**3GPP TSG-RAN2 Meeting #109-e *R2-2001753***

**Electronic meeting, 24th February– 6th March 2020**

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| *CR-Form-v11.4* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **36.331** | **CR** | **4205** | **Rev** | **1** | **Current version:** | **15.8.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Introduction of Even futher Mobility enhancement in E-UTRAN | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Ericsson, China Telecom | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | LTE\_feMob-Core | | | | |  | ***Date:*** | | | 2020-03-04 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Introduction of Even further Mobility enhancement in E-UTRAN | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Capturing of agreements:  **Conditional handover:**  RAN2#108:  - RAN2 to confirm agreement on source configuration change are:  • Network ensures the UE stored CHO configuration is valid after source configuration change;  • This may or may not require the network to provide the UE with a new CHO configuration along with the new source configuration;  - Upon CHO execution, UE applies the CHO configuration (i.e. RRC message containing the CHO configuration) on top the current source configuration. in case of fullConfig, this replaces the source configuration.  - Use existing processing time for RRC reconfiguration message containing CHO configuration (step 1).  => If compliance check fails, UE does re-establishment.  => No changes needed to running CR  - After successful reconfiguration with sync (with or without key change) (NR) or handover (LTE), UE releases stored CHO configurations.  - Upon RLF/HOF the UE starts timer T311 and performs cell selection. Upon selecting a suitable cell while timer T311 is running the UE applies stored CHO configuration for that selected cell, if available; otherwise it performs re-establishment.  - For A3 event, A3 event offset, hysteresis and time to trigger should be allowed to configure differently for the 2 measID for the same event, same RS type and same measurement object.  - For A5 event, A5 threshold 1 and A5 threshold 2, hysteresis and time to trigger should be allowed to configure differently for the 2 measID for the same event, same RS type and same measurement object.  - All event combinations (i.e. A3+A5, A3+A3 and A5+A5) are supported.  - For both A3 and A5 events, no changes to cell specific offset.  - maxNrofRS-IndexesToReport, maxReportCells, reportAddNeighMeas, reportAmount, reportOnLeave, reportQuantityCell, reportQuantityRS-Indexes, and useWhiteCellList are not supported within the measID that is configured for triggering conditional handover.  - No changes to S-measure, i.e. it applies to measurements of the CHO candidate cells.  => FFS on Stage-3 details: whether there are issues with configuration of different events (e.g. A3+A5) and how to handle the “and” of two triggering events in RRC  - When the network explicitly removes the stored CHO configuration for a candidate, the network explicitly releases the measIDs associated to the CHO configuration for that candidate cell if it’s not used by other CHO configurations.  - When the CHO configurations are autonomously released by the UE, it is FFS if the UE autonomously releases the associated measIDs.  FFS whether UE removes reportConfig.  RAN2#107bis:  - The handling of CHO configuration can be split into 2 steps as below and inform RAN4 about RAN2 agreements:  Step 1: Decode the RRCReconfiguration/RRCConnectionReconfiguration including source configuration, if present, and CHO execution conditions (both decode and configure upon reception of RRCReconfiguration/RRCConnectionReconfiguration).  Step 2: Apply the target cell configuration (i.e. a stored RRCReconfiguration/RRCConnectionReconfiguration prepared for the selected target), the UE can only do this upon meeting the CHO execution condition for the cell.  - Show of hands  1) Do not trigger re-establishment and do early check: 10  2) Do not trigger re-establishment and do late check: 0  3) Trigger re-establishment and do early check: 13  4) Trigger re-establishment and do late check: 3   * Stick to current specification (to be clarified which option that means).   - Confirm the working assumption as an optional feature:  At RLF/HO failure/CHO failure, the UE performs cell selection and if the selected cell is a CHO candidate then the UE attempts CHO execution, otherwise re-establishment is performed.  If the CHO performed during failure handling procedure fails, the UE will perform re-establishment, i.e. we do not allow multiple attempts of CHO during failure case.  If UE doesn’t support this capability, it does re-establishment (just as now). Network can configure what UE does.  RAN2#107:  - As part of CHO configuration to be sent to the UE, RRC container is used to carry target cell configuration and source cell is not allowed to alter any content of configuration from the target cell.  - Use add/mod list + release list to configure multiple CHO candidate cells. CHO execution condition can be updated by modifying the existing CHO configuration, Target cell configuration can be updated by modifying the existing CHO configuration.  - Reuse the RRCReconfiguration/RRCConnectionReconfiguration procedure to signal CHO configuration to UE.  - A RRC complete message is required for UE to confirm receipt and proper comprehension of CHO configuration (execution condition, FFS target cell configuration) to the source eNB/gNB.  - After CHO configuration has been sent to the UE, source configuration can be updated.  - Delta configuration for CHO commands is based on latest source configuration  - Allow having multiple triggering conditions (using “and”) for CHO execution of a single candidate cell. Only single RS type per CHO candidate is supported. At most two triggering quantities (e.g. RSRP and RSRQ, RSRP and SINR, etc.) can be configured simultnaeously. FFS on UE capability.  - TTT is supported for CHO condition (as per legacy configuration)  RAN2#106:  - The source cell decides on the condition for the execution of CHO.  - The source cell adds the condition for the execution of CHO to the RRC message sent to UE.  - Multiple CHO candidate cells can be sent in either one or multiple RRC messages.  - CHO execution does not trigger measurement report.  - On cell level A3/A5-like CHO execution condition shall be specified (other events will not be specified without clear justifications)  - Separate CHO execution condition(s) can be configured for each individual candidate cells.  - Define a CHO execution condition by the measurement identity which identifies a measurement configuration.  - Deconfiguration of CHO candidates is performed by RRC signalling (we will not introduce timer based mechanism for the UE to deconfiguration of the CHO candidates)  - Baseline that configuration of all CHO candidates are released after successful (any) handover completion (sending complete message to the target cell).  - UE shall not stop T310 and shall not start T304 when it receives configuration of a CHO candidate  - The timer T310 is stopped and timer T304-like is started when the UE begins execution of a conditional handover for a target cell. (Stage 3 detail whether we reuse T304 or define a new timer).  RAN2#105bis:  - The CHO command contains at least the configuration information of target cell(s) and triggering conditions.  - Conventional handover overrides any configured conditional handover command  - The network can inform the UE to release CHO configurations (e.g. candidate cells) by RRC signaling.  RAN2#104:  - RAN2 will consider a conditional handover: This is defined as UE having network configuration for initiating access to a target cell based on configured condition(s).  - Usage of conditional handover is decided by network. UE evaluates when the condition is valid.  - Support configuration of one or more candidate cells for conditional handover.  **Mobility Interruption:**  RAN2#108:  - Upon the release of the source cell, RRC re-establishes the LTE RLC entity before releasing the LTE RLC entity.  - UE switches from single PDCP with DAPS to normal PDCP upon receiving an explicit signalling from the target cell.  - Confirm to use the term ‘DAPS PDCP’ to name the PDCP entity supporting DAPS.  - The ‘PDCP reconfiguration’ procedure handles the two cases:  • Change from the normal PDCP entity to the DAPS PDCP entity;  • Change from the DAPS PDCP entity to normal PDCP entity.  - When upper layers request a PDCP reconfiguration, UE shall performs PDCP reconfiguration from the normal PDCP to DAPS PDCP.  - For the change from the normal PDCP to DAPS PDCP, UE establishes a ciphering function, integrity protection function and ROHC protocol stack and applies the security algorithms and keys provided by upper layer.  - When upper layers request a PDCP reconfiguration and the source protocol is released, UE shall performs PDCP reconfiguration from DAPS PDCP to normal PDCP.  - For the change from DAPS PDCP to the normal PDCP, UE releases the ciphering function, integrity protection function associated to the released RLC entity. FFS how RoHC is handled  - PDCP status report is triggered when UL switching occurs (from MAC to RRC to PDCP). Since PDCP has switched to target, it is transmitted to target only.  FFS whether PDCP status report is triggered when upper layer requests a PDCP reconfiguration with source protocol release.  - The state variables control the transmission and reception operation should not be reset and the timers including t-Reordering and discardTimer keeps running during PDCP reconfiguration procedure  - UE establishes PDCP entity for SRBs associated to the target node upon receiving DAPS HO command. UE does not re-establish PDCP entities for source SRBs during DAPS HO.  - Once HO command is successfully received, UE can switch the RRC protocol signaling processing towards the target cell to receive any further RRC messages.  - The UE releases the source SRB resources, security configuration of the source cell and stops DL/UL reception/transmission with source upon receiving explicit release from target node.  - No changes to RRM during handover due to DAPS HO. (No changes needed to running CR).  - After receiving HO command (RRCConnectionReconfiguration with mobility control info) from source cell, UE stops system information updates, short messages (for NR), paging, ETWS, CMAS reception for the source cell.  - The UE re-starts system information updates, paging, short messages (for NR), ETWS, CMAS in source cell once resuming the connection to source successfully when target cell is failed.  - Confirm working assumption on per-DRB DAPS.  - DRB not configured for DAPS is handled same way as in legacy HO.  FFS how to handle the fallback to source cell when target cell fails.  RAN2#107-bis:  Security handling:  - During RUDI HO with DAPS, the end-marker packet to differentiate the security keys is not needed.  - For DRBs, UE derives the security keys for the target cell and configures the lower layer associated to the target cell to apply the security keys/algorithms upon reception of HO command, while maintaining the security keys/configuration of the source cell. FFS whether the same process can be applied to SRBs.  - For DRBs, UE releases the security keys/configuration of the source cell along with the release of source protocol.  - For DL and UL data transfer, UE uses the security keys and algorithms of the source cell and the target cell in parallel from HO successful completion to source cell release.  ROHC handling:  - If drb-ContinueROHC is not configured, UE has two separate ROHC instances, one for the source cell and the other for the target cell.  • UE uses one ROHC compressor instance for UL data transfer;  • UE uses two ROHC decompressor instances for DL data transfer.  - UE is allowed to transmit the ROHC feedback through the source cell UL if there is DL data on-going from the source cell.  - The potential ROHC failure issues in DL and UL (if they are valid) are addressed by UE/network implementation without spec impact.  - *drb-ContinueROHC* is not supported for DAPS in Rel-16.  Single PDCP entity supporting DAPS:  - The single PDCP entity for DAPS is modelled to have separate security/ROHC functions in the specification.  - At the UE side for DRB, the normal PDCP entity is changed to the single PDCP entity supporting DAPS upon reception of HO command; the single PDCP entity supporting DAPS is changed to normal PDCP entity upon release of the source cell.  - The change between the normal PDCP entity and the single PDCP entity supporting DAPS need to be captured in both RRC and PDCP. FFS on how to capture.  Working assumption: DAPS configuration per DRB is agreed as working assumption as long as the specification impact is small.  - FFS whether and what will specify UDC for RUDI HO. Papers proposing to support UDC during RUDI HO should provide details for the support.  - RAN2 adopts DAPS HO as the feature name used in all running CRs and LSs.  - For each DRB configured with DAPS, upon reception of handover command with DAPS, UE establishes a RLC entity, MAC entity and an associated DTCH logical channel for the target cell. UE keeps the RLC bearer configuration for the source cell.  - For DRBs, upon reception of handover command with DAPS, UE reconfigures the PDCP entity for DAPS instead of performing PDCP re-establishment.  - Upon reception of handover command with DAPS, UE associates the RLC entities with the security configurations and the ROHC profiles of PDCP configured by the source cell and the target cell respectively.  - Upon release of the source cell, UE releases the physical channel configuration; reset MAC of the source cell and release the source MAC configuration; release all RLC entities and logical channels associated to the source cell.  Working assumption: RLC UM with PDCP SN number continuity is supported for DAPS. We do not attempt to make RLC UM lossless by introducing RLC AM mechanisms.  => Proponents should bring CRs for this to next meeting.  - UE switches the UL PDCP data transmission upon successful RACH procedure (Msg2 for CFRA or Msg4 for CBRA).  - The UE keeps the UL HARQ (re)transmission of the source link after UL data transmission switching to the target eNB.  - When an uplink grant indicating the HARQ new transmission is received in the source link after UL data switching, the UE is expected to perform the corresponding UL transmission accordingly.  - During Rel-16 RUDI handover, the UE only supports two links (i.e. the source MCG link and the target MCG link).  Agreements for NR: RACHless applicability can be discused after procedure has progressed more.  Agreements for NR: FFS if Msg.B for 2-step RACH works the same.  => RLC is discussed separately.  - T304 is reused to determine the DAPS handover failure.  - When the DAPS handover fails, the UE report the DAPS handover failure via the source link without triggering RRC connection re-establishment if the source link is still available (i.e. RLF is not declared).  - When the DAPS handover fails, the UE resumes the DRB data transmission via the source link if the source link is still available.  - Before the successful completion of the RACH to the target cell, the UE keeps the source link failure detection.  - Before the successful completion of the RACH to the target cell, when the source link fails, the UE releases the source link (but not source RRC configuration which may be used for re-establishment) and stops any data transmission or reception via the source link.  - After the successful completion of the RACH to the target cell and before the release of the source link, the UE does not keep the source link failure detection of the source link.  - As the legacy handover, the UE continues the RACH to the target cell before the DAPS handover failure is claimed, even though the target MAC entity indicates the random access problem.  - After the successful completion of RACH to the target cell, the target link RLM is the same as the legacy UE  - After the target cell RACH completion and before the release of the source cell, when the target link fails, the UE triggers RRC connection re-establishment.  - If both the handover/target link failure and the source link failure occur, the UE triggers RRC connection re-establishment.  - The UE has only one RRC state/entity.  - If capability coordination is used, source and target cell configurations ensure UE capabilities are not exceeded (like now).  - If UE capabilities are exceeded, UE behaviour is unspecified.  - FFS if we specify behaviour for specific capabilities (e.g. UL tx power) or fallback to legacy handover (given that UE doesn’t know whether network uses capability coordination). Will diucss these based on company contributions.  - DAPS HO supports having RRC message(s) containing configuration from source cell and target cell. FFS whether this is done with 1 or 2 RRC messages.  RAN2#107:  Reconfirm the following understanding on DAPS  - For DAPS DL transmission/reception operation:  • The source eNB and the target eNB perform header compression, ciphering and add PDCP header separately;  • UE performs deciphering and header decompression for the DL PDCP SDUs received from the source eNB and target eNB separately; stores those PDCP SDUs in the common PDCP reception buffer and performs PDCP reordering; and then delivers the PDCP SDUs to upper layers in ascending order.  - single UL new PUSCH data transmission as baseline and UE switches UL data transmission (new and unacknowledged PDCP SDUs) to target gNB upon reception of the first UL grant for data transmission from the target gNB after RA procedure towards the target gNB is successfully completed.  - As described in single UL new data transmission solution: For the DL data transmission, the UE continues to provide HARQ ACK/NACK, other CSI kind of feedback, ARQ ACK/NACK to the source eNB before release of the source cell connection.  FFS whether UL HARQ retransmissions continue  FFS whether RoHC feedback is needed  - We do not restrict UP specifications without clear reason (e.g. BSR, PHR, etc.).  - UE shall be able to send UL PUSCH user plane data to source eNB until the point when the message including RRC Connection Reconfiguration Complete has been successfully transmitted to target eNB.  - Rel-15 PDCP duplication via DC (from HRLLC WID) is not supported in combination with DAPS during handover.  - For UL transmission operation during DAPS based HO.  • UE maintains PDCP SN for UL PDCP PDUs in the common SN allocation function throughout the handover procedure;  • Performs header compression and ciphering for the UL PDCP SDUs based on the destination of the PDU (source or target eNB);  • Adds PDCP header and submits the PDCP date PDU to the lower layers associated to the destination of the PDU (source or target eNB);  - The PDCP entity is associated with two AM RLC entities at the UE side.  RAN2#106:  - We will not specify single active protocol stack solution (option 0/1/2).  - We will specify dual active with specified capability coordination that does not have to be utilized by the network. FFS how/whether we will specify the rules for UE when capability coordination is not utilized and UE capabilities are exceeded (we may leave this up to UE implementation).  RAN2#105bis:  - Any solution that is specified will be modelled as a single PDCP entity on UE side.  RAN2#105:  - Specify the ”non-split bearer” solution candidate for the Rel-16 E-UTRA enhancements minimizing the interruption time during mobility.  - Agree the following common aspects for “non-split bearer” solution candidate:  a. PDCP SN assignment (for DL) is done at source eNB. PDCP SDUs and the SN assigned to each SDU are then forwarded to target eNB. Details of how SN information is transferred is FFS.  b. RoHC and remaining PDCP functions (e.g. ciphering, PDCP PDU creation) are executed separately at each network node  c. The UE procedure when UE detaches from the source cell is explicitly defined in the specifications (e.g. via procedural text and/or via dedicated message/indication.).  d. In case of two active protocol stacks, a separate security key is used for each of the protocol stacks.  - RAN2 is asked to work further on the details of the following open issues:  a. When detaching from the source shall occur and whether it has to be separately considered from the UE’s and NW’s side  b. Whether data forwarding is done “late” or “early”. Consider potential combination with CHO and how SN Status transfer is done and how HFN is handled.  RAN2#104:  - We will prioritize solutions for LTE/EPC in this WID. Can discuss LTE/5GC support based on Stage-3 details.  - Do not consider solutions for handover between LTE/EPC and LTE/5GC. | | | | | | | | |
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| ***Consequences if not approved:*** | | Rel-16 mobility enhancements will not be supported. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 3.2, 5.3.5, 5.3.5.6, 5.3.5.x (new), 5.3.7, 5.3.8, 5.3.10, 5.3.11.1, 5.3.11.3, 5.5.2, 5.5.4, 5.6.21, 6.2.2, 6.3.4, 6.3.5, 7.1 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS 36.300 CR ...  TS 36.306 CR …  TS 36.321 CR ...  TS 36.322 CR …  TS 36.323 CR … | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |

Beginning of changes

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1], TS 36.300 [9] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1] or TS 36.300 [9].

1xRTT CDMA2000 1x Radio Transmission Technology

AB Access Barring

ACDC Application specific Congestion control for Data Communication

ACK Acknowledgement

AILC Assistance Information bit for Local Cache

AM Acknowledged Mode

ANDSF Access Network Discovery and Selection Function

ARQ Automatic Repeat Request

AS Access Stratum

ASN.1 Abstract Syntax Notation One

AUL Autonomous Uplink

BCCH Broadcast Control Channel

BCD Binary Coded Decimal

BCH Broadcast Channel

BL Bandwidth reduced Low complexity

BLER Block Error Rate

BR Bandwidth Reduced

BR-BCCH Bandwidth Reduced Broadcast Control Channel

CA Carrier Aggregation

CBR Channel Busy Ratio

CCCH Common Control Channel

CCO Cell Change Order

CE Coverage Enhancement

CG Cell Group

CHO Conditional Handover

CIoT Cellular IoT

CMAS Commercial Mobile Alert Service

CP Control Plane

CP-EDT Control Plane EDT

C-RNTI Cell RNTI

CRS Cell-specific Reference Signal

CSFB CS fallback

CSG Closed Subscriber Group

CSI Channel State Information

DAPS Dual Active Protocol Stack

DC Dual Connectivity

DCCH Dedicated Control Channel

DCI Downlink Control Information

DCN Dedicated Core Networks

DFN Direct Frame Number

DL Downlink

DL-SCH Downlink Shared Channel

DRB (user) Data Radio Bearer

DRX Discontinuous Reception

DTCH Dedicated Traffic Channel

EAB Extended Access Barring

eDRX Extended DRX

EDT Early Data Transmission

EHPLMN Equivalent Home Public Land Mobile Network

eIMTA Enhanced Interference Management and Traffic Adaptation

ENB Evolved Node B

EN-DC E-UTRA NR Dual Connectivity with E-UTRAN connected to EPC

EPC Evolved Packet Core

EPDCCH Enhanced Physical Downlink Control Channel

EPS Evolved Packet System

ETWS Earthquake and Tsunami Warning System

E-UTRA Evolved Universal Terrestrial Radio Access

E-UTRA/5GC E-UTRA connected to 5GC

E-UTRA/EPC E-UTRA connected to EPC

E-UTRAN Evolved Universal Terrestrial Radio Access Network

FDD Frequency Division Duplex

FFS For Further Study

GERAN GSM/EDGE Radio Access Network

GNSS Global Navigation Satellite System

G-RNTI Group RNTI

GSM Global System for Mobile Communications

HARQ Hybrid Automatic Repeat Request

HFN Hyper Frame Number

HPLMN Home Public Land Mobile Network

HRPD CDMA2000 High Rate Packet Data

HSDN High Speed Dedicated Network

H-SFN Hyper SFN

IDC In-Device Coexistence

IE Information element

IMEI International Mobile Equipment Identity

IMSI International Mobile Subscriber Identity

IoT Internet of Things

ISM Industrial, Scientific and Medical

kB Kilobyte (1000 bytes)

L1 Layer 1

L2 Layer 2

L3 Layer 3

LAA Licensed-Assisted Access

LWA LTE-WLAN Aggregation

LWAAP LTE-WLAN Aggregation Adaptation Protocol

LWIP LTE-WLAN Radio Level Integration with IPsec Tunnel

MAC Medium Access Control

MBMS Multimedia Broadcast Multicast Service

MBSFN Multimedia Broadcast multicast service Single Frequency Network

MCG Master Cell Group

MCOT Maximum Channel Occupancy Time

MCPTT Mission Critical Push To Talk

MDT Minimization of Drive Tests

MIB Master Information Block

MO Mobile Originating

MPDCCH MTC Physical Downlink Control Channel

MRB MBMS Point to Multipoint Radio Bearer

MR-DC Multi-Radio Dual Connectivity

MRO Mobility Robustness Optimisation

MSI MCH Scheduling Information

MT Mobile Terminating

MTSI Multimedia Telephony Service for IMS

MUST MultiUser Superposition Transmission

N/A Not Applicable

NACC Network Assisted Cell Change

NAICS Network Assisted Interference Cancellation/Suppression

NAS Non Access Stratum

NB-IoT NarrowBand Internet of Things

NE-DC NR E-UTRA Dual Connectivity

(NG)EN-DC E-UTRA NR Dual Connectivity (i.e. covering both EN-DC and NGEN-DC)

NGEN-DC E-UTRA NR Dual Connectivity with E-UTRAN connected to 5GC

NPBCH Narrowband Physical Broadcast channel

NPDCCH Narrowband Physical Downlink Control channel

NPDSCH Narrowband Physical Downlink Shared channel

NPRACH Narrowband Physical Random Access channel

NPSS Narrowband Primary Synchronization Signal

NPUSCH Narrowband Physical Uplink Shared channel

NR NR Radio Access

NRS Narrowband Reference Signal

NSSAI Network Slice Selection Assistance Information

NSSS Narrowband Secondary Synchronization Signal

OS OFDM Symbol

P2X Pedestrian-to-Everything

PCCH Paging Control Channel

PCell Primary Cell

PDCCH Physical Downlink Control Channel

PDCP Packet Data Convergence Protocol

PDU Protocol Data Unit

PLMN Public Land Mobile Network

PMK Pairwise Master Key

PO Paging Occasion

posSIB Positioning SIB

ProSe Proximity based Services

PS Public Safety (in context of sidelink), Packet Switched (otherwise)

PSCell Primary Secondary Cell

PSK Pre-Shared Key

PTAG Primary Timing Advance Group

PUCCH Physical Uplink Control Channel

QCI QoS Class Identifier

QoE Quality of Experience

QoS Quality of Service

RACH Random Access CHannel

RAI Release Assistance Indication

RAT Radio Access Technology

RB Radio Bearer

RCLWI RAN Controlled LTE-WLAN Integration

RLC Radio Link Control

RMTC RSSI Measurement Timing Configuration

RN Relay Node

RNA RAN-based Notification Area

RNAU RAN-based Notification Area Update

RNTI Radio Network Temporary Identifier

ROHC RObust Header Compression

RPLMN Registered Public Land Mobile Network

RRC Radio Resource Control

RSCP Received Signal Code Power

RSRP Reference Signal Received Power

RSRQ Reference Signal Received Quality

RSS Resynchronisation signal

RSSI Received Signal Strength Indicator

SAE System Architecture Evolution

SAP Service Access Point

SBAS Satellite Based Augmentation System

SC Sidelink Control

SCell Secondary Cell

SCG Secondary Cell Group

SC-MRB Single Cell MRB

SC-RNTI Single Cell RNTI

SD-RSRP Sidelink Discovery Reference Signal Received Power

SFN System Frame Number

SI System Information

SIB System Information Block

SI-RNTI System Information RNTI

SL Sidelink

SLSS Sidelink Synchronisation Signal

SMC Security Mode Control

SPDCCH Short PDCCH

SPS Semi-Persistent Scheduling

SPT Short Processing Time

SPUCCH Short PUCCH

SR Scheduling Request

SRB Signalling Radio Bearer

S-RSRP Sidelink Reference Signal Received Power

SSAC Service Specific Access Control

SSTD SFN and Subframe Timing Difference

STAG Secondary Timing Advance Group

S-TMSI SAE Temporary Mobile Station Identifier

STTI Short TTI

TA Tracking Area

TAG Timing Advance Group

TDD Time Division Duplex

TDM Time Division Multiplexing

TM Transparent Mode

TPC-RNTI Transmit Power Control RNTI

T-RPT Time Resource Pattern of Transmission

TTI Transmission Time Interval

TTT Time To Trigger

UDC Uplink Data Compression

UE User Equipment

UICC Universal Integrated Circuit Card

UL Uplink

UL-SCH Uplink Shared Channel

UM Unacknowledged Mode

UP User Plane

UP-EDT User Plane EDT

UTC Coordinated Universal Time

UTRAN Universal Terrestrial Radio Access Network

V2X Vehicle-to-Everything

VoLTE Voice over Long Term Evolution

WLAN Wireless Local Area Network

WT WLAN Termination

WUS Wake-up Signal

In the ASN.1, lower case may be used for some (parts) of the above abbreviations e.g. c-RNTI.

Next change

### 5.3.5 RRC connection reconfiguration

#### 5.3.5.1 General



Figure 5.3.5.1-1: RRC connection reconfiguration, successful



Figure 5.3.5.1-2: RRC connection reconfiguration, failure

The purpose of this procedure is to modify an RRC connection, e.g. to establish/ modify/ release RBs, to perform handover, to setup/ modify/ release measurements, to add/ modify/ release SCells. As part of the procedure, NAS dedicated information may be transferred from E-UTRAN to the UE.

#### 5.3.5.2 Initiation

E-UTRAN may initiate the RRC connection reconfiguration procedure to a UE in RRC\_CONNECTED. E-UTRAN applies the procedure as follows:

- the *mobilityControlInfo* is included only when AS-security has been activated, and SRB2 with at least one DRB are setup and not suspended;

- the establishment of RBs (other than SRB1, that is established during RRC connection establishment) is included only when AS security has been activated;

- the addition of SCells is performed only when AS security has been activated;

- the addition, release or modification of conditional configurations (conditional handover) is performed only when AS security has been activated, and SRB2 with at least one DRB are setup and not suspended;

The UE initiates the RRC connection reconfiguration procedure while in RRC\_CONNECTED when a conditional reconfiguration (e.g. CHO) is executed i.e. upon the fulfilment of an execution condition, an associated *RRCConnectionReconfiguration* that is stored is applied.

#### 5.3.5.3 Reception of an *RRCConnectionReconfiguration* not including the *mobilityControlInfo* by the UE

If the *RRCConnectionReconfiguration* message does not include the *mobilityControlInfo* and theUE is able to comply with the configuration included in this message, the UE shall:

1> if the received *RRCConnectionReconfiguration* includes the *daps-SourceRelease*:

2> reset source MCG MAC and release the source MCG MAC configuration;

2> for each DRB with a DAPS PDCP entity:

3> re-establish the RLC entity for the source PCell;

3> release the RLC entity and the associated DTCH logical channel for the source PCell;

3> reconfigure the DAPS PDCP entity to normal PDCP associated to the target PCell, as specified in TS 36.323 [8];

2> for each SRB:

3> release the PDCP entity for the source PCell;

3> release the RLC entity and the associated DCCH logical channel for the source PCell;

2> release the physical channel configuration for the source PCell;

1> if this is the first *RRCConnectionReconfiguration* message after successful completion of the RRC connection re-establishment procedure:

2> re-establish PDCP for SRB2 configured with E-UTRA PDCP entity and for all DRBs that are established and configured with E-UTRA PDCP, if any;

2> re-establish RLC for SRB2 and for all DRBs that are established and configured with E-UTRA RLC, if any;

2> if the *RRCConnectionReconfiguration* message includes the *fullConfig*:

3> perform the radio configuration procedure as specified in 5.3.5.8;

2> if the *RRCConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:

3> perform the radio resource configuration procedure as specified in 5.3.10;

NOTE 1: Void

NOTE 2: Void

1> else:

2> if the *RRCConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:

3> perform the radio resource configuration procedure as specified in 5.3.10;

NOTE 3: If the *RRCConnectionReconfiguration* message includes the establishment of radio bearers other than SRB1, the UE may start using these radio bearers immediately, i.e. there is no need to wait for an outstanding acknowledgment of the *SecurityModeComplete* message.

1> if the received *RRCConnectionReconfiguration* includes the *sCellToReleaseList*:

2> perform SCell release as specified in 5.3.10.3a;

1> if the received *RRCConnectionReconfiguration* includes the *sCellToAddModList*:

2> perform SCell addition or modification as specified in 5.3.10.3b;

1> if the received *RRCConnectionReconfiguration* includes the *sCellGroupToReleaseList*:

2> perform SCell group release as specified in 5.3.10.3d;

1> if the received *RRCConnectionReconfiguration* includes the *sCellGroupToAddModList*:

2> perform SCell group addition or modification as specified in 5.3.10.3e;

1> if the received *RRCConnectionReconfiguration* includes the *scg-Configuration*; or

1> if the current UE configuration includes one or more split DRBs configured with *pdcp-Config* and the received *RRCConnectionReconfiguration* includes *radioResourceConfigDedicated* including *drb-ToAddModList*:

2> perform SCG reconfiguration as specified in 5.3.10.10;

1> if the received *RRCConnectionReconfiguration* includes the *nr-Config* and it is set to *release*: or

1> if the received *RRCConnectionReconfiguration* includes *endc-ReleaseAndAdd* and it is set to *TRUE*:

2> perform MR-DC release as specified in TS 38.331 [82], clause 5.3.5.10;

1> if the received *RRCConnectionReconfiguration* includes the *sk-Counter*:

2> perform key update procedure as specified in TS 38.331 [82], clause 5.3.5.7;

1> if the received *RRCConnectionReconfiguration* includes the *nr-SecondaryCellGroupConfig*:

2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3;

1> if the received *RRCConnectionReconfiguration* includes the *nr-RadioBearerConfig1*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> if the received *RRCConnectionReconfiguration* includes the *nr-RadioBearerConfig2*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> if this is the first *RRCConnectionReconfiguration* message after successful completion of the RRC connection re-establishment procedure:

2> resume SRB2 and all DRBs that are suspended, if any, including RBs configured with NR PDCP;

NOTE 4: The handling of the radio bearers after the successful completion of the PDCP re-establishment, e.g. the re-transmission of unacknowledged PDCP SDUs (as well as the associated status reporting), the handling of the SN and the HFN, is specified in TS 36.323 [8].

NOTE 5: The UE may discard SRB2 messages and data that it receives prior to completing the reconfiguration used to resume these bearers.

