**3GPP TSG-RAN WG2 Meeting #109 electronic R2-2002330**

**Electronic, 24 Feb – 6 Mar 2020**

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| *CR-Form-v11.4* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38321** | **CR** |  | **rev** | **-** | **Current version:** | **15.8.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **X** | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | Running CR for the introduction of NR positioning on MAC spec | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_pos-Core | | | | |  | ***Date:*** | | | 2020-03-05 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | To capture agreements for NR Positioning Support into stage 3 MAC specification. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | During RAN2-109e agreement is made that SP SRS is supported for positioning. Based on this an MAC CE needs to be defined for the activation/deactiation of the SP SRS. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | NR Positioning Support is missing in stage 3 MAC spec.  1/ A reference to the LPP spec is added in Clause 2;  2/ Abbreviation for LPP and DL-PRS added in Clause 3.2  3/ Add a clause for UE procedure for SP Positioning SRS activation/deactivation MAC CE  4/ Add a clause for the format of the MAC CE  5/ Add LCID for the MAC CE | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | | Based on TS38.321 v15.8.0 | | | | | | | | |

============================FIRST CHANGE============================================

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 38.300: "NR; Overall description; Stage 2".

[3] 3GPP TS 38.322: "NR; Radio Link Control (RLC) protocol specification".

[4] 3GPP TS 38.323: "NR; Packet Data Convergence Protocol (PDCP) protocol specification".

[5] 3GPP TS 38.331: "NR; Radio Resource Control (RRC); Protocol specification".

[6] 3GPP TS 38.213: "NR; Physical Layer Procedures for control".

[7] 3GPP TS 38.214: "NR; Physical Layer Procedures for data".

[8] 3GPP TS 38.211: "NR; Physical channels and modulation".

[9] 3GPP TS 38.212: "NR; Multiplexing and channel coding".

[10] Void.

[11] 3GPP TS 38.133: "NR; Requirements for support of radio resource management".

[12] 3GPP TS 36.133: "Evolved Universal Terrestrial Radio Access (E-UTRA); Requirements for support of radio resource management".

[13] 3GPP TS 26.114: "Technical Specification Group Services and System Aspects; IP Multimedia Subsystem (IMS); Multimedia Telephony; Media handling and interaction".

[14] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone".

[15] 3GPP TS 38.101-2: "NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone".

[16] 3GPP TS 38.101-3: "NR; User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".

[17] 3GPP TS 36.213: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical Layer Procedures".

[xx] 3GPP TS 37.355: "Evolved Universal Terrestrial Radio Access (E-UTRA); LTE Positioning Protocol (LPP)"

==========================SECOND CHANGE============================================

# 3 Definitions, symbols and abbreviations

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

BSR Buffer Status Report

BWP Bandwidth Part

CE Control Element

CSI Channel State Information

CSI-IM CSI Intereference Measurement

CSI-RS CSI Reference Signal

CS-RNTI Configured Scheduling RNTI

DL-PRS DownLink-Positioning Reference Signal

INT-RNTI Interruption RNTI

LCG Logical Channel Group

LCP Logical Channel Prioritization

LPP LTE Positioning Protocol

MCG Master Cell Group

NUL Normal Uplink

NZP CSI-RS Non-Zero Power CSI-RS

PHR Power Headroom Report

PTAG Primary Timing Advance Group

QCL Quasi-colocation

RS Reference Signal

SCG Secondary Cell Group

SFI-RNTI Slot Format Indication RNTI

SI System Information

SpCell Special Cell

SP Semi-Persistent

SP-CSI-RNTI Semi-Persistent CSI RNTI

SPS Semi-Persistent Scheduling

SR Scheduling Request

SS Synchronization Signals

SSB Synchronization Signal Block

STAG Secondary Timing Advance Group

SUL Supplementary Uplink

TAG Timing Advance Group

TCI Transmission Configuration Indicator

TPC-SRS-RNTI Transmit Power Control-Sounding Reference Symbols-RNTI

UCI Uplink Control Information

ZP CSI-RS Zero Power CSI-RS

============================THIRD CHANGE============================================

### 5.18.X Activation/Deactivation of Semi-persistent Positioning SRS

The network may activate and deactivate the configured resource sets of Semi-persistent Positioning SRS of a Serving Cell by sending the SP Positioning SRS Activation/Deactivation MAC CE described in clause 6.1.3.X. The configured resource sets Semi-persistent Positioning SRS are initially deactivated upon configuration and after a handover.

The MAC entity shall:

1> if the MAC entity receives an SP Positioning SRS Activation/Deactivation MAC CE on a Serving Cell:

2> indicate to lower layers the information regarding the SP Positioning SRS Activation/Deactivation MAC CE.

