**3GPP TSG-RAN WG2 Meeting #109-e draft*R2-2001723***

**Online, 24th February - 06th March 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **36.331** | **CR** | **4104** | **rev** | **5** | **Current version:** | **15.8.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Clarification on default configuration and SRB1 for UP-EDT and RRC\_INACTIVE | | | | | | | | | |
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| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | RAN2 | | | | | | | | | |
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| ***Work item code:*** | LTE\_eMTC4-Core, NB\_IOTenh2-Core, LTE\_5GCN\_connect-Core | | | | |  | ***Date:*** | | | 2020-02-28 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-15 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
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| ***Reason for change:*** | | There is a note that, until successful resumption, SRB1 is only used for *RRCConnectionResume* message. However, it can also be used for *RRCConnectionRelease* for UP-EDT and RRC\_INACTIVE. | | | | | | | | |
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| ***Summary of change:*** | | Update the NOTE so it is applicable to all cases, legacy, UP-EDT and RRC\_INACTIVE.  **Impact analysis**  Impacted functionality:  UP-EDT and RRC\_INACTIVE  Inter-operability:  The CR corrects an inconsistency in a note, no interoperability issue is foreseen. | | | | | | | | |
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| ***Consequences if not approved:*** | | The specification is not fully correct. | | | | | | | | |
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| ***Clauses affected:*** | | 5.3.3.3a | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **N** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **N** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **N** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
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| ***This CR's revision history:*** | | R2-1912605: Initial version, RAN2#107bis  R2-1914043: Revision 1, RAN2#107bis  R2-1915308: Revision 2, RAN2#108  R2-1916356: Revision 3, RAN2#108  R2-2000636: Revision 4, RAN2#109e | | | | | | | | |

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| First change |

#### 5.3.3.3a Actions related to transmission of *RRCConnectionResumeRequest* message

If the UE is resuming the RRC connection from a suspended RRC connection, the UE shall set the contents of *RRCConnectionResumeRequest* message as follows:

1> if the UE is a NB-IoT UE; or

1> if the UE is initiating UP-EDT in accordance with conditions in 5.3.3.1b; or

1> if field *useFullResumeID* is signalled in *SystemInformationBlockType2*:

2> set the *resumeID* to the stored *resumeIdentity*;

1> else:

2> set the *truncatedResumeID* to include bits in bit position 9 to 20 and 29 to 40 from the left in the stored *resumeIdentity*.

1> if the UE supports *mo-VoiceCall* establishment cause and UE is resuming the RRC connection for mobile originating MMTEL voice and *SystemInformationBlockType2* includes *voiceServiceCauseIndication* and the establishment cause received from upper layers is not set to *highPriorityAccess*:

2> set the *resumeCause* to *mo-VoiceCall*;

1> else if the UE supports *mo-VoiceCall* establishment cause for mobile originating MMTEL video and UE is resuming the RRC connection for mobile originating MMTEL video and *SystemInformationBlockType2* includes *videoServiceCauseIndication* and the establishment cause received from upper layers is not set to *highPriorityAccess*:

2> set the *resumeCause* to *mo-VoiceCall*;

1> else:

2> set the *resumeCause* in accordance with the information received from upper layers;

1> set the *shortResumeMAC-I* to the 16 least significant bits of the MAC-I calculated:

2> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) *VarShortResumeMAC-Input* (or *VarShortResumeMAC-Input-NB* in NB-IoT);

2> with the KRRCint key and the previously configured integrity protection algorithm; and

2> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;

1> if the UE is a NB-IoT UE:

2> if the UE supports DL channel quality reporting and *cqi-Reporting* is present in *SystemInformationBlockType2-NB*:

3> set the *cqi-NPDCCH* to include the latest results of the downlink channel quality measurements of the serving cell as specified in TS 36.133 [16];

NOTE 0: The downlink channel quality measurements may use measurement period T1 or T2, as defined in TS 36.133 [16]. In case period T2 is used the RRC-MAC interactions are left to UE implementation.