1> if the received *RRCConnectionReconfiguration* includes the *systemInformationBlockType1Dedicated*:

2> perfom the actions upon reception of the *SystemInformationBlockType1* message as specified in 5.2.2.7*;*

1> if the received *RRCConnectionReconfiguration* includes the *systemInformationBlockType2Dedicated*:

2> perfom the actions upon reception of the *SystemInformationBlockType2* message as specified in 5.2.2.9;

1> if the *RRCConnectionReconfiguration* message includes the *dedicatedInfoNASList*:

2> forward each element of the *dedicatedInfoNASList* to upper layers in the same order as listed;

1> if the *RRCConnectionReconfiguration* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;

1> if the *RRCConnectionReconfiguration* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.10.9;

1> if the *RRCConnectionReconfiguration* message includes the *sl-DiscConfig* or *sl-CommConfig*:

2> perform the sidelink dedicated configuration procedure as specified in 5.3.10.15;

1> if the *RRCConnectionReconfiguration* message includes the *sl-V2X-ConfigDedicated*:

2> perform the V2X sidelink communication dedicated configuration procedure as specified in 5.3.10.15a;

1> if the *RRCConnectionReconfiguration* message includes *wlan-OffloadInfo*:

2> perform the dedicated WLAN offload configuration procedure as specified in 5.6.12.2;

1> if the *RRCConnectionReconfiguration* message includes *rclwi-Configuration*:

2> perform the WLAN traffic steering command procedure as specified in 5.6.16.2;

1> if the *RRCConnectionReconfiguration* message includes *lwa-Configuration*:

2> perform the LWA configuration procedure as specified in 5.6.14.2;

1> if the *RRCConnectionReconfiguration* message includes *lwip-Configuration*:

2> perform the LWIP reconfiguration procedure as specified in 5.6.17.2;

1> upon RRC connection establishment, if UE does not need UL gaps during continuous uplink transmission:

2> configure lower layers to stop using UL gaps during continuous uplink transmission in FDD for *RRCConnectionReconfigurationComplete* message and subsequent uplink transmission in RRC\_CONNECTED except for UL transmissions as specified in TS36.211 [21];

1> if the *RRCConnectionReconfiguration* message includes the *conditionalReconfiguration*:

2> perform conditional reconfiguration as specified in 5.3.5.x;

NOTE 6: In case of conditional reconfiguration the text “if the received *RRCConnectionReconfiguration. . .*” corresponds to applying the stored *RRCConnectionReconfiguration* message (according to 5.3.5.x.4).

1> set the content of *RRCConnectionReconfigurationComplete* message as follows:

2> if the *RRCConnectionReconfiguration* message includes *perCC-GapIndicationRequest*:

3> include *perCC-GapIndicationList* and *numFreqEffective*;

2> if the frequencies are configured for reduced measurement performance:

3> include *numFreqEffectiveReduced*;

2> if the received *RRCConnectionReconfiguration* message included *nr-SecondaryCellGroupConfig*:

3> include *scg-ConfigResponseNR* in accordance with TS 38.331 [82], clause 5.3.5.3;

1> if the UE is configured with NE-DC:

2> transfer the *RRCConnectionReconfigurationComplete* message via SRB1 embedded in NR RRC message *RRCReconfigurationComplete* as specified in TS 38.331 [82];

1> else:

2> submit the *RRCConnectionReconfigurationComplete* message to lower layers for transmission using the new configuration, upon which the procedure ends;

#### 5.3.5.4 Reception of an *RRCConnectionReconfiguration* including the *mobilityControlInfo* by the UE (handover)

If the *RRCConnectionReconfiguration* message includes the *mobilityControlInfo* and theUE is able to comply with the configuration included in this message, the UE shall:

1> if *daps-HO* is not configured for any DRB:

2> stop timer T310, if running;

2> stop timer T312, if running;

1> start timer T304 with the timer value set to *t304,* as included in the *mobilityControlInfo*;

1> stop timer T370, if running;

1> if the *carrierFreq* is included:

2> consider the target PCell to be one on the frequency indicated by the *carrierFreq* with a physical cell identity indicated by the *targetPhysCellId*;

1> else:

2> consider the target PCell to be one on the frequency of the source PCell with a physical cell identity indicated by the *targetPhysCellId*;

1> if T309 is running:

2> stop timer T309 for all access categories;

2> perform the actions as specified in 5.3.16.4.

1> start synchronising to the DL of the target PCell;

NOTE 1: The UE should perform the handover as soon as possible following the reception of the RRC message triggering the handover, which could be before confirming successful reception (HARQ and ARQ) of this message.

1> if BL UE or UE in CE:

2> if *sameSFN-Indication* is not present in *mobilityControlInfo*:

3> acquire the *MasterInformationBlock* in the target PCell;

1> if *makeBeforeBreak* is configured:

2> perform the remainder of this procedure including and following resetting MAC after the UE has stopped the uplink transmission/downlink reception with the source PCell;

NOTE 1a: It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source PCell to initiate re-tuning for connection to the target cell, as specified in TS 36.133 [16], if *makeBeforeBreak* is configured.

NOTE 1b: It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source SCell(s) after receiving *RRCConnectionReconfiguration* message.

1> if *daps-HO* is configured for any DRB:

2> establish a MAC entity for the target PCell, with the same configuration as the MAC entity for the source PCell;

2> for each DRB configured with *daps-HO*:

3> establish an RLC entity and an associated DTCH logical channel for the target PCell, with the same configurations as for the source PCell;

3> reconfigure the PDCP entity to DAPS PDCP entity as specified in TS36.323 [8].

2> for each DRB not configured with *daps-HO*:

3> re-establish PDCP;

3> re-establish the RLC entity and associate it, and the associated DTCH logical channel, to the target PCell;

2> for each SRB:

3> establish a PDCP entity for the target PCell, with the same configuration as the PDCP entity for the source PCell;

3> establish an RLC entity and an associated DCCH logical channel for the target PCell, with the same configuration as for the source PCell;

3> suspend the SRBs for the source PCell;

1> else (if *daps-HO* is not configured):

2> reset MCG MAC and SCG MAC, if configured;

2> release *uplinkDataCompression*, if configured;

2> re-establish PDCP for all RBs configured with *pdcp-config* that are established;

NOTE 2: The handling of the radio bearers after the successful completion of the PDCP re-establishment, e.g. the re-transmission of unacknowledged PDCP SDUs (as well as the associated status reporting), the handling of the SN and the HFN, is specified in TS 36.323 [8].

NOTE 2a: At handover the *reestablishPDCP* flag will be set for all RBs configured with NR PDCP in *nr-RadioBearerConfig1* or *nr-RadioBearerConfig2* TS 38.331 [82] which will cause the PDCP entity to be re-established also for these RBs.

2> re-establish MCG RLC and SCG RLC, if configured, for all RBs that are established;

1> for each SCell configured for the UE other than the PSCell:

2> if the received *RRCConnectionReconfiguration* message includes *sCellState* for the SCell and indicates *activated*:

3> configure lower layers to consider the SCell to be in activated state;

2> else if the received *RRCConnectionReconfiguration* message includes *sCellState* for the SCell and indicates *dormant*:

3> configure lower layers to consider the SCell to be in dormant state;

2> else:

3> configure lower layers to consider the SCell to be in deactivated state;

1> apply the value of the *newUE-Identity* as the C-RNTI in the target MCG;

1> if the *RRCConnectionReconfiguration* message includes the *fullConfig*:

2> perform the radio configuration procedure as specified in 5.3.5.8;

1> configure lower layers in accordance with the received *radioResourceConfigCommon*;

1> if the received *RRCConnectionReconfiguration* message includes the *rach-Skip*:

2> configure lower layers to apply the *rach-Skip* for the target MCG, as specified in TS 36.213 [23] and 36.321 [6];

1> configure lower layers in accordance with any additional fields, not covered in the previous, if included in the received mobilityControlInfo;

1> if the received *RRCConnectionReconfiguration* includes the *sCellToReleaseList*:

2> perform SCell release as specified in 5.3.10.3a;

1> if the received *RRCConnectionReconfiguration* includes the *sCellGroupToReleaseList*:

2> perform SCell group release as specified in 5.3.10.3d;

1> if the received *RRCConnectionReconfiguration* includes the *scg-Configuration*; or

1> if the current UE configuration includes one or more split DRBs and the received *RRCConnectionReconfiguration* includes *radioResourceConfigDedicated* including *drb-ToAddModList*:

2> perform SCG reconfiguration as specified in 5.3.10.10;

1> if the *RRCConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:

2> perform the radio resource configuration procedure as specified in 5.3.10;

1> if the *securityConfigHO* (without suffix) is included in the *RRCConnectionReconfiguration*:

2> if the *keyChangeIndicator* received in the *securityConfigHO* is set to *TRUE*:

3> update the KeNB key based on the KASME key taken into use with the latest successful NAS SMC procedure, as specified in TS 33.401 [32];

2> else:

3> update the KeNB key based on the current KeNB or the NH, using the *nextHopChainingCount* value indicated in the *securityConfigHO*, as specified in TS 33.401 [32];

NOTE 2b: If the UE needs to update the S-KeNB key as specified in 5.3.10.10, the UE updates the S-KeNB after updating the KeNB key.

2> store the *nextHopChainingCount* value;

2> if the *securityAlgorithmConfig* is included in the *securityConfigHO*:

3> derive the KRRCint key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];

3> if connected as an RN:

4> derive the KUPint key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];

3> derive the KRRCenc key and the KUPenc key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];

2> else:

3> derive the KRRCint key associated with the current integrity algorithm, as specified in TS 33.401 [32];

3> if connected as an RN:

4> derive the KUPint key associated with the current integrity algorithm, as specified in TS 33.401 [32];

3> derive the KRRCenc key and the KUPenc key associated with the current ciphering algorithm, as specified in TS 33.401 [32];

2> configure lower layers to apply the integrity protection algorithm and the KRRCint key, i.e. the integrity protection configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

2> configure lower layers to apply the ciphering algorithm, the KRRCenc key and the KUPenc key, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

NOTE 2x: For a DRB configured for DAPS HO, the new ciphering algorithm and the KUPenc key is applied for traffic exchange between the UE and the target MCG while the old ciphering algorithm and KUPenc key is applied for traffic exchange between the UE and the source MCG.

1> else if the *securityConfigHO-v1530* is included in the *RRCConnectionReconfiguration*:

2> if the *nas-Container* is received:

3> forward the *nas-Container* to upper layers;

2> if the *keyChangeIndicator-r15* is received and is set to *TRUE*:

3> update the KeNB key based on the KAMF key, as specified in TS 33.501 [86];

2> else:

3> update the KeNB key based on the current KeNB or the NH, using the received *nextHopChainingCount-r15*, as specified in TS 33.501 [86];

2> store the *nextHopChainingCount-r15* value;

2> if the security*AlgorithmConfig-r15* is received:

3> derive the KRRCint key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];

3> derive the KRRCenc key and the KUPenc key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];

2> else:

3> derive the KRRCint key associated with the current integrity algorithm, as specified in TS 33.401 [32];

3> derive the KRRCenc key and the KUPenc key associated with the current ciphering algorithm, as specified in TS 33.401 [32];

1> if the received *RRCConnectionReconfiguration* includes the *nr-Config* and it is set to *release*; or

1> if the received *RRCConnectionReconfiguration* includes *endc-ReleaseAndAdd* and it is set to *TRUE*:

2> perform MR-DC release as specified in TS 38.331 [82], clause 5.3.5.10;

1> if the received *RRCConnectionReconfiguration* includes the *sk-Counter*:

2> perform key update procedure as specified in in TS 38.331 [82], clause 5.3.5.7;

1> if the received *RRCConnectionReconfiguration* includes the *nr-SecondaryCellGroupConfig*:

2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3.

1> if the received *RRCConnectionReconfiguration* includes the *nr-RadioBearerConfig1*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> if the received *RRCConnectionReconfiguration* includes the *nr-RadioBearerConfig2*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6.

1> if connected as an RN:

2> configure lower layers to apply the integrity protection algorithm and the KUPint key, for current or subsequently established DRBs that are configured to apply integrity protection, if any;

1> if the received *RRCConnectionReconfiguration* includes the *sCellToAddModList*:

2> perform SCell addition or modification as specified in 5.3.10.3b;

1> if the received *RRCConnectionReconfiguration* includes the *sCellGroupToAddModList*:

2> perform SCell group addition or modification as specified in 5.3.10.3e;

1> if the received *RRCConnectionReconfiguration* includes the *systemInformationBlockType1Dedicated*:

2> perfom the actions upon reception of the *SystemInformationBlockType1* message as specified in 5.2.2.7;

1> perform the measurement related actions as specified in 5.5.6.1;

1> if the *RRCConnectionReconfiguration* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;

1> release *reportProximityConfig* and clear any associated proximity status reporting timer;

1> if the *RRCConnectionReconfiguration* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.10.9;

1> if the *RRCConnectionReconfiguration* message includes the *sl-DiscConfig* or *sl-CommConfig*:

2> perform the sidelink dedicated configuration procedure as specified in 5.3.10.15;

1> if the *RRCConnectionReconfiguration* message includes *wlan-OffloadInfo*:

2> perform the dedicated WLAN offload configuration procedure as specified in 5.6.12.2;

1> if *handoverWithoutWT-Change* is not configured:

2> release the LWA configuration, if configured, as described in 5.6.14.3;

1> release the LWIP configuration, if configured, as described in 5.6.17.3;

1> if the *RRCConnectionReconfiguration* message includes *rclwi-Configuration*:

2> perform the WLAN traffic steering command procedure as specified in 5.6.16.2;

1> if the *RRCConnectionReconfiguration* message includes *lwa-Configuration*:

2> perform the LWA configuration procedure as specified in 5.6.14.2;

1> if the *RRCConnectionReconfiguration* message includes *lwip-Configuration*:

2> perform the LWIP reconfiguration procedure as specified in 5.6.17.2;

1> if the *RRCConnectionReconfiguration* message includes the *sl-V2X-ConfigDedicated* or *mobilityControlInfoV2X*:

2> perform the V2X sidelink communication dedicated configuration procedure as specified in 5.3.10.15a;

NOTE 2x: In case of conditional reconfiguration the text “if the received *RRCConnectionReconfiguration. . .*” corresponds to applying the stored *RRCConnectionReconfiguration* message (according to 5.3.5.x.4).

1> if the *RRCConnectionReconfiguration* message includes the *conditionalReconfiguration*:

2> perform conditional reconfiguration as specified in 5.3.5.x;

1> set the content of *RRCConnectionReconfigurationComplete* message as follows:

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

3> include *rlf-InfoAvailable*;

2> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and if T330 is not running:

3> include *logMeasAvailableMBSFN*;

2> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable*;

2> if the UE has Bluetooth logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include *logMeasAvailableBT*;

2> if the UE has WLAN logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include *logMeasAvailableWLAN*;

2> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

3> include *connEstFailInfoAvailable*;

2> if the *RRCConnectionReconfiguration* message includes *perCC-GapIndicationRequest*:

3> include *perCC-GapIndicationList* and *numFreqEffective*;

2> if the frequencies are configured for reduced measurement performance:

3> include *numFreqEffectiveReduced*;

2> if the UE has flight path information available:

3> include *flightPathInfoAvailable*;

2> if the received *RRCConnectionReconfiguration* message included *nr-SecondaryCellGroupConfig*:

3> include *scg-ConfigResponseNR* in accordance with TS 38.331 [82], clause 5.3.5.3;

1> submit the *RRCConnectionReconfigurationComplete* message to lower layers for transmission;

1> if MAC successfully completes the random access procedure; or

1> if MAC indicates the successful reception of a PDCCH transmission addressed to C-RNTI and if *rach-Skip* is configured:

2> stop timer T304;

2> if *daps-HO* is configured for any DRB:

3> stop timer T310, if running;

3> stop timer T312, if running;

3> for each DRB configured with DAPS PDCP trigger UL data switching, as specified in TS 36.323 [8];

2> release *rach-Skip*;

2> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the target PCell, if any;

2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the target PCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of the target PCell;

NOTE 3: Whenever the UE shall setup or reconfigure a configuration in accordance with a field that is received it applies the new configuration, except for the cases addressed by the above statements.

2> if the UE is configured to provide IDC indications:

3> if the UE has transmitted an *InDeviceCoexIndication* message during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*:

4> initiate transmission of the *InDeviceCoexIndication* message in accordance with 5.6.9.3;

2> if the UE is configured to provide power preference indications, overheating assistance information, SPS assistance information, delay budget report or maximum bandwidth preference indications:

3> if the UE has transmitted a *UEAssistanceInformation* message during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*:

4> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;

2> if *SystemInformationBlockType15* is broadcast by the PCell:

3> if the UE has transmitted a *MBMSInterestIndication* message during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*:

4> ensure having a valid version of *SystemInformationBlockType15* for the PCell;

4> determine the set of MBMS frequencies of interest in accordance with 5.8.5.3;

4> determine the set of MBMS services of interest in accordance with 5.8.5.3a;

4> initiate transmission of the *MBMSInterestIndication* message in accordance with 5.8.5.4;

2> if *SystemInformationBlockType18* is broadcast by the target PCell; and the UE transmitted a *SidelinkUEInformation* message indicating a change of sidelink communication related parameters relevant in target PCell (i.e. change of *commRxInterestedFreq* or *commTxResourceReq*, *commTxResourceReqUC* if *SystemInformationBlockType18* includes *commTxResourceUC-ReqAllowed* or *commTxResourceInfoReqRelay* if PCell broadcasts *SystemInformationBlockType19* including *discConfigRelay*) during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*; or

2> if *SystemInformationBlockType19* is broadcast by the target PCell; and the UE transmitted a *SidelinkUEInformation* message indicating a change of sidelink discovery related parameters relevant in target PCell (i.e. change of *discRxInterest* or *discTxResourceReq*, *discTxResourceReqPS* if *SystemInformationBlockType19* includes *discConfigPS* or *discRxGapReq* or *discTxGapReq* if the UE is configured with *gapRequestsAllowedDedicated* set to *true* or if the UE is not configured with *gapRequestsAllowedDedicated* and *SystemInformationBlockType19* includes *gapRequestsAllowedCommon*) during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*; or

2> if *SystemInformationBlockType21* is broadcast by the target PCell; and the UE transmitted a *SidelinkUEInformation* message indicating a change of V2X sidelink communication related parameters relevant in target PCell (i.e. change of *v2x-CommRxInterestedFreqList* or *v2x-CommTxResourceReq*) during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*:

3> initiate transmission of the *SidelinkUEInformation* message in accordance with 5.10.2.3;

2> remove all the entries within *VarConditionalReconfiguration*, if any;

2> for each *measId* of the source SpCell configuration, if the associated *reportConfig* is *condReconfigurationTrigger*:

3> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

3> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

3> if the *measObjectId* is only included in a *MeasIdToAddMod*:

4> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

2> the procedure ends;

NOTE 4: The UE is not required to determine the SFN of the target PCell by acquiring system information from that cell before performing RACH access in the target PCell, except for BL UEs or UEs in CE when *sameSFN-Indication* is not present in *mobilityControlInfo*.

Editor's Note: FFS How to handle possible differences for the cases where UE connects to 5GC or EPC.

Editor’s Note: The release of the SCG configuration at CHO remains to be captured.

Next change

#### 5.3.5.6 T304 expiry (handover failure)

If T304 expires (handover failure), the UE shall:

NOTE 1: Following T304 expiry any dedicated preamble, if provided within the *rach-ConfigDedicated*, is not available for use by the UE anymore.

1> if *daps-HO* is not configured for any DRB; or

1> if *daps-HO* is configured for at least one DRB and radio link failure has been detected for the source MCG in accordance with 5.3.11.3:

2> if *attemptCondReconf* is not configured:

3> revert back to the configuration used in the source PCell, excluding the configuration configured by the *physicalConfigDedicated*,the *mac-MainConfig* and the *sps-Config*;

2> else:

3> revert back to the configuration used in the source PCell;

NOTE 1a: In the context above, "the configuration" includes state variables and parameters of each radio bearer. PDCP entities associtated with RLC UM and SRB bearers are reset after the successful RRC connection re-establishment procedure according to clause 5.2 in TS 36.323 [8]. In the above, "the configuration" includes the RB configuration using NR PDCP, if configured (i.e. by *nr-RadioBearerConfig1* and *nr-RadioBearerConfig2*).

2> store the following handover failure information in *VarRLF-Report* by setting its fields as follows:

3> clear the information included in *VarRLF-Report*, if any;

3> set the *plmn-IdentityList* to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);

3> set the *measResultLastServCell* to include the RSRP and RSRQ, if available, of the source PCell based on measurements collected up to the moment the UE detected handover failure and in accordance with the following;

4> if the UE includes *rsrqResult*, include the *lastServCellRSRQ-Type*;

3> set the *measResultNeighCells* to include the best measured cells, other than the source PCell, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected handover failure, and set its fields as follows;

4> if the UE was configured to perform measurements for one or more EUTRA frequencies, include the *measResultListEUTRA*;

4> if the UE includes *rsrqResult*, include the *rsrq-Type*;

4> if the UE was configured to perform measurement reporting for one or more neighbouring UTRA frequencies, include the *measResultListUTRA*;

4> if the UE was configured to perform measurement reporting for one or more neighbouring GERAN frequencies, include the *measResultListGERAN*;

4> if the UE was configured to perform measurement reporting for one or more neighbouring CDMA2000 frequencies, include the *measResultsCDMA2000*;

4> for each neighbour cell included, include the optional fields that are available;

NOTE 2: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

3> if available, set the *logMeasResultListWLAN* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;

3> if available, set the *logMeasResultListBT* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;

3> if detailed location information is available, set the content of the *locationInfo* as follows:

4> include the *locationCoordinates*;

4> include the *horizontalVelocity*, if available;

3> set the *failedPCellId* to the global cell identity, if available, and otherwise to the physical cell identity and carrier frequency of the target PCell of the failed handover;

3> include *previousPCellId* and set it to the global cell identity of the PCell where the last *RRCConnectionReconfiguration* message including *mobilityControlInfo* was received;

3> set the *timeConnFailure* to the elapsed time since reception of the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo*;

3> set the *connectionFailureType* to '*hof*';

3> set the *c-RNTI* to the C-RNTI used in the source PCell;

2> initiate the connection re-establishment procedure as specified in 5.3.7, upon which the RRC connection reconfiguration procedure ends;

1> else (*daps-HO* is configured for any DRB and radio link failure has not been detected for the source MCG):

2> release the MAC entity for the target PCell;

2> for each DRB configured for DAPS HO:

3> re-establish the RLC entity for the target PCell;

3> release the RLC entity and the associated DTCH logical channel for the target PCell;

3> reconfigure the DAPS PDCP entity to normal PDCP as specified in TS 36.323 [8];

2> for each DRB not configured for DAPS HO:

3> revert back to the configuration used for the DRB in the source, including PDCP and RLC states and the security configuration;

Editor’s Note: The handling of non-DAPS DRBs at fallback to source cell is FFS.

2> resume the SRBs for the source PCell;

2> for each SRB for the target PCell:

3> release the PDCP entity for the target PCell;

3> release the RLC entity and the associated DCCH logical channel for the target PCell;

2> initiate the failure information procedure as specified in 5.6.21 to report a DAPS HO failure.

The UE may discard the handover failure information, i.e. release the UE variable *VarRLF-Report,* 48 hours after the failure is detected, upon power off or upon detach.

NOTE 3: E-UTRAN may retrieve the handover failure information using the UE information procedure with *rlf-ReportReq* set to *true*, as specified in 5.6.5.3.

Next change

#### 5.3.5.x Conditional reconfiguration

##### 5.3.5.x.1 General

The network configures the UE with conditional reconfiguration (i.e. conditional handover) including per candidate target cell an *RRCConnectionReconfiguration* to be stored and to only be applied upon the fulfilment of an associated execution condition.

The UE shall:

1> if the received *conditionalReconfiguration* includes the *condReconfigurationToRemoveList*:

2> perform the conditional reconfiguration removal procedure as specified in 5.3.5.x.2;

1> if the received *conditionalReconfiguration* includes the *condReconfigurationToAddModList*:

2> perform the conditional reconfiguration addition/modification procedure as specified in 5.3.5.x.3;

##### 5.3.5.x.2 Conditional reconfiguration removal

The UE shall:

1> for each *CondReconfigurationId* included in the *condReconfigurationToRemoveList* that is part of the current UE configuration in *VarConditionalReconfiguration*:

2> remove the entry with the matching *condReconfigurationId* from the *condReconfigurationList* within the *VarConditionalReconfiguration*.

##### NOTE: The UE does not consider the message as erroneous if the *condReconfigurationToRemoveList* includes any *CondReconfigurationId* value that is not part of the current UE configuration.5.3.5.x.3 Conditional reconfiguration addition/modification

The UE shall:

1> for each *condReconfigurationId* included in the *condReconfigurationToAddModList*:

2> if an entry with the matching *condReconfigurationId* exists in the *condReconfigurationList* within the *VarConditionalReconfiguration*:

3> replace the entry with the values received for this *condReconfigurationId*;

3> if the entry entry in *condReconfigurationToAddModList* includes a *triggerCondition*;

4> replace the entry with the value received for this *condReconfigurationId*

3> else:

4> keep the stored *triggerCondition* as the target candidate configuration for this *condReconfigurationId*;

3> if the entry entry in *condReconfigurationToAddModList* includes an *condReconfigurationToApply*;

4> replace the entry *condReconfigurationToApply* with the value received for this *condReconfigurationId*;

3> else:

4> keep the stored *condReconfigurationToApply* as the target candidate configuration for this *condReconfigurationId*;

2> else:

3> add a new entry for this *condReconfigurationId* within the *VarConditionalReconfiguration*;

3> store the associated *RRCConnectionReconfiguration* in *VarConditionalReconfiguration*;

2> perform conditional reconfiguration evaulation, as specified in 5.3.5.x.4;

##### 5.3.5.x.4 Conditional reconfiguration evaluation

If AS security has been activated successfully, the UE shall:

1> for each *condReconfigurationId* within the *VarConditionalReconfiguration*:

2> consider the cell which has a physical cell identity matching the value indicated in the *ServingCellConfigCommon* within *condReconfigurationToApply* to be an applicable cell;

2> for each *measId* included in the *measIdList* within *VarMeasConfig* indicated in the *triggerCondition* associated to *condReconfigurationId:*

3> if the entry condition(s) applicable for this event associated with the *condReconfigurationId*, i.e. the event corresponding with the *condEventId* of the corresponding *condReconfigurationTrigger* within *VarConditionalReconfiguration*, is fulfilled for the applicable cell for all measurements after layer 3 filtering taken during the corresponding *timeToTrigger* defined for this event within the *VarConditionalReconfiguration*:

4> consider the entry condition for the associated *measId* within *triggerCondition* as fulfilled;

3> if the leaving condition(s) applicable for this event associated with the *condReconfigurationId*, i.e. the event corresponding with the *condEventId(s)* of the corresponding *condReconfigurationTrigger* within *VarConditionalReconfiguration*, is fulfilled for the applicable cells for all measurements after layer 3 filtering taken during the corresponding *timeToTrigger* defined for this event within the *VarConditionalReconfiguration*:

4> consider the event associated to that *measId* to be not fulfilled;

2> if trigger conditions for all associated *measId*(s) within *triggerCondition* are fulfilled for all associated *measId*(s) in *triggerCondition*:

3> consider the target cell candidate within the stored *condReconfigurationToApply*, associated to that *condReconfigurationId*, as a triggered cell;

3> initiate the conditional reconfiguration execution, as specified in 5.3.5.x.5;

Editor’s note: FFS whether there are issues with configuration of different events (e.g. A3+A5) and how to handle the “and” of two triggering events in RRC.

##### 5.3.5.x.5 Conditional reconfiguration execution

The UE shall:

1> if more than one triggered cell exists:

2> select one of the triggered cells as the selected cell for conditional reconfiguration;

1> for the selected cell of conditional reconfiguration:

2> if the stored *condReconfigurationToApply* associated to the selected cell includes *mobilityControlInfo* (conditional handover):

3> apply the stored *condReconfigurationToApply* associated to that *condReconfigurationId* and perform the actions as specified in 5.3.5.4;

2> else:

3> apply the stored *condReconfigurationToApply* associated to that *condReconfigurationId* and perform the actions as specified in 5.3.5.3;

Next change

#### 5.3.7.3 Actions following cell selection while T311 is running

Upon selecting a suitable E-UTRA cell, the UE shall:

1> if T309 is running:

2> stop timer T309 for all access categories;

2> perform the actions as specified in 5.3.16.4.

1> if the UE is connected to 5GC and the selected cell is only connected to EPC; or

1> if the UE is connected to EPC and the selected cell is only connected to 5GC:

2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

1> else:

2> stop timer T311;

2> if *attemptCondReconf* is configured; and

2> if the selected cell is one of the target candidate cells in *VarConditionalReconfiguration*:

3> apply the stored *condReconfigurationToApply* of the selected cell and perform the actions as specified in 5.3.5.3;

3> remove all entries within *VarConditionalReconfiguration*;

2> else:

3> start timer T301;

3> apply the timeAlignmentTimerCommon included in SystemInformationBlockType2;

3> if the UE is a NB-IoT UE supporting RRC connection re-establishment for the Control Plane CIoT EPS optimisation and AS security has not been activated; and

3> if cp-reestablishment is not included in SystemInformationBlockType2-NB:

4> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

3> else:

4> initiate transmission of the *RRCConnectionReestablishmentRequest* message in accordance with 5.3.7.4;

NOTE: This procedure applies also if the UE returns to the source PCell.

Upon selecting an inter-RAT cell, the UE shall:

1> if the selected cell is a UTRA cell, and if the UE supports Radio Link Failure Report for Inter-RAT MRO, include *selectedUTRA-CellId* in the *VarRLF-Report* and set it to the physical cell identity and carrier frequency of the selected UTRA cell;

1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

Next change

### 5.3.8 RRC connection release

Editor’s Note: FFS How to handle stored CHO related configurations when the UE is released to IDLE e.g. delete stored configurations.

Next change

### 5.3.10 Radio resource configuration

[…]

#### 5.3.10.1 SRB addition/ modification

The UE shall:

1> if the UE is a NB-IoT UE and SRB1 is not established; or

1> for each *srb-Identity* value included in the *srb-ToAddModList* that is not part of the current UE configuration (SRB establishment):

2> if the UE is not a NB-IoT UE that only supports the Control Plane CIoT EPS optimisation:

3> apply the specified configuration defined in 9.1.2 for the corresponding SRB;

3> establish a primary (MCG) RLC entity in accordance with the received *rlc-Config*;

3> establish a primary (MCG) DCCH logical channel in accordance with the received *logicalChannelConfig* andwith the logical channel identity set in accordance with 9.1.2;

3> if the same *srb-Identity* is included in NR *srb-ToAddModList*:

4> after processing *nr-RadioBearerConfig1* and *nr-RadioBearerConfig2* if present in the *RRCConnectionReconfiguration* message which triggered the execution of the SRB addition/modification procedure, associate MCG RLC bearer with the NR PDCP entity associated with the same value of *srb-Identity* in the current UE configuraton as specified in TS 38.331 [82];

3> else:

4> establish a PDCP entity and configure it with the current (MCG) security configuration, if applicable;

3> if *rlc-BearerConfigSecondary* is received with value *setup*:

4> establish a secondary MCG RLC entity or entities and an associated DCCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;

4> configure the E-UTRA PDCP entity to activate duplication with *t-Reordering* set to *infinity*;

2> if the UE is a NB-IoT UE:

3> apply the specified configuration defined in 9.1.2 for SRB1bis;

3> establish an (MCG) RLC entity in accordance with the received *rlc-Config*;

3> establish a (MCG) DCCH logical channel in accordance with the received *logicalChannelConfig* andwith the logical channel identity set in accordance with 9.1.2.1a;

1> if the UE is a NB-IoT UE and SRB1 is established; or

1> for each *srb-Identity* value included in the *srb-ToAddModList* that is part of the current UE configuration (SRB reconfiguration):

2> if *pdcp-verChange* is included (i.e, NR PDCP to E-UTRA PDCP change):

3> establish an (E-UTRA) PDCP entity and configure it with the current (MCG) security configuration;

NOTE: The UE applies the LTE ciphering and integrity protection algorithms that are equivalent to the previously configured NR security algorithms.