============================FOURTH CHANGE==========================================

### 6.1.3 MAC Control Elements (CEs)

#### 6.1.3.xx SP Positioning SRS Activation/Deactivation MAC CE

The SP Positioning SRS Activation/Deactivation MAC CE is identified by a MAC subheader with LCID and eLCID as specified in Table 6.2.1-x, where M is the total number of Positioning SRS resources configured under the Positioning SRS resource set. It has a variable size with following fields:

NOTE: FFS how we capture this for eLCID

- A/D: This field indicates whether to activate or deactivate indicated SP Positioning SRS resource set. The field is set to 1 to indicate activation, otherwise it indicates deactivation;

- Positioning SRS Resource Set's Cell ID: This field indicates the identity of the Serving Cell, which contains activated/deactivated SP Positioning SRS Resource Set. If the C field is set to 0, this field also indicates the identity of the Serving Cell which contains all resources indicated by the Resource IDi fields. The length of the field is 5 bits;

- Positioning SRS Resource Set's BWP ID: This field indicates a UL BWP as the codepoint of the DCI *bandwidth part indicator* field as specified in TS 38.212 [9], which contains activated/deactivated SP Positioning SRS Resource Set. If the C field is set to 0, this field also indicates the identity of the BWP which contains all resources indicated by the Resource IDi fields. The length of the field is 2 bits;

- C: This field indicates whether the octets containing Resource Serving Cell ID field(s) and Resource BWP ID field(s) withn the field Spatial Relation for Resource ID i are present, except for Spatial Relation with the type DL-PRS when A/D is set to 1. If this field is set to 1, the octets containing Resource Serving Cell ID field(s) and Resource BWP ID field(s) in the field Spatial Relation for Resource IDi are present, otherwise they are not present. When A/D is set to 0, this field is always set to 0;

- SUL: This field indicates whether the MAC CE applies to the NUL carrier or SUL carrier configuration. This field is set to 1 to indicate that it applies to the SUL carrier configuration, and it is set to 0 to indicate that it applies to the NUL carrier configuration;

- SP Positoining SRS Resource Set ID: This field indicates the SP Positioning SRS Resource Set ID identified by *SRS-ResourcePosSetId* as specified in TS 38.331 [5], which is to be activated or deactivated. The length of the field is 4 bits;

- R: Reserved bit, set to 0.

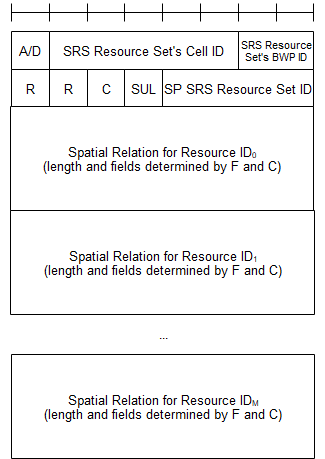


Figure 6.1.3.xx-1: SP Positioning SRS Activation/Deactivation MAC CE

The field Spatial Relation for Resource IDi is only present if MAC CE is used for activation, i.e. the A/D field is set to 1. There can be 4 types of Spatial Relation, which is indicated by the F field within. The field Spatial Relation is defined as follows in Figure 6.1.3.xx-2-Figure 6.1.3.xx-5.

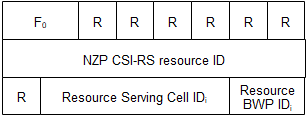


Figure 6.1.3.xx-2: Spatial Relation indicating NZP CSI-RS

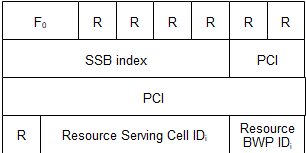


Figure 6.1.3.xx-3: Spatial Relation indicating SSB

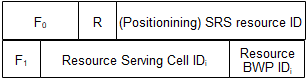


Figure 6.1.3.xx-4: Spatial Relation indicating SRS

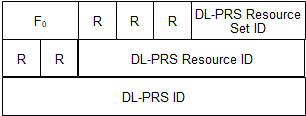


Figure 6.1.3.xx-5: Spatial Relation indicating DL-PRS

The field Spatial Relation consists of the following fields

- F0: This field indicates the type of a resource used as a spatial relationship for PositioningSRS resource within SP Positioning SRS Resource Set indicated with SP SRS Resource Set ID field. The field is set to 00 to indicate NZP CSI-RS resource index is used; it is set to 01 to indicate SSB index is used; it is set to 10 to indicate SRS resource index is used; it is set to 11 to indicate DL-PRS index is used. The length of the field is 2 bits;

- F1: This field indicates the type of SRS resource used as spatial relation for Positioning SRS resoruce within the SP Positioning SRS Resource Set indicate with SP Positioning SRS Resource Set ID field. The field is set to 0 to indicate SRS resource index; the field is set to 1 to indicate Positioning SRS resource index;

- NZP CSI-RS Resource ID: This field contains an index of *NZP-CSI-RS-ResourceID*, as specified in TS 38.331 [5], indicating the NZP CSI-RS resource, which is used to derive the spatial relation for the positioning SRS. The length of the field is 8 bits;

- SSB index: This field contains an index of SSB *SSB-Index* as specified in TS 38.331 [5] and/or TS 37.355 [xx]. The length of the field is 6 bits;

