**3GPP TSG-RAN WG2 Meeting #109 electronic *R2-200XXXX***

Elbonia, 24 Feb – 6 Mar 2020

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.331** | **CR** | **1468** | **rev** | **1** | **Current version:** | **15.8.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:*** | Introducing the support of Non-Public Networks | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Nokia (Rapporteur) | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NG\_RAN\_PRN | | | | |  | ***Date:*** | | | 2019-11 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | B |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | This CR introduces the support for Non-Public Networks in NG-RAN. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | This CR contrins the following changes   * Adds definitions and abbreviations for NPNs * Extends the SIB related procedures for NPNs * Extends the creation of RRCSetup for the case when an NPN is selected * Extends UAC with the handling of NPNs * Extends measurement reporting with NPN information * Extends SIB1 with NPN information * Defines new SIB for HRNNs   ----------- To be removed before submission ---------------  -------------Agreements from RAN2#109e  Agreements from R2-2001674:   * For cells shared between PLMNs and NPNs, non-NPN capable UEs use the first PLMN ID in the Rel-15 PLMN list for the SIB validity check. * To index NPNs, build on the existing plmn-IdentityIndex (to avoid ASN.1 changes other than in SIB1). * In RAN sharing scenarios, the lowest index values belong to the PLMNs (using legacy indexing) and the highest index values belong to NPNs. * Add a condition that NPN-only cell generating NPN-indexes (for PNI-NPNs and SNPNs) shall count the PLMN-index part as zero.   Agreements from R2-2001676:   * Remove the following Editor’s Notes without introducing any other changes   + Editor's Note: The need for list of NIDs depends on the RAN sharing scenarios to be supported.   + Editor's Note: The support of sharing logical cells is FFS.   Agreements from R2-2001681:   * : Extend the current measurement reporting procedures to include NPN information to support ANR. (It is FFS if it is mandatory for all Rel-16 UEs to support it.) * The CAG ID/SNPN NID information shall be added into the CGI-InfoNR. (It is FFS if it is mandatory for all Rel-16 UEs to support it.)   Agreements from R2-2001682:   * HRNN is broadcast in a new SIB. * 1b. Associate the HRNN and the Network ID implicitly. The SIB for HRNN shall have the same amount of HRNN elements as the number of CAGs and NIDs in SIB1. These elements can also be absent. * 1c. ASN.1 in Proposal 1c in R2-2001682 can be taken as a baseline. * 3. The UAC parameters per SNPN are configured by reusing the existing uac-BarringPerPLMN-List. * 3a. The UAC parameters should be configured per SNPN.   Agreements from R2-2001697:   * If the cell broadcast multiple CAG identities, CAG identities associated to the same PLMN identity is listed in the same cag-IdentityList in the cell * Definition for NPN-only cell: A cell that is only available for normal service for NPNs’ subscriber. From a UE point of view this is determined by detecting the setting of the cellReservedForOtherUse IE to true while the npn-IdentityInfoList-r16 IE is present in CellAccessRelatedInfo (this only applies for Rel-16 and later NPN-capable UEs)   Agreements from R2-2001698:   * For NPN-only cells, the first NPN ID (PLMN ID and NID or PLMN ID and CAG ID) is used for the SIB validity check by NPN capable UEs. * There is no need to create any order between SNPNs and PNI-NPNs during the indexing. * For cells shared between PLMNs and NPNs, NPN capable UEs use the first PLMN ID in the Rel-15 PLMN list. * The selectedPLMN-Identity can refer to a NPN (a SNPN or a PNI-NPN) or set of PNI-NPNs having the same PLMN ID (in case CAG ID is not sent in the RRC message) in the description of RRCSetupComplete message and the relevant procedures.   -------------------------------------------------------------------------------  Updates the draft CR input for #RAN108 (R2-1915388) based on the following agreement and working assumption of RAN2#108:   * NPN information is outside PLMN-IdentityInfoList as a new Rel-16 IE for NPN-only cell and PLMN+NPN cell (the total number of network IDs is still 12) * The new Rel-16 IE with a role similar to role of cellReservedForOtherUse for Rel-15 UEs is cell specific. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | No RRC specification to support NPNs | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | TBA | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **x** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*First Modification*

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**CEIL:** Mathematical function used to 'round up' i.e. to the nearest integer having a higher or equal value.