3> associate the primary RLC bearer of this SRB with the established PDCP entity;

3> release the NR PDCP entity of this SRB;

2> reconfigure the primary RLC entity in accordance with the received *rlc-Config*;

2> reconfigure the primary DCCH logical channel in accordance with the received *logicalChannelConfig*;

2> if *rlc-BearerConfigSecondary* is included with value *release*:

3> release the secondary MCG RLC entity or entities as well as the associated DTCH logical channel;

2> if *rlc-BearerConfigSecondary* is received with value *setup*:

3> if the current SRB configuration does not include a secondary RLC bearer:

4> establish a secondary MCG RLC entity or entities and an associated DCCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;

4> configure the E-UTRA PDCP entity to activate duplication with *t-Reordering* set to *infinity*;

3> else:

4> reconfigure the secondary MCG RLC entity or entities and the associated DCCH logical channel in accordance with the received *rlc-BearerConfigSecondary*;

NOTE X: In case of SRB reconfiguration at a DAPS HO, the reconfiguration is applied to the entities/resources for the target PCell.

[…]

#### 5.3.10.3 DRB addition/ modification

The UE shall:

1> for each *drb-Identity* value included in the *drb-ToAddModList* that is not part of the current UE configuration (DRB establishment including the case when full configuration option is used):

2> if the concerned entry of *drb-ToAddModList* includes the *drb-TypeLWA* set to *TRUE* (i.e. add LWA DRB):

3> perform the LWA specific DRB addition or reconfiguration as specified in 5.3.10.3a2;

2> if the concerned entry of *drb-ToAddModList* includes the *drb-TypeLWIP* (i.e. add LWIP DRB):

3> perform LWIP specific DRB addition or reconfiguration as specified in 5.3.10.3a3;

2> else if *drb-ToAddModListSCG* is not received or does not include the *drb-Identity* value (i.e. add MCG DRB or MCG RLC bearer):

3> if *pdcp-Config* is received, establish a PDCP entity and configure it with the current MCG security configuration and in accordance with the received *pdcp-Config*;

3> if *rlc-Config* is received, establish a (primary) MCG RLC entity or entities in accordance with the received rlc-Config;

3> if *logicalChannelIdentity* and *logicalChannelConfig* are received, establish a (primary) MCG DTCH logical channel in accordance with the received *logicalChannelIdentity* and the received *logicalChannelConfig*;

3> if *rlc-BearerConfigSecondary* is received with value *setup*:

4> establish a secondary MCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *drb-Identity* within the current UE configuration;

3> if *pdcp-Config* is not received, after processing *nr-RadioBearerConfig1* and *nr-RadioBearerConfig2* if present in the *RRCConnectionReconfiguration* message which triggered the execution of the DRB addition/modification procedure, associate MCG RLC bearer with the NR PDCP entity associated with the same value of *drb-Identity* in the current UE configuration as specified in TS 38.331 [82];

2> if a DRB was configured with the same *eps-BearerIdentity* (fullConfig or change to E-UTRA PDCP):

3> associate the established DRB with corresponding included *eps-BearerIdentity*;

2> else if the entry of *drb-ToAddModList* includes *pdcp-config* (establishment of bearer with E-UTRA PDCP):

3> indicate the establishment of the DRB(s) and the *eps-BearerIdentity* of the established DRB(s) to upper layers;

1> for each *drb-Identity* value included in the *drb-ToAddModList* that is part of the current UE configuration (DRB reconfiguration):

2> if the DRB indicated by *drb-Identity* is an LWA DRB (i.e. LWA to LTE only or reconfigure LWA DRB):

3> perform the LWA specific DRB reconfiguration as specified in 5.3.10.3a2;

2> else if the concerned entry of *drb-ToAddModList* includes the *drb-TypeLWA* set to *TRUE* (i.e. LTE only to LWA DRB):

3> perform the LWA specific DRB reconfiguration as specified in 5.3.10.3a2;

2> if the concerned entry of *drb-ToAddModList* includes the *drb-TypeLWIP* (i.e. add or reconfigure LWIP DRB):

3> perform LWIP specific DRB addition or reconfiguration as specified in 5.3.10.3a3;

2> if *drb-ToAddModListSCG* is not received or does not include the *drb-Identity* value:

3> if the DRB indicated by *drb-Identity* is an MCG DRB or configured with MCG RLC bearer (reconfigure MCG RLC bearer or reconfigure MCG DRB):

4> if the *pdcp-Config* is included:

5> reconfigure the PDCP entity in accordance with the received *pdcp-Config*;

4> if the *rlc-Config* is included:

5> if *reestablishRLC* is received:

6> re-establish the primary RLC entity of this DRB;

6> if the *logicalChannelIdentity* is included and the DRB indicated by *drb-Identity* is configured with MCG RLC bearer (reconfigure logical channel identity of MCG RLC bearer):

7> reconfigure the primary DTCH logical channel identity in accordance with the received *logicalChannelIdentity*;

5> reconfigure the primary RLC entity or entities in accordance with the received *rlc-Config*;

4> if the *logicalChannelConfig* is included:

5> reconfigure the primary DTCH logical channel in accordance with the received *logicalChannelConfig*;

4> if *rlc-BearerConfigSecondary* is included with value *release*:

5> release the secondary MCG RLC entity or entities as well as the associated DTCH logical channel;

4> if *rlc-BearerConfigSecondary* is included with value *setup*;

5> if the current DRB configuration does not include a secondary RLC bearer:

6> establish a secondary MCG RLC entity or entities and an associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary* and associate these with the E-UTRA PDCP entity with the same value of *srb-Identity* within the current UE configuration;

5> else:

6> reconfigure the secondary MCG RLC entity or entities and the associated DTCH logical channel in accordance with the received *rlc-BearerConfigSecondary*;

NOTE: Removal and addition of DRB with *pdcp-Config* with the same *drb-Identity* in a single *radioResourceConfigDedicated* is not supported. In case *drb-Identity* is removed and added due to handover or re-establishment with the full configuration option, the eNB can use the same value of *drb-Identity*.

NOTE X: In case of DRB reconfiguration at a DAPS HO, the reconfiguration is applied to the entities/resources for the target PCell.

[…]

#### 5.3.10.4 MAC main reconfiguration

Except for NB-IoT, the UE shall:

1> if the procedure is triggered to perform SCG MAC main reconfiguration:

2> if SCG MAC is not part of the current UE configuration (i.e. SCG establishment):

3> create an SCG MAC entity;

2> reconfigure the SCG MAC main configuration as specified in the following i.e. assuming it concerns the SCG MAC whenever MAC main configuration is referenced and that it is based on the received *mac-MainConfigSCG* instead of *mac-MainConfig*:

1> reconfigure the MAC main configuration in accordance with the received *mac-MainConfig* other than *stag-ToReleaseList* and *stag-ToAddModList*;

1> if the received *mac-MainConfig* includes the *stag-ToReleaseList*:

2> for each *STAG-Id* value included in the *stag-ToReleaseList* that is part of the current UE configuration:

3> release the STAG indicated by *STAG-Id*;

1> if the received *mac-MainConfig* includes the *stag-ToAddModList*:

2> for each *stag-Id* value included in *stag-ToAddModList* that is not part of the current UE configuration (STAG addition):

3> add the STAG, corresponding to the *stag-Id*, in accordance with the received *timeAlignmentTimerSTAG*;

2> for each *stag-Id* value included in *stag-ToAddModList* that is part of the current UE configuration (STAG modification):

3> reconfigure the STAG, corresponding to the *stag-Id*, in accordance with the received *timeAlignmentTimerSTAG*;

NOTE: In case of MAC main reconfiguration at a DAPS HO, the reconfiguration is applied to the MAC entity for the target PCell.

For NB-IoT, the UE shall:

1> reconfigure the MAC main configuration in accordance with the received *mac-MainConfig*;

[…]

#### 5.3.10.6 Physical channel reconfiguration

Except for NB-IoT, the UE shall:

1> if the *antennaInfo-r10* is included in the received *physicalConfigDedicated* and the previous version of this field that was received by the UE was *antennaInfo* (without suffix i.e. the version defined in REL-8):

2> apply the default antenna configuration as specified in 9.2.4;

1> if the *cqi-ReportConfig-r10* is included in the received *physicalConfigDedicated* and the previous version of this field that was received by the UE was *cqi-ReportConfig* (without suffix i.e. the version defined in REL-8):

2> apply the default CQI reporting configuration as specified in 9.2.4;

NOTE: Application of the default configuration involves release of all extensions introduced in REL-9 and later.

1> reconfigure the physical channel configuration in accordance with the received *physicalConfigDedicated*;

1> if the *antennaInfo* is included and set to *explicitValue*:

2> if the configured *transmissionMode* is *tm1*, *tm2*, *tm5*, *tm6* or *tm7*; or

2> if the configured *transmissionMode* is *tm8* and *pmi-RI-Report* is not present; or

2> if the configured *transmissionMode* is *tm9* and *pmi-RI-Report* is not present; or

2> if the configured *transmissionMode* is *tm9* and *pmi-RI-Report* is present and *antennaPortsCount* within *csi-RS* is set to *an1*:

3> release *ri-ConfigIndex* in *cqi-ReportPeriodic*, if previously configured;

1> else if the *antennaInfo* is included and set to *defaultValue*:

2> release *ri-ConfigIndex* in *cqi-ReportPeriodic*, if previously configured;

1> if the *pusch-EnhancementsConfig* is included in the received *physicalConfigDedicated*, for the associated serving cell:

2> if PUSCH enhancement mode is previously released or not configured and *pusch-EnhancementsConfig* is set to *setup*, or

2> if PUSCH enhancement mode is previously configured and *pusch-EnhancementConfig* is set to *release*:

3> instruct the associated MAC entity to perform partial reset;

1> if the procedure was not triggered due to handover and *ce-Mode* is included in the received *physicalConfigDedicated*, for the associated serving cell:

2> if *ce-Mode* is not currently configured and *ce-Mode* is set to *setup*, or

2> if *ce-Mode* is currently configured and *ce-Mode* is set to *release*:

3> instruct the associated MAC entity to perform partial reset;

For NB-IoT, the UE shall:

1> if the *carrierConfigDedicated* is not included in the received *physicalConfigDedicated*:

2> if the UE is configured with a carrier configuration previously received in *carrierConfigDedicated*:

3> use the carrier configuration received in *carrierConfigDedicated*;

2> else:

3> use the carrier configuration received in system information for the uplink and downlink carrier used during the random access procedure;

1> else:

2> use the carrier configuration received in *carrierConfigDedicated*;

2> start to use the new carrier immediately after the last transport block carrying the RRC message has been acknowledged by the MAC layer, and any subsequent RRC response message sent for the current RRC procedure is therefore sent on the new carrier;

1> reconfigure the physical channel configuration in accordance with the received *physicalConfigDedicated*.

NOTE X: In case of physical channel reconfiguration at a DAPS HO, the reconfiguration is applied for the target PCell.

#### 5.3.10.7 Radio Link Failure Timers and Constants reconfiguration

The UE shall:

1> if the received *rlf-TimersAndConstants* is set to release:

2> use values for timers T301, T310, T311 and constants N310, N311, as included in *ue-TimersAndConstants* received in *SystemInformationBlockType2* (or *SystemInformationBlockType2-NB* in NB-IoT);

1> else:

2> reconfigure the value of timers and constants in accordance with received *rlf-TimersAndConstants*;

NOTE: In case of a DAPS HO, the timer and constant values are to be applied in the target PCell after timer T304 has been stopped.

1> if the received *rlf-TimersAndConstantsSCG* is set to release:

2> stop timer T313, if running, and

2> release the value of timer *t313* as well as constants *n313* and *n314*;

1> else:

2> reconfigure the value of timers and constants in accordance with received *rlf-TimersAndConstantsSCG*;

Next change

### 5.3.11 Radio link failure related actions

#### 5.3.11.1 Detection of physical layer problems in RRC\_CONNECTED

The UE shall:

1> upon receiving N310 consecutive "out-of-sync" indications for the PCell from lower layers while neither T300, T301, T304 nor T311 is running; or

1> if *daps-HO* is configured for any DRB, upon receiving N310 consecutive "out-of-sync" indications for the source PCell from lower layers while neither T300, T301 nor T311 is running:

2> start timer T310;

1> upon receiving N313 consecutive "out-of-sync" indications for the PSCell from lower layers while T307 is not running:

2> start T313;

NOTE: Physical layer monitoring and related autonomous actions do not apply to SCells except for the PSCell.

Next change

#### 5.3.11.3 Detection of radio link failure

The UE shall:

1> upon T310 expiry; or

1> upon T312 expiry; or

1> upon random access problem indication from MCG MAC while neither T300, T301, T304 nor T311 is running; or

1> upon indication from MCG RLC, which is allowed to be send on PCell, that the maximum number of retransmissions has been reached for an SRB or DRB:

2> consider radio link failure to be detected for the MCG i.e. RLF;

2> except for NB-IoT, store the following radio link failure information in the *VarRLF-Report* by setting its fields as follows:

3> clear the information included in *VarRLF-Report*, if any;

3> set the *plmn-IdentityList* to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);

3> set the *measResultLastServCell* to include the RSRP and RSRQ, if available, of the PCell based on measurements collected up to the moment the UE detected radio link failure;

3> set the *measResultNeighCells* to include the best measured cells, other than the PCell, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected radio link failure, and set its fields as follows;

4> if the UE was configured to perform measurements for one or more EUTRA frequencies, include the *measResultListEUTRA*;

4> if the UE was configured to perform measurement reporting for one or more neighbouring UTRA frequencies, include the *measResultListUTRA*;

4> if the UE was configured to perform measurement reporting for one or more neighbouring GERAN frequencies, include the *measResultListGERAN*;

4> if the UE was configured to perform measurement reporting for one or more neighbouring CDMA2000 frequencies, include the *measResultsCDMA2000*;

4> for each neighbour cell included, include the optional fields that are available;

NOTE 1: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

3> if available, set the *logMeasResultListWLAN* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;

3> if available, set the *logMeasResultListBT* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;

3> if detailed location information is available, set the content of the *locationInfo* as follows:

4> include the *locationCoordinates*;

4> include the *horizontalVelocity*, if available;

3> set the *failedPCellId* to the global cell identity, if available, and otherwise to the physical cell identity and carrier frequency of the PCell where radio link failure is detected;

3> set the *tac-FailedPCell* to the tracking area code, if available, of the PCell where radio link failure is detected;

3> if an *RRCConnectionReconfiguration* message including the *mobilityControlInfo* was received before the connection failure:

4> if the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo* concerned an intra E-UTRA handover:

5> include the *previousPCellId* and set it to the global cell identity of the PCell where the last *RRCConnectionReconfiguration* message including *mobilityControlInfo* was received;

5> set the *timeConnFailure* to the elapsed time since reception of the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo*;

4> if the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo* concerned a handover to E-UTRA from UTRA and if the UE supports Radio Link Failure Report for Inter-RAT MRO:

5> include the *previousUTRA-CellId* and set it to the physical cell identity, the carrier frequency and the global cell identity, if available, of the UTRA Cell in which the last *RRCConnectionReconfiguration* message including *mobilityControlInfo* was received;

5> set the *timeConnFailure* to the elapsed time since reception of the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo*;

3> if the UE supports QCI1 indication in Radio Link Failure Report and has a DRB for which QCI is 1:

4> include the *drb-EstablishedWithQCI-1*;

3> set the *connectionFailureType* to *rlf*;

3> set the *c-RNTI* to the C-RNTI used in the PCell;

3> set the *rlf-Cause* to the trigger for detecting radio link failure;

2> if AS security has not been activated:

3> if the UE is a NB-IoT UE:

4> if the UE supports RRC connection re-establishment for the Control Plane CIoT EPS optimisation:

5> initiate the RRC connection re-establishment procedure as specified in 5.3.7;

4> else:

5> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

3> else:

4> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';

2> else:

3> initiate the connection re-establishment procedure as specified in 5.3.7;

In case of DC or NE-DC, the UE shall:

1> upon T313 expiry; or

1> upon random access problem indication from SCG MAC; or

1> upon indication from SCG RLC, which is allowed to be sent on PSCell, that the maximum number of retransmissions has been reached for an SCG, for a split DRB or for a split SRB:

2> consider radio link failure to be detected for the SCG i.e. SCG-RLF;

2> initiate the SCG failure information procedure as specified in 5.6.13 to report SCG radio link failure;

In case of CA PDCP duplication, the UE shall:

1> upon indication from an RLC entity, which is restricted to be sent on SCell only, that the maximum number of retransmissions has been reached:

2> initiate the failure information procedure as specified in 5.6.21 to report RLC failure of type duplication;

If *daps-HO* is configured for any DRB and T304 is running, the UE shall:

1> upon T310 expiry; or

1> upon T312 expiry; or

1> upon random access problem indication from source MCG MAC; or

1> upon indication from source MCG RLC, which is allowed to be sent on source PCell, that the maximum number of retransmissions has been reached for an DRB:

2> consider radio link failure to be detected for the source MCG;

2> release the MAC entity for the source PCell;

2> for each DRB configured with *daps-HO*:

3> re-establish the RLC entity for the source PCell;

3> release the RLC entity and the associated DTCH logical channel for the source PCell;

3> reconfigure the DAPS PDCP entity to normal PDCP entity as specified in TS 36.323 [8].

2> for each SRB:

3> release the PDCP entity for the source PCell;

3> release the RLC entity and the associated DCCH logical channel for the source PCell;

2> release the physical channel configuration for the source PCell;

The UE may discard the radio link failure information, i.e. release the UE variable *VarRLF-Report*, 48 hours after the radio link failure is detected, upon power off or upon detach.

Next change

### 5.3.12 UE actions upon leaving RRC\_CONNECTED or RRC\_INACTIVE

Upon leaving RRC\_CONNECTED or RRC\_INACTIVE, the UE shall:

1> reset MAC;

1> if leaving RRC\_INACTIVE was not triggered by the reception of *RRCConnectionRelease* including *idleModeMobilityControlInfo*:

2> stop the timer T320, if running;

2> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo*;

1> if entering RRC\_IDLE was triggered by reception of the *RRCConnectionRelease* message including a *waitTime*:

2> start timer T302, with the timer value set according to the *waitTime*;

2> inform the upper layer that access barring is applicable for all access categories except categories '0' and '2';

1> else if T302 is running:

2> stop timer T302;

2> if the UE is connected to 5GC:

3> perform the actions as specified in 5.3.16.4;

1> if T309 is running:

2> stop timer T309 for all access categories;

2> perform the actions as specified in 5.3.16.4.

1> stop all timers that are running except T302, T320, T322, T325, T330, T331;

1> if leaving RRC\_CONNECTED was triggered by suspension of the RRC:

2> re-establish RLC entities for all SRBs and DRBs, including RBs configured with NR PDCP;

2> store the UE AS Context including the current RRC configuration, the current security context, the PDCP state including ROHC state, C-RNTI used in the source PCell, the *cellIdentity* and the physical cell identity of the source PCell;

2> store the following information provided by E-UTRAN:

3> the *resumeIdentity*;

3> the *nextHopChainingCount*, if present. Otherwise discard any stored *nextHopChainingCount* that does not correspond to stored key KRRCint;

3> the *drb-ContinueROHC*, if present. Otherwise discard any stored *drb-ContinueROHC*;

2> suspend all SRB(s) and DRB(s), including RBs configured with NR PDCP, except SRB0;

2> indicate the suspension of the RRC connection to upper layers;

2> configure lower layers to suspend integrity protection and ciphering;

NOTE 1: Except for UP-EDT, ciphering is not applied for the subsequent *RRCConnectionResume* message used to resume the connection and an integrity check is performed by lower layers, but merely upon request from RRC.

1> else:

2> upon leaving RRC\_INACTIVE:

3> discard the UE Inactive AS context;

3> release *rrc-InactiveConfig*, if configured;

3> discard the KeNB, the KRRCenc key, the KRRCint and the KUPenc key;

2> release *rrc-InactiveConfig*, if configured;

2> remove all entries within *VarConditionalReconfiguration*, if any;

2> for each *measId*, that is part of the current UE configuration in *VarMeasConfig,* if the associated *reportConfig* has *condReconfigurationTriggerEUTRA* configured:

3> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

3> if the associated *measObjectId* is only associated with *condReconfigurationTriggerEUTRA*:

4> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

3> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> release all radio resources, including release of the MAC configuration, the RLC entity and the associated PDCP entity and SDAP (if any) for all established RBs;

2> indicate the release of the RRC connection to upper layers together with the release cause;

1> if leaving RRC\_CONNECTED was triggered neither by reception of the *MobilityFromEUTRACommand* message nor by selecting an inter-RAT cell while T311 was running; or

1> if leaving RRC\_INACTIVE was not triggered by the inter-RAT cell reselection:

2> if timer T350 is configured:

3> start timer T350;

3> apply *rclwi-Configuration* if configured, otherwise apply the *wlan-Id-List* corresponding to the RPLMN included in *SystemInformationBlockType17*;

2> else:

3> release the *wlan-OffloadConfigDedicated*, if received;

3> if the *wlan-OffloadConfigCommon* corresponding to the RPLMN is broadcast by the cell:

4> apply the *wlan-OffloadConfigCommon* corresponding to the RPLMN included in *SystemInformationBlockType17*;

4> apply *steerToWLAN* if configured, otherwise apply the *wlan-Id-List* corresponding to the RPLMN included in *SystemInformationBlockType17*;

2> enter RRC\_IDLE and perform procedures as specified in TS 36.304 [4], clause 5.2.7;

1> else:

2> release the *wlan-OffloadConfigDedicated*, if received;

NOTE 2: BL UEs or UEs in CE verifies validity of SI when released to RRC\_IDLE.

1> release the LWA configuration, if configured, as described in 5.6.14.3;

1> release the LWIP configuration, if configured, as described in 5.6.17.3;

Next change

### 5.5.1 Introduction

The UE reports measurement information in accordance with the measurement configuration and performs conditional reconfiguration evaluation in accordance with conditional reconfiguration as provided by E-UTRAN. E-UTRAN provides the measurement configuration or the conditional reconfiguration applicable for a UE in RRC\_CONNECTED by means of dedicated signalling, i.e. using the *RRCConnectionReconfiguration* or *RRCConnectionResume* message.

The UE can be requested to perform the following types of measurements:

- Intra-frequency measurements: measurements at the downlink carrier frequency(ies) of the serving cell(s).

- Inter-frequency measurements: measurements at frequencies that differ from any of the downlink carrier frequency(ies) of the serving cell(s).

- Inter-RAT measurements of NR frequencies.

- Inter-RAT measurements of UTRA frequencies.

- Inter-RAT measurements of GERAN frequencies.

- Inter-RAT measurements of CDMA2000 HRPD or CDMA2000 1xRTT or WLAN frequencies.

- CBR measurements.

- Sensing measurements.

The measurement configuration includes the following parameters:

1. **Measurement objects:** The objects on which the UE shall perform the measurements.

- For intra-frequency and inter-frequency measurements a measurement object is a single E-UTRA carrier frequency. Associated with this carrier frequency, E-UTRAN can configure a list of cell specific offsets, a list of 'blacklisted' cells and a list of 'whitelisted' cells. Blacklisted cells are not considered in event evaluation or measurement reporting.

- For inter-RAT NR measurements a measurement object is a single NR carrier frequency. Associated with this carrier frequency, E-UTRAN can configure a list of 'blacklisted' cells. Blacklisted cells are not considered in event evaluation or measurement reporting.

- For inter-RAT UTRA measurements a measurement object is a set of cells on a single UTRA carrier frequency.

- For inter-RAT GERAN measurements a measurement object is a set of GERAN carrier frequencies.

- For inter-RAT CDMA2000 measurements a measurement object is a set of cells on a single (HRPD or 1xRTT) carrier frequency.

- For inter-RAT WLAN measurements a measurement object is a set of WLAN identifiers and optionally a set of WLAN frequencies.

- For CBR measurements and sensing measurements a measurement object is a set of transmission resource pools for V2X sidelink communication.

NOTE 1: Some measurements using the above mentioned measurement objects, only concern a single cell, e.g. measurements used to report neighbouring cell system information, PCell UE Rx-Tx time difference, or a pair of cells, e.g. SSTD measurements between the PCell and the PSCell.

2. **Reporting configurations**: A list of measurement reporting configurations where each measurement reporting configuration consists of the following:

- Reporting criterion: The criterion that triggers the UE to send a measurement report. This can either be periodical or a single event description.

- Reporting format: The quantities that the UE includes in the measurement report and associated information (e.g. number of cells to report).

In case of conditional handover triggering configuration, each configuration consists of the following:

- Execution criteria: The criteria that triggers the UE to perform conditional handover.

3. **Measurement identities**: A list of measurement identities where each measurement identity links one measurement object with one measurement reporting configuration. By configuring multiple measurement identities it is possible to link more than one measurement object to the same reporting configuration, as well as to link more than one reporting configuration to the same measurement object. The measurement identity is used as a reference number in the measurement report. For conditional reconfiguration triggering, one measurement identity links to exactly one conditional reconfiguration trigger configuration. And up to two measurement identities can be linked to one conditional reconfiguration execution condition.

4. **Quantity configurations:** One quantity configuration is configured per RAT type. The quantity configuration defines the measurement quantities and associated filtering used for all event evaluation and related reporting of that measurement type. One filter can be configured per measurement quantity, except for NR where the network may configure up to 2 sets of quantity configurations each comprising per measurement quantity seperate filters for cell and RS index measurement results. The quantity configuration set that applies for a given measurement is indicated within the NR measurement object.

5. **Measurement gaps:** Periods that the UE may use to perform measurements, i.e. no (UL, DL) transmissions are scheduled.

E-UTRAN only configures a single measurement object for a given frequency (except for WLAN and except for CBR measurements), i.e. it is not possible to configure two or more measurement objects for the same frequency with different associated parameters, e.g. different offsets and/ or blacklists. E-UTRAN may configure multiple instances of the same event e.g. by configuring two reporting configurations with different thresholds.

The UE maintains a single measurement object list, a single reporting configuration list, and a single measurement identities list. The measurement object list includes measurement objects, that are specified per RAT type, possibly including intra-frequency object(s) (i.e. the object(s) corresponding to the serving frequency(ies)), inter-frequency object(s) and inter-RAT objects. Similarly, the reporting configuration list includes E-UTRA and inter-RAT reporting configurations. Any measurement object can be linked to any reporting configuration of the same RAT type. Some reporting configurations may not be linked to a measurement object. Likewise, some measurement objects may not be linked to a reporting configuration.

The measurement procedures distinguish the following types of cells:

1. The serving cell(s) - these are the PCell and one or more SCells, if configured for a UE supporting CA or DC. Likewise, NR serving cell(s) are the NR PCell, NR PSCell and NR SCells, if the UE is configured with MR-DC.

2. Listed cells - these are cells listed within the measurement object(s) or, for inter-RAT WLAN, the WLANs matching the WLAN identifiers configured in the measurement object or the WLAN the UE is connected to.

3. Detected cells - these are cells that are not listed within the measurement object(s) but are detected by the UE on the carrier frequency(ies) indicated by the measurement object(s) or, for inter-RAT WLAN, the WLANs not included in the *measObjectWLAN* but meeting the triggering requirements.

For E-UTRA, the UE measures and reports on the serving cell(s), listed cells, detected cells, transmission resource pools for V2X sidelink communication, and, for RSSI and channel occupancy measurements, the UE measures and reports on any reception on the indicated frequency. For inter-RAT NR, the UE measures and reports on detected cells and, if configured with MR-DC, on NR serving cell(s). For inter-RAT UTRA, the UE measures and reports on listed cells and optionally on cells that are within a range for which reporting is allowed by E-UTRAN. For inter-RAT GERAN, the UE measures and reports on detected cells. For inter-RAT CDMA2000, the UE measures and reports on listed cells. For inter-RAT WLAN, the UE measures and reports on listed cells.

NOTE 2: For inter-RAT UTRA and CDMA2000, the UE measures and reports also on detected cells for the purpose of SON.

NOTE 3: This specification is based on the assumption that typically CSG cells of home deployment type are not indicated within the neighbour list. Furthermore, the assumption is that for non-home deployments, the physical cell identity is unique within the area of a large macro cell (i.e. as for UTRAN).

Whenever the procedural specification, other than contained in sub-clause 5.5.2, refers to a field it concerns a field included in the *VarMeasConfig* unless explicitly stated otherwise i.e. only the measurement configuration procedure covers the direct UE action related to the received *measConfig*.

Next change

#### 5.5.3.1 General

For all measurements, except for UE Rx–Tx time difference measurements, RSSI, UL PDCP Packet Delay per QCI measurement, channel occupancy measurements, CBR measurement, sensing measurement and except for WLAN measurements of Band, Carrier Info, Available Admission Capacity, Backhaul Bandwidth, Channel Utilization, and Station Count, the UE applies the layer 3 filtering as specified in 5.5.3.2, before using the measured results for evaluation of reporting criteria, for measurement reporting or for evaluation of fulfilment of the criteria to trigger conditional reconfiguration execution. When performing measurements on NR carriers, the UE derives the cell quality as specified in 5.5.3.3 and the beam quality as specified in 5.5.3.4.

The UE shall:

1> whenever the UE has a *measConfig*, perform RSRP and RSRQ measurements for each serving cell as follows:

2> for the PCell, apply the time domain measurement resource restriction in accordance with *measSubframePatternPCell,* if configured;

2> if the UE supports CRS based discovery signals measurement:

3> for each SCell in deactivated state, apply the discovery signals measurement timing configuration in accordance with *measDS-Config*, if configured within the *measObject* corresponding to the frequency of the SCell;

1> if the UE has a *measConfig* with *rs-sinr-Config* configured, perform RS-SINR (as indicated in the associated *reportConfig*) measurements as follows:

2> perform the corresponding measurements on the frequency indicated in the associated *measObject* using available idle periods or using autonomous gaps as necessary;

1> for each *measId* included in the *measIdList* within *VarMeasConfig*:

2> if the *purpose* for the associated *reportConfig* is set to *reportCGI*:

3> if the RAT indicated in the associated *measObject* is not NR:

4> if *si-RequestForHO* is configured for the associated *reportConfig*:

5> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using autonomous gaps as necessary;

4> else:

5> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using available idle periods or using autonomous gaps as necessary;

3> else:

4> perform the corresponding measurements on the NR frequency indicated in the associated *measObject* using available idle periods;

NOTE 1: If autonomous gaps are used to perform measurements, the UE is allowed to temporarily abort communication with all serving cell(s), i.e. create autonomous gaps to perform the corresponding measurements within the limits specified in TS 36.133 [16]. Otherwise, the UE only supports the measurements with the purpose set to *reportCGI* only if E-UTRAN has provided sufficient idle periods.