- PCI: This field contains *PhysCellId* as specified in TS 38.331 [5] and/or TS 37.355 [xx]. The length of the field is 10 bits;

- SRS resource ID: When F1 is set to 0, the field indicates an index for SRS resource *SRS-ResourceId* as defined in TS 38.331 [5]; When F1 is set to 1, the field indicates an index for Positioning SRS resource *SRS-ResourcePosId* as defined in TS 38.331 [5]. The length of the field is 5 bits;

- DL-PRS Resource Set ID: This field contains an index for DL-PRS resource Set *nr-DL-PRS-ResourceSetId* as defined in TS 37.355 [xx]. The length of the field is 3 bits

- DL-PRS Resource ID: This field contains an index for DL-PRS resource *nr-DL-PRS-ResourceId* as defined in TS 37.355 [xx]. The length of the field is 6 bits

- DL-PRS ID: This field contains an identity for DL-PRS resource *dl-PRS-ID* as defined in TS 37.355 [xx]. The length of the field is 6 bits

- Resource Serving Cell IDi: This field indicates the identity of the Serving Cell on which the resource used for spatial relationship derivation for SRS resource i is located. The length of the field is 5 bits;

- Resource BWP IDi: This field indicates a UL BWP as the codepoint of the DCI *bandwidth part indicator* field as specified in TS 38.212 [9], on which the resource used for spatial relationship derivation for SRS resource i is located. The length of the field is 2 bits;

NOTE: FFS the exact field name for DL-PRS ID/ResourceID/ResourceSetID in LPP and Positioning SRS in RRC.

============================FIFTH CHANGE============================================

## 6.2 Formats and parameters

### 6.2.1 MAC subheader for DL-SCH and UL-SCH

The MAC subheader consists of the following fields:

- LCID: The Logical Channel ID field identifies the logical channel instance of the corresponding MAC SDU or the type of the corresponding MAC CE or padding as described in Tables 6.2.1-1 and 6.2.1-2 for the DL-SCH and UL-SCH respectively. There is one LCID field per MAC subheader. The LCID field size is 6 bits;

- L: The Length field indicates the length of the corresponding MAC SDU or variable-sized MAC CE in bytes. There is one L field per MAC subheader except for subheaders corresponding to fixed-sized MAC CEs, padding, and MAC SDUs containing UL CCCH. The size of the L field is indicated by the F field;

- F: The Format field indicates the size of the Length field. There is one F field per MAC subheader except for subheaders corresponding to fixed-sized MAC CEs, padding, and MAC SDUs containing UL CCCH. The size of the F field is 1 bit. The value 0 indicates 8 bits of the Length field. The value 1 indicates 16 bits of the Length field;

- R: Reserved bit, set to 0.

The MAC subheader is octet aligned.

Table 6.2.1-1 Values of LCID for DL-SCH

|  |  |
| --- | --- |
| Index | LCID values |
| 0 | CCCH |
| 1–32 | Identity of the logical channel |
| 33-46 | Reserved |
| Xx | SP postioning SRS Activation/Deactivation |
| 47 | Recommended bit rate |
| 48 | SP ZP CSI-RS Resource Set Activation/Deactivation |
| 49 | PUCCH spatial relation Activation/Deactivation |
| 50 | SP SRS Activation/Deactivation |
| 51 | SP CSI reporting on PUCCH Activation/Deactivation |
| 52 | TCI State Indication for UE-specific PDCCH |
| 53 | TCI States Activation/Deactivation for UE-specific PDSCH |
| 54 | Aperiodic CSI Trigger State Subselection |
| 55 | SP CSI-RS/CSI-IM Resource Set Activation/Deactivation |
| 56 | Duplication Activation/Deactivation |
| 57 | SCell Activation/Deactivation (four octets) |
| 58 | SCell Activation/Deactivation (one octet) |
| 59 | Long DRX Command |
| 60 | DRX Command |
| 61 | Timing Advance Command |
| 62 | UE Contention Resolution Identity |
| 63 | Padding |

NOTE: FFS how we capture this for eLCID

Table 6.2.1-2 Values of LCID for UL-SCH

|  |  |
| --- | --- |
| Index | LCID values |
| 0 | CCCH of size 64 bits (referred to as "CCCH1" in TS 38.331 [5]) |
| 1–32 | Identity of the logical channel |
| 33–51 | Reserved |
| 52 | CCCH of size 48 bits (referred to as "CCCH" in TS 38.331 [5]) |
| 53 | Recommended bit rate query |
| 54 | Multiple Entry PHR (four octets Ci) |
| 55 | Configured Grant Confirmation |
| 56 | Multiple Entry PHR (one octet Ci) |
| 57 | Single Entry PHR |
| 58 | C-RNTI |
| 59 | Short Truncated BSR |
| 60 | Long Truncated BSR |
| 61 | Short BSR |
| 62 | Long BSR |
| 63 | Padding |

============================END OF CHANGES==========================================