**Dedicated signalling:** Signalling sent on DCCH logical channel between the network and a single UE.

**Field:** The individual contents of an information element are referred to as fields.

**FLOOR:** Mathematical function used to 'round down' i.e. to the nearest integer having a lower or equal value.

**Information element:** A structural element containing single or multiple fields is referred as information element.

**NPN-only Cell**: A cell that is only available for normal service for NPNs’ subscriber. From UE point of view this is determined by detecting the setting the *cellReservedForOtherUse* IE to true while the *npn-IdentityInfoList* IE is present in *CellAccessRelatedInfo*.

**Primary Cell**: The MCG cell, operating on the primary frequency, in which the UE either performs the initial connection establishment procedure or initiates the connection re-establishment procedure.

**Primary SCG Cell**: For dual connectivity operation, the SCG cell in which the UE performs random access when performing the Reconfiguration with Sync procedure.

**Primary Timing Advance Group**: Timing Advance Group containing the SpCell.

**PUCCH SCell:** An SCell configured with PUCCH.

**PUSCH-Less SCell:** An SCell configured without PUSCH.

**RLC bearer configuration:** The lower layer part of the radio bearer configuration comprising the RLC and logical channel configurations.

**Secondary Cell**: For a UE configured with CA, a cell providing additional radio resources on top of Special Cell.

**Secondary Cell Group**: For a UE configured with dual connectivity, the subset of serving cells comprising of the PSCell and zero or more secondary cells.

**Serving Cell**: For a UE in RRC\_CONNECTED not configured with CA/DC there is only one serving cell comprising of the primary cell. For a UE in RRC\_CONNECTED configured with CA/ DC the term 'serving cells' is used to denote the set of cells comprising of the Special Cell(s) and all secondary cells.

**Special Cell:** For Dual Connectivity operation the term Special Cell refers to the PCell of the MCG or the PSCell of the SCG, otherwise the term Special Cell refers to the PCell.

**Split SRB**: In MR-DC, an SRB that supports transmission via MCG and SCG as well as duplication of RRC PDUs as defined in TS 37.340 [41].

**SSB Frequency**: Frequency referring to the position of resource element RE=#0 (subcarrier #0) of resource block RB#10 of the SS block.

**UE Inactive AS Context**: UE Inactive AS Context is stored when the connection is suspended and restored when the connection is resumed. It includes information as defined in clause 5.3.8.3.

3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

5GC 5G Core Network

ACK Acknowledgement

AM Acknowledged Mode

ARQ Automatic Repeat Request

AS Access Stratum

ASN.1 Abstract Syntax Notation One

BLER Block Error Rate

BWP Bandwidth Part

CA Carrier Aggregation

CAG Closed Access Group

CAG-ID Closed Access Group Identifier

CCCH Common Control Channel

CG Cell Group

CMAS Commercial Mobile Alert Service

CP Control Plane

C-RNTI Cell RNTI

CSI Channel State Information

DC Dual Connectivity

DCCH Dedicated Control Channel

DCI Downlink Control Information

DL Downlink

DL-SCH Downlink Shared Channel

DM-RS Demodulation Reference Signal

DRB (user) Data Radio Bearer

DRX Discontinuous Reception

DTCH Dedicated Traffic Channel

EN-DC E-UTRA NR Dual Connectivity with E-UTRA connected to EPC

EPC Evolved Packet Core

EPS Evolved Packet System

ETWS Earthquake and Tsunami Warning System

E-UTRA Evolved Universal Terrestrial Radio Access

E-UTRA/5GC E-UTRA connected to 5GC

E-UTRA/EPC E-UTRA connected to EPC

E-UTRAN Evolved Universal Terrestrial Radio Access Network

FDD Frequency Division Duplex

FFS For Further Study

GERAN GSM/EDGE Radio Access Network

GNSS Global Navigation Satellite System

GSM Global System for Mobile Communications

HARQ Hybrid Automatic Repeat Request

IE Information element

IMSI International Mobile Subscriber Identity

kB Kilobyte (1000 bytes)

L1 Layer 1

L2 Layer 2

L3 Layer 3

MAC Medium Access Control

MCG Master Cell Group

MIB Master Information Block

MR-DC Multi-Radio Dual Connectivity

N/A Not Applicable

NE-DC NR E-UTRA Dual Connectivity

(NG)EN-DC E-UTRA NR Dual Connectivity (covering E-UTRA connected to EPC or 5GC)