3> try to acquire the global cell identity of the cell indicated by the *cellForWhichToReportCGI* in the associated *measObject* by acquiring the relevant system information from the concerned cell;

3> if an entry in the *cellAccessRelatedInfoList* includes the selected PLMN, acquire the relevant system information from the concerned cell;

3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is an E-UTRAN cell:

4> try to acquire the CSG identity, if the CSG identity is broadcast in the concerned cell;

4> try to acquire the *trackingAreaCode* in the concerned cell;

4> try to acquire the list of additional PLMN Identities, as included in the *plmn-IdentityList*, if multiple PLMN identities are broadcast in the concerned cell;

4> if *cellAccessRelatedInfoList* is included, use *trackingAreaCode* and *plmn-IdentityList* from the entry of *cellAccessRelatedInfoList* containing the selected PLMN;

4> if the *includeMultiBandInfo* is configured:

5> try to acquire the *freqBandIndicator* in the *SystemInformationBlockType1*of the concerned cell;

5> try to acquire the list of additional frequency band indicators, as included in the *multiBandInfoList*, if multiple frequency band indicators are included in the *SystemInformationBlockType1*of the concerned cell;

5> try to acquire the *freqBandIndicatorPriority*, if the *freqBandIndicatorPriority* is included in the *SystemInformationBlockType1*of the concerned cell;

4> if *cellAccessRelatedInfoList-5GC* is broadcast in the concerned cell and the UE is E-UTRA/5GC capable:

5> try to acquire the *cellAccessRelatedInfoList-5GC*;

NOTE 2: The 'primary' PLMN is part of the global cell identity.

3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a UTRAN cell:

4> try to acquire the LAC, the RAC and the list of additional PLMN Identities, if multiple PLMN identities are broadcast in the concerned cell;

4> try to acquire the CSG identity, if the CSG identity is broadcast in the concerned cell;

3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a GERAN cell:

4> try to acquire the RAC in the concerned cell;

3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a CDMA2000 cell and the *cdma2000-Type* included in the *measObject* is *typeHRPD*:

4> try to acquire the Sector ID in the concerned cell;

3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a CDMA2000 cell and the *cdma2000-Type* included in the *measObject* is *type1XRTT*:

4> try to acquire the BASE ID, SID and NID in the concerned cell;

3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *MeasObject* is an NR cell:

4> if the indicated cell is broadcasting *SIB1* (see TS 38.213 [88], clause 13):

5> try to acquire the plmn-IdentityInfoList including plmn-IdentityList, trackingAreaCode (if available), ran-AreaCode (if available) and cellIdentity for each entry of the plmn-IdentityInfoList;

5> try to acquire the frequencyBandList, if multiple frequency bands are broadcasted in the concerned cell;

2> if the *ul-DelayConfig* is configured for the associated *reportConfig*:

3> ignore the *measObject*;

3> configure the PDCP layer to perform UL PDCP Packet Delay per QCI measurement;

2> else:

3> if a measurement gap configuration is setup; or

3> if the UE does not require measurement gaps to perform the concerned measurements:

4> if *s-Measure* is not configured; or

4> if the UE is not in NE-DC and the PCell RSRP, after layer 3 filtering, is lower than *s-Measure*; or

4> if the UE is in NE-DC and the PSCell RSRP, after layer 3 filtering, is lower than *s-Measure*; or

4> if the associated *measObject* concerns NR; or

4> if *measDS-Config* is configured in the associated *measObject*:

5> if the UE supports CSI-RS based discovery signals measurement; and

5> if the *eventId* in the associated *reportConfig* is set to *eventC1* or *eventC2*, or if *reportStrongestCSI-RSs* is included in the associated *reportConfig*:

6> perform the corresponding measurements of CSI-RS resources on the frequency indicated in the concerned *measObject*, applying the discovery signals measurement timing configuration in accordance with *measDS-Config* in the concerned *measObject*;

6> if *reportCRS-Meas* is included in the associated *reportConfig,* perform the corresponding measurements of neighbouring cells on the frequencies indicated in the concerned *measObject* as follows:

7> for neighbouring cells on the primary frequency, apply the time domain measurement resource restriction in accordance with *measSubframePatternConfigNeigh,* if configured in the concerned *measObject*;

7> apply the discovery signals measurement timing configuration in accordance with *measDS-Config* in the concerned *measObject*;

5> else:

6> perform the corresponding measurements of neighbouring cells on the frequencies and RATs indicated in the concerned *measObject* as follows:

7> for neighbouring cells on the primary frequency, apply the time domain measurement resource restriction in accordance with *measSubframePatternConfigNeigh,* if configured in the concerned *measObject*;

7> if the UE supports CRS based discovery signals measurement, apply the discovery signals measurement timing configuration in accordance with *measDS-Config*, if configured in the concerned *measObject*;

4> if the *ue-RxTxTimeDiffPeriodical* is configured in the associated *reportConfig*:

5> perform the UE Rx–Tx time difference measurements on the PCell;

4> if the *reportSSTD-Meas* is set to *true* or *pSCell* in the associated *reportConfig*:

5> perform SSTD measurements between the PCell and the PSCell;

4> if the *reportSFTD-Meas* is set to *pSCell* in the associated *reportConfig*:

5> perform SFTD measurements between the PCell and the NR PSCell;

4> if the *reportSFTD-Meas* is set to *neighborCells* in the associated *reportConfig*:

5> perform SFTD measurements between the PCell and NR cell(s) on the frequency indicated in the associated *measObject*;

4> if the *measRSSI-ReportConfig* is configured in the associated *reportConfig*:

5> perform the RSSI and channel occupancy measurements on the frequency indicated in the associated *measObject*;

2> perform the evaluation of reporting criteria as specified in 5.5.4, except if *reportConfig* is *condReconfigurationTrigger*;

The UE capable of CBR measurement when configured to transmit non-P2X related V2X sidelink communication shall:

1> if in coverage on the frequency used for V2X sidelink communication transmission as defined in TS 36.304 [4], clause 11.4; or

1> if the concerned frequency is included in *v2x-InterFreqInfoList* in *RRCConnectionReconfiguration* or in *v2x-InterFreqInfoList* within *SystemInformationBlockType21* or *SystemInformationBlockType26*:

2> if the UE is in RRC\_IDLE:

3> if the concerned frequency is the camped frequency:

4> perform CBR measurement on the pools in *v2x-CommTxPoolNormalCommon* and *v2x-CommTxPoolExceptional* if included in *SystemInformationBlockType21*;

3> else if *v2x-CommTxPoolNormal* or *v2x-CommTxPoolExceptional* is included in *v2x-InterFreqInfoList* forthe concerned frequency within *SystemInformationBlockType21* or *SystemInformationBlockType26*:

4> perform CBR measurement on pools in *v2x-CommTxPoolNormal* and *v2x-CommTxPoolExceptional* in *v2x-InterFreqInfoList* for the concerned frequency in *SystemInformationBlockType21* or *SystemInformationBlockType26*;

3> else if the concerned frequency broadcasts *SystemInformationBlockType21*:

4> perform CBR measurement on pools in *v2x-CommTxPoolNormalCommon* and *v2x-CommTxPoolExceptional* if included in *SystemInformationBlockType21* broadcast on the concerned frequency;

2> if the UE is in RRC\_CONNECTED:

3> if *tx-ResourcePoolToAddList* is included in *VarMeasConfig*:

4> perform CBR measurements on each resource pool indicated in *tx-ResourcePoolToAddList*;

3> if the concerned frequency is the PCell's frequency:

4> perform CBR measurement on the pools in *v2x-CommTxPoolNormalDedicated* or *v2x-SchedulingPool* if included in *RRCConnectionReconfiguration*, *v2x-CommTxPoolExceptional* if included in *SystemInformationBlockType21* for the concerned frequency and *v2x-CommTxPoolExceptional* if included in *mobilityControlInfoV2X*;

3> else if *v2x-CommTxPoolNormal*, *v2x-SchedulingPool* or *v2x-CommTxPoolExceptional* is included in *v2x-InterFreqInfoList* forthe concerned frequency within *RRCConnectionReconfiguration*:

4> perform CBR measurement on pools in *v2x-CommTxPoolNormal, v2x-SchedulingPool,* and *v2x-CommTxPoolExceptional* if included in *v2x-InterFreqInfoList* for the concerned frequency in *RRCConnectionReconfiguration*;

3> else if the concerned frequency broadcasts *SystemInformationBlockType21*:

4> perform CBR measurement on pools in *v2x-CommTxPoolNormalCommon* and *v2x-CommTxPoolExceptional* if included in *SystemInformationBlockType21* for the concerned frequency;

1> else:

2> perform CBR measurement on pools in *v2x-CommTxPoolList* in *SL-V2X-Preconfiguration* for the concerned frequency;

The UE capable of sensing measurement, with *commTxResources* set to *scheduled*, shall:

1> for each *measId* included in the *measIdList* within *VarMeasConfig*:

2> if *measSensing-Config* is configured in the associated *measObject*

3> perform the sensing measurement in accordance with TS 36.213 [23] on the pools of *v2x-SchedulingPool* and also indicated in *tx-ResourcePoolToAddList* in the associated *measObject*, using *sensingSubchannelNumber*, *sensingPeriodicity*, *sensingReselectionCounter* and *sensingPriority*.

NOTE 3: The *s-Measure* defines when the UE is required to perform measurements. The UE is however allowed to perform measurements also when the PCell RSRP (or PSCell RSRP, if the UE is in NE-DC) exceeds *s-Measure*, e.g., to measure cells broadcasting a CSG identity following use of the autonomous search function as defined in TS 36.304 [4].

NOTE 4: The UE may not perform the WLAN measurements it is configured with e.g. due to connection to another WLAN based on user preferences as specified in TS 23.402 [75] or due to turning off WLAN.

Next change

### 5.5.4 Measurement report triggering

#### 5.5.4.1 General

If security has been activated successfully, the UE shall:

1> for each *measId* included in the *measIdList* within *VarMeasConfig*:

2> if the corresponding *reportConfig* includes a purpose set to *reportStrongestCellsForSON*:

3> consider any neighbouring cell detected on the associated frequency to be applicable;

2> else if the corresponding *reportConfig* includes a purpose set to *reportCGI*:

3> consider any neighbouring cell detected on the associated frequency/ set of frequencies (GERAN) which has a physical cell identity matching the value of the *cellForWhichToReportCGI* included in the corresponding *measObject* within the *VarMeasConfig* to be applicable;

2> else:

3> if the corresponding *measObject* concerns E-UTRA:

4> if the *ue-RxTxTimeDiffPeriodical* is configured in the corresponding *reportConfig*:

5> consider only the PCell to be applicable;

4> else if the *reportSSTD-Meas* is set to *true* in the corresponding *reportConfig*:

5> consider the PSCell to be applicable;

4> else if the *eventA1* or *eventA2* is configured in the corresponding *reportConfig*:

5> consider only the serving cell to be applicable;

4> else if *eventC1* or *eventC2* is configured in the corresponding *reportConfig*; or if *reportStrongestCSI-RSs* is included in the corresponding *reportConfig*:

5> consider a CSI-RS resource on the associated frequency to be applicable when the concerned CSI-RS resource is included in the *measCSI-RS-ToAddModList* defined within the *VarMeasConfig* for this *measId*;

4> else if *measRSSI-ReportConfig* is configured in the corresponding *reportConfig*:

5> consider the resource indicated by the *rmtc-Config* on the associated frequency to be applicable;

4> else:

5> if *useWhiteCellList* is set to *TRUE*:

6> consider any neighbouring cell detected on the associated frequency to be applicable when the concerned cell is included in the *whiteCellsToAddModList* defined within the *VarMeasConfig* for this *measId*;

5> else:

6> consider any neighbouring cell detected on the associated frequency to be applicable when the concerned cell is not included in the *blackCellsToAddModList* defined within the *VarMeasConfig* for this *measId*;

5> for events involving a serving cell on one frequency and neighbours on another frequency, consider the serving cell on the other frequency as a neighbouring cell;

4> if the corresponding *reportConfig* includes *alternativeTimeToTrigger* and if the UE supports *alternativeTimeToTrigger*:

5> use the value of *alternativeTimeToTrigger* as the time to trigger instead of the value of *timeToTrigger* in the corresponding *reportConfig* for cells included in the *altTTT-CellsToAddModList* of the corresponding *measObject*;

3> else if the corresponding *measObject* concerns UTRA or CDMA2000:

4> consider a neighbouring cell on the associated frequency to be applicable when the concerned cell is included in the *cellsToAddModList* defined within the *VarMeasConfig* for this *measId* (i.e. the cell is included in the white-list);

NOTE 0: The UE may also consider a neighbouring cell on the associated UTRA frequency to be applicable when the concerned cell is included in the *csg-allowedReportingCells* within the *VarMeasConfig* for this *measId*, if configured in the corresponding *measObjectUTRA* (i.e. the cell is included in the range of physical cell identities for which reporting is allowed).

3> else if the corresponding *measObject* concerns GERAN:

4> consider a neighbouring cell on the associated set of frequencies to be applicable when the concerned cell matches the *ncc-Permitted* defined within the *VarMeasConfig* for this *measId*;

3> else if the corresponding *measObject* concerns WLAN:

4> consider a WLAN on the associated set of frequencies, as indicated by *carrierFreq* or on all WLAN frequencies when *carrierFreq* is not present, to be applicable if the WLAN matches all WLAN identifiers of at least one entry within *wlan-Id-List* for this *measId*;

3> else if the corresponding *measObject* concerns NR:

4> if the *reportSFTD-Meas* is set to *pSCell* in the corresponding *reportConfigInterRAT*:

5> consider the PSCell to be applicable;

4> else if the *reportSFTD-Meas* is set to *neighborCells* in the corresponding *reportConfigInterRAT*:

5> if *cellsForWhichToReportSFTD* is configured in the corresponding *measObjectNR*:

6> consider any neighbouring NR cell on the associated frequency that is included in *cellsForWhichToReportSFTD* to be applicable;

5> else:

6> consider up to 3 strongest neighbouring NR cells detected on the associated frequency to be applicable when the concerned cells are not included in the *blackCellsToAddModList* defined within the *VarMeasConfig* for this measId;

4> else:

5> if the *eventB1* or *eventB2* is configured in the corresponding *reportConfig*:

6> consider a serving cell, if any, on the associated NR frequency as neighbouring cell;

5> consider any neighbouring cell detected on the associated frequency to be applicable when the concerned cell is not included in the *blackCellsToAddModList* defined within the *VarMeasConfig* for this *measId*;

2> if *tx-ResourcePoolToAddList* is configured in the *measObject*, and if the corresponding *reportConfig* includes a purpose set to *sidelink* or includes *eventV1* or *eventV2*:

3> consider the transmission resource pools indicated by the *tx-ResourcePoolToAddList* defined within the *VarMeasConfig* for this *measId* to be applicable;

2> if the corresponding *reportConfig* includes a purpose set to *reportLocation*:

3> consider only the PCell to be applicable;

2> if the *triggerType* is set to *event,* and if the corresponding *reportConfig* does not include *numberOfTriggeringCells,* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable cells for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig*, while the *VarMeasReportList* does not include a measurement reporting entry for this *measId* (a first cell triggers the event):

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> if the UE supports T312 and if *useT312* is included for this event and if T310 is running:

4> if T312 is not running:

5> start timer T312 with the value configured in the corresponding *measObject*;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> if the *triggerType* is set to *event,* and if the corresponding *reportConfig* does not include *numberOfTriggeringCells,* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable cells not included in the *cellsTriggeredList* for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig* (a subsequent cell triggers the event):

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> if the UE supports T312 and if *useT312* is included for this event and if T310 is running:

4> if T312 is not running:

5> start timer T312 with the value configured in the corresponding *measObject*;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> if the *triggerType* is set to *event* and if the corresponding *reportConfig* includes *numberOfTriggeringCells,* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable cells for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig*:

3> If the *VarMeasReportList* does not include a measurement reporting entry for this *measId* (a first cell triggers the event):

4> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> If the number of cell(s) in the *cellsTriggeredList* is larger than or equal to *numberOfTriggeringCell*:

4> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> else:

4> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

4> If the number of cell(s) in the *cellsTriggeredList* is larger than or equal to *numberOfTriggeringCells*:

5> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

5> initiate the measurement reporting procedure, as specified in 5.5.5;

2> if the *triggerType* is set to *event* and if the leaving condition applicable for this event is fulfilled for one or more of the cells included in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId* for all measurements after layer 3 filtering taken during *timeToTrigger* defined within the *VarMeasConfig* for this event:

3> remove the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> if *reportOnLeave* is set to *TRUE* for the corresponding reporting configuration or if *a6-ReportOnLeave* is set to *TRUE* or if *a4-a5-ReportOnLeave* is set to TRUE for the corresponding reporting configuration:

4> initiate the measurement reporting procedure, as specified in 5.5.5;

3> if the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:

4> remove the measurement reporting entry within the *VarMeasReportList* for this *measId*;

4> stop the periodical reporting timer for this *measId*, if running;

2> if the *triggerType* is set to *event* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable CSI-RS resources for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig*, while the *VarMeasReportList* does not include an measurement reporting entry for this *measId* (i.e. a first CSI-RS resource triggers the event):

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> include the concerned CSI-RS resource(s) in the *csi-RS-TriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> if the *triggerType* is set to *event* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable CSI-RS resources not included in the *csi-RS-TriggeredList* for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig* (i.e. a subsequent CSI-RS resource triggers the event):

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> include the concerned CSI-RS resource(s) in the *csi-RS-TriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> if the *triggerType* is set to *event* and if the leaving condition applicable for this event is fulfilled for one or more of the CSI-RS resources included in the *csi-RS-TriggeredList* defined within the *VarMeasReportList* for this *measId* for all measurements after layer 3 filtering taken during *timeToTrigger* defined within the *VarMeasConfig* for this event:

3> remove the concerned CSI-RS resource(s) in the *csi-RS-TriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> if *c1-ReportOnLeave* is set to *TRUE* for the corresponding reporting configuration or if *c2-ReportOnLeave* is set to *TRUE* for the corresponding reporting configuration:

4> initiate the measurement reporting procedure, as specified in 5.5.5;

3> if the *csi-RS-TriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:

4> remove the measurement reporting entry within the *VarMeasReportList* for this *measId*;

4> stop the periodical reporting timer for this *measId*, if running;

2> if the *triggerType* is set to *event* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable transmission resource pools for all measurements taken during *timeToTrigger* defined for this event within the *VarMeasConfig*, while the *VarMeasReportList* does not include an measurement reporting entry for this *measId* (a first transmission resource pool triggers the event):

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> include the concerned transmission resource pool(s) in the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> if the *triggerType* is set to *event* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable transmission resource pools not included in the *poolsTriggeredList* for all measurements taken during *timeToTrigger* defined for this event within the *VarMeasConfig* (a subsequent transmission resource pool triggers the event):

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> include the concerned transmission resource pool(s) in the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> if the *triggerType* is set to *event* and if the leaving condition applicable for this event is fulfilled for one or more applicable transmission resource pools included in the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId* for all measurements taken during *timeToTrigger* defined within the *VarMeasConfig* for this event:

3> remove the concerned transmission resource pool(s) from the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> if the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:

4> remove the measurement reporting entry within the *VarMeasReportList* for this *measId*;

4> stop the periodical reporting timer for this *measId*, if running;

2> if the *triggerType* is set to *event* and if the *eventId* is set to *eventH1* or *eventH2* and if the entering condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled during *timeToTrigger* defined within the *VarMeasConfig* for this event:

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> if *measRSSI-ReportConfig* is included and if a (first) measurement result is available:

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> initiate the measurement reporting procedure as specified in 5.5.5 immediately when RSSI sample values are reported by the physical layer after the first L1 measurement duration;

2> else if the *purpose* is included and set to *reportStrongestCells,* *reportStrongestCellsForSON*, *reportLocation sidelink* or *sensing* and if a (first) measurement result is available:

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> if the *purpose* is set to *reportStrongestCells* and *reportStrongestCSI-RSs* is not included:

4> if the *triggerType* is set to *periodical* and the corresponding *reportConfig* includes the *ul-DelayConfig*:

5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after a first measurement result is provided by lower layers;

4> else if the corresponding measurement object concerns WLAN:

5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the PCell and for the applicable WLAN(s);

4> else if the *reportAmount* exceeds 1:

5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the PCell;

4> else (i.e. the *reportAmount* is equal to 1):

5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the PCell and for the strongest cell among the applicable cells, or becomes available for the pair of PCell and the PSCell in case of SSTD measurements, or becomes available for each requested pair of PCell and NR cell or the maximal measurement reporting delay as specified in TS 36.133 [16], clause 8.17.2.3 in case of SFTD measurements;

3> if the *purpose* is set to *reportLocation*, *sidelink* or *sensing*:

4> if the *purpose* is set to *reportLocation*:

5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after both the quantity to be reported for the PCell and the location information become available;

4> else if the *purpose* is set to *sidelink*:

5> initiate the measurement reporting procedure as specified in 5.5.5 immediately after both the quantity to be reported for the PCell and the CBR measurement result become available;

4> else if the *purpose* is set to *sensing*:

5> initiate the measurement reporting procedure as specified in 5.5.5 immediately after both the quantity to be reported for the PCell and the sensing measurement result become available;

3> else if the *purpose* is not set to *reportStrongestCells* or *reportStrongestCSI-RSs* is included:

4> initiate the measurement reporting procedure, as specified in 5.5.5, when it has determined the strongest cells on the associated frequency;

2> upon expiry of the periodical reporting timer for this *measId*:

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> if the *purpose* is included and set to *reportCGI*:

3> if the UE acquired the information needed to set all fields of *cgi-Info* for the requested cell; or

3> if the UE detects that the requested NR cell is not transmitting *SIB1:*

4> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

4> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

4> stop timer T321;

4> initiate the measurement reporting procedure, as specified in 5.5.5;

2> upon expiry of the T321 for this *measId*:

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

NOTE 2: The UE does not stop the periodical reporting with *triggerType* set to *event* or to *periodical* while the corresponding measurement is not performed due to the PCell RSRP (or PSCell RSRP, if the UE is in NE-DC) being equal to or better than *s-Measure* or due to the measurement gap not being setup.

NOTE 3: If the UE is configured with DRX, the UE may delay the measurement reporting for event triggered and periodical triggered measurements until the Active Time, which is defined in TS 36.321 [6].

Next change

### 5.6.21 Failure information

#### 5.6.21.1 General



Figure 5.6.21.1-1: Failure information



Figure 5.6.21.1-2: Failure information

The purpose of this procedure is to inform E-UTRAN about a failure that the UE has experienced.

#### 5.6.21.2 Initiation

A UE initiates the procedure to report failures when one of the following conditions is met:

1> upon detecting RLC failure, in accordance with 5.3.11;

1> upon detecting a DAPS HO failure, in accordance with 5.3.5.6.

Upon initiating the procedure, the UE shall:

1> initiate transmission of the *FailureInformation* message or the *FailureInformation2* message in accordance with 5.6.21.3;

#### 5.6.21.3 Actions related to transmission of *FailureInformation* message

When initiating the procedure according to 5.6.21.2, the UE shall:

1> if the procedure is initiated to report RLC failure:

2> set the contents of the *FailureInformation* message as follows:

3> set *logicalChannelIdentity* to the logical channel identity of the RLC entity;

3> set *cellGroupIndication* to the cell group where the RLC entity is located;

3> set *failureType* to the type of failure that has been detected;

2> submit the *FailureInformation* message to lower layers for transmission;

1> if the procedure is initiated to report a DAPS HO failure:

2> set the contents of the *FailureInformation2* message as follows:

3> set *failureType* to *dapsHO-failure*;

2> submit the *FailureInformation2* message to lower layers for transmission.

Next change

### 6.2.1 General message structure

[…]

#### – *UL-DCCH-Message*

The *UL-DCCH-Message* class is the set of RRC messages that may be sent from the UE to the E‑UTRAN or from the RN to the E-UTRAN on the uplink DCCH logical channel.

-- ASN1START

UL-DCCH-Message ::= SEQUENCE {

message UL-DCCH-MessageType

}

UL-DCCH-MessageType ::= CHOICE {

c1 CHOICE {

csfbParametersRequestCDMA2000 CSFBParametersRequestCDMA2000,

measurementReport MeasurementReport,

rrcConnectionReconfigurationComplete RRCConnectionReconfigurationComplete,

rrcConnectionReestablishmentComplete RRCConnectionReestablishmentComplete,

rrcConnectionSetupComplete RRCConnectionSetupComplete,

securityModeComplete SecurityModeComplete,

securityModeFailure SecurityModeFailure,

ueCapabilityInformation UECapabilityInformation,

ulHandoverPreparationTransfer ULHandoverPreparationTransfer,

ulInformationTransfer ULInformationTransfer,

counterCheckResponse CounterCheckResponse,

ueInformationResponse-r9 UEInformationResponse-r9,

proximityIndication-r9 ProximityIndication-r9,

rnReconfigurationComplete-r10 RNReconfigurationComplete-r10,

mbmsCountingResponse-r10 MBMSCountingResponse-r10,

interFreqRSTDMeasurementIndication-r10 InterFreqRSTDMeasurementIndication-r10

},

messageClassExtension CHOICE {

c2 CHOICE {

ueAssistanceInformation-r11 UEAssistanceInformation-r11,

inDeviceCoexIndication-r11 InDeviceCoexIndication-r11,

mbmsInterestIndication-r11 MBMSInterestIndication-r11,

scgFailureInformation-r12 SCGFailureInformation-r12,

sidelinkUEInformation-r12 SidelinkUEInformation-r12,

wlanConnectionStatusReport-r13 WLANConnectionStatusReport-r13,

rrcConnectionResumeComplete-r13 RRCConnectionResumeComplete-r13,

ulInformationTransferMRDC-r15 ULInformationTransferMRDC-r15,

scgFailureInformationNR-r15 SCGFailureInformationNR-r15,

measReportAppLayer-r15 MeasReportAppLayer-r15,

failureInformation-r15 FailureInformation-r15,

failureInformation2-r16 FailureInformation2-r16,

spare4 NULL, spare3 NULL, spare2 NULL, spare1 NULL

},

messageClassExtensionFuture-r11 SEQUENCE {}

}

}

-- ASN1STOP

Next change

### 6.2.2 Message definitions

[…]

#### – *FailureInformation2*

The *FailureInformation2* message is used to provide information regarding failures detected by the UE, e.g. HO failure at a DAPS HO.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E‑UTRAN

*FailureInformation2 message*

-- ASN1START

FailureInformation2-r16 ::= SEQUENCE {

criticalExtensions CHOICE {

failureInformation-r16 FailureInformation-IEs-r16,

criticalExtensionsFuture SEQUENCE {}

}

}

FailureInformation-IEs-r16 ::= SEQUENCE {

failureType-r16 ENUMERATED {dapsHO-failure, spare3, spare2, spare1} OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- ASN1STOP

| *FailureInformation2* field descriptions |
| --- |
| ***failureType***  This field indicates the type of failure reported. Value *dapsHO-failure* indicates that the UE timer T304 has expired during a DAPS HO. |

[…]

#### – *RRCConnectionReconfiguration*

The *RRCConnectionReconfiguration* message is the command to modify an RRC connection. It may convey information for measurement configuration, mobility control, conditional reconfigurations (conditional handover), radio resource configuration (including RBs, MAC main configuration and physical channel configuration) including any associated dedicated NAS information and security configuration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E‑UTRAN to UE

*RRCConnectionReconfiguration message*

-- ASN1START

RRCConnectionReconfiguration ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

c1 CHOICE{

rrcConnectionReconfiguration-r8 RRCConnectionReconfiguration-r8-IEs,

spare7 NULL,

spare6 NULL, spare5 NULL, spare4 NULL,

spare3 NULL, spare2 NULL, spare1 NULL

},

criticalExtensionsFuture SEQUENCE {}

}

}

RRCConnectionReconfiguration-r8-IEs ::= SEQUENCE {

measConfig MeasConfig OPTIONAL, -- Need ON

mobilityControlInfo MobilityControlInfo OPTIONAL, -- Cond HO

dedicatedInfoNASList SEQUENCE (SIZE(1..maxDRB)) OF

DedicatedInfoNAS OPTIONAL, -- Cond nonHO

radioResourceConfigDedicated RadioResourceConfigDedicated OPTIONAL, -- Cond HO-toEUTRA

securityConfigHO SecurityConfigHO OPTIONAL, -- Cond HO-toEPC

nonCriticalExtension RRCConnectionReconfiguration-v890-IEs OPTIONAL

}

RRCConnectionReconfiguration-v890-IEs ::= SEQUENCE {

lateNonCriticalExtension OCTET STRING (CONTAINING RRCConnectionReconfiguration-v8m0-IEs) OPTIONAL,

nonCriticalExtension RRCConnectionReconfiguration-v920-IEs OPTIONAL

}

-- Late non-critical extensions:

RRCConnectionReconfiguration-v8m0-IEs ::= SEQUENCE {

-- Following field is only for pre REL-10 late non-critical extensions

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCConnectionReconfiguration-v10i0-IEs OPTIONAL

}

RRCConnectionReconfiguration-v10i0-IEs ::= SEQUENCE {

antennaInfoDedicatedPCell-v10i0 AntennaInfoDedicated-v10i0 OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionReconfiguration-v10l0-IEs OPTIONAL

}

RRCConnectionReconfiguration-v10l0-IEs ::= SEQUENCE {

mobilityControlInfo-v10l0 MobilityControlInfo-v10l0 OPTIONAL,

sCellToAddModList-v10l0 SCellToAddModList-v10l0 OPTIONAL, -- Need ON

-- Following field is only for late non-critical extensions from REL-10 to REL-11

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCConnectionReconfiguration-v12f0-IEs OPTIONAL

}

RRCConnectionReconfiguration-v12f0-IEs ::= SEQUENCE {

scg-Configuration-v12f0 SCG-Configuration-v12f0 OPTIONAL, -- Cond nonFullConfig

-- Following field is only for late non-critical extensions from REL-12

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCConnectionReconfiguration-v1370-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1370-IEs ::= SEQUENCE {

radioResourceConfigDedicated-v1370 RadioResourceConfigDedicated-v1370 OPTIONAL, -- Need ON

sCellToAddModListExt-v1370 SCellToAddModListExt-v1370 OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionReconfiguration-v13c0-IEs OPTIONAL

}

RRCConnectionReconfiguration-v13c0-IEs ::= SEQUENCE {

radioResourceConfigDedicated-v13c0 RadioResourceConfigDedicated-v13c0 OPTIONAL, -- Need ON

sCellToAddModList-v13c0 SCellToAddModList-v13c0 OPTIONAL, -- Need ON

sCellToAddModListExt-v13c0 SCellToAddModListExt-v13c0 OPTIONAL, -- Need ON

scg-Configuration-v13c0 SCG-Configuration-v13c0 OPTIONAL, -- Need ON

-- Following field is only for late non-critical extensions from REL-13 onwards

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- Regular non-critical extensions:

