**3GPP TSG-RAN2#109e R2-2001923**

**February 24 – March 6 2020**

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| *CR-Form-v11.2* |
| **CHANGE REQUEST** |
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|  | **38.304** | **CR** | **0149** | **rev** | **1** | **Current version:** | **15.6.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:***  | Running Idle/Inactive CR for NR Shared Spectrum |
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| ***Source to WG:*** | Rapporteur (Qualcomm Incorporated) |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_unlic-Core |  | ***Date:*** | 2020-03-06 |
|  |  |  |  |  |
| ***Category:*** | **B** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | To capture RAN2 agreements which impact Idle/Inactive mode procedures. |
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| ***Summary of change:*** | RAN2 agreements which impact Idle/Inactive mode procedures:* Introduce additional PDCCH monitoring occasions for paging
* Support of white list for cell reselection
* Lowering the frequency priority when highest ranked cells do not belong an equivalent PLMN
* Not barring cells on the same frequency when the cell does not belong an equivalent PLMN

Editor’s Note: It is FFS whether the features introduced for NR-U are also applicable to NR licensed. The CR will be updated according to the outcome of that discussion on UE features. This version includes the following changes marked by RAN2#108 on top of the RAN2#108 baseline (R2-1914550):* Added reading of system information for non-strongest cells in 5.1.1.2 (agreed in RAN2#108 per R2-1915389).
* Added searching for additional cells to find suitable cells in 5.2.3.1 (agreed in RAN2#108 per R2-1915389).
* Simplied the sentence in 5.2.4.4 for barring of other cells on the same frequency.
* Added paging stopping via short message in 7.1.
* Added “if configured” in 5.2.4.1.
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| ***Consequences if not approved:*** | NR-U will not be specified. |
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| ***Clauses affected:*** | 5.1.1.2, 5.2.3.1, 5.2.4.1, 5.2.4.4, 5.3.1, 7.1 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | TS 38.300, TS 38.331 |
| ***affected:*** |  | **x** |  Test specifications |  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications |  |
|  |  |
| ***Other comments:*** |  |

*Start of changes*

#### 5.1.1.2 NR case

The UE shall scan all RF channels in the NR bands according to its capabilities to find available PLMNs. On each carrier, the UE shall search for the strongest cell and read its system information, in order to find out which PLMN(s) the cell belongs to. For operation with shared spectrum channel access, the UE may also read the system information of the multiple strongest cell(s). If the UE can read one or several PLMN identities in the strongest cell or the multiple strongest cell(s) in case of operation with shared spectrum channel access, each found PLMN (see the PLMN reading in TS 38.331 [3]) shall be reported to the NAS as a high quality PLMN (but without the RSRP value), provided that the following high-quality criterion is fulfilled:

1. For an NR cell, the measured RSRP value shall be greater than or equal to -110 dBm.

Found PLMNs that do not satisfy the high-quality criterion but for which the UE has been able to read the PLMN identities are reported to the NAS together with their corresponding RSRP values. The quality measure reported by the UE to NAS shall be the same for each PLMN found in one cell.

The search for PLMNs may be stopped on request from the NAS. The UE may optimise PLMN search by using stored information e.g. frequencies and optionally also information on cell parameters from previously received measurement control information elements.

Once the UE has selected a PLMN, the cell selection procedure shall be performed in order to select a suitable cell of that PLMN to camp on.

*Next change*

#### 5.2.3.1 Description

Cell selection is performed by one of the following two procedures:

a) Initial cell selection (no prior knowledge of which RF channels are NR frequencies):

1. The UE shall scan all RF channels in the NR bands according to its capabilities to find a suitable cell.

2. On each frequency, the UE need only search for the strongest cell, except for operation with shared spectrum channel access where the UE may search for the next strongest cell(s).

3. Once a suitable cell is found, this cell shall be selected.

b) Cell selection by leveraging stored information:

1. This procedure requires stored information of frequencies and optionally also information on cell parameters from previously received measurement control information elements or from previously detected cells.

2. Once the UE has found a suitable cell, the UE shall select it.

3. If no suitable cell is found, the initial cell selection procedure in a) shall be started.

NOTE: Priorities between different frequencies or RATs provided to the UE by system information or dedicated signalling are not used in the cell selection process.