NGEN-DC E-UTRA NR Dual Connectivity with E-UTRA connected to 5GC

NID Network Identifier

NPN Non-Public Network

NR-DC NR-NR Dual Connectivity

NR/5GC NR connected to 5GC

PCell Primary Cell

PDCP Packet Data Convergence Protocol

PDU Protocol Data Unit

PLMN Public Land Mobile Network

PNI-NPN Public Network Integrated Non-Public Network

PSCell Primary SCG Cell

PWS Public Warning System

QoS Quality of Service

RAN Radio Access Network

RAT Radio Access Technology

RLC Radio Link Control

RNA RAN-based Notification Area

RNTI Radio Network Temporary Identifier

ROHC Robust Header Compression

RRC Radio Resource Control

RS Reference Signal

SCell Secondary Cell

SCG Secondary Cell Group

SFN System Frame Number

SFTD SFN and Frame Timing Difference

SI System Information

SIB System Information Block

SNPN Stand-alone Non-Public Network

SpCell Special Cell

SRB Signalling Radio Bearer

SSB Synchronization Signal Block

TAG Timing Advance Group

TDD Time Division Duplex

TM Transparent Mode

UE User Equipment

UL Uplink

UM Unacknowledged Mode

UP User Plane

In the ASN.1, lower case may be used for some (parts) of the above abbreviations e.g. c-RNTI.

*Next Modification*

5.2.2.2.1 SIB validity

The UE shall apply the SI acquisition procedure as defined in clause 5.2.2.3 upon cell selection (e.g. upon power on), cell-reselection, return from out of coverage, after reconfiguration with sync completion, after entering the network from another RAT, upon receiving an indication that the system information has changed, upon receiving a PWS notification; and whenever the UE does not have a valid version of a stored SIB.

*SchedulingInfo* for the SIB. The UE may use a valid stored version of the SI except *MIB*, *SIB1*, *SIB6*, *SIB7* or *SIB8* e.g. after cell re-selection, upon return from out of coverage or after the reception of SI change indication.

When the UE acquires a *MIB* or a *SIB1* or an SI message in a serving cell as described in clause 5.2.2.3, and if the UE stores the acquired SIB, then the UE shall store the associated *areaScope*, if present, the first *PLMN-Identity* in the *PLMN-IdentityInfoList* for non-NPN-only cells, the first *NPN-Identity* (PLMN identity and NID in case of SNPN, or PLMN identity and CAG identity in case of PNI-NPN, see TS 23.501 [32]) in the *NPN-IdentityInfoList* for NPN-only cells, the *cellIdentity*, the *systemInformationAreaID*, if present, and the *valueTag*, if present, as indicated in the *si-SchedulingInfo* for the SIB. The UE may use a valid stored version of the SI except *MIB*, *SIB1*, *SIB6*, *SIB7* or *SIB8* e.g. after cell re-selection, upon return from out of coverage or after the reception of SI change indication.

NOTE: The storage and management of the stored SIBs in addition to the SIBs valid for the current serving cell is left to UE implementation.

The UE shall:

1> delete any stored version of a SIB after 3 hours from the moment it was successfully confirmed as valid;

1> for each stored version of a SIB:

2> if the *areaScope* is associated and its value for the stored version of the SIB is the same as the value received in the *si-SchedulingInfo* for that SIB from the serving cell:

3> if the cell is not an NPN-only cell and the first *PLMN-Identity* included in the *PLMN-IdentityInfoList*, the *systemInformationAreaID* and the v*alueTag* that are included in the *si-SchedulingInfo* for the SIB received from the serving cell are identical to the *PLMN-Identity*, the *systemInformationAreaID* and the *valueTag* associated with the stored version of that SIB:

4> consider the stored SIB as valid for the cell;

3> if the cell is an NPN-only cell and the first *NPN-Identity* included in the *NPN-IdentityInfoList*, the *systemInformationAreaID* and the v*alueTag* that are included in the *si-SchedulingInfo* for the SIB received from the serving cell are identical to the *NPN-Identity*, the *systemInformationAreaID* and the *valueTag* associated with the stored version of that SIB:

4> consider the stored SIB as valid for the cell;

2> if the *areaScope* is not present for the stored version of the SIB and the *areaScope* value is not included in the *si-SchedulingInfo* for that SIB from the serving cell:

3> if the cell is not an NPN-only cell and the first *PLMN-Identity* in the *PLMN-IdentityInfoList,* the *cellIdentity* and *valueTag* that are included in the *si-SchedulingInfo* for the SIB received from the serving cell are identical to the *PLMN-Identity,* the *cellIdentity* and the *valueTag* associated with the stored version of that SIB:

4> consider the stored SIB as valid for the cell;

3> if the cell is an NPN-only cell and the first *NPN-Identity* in the *NPN-IdentityInfoList,* the *cellIdentity* and *valueTag* that are included in the *si-SchedulingInfo* for the SIB received from the serving cell are identical to the *NPN-Identity,* the *cellIdentity* and the *valueTag* associated with the stored version of that SIB:

4> consider the stored SIB as valid for the cell;

*Next Modification*

5.2.2.4.2 Actions upon reception of the *SIB1*

Upon receiving the *SIB1* the UE shall:

1> store the acquired *SIB1*;

1> if the cell is not an NPN-only cell and the *cellAccessRelatedInfo* contains an entry with the *PLMN-Identity* of the selected PLMN:

2> in the remainder of the procedures use *plmn-IdentityList*, *trackingAreaCode*, and *cellIdentity* for the cell as received in the corresponding *PLMN-IdentityInfo* containing the selected PLMN;

1> if the cell is an NPN-only cell and the *cellAccessRelatedInfo* contains an entry with the *NPN-Identity* of the selected NPN:

2> in the remainder of the procedures use *npn-IdentityInfo*, *trackingAreaCode*, and *cellIdentity* for the cell as received in the corresponding *NPN-IdentityInfo* containing the selected NPN;

1> if in RRC\_CONNECTED while T311 is not running:

2> disregard the *frequencyBandList*, if received, while in RRC\_CONNECTED;

2> forward the *cellIdentity* to upper layers;

2> forward the *trackingAreaCode* to upper layers;

2> apply the configuration included in the *servingCellConfigCommon*;

1> else:

2> if the UE supports one or more of the frequency bands indicated in the *frequencyBandList* for downlink for TDD, or one or more of the frequency bands indicated in the *frequencyBandList* for uplink for FDD, and they are not downlink only bands, and

2> if the UE supports at least one *additionalSpectrumEmission* in the *NR-NS-PmaxList* for a supported band in the downlink for TDD, or a supported band in uplink for FDD, and

2> if the UE supports an uplink channel bandwidth with a maximum transmission bandwidth configuration (see TS 38.101-1 [15] and TS 38.101-2 [39]) which

- is smaller than or equal to the *carrierBandwidth* (indicated in *uplinkConfigCommon* for the SCS of the initial uplink BWP), and which

- is wider than or equal to the bandwidth of the initial uplink BWP, and

2> if the UE supports a downlink channel bandwidth with a maximum transmission bandwidth configuration (see TS 38.101-1 [15] and TS 38.101-2 [39]) which

- is smaller than or equal to the *carrierBandwidth* (indicated in *downlinkConfigCommon* for the SCS of the initial downlink BWP), and which

- is wider than or equal to the bandwidth of the initial downlink BWP:

3> apply a supported uplink channel bandwidth with a maximum transmission bandwidth which

- is contained within the *carrierBandwidth* indicated in *uplinkConfigCommon* for the SCS of the initial uplink BWP, and which

- is wider than or equal to the bandwidth of the initial BWP for the uplink;

3> apply a supported downlink channel bandwidth with a maximum transmission bandwidth which

- is contained within the *carrierBandwidth* indicated in *downlinkConfigCommon* for the SCS of the initial downlink BWP, and which

- is wider than or equal to the bandwidth of the initial BWP for the downlink;

3> select the first frequency band in the *frequencyBandList*, for FDD from *frequencyBandList* for uplink, or for TDD from *frequencyBandList* for downlink,which the UE supports and for which the UE supports at least one of the *additionalSpectrumEmission* values in *nr-NS-PmaxList*, if present;

3> forward the *cellIdentity* to upper layers;