RRCConnectionReconfiguration-v920-IEs ::= SEQUENCE {

otherConfig-r9 OtherConfig-r9 OPTIONAL, -- Need ON

fullConfig-r9 ENUMERATED {true} OPTIONAL, -- Cond HO-Reestab

nonCriticalExtension RRCConnectionReconfiguration-v1020-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1020-IEs ::= SEQUENCE {

sCellToReleaseList-r10 SCellToReleaseList-r10 OPTIONAL, -- Need ON

sCellToAddModList-r10 SCellToAddModList-r10 OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionReconfiguration-v1130-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1130-IEs ::= SEQUENCE {

systemInformationBlockType1Dedicated-r11 OCTET STRING (CONTAINING SystemInformationBlockType1) OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionReconfiguration-v1250-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1250-IEs ::= SEQUENCE {

wlan-OffloadInfo-r12 CHOICE {

release NULL,

setup SEQUENCE {

wlan-OffloadConfigDedicated-r12 WLAN-OffloadConfig-r12,

t350-r12 ENUMERATED {min5, min10, min20, min30, min60,

min120, min180, spare1} OPTIONAL -- Need OR

}

} OPTIONAL, -- Need ON

scg-Configuration-r12 SCG-Configuration-r12 OPTIONAL, -- Cond nonFullConfig

sl-SyncTxControl-r12 SL-SyncTxControl-r12 OPTIONAL, -- Need ON

sl-DiscConfig-r12 SL-DiscConfig-r12 OPTIONAL, -- Need ON

sl-CommConfig-r12 SL-CommConfig-r12 OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionReconfiguration-v1310-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1310-IEs ::= SEQUENCE {

sCellToReleaseListExt-r13 SCellToReleaseListExt-r13 OPTIONAL, -- Need ON

sCellToAddModListExt-r13 SCellToAddModListExt-r13 OPTIONAL, -- Need ON

lwa-Configuration-r13 LWA-Configuration-r13 OPTIONAL, -- Need ON

lwip-Configuration-r13 LWIP-Configuration-r13 OPTIONAL, -- Need ON

rclwi-Configuration-r13 RCLWI-Configuration-r13 OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionReconfiguration-v1430-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1430-IEs ::= SEQUENCE {

sl-V2X-ConfigDedicated-r14 SL-V2X-ConfigDedicated-r14 OPTIONAL, -- Need ON

sCellToAddModListExt-v1430 SCellToAddModListExt-v1430 OPTIONAL, -- Need ON

perCC-GapIndicationRequest-r14 ENUMERATED{true} OPTIONAL, -- Need ON

systemInformationBlockType2Dedicated-r14 OCTET STRING (CONTAINING SystemInformationBlockType2) OPTIONAL, -- Cond nonHO

nonCriticalExtension RRCConnectionReconfiguration-v1510-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1510-IEs ::= SEQUENCE {

nr-Config-r15 CHOICE {

release NULL,

setup SEQUENCE {

endc-ReleaseAndAdd-r15 BOOLEAN,

nr-SecondaryCellGroupConfig-r15 OCTET STRING OPTIONAL, -- Need ON

p-MaxEUTRA-r15 P-Max OPTIONAL -- Need ON

}

} OPTIONAL, -- Need ON

sk-Counter-r15 INTEGER (0.. 65535) OPTIONAL, -- Need ON

nr-RadioBearerConfig1-r15 OCTET STRING OPTIONAL, -- Need ON

nr-RadioBearerConfig2-r15 OCTET STRING OPTIONAL, -- Need ON

tdm-PatternConfig-r15 TDM-PatternConfig-r15 OPTIONAL, -- Cond FDD-PCell

nonCriticalExtension RRCConnectionReconfiguration-v1530-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1530-IEs ::= SEQUENCE {

securityConfigHO-v1530 SecurityConfigHO-v1530 OPTIONAL, -- Cond HO-5GC

sCellGroupToReleaseList-r15 SCellGroupToReleaseList-r15 OPTIONAL, -- Need ON

sCellGroupToAddModList-r15 SCellGroupToAddModList-r15 OPTIONAL, -- Need ON

dedicatedInfoNASList-r15 SEQUENCE (SIZE(1..maxDRB-r15)) OF

DedicatedInfoNAS OPTIONAL, -- Cond nonHO

p-MaxUE-FR1-r15 P-Max OPTIONAL, -- Need OR

smtc-r15 MTC-SSB-NR-r15 OPTIONAL, -- Need OP

nonCriticalExtension RRCConnectionReconfiguration-v16xy-IEs OPTIONAL

}

RRCConnectionReconfiguration-v16xy-IEs ::= SEQUENCE {

conditionalReconfiguration-r16 ConditionalReconfiguration-r16 OPTIONAL, -- Need ON

daps-SourceRelease-r16 ENUMERATED{true} OPTIONAL, -- Need ON

nonCriticalExtension SEQUENCE {} OPTIONAL

}

SL-SyncTxControl-r12 ::= SEQUENCE {

networkControlledSyncTx-r12 ENUMERATED {on, off} OPTIONAL -- Need OP

}

PSCellToAddMod-r12 ::= SEQUENCE {

sCellIndex-r12 SCellIndex-r10,

cellIdentification-r12 SEQUENCE {

physCellId-r12 PhysCellId,

dl-CarrierFreq-r12 ARFCN-ValueEUTRA-r9

} OPTIONAL, -- Cond SCellAdd

radioResourceConfigCommonPSCell-r12 RadioResourceConfigCommonPSCell-r12 OPTIONAL, -- Cond SCellAdd

radioResourceConfigDedicatedPSCell-r12 RadioResourceConfigDedicatedPSCell-r12 OPTIONAL, -- Cond SCellAdd2

...,

[[ antennaInfoDedicatedPSCell-v1280 AntennaInfoDedicated-v10i0 OPTIONAL -- Need ON

]],

[[ sCellIndex-r13 SCellIndex-r13 OPTIONAL -- Need ON

]],

[[ radioResourceConfigDedicatedPSCell-v1370 RadioResourceConfigDedicatedPSCell-v1370 OPTIONAL -- Need ON

]],

[[ radioResourceConfigDedicatedPSCell-v13c0 RadioResourceConfigDedicatedPSCell-v13c0 OPTIONAL -- Need ON

]]

}

PSCellToAddMod-v12f0 ::= SEQUENCE {

radioResourceConfigCommonPSCell-r12 RadioResourceConfigCommonPSCell-v12f0 OPTIONAL

}

PSCellToAddMod-v1440 ::= SEQUENCE {

radioResourceConfigCommonPSCell-r14 RadioResourceConfigCommonPSCell-v1440 OPTIONAL

}

PowerCoordinationInfo-r12 ::= SEQUENCE {

p-MeNB-r12 INTEGER (1..16),

p-SeNB-r12 INTEGER (1..16),

powerControlMode-r12 INTEGER (1..2)

}

SCellToAddModList-r10 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-r10

SCellToAddModList-v10l0 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-v10l0

SCellToAddModList-v13c0 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-v13c0

SCellToAddModListExt-r13 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddModExt-r13

SCellToAddModListExt-v1370 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddModExt-v1370

SCellToAddModListExt-v13c0 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddMod-v13c0

SCellToAddModListExt-v1430 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddModExt-v1430

SCellGroupToAddModList-r15 ::= SEQUENCE (SIZE (1..maxSCellGroups-r15)) OF SCellGroupToAddMod-r15

SCellToAddMod-r10 ::= SEQUENCE {

sCellIndex-r10 SCellIndex-r10,

cellIdentification-r10 SEQUENCE {

physCellId-r10 PhysCellId,

dl-CarrierFreq-r10 ARFCN-ValueEUTRA

} OPTIONAL, -- Cond SCellAdd

radioResourceConfigCommonSCell-r10 RadioResourceConfigCommonSCell-r10 OPTIONAL, -- Cond SCellAdd

radioResourceConfigDedicatedSCell-r10 RadioResourceConfigDedicatedSCell-r10 OPTIONAL, -- Cond SCellAdd2

...,

[[ dl-CarrierFreq-v1090 ARFCN-ValueEUTRA-v9e0 OPTIONAL -- Cond EARFCN-max

]],

[[ antennaInfoDedicatedSCell-v10i0 AntennaInfoDedicated-v10i0 OPTIONAL -- Need ON

]],

[[ srs-SwitchFromServCellIndex-r14 INTEGER (0.. 31) OPTIONAL -- Need ON

]],

[[ sCellState-r15 ENUMERATED {activated, dormant} OPTIONAL -- Need ON

]]

}

SCellToAddMod-v10l0 ::= SEQUENCE {

radioResourceConfigCommonSCell-v10l0 RadioResourceConfigCommonSCell-v10l0 OPTIONAL

}

SCellToAddMod-v13c0 ::= SEQUENCE {

radioResourceConfigDedicatedSCell-v13c0 RadioResourceConfigDedicatedSCell-v13c0 OPTIONAL

}

SCellToAddModExt-r13 ::= SEQUENCE {

sCellIndex-r13 SCellIndex-r13,

cellIdentification-r13 SEQUENCE {

physCellId-r13 PhysCellId,

dl-CarrierFreq-r13 ARFCN-ValueEUTRA-r9

} OPTIONAL, -- Cond SCellAdd

radioResourceConfigCommonSCell-r13 RadioResourceConfigCommonSCell-r10 OPTIONAL, -- Cond SCellAdd

radioResourceConfigDedicatedSCell-r13 RadioResourceConfigDedicatedSCell-r10 OPTIONAL, -- Cond SCellAdd2

antennaInfoDedicatedSCell-r13 AntennaInfoDedicated-v10i0 OPTIONAL -- Need ON

}

SCellToAddModExt-v1370 ::= SEQUENCE {

radioResourceConfigCommonSCell-v1370 RadioResourceConfigCommonSCell-v10l0 OPTIONAL

}

SCellToAddModExt-v1430 ::= SEQUENCE {

srs-SwitchFromServCellIndex-r14 INTEGER (0.. 31) OPTIONAL, -- Need ON

...,

[[ sCellState-r15 ENUMERATED {activated, dormant} OPTIONAL -- Need ON

]]

}

SCellGroupToAddMod-r15 ::= SEQUENCE {

sCellGroupIndex-r15 SCellGroupIndex-r15,

sCellConfigCommon-r15 SCellConfigCommon-r15 OPTIONAL, -- Need ON

sCellToReleaseList-r15 SCellToReleaseListExt-r13 OPTIONAL, -- Need ON

sCellToAddModList-r15 SCellToAddModListExt-r13 OPTIONAL -- Need ON

}

SCellToReleaseList-r10 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellIndex-r10

SCellToReleaseListExt-r13 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellIndex-r13

SCellGroupToReleaseList-r15 ::= SEQUENCE (SIZE (1..maxSCellGroups-r15)) OF SCellGroupIndex-r15

SCellGroupIndex-r15 ::= INTEGER (1..maxSCellGroups-r15)

SCellConfigCommon-r15 ::= SEQUENCE {

radioResourceConfigCommonSCell-r15 RadioResourceConfigCommonSCell-r10 OPTIONAL, -- Need ON

radioResourceConfigDedicatedSCell-r15 RadioResourceConfigDedicatedSCell-r10 OPTIONAL,-- Need ON

antennaInfoDedicatedSCell-r15 AntennaInfoDedicated-v10i0 OPTIONAL -- Need ON

}

SCG-Configuration-r12 ::= CHOICE {

release NULL,

setup SEQUENCE {

scg-ConfigPartMCG-r12 SEQUENCE {

scg-Counter-r12 INTEGER (0.. 65535) OPTIONAL, -- Need ON

powerCoordinationInfo-r12 PowerCoordinationInfo-r12 OPTIONAL, -- Need ON

...

} OPTIONAL, -- Need ON

scg-ConfigPartSCG-r12 SCG-ConfigPartSCG-r12 OPTIONAL -- Need ON

}

}

SCG-Configuration-v12f0 ::= CHOICE {

release NULL,

setup SEQUENCE {

scg-ConfigPartSCG-v12f0 SCG-ConfigPartSCG-v12f0 OPTIONAL -- Need ON

}

}

SCG-Configuration-v13c0 ::= CHOICE {

release NULL,

setup SEQUENCE {

scg-ConfigPartSCG-v13c0 SCG-ConfigPartSCG-v13c0 OPTIONAL -- Need ON

}

}

SCG-ConfigPartSCG-r12 ::= SEQUENCE {

radioResourceConfigDedicatedSCG-r12 RadioResourceConfigDedicatedSCG-r12 OPTIONAL, -- Need ON

sCellToReleaseListSCG-r12 SCellToReleaseList-r10 OPTIONAL, -- Need ON

pSCellToAddMod-r12 PSCellToAddMod-r12 OPTIONAL, -- Need ON

sCellToAddModListSCG-r12 SCellToAddModList-r10 OPTIONAL, -- Need ON

mobilityControlInfoSCG-r12 MobilityControlInfoSCG-r12 OPTIONAL, -- Need ON

...,

[[

sCellToReleaseListSCG-Ext-r13 SCellToReleaseListExt-r13 OPTIONAL, -- Need ON

sCellToAddModListSCG-Ext-r13 SCellToAddModListExt-r13 OPTIONAL -- Need ON

]],

[[

sCellToAddModListSCG-Ext-v1370 SCellToAddModListExt-v1370 OPTIONAL -- Need ON

]],

[[

pSCellToAddMod-v1440 PSCellToAddMod-v1440 OPTIONAL -- Need ON

]],

[[ sCellGroupToReleaseListSCG-r15 SCellGroupToReleaseList-r15 OPTIONAL, -- Need ON

sCellGroupToAddModListSCG-r15 SCellGroupToAddModList-r15 OPTIONAL -- Need ON

]],

[[ -- NE-DC addition for setup/ modification and release SN configured measurements

measConfigSN-r15 MeasConfig OPTIONAL, -- Need ON

-- NE-DC additions concerning DRBs/ SRBs are within RadioResourceConfigDedicatedSCG

tdm-PatternConfigNE-DC-r15 TDM-PatternConfig-r15 OPTIONAL -- Cond FDD-PSCell

]],

[[ p-MaxEUTRA-r15 P-Max OPTIONAL -- Need ON

]]

}

SCG-ConfigPartSCG-v12f0 ::= SEQUENCE {

pSCellToAddMod-v12f0 PSCellToAddMod-v12f0 OPTIONAL, -- Need ON

sCellToAddModListSCG-v12f0 SCellToAddModList-v10l0 OPTIONAL -- Need ON

}

SCG-ConfigPartSCG-v13c0 ::= SEQUENCE {

sCellToAddModListSCG-v13c0 SCellToAddModList-v13c0 OPTIONAL, -- Need ON

sCellToAddModListSCG-Ext-v13c0 SCellToAddModListExt-v13c0 OPTIONAL -- Need ON

}

SecurityConfigHO ::= SEQUENCE {

handoverType CHOICE {

intraLTE SEQUENCE {

securityAlgorithmConfig SecurityAlgorithmConfig OPTIONAL, -- Cond fullConfig

keyChangeIndicator BOOLEAN,

nextHopChainingCount NextHopChainingCount

},

interRAT SEQUENCE {

securityAlgorithmConfig SecurityAlgorithmConfig,

nas-SecurityParamToEUTRA OCTET STRING (SIZE(6))

}

},

...

}

SecurityConfigHO-v1530 ::= SEQUENCE {

handoverType-v1530 CHOICE {

intra5GC-r15 SEQUENCE {

securityAlgorithmConfig-r15 SecurityAlgorithmConfig OPTIONAL, -- Cond HO-toEUTRA

keyChangeIndicator-r15 BOOLEAN,

nextHopChainingCount-r15 NextHopChainingCount,

nas-Container-r15 OCTET STRING OPTIONAL -- Need ON

},

fivegc-ToEPC-r15 SEQUENCE {

securityAlgorithmConfig-r15 SecurityAlgorithmConfig,

nextHopChainingCount-r15 NextHopChainingCount

},

epc-To5GC-r15 SEQUENCE {

securityAlgorithmConfig-r15 SecurityAlgorithmConfig,

nas-Container-r15 OCTET STRING

}

},

...

}

TDM-PatternConfig-r15 ::= CHOICE {

release NULL,

setup SEQUENCE {

subframeAssignment-r15 SubframeAssignment-r15,

harq-Offset-r15 INTEGER (0.. 9)

}

}

-- ASN1STOP

| *RRCConnectionReconfiguration* field descriptions |
| --- |
| ***conditionalReconfiguration***  This field is used to configure the UE with a conditional reconfiguration. The reconfiguration is only applied when the execution condition(s) is fulfilled. |
| ***daps-SourceRelease***  Indicates that the UE shall release the resources associated with source PCell at a DAPS HO, including reconfiguration of the DAPS PDCP entity to normal PDCP. |
| ***dedicatedInfoNASList***  This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for each PDU in the list. If *dedicatedInfoNASList-r15* is present, UE shall ignore the *dedicatedInfoNASList* (without suffix). |
| ***endc-ReleaseAndAdd***  A one-shot field indicating whether the UE simultaneously releases and adds all the NR SCG related configuration within *nr-Config*, i.e. the configuration set by the NR *RRCReconfiguration* message (e.g. *secondaryCellGroup, SRB3* and *measConfig)*. |
| ***fullConfig***  Indicates the full configuration option is applicable for the RRC Connection Reconfiguration message for intra-system intra-RAT handover. For inter-RAT handover from NR to E-UTRA, *fullConfig* indicates whether or not delta signalling of SDAP/PDCP from source RAT is applicable. This field is absent when the *RRCConnectionReconfiguration* message is generated by the E-UTRA SCG. |
| ***harq-Offset***  Indicates a HARQ subframe offset that is applied to the subframes designated as UL in the associated subrame assignment, see TS 36.213 [23]. |
| ***keyChangeIndicator***  If UE is connected to EPC, true is used only in an intra-cell handover when a KeNB key is derived from a KASME key taken into use through the latest successful NAS SMC procedure, as described in TS 33.401 [32] for KeNB re-keying. false is used in an intra-LTE handover when the new KeNB key is obtained from the current KeNB key or from the NH as described in TS 33.401 [32].  If UE is connected to 5GC, with keyChangeIndicator-r15, true is used in an intra-cell handover when a KeNB key is derived from a KAMF key taken into use through the latest successful NAS SMC procedure, as described in TS 33.501 [86] for KeNB re-keying.  False is used for intra-system handover when the new KeNB key is obtained from the current KeNB key or from the NH as described in TS 33.501 [86]. True is also used in NG based handover procedure with KAMF change, when a KeNB key is derived from the new KAMF key as described in TS 33.501 [86]. |
| ***lwa-Configuration***  This field is used to provide parameters for LWA configuration. E-UTRAN does not simultaneously configure LWA with DC, LWIP or RCLWI for a UE. |
| ***lwip-Configuration***  This field is used to provide parameters for LWIP configuration. E-UTRAN does not simultaneously configure LWIP with DC, LWA or RCLWI for a UE. |
| ***measConfig***  Measurements that E-UTRAN may configure when the UE is not configured with NE-DC. |
| ***measConfigSN***  Measurements that E-UTRAN may configure when the UE is configured with NE-DC and for which reports are carried within an NR RRC message. |
| ***nas-Container***  This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although, if included, it affects activation of AS- security after handover within E-UTRA/5GC. The content is defined in TS 24.501 [95]. In case of NG based handover, the content of nas-Container is. the Intra N1 mode NAS transparent container IE. In case of inter-system handover to from 5GS to EPS, the content of NAS-Container is. the S1 mode to N1 mode NAS transparent container IE. |
| ***nas-securityParamToEUTRA***  This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although, if included, it affects activation of AS- security after inter-RAT handover to E-UTRA/EPC or inter-system handover to E-UTRA/EPC. The content is defined in TS 24.301 [35]. This field is not used for handover from 5GC. |
| ***networkControlledSyncTx***  This field indicates whether the UE shall transmit synchronisation information (i.e. become synchronisation source). Value *On* indicates the UE to transmit synchronisation information while value *Off* indicates the UE to not transmit such information. |
| ***nextHopChainingCount***  Parameter NCC: See TS 33.401 [32] if UE is connected to EPC, else see 33.501 [86] if UE is connected to 5GC. |
| ***nr-Config***  Includes the NR related configurations. This field is used to configure (NG)EN-DC configuration, possibly in conjunction with fields *sk-Counter* and *nr-RadioBearerConfig1/ 2*. NOTE 1. |
| ***nr-RadioBearerConfig1, nr-RadioBearerConfig2***  Includes the NR *RadioBearerConfig* IE as specified in TS 38.331 [82]. The field includes the configuration of RBs configured with NR PDCP. |
| ***nr-SecondaryCellGroupConfig***  Includes the NR *RRCReconfiguration* message as specified in TS 38.331 [82]. In this version of the specification, the NR RRC message only includes fields *secondaryCellGroup* and/ or *measConfig*. If *nr-SecondaryCellGroupConfig* is configured, the network always includes this field upon MN handover to initiate an NR SCG reconfiguration with sync and key change. |
| ***perCC-GapIndicationRequest***  Indicates that UE shall include *perCC-GapIndicationList* and *numFreqEffective* in the *RRCConnectionReconfigurationComplete* message. *numFreqEffectiveReduced* may also be included if frequencies are configured for reduced measurement performance. |
| ***p-MaxEUTRA***  Indicates the maximum power available for LTE. |
| ***p-MaxUE-FR1***  The maximum total transmit power to be used by the UE across all serving cells in frequency range 1 (FR1) across all cell groups. The maximum transmit power that the UE may use may be additionally limited on cell- or cell-group level. The field is optionally present, if (NG)EN-DC (nr-Config-r15) has been configured. It is absent otherwise. |
| ***p-MeNB***  Indicates the guaranteed power for the MeNB, as specified in TS 36.213 [23]. The value N corresponds to N-1 in TS 36.213 [23]. |
| ***powerControlMode***  Indicates the power control mode used in DC. Value 1 corresponds to DC power control mode 1 and value 2 indicates DC power control mode 2, as specified in TS 36.213 [23]. |
| ***p-SeNB***  Indicates the guaranteed power for the SeNB as specified in TS 36.213 [23], Table 5.1.4.2-1. The value N corresponds to N-1 in TS 36.213 [23]. |
| ***rclwi-Configuration***  WLAN traffic steering command as specified in 5.6.16.2. E-UTRAN does not simultaneously configure RCLWI with DC, LWA or LWIP for a UE. |
| ***sCellConfigCommon***  Indicates the common configuration for the SCell group. |
| ***sCellGroupIndex***  Indicates the identity of SCell groups for which a common configuration is provided. |
| ***sCellIndex***  The *sCellIndex* is unique within the scope of the UE. In case of DC, an SCG cell can not use the same value as used for an MCG cell. For *pSCellToAddMod*, if *sCellIndex-r13* is present the UE shall ignore *sCellIndex-r12.* |
| ***sCellGroupToAddModList, sCellGroupToAddModListSCG***  Indicates the SCell group to be added or modified. E-UTRAN only configures at most 4 SCell groups per UE over all cell groups. SCell groups can only be configured for LTE SCells, and all SCells in an SCell group must belong to the same cell group. |
| ***sCellGroupToReleaseList***  Indicates the SCell group to be released. |
| ***sCellState***  A one-shot field that indicates whether the SCell shall be considered to be in activated or dormant state upon SCell configuration. |
| ***sCellToAddModList, sCellToAddModListExt***  Indicates the SCell to be added or modified. E-UTRAN uses field *sCellToAddModList-r10* to add or modify SCells (with *sCellIndex-r10*) for a UE that does not support carrier aggregation with more than 5 component carriers. If E-UTRAN includes *sCellToAddModListExt-v1430* it includes the same number of entries, and listed in the same order, as in *sCellToAddModListExt-r13*. If E-UTRAN includes *sCellToAddModList-v10l0* it includes the same number of entries, and listed in the same order, as in *sCellToAddModList-r10*. If E-UTRAN includes *sCellToAddModListExt-v1370* it includes the same number of entries, and listed in the same order, as in *sCellToAddModListExt-r13*. If E-UTRAN includes *sCellToAddModListExt-v13c0* it includes the same number of entries, and listed in the same order, as in *sCellToAddModListExt-r13.* |
| ***sCellToAddModListSCG, sCellToAddModListSCG-Ext***  Indicates the SCG cell to be added or modified. The field is used for SCG cells other than the PSCell (which is added/ modified by field *pSCellToAddMod*). E-UTRAN uses field *sCellToAddModListSCG-r12* to add or modify SCells (with *sCellIndex-r10*) for a UE that does not support carrier aggregation with more than 5 component carriers. If E-UTRAN includes *sCellToAddModListSCG-v10l0* it includes the same number of entries, and listed in the same order, as in *sCellToAddModListSCG-r12*. If E-UTRAN includes *sCellToAddModListSCG-Ext-v1370* it includes the same number of entries, and listed in the same order, as in *sCellToAddModListSCG-Ext-r13*. If E-UTRAN includes *sCellToAddModListSCG-Ext-v13c0* it includes the same number of entries, and listed in the same order, as in *sCellToAddModListSCG-Ext-r13.* |
| ***sCellToReleaseList, sCellToReleaseListExt***  Indicates the SCell to be released. E-UTRAN uses field *sCellToReleaseList-r10* to release SCells for a UE that does not support carrier aggregation with more than 5 component carriers. |
| ***sCellToReleaseListSCG, sCellToReleaseListSCG-Ext***  Indicates the SCG cell to be released. The field is also used to release the PSCell e.g. upon change of PSCell, upon system information change for the PSCell. E-UTRAN uses field *sCellToReleaseListSCG-r12* to release SCells for a UE that does not support carrier aggregation with more than 5 component carriers. |
| ***scg-Configuration***  Covers the SCG configuration as used in case of DC and NE-DC. When the UE is configured with NE-DC, E-UTRAN neither applies value release nor configures *scg-ConfigPartMCG*. |
| ***scg-Counter***  A counter used upon initial configuration of SCG security as well as upon refresh of S-KeNB. E-UTRAN includes the field upon SCG change when one or more SCG DRBs are configured. Otherwise E-UTRAN does not include the field. |
| ***securityConfigHO***  This field contains the parameters required to update the security keys at handover. If E-UTRAN includes the *securityConfigHO* (i.e., without suffix), the choice *intraLTE* is used for handover within E-UTRA/EPC while the choice *interRAT* is used for handover from GERAN or UTRAN to E-UTRA/EPC. If E-UTRAN includes the *securityConfigHO-v1530* (i.e., with suffix), the choice *intra5GC* is used for handover from NR or E-UTRA/5GC to E-UTRA/5GC while the choice *fivegc-ToEPC* is used for inter-system handover from NR or E-UTRA/5GC to E-UTRA/EPC and the choice *epc-To5GC* is used for inter-system handover from E-UTRA/EPC to E-UTRA/5GC. |
| ***sk-Counter***  A one-shot counter used upon initial configuration of S-KgNB as well as upon refresh of S-KgNB. E-UTRAN always provides this field either upon initial configuration of an NR SCG, or upon configuration of the first (SN terminated) RB using S-KgNB, whichever happens first. |
| ***sl-V2X-ConfigDedicated***  Indicates sidelink configuration for non-P2X related V2X sidelink communication as well as P2X related V2X sidelink communication. |
| ***smtc***  The SSB periodicity/offset/duration configuration of target cell for NR PSCell addition and SN change. It is based on timing reference of EUTRA PCell. NOTE 2.  If the field is absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message. |
| ***srs-SwitchFromServCellIndex***  Indicates the serving cell whose UL transmission may be interrupted during SRS transmission on a PUSCH-less cell. During SRS transmission on a PUSCH-less cell, the UE may temporarily suspend the UL transmission on a serving cell with PUSCH in the same CG to allow the PUSCH-less cell to transmit SRS. The PUSCH-less cell is always a TDD cell but the serving cell with PUSCH may be either a FDD or TDD cell. |
| ***subframeAssignment***  Indicates DL/UL subframe configuration where sa0 points to Configuration 0, sa1 to Configuration 1 etc. as specified in TS 36.211 [21], table 4.2-2. |
| ***systemInformationBlockType1Dedicated***  This field is used to transfer *SystemInformationBlockType1* or *SystemInformationBlockType1-BR* to the UE. |
| ***systemInformationBlockType2Dedicated***  This field is used to transfer BR version of *SystemInformationBlockType2* to BL UEs or UEs in CE or *SystemInformationBlockType2* to non-BL UEs. |
| ***t350***  Timer T350 as described in clause 7.3. Value *minN* corresponds to N minutes. |
| ***tdm-PatternConfig***  UL/DL reference configuration indicating the time during which a UE configured with (NG)EN-DC is allowed to transmit. This field is used when power control or IMD issues require single UL transmission as specified in TS 38.101-3 [101] and TS 38.213 [88]. |
| ***tdm-PatternConfigNE-DC***  UL/DL reference configuration indicating the time during which a UE configured with NE-DC is allowed to transmit. This field is used when power control or IMD issues require single UL transmission as specified in TS 38.101-3 [101] and TS 38.213 [88]. |

| Conditional presence | Explanation |
| --- | --- |
| *EARFCN-max* | The field is mandatory present if *dl-CarrierFreq-r10* is included and set to *maxEARFCN*. Otherwise the field is not present. |
| *FDD-PCell* | This field is optionally present, need ON, for a FDD PCell if there is no SCell with configured uplink. Otherwise, the field is not present. |
| *FDD-PSCell* | This field is optionally present, need ON, for a FDD PSCell if there is no SCell with configured uplink. Otherwise, the field is not present. |
| *fullConfig* | This field is mandatory present for handover within E-UTRA when the *fullConfig* is included; otherwise it is optionally present, Need OP. |
| *HO* | The field is mandatory present in case of handover within E-UTRA or to E-UTRA; otherwise the field is not present. |
| *HO-Reestab* | The field is mandatory present in case of inter-system handover within E-UTRA or handover from NR to E-UTRA/EPC; it is optionally present, need ON, in case of intra-system handover within E-UTRA or upon the first reconfiguration after RRC connection re-establishment; or for intra-system handover from NR to E-UTRA, otherwise the field is not present. |
| *HO-5GC* | The field is mandatory present in case of handover within E-UTRA/5GC, handover to E-UTRA/5GC, handover from NR to E-UTRA/EPC, or handover from E-UTRA/5GC to E-UTRA/EPC, otherwise the field is not present. |
| *HO-toEPC* | The field is mandatory present in case of handover within E-UTRA/EPC or to E-UTRA/EPC, except handover from NR or E-UTRA/5GC, otherwise the field is not present. |
| *HO-toEUTRA* | The field is mandatory present in case of handover to E-UTRA or for reconfigurations when *fullConfig* is included; otherwise the field is optionally present, need ON. |
| *nonFullConfig* | The field is not present when the *fullConfig* is included or in case of handover to E-UTRA; otherwise it is optional present, need ON. |
| *nonHO* | The field is not present in case of handover within E-UTRA or to E-UTRA; otherwise it is optional present, need ON. |
| *SCellAdd* | The field is mandatory present upon SCell addition; otherwise it is not present. |
| *SCellAdd2* | The field is mandatory present upon SCell addition; otherwise it is optionally present, need ON. |

Editor's Note: FFS Whether *mobilityControlInfo* may be included at the same time as a CHO.

NOTE 1: Fields *sk-Counter* and *nr-RadioBearerConfig1/ 2* are placed outside *nr-Config*, as these may be configured while the UE is not configured with (NG)EN-DC.

NOTE 2: It is not specified whether the timing reference for the SMTC configuration is the source EUTRA PCell or the target EUTRA PCell in case the NR PSCell addition or SN change takes place simultaneously with handover. As a consequence, explicit SMTC configuration is only supported when the source EUTRA PCell and the target EUTRA PCell of the handover are SFN/subframe-synchronized.

Next change

### 6.3.2 Radio resource control information elements

[…]

#### – *RadioResourceConfigDedicated*

The IE *RadioResourceConfigDedicated* is used to setup/modify/release RBs, to modify the MAC main configuration, to modify the SPS configuration and to modify dedicated physical configuration.

