**3GPP TSG-RAN WG2 Meeting #109-eR2-200xxxx**

**eMeeting, 24th February - 06th March 2020**

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| *CR-Form-v11.4* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **36.331** | **CR** | **4195** | **rev** | **1** | **Current version:** | **15.8.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | Correction on LTE early measurement | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | MediaTek Inc., Nokia, Nokia Shanghai Bell, Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | LTE\_euCA-Core | | | | |  | ***Date:*** | | | 2020/02/24 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | F |  | | | | | ***Release:*** | | | Rel-15 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | 1. If the early measurement target frequencies (*measIdleCarrierListEUTRA*) is not provided in dedicated message, the UE shall use the one from system information. The current model in specification requires the UE to **store** the configuration from SI in its local variable. However, if the UE reselects to another cell that does not contain *measIdleCarrierListEUTRA*, no action is specified. The configuration from SIB of previous cell is still stored in the UE’s local variable. Then based on procedure text in 5.6.20, it still has to perform the early measurement on the target carriers configured by SIB of other cell. This is not the intended behaviour. 2. The validity timer T331 is supposed to be running in IDLE/INACTIVE mode and stopped in CONNECTED mode. However, it is not stopped while receiving *RRCConnectionSetup* or *RRCConnectionResume* from INACTIVE mode according to current specification. The “stop T331” clause in above cases is under the condition of “*if the UE is connected to EPC*” or “*if resuming an RRC connection from a suspended RRC connection*”, which does not apply to INACTIVE mode. Thus the T331 handling in INACTIVE mode is incorrect. We therefore propose the simple and straightforward solution – to stop the timer once going the CONNECTED mode. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | 1. In 5.2.2.12, specify that the UE shall remove early measurement target frequencies configured by SIB of other cell (if stored). 2. In 5.3.3.4, stop T331 upon receiving *RRCConnectionSetup* 3. In 5.3.3.4a, stop T331 upon receiving *RRCConnectionResume*   **Impact analysis**  Impacted functionality:  Early measurement  Inter-operability:  The CR only impact UE behavior and the network does not have to implement it. Thus there is no inter-operability issue. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | For change 1), the UE may use the configuration from system information of non-camping cells to do early measurement. This is unexpected behaviour and will result in unnecessary power consumption.  For change 2) and 3), the T331 may be still running in CONNECTED mode. Then the UE is required to do IDLE mode measurement in CONNECTED according to the specification. This is incorrect behavior. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 5.2.2.12, 5.3.3.4, 5.3.3.4a | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **x** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |

1st change

#### 5.2.2.12 Actions upon reception of *SystemInformationBlockType5*

Upon receiving *SystemInformationBlockType5*, the UE shall:

<Skip unrelated parts>

1> if in RRC\_IDLE or RRC\_INACTIVE and UE has stored *VarMeasIdleConfig* and the UE is capable of IDLE mode measurements for CA:

2> if T331 is running and *VarMeasIdleConfig* does not contain *measIdleCarrierListEUTRA* received from the *RRCConnectionRelease* message:

3> if SIB5 includes the *measIdleConfigSIB*:

4> store or replace the *measIdleCarrierListEUTRA* of *measIdleConfigSIB* within *VarMeasIdleConfig*;

3> else:

4> remove the *measIdleCarrierListEUTRA* in *VarMeasIdleConfig*, if stored;

2> perform idle mode measurements asspecified in5.6.20;

<Skip unrelated parts>

2nd change

#### 5.3.3.4 Reception of the *RRCConnectionSetup* by the UE

<Skip unrelated parts>

1> stop timer T300;

1> if T302 is running:

2> stop timer T302;

2> if the UE is connected to 5GC:

3> perform the actions as specified in 5.3.16.4;

1> stop timer T303, if running;

1> stop timer T305, if running;

1> stop timer T306, if running;

1> stop timer T308, if running;

1> perform the actions as specified in 5.3.3.7;

1> stop timer T320, if running;

1> stop timer T350, if running;

1> perform the actions as specified in 5.6.12.4;

1> release *rclwi-Configuration*, if configured, as specified in 5.6.16.2;

1> stop timer T360, if running;

1> stop timer T322, if running;

1> stop timer T331, if running;

1> if T309 is running:

2> stop timer T309 for all access categories;

2> perform the actions as specified in 5.3.16.4.