3> if *trackingAreaCode* is not provided for the selected PLMN nor the registered PLMN nor PLMN of the equivalent PLMN list nor the selected NPN nor the registered NPN:

4> consider the cell as barred in accordance with TS 38.304 [20];

4> if *intraFreqReselection* is set to notAllowed:

5> consider cell re-selection to other cells on the same frequency as the barred cell as not allowed, as specified in TS 38.304 [20];

4> else:

5> consider cell re-selection to other cells on the same frequency as the barred cell as allowed, as specified in TS 38.304 [20];

3> else:

4> forward the *trackingAreaCode* to upper layers;

3> forward the PLMN identity or SNPN identity or PNI-NPN identity to upper layers;

3> if in RRC\_INACTIVE and the forwarded information does not trigger message transmission by upper layers:

4> if the serving cell does not belong to the configured *ran-NotificationAreaInfo*:

5> initiate an RNA update as specified in 5.3.13.8;

3> forward the *ims-EmergencySupport* to upper layers, if present;

3> forward the *uac-AccessCategory1-SelectionAssistanceInfo* to upper layers, if present;

3> apply the configuration included in the *servingCellConfigCommon*;

3> apply the specified PCCH configuration defined in 9.1.1.3;

3> if the UE has a stored valid version of a SIB, in accordance with sub-clause 5.2.2.2.1, that the UE requires to operate within the cell in accordance with sub-clause 5.2.2.1:

4> use the stored version of the required SIB;

3> if the UE has not stored a valid version of a SIB, in accordance with sub-clause 5.2.2.2.1, of one or several required SIB(s), in accordance with sub-clause 5.2.2.1:

4> for the SI message(s) that, according to the *si-SchedulingInfo*, contain at least one required SIB and for which *si-BroadcastStatus* is set to broadcasting:

5> acquire the SI message(s) as defined in sub-clause 5.2.2.3.2;

4> for the SI message(s) that, according to the *si-SchedulingInfo*, contain at least one required SIB and for which *si-BroadcastStatus* is set to *notBroadcasting*:

5> trigger a request to acquire the SI message(s) as defined in sub-clause 5.2.2.3.3;

3> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NR-NS-PmaxList* within *frequencyBandList* in *uplinkConfigCommon* for FDD or in *downlinkConfigCommon* for TDD;

3> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NR-NS-PmaxList*:

4> apply the *additionalPmax* for UL;

3> else:

4> apply the *p-Max* in *uplinkConfigCommon* for UL;

3> if *supplementaryUplink* is present in *servingCellConfigCommon*; and

3> if the UE supports one or more of the frequency bands indicated in the *frequencyBandList* of supplementary uplink; and

3> if the UE supports at least one *additionalSpectrumEmission* in the *NR-NS-PmaxList* for a supported supplementary uplink band; and

3> if the UE supports an uplink channel bandwidth with a maximum transmission bandwith configuration (see TS 38.101-1 [15] and TS 38.101-2 [39]) which

- is smaller than or equal to the carrierBandwidth (indicated in supplementaryUplink for the SCS of the initial uplink BWP), and which

- is wider than or equal to the bandwidth of the initial uplink BWP of the SUL:

4> consider supplementary uplink as configured in the serving cell;

4> select the first frequency band in the *frequencyBandList* of supplementary uplink which the UE supports and for which the UE supports at least one of the *additionalSpectrumEmission* values in *nr-NS-PmaxList*, if present;

4> apply a supported supplementary uplink channel bandwidth with a maximum transmission bandwidth which

- is contained withn the carrierBandwidth (indicated in supplementaryUplink for the SCS of the initial uplink BWP), and which

- is wider than or equal to the bandwidth of the initial BWP of the SUL;

4> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NR-NS-PmaxList* within *frequencyBandList* for the *supplementaryUplink*;

4> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NR-NS-PmaxList* for the *supplementaryUplink*:

5> apply the *additionalPmax* in *supplementaryUplink* for SUL;

4> else:

5> apply the *p-Max* in *supplementaryUplink* for SUL;

2> else:

3> consider the cell as barred in accordance with TS 38.304 [20]; and

3> perform barring as if *intraFreqReselection* is set to *notAllowed*;

*Next Modification (new Subclause)*

##### 5.2.2.4.X Actions upon reception of *SIBX*

No UE requirements related to the contents of this *SIBX* apply other than those specified elsewhere e.g. within procedures using the concerned system information, and/ or within the corresponding field descriptions.