*RadioResourceConfigDedicated* information element

-- ASN1START

RadioResourceConfigDedicated ::= SEQUENCE {

srb-ToAddModList SRB-ToAddModList OPTIONAL, -- Cond HO-Conn

drb-ToAddModList DRB-ToAddModList OPTIONAL, -- Cond HO-toEUTRA

drb-ToReleaseList DRB-ToReleaseList OPTIONAL, -- Need ON

mac-MainConfig CHOICE {

explicitValue MAC-MainConfig,

defaultValue NULL

} OPTIONAL, -- Cond HO-toEUTRA2

sps-Config SPS-Config OPTIONAL, -- Need ON

physicalConfigDedicated PhysicalConfigDedicated OPTIONAL, -- Need ON

...,

[[ rlf-TimersAndConstants-r9 RLF-TimersAndConstants-r9 OPTIONAL -- Need ON

]],

[[ measSubframePatternPCell-r10 MeasSubframePatternPCell-r10 OPTIONAL -- Need ON

]],

[[ neighCellsCRS-Info-r11 NeighCellsCRS-Info-r11 OPTIONAL -- Need ON

]],

[[ naics-Info-r12 NAICS-AssistanceInfo-r12 OPTIONAL -- Need ON

]],

[[ neighCellsCRS-Info-r13 NeighCellsCRS-Info-r13 OPTIONAL, -- Cond CRSIM

rlf-TimersAndConstants-r13 RLF-TimersAndConstants-r13 OPTIONAL -- Need ON

]],

[[ sps-Config-v1430 SPS-Config-v1430 OPTIONAL -- Cond SPS

]],

[[ srb-ToAddModListExt-r15 SRB-ToAddModListExt-r15 OPTIONAL, -- Need ON

srb-ToReleaseListExt-r15 INTEGER (4) OPTIONAL, -- Need ON

sps-Config-v1530 SPS-Config-v1530 OPTIONAL, -- Need ON

crs-IntfMitigConfig-r15 CHOICE {

release NULL,

setup CHOICE { crs-IntfMitigEnabled-15 NULL,

crs-IntfMitigNumPRBs-r15 ENUMERATED {n6, n24}

}

} OPTIONAL, -- Need ON

neighCellsCRS-Info-r15 NeighCellsCRS-Info-r15 OPTIONAL, -- Need ON

drb-ToAddModList-r15 DRB-ToAddModList-r15 OPTIONAL, -- Need ON

drb-ToReleaseList-r15 DRB-ToReleaseList-r15 OPTIONAL, -- Need ON

dummy SEQUENCE (SIZE (1..2)) OF INTEGER (1..2) OPTIONAL -- Need ON

]],

[[ sps-Config-v1540 SPS-Config-v1540 OPTIONAL -- Need ON

]]

}

RadioResourceConfigDedicated-v1370 ::= SEQUENCE {

physicalConfigDedicated-v1370 PhysicalConfigDedicated-v1370 OPTIONAL -- Need ON

}

RadioResourceConfigDedicated-v13c0 ::= SEQUENCE {

physicalConfigDedicated-v13c0 PhysicalConfigDedicated-v13c0

}

RadioResourceConfigDedicatedPSCell-r12 ::= SEQUENCE {

-- UE specific configuration extensions applicable for an PSCell

physicalConfigDedicatedPSCell-r12 PhysicalConfigDedicated OPTIONAL, -- Need ON

sps-Config-r12 SPS-Config OPTIONAL, -- Need ON

naics-Info-r12 NAICS-AssistanceInfo-r12 OPTIONAL, -- Need ON

...,

[[ neighCellsCRS-InfoPSCell-r13 NeighCellsCRS-Info-r13 OPTIONAL -- Need ON

]],

[[ sps-Config-v1430 SPS-Config-v1430 OPTIONAL -- Cond SPS2

]],

[[ sps-Config-v1530 SPS-Config-v1530 OPTIONAL, -- Need ON

crs-IntfMitigEnabled-r15 BOOLEAN OPTIONAL, -- Need ON

neighCellsCRS-Info-r15 NeighCellsCRS-Info-r15 OPTIONAL -- Need ON

]],

[[ sps-Config-v1540 SPS-Config-v1540 OPTIONAL -- Need ON

]]

}

RadioResourceConfigDedicatedPSCell-v1370 ::= SEQUENCE {

physicalConfigDedicatedPSCell-v1370 PhysicalConfigDedicated-v1370 OPTIONAL -- Need ON

}

RadioResourceConfigDedicatedPSCell-v13c0 ::= SEQUENCE {

physicalConfigDedicatedPSCell-v13c0 PhysicalConfigDedicated-v13c0

}

RadioResourceConfigDedicatedSCG-r12 ::= SEQUENCE {

drb-ToAddModListSCG-r12 DRB-ToAddModListSCG-r12 OPTIONAL, -- Need ON

mac-MainConfigSCG-r12 MAC-MainConfig OPTIONAL, -- Need ON

rlf-TimersAndConstantsSCG-r12 RLF-TimersAndConstantsSCG-r12 OPTIONAL, -- Need ON

...,

[[ drb-ToAddModListSCG-r15 DRB-ToAddModListSCG-r15 OPTIONAL -- Need ON

]],

[[ srb-ToAddModListSCG-r15 SRB-ToAddModList OPTIONAL, -- Need ON

srb-ToReleaseListSCG-r15 SRB-ToReleaseList-r15 OPTIONAL -- Need ON

]],

[[ -- NE-DC additions for release of RLC bearer config for DRBs

drb-ToReleaseListSCG-r15 DRB-ToReleaseList-r15 OPTIONAL -- Need ON

]]

}

RadioResourceConfigDedicatedSCell-r10 ::= SEQUENCE {

-- UE specific configuration extensions applicable for an SCell

physicalConfigDedicatedSCell-r10 PhysicalConfigDedicatedSCell-r10 OPTIONAL, -- Need ON

...,

[[ mac-MainConfigSCell-r11 MAC-MainConfigSCell-r11 OPTIONAL -- Cond SCellAdd

]],

[[ naics-Info-r12 NAICS-AssistanceInfo-r12 OPTIONAL -- Need ON

]],

[[ neighCellsCRS-InfoSCell-r13 NeighCellsCRS-Info-r13 OPTIONAL -- Need ON

]],

[[ physicalConfigDedicatedSCell-v1370 PhysicalConfigDedicatedSCell-v1370 OPTIONAL -- Need ON

]],

[[ crs-IntfMitigEnabled-r15 BOOLEAN OPTIONAL, -- Need ON

neighCellsCRS-Info-r15 NeighCellsCRS-Info-r15 OPTIONAL, -- Need ON

sps-Config-v1530 SPS-Config-v1530 OPTIONAL -- Need ON

]]

}

RadioResourceConfigDedicatedSCell-v13c0 ::= SEQUENCE {

physicalConfigDedicatedSCell-v13c0 PhysicalConfigDedicatedSCell-v13c0

}

SRB-ToAddModList ::= SEQUENCE (SIZE (1..2)) OF SRB-ToAddMod

SRB-ToAddModListExt-r15 ::= SEQUENCE (SIZE (1)) OF SRB-ToAddMod

SRB-ToAddMod ::= SEQUENCE {

srb-Identity INTEGER (1..2),

rlc-Config CHOICE {

explicitValue RLC-Config,

defaultValue NULL

} OPTIONAL, -- Cond Setup

logicalChannelConfig CHOICE {

explicitValue LogicalChannelConfig,

defaultValue NULL

} OPTIONAL, -- Cond Setup

...,

[[ pdcp-verChange-r15 ENUMERATED {true} OPTIONAL, -- Cond NR-PDCP

rlc-Config-v1530 RLC-Config-v1530 OPTIONAL, -- Need ON

rlc-BearerConfigSecondary-r15 RLC-BearerConfig-r15 OPTIONAL, -- Need ON

srb-Identity-v1530 INTEGER (4) OPTIONAL -- Need ON

]],

[[ rlc-Config-v1560 RLC-Config-v1510 OPTIONAL -- Need ON

]]

}

DRB-ToAddModList ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-ToAddMod

DRB-ToAddModList-r15 ::= SEQUENCE (SIZE (1..maxDRB-r15)) OF DRB-ToAddMod

DRB-ToAddModListSCG-r12 ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-ToAddModSCG-r12

DRB-ToAddModListSCG-r15 ::= SEQUENCE (SIZE (1..maxDRB-r15)) OF DRB-ToAddModSCG-r12

DRB-ToAddMod ::= SEQUENCE {

eps-BearerIdentity INTEGER (0..15) OPTIONAL, -- Cond DRB-Setup

drb-Identity DRB-Identity,

pdcp-Config PDCP-Config OPTIONAL, -- Cond PDCP

rlc-Config RLC-Config OPTIONAL, -- Cond SetupM

logicalChannelIdentity INTEGER (3..10) OPTIONAL, -- Cond DRB-SetupM

logicalChannelConfig LogicalChannelConfig OPTIONAL, -- Cond SetupM

...,

[[ drb-TypeChange-r12 ENUMERATED {toMCG} OPTIONAL, -- Need OP

rlc-Config-v1250 RLC-Config-v1250 OPTIONAL -- Need ON

]],

[[ rlc-Config-v1310 RLC-Config-v1310 OPTIONAL, -- Need ON

drb-TypeLWA-r13 BOOLEAN OPTIONAL, -- Need ON

drb-TypeLWIP-r13 ENUMERATED {lwip, lwip-DL-only,

lwip-UL-only, eutran} OPTIONAL -- Need ON

]],

[[ rlc-Config-v1430 RLC-Config-v1430 OPTIONAL, -- Need ON

lwip-UL-Aggregation-r14 BOOLEAN OPTIONAL, -- Cond LWIP

lwip-DL-Aggregation-r14 BOOLEAN OPTIONAL, -- Cond LWIP

lwa-WLAN-AC-r14 ENUMERATED {ac-bk, ac-be, ac-vi, ac-vo} OPTIONAL -- Cond UL-LWA

]],

[[ rlc-Config-v1510 RLC-Config-v1510 OPTIONAL -- Need ON

]],

[[ rlc-Config-v1530 RLC-Config-v1530 OPTIONAL, -- Need ON

rlc-BearerConfigSecondary-r15 RLC-BearerConfig-r15 OPTIONAL, -- Need ON

logicalChannelIdentity-r15 INTEGER (32..38) OPTIONAL -- Need ON

]],

[[ daps-HO-r16 ENUMERATED {true} OPTIONAL, -- Cond NotFullConfigHO

]]

}

DRB-ToAddModSCG-r12 ::= SEQUENCE {

drb-Identity-r12 DRB-Identity,

drb-Type-r12 CHOICE {

split-r12 NULL,

scg-r12 SEQUENCE {

eps-BearerIdentity-r12 INTEGER (0..15) OPTIONAL, -- Cond DRB-Setup

pdcp-Config-r12 PDCP-Config OPTIONAL -- Cond PDCP-S

}

} OPTIONAL, -- Cond SetupS2

rlc-ConfigSCG-r12 RLC-Config OPTIONAL, -- Cond SetupS

rlc-Config-v1250 RLC-Config-v1250 OPTIONAL, -- Need ON

logicalChannelIdentitySCG-r12 INTEGER (3..10) OPTIONAL, -- Cond DRB-SetupS

logicalChannelConfigSCG-r12 LogicalChannelConfig OPTIONAL, -- Cond SetupS

...,

[[ rlc-Config-v1430 RLC-Config-v1430 OPTIONAL -- Need ON

]],

[[ logicalChannelIdentitySCG-r15 INTEGER (32..38) OPTIONAL, -- Need ON

rlc-Config-v1530 RLC-Config-v1530 OPTIONAL, -- Need ON

rlc-BearerConfigSecondary-r15 RLC-BearerConfig-r15 OPTIONAL -- Need ON

]],

[[ rlc-Config-v1560 RLC-Config-v1510 OPTIONAL -- Need ON

]]

}

DRB-ToReleaseList ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-Identity

DRB-ToReleaseList-r15 ::= SEQUENCE (SIZE (1..maxDRB-r15)) OF DRB-Identity

SRB-ToReleaseList-r15 ::= SEQUENCE (SIZE (1..2)) OF INTEGER (1..2)

MeasSubframePatternPCell-r10 ::= CHOICE {

release NULL,

setup MeasSubframePattern-r10

}

NeighCellsCRS-Info-r11 ::= CHOICE {

release NULL,

setup CRS-AssistanceInfoList-r11

}

CRS-AssistanceInfoList-r11 ::= SEQUENCE (SIZE (1..maxCellReport)) OF CRS-AssistanceInfo-r11

CRS-AssistanceInfo-r11 ::= SEQUENCE {

physCellId-r11 PhysCellId,

antennaPortsCount-r11 ENUMERATED {an1, an2, an4, spare1},

mbsfn-SubframeConfigList-r11 MBSFN-SubframeConfigList,

...,

[[ mbsfn-SubframeConfigList-v1430 MBSFN-SubframeConfigList-v1430 OPTIONAL -- Need ON

]]

}

NeighCellsCRS-Info-r13 ::= CHOICE {

release NULL,

setup CRS-AssistanceInfoList-r13

}

CRS-AssistanceInfoList-r13 ::= SEQUENCE (SIZE (1..maxCellReport)) OF CRS-AssistanceInfo-r13

CRS-AssistanceInfo-r13 ::= SEQUENCE {

physCellId-r13 PhysCellId,

antennaPortsCount-r13 ENUMERATED {an1, an2, an4, spare1},

mbsfn-SubframeConfigList-r13 MBSFN-SubframeConfigList OPTIONAL, -- Need ON

...,

[[ mbsfn-SubframeConfigList-v1430 MBSFN-SubframeConfigList-v1430 OPTIONAL -- Need ON

]]

}

NeighCellsCRS-Info-r15 ::= CHOICE {

release NULL,

setup CRS-AssistanceInfoList-r15

}

CRS-AssistanceInfoList-r15 ::= SEQUENCE (SIZE (1..maxCellReport)) OF CRS-AssistanceInfo-r15

CRS-AssistanceInfo-r15 ::= SEQUENCE {

physCellId-r15 PhysCellId,

crs-IntfMitigEnabled-15 ENUMERATED {enabled} OPTIONAL -- Need ON

}

NAICS-AssistanceInfo-r12 ::= CHOICE {

release NULL,

setup SEQUENCE {

neighCellsToReleaseList-r12 NeighCellsToReleaseList-r12 OPTIONAL , -- Need ON

neighCellsToAddModList-r12 NeighCellsToAddModList-r12 OPTIONAL, -- Need ON

servCellp-a-r12 P-a OPTIONAL -- Need ON

}

}

NeighCellsToReleaseList-r12 ::= SEQUENCE (SIZE (1..maxNeighCell-r12)) OF PhysCellId

NeighCellsToAddModList-r12 ::= SEQUENCE (SIZE (1..maxNeighCell-r12)) OF NeighCellsInfo-r12

NeighCellsInfo-r12 ::= SEQUENCE {

physCellId-r12 PhysCellId,

p-b-r12 INTEGER (0..3),

crs-PortsCount-r12 ENUMERATED {n1, n2, n4, spare},

mbsfn-SubframeConfig-r12 MBSFN-SubframeConfigList OPTIONAL, -- Need ON

p-aList-r12 SEQUENCE (SIZE (1..maxP-a-PerNeighCell-r12)) OF P-a,

transmissionModeList-r12 BIT STRING (SIZE(8)),

resAllocGranularity-r12 INTEGER (1..4),

...

}

P-a ::= ENUMERATED { dB-6, dB-4dot77, dB-3, dB-1dot77,

dB0, dB1, dB2, dB3}

RLC-BearerConfig-r15 ::= CHOICE {

release NULL,

setup SEQUENCE {

rlc-Config-r15 RLC-Config-r15 OPTIONAL, -- Need ON

logicalChannelIdentityConfig-r15 CHOICE {

logicalChannelIdentity-r15 INTEGER (1..10),

logicalChannelIdentityExt-r15 INTEGER (32..38)

},

logicalChannelConfig-r15 LogicalChannelConfig OPTIONAL -- Need ON

}

}

-- ASN1STOP

| *RadioResourceConfigDedicated* field descriptions |
| --- |
| ***crs-IntfMitigConfig***  *crs-IntfMitigEnabled-r15* indicates CRS interference mitigation is enabled for the cell, as specified in TS 36.133 [16], clause 3.6.1.1. For BL UEs or UEs in CE supporting *ce-CRS-IntfMitig,* presence of this field indicates CRS interference mitigation is enabled in the cell, as specified in TS 36.133 [16], clauses 3.6.1.2 and 3.6.1.3, and the value *crs-IntfMitigNumPRBs* indicatesnumber of PRBs, i.e. 6 or 24 PRBs, for CRS transmission in the central cell BW when CRS interference mitigation is enabled. For UEs not supporting this feature, the behaviour is undefined if this field is configured and the field *cellBarred* in *SystemInformationBlockType1* (*SystemInformationBlockType1-BR* for BL UEs or UEs in CE) is set to *notbarred*. |
| ***crs-PortsCount***  Parameter represents the number of antenna ports for cell-specific reference signal used by the signaled neighboring cell where n1 corresponds to 1 antenna port, n2 to 2 antenna ports etc. see TS 36.211 [21], clause 6.10.1. |
| ***daps-HO***  This field indicates that the handover, triggered in the same *RRCConnectionReconfiguration* message, shall be performed as a DAPS HO for the DRB. DAPS HO is not configured when the *fullConfig* is included. |
| ***drb-Identity***  In case of DC, the DRB identity is unique within the scope of the UE i.e. an SCG DRB can not use the same value as used for an MCG or split DRB. For a split DRB the same identity is used for the MCG- and SCG parts of the configuration. |
| ***drb-ToAddModList***  When *drb-ToAddModList-r15* is configured, UE shall ignore the *drb-ToAddModList* (without suffix). |
| ***drb-ToAddModListSCG***  When an SCG is configured, E-UTRAN configures at least one SCG or split DRB. *When drb-ToAddModListSCG-r15* is configured, UE shall ignore the *drb-ToAddModListSCG* (without suffix). When NE-DC is configured, this field indicates the SCG RLC bearers to be (re-)configured. |
| ***drb-ToReleaseList***  When *drb-ToReleaseList-r15* is configured, UE shall ignore the *drb-ToReleaseList* (without suffix). |
| ***drb-ToReleaseListSCG***  When NE-DC is configured, this field indicates the SCG RLC bearers to be released. |
| ***drb-Type***  This field indicates whether the DRB is split or SCG DRB. E-UTRAN does not configure split and SCG DRBs simultaneously for the UE. |
| ***drb-TypeChange***  Indicates that a split/SCG DRB is reconfigured to an MCG DRB (i.e. E-UTRAN only signals the field in case the DRB type changes). |
| ***drb-TypeLWA***  Indicates whether a DRB is (re)configured as an LWA DRB or an LWA DRB is reconfigured not to use WLAN resources. NOTE 1 |
| ***drb-TypeLWIP***  Indicates whether a DRB is (re)configured to use LWIP Tunnel in UL and DL (value *lwip*), DL only (value *lwip-DL-only*), UL only (value *lwip-UL-only*) or not to use LWIP Tunnel (value *eutran*). |
| ***dummy***  This field is not used in the specification. If received it shall be ignored by the UE. |
| ***logicalChannelConfig***  For SRBs a choice is used to indicate whether the logical channel configuration is signalled explicitly or set to the default logical channel configuration for SRB1 as specified in 9.2.1.1 or for SRB2 as specified in 9.2.1.2. |
| ***logicalChannelIdentity, LogicalChannelIdentityExt***  The logical channel identity for both UL and DL. Value 4 is not configured for DRBs if SRB4 is configured. When *logicalChannelIdentity-r15* is signalled, UE shall ignore contents of *logicalChannelIdentity* (without suffix). |
| ***logicalChannelIdentitySCG***  The logical channel identity for both UL and DL. When *logicalChannelIdentitySCG-r15* is signalled, UE shall ignore contents of *logicalChannelIdentitySCG* (without suffix). |
| ***lwa-WLAN-AC***  For LWA bearers, indicates the corresponding WLAN access category for uplink. AC-BK (value *ac-bk*) corresponds to Background access category, AC-BE (value *ac-be*) corresponds to Best Effort access category, AC-VI (value *ac-vi*) corresponds to Video access category and AC-VO (value *ac-vo*) corresponds to Voice access category as defined by IEEE 802.11-2012 [67]. If *lwa-WLAN-AC* is not configured, it is left up to UE to decide which IEEE 802.11 AC value to use when performing transmissions of packets for this DRB over WLAN in the uplink. |
| ***lwip-DL-Aggregation, lwip-UL-Aggregation***  Indicates whether LWIP is configured to utilize LWIP aggregation in DL or UL. |
| ***mac-MainConfig***  Although the ASN.1 includes a choice that is used to indicate whether the mac-MainConfig is signalled explicitly or set to the default MAC main configuration as specified in 9.2.2, EUTRAN does not apply "*defaultValue*". |
| ***mbsfn-SubframeConfig***  Defines the MBSFN subframe configuration used by the signaled neighboring cell. If absent, UE assumes no MBSFN configuration for the neighboring cell. |
| ***measSubframePatternPCell***  Time domain measurement resource restriction pattern for the PCell measurements (RSRP, RSRQ and the radio link monitoring). |
| ***neighCellsCRS-Info, neighCellsCRS-InfoSCell, neighCellsCRS-InfoPSCell***  This field contains assistance information used by the UE to mitigate interference from CRS while performing RRM/RLM/CSI measurement or data demodulation or DL control channel demodulation. When the received CRS assistance information is for a cell with CRS non-colliding with that of the CRS of the cell to measure, the UE may use the CRS assistance information to mitigate CRS interference. When the received CRS assistance information is for a cell with CRS colliding with that of the CRS of the cell to measure, the UE may use the CRS assistance information to mitigate CRS interference RRM/RLM (as specified in TS 36.133 [16]) and for CSI (as specified in TS 36.101 [42]) on the subframes indicated by *measSubframePatternPCell*, *measSubframePatternConfigNeigh*, *csi-MeasSubframeSet1* ifconfigured, and the CSI subframe set 1 if *csi-MeasSubframeSets-r12* is configured. The UE may use CRS assistance information to mitigate CRS interference from the cells in the *CRS-AssistanceInfoList* for the demodulation purpose or DL control channel demodulation as specified in TS 36.101 [42]. EUTRAN does not configure *neighCellsCRS-Info-r11* or *neighCellsCRS-Info-r13* if *eimta-MainConfigPCell-r12* is configured. |
| ***neighCellsToAddModList***  This field contains assistance information used by the UE to cancel and suppress interference of a neighbouring cell. If this field is present for a neighbouring cell, the UE assumes that the transmission parameters listed in the sub-fields are used by the neighbouring cell. If this field is present for a neighbouring cell, the UE assumes the neighbour cell is subframe and SFN synchronized to the serving cell, has the same system bandwidth, UL/DL and special subframe configuration, and cyclic prefix length as the serving cell. |
| ***p-aList***  Indicates the restricted subset of power offset for QPSK, 16QAM, and 64QAM PDSCH transmissions for the neighbouring cell by using the parameter, see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc. |
| ***p-b***  Parameter: , indicates the cell-specific ratio used by the signaled neighboring cell, see TS 36.213 [23], Table 5.2-1. |
| ***pdcp-verChange***  Indicates that the PDCP version of the SRB is changed from NR PDCP to E-UTRA PDCP. Network only configures this version change for during handover, resume and first reconfiguration after re-establishment. E-UTRAN does not include this field when *SRB-ToAddMod* is included in *srb-ToAddModListSCG*. |
| ***physicalConfigDedicated***  The default dedicated physical configuration is specified in 9.2.4. |
| ***resAllocGranularity***  Indicates the resource allocation and precoding granularity in PRB pair level of the signaled neighboring cell, see TS 36.213 [23], clause 7.1.6. |
| ***rlc-BearerConfigSecondary***  The configuration of a secondary RLC bearer within the same Cell Group as may e.g. be used in case of PDCP duplication using CA. The configuration comprises a (secondary) RLC entity, a logical channel identity and a logical channel configuration. E-UTRAN may configure this for SRB1, SRB2 and DRBs. For SRBs, E-UTRAN only configures the field for MCG (i.e. if included in *radioResourceConfigDedicated*. E-UTRAN configures the same RLC mode (AM/ UM) as used for the original RLC entity. The primary RLC entity is configured by *RLC-Config*. |
| ***rlc-Config***  For SRBs a choice is used to indicate whether the RLC configuration is signalled explicitly or set to the values defined in the default RLC configuration for SRB1 in 9.2.1.1 or for SRB2 in 9.2.1.2. RLC AM is the only applicable RLC mode for SRB1 and SRB2. E-UTRAN does not reconfigure the RLC mode of DRBs except when a full configuration option is used, and may reconfigure the RLC SN field size and the AM RLC LI field size only upon handover within E-UTRA or upon the first reconfiguration after RRC connection re-establishment or upon SCG Change for SCG and split DRBs. |
| ***servCellp-a***  Indicates the power offset for QPSK C-RNTI based PDSCH transmissions used by the serving cell, see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc. |
| ***sps-Config***  The default SPS configuration is specified in 9.2.3. Except for handover or releasing SPS for MCG, E-UTRAN does not reconfigure *sps-Config* for MCG when there is a configured downlink assignment or a configured uplink grant for MCG (see TS 36.321 [6]). Except for SCG change or releasing SPS for SCG, E-UTRAN does not reconfigure *sps-Config* for SCG when there is a configured downlink assignment or a configured uplink grant for SCG (see TS 36.321 [6]). In one serving cell, *sps-Config-v1530* is not present simultaneously with either *sps-Config* (without suffix) or *sps-Config-r12*. |
| ***srb-Identity***  Value 1 is applicable for SRB1 only. Value 2 is applicable for SRB2 only. Value 4 is applicable for SRB4 only, if configured. For a split SRB the same identity is used for the MCG and NR SCG RLC bearer configurations. If *srb-Identity-v1530* is received, the UE shall ignore *srb-Identity* (i.e. without suffix). |
| ***srb-Identity-v1530***  E-UTRAN does not include this field when *SRB-ToAddMod* is included in *srb-ToAddModListSCG*. |
| ***srb-ToAddModListExt***  The field is to configure SRB4. |
| ***srb-ToAddModList***  E-UTRAN configures the same RAT type (i.e. EUTRA or NR) for PDCP configuration of SRB1 and SRB2. |
| ***transmissionModeList***  Indicates a subset of transmission mode 1, 2, 3, 4, 6, 8, 9, 10, for the signaled neighboring cell for which *NeighCellsInfo* applies. When TM10 is signaled, other signaled transmission parameters in *NeighCellsInfo* are not applicable to up to 8 layer transmission scheme of TM10. E-UTRAN may indicate TM9 when TM10 with QCL type A and DMRS scrambling with  in TS 36.211 [21], clause 6.10.3.1, is used in the signalled neighbour cell and TM9 or TM10 with QCL type A and DMRS scrambling with  in TS 36.211 [21], clause 6.10.3.1, is used in the serving cell. UE behaviour with NAICS when TM10 is used is only defined when QCL type A and DMRS scrambling with  in TS 36.211 [21], clause 6.10.3.1, is used for the serving cell and all signalled neighbour cells. The first/ leftmost bit is for transmission mode 1, the second bit is for transmission mode 2, and so on. |

NOTE 1: It is up to eNB to ensure that the field indicating LWA bearer type is set to FALSE when LWA bearer is no longer used (e.g. during handover or re-establishment where LWA configuration is released).

| Conditional presence | Explanation |
| --- | --- |
| CRSIM | The field is optionally present, need ON, if *neighCellsCRS-Info-r11* is not present; otherwise it is not present. |
| *DRB-Setup* | The field is mandatory present if the corresponding DRB is being set up and the UE is connected to EPC; otherwise it is not present. |
| *DRB-SetupM* | The field is:  - mandatory present:  - for the UE without SCG: upon setup of MCG DRB;  - for E-UTRA DC, upon setup of MCG or split DRB;  - for (NG)EN-DC:  - upon setup of MCG RLC bearer;  - optionally present, Need ON:  - for E-UTRA DC, upon change from SCG to MCG DRB;  - for (NG)EN-DC:  - upon change of *keyToUse*, as defined in TS 38.331 [82], for a DRB configured with an MCG RLC bearer;  - when configured with MCG RLC bearer, upon change of S-KgNB without handover;  - not present otherwise. |
| *DRB-SetupS* | The field is:  - mandatory present:  - for E-UTRA DC:  - upon setup of SCG or split DRB;  - upon change from MCG to split DRB;  - for NE-DC:  - upon setup of SCG RLC bearer;  - optionally present, Need ON:  - for E-UTRA DC, upon change from MCG to SCG DRB;  - for NE-DC, upon change of *keyToUse*, as defined in TS 38.331 [82], for a DRB configured with an SCG RLC bearer;  - not present otherwise. |
| *HO-Conn* | The field is mandatory present in case of handover to E-UTRA or when the *fullConfig* is included in the *RRCConnectionReconfiguration* message or in case of RRC connection establishment (excluding *RRConnectionResume*); otherwise the field is optionally present, need ON. Upon connection establishment/ re-establishment only SRB1 is applicable (excluding *RRConnectionResume*). |
| *HO-toEUTRA* | The field is mandatory present  - in case of handover to E-UTRA or  - when the *fullConfig* is included in the *RRCConnectionReconfiguration* message with the configuration for at least one MCG bearer or split data bearer;  In case of RRC connection establishment (excluding *RRConnectionResume*); and RRC connection re-establishment the field is not present; otherwise the field is optionally present, need ON. |
| *HO-toEUTRA2* | The field is mandatory present in case of handover to E-UTRA or when the *fullConfig* is included in the *RRCConnectionReconfiguration* message; otherwise the field is optionally present, need ON. |
| *LWIP* | The field is optionally present, Need ON, if *drb-TypeLWIP-r13* is configured and not set to eutran; otherwise it is not present and the UE shall delete any existing value for this field. |
| *NotFullConfigHO* | This field is optionally present, Need ON, in case of handover within E-UTRA when the *fullConfig* is not included in the *RRCConnectionReconfiguration* message. Otherwise the field is not present. |
| *NR-PDCP* | The field is optional present, Need ON, when the SRB is configured with NR-PDCP prior to reception of this reconfiguration message. Otherwise it is not present. |
| *PDCP* | The field is mandatory present:  - when connected to E-UTRA/EPC:  - for the bearers configured with E-UTRA PDCP, if the corresponding DRB is being setup;  the field is optionally present, need ON: :  - when connected to E-UTRA/EPC:  - for the bearers configured with E-UTRA PDCP, upon reconfiguration of the corresponding split DRB or LWA DRB, upon the corresponding DRB type change from split to MCG bearer, upon the corresponding DRB type change from MCG to split bearer or LWA bearer, upon the corresponding DRB type change from LWA to LTE only bearer, upon handover within E-UTRA and upon the first reconfiguration after re-establishment but in all these cases only when *fullConfig* is not included in the *RRCConnectionReconfiguration* message;  otherwise it is not present. |
| *PDCP-S* | The field is mandatory present if the corresponding DRB is being setup; the field is optionally present, need ON, upon SCG change; otherwise it is not present. |
| *RLC-Setup* | This field is optionally present if the corresponding DRB is being setup, need ON; otherwise it is not present. |
| *SCellAdd* | The field is optionally present, need ON, upon SCell addition; otherwise it is not present. |
| *Setup* | The field is mandatory present if the corresponding SRB/DRB is being setup; otherwise the field is optionally present, need ON. |
| *SetupM* | The field is mandatory present upon setup of an MCG or split DRB, or upon setup of MCG RLC bearer; otherwise the field is optionally present, need ON. |
| *SetupS* | The field is mandatory present:  - for E-UTRA DC:  - upon setup of an SCG or split DRB,  - upon change from MCG to split DRB;  - for NE-DC, upon setup of SCG RLC bearer;  otherwise the field is optionally present, need ON. |
| *SetupS2* | The field is:  - mandatory present:  - for E-UTRA DC:  - upon setup of an SCG or split DRB, as well as upon change from MCG to split or SCG DRB.  - optionally present, need ON:  - for E-UTRA DC:  - for an SCG DRB  otherwise the field is not present. |
| *SPS* | The field is optionally present, need ON, if sps-Config (without suffix) is not configured; otherwise it is not present. |
| *SPS2* | The field is optionally present, need ON, if sps-Config-r12 is not configured; otherwise it is not present. |
| *UL-LWA* | The field is optionally present, need ON if *ul-LWA-Config-r14* is present. Otherwise the field is not present. |

Next change

### 6.3.4 Mobility control information elements

[…]

#### – *ConditionalReconfiguration*

The IE *ConditionalReconfiguration* is used to add, modify or release the configuration of a conditional handover per target candidate cell.