2> set *earlyContentionResolution* to TRUE;

1> restore the RRC configuration and security context from the stored UE AS context;

1> if the UE is initiating UP-EDT in accordance with conditions in 5.3.3.1b:

2> restore the PDCP state and re-establish PDCP entities for all SRBs and all DRBs;

2> if *drb-ContinueROHC* has been provided in immediately preceding RRC connection release message, and the UE is requesting to resume RRC connection in the same cell:

3> indicate to lower layers that stored UE AS context is used and that *drb-ContinueROHC* is configured;

3> continue the header compression protocol context for the DRBs configured with the header compression protocol;

2> else:

3> indicate to lower layers that stored UE AS context is used;

3> reset the header compression protocol context for the DRBs configured with the header compression protocol;

2> resume all SRBs and all DRBs;

2> derive the KeNB key based on the KASME key to which the current KeNB is associated, using the stored value of *nextHopChainingCount* received in the *RRCConnectionRelease* message in the preceding connection, as specified in TS 33.401 [32];

2> derive the KRRCint key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];

2> derive the KRRCenc key and the KUPenc key associated with the previously configured ciphering algorithm, as specified in TS 33.401 [32];

2> configure lower layers to resume integrity protection using the previously configured algorithm and the KRRCint key derived in this clause to all subsequent messages received and sent by the UE;

2> configure lower layers to resume ciphering and to apply the ciphering algorithm and the KRRCenc key derived in this clause to all subsequent messages received and sent by the UE;

2> configure lower layers to resume ciphering and to apply the ciphering algorithm and the KUPenc key derived in this clause immediately to the user data sent and received by the UE;

2> configure the lower layers to use EDT;

1> else:

2> if SRB1 was configured with NR PDCP:

3> for SRB1, release the NR PDCP entity and establish an E-UTRA PDCP entity with the current (MCG) security configuration;

NOTE 1: The UE applies the LTE ciphering and integrity protection algorithms that are equivalent to the previously configured NR security algorithms.

2> else:

3> for SRB1, restore the PDCP state and re-establish the PDCP entity;

If the UE is resuming the RRC connection from RRC\_INACTIVE, the UE shall set the contents of *RRCConnectionResumeRequest* message as follows:

2> if field *useFullResumeID* is signalled in *SystemInformationBlockType2*:

3> set the *fullI-RNTI* to the stored *fullI-RNTI* value provided in suspend;

2> else:

3> set the *shortI-RNTI* to the stored *shortI-RNTI* value provided in suspend;

2> restore the RRC configuration, RoHC state, the stored QoS flow to DRB mapping rules and the KeNB and KRRCint keys from the UE Inactive AS context except physical layer, MAC configuration and NR *pdcp-Config*;

2> set the *shortResumeMAC-I* to the 16 least significant bits of the MAC-I calculated:

3> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) *VarShortINACTIVE-MAC-Input*;

3> with the KRRCint key in the UE Inactive AS Context and the previously configured integrity protection algorithm; and

3> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;

2> derive the KeNB key based on the current KeNB or the NH, using the stored *nextHopChainingCount* value, as specified in TS 33.501 [86];

2> derive the KRRCenc key, the KRRCint and the KUPenc key, as specified in TS 33.401 [32];

2> apply the default configuration for SRB1 as specified in 9.2.1.1;

2> apply the default NR PDCP configuration as specified in TS 38.331 [82], clause 9.2.1 for SRB1;

2> configure lower layers to resume integrity protection for all SRBs except SRB0 using the configured algorithm and the KRRCint key derived in this clause immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE;

2> configure lower layers to resume ciphering for all radio bearers except SRB0 and to apply the configured ciphering algorithm, the KRRCenc key and the KUPenc key derived in this clause, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE;

Following procedures are applied for both suspended RRC connection and RRC\_INACTIVE:

2> resume SRB1;

NOTE 2: Until successful connection resumption, the default physical layer configuration and the default MAC Main configuration are applied for the transmission of SRB0 and SRB1, and SRB1 is used only for the transfer of *RRCConnectionResume* message, and *RRCConnectionRelease* message if security has been re-activated.

The UE shall submit the *RRCConnectionResumeRequest* message to lower layers for transmission.

The UE shall continue cell re-selection related measurements as well as cell re-selection evaluation.

If the UE is resuming the RRC connection from RRC\_INACTIVE and if lower layers indicate an integrity check failure while T300 is running, the UE shall perform actions specified in 5.3.3.16.