*Next change*

#### 5.2.4.1 Reselection priorities handling

Absolute priorities of different NR frequencies or inter-RAT frequencies may be provided to the UE in the system information, in the *RRCRelease* message, or by inheriting from another RAT at inter-RAT cell (re)selection. In the case of system information, an NR frequency or inter-RAT frequency may be listed without providing a priority (i.e. the field *cellReselectionPriority* is absent for that frequency). If priorities are provided in dedicated signalling, the UE shall ignore all the priorities provided in system information. If UE is in *camped on any cell* state, UE shall only apply the priorities provided by system information from current cell, and the UE preserves priorities provided by dedicated signalling and *deprioritisationReq* received in *RRCRelease* unless specified otherwise. When the UE in camped normally state, has only dedicated priorities other than for the current frequency, the UE shall consider the current frequency to be the lowest priority frequency (i.e. lower than any of the network configured values).

The UE shall only perform cell reselection evaluation for NR frequencies and inter-RAT frequencies that are given in system information and for which the UE has a priority provided. In case UE receives *RRCRelease* with *deprioritisationReq*, UE shall consider current frequency and stored frequencies due to the previously received *RRCRelease* with *deprioritisationReq* or all the frequencies of NR to be the lowest priority frequency (i.e. lower than any of the network configured values) while T325 is running irrespective of camped RAT. The UE shall delete the stored deprioritisation request(s) when a PLMN selection is performed on request by NAS (TS 23.122 [9]).

NOTE: UE should search for a higher priority layer for cell reselection as soon as possible after the change of priority. The minimum related performance requirements specified in TS 38.133 [8] are still applicable.

The UE shall delete priorities provided by dedicated signalling when:

- the UE enters a different RRC state; or

- the optional validity time of dedicated priorities (T320) expires; or

- the UE receives an *RRCRelease* message with the field *cellReselectionPriorities* absent; or

- a PLMN selection is performed on request by NAS (TS 23.122 [9]).

NOTE 2: Equal priorities between RATs are not supported.

The UE shall not consider any black listed cells as candidate for cell reselection.

The UE shall consider only the white listed cells, if configured, as candidates for cell reselection.

The UE in RRC\_IDLE state shall inherit the priorities provided by dedicated signalling and the remaining validity time (i.e. T320 in NR and E-UTRA), if configured, at inter-RAT cell (re)selection.

NOTE 3: The network may assign dedicated cell reselection priorities for frequencies not configured by system information.

*Next change*

#### 5.2.4.4 Cells with cell reservations, access restrictions or unsuitable for normal camping

For the highest ranked cell (including serving cell) according to cell reselection criteria specified in clause 5.2.4.6, for the best cell according to absolute priority reselection criteria specified in clause 5.2.4.5, the UE shall check if the access is restricted according to the rules in clause 5.3.1.

If that cell and other cells have to be excluded from the candidate list, as stated in clause 5.3.1, the UE shall not consider these as candidates for cell reselection. This limitation shall be removed when the highest ranked cell changes.

If the highest ranked cell or best cell according to absolute priority reselection rules is an intra-frequency or inter-frequency cell which is not suitable due to being part of the "list of 5GS forbidden TAs for roaming", the UE shall not consider this cell and other cells on the same frequency, as candidates for reselection for a maximum of 300 seconds. If this cell belongs to a PLMN which is not indicated as being equivalent to the registered PLMN, the UE shall not consider this cell and, for operation with licensed spectrum access, other cells on the same frequency as candidates for reselection for a maximum of 300 seconds. For operation with shared spectrum channel access, if the second highest ranked cell on this frequency also does not have a PLMN being equivalent to the registered PLMN, the UE may consider this frequency to be the lowest priority for a maximum of 300 seconds. If the UE enters into state *any cell selection*, any limitation shall be removed. If the UE is redirected under NR control to a frequency for which the timer is running, any limitation on that frequency shall be removed.