*ConditionalReconfiguration* information element

-- ASN1START

ConditionalReconfiguration-r16 ::= SEQUENCE {

condReconfigurationToAddModList-r16 CondReconfigurationToAddModList-r16 OPTIONAL, -- Need ON

condReconfigurationToRemoveList-r16 CondReconfigurationToRemoveList-r16 OPTIONAL, -- Need ON

attemptCondReconf-r16 ENUMERATED {true} OPTIONAL, -- Need ON

...

}

CondReconfigurationToRemoveList-r16 ::= SEQUENCE (SIZE (1..maxCondConfig-r16)) OF CondReconfigurationId-r16

-- ASN1STOP

| *ConditionalReconfiguration* field descriptions |
| --- |
| ***attemptCondReconf***  If present, the UE shall perform conditional reconfiguration if selected cell is a target candidate cell and it is the first cell selection after failure as described in 5.3.7.3. |
| ***condReconfigurationToAddModList***  List of conditional reconfigurations (i.e. conditional handover) to add and/or modify. |
| ***condReconfigurationToRemoveList***  List of conditional reconfigurations (i.e. conditional handover) to remove. |

#### – *ConditionalReconfigurationId*

The IE *ConditionalReconfigurationId* is used to identify a conditional reconfiguration (e.g. CHO).

*ConditionalReconfigurationId* information element

-- ASN1START

CondReconfigurationId-r16 ::= INTEGER (1.. maxCondConfig-r16)

-- ASN1STOP

#### – *CondReconfigurationToAddModList*

The IE *CondReconfigurationToAddModList* concerns a list of conditional reconfigurations (i.e. conditional handover) to add or modify, for each entry the *measId* (associated to the triggering condition configuration) and the associated *RRCConnectionReconfiguration*.

*CondReconfigurationToAddModList* information element

-- ASN1START

CondReconfigurationToAddModList-r16 ::= SEQUENCE (SIZE (1.. maxCondConfig-r16)) OF CondReconfigurationAddMod-r16

CondReconfigurationAddMod-r16 ::= SEQUENCE {

condReconfigurationId-r16 CondReconfigurationId-r16,

triggerCondition-r16 SEQUENCE (SIZE (1..2)) OF MeasId, -- Need ON

condReconfigurationToApply-r16 OCTET STRING (CONTAINING RRCConnectionReconfiguration), -- Need ON

...

}

-- ASN1STOP

| *CondReconfigurationToAddMod* field descriptions |
| --- |
| ***condReconfigurationToApply***  The RRCConnectionReconfiguration message to be applied when the condition(s) are fulfilled. |
| ***triggerCondition***  The condition that needs to be fulfilled in order to trigger the execution of a conditional reconfiguration. |

[…]

#### – *MobilityControlInfo*

The IE *MobilityControlInfo* includes parameters relevant for network controlled mobility to/within E‑UTRA.

*MobilityControlInfo* information element

-- ASN1START

MobilityControlInfo ::= SEQUENCE {

targetPhysCellId PhysCellId,

carrierFreq CarrierFreqEUTRA OPTIONAL, -- Cond HO-toEUTRA2

carrierBandwidth CarrierBandwidthEUTRA OPTIONAL, -- Cond HO-toEUTRA

additionalSpectrumEmission AdditionalSpectrumEmission OPTIONAL, -- Cond HO-toEUTRA

t304 ENUMERATED {

ms50, ms100, ms150, ms200, ms500, ms1000,

ms2000, ms10000-v1310},

newUE-Identity C-RNTI,

radioResourceConfigCommon RadioResourceConfigCommon,

rach-ConfigDedicated RACH-ConfigDedicated OPTIONAL, -- Need OP

...,

[[ carrierFreq-v9e0 CarrierFreqEUTRA-v9e0 OPTIONAL -- Need ON

]],

[[ drb-ContinueROHC-r11 ENUMERATED {true} OPTIONAL -- Cond HO

]],

[[ mobilityControlInfoV2X-r14 MobilityControlInfoV2X-r14 OPTIONAL, -- Need ON

handoverWithoutWT-Change-r14 ENUMERATED {keepLWA-Config, sendEndMarker} OPTIONAL, -- Cond HO

makeBeforeBreak-r14 ENUMERATED {true} OPTIONAL, -- Need OR

rach-Skip-r14 RACH-Skip-r14 OPTIONAL, -- Need OR

sameSFN-Indication-r14 ENUMERATED {true} OPTIONAL -- Cond HO-SFNsynced

]],

[[

mib-RepetitionStatus-r14 BOOLEAN OPTIONAL, -- Need OR

schedulingInfoSIB1-BR-r14 INTEGER (0..31) OPTIONAL -- Cond HO-SFNsynced

]]

}

MobilityControlInfo-v10l0 ::= SEQUENCE {

additionalSpectrumEmission-v10l0 AdditionalSpectrumEmission-v10l0 OPTIONAL -- Need ON

}

MobilityControlInfoSCG-r12 ::= SEQUENCE {

t307-r12 ENUMERATED {

ms50, ms100, ms150, ms200, ms500, ms1000,

ms2000, spare1},

ue-IdentitySCG-r12 C-RNTI OPTIONAL, -- Cond SCGEst,

rach-ConfigDedicated-r12 RACH-ConfigDedicated OPTIONAL, -- Need OP

cipheringAlgorithmSCG-r12 CipheringAlgorithm-r12 OPTIONAL, -- Need ON

...,

[[ makeBeforeBreakSCG-r14 ENUMERATED {true} OPTIONAL, -- Need OR

rach-SkipSCG-r14 RACH-Skip-r14 OPTIONAL -- Need OR

]]

}

MobilityControlInfoV2X-r14 ::= SEQUENCE {

v2x-CommTxPoolExceptional-r14 SL-CommResourcePoolV2X-r14 OPTIONAL, -- Need OR

v2x-CommRxPool-r14 SL-CommRxPoolListV2X-r14 OPTIONAL, -- Need OR

v2x-CommSyncConfig-r14 SL-SyncConfigListV2X-r14 OPTIONAL, -- Need OR

cbr-MobilityTxConfigList-r14 SL-CBR-CommonTxConfigList-r14 OPTIONAL -- Need OR

}

CarrierBandwidthEUTRA ::= SEQUENCE {

dl-Bandwidth ENUMERATED {

n6, n15, n25, n50, n75, n100, spare10,

spare9, spare8, spare7, spare6, spare5,

spare4, spare3, spare2, spare1},

ul-Bandwidth ENUMERATED {

n6, n15, n25, n50, n75, n100, spare10,

spare9, spare8, spare7, spare6, spare5,

spare4, spare3, spare2, spare1} OPTIONAL -- Need OP

}

CarrierFreqEUTRA ::= SEQUENCE {

dl-CarrierFreq ARFCN-ValueEUTRA,

ul-CarrierFreq ARFCN-ValueEUTRA OPTIONAL -- Cond FDD

}

CarrierFreqEUTRA-v9e0 ::= SEQUENCE {

dl-CarrierFreq-v9e0 ARFCN-ValueEUTRA-r9,

ul-CarrierFreq-v9e0 ARFCN-ValueEUTRA-r9 OPTIONAL -- Cond FDD

}

RACH-Skip-r14 ::= SEQUENCE {

targetTA-r14 CHOICE {

ta0-r14 NULL,

mcg-PTAG-r14 NULL,

scg-PTAG-r14 NULL,

mcg-STAG-r14 STAG-Id-r11,

scg-STAG-r14 STAG-Id-r11

},

ul-ConfigInfo-r14 SEQUENCE {

numberOfConfUL-Processes-r14 INTEGER (1..8),

ul-SchedInterval-r14 ENUMERATED {sf2, sf5, sf10},

ul-StartSubframe-r14 INTEGER (0..9),

ul-Grant-r14 BIT STRING (SIZE (16))

} OPTIONAL -- Need OR

}

-- ASN1STOP

| *MobilityControlInfo* field descriptions |
| --- |
| ***additionalSpectrumEmission***  For a UE with no SCells configured for UL in the same band as the PCell, the UE shall apply the value for the PCell instead of the corresponding value from *SystemInformationBlockType2* or *SystemInformationBlockType1*. For a UE with SCell(s) configured for UL in the same band as the PCell, the UE shall, in case all SCells configured for UL in that band are released after handover completion, apply the value for the PCell instead of the corresponding value from *SystemInformationBlockType2* or *SystemInformationBlockType1*. The UE requirements related to IE *AdditionalSpectrumEmission* are defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs. |
| ***carrierBandwidth***  Provides the parameters *Downlink bandwidth*, and *Uplink bandwidth*, see TS 36.101 [42]. |
| ***carrierFreq***  Provides the EARFCN to be used by the UE in the target cell. |
| ***cbr-MobilityTxConfigList***  Indicates the list of CBR ranges and the list of PSSCH transmission parameter configurations available to configure congestion control to the UE for V2X sidelink communication during handover. |
| ***cipheringAlgorithmSCG***  Indicates the ciphering algorithm to be used for SCG DRBs. E-UTRAN includes the field upon SCG change when one or more SCG DRBs are configured. Otherwise E-UTRAN does not include the field. |
| ***dl-Bandwidth***  Parameter: *Downlink bandwidth*, see TS 36.101 [42]. |
| ***drb-ContinueROHC***  This field indicates whether to continue or reset, for this handover, the header compression protocol context for the RLC UM bearers configured with the header compression protocol. Presence of the field indicates that the header compression protocol context continues while absence indicates that the header compression protocol context is reset. E-UTRAN includes the field only in case of a handover within the same eNB. E-UTRAN does not include the field in case of DAPS HO. |
| ***handoverWithoutWT-Change***  Indicates whether UE performs handover where LWA configuration is retained with the same WT If sendEndMarker is configured, the LWA end-marker for PDCP key change indication is used as defined in [8]. If value keepLWA-Config is configured, LWA end marker is not used and UE shall only retain the LWA configuration. |
| ***makeBeforeBreak***  Indicates that the UE shall continue uplink transmission/ downlink reception with the source cell(s) before performing the first transmission through PRACH to the target intra-frequency PCell, or performing initial PUSCH transmission to the target intra-frequency PCell while *rach-Skip* is configured. |
| ***makeBeforeBreakSCG***  Indicates that the UE shall continue uplink transmission/ downlink reception with the source cell(s) before performing the first transmission through PRACH to the target intra-frequency PSCell, or performing initial PUSCH transmission to the target intra-frequency PSCell while *rach-SkipSCG* is configured. |
| ***mib-RepetitionStatus***  Indicates whether additional MIB repetition is enabled in the target cell or not. Value TRUE indicates additional MIB repetition is enabled in the target cell. Value FALSE indicates additional MIB repetition is not enabled in the target cell. The absence of this field indicates additional MIB repetition may or may not be enabled in the target cell. See 5.2.1.2 and TS 36.211 [21], clause 6.4.1. This field is applicable to BL UE or UE in CE. |
| ***mobilityControlInfoV2X***  Indicates the sidelink configurations of the target cell for V2X sidelink communication during handover. |
| ***numberOfConfUL-Processes***  The number of configured HARQ processes for preallocated uplink grant, see TS 36.321 [6], clause 5.20. This field is applicable if a UE is configured with asynchronous HARQ, otherwise it shall be ignored. |
| ***rach-ConfigDedicated***  The dedicated random access parameters. If absent the UE applies contention based random access as specified in TS 36.321 [6]. |
| ***rach-Skip***  This field indicates whether random access procedure for the target PCell is skipped. |
| ***rach-SkipSCG***  This field indicates whether random access procedure for the target PSCell is skipped. |
| ***sameSFN-Indication***  This field indicates that the target cell has the same SFN as the source cell and that the BL UE or UE in CE is not required to acquire *MasterInformationBlock* in the target PCell during handover to obtain the SFN of the target cell, as specified in clause 5.3.5.4. |
| ***schedulingInfoSIB1-BR***  Indicates the index to the tables that define *SystemInformationBlockType1-BR* scheduling information. The tables are specified in TS 36.213 [23], Table 7.1.6-1 and Table 7.1.7.2.7-1. Value 0 means *SystemInformationBlockType1-BR* is not scheduled. If absent when *sameSFN-Indication* is present, UE assumes that *SystemInformationBlockType1-BR* scheduling information in target cell may be different from source cell. |
| ***t304***  Timer T304 as described in clause 7.3. ms50 corresponds with 50 ms, ms100 corresponds with 100 ms and so on. EUTRAN includes extended value *ms10000-v1310* only when UE supports CE. |
| ***t307***  Timer T307 as described in clause 7.3. ms50 corresponds with 50 ms, ms100 corresponds with 100 ms and so on. |
| ***targetTA***  This field refers to the timing adjustment indication, see TS 36.213 [23], indicating the NTA value which the UE shall use for the target PTAG of handover or the target PSTAG of SCG change. *ta0* corresponds to NTA=0. *mcg-PTAG* corresponds to the latest NTA value of the PTAG associated with MCG. *scg-PTAG* corresponds to the latest NTA value of the PTAG associated with SCG. *mcg-STAG* corresponds to the latest NTA value of a MCG STAG indicated by the STAG-Id. *scg-STAG* corresponds to the latest NTA value of a SCG STAG indicated by the STAG-Id. |
| ***ul-Bandwidth***  Parameter: *Uplink bandwidth*, see TS 36.101 [42], table 5.6-1. For TDD, the parameter is absent and it is equal to downlink bandwidth. If absent for FDD, apply the same value as applies for the downlink bandwidth. |
| ***ul-Grant***  Indicates the resources of the target PCell/PSCell to be used for the uplink transmission of PUSCH [23], clause 8.8. |
| ***ul-SchedInterval***  Indicates the scheduling interval in uplink, see TS 36.321 [6], clause 5.20. Value in number of sub-frames. Value sf2 corresponds to 2 subframes, sf5 corresponds to 5 subframes and so on. |
| ***ul-StartSubframe***  Indicates the subframe in which the UE may initiate the uplink transmission, see TS 36.321 [6], clause 5.20. Value 0 corresponds to subframe number 0, 1 correponds to subframe number 1 and so on. The subframe indicating a valid uplink grant according to the calculation of UL grant configured by *ul-StartSubframe* and *ul-SchedInterval*, see TS 36.321 [6], clause 5.20, is the same across all radio frames. |
| ***v2x-CommRxPool***  Indicates reception pools for receiving V2X sidelink communication during handover. |
| ***v2x-CommSyncConfig***  Indicates synchronization configurations for performing V2X sidelink communication during handover. |
| ***v2x-CommTxPoolExceptional***  Indicates the transmission resources by which the UE is allowed to transmit V2X sidelink communication during handover. |

| Conditional presence | Explanation |
| --- | --- |
| *FDD* | The field is mandatory with default value (the default duplex distance defined for the concerned band, as specified in TS 36.101 [42]) in case of "FDD"; otherwise the field is not present. |
| *HO* | This field is optionally present, need OP, in case of handover within E-UTRA when the *fullConfig* is not included; otherwise the field is not present. |
| *HO-SFNsynced* | This field is optionally present, need OP, in case of source E-UTRA and target E-UTRA cells are SFN synchronised. |
| *HO-toEUTRA* | The field is mandatory present in case of inter-RAT handover to E-UTRA; otherwise the field is optionally present, need ON. |
| *HO-toEUTRA2* | The field is absent if *carrierFreq-v9e0* is present. Otherwise it is mandatory present in case of inter-RAT handover to E-UTRA and optionally present, need ON, in all other cases. |
| *SCGEst* | This field is mandatory present in case of SCG establishment; otherwise the field is optionally present, need ON. |

Next change

### 6.3.5 Measurement information elements

[…]

#### – *ReportConfigEUTRA*

The IE *ReportConfigEUTRA* specifies criteria for triggering of an E‑UTRA measurement reporting or conditional reconfiguration (i.e. conditional handover) event. The E‑UTRA measurement reporting events concerning CRS are labelled A*N* with *N* equal to 1, 2 and so on.

Event A1: Serving becomes better than absolute threshold;

Event A2: Serving becomes worse than absolute threshold;

Event A3: Neighbour becomes amount of offset better than PCell/ PSCell;

Event A4: Neighbour becomes better than absolute threshold;

Event A5: PCell/ PSCell becomes worse than absolute threshold1 AND Neighbour becomes better than another absolute threshold2;

Event A6: Neighbour becomes amount of offset better than SCell.

The E‑UTRA measurement reporting events concerning CSI-RS are labelled C*N* with *N* equal to 1 and 2.

Event C1: CSI-RS resource becomes better than absolute threshold;

Event C2: CSI-RS resource becomes amount of offset better than reference CSI-RS resource.

The E-UTRA measurement reporting events concerning CBR are labelled VN with N equal to 1 and 2.

Event V1: CBR becomes larger than absolute threshold;

Event V2: CBR becomes smaller than absolute threshold.

The E-UTRA reporting events concerning Aerial UE height are labelled H*N* with *N* equal to 1 and 2.

Event H1: Aerial UE height becomes higher than absolute threshold;

Event H2: Aerial UE height becomes lower than absolute threshold.

*ReportConfigEUTRA* information element

-- ASN1START

ReportConfigEUTRA ::= SEQUENCE {

triggerType CHOICE {

event SEQUENCE {

eventId CHOICE {

eventA1 SEQUENCE {

a1-Threshold ThresholdEUTRA

},

eventA2 SEQUENCE {

a2-Threshold ThresholdEUTRA

},

eventA3 SEQUENCE {

a3-Offset INTEGER (-30..30),

reportOnLeave BOOLEAN

},

eventA4 SEQUENCE {

a4-Threshold ThresholdEUTRA

},

eventA5 SEQUENCE {

a5-Threshold1 ThresholdEUTRA,

a5-Threshold2 ThresholdEUTRA

},

...,

eventA6-r10 SEQUENCE {

a6-Offset-r10 INTEGER (-30..30),

a6-ReportOnLeave-r10 BOOLEAN

},

eventC1-r12 SEQUENCE {

c1-Threshold-r12 ThresholdEUTRA-v1250,

c1-ReportOnLeave-r12 BOOLEAN

},

eventC2-r12 SEQUENCE {

c2-RefCSI-RS-r12 MeasCSI-RS-Id-r12,

c2-Offset-r12 INTEGER (-30..30),

c2-ReportOnLeave-r12 BOOLEAN

},

eventV1-r14 SEQUENCE {

v1-Threshold-r14 SL-CBR-r14

},

eventV2-r14 SEQUENCE {

v2-Threshold-r14 SL-CBR-r14

},

eventH1-r15 SEQUENCE {

h1-ThresholdOffset-r15 INTEGER (0..300),

h1-Hysteresis-15 INTEGER (1..16)

},

eventH2-r15 SEQUENCE {

h2-ThresholdOffset-r15 INTEGER (0..300),

h2-Hysteresis-15 INTEGER (1..16)

}

},

hysteresis Hysteresis,

timeToTrigger TimeToTrigger

},

periodical SEQUENCE {

purpose ENUMERATED {

reportStrongestCells, reportCGI}

}

},

triggerQuantity ENUMERATED {rsrp, rsrq},

reportQuantity ENUMERATED {sameAsTriggerQuantity, both},

maxReportCells INTEGER (1..maxCellReport),

reportInterval ReportInterval,

reportAmount ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},

...,

[[ si-RequestForHO-r9 ENUMERATED {setup} OPTIONAL, -- Cond reportCGI

ue-RxTxTimeDiffPeriodical-r9 ENUMERATED {setup} OPTIONAL -- Need OR

]],

[[ includeLocationInfo-r10 ENUMERATED {true} OPTIONAL, -- Need OR

reportAddNeighMeas-r10 ENUMERATED {setup} OPTIONAL -- Need OR

]],

[[ alternativeTimeToTrigger-r12 CHOICE {

release NULL,

setup TimeToTrigger

} OPTIONAL, -- Need ON

useT312-r12 BOOLEAN OPTIONAL, -- Need ON

usePSCell-r12 BOOLEAN OPTIONAL, -- Need ON

aN-Threshold1-v1250 RSRQ-RangeConfig-r12 OPTIONAL, -- Need ON

a5-Threshold2-v1250 RSRQ-RangeConfig-r12 OPTIONAL, -- Need ON

reportStrongestCSI-RSs-r12 BOOLEAN OPTIONAL, -- Need ON

reportCRS-Meas-r12 BOOLEAN OPTIONAL, -- Need ON

triggerQuantityCSI-RS-r12 BOOLEAN OPTIONAL -- Need ON

]],

[[ reportSSTD-Meas-r13 BOOLEAN OPTIONAL, -- Need ON

rs-sinr-Config-r13 CHOICE {

release NULL,

setup SEQUENCE {

triggerQuantity-v1310 ENUMERATED {sinr} OPTIONAL, -- Need ON

aN-Threshold1-r13 RS-SINR-Range-r13 OPTIONAL, -- Need ON

a5-Threshold2-r13 RS-SINR-Range-r13 OPTIONAL, -- Need ON

reportQuantity-v1310 ENUMERATED {rsrpANDsinr, rsrqANDsinr, all}

}

} OPTIONAL, -- Need ON

useWhiteCellList-r13 BOOLEAN OPTIONAL, -- Need ON

measRSSI-ReportConfig-r13 MeasRSSI-ReportConfig-r13 OPTIONAL, -- Need ON

includeMultiBandInfo-r13 ENUMERATED {true} OPTIONAL, -- Cond reportCGI

ul-DelayConfig-r13 UL-DelayConfig-r13 OPTIONAL -- Need ON

]],

[[ ue-RxTxTimeDiffPeriodicalTDD-r13 BOOLEAN OPTIONAL -- Need ON

]],

[[

purpose-v1430 ENUMERATED {reportLocation, sidelink, spare2, spare1}

OPTIONAL -- Need ON

]],

[[

maxReportRS-Index-r15 INTEGER (0..maxRS-IndexReport-r15) OPTIONAL -- Need ON

]],

[[ includeBT-Meas-r15 BT-NameListConfig-r15 OPTIONAL, -- Need ON

includeWLAN-Meas-r15 WLAN-NameListConfig-r15 OPTIONAL, -- Need ON

purpose-r15 ENUMERATED {sensing} OPTIONAL, -- Need ON

numberOfTriggeringCells-r15 INTEGER (2..maxCellReport) OPTIONAL, -- Cond a3a4a5

a4-a5-ReportOnLeave-r15 BOOLEAN OPTIONAL -- Cond a4a5

]],

[[ condReconfigurationTriggerEUTRA-r16 CondReconfigurationTriggerEUTRA-r16 OPTIONAL

-- Need ON

]]

}

CondReconfigurationTriggerEUTRA-r16 SEQUENCE {

condEventId-r16 CHOICE {

condEventA3-r16 SEQUENCE {

a3-Offset-r16 INTEGER (-30..30),

hysteresis-r16 Hysteresis,

timeToTrigger-r16 TimeToTrigger

},

condEventA5-r16 SEQUENCE {

a5-Threshold1-r16 ThresholdEUTRA,

a5-Threshold2-r16 ThresholdEUTRA,

hysteresis-r16 Hysteresis,

timeToTrigger-r16 TimeToTrigger

},

...

}

}

RSRQ-RangeConfig-r12 ::= CHOICE {

release NULL,

setup RSRQ-Range-v1250

}

ThresholdEUTRA ::= CHOICE{

threshold-RSRP RSRP-Range,

threshold-RSRQ RSRQ-Range

}

ThresholdEUTRA-v1250 ::= CSI-RSRP-Range-r12

MeasRSSI-ReportConfig-r13 ::= SEQUENCE {

channelOccupancyThreshold-r13 RSSI-Range-r13 OPTIONAL -- Need OR

}

-- ASN1STOP

| *ReportConfigEUTRA* field descriptions |
| --- |
| ***a3-Offset/ a6-Offset/ c2-Offset***  Offset value to be used in EUTRA measurement report triggering condition for event a3/ a6/ c2. The actual value is field value \* 0.5 dB. |
| ***alternativeTimeToTrigger***  Indicates the time to trigger applicable for cells specified in *altTTT-CellsToAddModList* of the associated measurement object, if configured |
| ***aN-ThresholdM/ cN-ThresholdM***  Threshold to be used in EUTRA measurement report triggering condition for event number aN/ cN. If multiple thresholds are defined for event number aN/ cN, the thresholds are differentiated by M. E-UTRAN configures *aN-Threshold1* only for events A1, A2, A4, A5 and *a5-Threshold2* only for event A5. |
| ***c1-ReportOnLeave/ c2-ReportOnLeave***  Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a CSI-RS resource in *csi-RS-TriggeredList*, as specified in 5.5.4.1. |
| ***c2-RefCSI-RS***  Identity of the CSI-RS resource from the *measCSI-RS-ToAddModList* of the associated *measObject*, to be used as the reference CSI-RS resource in EUTRA measurement report triggering condition for event c2. |
| ***channelOccupancyThreshold***  RSSI threshold which is used for channel occupancy evaluation. |
| ***eventId***  Choice of E‑UTRA event triggered reporting criteria. EUTRAN may set this field to *eventC1* or *eventC2* only if *measDS-Config* is configured in the associated *measObject* with one or more CSI-RS resources. The *eventC1* and *eventC2* are not applicable for the *eventId* if RS-SINR is configured as *triggerQuantity* or *reportQuantity*. |
| ***h1-Hysteresis, h2-Hysteresis***  This parameter is used within the entry and leave condition of an event triggered reporting condition for event H1 and event H2. The actual value is field value. If this field is configured UE shall ignore parameter *hysteresis.* |
| ***h1-ThresholdOffset, h2-ThresholdOffset***  An offset value to *heightThreshRef* to obtain the threshold to be used in EUTRA height report triggering condition for event H1 and event H2. The value for h1-ThresholdOffset and h2-ThresholdOffset is expressed in meters such that granularity is 2meters. Value 0 corresponds to offset value 0m, value 1 corresponds to offset value 2m, value 2 correspond to offset value 4m, and so on. |
| ***includeMultiBandInfo***  If this field is present, the UE shall acquire and include multi band information in the measurement report. | |
| ***maxReportCells***  Max number of cells, excluding the serving cell, to include in the measurement report concerning CRS, and max number of CSI-RS resources to include in the measurement report concerning CSI-RS. |
| ***measRSSI-ReportConfig***  If this field is present, the UE shall perform measurement reporting for RSSI and channel occupancy and ignore the *triggerQuantity*, *reportQuantity* and *maxReportCells* fields. E-UTRAN only sets this field to *true* when setting *triggerType* to *periodical* and *purpose* to *reportStrongestCells*. |
| ***numberOfTriggeringCells***  Indicates the number of cells detected that are required to fulfill an event for a measurement report to be triggered. This field is set only for the events concerning neighbor cells, i.e. *eventA3*, *eventA4, eventA5*. |
| ***reportAmount***  Number of measurement reports applicable for *triggerType* *event* as well as for *triggerType* *periodical*. In case *purpose* is set to *reportCGI* or *reportSSTD-Meas* is set to *true*, only value 1 applies. |
| ***reportCRS-Meas***  Inidicates that UE shall include rsrp, rsrq together with csi-rsrp in the measurement report, if possible. |
| ***reportOnLeave/ a6-ReportOnLeave/ a4-a5-ReportOnLeave***  Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a cell in *cellsTriggeredList*, as specified in 5.5.4.1. |
| ***reportQuantity***  The quantities to be included in the measurement report***.*** The value both means that both the rsrp and rsrq quantities are to be included in the measurement report. The value *rsrpANDsinr* and *rsrqANDsinr* mean that both *rsrp* and *rs-sinr* quantities, and both *rsrq* and *rs-sinr* quantities are to be included respectively in the measurement report. The value *all* means that *rsrp*, *rsrq* and *rs-sinr* are to be included in the measurement report. In case *triggerQuantityCSI-RS* is included, only value *sameAsTriggerQuantity* applies. If *reportQuantity*-v*1310* is configured, the UE only considers this extension (and ignores *reportQuantity* i.e. without suffix). |
| ***reportSSTD-Meas***  If this field is set to *true*, the UE shall measure SSTD between the PCell and the PSCell as specified in TS 36.214 [48] and ignore the *triggerQuantity*, *reportQuantity* and *maxReportCells* fields. E-UTRAN only sets this field to *true* when setting *triggerType* to *periodical* and *purpose* to *reportStrongestCells*. |
| ***reportStrongestCSI-RSs***  Indicates that periodical CSI-RS measurement report is performed. EUTRAN configures value *TRUE* only if *measDS-Config* is configured in the associated *measObject* with one or more CSI-RS resources. |
| ***si-RequestForHO***  The field applies to the *reportCGI* functionality, and when the field is included, the UE is allowed to use autonomous gaps in acquiring system information from the neighbour cell, applies a different value for T321, and includes different fields in the measurement report. |
| ***ThresholdEUTRA***  For RSRP: RSRP based threshold for event evaluation. The actual value is field value – 140 dBm.  For RSRQ: RSRQ based threshold for event evaluation. The actual value is (field value – 40)/2 dB.  For RS-SINR: RS-SINR based threshold for event evaluation. The actual value is (field value -46)/2 dB.  For CSI-RSRP: CSI-RSRP based threshold for event evaluation. The actual value is field value – 140 dBm.  EUTRAN configures the same threshold quantity for all the thresholds of an event. |
| ***timeToTrigger***  Time during which specific criteria for the event needs to be met in order to trigger a measurement report. |
| ***triggerQuantity***  The quantity used to evaluate the triggering condition for the event concerning CRS***.*** EUTRAN sets the value according to the quantity of the *ThresholdEUTRA* for this event. The values rsrp, rsrq and *sinr* correspond to Reference Signal Received Power (RSRP), Reference Signal Received Quality (RSRQ) and Reference Signal Signal to Noise and Interference Ratio (RS-SINR), see TS 36.214 [48]. If *triggerQuantity-v1310* is configured, the UE only considers this extension (and ignores *triggerQuantity* i.e. without suffix). |
| ***triggerQuantityCSI-RS***  The quantity used to evaluate the triggering condition for the event concerning CSI-RS***.*** The value *TRUE* corresponds to CSI Reference Signal Received Power (CSI-RSRP), see TS 36.214 [48]. E-UTRAN configures value *TRUE* if and only if the measurement reporting event concerns CSI-RS. |
| ***ue-RxTxTimeDiffPeriodical***  If this field is present, the UE shall perform UE Rx-Tx time difference measurement reporting and ignore the fields *triggerQuantity*, *reportQuantity* and *maxReportCells*. If the field is present, the only applicable values for the corresponding *triggerType* and *purpose* are periodical and reportStrongestCells respectively. |
| ***ue-RxTxTimeDiffPeriodicalTDD***  If this field is set to *TRUE*, the UE shall performUE Rx-Tx time difference measurement reporting according to EUTRAN TDD UE Rx-Tx time difference report mapping in TS 36.133 [16]. If the field is configured, the *ue-RxTxTimeDiffPeriodical* shall be configured. The field is applicable for TDD only. |
| ***usePSCell***  If this field is set to *TRUE* the UE shall use the PSCell instead of the PCell. E-UTRAN configures value *TRUE* only for events A3 and A5, see 5.5.4.4 and 5.5.4.6. |
| ***useT312***  If value *TRUE* is configured, the UE shall use the timer T312 with the value *t312* as specified in the corresponding *measObject*. If the corresponding *measObject* does not include the timer T312 then the timer T312 is considered as not configured. E-UTRAN configures value *TRUE* only if *triggerType* is set to *event*. |
| ***useWhiteCellList***  Indicates whether only the cells included in the white-list of the associated *measObject* are applicable as specified in 5.5.4.1. E-UTRAN does not configure the field for events A1, A2, C1 and C2. |
| ***ul-DelayConfig***  If the field is present, E-UTRAN configures UL PDCP Packet Delay per QCI measurement and the UE shall ignore the fields *triggerQuantity* and *maxReportCells*. The applicable values for the corresponding *triggerType* and *reportInterval* are *periodical* and (one of the) ms1024, ms2048, ms5120 or ms10240respectively.The *reportInterval* indicates the periodicity for performing and reporting of UL PDCP Delay per QCI measurement as specified in TS 36.314 [71]. |

| Conditional presence | Explanation |
| --- | --- |
| *reportCGI* | The field is optional, need OR, in case *purpose* is included and set to *reportCGI*; otherwise the field is not present and the UE shall delete any existing value for this field. |
| *a3a4a5* | This field is optional, need OR, in case eventId is set to eventA3 or eventA4 or eventA5; otherwise, this field is not present and the UE shall delete any existing value of this field. |
| *a4a5* | This field is optional, need OR, in case eventId is set to eventA4 or eventA5; otherwise, this field is not present and the UE shall delete any existing value of this field. |