1> enter RRC\_CONNECTED;

1> stop the cell re-selection procedure;

1> consider the current cell to be the PCell;

1> set the content of *RRCConnectionSetup**Complete* message as follows:

2> if the *RRCConnectionSetup* is received in response to an *RRCConnectionResumeRequest*:

3> if upper layers provide an S-TMSI:

4> set the *s-TMSI* to the value received from upper layers;

3> else if upper layers provide a 5G-S-TMSI:

4> set the *ng-5G-S-TMSI-Bits* to *ng-5G-S-TMSI* with the value received from upper layers;

2> else if upper layers provide a 5G-S-TMSI:

3> set the *ng-5G-S-TMSI-Bits* to *ng-5G-S-TMSI-Part2* to the leftmost 8 bits of 5G-S-TMSI received from upper layers;

2> set the *selectedPLMN-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35] for E-UTRA/EPC and TS 24.501 [95] for E-UTRA/5GC) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1* (or *SystemInformationBlockType1-NB* in NB-IoT);

2> if upper layers provide the 'Registered MME', include and set the *registeredMME* as follows:

3> if the PLMN identity of the 'Registered MME' is different from the PLMN selected by the upper layers:

4> include the *plmnIdentity* in the *registeredMME* and set it to the value of the PLMN identity in the 'Registered MME' received from upper layers;

3> set the *mmegi* andthe *mmec* to the value received from upper layers;

2> if upper layers provided the 'Registered MME':

3> include and set the *gummei-Type* to the value provided by the upper layers;

2> if upper layers provide the 'Registered AMF', include and set the *registeredAMF* as follows:

3> if the PLMN identity of the 'Registered AMF' is different from the PLMN selected by the upper layers:

4> include the *plmnIdentity* in the *registeredAMF* and set it to the value of the PLMN identity in the 'Registered AMF' received from upper layers;

3> set the *amf-Identifier* to AMF Identifier of the 'Registered AMF' received from upper layers;

2> if upper layers provided the 'Registered AMF':

3> include and set the *guami-Type* to the value provided by the upper layers;

2> if upper layers provide one or more S-NSSAI (see TS 23.003 [27]):

3> include the *s-NSSAI-list* and set the content to the values provided by the upper layers;

2> if the UE supports CIoT EPS optimisation(s):

3> include a*ttachWithoutPDN-Connectivity* if received from upper layers;

3> include *up-CIoT-EPS-Optimisation* if received from upper layers;

3> except for NB-IoT, include *cp-CIoT-EPS-Optimisation* if received from upper layers;

2> if connecting as an RN:

3> include the *rn-SubframeConfigReq*;

2> if the *RRCConnectionSetup* is received in response to *RRCEarlyDataRequest*:

3> set the *dedicatedInfoNAS* to a zero-length octet string;

2> else:

3> set the *dedicatedInfoNAS* to include the information received from upper layers;

2> if the UE is connected to EPC:

3> except for NB-IoT:

4> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

5> include *rlf-InfoAvailable*;

4> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include *logMeasAvailableMBSFN*;

4> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include *logMeasAvailable*;

4> if the UE has Bluetooth logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include *logMeasAvailableBT*;

4> if the UE has WLAN logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include *logMeasAvailableWLAN*;

4> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

5> include *connEstFailInfoAvailable*;

4> include the *mobilityState* and set it to the mobility state (as specified in TS 36.304 [4]) of the UE just prior to entering RRC\_CONNECTED state;

4> if the UE has flight path information available:

5> include *flightPathInfoAvailable*;

3> for NB-IoT:

4> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:

5> set the *measResultServCell* to include the measurements of the serving cell;

NOTE 2: The UE includes the latest results of the serving cell measurements as used for cell selection/ reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].

3> include *dcn-ID* if a DCN-ID value (see TS 23.401 [41]) is received from upper layers;

2> except for NB-IoT:

3> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

4> include the *mobilityHistoryAvail*;

3> if the SIB2 contains *idleModeMeasurements*, and the UE has IDLE mode measurement information available in *VarMeasIdleReport*:

4> include the *idleMeasAvailable*;

2> if UE needs UL gaps during continuous uplink transmission:

3> include *ue-CE-NeedULGaps*;

1> submit the *RRCConnectionSetupComplete* message to lower layers for transmission;

1> the procedure ends.

3rd change

#### 5.3.3.4a Reception of the *RRCConnectionResume* by the UE

<Skip unrelated parts>

1> if T302 is running:

2> stop timer T302;

2> if the UE is connected to 5GC:

3> perform the actions as specified in 5.3.16.4;

1> stop timer T303, if running;

1> stop timer T305, if running;

1> stop timer T306, if running;

1> stop timer T308, if running;

1> perform the actions as specified in 5.3.3.7;

1> stop timer T320, if running;

1> stop timer T350, if running;

1> perform the actions as specified in 5.6.12.4;

1> stop timer T360, if running;

1> stop timer T322, if running;

1> stop timer T331, if running;

1> if the *RRCConnectionResume* is received in response to an *RRCConnectionResumeRequest* for EDT or an *RRCConnectionResumeRequest* from RRC\_INACTIVE:

2> ignore the *nextHopChainingCount* value indicated in the *RRCConnectionResume* message;

1> else:

2> if resuming an RRC connection from a suspended RRC connection:

3> update the KeNB key based on the KASME key to which the current KeNB is associated, using the *nextHopChainingCount* value indicated in the *RRCConnectionResume* message, as specified in TS 33.401 [32];

3> store the *nextHopChainingCount* value;

3> derive the KRRCint key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];

3> request lower layers to verify the integrity protection of the *RRCConnectionResume* message, using the previously configured algorithm and the KRRCint key;

3> if the integrity protection check of the *RRCConnectionResume* message fails:

4> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other', upon which the procedure ends;

3> derive the KRRCenc key and the KUPenc key associated with the previously configured ciphering algorithm, as specified in TS 33.401 [32];

3> configure lower layers to resume integrity protection using the previously configured algorithm and the KRRCint key immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE;

3> configure lower layers to resume ciphering and to apply the ciphering algorithm, the KRRCenc key and the KUPenc key, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE;

1> enter RRC\_CONNECTED;

1> indicate to upper layers that the suspended RRC connection has been resumed;

1> stop the cell re-selection procedure;

1> consider the current cell to be the PCell;

1> set the content of *RRCConnectionResumeComplete* message as follows:

2> set the *selectedPLMN-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35] for E-UTRA/EPC and TS 24.501 [95] for E-UTRA/5GC) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1*;

2> set the *dedicatedInfoNAS* to include the information received from upper layers;

2> except for NB-IoT:

3> if resuming an RRC connection from a suspended RRC connection:

4> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

5> include rlf-InfoAvailable;

4> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include logMeasAvailableMBSFN;

4> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include logMeasAvailable;

4> if the UE has Bluetooth logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include logMeasAvailableBT;

4> if the UE has WLAN logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include logMeasAvailableWLAN;

4> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

5> include connEstFailInfoAvailable;

4> include the *mobilityState* and set it to the mobility state (as specified in TS 36.304 [4]) of the UE just prior to entering RRC\_CONNECTED state;

4> if the UE has flight path information available:

5> include *flightPathInfoAvailable*;

3> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

4> include *mobilityHistoryAvail*;

3> if the SIB2 contains *idleModeMeasurements*, and the UE has IDLE mode measurement information available in *VarMeasIdleReport*:

4> include the *idleMeasAvailable*;

2> for NB-IoT:

3> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:

4> set the *measResultServCell* to include the measurements of the serving cell;

NOTE 2: The UE includes the latest results of the serving cell measurements as used for cell selection/ reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].

1> submit the *RRCConnectionResumeComplete* message to lower layers for transmission;

1> the procedure ends.

No Chnages in following text (For reference only)

### 5.6.20 IDLE Mode Measurements

#### 5.6.20.1 General

This procedure specifies the measurements done by a UE in RRC\_IDLE or RRC\_INACTIVE when it has an IDLE mode measurement configuration and the storage of the available measurements by a UE in RRC\_IDLE, RRC\_INACTIVE and RRC\_CONNECTED.

#### 5.6.20.2 Initiation

While T331 is running, the UE shall:

1> perform the measurements in accordance with the following:

2> for each entry in *measIdleCarrierListEUTRA* within *VarMeasIdleConfig*:

3> if UE supports carrier aggregation between serving carrier and the carrier frequency and bandwidth indicated by *carrierFreq* and *allowedMeasBandwidth* within the corresponding entry;

4> perform measurements in the carrier frequency and bandwidth indicated by *carrierFreq* and *allowedMeasBandwidth* within the corresponding entry;

NOTE: The fields *s-NonIntraSearch* in *SystemInformationBlockType3* do not affect the UE measurement procedures in IDLE mode. How the UE performs measurements in IDLE mode is up to UE implementation as long as the requirements in TS 36.133 [16] are met for measurement reporting. UE is not required to perform idle measurements if the SIB2 does not contain *idleModeMeasurements*.

4> if the *measCellList* is included:

5> consider the serving cell and cells identified by each entry within the *measCellList* to be applicable for idle mode measurement reporting;

4> else:

5> consider the serving cell and up to *maxCellMeasIdle* strongest identified cells whose RSRP/RSRQ measurement results are above the value(s) provided in *qualityThreshold* (if any) to be applicable for idle mode measurement reporting;

4> store measurement results for cells applicable for idle mode measurement reporting within the *VarMeasIdleReport*;

3> else:

4> do not consider the carrier frequency to be applicable for idle mode measurement reporting;

1> if *validityArea* is configured in *VarMeasIdleConfig* and UE reselects to a serving cell whose physical cell identity does not match any entry in *validityArea* for the corresponding carrier frequency:

2> stop T331;

#### 5.6.20.3 T331 expiry or stop

The UE shall:

1> if T331 expires or is stopped:

2> release the *VarMeasIdleConfig*;

NOTE: It is up to UE implementation whether to continue IDLE mode measurements according to SIB5 configuration after T331 has expired or stopped.