets per transmitted packets \* 106, Integer. |
|  | Number of DL packets, of a data radio bearer with DRB Identity = , for which at least a part has been transmitted over the air but not positively acknowledged, and it was decided during time period that no more transmission attempts will be done. If transmission of a packet might continue in another cell, it shall not be included in this count. |
|  | Number of DL packets, of a data radio bearer with DRB Identity = , which has been transmitted over the air and positively acknowledged during time period . |
|  | Time Period during which the measurement is performed, Unit: minutes. |
|  | The identity of the measured DRB. |

4.1.1.6 Other measurements defined in TS 28.552

The granularity for Data Volume measurement defined in TS 28.552 [2] is per DRB per UE.

The granularity for Average UE throughout measurement defined in TS 28.552 [2] is per UE and per DRB per UE.

PRB usage measurements are defined in TS 28.552 [2], i.e. DL/UL Total PRB Usage, Distribution of DL/UL Total PRB Usage. M(T), M1(T), P(T) are measured per cell. P(T) is the total available PRBs for this cell. M1(T) is the PRBs used for traffic transmission in this cell. Counting unit for PRB usage measurement is 1 Resource Block x 1 symbol. (1 Resource Block = 12 sub-carrier)

### 4.1.2 Measurements valid for split gNB deployment scenario

Void

## 4.2 NR measurements performed by the UE

### 4.2.1 Packet delay











#### 4.2.1.1 UL PDCP Packet Average Delay per DRB per UE

The objective of this measurement performed by UE is to measure Packet Delay in Layer PDCP for QoS verification of MDT.

Protocol Layer: PDCP

|  |  |
| --- | --- |
| **Definition** | PDCP Packet Delay in the UL per DRB. This measurement refers to PDCP queuing delay for DRBs in the UE, which captures the delay from packet arrival at PDCP upper SAP until the UL grant to transmit the packet is available, which has included the delay the UE gets resources granted (from sending SR/RACH to get the first grant). The measurement is done separately per DRB.  Detailed Definition:  where  explanations can be found in the table 4.2.1.1-1 below. |

NOTE: UE measures UL PDCP queueing delay at DRB level. It is up to gNB to convert DRB level delay to QoS level delay with the assumption that all QoS flows mapped to the same DRB get the same QoS treatment, and it is up to gNB to calculate QoS level delay if multiple DRBs mapped with the same QoS.

**Table 4.2.1.1-1**

|  |  |
| --- | --- |
|  | PDCP average delay in the UL per DRB, averaged during time period 𝑇. Unit: 0.1 ms. |
|  | The point in time when the PDCP SDU i arrivals at PDCP upper SAP. |
|  | The point in time when the UL grant to transmit the PDCP SDU i is available. |
|  | A PDCP SDU that is received by the PDCP during time period 𝑇. |
|  | Total number of PDCP SDUs 𝑖. |
|  | Time Period during which the measurement is performed |
|  | The identity of the measured DRB. |

Annex <X> (informative):  
Change history

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Change history** | | | | | | | |
| **Date** | **Meeting** | **TDoc** | **CR** | **Rev** | **Cat** | **Subject/Comment** | **New version** |
| 2019-08 | RAN2#107 | R2-1909426 | - | - | - | Draft skeleton | 0.0.1 |
| 2019-10 | RAN2#107bis | R2-1912957 | - | - | - | Agreements from RAN2#107 on:  - including the general reference to SA2 spec | 0.0.2 |
| 2019-11 | RAN2#108 | R2-1915203 | - | - | - | Capture agreements from RAN2#107bis on received random access preambles, UL over-the-air transmission delay and number of UEs. | 0.0.3 |
| 2020-02 | RAN2#109e | R2-2000908 | - | - | - | Capture agreements from RAN2#108 on received random access preamble per SSB, delay measurements, packet loss rate. | 0.0.4 |
| 2020-03 | RAN2#109e | R2-2002000 | - | - | - | Capture agreements from RAN2#109e. | 0.0.5 |