[…]

Next change

## 6.4 RRC multiplicity and type constraint values

### – Multiplicity and type constraint definitions

-- ASN1START

maxAccessCat-1-r15 INTEGER ::= 63 -- Maximum number of Access Categories - 1

maxACDC-Cat-r13 INTEGER ::= 16 -- Maximum number of ACDC categories (per PLMN)

maxAvailNarrowBands-r13 INTEGER ::= 16 -- Maximum number of narrowbands

maxBandComb-r10 INTEGER ::= 128 -- Maximum number of band combinations.

maxBandComb-r11 INTEGER ::= 256 -- Maximum number of additional band combinations.

maxBandComb-r13 INTEGER ::= 384 -- Maximum number of band combinations in Rel-13

maxBands INTEGER ::= 64 -- Maximum number of bands listed in EUTRA UE caps

maxBandsNR-r15 INTEGER ::= 1024 -- Maximum number of NR bands listed in EUTRA UE caps

maxBandwidthClass-r10 INTEGER ::= 16 -- Maximum number of supported CA BW classes per band

maxBandwidthCombSet-r10 INTEGER ::= 32 -- Maximum number of bandwidth combination sets per

-- supported band combination

maxBarringInfoSet-r15 INTEGER ::= 8 -- Maximum number of UAC barring information sets

maxBT-IdReport-r15 INTEGER ::= 32 -- Maximum number of Bluetooth IDs to report

maxBT-Name-r15 INTEGER ::= 4 -- Maximum number of Bluetooth name

maxCBR-Level-r14 INTEGER ::= 16 -- Maximum number of CBR levels

maxCBR-Level-1-r14 INTEGER ::= 15

maxCBR-Report-r14 INTEGER ::= 72 -- Maximum number of CBR results in a report

maxCDMA-BandClass INTEGER ::= 32 -- Maximum value of the CDMA band classes

maxCE-Level-r13 INTEGER ::= 4 -- Maximum number of CE levels

maxCellBlack INTEGER ::= 16 -- Maximum number of blacklisted physical cell identity

-- ranges listed in SIB type 4 and 5

maxCellHistory-r12 INTEGER ::= 16 -- Maximum number of visited EUTRA cells reported

maxCellInfoGERAN-r9 INTEGER ::= 32 -- Maximum number of GERAN cells for which system in-

-- formation can be provided as redirection assistance

maxCellInfoUTRA-r9 INTEGER ::= 16 -- Maximum number of UTRA cells for which system

-- information can be provided as redirection

-- assistance

maxCellMeasIdle-r15 INTEGER ::= 8 -- Maximum number of neighbouring inter-frequency

-- cells per carrier measured in IDLE mode

maxCombIDC-r11 INTEGER ::= 128 -- Maximum number of reported UL CA or

-- MR-DC combinations

maxCSI-IM-r11 INTEGER ::= 3 -- Maximum number of CSI-IM configurations

-- (per carrier frequency)

maxCSI-IM-r12 INTEGER ::= 4 -- Maximum number of CSI-IM configurations

-- (per carrier frequency)

minCSI-IM-r13 INTEGER ::= 5 -- Minimum number of CSI IM configurations from which

-- REL-13 extension is used

maxCSI-IM-r13 INTEGER ::= 24 -- Maximum number of CSI-IM configurations

-- (per carrier frequency)

maxCSI-IM-v1310 INTEGER ::= 20 -- Maximum number of additional CSI-IM configurations

-- (per carrier frequency)

maxCSI-Proc-r11 INTEGER ::= 4 -- Maximum number of CSI processes (per carrier

-- frequency)

maxCSI-RS-NZP-r11 INTEGER ::= 3 -- Maximum number of CSI RS resource

-- configurations using non-zero Tx power

-- (per carrier frequency)

minCSI-RS-NZP-r13 INTEGER ::= 4 -- Minimum number of CSI RS resource from which

-- REL-13 extension is used

maxCSI-RS-NZP-r13 INTEGER ::= 24 -- Maximum number of CSI RS resource

-- configurations using non-zero Tx power

-- (per carrier frequency)

maxCSI-RS-NZP-v1310 INTEGER ::= 21 -- Maximum number of additional CSI RS resource

-- configurations using non-zero Tx power

-- (per carrier frequency)

maxCSI-RS-ZP-r11 INTEGER ::= 4 -- Maximum number of CSI RS resource

-- configurations using zero Tx power(per carrier

-- frequency)

maxCQI-ProcExt-r11 INTEGER ::= 3 -- Maximum number of additional periodic CQI

-- configurations (per carrier frequency)

maxFreqUTRA-TDD-r10 INTEGER ::= 6 -- Maximum number of UTRA TDD carrier frequencies for

-- which system information can be provided as

-- redirection assistance

maxCellInter INTEGER ::= 16 -- Maximum number of neighbouring inter-frequency

-- cells listed in SIB type 5

maxCellIntra INTEGER ::= 16 -- Maximum number of neighbouring intra-frequency

-- cells listed in SIB type 4

maxCellListGERAN INTEGER ::= 3 -- Maximum number of lists of GERAN cells

maxCellMeas INTEGER ::= 32 -- Maximum number of entries in each of the

-- cell lists in a measurement object

maxCellReport INTEGER ::= 8 -- Maximum number of reported cells/CSI-RS resources

maxCellSFTD INTEGER ::= 3 -- Maximum number of cells for SFTD reporting

maxCondConfig-r16 INTEGER ::= 8 -- Maximum number of conditional configurations

maxConfigSPS-r14 INTEGER ::= 8 -- Maximum number of simultaneous SPS configurations

maxConfigSPS-r15 INTEGER ::= 6 -- Maximum number of simultaneous SPS configurations

-- configured with SPS C-RNTI

maxCSI-RS-Meas-r12 INTEGER ::= 96 -- Maximum number of entries in the CSI-RS list

-- in a measurement object

maxDRB INTEGER ::= 11 -- Maximum number of Data Radio Bearers

maxDRBExt-r15 INTEGER ::= 4 -- Maximum number of additional DRBs

maxDRB-r15 INTEGER ::= 15 -- Highest value of extended maximum number of DRBs

maxDS-Duration-r12 INTEGER ::= 5 -- Maximum number of subframes in a discovery signals

-- occasion

maxDS-ZTP-CSI-RS-r12 INTEGER ::= 5 -- Maximum number of zero transmission power CSI-RS for

-- a serving cell concerning discovery signals

maxEARFCN INTEGER ::= 65535 -- Maximum value of EUTRA carrier frequency

maxEARFCN-Plus1 INTEGER ::= 65536 -- Lowest value extended EARFCN range

maxEARFCN2 INTEGER ::= 262143 -- Highest value extended EARFCN range

maxEPDCCH-Set-r11 INTEGER ::= 2 -- Maximum number of EPDCCH sets

maxFBI INTEGER ::= 64 -- Maximum value of fequency band indicator

maxFBI-NR-r15 INTEGER ::= 1024 -- Highest value FBI range for NR.

maxFBI-Plus1 INTEGER ::= 65 -- Lowest value extended FBI range

maxFBI2 INTEGER ::= 256 -- Highest value extended FBI range

maxFeatureSets-r15 INTEGER ::= 256 -- Total number of feature sets (size of pool)

maxPerCC-FeatureSets-r15 INTEGER ::= 32 -- Total number of CC-specific feature sets

-- (size of the pool)

maxFreq INTEGER ::= 8 -- Maximum number of carrier frequencies

maxFreqIDC-r11 INTEGER ::= 32 -- Maximum number of carrier frequencies that are

-- affected by the IDC problems

maxFreqIdle-r15 INTEGER ::= 8 -- Maximum number of carrier frequencies for

-- IDLE mode measurements configured by eNB

maxFreqMBMS-r11 INTEGER ::= 5 -- Maximum number of carrier frequencies for which an

-- MBMS capable UE may indicate an interest

maxFreqNR-r15 INTEGER ::= 5 -- Maximum number of NR carrier frequencies for

-- which a UE may provide measurement results upon

-- NR SCG failure

maxFreqV2X-r14 INTEGER ::= 8 -- Maximum number of carrier frequencies for which V2X

-- sidelink communication can be configured

maxFreqV2X-1-r14 INTEGER ::= 7 -- Highest index of frequencies

maxGERAN-SI INTEGER ::= 10 -- Maximum number of GERAN SI blocks that can be

-- provided as part of NACC information

maxGNFG INTEGER ::= 16 -- Maximum number of GERAN neighbour freq groups

maxIdleMeasCarriers-r15 INTEGER ::= 3 -- Maximum number of neighbouring inter-

-- frequency carriers measured in IDLE mode

maxLCG-r13 INTEGER ::= 4 -- Maximum number of logical channel groups

maxLogMeasReport-r10 INTEGER ::= 520 -- Maximum number of logged measurement entries

-- that can be reported by the UE in one message

maxMBSFN-Allocations INTEGER ::= 8 -- Maximum number of MBSFN frame allocations with

-- different offset

maxMBSFN-Area INTEGER ::= 8

maxMBSFN-Area-1 INTEGER ::= 7

maxMBMS-ServiceListPerUE-r13 INTEGER ::= 15 -- Maximum number of services which the UE can

-- include in the MBMS interest indication

maxMeasId INTEGER ::= 32

maxMeasId-Plus1 INTEGER ::= 33

maxMeasId-r12 INTEGER ::= 64

maxMultiBands INTEGER ::= 8 -- Maximum number of additional frequency bands

-- that a cell belongs to

maxMultiBandsNR-r15 INTEGER ::= 32 -- Maximum number of additional NR frequency bands

-- that a cell belongs to

maxMultiBandsNR-1-r15 INTEGER ::= 31

maxNS-Pmax-r10 INTEGER ::= 8 -- Maximum number of NS and P-Max values per band

maxNAICS-Entries-r12 INTEGER ::= 8 -- Maximum number of supported NAICS combination(s)

maxNeighCell-r12 INTEGER ::= 8 -- Maximum number of neighbouring cells in NAICS

-- configuration (per carrier frequency)

maxNeighCell-SCPTM-r13 INTEGER ::= 8 -- Maximum number of SCPTM neighbour cells

maxNrofS-NSSAI-r15 INTEGER ::= 8 -- Maximum number of S-NSSAI

maxObjectId INTEGER ::= 32

maxObjectId-Plus1-r13 INTEGER ::= 33

maxObjectId-r13 INTEGER ::= 64

maxP-a-PerNeighCell-r12 INTEGER ::= 3 -- Maximum number of power offsets for a neighbour cell

-- in NAICS configuration

maxPageRec INTEGER ::= 16 --

maxPhysCellIdRange-r9 INTEGER ::= 4 -- Maximum number of physical cell identity ranges

maxPLMN-r11 INTEGER ::= 6 -- Maximum number of PLMNs

maxPLMN-1-r14 INTEGER ::= 5 -- Maximum number of PLMNs minus one

maxPLMN-r15 INTEGER ::= 8 -- Maximum number of PLMNs for RNA configuration

maxPLMN-NR-r15 INTEGER ::= 12 -- Maximum number of NR PLMNs

maxPNOffset INTEGER ::= 511 -- Maximum number of CDMA2000 PNOffsets

maxPMCH-PerMBSFN INTEGER ::= 15

maxPSSCH-TxConfig-r14 INTEGER ::= 16 -- Maximum number of PSSCH TX configurations

maxQuantSetsNR-r15 INTEGER ::= 2 -- Maximum number of NR quantity configuration sets

maxQCI-r13 INTEGER ::= 6 -- Maximum number of QCIs

maxRAT-Capabilities INTEGER ::= 8 -- Maximum number of interworking RATs (incl EUTRA)

maxRE-MapQCL-r11 INTEGER ::= 4 -- Maximum number of PDSCH RE Mapping configurations

-- (per carrier frequency)

maxReportConfigId INTEGER ::= 32

maxReservationPeriod-r14 INTEGER ::= 16 -- Maximum number of resource reservation periodicities

-- for sidelink V2X communication

maxRS-Index-r15 INTEGER ::= 64 -- Maximum number of RS indices

maxRS-Index-1-r15 INTEGER ::= 63 -- Highest value of RS index as used to identify

-- RS index in RRM reports.

maxRS-IndexCellQual-r15 INTEGER ::= 16 -- Maximum number of RS indices averaged to derive

-- cell quality for RRM.

maxRS-IndexReport-r15 INTEGER ::= 32 -- Maximum number of RS indices for RRM.

maxRSTD-Freq-r10 INTEGER ::= 3 -- Maximum number of frequency layers for RSTD

-- measurement

maxSAI-MBMS-r11 INTEGER ::= 64 -- Maximum number of MBMS service area identities

-- broadcast per carrier frequency

maxSCell-r10 INTEGER ::= 4 -- Maximum number of SCells

maxSCell-r13 INTEGER ::= 31 -- Highest value of extended number range of SCells

maxSCellGroups-r15 INTEGER ::= 4 -- Maximum number of SCell common parameter groups

maxSC-MTCH-r13 INTEGER ::= 1023 -- Maximum number of SC-MTCHs in one cell

maxSC-MTCH-BR-r14 INTEGER ::= 128 -- Maximum number of SC-MTCHs in one cell for feMTC

maxSL-CommRxPoolNFreq-r13 INTEGER ::= 32 -- Maximum number of individual sidelink communication

-- Rx resource pools on neighbouring freq

maxSL-CommRxPoolPreconf-v1310 INTEGER ::= 12 -- Maximum number of additional preconfigured

-- sidelink communication Rx resource pool entries

maxSL-TxPool-r12Plus1-r13 INTEGER ::= 5 -- First additional individual sidelink

-- Tx resource pool

maxSL-TxPool-v1310 INTEGER ::= 4 -- Maximum number of additional sidelink

-- Tx resource pool entries

maxSL-TxPool-r13 INTEGER ::= 8 -- Maximum number of individual sidelink

-- Tx resource pools

maxSL-CommTxPoolPreconf-v1310 INTEGER ::= 7 -- Maximum number of additional preconfigured

-- sidelink Tx resource pool entries

maxSL-Dest-r12 INTEGER ::= 16 -- Maximum number of sidelink destinations

maxSL-DiscCells-r13 INTEGER ::= 16 -- Maximum number of cells with similar sidelink

-- configurations

maxSL-DiscPowerClass-r12 INTEGER ::= 3 -- Maximum number of sidelink power classes

maxSL-DiscRxPoolPreconf-r13 INTEGER ::= 16 -- Maximum number of preconfigured sidelink

-- discovery Rx resource pool entries

maxSL-DiscSysInfoReportFreq-r13 INTEGER ::= 8 -- Maximum number of frequencies to include in a

-- SidelinkUEInformation for SI reporting

maxSL-DiscTxPoolPreconf-r13 INTEGER ::= 4 -- Maximum number of preconfigured sidelink

-- discovery Tx resource pool entries

maxSL-GP-r13 INTEGER ::= 8 -- Maximum number of gap patterns that can be requested

-- for a frequency or assigned

maxSL-PoolToMeasure-r14 INTEGER ::= 72 -- Maximum number of TX resource pools for CBR

-- measurement and report

maxSL-Prio-r13 INTEGER ::= 8 -- Maximum number of entries in sidelink priority list

maxSL-RxPool-r12 INTEGER ::= 16 -- Maximum number of individual sidelink Rx resource pools

maxSL-Reliability-r15 INTEGER ::= 8 -- Maximum number of entries in sidelink reliability list

maxSL-SyncConfig-r12 INTEGER ::= 16 -- Maximum number of sidelink Sync configurations

maxSL-TF-IndexPair-r12 INTEGER ::= 64 -- Maximum number of sidelink Time Freq resource index

-- pairs

maxSL-TxPool-r12 INTEGER ::= 4 -- Maximum number of individual sidelink Tx resource pools

maxSL-V2X-RxPool-r14 INTEGER ::= 16 -- Maximum number of RX resource pools for

-- V2X sidelink communication

maxSL-V2X-RxPoolPreconf-r14 INTEGER ::= 16 -- Maximum number of RX resource pools for

-- V2X sidelink communication

maxSL-V2X-TxPool-r14 INTEGER ::= 8 -- Maximum number of TX resource pools for

-- V2X sidelink communication

maxSL-V2X-TxPoolPreconf-r14 INTEGER ::= 8 -- Maximum number of TX resource pools for

-- V2X sidelink communication

maxSL-V2X-SyncConfig-r14 INTEGER ::= 16 -- Maximum number of sidelink Sync configurations

-- for V2X sidelink communication

maxSL-V2X-CBRConfig-r14 INTEGER ::= 4 -- Maximum number of CBR range configurations

-- for V2X sidelink communication congestion

-- control

maxSL-V2X-CBRConfig-1-r14 INTEGER ::= 3

maxSL-V2X-TxConfig-r14 INTEGER ::= 64 -- Maximum number of TX parameter configurations

-- for V2X sidelink communication congestion

-- control

maxSL-V2X-TxConfig-1-r14 INTEGER ::= 63

maxSL-V2X-CBRConfig2-r14 INTEGER ::= 8 -- Maximum number of CBR range configurations in

-- pre-configuration for V2X sidelink

-- communication congestion control

maxSL-V2X-CBRConfig2-1-r14 INTEGER ::= 7

maxSL-V2X-TxConfig2-r14 INTEGER ::= 128 -- Maximum number of TX parameter

-- configurations in pre-configuration for V2X

-- sidelink communication congestion control

maxSL-V2X-TxConfig2-1-r14 INTEGER ::= 127

maxSTAG-r11 INTEGER ::= 3 -- Maximum number of STAGs

maxServCell-r10 INTEGER ::= 5 -- Maximum number of Serving cells

maxServCell-r13 INTEGER ::= 32 -- Highest value of extended number range of Serving cells

maxServCellNR-r15 INTEGER ::= 16 -- Maximum number of NR serving cells

maxServiceCount INTEGER ::= 16 -- Maximum number of MBMS services that can be included

-- in an MBMS counting request and response

maxServiceCount-1 INTEGER ::= 15

maxSessionPerPMCH INTEGER ::= 29

maxSessionPerPMCH-1 INTEGER ::= 28

maxSIB INTEGER ::= 32 -- Maximum number of SIBs

maxSIB-1 INTEGER ::= 31

maxSI-Message INTEGER ::= 32 -- Maximum number of SI messages

maxSimultaneousBands-r10 INTEGER ::= 64 -- Maximum number of simultaneously aggregated bands

maxSubframePatternIDC-r11 INTEGER ::= 8 -- Maximum number of subframe reservation patterns

-- that the UE can simultaneously recommend to the

-- E-UTRAN for use.

maxTrafficPattern-r14 INTEGER ::= 8 -- Maximum number of periodical traffic patterns

-- that the UE can simultaneously report to the

-- E-UTRAN.

maxUTRA-FDD-Carrier INTEGER ::= 16 -- Maximum number of UTRA FDD carrier frequencies

maxUTRA-TDD-Carrier INTEGER ::= 16 -- Maximum number of UTRA TDD carrier frequencies

maxWayPoint-r15 INTEGER ::= 20 -- Maximum number of flight path information waypoints

maxWLAN-Id-r12 INTEGER ::= 16 -- Maximum number of WLAN identifiers

maxWLAN-Bands-r13 INTEGER ::= 8 -- Maximum number of WLAN bands

maxWLAN-Id-r13 INTEGER ::= 32 -- Maximum number of WLAN identifiers

maxWLAN-Channels-r13 INTEGER ::= 16 -- maximum number of WLAN channels used in

-- WLAN-CarrierInfo

maxWLAN-CarrierInfo-r13 INTEGER ::= 8 -- Maximum number of WLAN Carrier Information

maxWLAN-Id-Report-r14 INTEGER ::= 32 -- Maximum number of WLAN IDs to report

maxWLAN-Name-r15 INTEGER ::= 4 -- Maximum number of WLAN name

-- ASN1STOP

NOTE: The value of maxDRB aligns with SA2.

### – End of EUTRA-RRC-Definitions

-- ASN1START

END

-- ASN1STOP

Next change

## 7.1 UE variables

NOTE: To facilitate the specification of the UE behavioural requirements, UE variables are represented using ASN.1. Unless explicitly specified otherwise, it is however up to UE implementation how to store the variables. The optionality of the IEs in ASN.1 is used only to indicate that the values may not always be available.

#### – *EUTRA-UE-Variables*

This ASN.1 segment is the start of the E‑UTRA UE variable definitions.

-- ASN1START

EUTRA-UE-Variables DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

AbsoluteTimeInfo-r10,

AreaConfiguration-r10,

AreaConfiguration-v1130,

ARFCN-ValueNR-r15,

BT-NameList-r15,

CarrierFreqGERAN,

CellIdentity,

CellList-r15,

CondReconfigurationToAddModList-r16,

ConnEstFailReport-r11,

EUTRA-CarrierList-r15,

SpeedStateScaleFactors,

C-RNTI,

LoggingDuration-r10,

LoggingInterval-r10,

LogMeasInfo-r10,

MeasCSI-RS-Id-r12,

MeasId,

MeasId-v1250,

MeasIdToAddModList,

MeasIdToAddModListExt-r12,

MeasIdToAddModList-v1310,

MeasIdToAddModListExt-v1310,

MeasObjectToAddModList,

MeasObjectToAddModList-v9e0,

MeasObjectToAddModListExt-r13,

MeasResultListIdle-r15,

MeasScaleFactor-r12,

MobilityStateParameters,

NeighCellConfig,

PhysCellId,

PhysCellIdCDMA2000,

PhysCellIdGERAN,

PhysCellIdUTRA-FDD,

PhysCellIdUTRA-TDD,

PLMN-Identity,

PLMN-IdentityList3-r11,

QuantityConfig,

ReportConfigToAddModList,

RLF-Report-r9,

TargetMBSFN-AreaList-r12,

TraceReference-r10,

Tx-ResourcePoolMeasList-r14,

VisitedCellInfoList-r12,

maxCellMeas,

maxCSI-RS-Meas-r12,

maxMeasId,

maxMeasId-r12,

maxRS-Index-r15,

PhysCellIdNR-r15,

RS-IndexNR-r15,

UL-DelayConfig-r13,

WLAN-CarrierInfo-r13,

WLAN-Identifiers-r12,

WLAN-Id-List-r13,

WLAN-NameList-r15,

WLAN-Status-r13,

WLAN-Status-v1430,

WLAN-SuspendConfig-r14

FROM EUTRA-RRC-Definitions;

-- ASN1STOP

[…]

#### – *VarConditionalReconfiguration*

The UE variable *VarConditionalReconfiguration* includes the accumulated configuration of conditional reconfigurations (i.e. conditional handovers) including the configurations of triggering conditions to be monitored and the stored *RRCConnectionReconfiguration* per target candidate, to be applied upon the fulfilment of the associated triggering conditions.

*VarConditionalReconfiguration* UE variable

-- ASN1START

VarConditionalReconfiguration ::= SEQUENCE {

-- Conditional reconfigurations list

condReconfigurationList-r16 CondReconfigurationToAddModList-r16

OPTIONAL

}

-- ASN1STOP

Next change

## 11.2 Processing delay requirements for RRC procedures

The UE performance requirements for RRC procedures are specified in the following tables, by means of a value N:

N = the number of 1ms subframes from the end of reception of the E-UTRAN -> UE message on the UE physical layer up to when the UE shall be ready for the reception of uplink grant for the UE -> E-UTRAN response message with no access delay other than the TTI-alignment (e.g. excluding delays caused by scheduling, the random access procedure or physical layer synchronisation).

NOTE: No processing delay requirements are specified for RN-specific procedures.



Figure 11.2-1: Illustration of RRC procedure delay

Table 11.2-1: UE performance requirements for RRC procedures for UEs other than NB-IoT UEs

| **Procedure title:** | **E-UTRAN -> UE** | **UE -> E-UTRAN** | **N** | **Notes** |
| --- | --- | --- | --- | --- |
| **RRC Connection Control Procedures** | | | | |
| RRC connection establishment | *RRCConnectionSetup or RRCConnectionResume* | *RRCConnectionSetupComplete or RRCConnectionResumeComplete* | 15 or 3 | N = 3 applies for the case of reception of *RRCConnectionResume* if *reducedCP-LatencyEnabled* is configured, the UE supports reduced CP latency, and the RRC message only includes MAC and PHY (re-)configurations and does not include (re-)configurations of DRX, SPS, SCells, and MIMO. Further, the UL grant is sent using PDCCH DCI format 0 in common search space. In this scenario, the RRC procedure delay can extend beyond the reception of the UL grant, up to 7 ms.  For other cases N = 15 applies. |
| RRC connection release | *RRCConnectionRelease* |  | NA |  |
| RRC connection re-configuration (radio resource configuration, possibly including configuration of conditional reconfigurations) | *RRCConnectionReconfiguration* | *RRCConnectionReconfigurationComplete* | 15 | Same requirement is applicable regardless of the number of target candidates being configured, if conditional reconfigurations are included in the message, |
| RRC connection re-configuration (measurement configuration) | *RRCConnectionReconfiguration* | *RRCConnectionReconfigurationComplete* | 15 |  |
| RRC connection re-configuration (intra-LTE mobility) | *RRCConnectionReconfiguration* | *RRCConnectionReconfigurationComplete* | 15 |  |
| RRC connection reconfiguration (SCell addition/release) | *RRCConnectionReconfiguration* | *RRCConnectionReconfigurationComplete* | 20 |  |
| RRC connection reconfiguration (SCG establishment/ release, SCG cell addition/ release) | *RRCConnectionReconfiguration* | *RRCConnectionReconfigurationComplete* | 20 |  |
| RRC connection re-configuration (NR measurement configuration) | *RRCConnectionReconfiguration* | *RRCConnectionReconfigurationComplete* | 15 |  |
| RRC connection reconfiguration (NR SCG establishment/ /modification/release) | *RRCConnectionReconfiguration* | *RRCConnectionReconfigurationComplete* | 20 |  |
| RRC connection re-configuration (intra-LTE mobility with NR SCG establishment/ /modification/release) | *RRCConnectionReconfiguration* | *RRCConnectionReconfigurationComplete* | 20 |  |
| RRC connection re-establishment | *RRCConnectionReestablishment* | *RRCConnectionReestablishmentComplete* | 15 |  |
| Initial security activation | *SecurityModeCommand* | *SecurityModeCommandComplete/SecurityModeCommandFailure* | 10 |  |
| Initial security activation + RRC connection re-configuration (RB establishment) | *SecurityModeCommand, RRCConnectionReconfiguration* | *RRCConnectionReconfigurationComplete* | 20 | The two DL messages are transmitted in the same TTI |
| EDT | *RRCEarlyDataComplete* or *RRCConnectionRelease* for UP-EDT |  | NA |  |
| Paging | *Paging* |  | NA |  |
| **Inter RAT mobility** | | | | |
| Handover to E-UTRA | *RRCConnectionReconfiguration (sent by other RAT)* | *RRCConnectionReconfigurationComplete* | NA | The performance of this procedure is specified in TS 45.010 [50] in case of handover from GSM and TS 25.133 [29], TS 25.123 [30] in case of handover from UTRA. |
| Handover from E-UTRA | *MobilityFromEUTRACommand* |  | NA | The performance of this procedure is specified in TS 36.133 [16] |
| Handover from E-UTRA to CDMA2000 | *HandoverFromEUTRAPreparationRequest (CDMA2000)* |  | NA | Used to trigger the handover preparation procedure with a CDMA2000 RAT.  The performance of this procedure is specified in TS 36.133 [16] |
| **Measurement procedures** | | | | |
| Measurement Reporting |  | *MeasurementReport* | NA |  |
| **Other procedures** | | | | |
| UE capability transfer | *UECapabilityEnquiry* | *UECapabilityInformation* | 10/ 80 | N = 80 applies in case the UE has to report at least one of the following UE capabilities.  - MR-DC band combinations.  - NR band combinations  - EUTRA feature sets |
| Counter check | *CounterCheck* | *CounterCheckResponse* | 10 |  |
| Proximity indication |  | *ProximityIndication* | NA |  |
| UE information | *UEInformationRequest* | *UEInformationResponse* | 15 |  |
| MBMS counting | *MBMSCountingRequest* | *MBMSCountingResponse* | NA |  |
| MBMS interest indication |  | *MBMSInterestIndication* | NA |  |
| In-device coexistence indication |  | *InDeviceCoexIndication* | NA |  |
| UE assistance information |  | *UEAssistanceInformation* | NA |  |
| SCG failure information |  | *SCGFailureInformation* | NA |  |
| NR SCG failure information |  | *SCGFailureInformationNR* | NA |  |
| Sidelink UE information |  | *SidelinkUEInformation* | NA |  |
| WLAN Connection Status Reporting |  | *WLANConnectionStatusReport* | NA |  |
| Delay Budget Report |  | *DelayBudgetReport* | NA |  |

Table 11.2-2: UE performance requirements for RRC procedures for NB-IoT UEs

| **Procedure title:** | **E-UTRAN -> UE** | **UE -> E-UTRAN** | **N** | **Notes** |
| --- | --- | --- | --- | --- |
| **RRC Connection Control Procedures** | | | | |
| RRC connection establishment | *RRCConnectionSetup-NB or RRCConnectionResume-NB* | *RRCConnectionSetupComplete-NB or RRCConnectionResumeComplete-NB* | 45 |  |
| RRC connection release | *RRCConnectionRelease-NB* |  | NA |  |
| RRC connection re-configuration (radio resource configuration) | *RRCConnectionReconfiguration-NB* | *RRCConnectionReconfigurationComplete-NB* | 45 |  |
| RRC connection re-establishment | *RRCConnectionReestablishment-NB* | *RRCConnectionReestablishmentComplete-NB* | 45 |  |
| Initial security activation | *SecurityModeCommand* | *SecurityModeCommandComplete/SecurityModeCommandFailure* | 35 |  |
| Initial security activation + RRC connection re-configuration (RB establishment) | *SecurityModeCommand, RRCConnectionReconfiguration-NB* | *RRCConnectionReconfigurationComplete-NB* | 55 | The two DL messages are transmitted in the same TTI |
| EDT | *RRCEarlyDataComplete-NB* or *RRCConnectionRelease-NB* for UP-EDT |  | NA |  |
| Paging | *Paging-NB* |  | NA |  |
| **Other procedures** | | | | |
| UE capability transfer | *UECapabilityEnquiry-NB* | *UECapabilityInformation-NB* | 35 |  |

End of changes