*Next Change*

### 5.3.1 Cell status and cell reservations

Cell status and cell reservations are indicated in the *MIB or SIB1* message as specified in TS 38.331 [3] by means of three fields:

- *cellBarred* (IE type: "barred" or "not barred")
Indicated in *MIB* message. In case of multiple PLMNs indicated in *SIB1*, this field is common for all PLMNs

- *cellReservedForOperatorUse* (IE type: "reserved" or "not reserved")
Indicated in *SIB1* message*.* In case of multiple PLMNs indicated in *SIB1*, this field is specified per PLMN.

- *cellReservedForOtherUse* (IE type: "true")
Indicated in *SIB1* message. In case of multiple PLMNs indicated in *SIB1*, this field is common for all PLMNs.

When cell status is indicated as "not barred" and "not reserved" for operator use and not "true" for other use,

- All UEs shall treat this cell as candidate during the cell selection and cell reselection procedures.

When cell status is indicated as "true" for other use,

- The UE shall treat this cell as if cell status is "barred".

When cell status is indicated as "not barred" and "reserved" for operator use for any PLMN and not "true" for other use,

- UEs assigned to Access Identity 11 or 15 operating in their HPLMN/EHPLMN shall treat this cell as candidate during the cell selection and reselection procedures if the field *cellReservedForOperatorUse* for that PLMN set to "reserved".

- UEs assigned to an Access Identity 0, 1, 2 and 12 to 14 shall behave as if the cell status is "barred" in case the cell is "reserved for operator use" for the registered PLMN or the selected PLMN.

NOTE 1: Access Identities 11, 15 are only valid for use in the HPLMN/ EHPLMN; Access Identities 12, 13, 14 are only valid for use in the home country as specified in TS 22.261 [12].

When cell status "barred" is indicated or to be treated as if the cell status is "barred",

- The UE is not permitted to select/reselect this cell, not even for emergency calls.

- The UE shall select another cell according to the following rule:

- If the cell is to be treated as if the cell status is "barred" due to being unable to acquire the *MIB*:

- the UE may exclude the barred cell as a candidate for cell selection/reselection for up to 300 seconds.

- the UE may select another cell on the same frequency if the selection criteria are fulfilled.

- else:

- If the cell is to be treated as if the cell status is "barred" due to being unable to acquire the *SIB1* or due to *trackingAreaCode* being absent in *SIB1* as specified in TS 38.331 [3]:

- the UE may exclude the barred cell as a candidate for cell selection/reselection for up to 300 seconds.

- If the field *intraFreqReselection* in *MIB* message is set to "allowed", the UE may select another cell on the same frequency if re-selection criteria are fulfilled;

- the UE shall exclude the barred cell as a candidate for cell selection/reselection for 300 seconds.

- If the field *intraFreqReselection* in *MIB* message is set to "not allowed":

- If the cell operates in licensed spectrum or if this cell belongs to a PLMN which is indicated as being equivalent to the registered PLMN:

- the UE shall not re-select a cell on the same frequency as the barred cell.

- else:

- the UE may select to another cell on the same frequency if reselection criteria are fulfilled.

- The UE shall exclude the barred cell and, if the cell operates in licensed spectrum or if this cell belongs to a PLMN which is indicated as being equivalent to the registered PLMN, also the cells on the same frequency as a candidate for cell selection/reselection for 300 seconds.

The cell selection of another cell may also include a change of RAT.

*Next change*

## 7.1 Discontinuous Reception for paging

The UE may use Discontinuous Reception (DRX) in RRC\_IDLE and RRC\_INACTIVE state in order to reduce power consumption. The UE monitors one paging occasion (PO) per DRX cycle. A PO is a set of PDCCH monitoring occasions and can consist of multiple time slots (e.g. subframe or OFDM symbol) where paging DCI can be sent (TS 38.213 [4]). One Paging Frame (PF) is one Radio Frame and may contain one or multiple PO(s) or starting point of a PO.

In multi-beam operations, the UE assumes that the same paging message and the same Short Message are repeated in all transmitted beams and thus the selection of the beam(s) for the reception of the paging message and Short Message is up to UE implementation. The paging message is same for both RAN initiated paging and CN initiated paging.

The UE initiates RRC Connection Resume procedure upon receiving RAN initiated paging. If the UE receives a CN initiated paging in RRC\_INACTIVE state, the UE moves to RRC\_IDLE and informs NAS.