*Next Modification*

5.3.3.4 Reception of the *RRCSetup* by the UE

The UE shall perform the following actions upon reception of the *RRCSetup*:

1> if the *RRCSetup* is received in response to an *RRCReestablishmentRequest*; or

1> if the *RRCSetup* is received in response to an *RRCResumeRequest* or *RRCResumeRequest1*:

2> discard any stored UE Inactive AS context and *suspendConfig*;

2> discard any current AS security context including the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key;

2> release radio resources for all established RBs except SRB0, including release of the RLC entities, of the associated PDCP entities and of SDAP;

2> release the RRC configuration except for the default L1 parameter values, default MAC Cell Group configuration and CCCH configuration;

2> indicate to upper layers fallback of the RRC connection;

2> stop timer T380, if running;

1> perform the cell group configuration procedure in accordance with the received *masterCellGroup* and as specified in 5.3.5.5;

1> perform the radio bearer configuration procedure in accordance with the received *radioBearerConfig* and as specified in 5.3.5.6;

1> if stored, discard the cell reselection priority information provided by the *cellReselectionPriorities* or inherited from another RAT;

1> stop timer T300, T301 or T319 if running;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if T302 is running:

2> stop timer T302;

2> perform the actions as specified in 5.3.14.4;

1> stop timer T320, if running;

1> if the *RRCSetup* is received in response to an *RRCResumeRequest*, *RRCResumeRequest1* or *RRCSetupRequest*:

2> enter RRC\_CONNECTED;

2> stop the cell re-selection procedure;

1> consider the current cell to be the PCell;

1> set the content of *RRCSetupComplete* message as follows:

2> if upper layers provide a 5G-S-TMSI:

3> if the *RRCSetup* is received in response to an *RRCSetupRequest*:

4> set the *ng-5G-S-TMSI-Value* to *ng-5G-S-TMSI-Part2*;

3> else:

4> set the *ng-5G-S-TMSI-Value* to *ng-5G-S-TMSI*;

2> if upper layers selected a PLMN or an SNPN (TS 24.501 [23]):

3> set the *selectedPLMN-Identity* to the PLMN selected by upper layers (TS 24.501 [23]) from the PLMN(s) included in the *plmn-IdentityList* in *SIB1*;

2> if upper layers selected a PNI-NPN (TS 24.501 [23]):

3> set the *selectedPLMN-Identity* to FFS;

Editor’s Note: It is FFS how to set the the *selectedPLMN-Identity* when a PNI-NPN is selected.

2> if upper layers provide the 'Registered AMF':

3> include and set the *registeredAMF* as follows:

4> if the PLMN identity of the 'Registered AMF' is different from the PLMN selected by the upper layers:

5> include the *plmnIdentity* in the *registeredAMF* and set it to the value of the PLMN identity in the 'Registered AMF' received from upper layers;

4> set the *amf-Identifier* to the value received from upper layers;

3> include and set the *guami-Type* to the value provided by the upper layers;

2> if upper layers provide one or more S-NSSAI (see TS 23.003 [21]):

3> include the *s-NSSAI-List* and set the content to the values provided by the upper layers;

2> set the *dedicatedNAS-Message* to include the information received from upper layers;

1> submit the *RRCSetupComplete* message to lower layers for transmission, upon which the procedure ends.

*Next Modification*

5.3.14.2 Initiation

Upon initiation of the procedure, the UE shall:

1> if timer T390 is running for the Access Category:

2> consider the access attempt as barred;

1> else if timer T302 is running and the Access Category is neither '2' nor '0':

2> consider the access attempt as barred;

1> else:

2> if the Access Category is '0':

3> consider the access attempt as allowed;

2> else:

3> if *SIB1* includes *uac-BarringPerPLMN-List* and the *uac-BarringPerPLMN-List* contains an *UAC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN or SNPN selected by upper layers (see TS 24.501 [23]):

4> select the *UAC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN or to the SNPN selected by upper layers;

4> in the remainder of this procedure, use the selected *UAC-BarringPerPLMN* entry (i.e. presence or absence of access barring parameters in this entry) irrespective of the *uac-BarringForCommon* included in *SIB1*;