The PF and PO for paging are determined by the following formulae:

SFN for the PF is determined by:

(SFN + PF\_offset) mod T = (T div N)\*(UE\_ID mod N)

Index (i\_s), indicating the index of the PO is determined by:

i\_s = floor (UE\_ID/N) mod Ns

The PDCCH monitoring occasions for paging are determined according to *pagingSearchSpace* as specified in TS 38.213 [4] and *firstPDCCH-MonitoringOccasionOfPO* and *nrofPDCCHMonitoringOccasionPerSSB* ifconfigured as specified in TS 38.331 [3]. When *SearchSpaceId* = 0 is configured for *pagingSearchSpace*, the PDCCH monitoring occasions for paging are same as for RMSI as defined in clause 13 in TS 38.213 [4].

When *SearchSpaceId* = 0 is configured for *pagingSearchSpace*, Ns is either 1 or 2. For Ns = 1, there is only one PO which starts from the first PDCCH monitoring occasion for paging in the PF. For Ns = 2, PO is either in the first half frame (i\_s = 0) or the second half frame (i\_s = 1) of the PF.

When *SearchSpaceId* other than 0 is configured for *pagingSearchSpace,* the UE monitors the (i\_s + 1)th PO. A PO is a set of 'S\*X' consecutive PDCCH monitoring occasions where 'S' is the number of actual transmitted SSBs determined according to *ssb-PositionsInBurst* in *SIB1* and X is the *nrofPDCCHMonitoringOccasionPerSSB* if configured or is equal to 1 otherwise. The [x\*S+K]th PDCCH monitoring occasion for paging in the PO corresponds to the Kth transmitted SSB, where x=0,1,…,X-1, K=1,2,…,S. The PDCCH monitoring occasions for paging which do not overlap with UL symbols (determined according to *tdd-UL-DL-ConfigurationCommon*) are sequentially numbered from zero starting from the first PDCCH monitoring occasion for paging in the PF. When *firstPDCCH-MonitoringOccasionOfPO* is present, the starting PDCCH monitoring occasion number of (i\_s + 1)th PO is the (i\_s + 1)th value of the *firstPDCCH-MonitoringOccasionOfPO* parameter; otherwise, it is equal to i\_s \* S\*X. If X > 1, when the UE detects a PDCCH transmission addressed to P-RNTI within its PO, the UE is not required to monitor the subsequent PDCCH monitoring occasions for this PO.

NOTE 1: A PO associated with a PF may start in the PF or after the PF.

NOTE 2: The PDCCH monitoring occasions for a PO can span multiple radio frames. When *SearchSpaceId* other than 0 is configured for *paging-SearchSpace* the PDCCH monitoring occasions for a PO can span multiple periods of the paging search space.

The following parameters are used for the calculation of PF and i\_s above:

T: DRX cycle of the UE (T is determined by the shortest of the UE specific DRX value(s), if configured by RRC and/or upper layers, and a default DRX value broadcast in system information. In RRC\_IDLE state, if UE specific DRX is not configured by upper layers, the default value is applied).

N: number of total paging frames in T

Ns: number of paging occasions for a PF

PF\_offset: offset used for PF determination

UE\_ID: 5G-S-TMSI mod 1024

Parameters *Ns*, *nAndPagingFrameOffset*, *nrofPDCCHMonitoringOccasionPerSSB*, and the length of default DRX Cycle are signaled in *SIB1*. The values of N and PF\_offset are derived from the parameter *nAndPagingFrameOffset* as defined in TS 38.331 [3]. The parameter *first-PDCCH-MonitoringOccasionOfPO* is signalled in *SIB1* for paging in initial DL BWP.For paging in a DL BWP other than the initial DL BWP, the parameter *first-PDCCH-MonitoringOccasionOfPO* is signaled in the corresponding BWP configuration.

If the UE has no 5G-S-TMSI, for instance when the UE has not yet registered onto the network, the UE shall use as default identity UE\_ID = 0 in the PF and i\_s formulas above.

5G-S-TMSI is a 48 bit long bit string as defined in TS 23.501 [10]. 5G-S-TMSI shall in the formulae above be interpreted as a binary number where the left most bit represents the most significant bit.

*End of changes*