3> else if SIB1 includes *uac-BarringForCommon*:

4> in the remainder of this procedure use the *uac-BarringForCommon* (i.e. presence or absence of these parameters) included in *SIB1*;

3> else:

4> consider the access attempt as allowed;

3> if *uac-BarringForCommon* is applicable or the *uac-ACBarringListType* indicates that *uac-ExplicitACBarringList* is used:

4> if the corresponding *UAC-BarringPerCatList* contains a *UAC-BarringPerCat* entry corresponding to the Access Category:

5> select the *UAC-BarringPerCat* entry;

5> if the *uac-BarringInfoSetList* contains a *UAC-BarringInfoSet* entry corresponding to the selected *uac-barringInfoSetIndex* in the *UAC-BarringPerCat*:

6> select the *UAC-BarringInfoSet* entry;

6> perform access barring check for the Access Category as specified in 5.3.14.5, using the selected *UAC-BarringInfoSet* as "UAC barring parameter";

5> else:

6> consider the access attempt as allowed;

4> else:

5> consider the access attempt as allowed;

3> else if the *uac-ACBarringListType* indicates that *uac-ImplicitACBarringList* is used:

4> select the *uac-BarringInfoSetIndex* corresponding to the Access Category in the *uac-ImplicitACBarringList*;

4> if the *uac-BarringInfoSetList* contains the *UAC-BarringInfoSet* entry corresponding to the selected *uac-BarringInfoSetIndex*:

5> select the *UAC-BarringInfoSet* entry;

5> perform access barring check for the Access Category as specified in 5.3.14.5, using the selected *UAC-BarringInfoSet* as "UAC barring parameter";

4> else:

5> consider the access attempt as allowed;

3> else:

4> consider the access attempt as allowed;

1> if the access barring check was requested by upper layers:

2> if the access attempt is considered as barred:

3> if timer T302 is running:

4> if timer T390 is running for Access Category '2':

5> inform the upper layer that access barring is applicable for all access categories except categories '0', upon which the procedure ends;

4> else

5> inform the upper layer that access barring is applicable for all access categories except categories '0' and '2', upon which the procedure ends;

3> else:

4> inform upper layers that the access attempt for the Access Category is barred, upon which the procedure ends;

2> else:

3> inform upper layers that the access attempt for the Access Category is allowed, upon which the procedure ends;

1> else:

2> the procedure ends.

*Next Modification*

5.5.5.1 General

****

**Figure 5.5.5.1-1: Measurement reporting**

The purpose of this procedure is to transfer measurement results from the UE to the network. The UE shall initiate this procedure only after successful AS security activation.

For the *measId* for which the measurement reporting procedure was triggered, the UE shall set the *measResults* within the *MeasurementReport* message as follows:

1> set the *measId* to the measurement identity that triggered the measurement reporting;

1> for each serving cell configured with *servingCellMO*:

2> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *rsType*:

3> if the serving cell measurements based on the *rsType* included in the *reportConfig* that triggered the measurement report are available:

4> set the *measResultServingCell* within *measResultServingMOList* to include RSRP, RSRQ and the available SINR of the serving cell, derived based on the *rsType* included in the *reportConfig* that triggered the measurement report;

2> else:

3> if SSB based serving cell measurements are available:

4> set the *measResultServingCell* within *measResultServingMOList* to include RSRP, RSRQ and the available SINR of the serving cell, derived based on SSB;

3> else if CSI-RS based serving cell measurements are available:

4> set the *measResultServingCell* within *measResultServingMOList* to include RSRP, RSRQ and the available SINR of the serving cell, derived based on CSI-RS;

1> set the *servCellId* within *measResultServingMOList* to include each NR serving cell that is configured with *servingCellMO*, if any;

1> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport*:

2> for each serving cell configured with *servingCellMO*, include beam measurement information according to the associated *reportConfig* as described in 5.5.5.2;

1> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportAddNeighMeas*:

2> for each *measObjectId* referenced in the *measIdList* which is also referenced with *servingCellMO*, other than the *measObjectId* corresponding with the *measId* that triggered the measurement reporting:

3> if the *measObjectNR* indicated by the *servingCellMO* includes the RS resource configuration corresponding to the *rsType* indicated in the *reportConfig*:

4> set the *measResultBestNeighCell* within *measResultServingMOList* to include the *physCellId* and the available measurement quantities based on the *reportQuantityCell* and *rsType* indicated in *reportConfig* of the non-serving cell corresponding to the concerned *measObjectNR* with the highest measured RSRP if RSRP measurement results are available for cells corresponding to this *measObjectNR*, otherwise with the highest measured RSRQ if RSRQ measurement results are available for cells corresponding to this *measObjectNR*, otherwise with the highest measured SINR;

4> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport:*

5> for each best non-serving cell included in the measurement report:

6> include beam measurement information according to the associated *reportConfig* as described in 5.5.5.2;

1> if the *reportConfig* associated with the *measId* that triggered the measurement reporting is set to *eventTriggered* and *eventID* is set to *eventA3*, or *eventA4*, or *eventA5*, or *eventB1*, or *eventB2*:

2> if the UE is in NE-DC and the measurement configuration that triggered this measurement report is associated with the MCG:

3> set the *measResultServFreqListEUTRA-SCG* to include an entry for each E-UTRA SCG serving frequency with the following:

4> include *carrierFreq* of the E-UTRA serving frequency;

4> set the *measResultServingCell* to include the available measurement quantities that the UE is configured to measure by the measurement configuration associated with the SCG;

4> if *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportAddNeighMeas*:

5> set the *measResultServFreqListEUTRA-SCG* to include within *measResultBestNeighCell* the quantities of the best non-serving cell, based on RSRP, on the concerned serving frequency;

1> if *reportConfig* associated with the *measId* that triggered the measurement reporting is set to *eventTriggered* and *eventID* is set to *eventA3*, or *eventA4*, or *eventA5*:

2> if the UE is in NR-DC and the measurement configuration that triggered this measurement report is associated with the MCG:

3> set the *measResultServFreqListNR-SCG* to include for each NR SCG serving cell that is configured with *servingCellMO*, if any, the following:

4> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *rsType*:

5> if the serving cell measurements based on the *rsType* included in the *reportConfig* that triggered the measurement report are available according to the measurement configuration associated with the SCG:

6> set the *measResultServingCell* within *measResultServFreqListNR-SCG* to include RSRP, RSRQ and the available SINR of the serving cell, derived based on the *rsType* included in the *reportConfig* that triggered the measurement report;

4> else:

5> if SSB based serving cell measurements are available according to the measurement configuration associated with the SCG:

6> set the *measResultServingCell* within *measResultServFreqListNR-SCG* to include RSRP, RSRQ and the available SINR of the serving cell, derived based on SSB;

5> else if CSI-RS based serving cell measurements are available according to the measurement configuration associated with the SCG:

6> set the *measResultServingCell* within *measResultServFreqListNR-SCG* to include RSRP, RSRQ and the available SINR of the serving cell, derived based on CSI-RS;

4> if results for the serving cell derived based on SSB are included:

5> include the *ssbFrequency* to the value indicated by ssbFrequency as included in the *MeasObjectNR* of the serving cell;

4> if results for the serving cell derived based on CSI-RS are included:

5> include the *refFreqCSI-RS* to the value indicated by *refFreqCSI-RS* as included in the *MeasObjectNR* of the serving cell;

4> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport*:

5> for each serving cell configured with *servingCellMO*, include beam measurement information according to the associated *reportConfig* as described in 5.5.5.2, where availability is considered according to the measurement configuration associated with the SCG;

4> if *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportAddNeighMeas*:

5> if the *measObjectNR* indicated by the *servingCellMO* includes the RS resource configuration corresponding to the *rsType* indicated in the *reportConfig*:

6> set the *measResultBestNeighCellListNR* within *measResultServFreqListNR-SCG* to include one entry with the *physCellId* and the available measurement quantities based on the *reportQuantityCell* and *rsType* indicated in *reportConfig* of the non-serving cell corresponding to the concerned *measObjectNR* with the highest measured RSRP if RSRP measurement results are available for cells corresponding to this *measObjectNR*, otherwise with the highest measured RSRQ if RSRQ measurement results are available for cells corresponding to this *measObjectNR*, otherwise with the highest measured SINR, where availability is considered according to the measurement configuration associated with the SCG;

7> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport:*

8> for each best non-serving cell included in the measurement report:

9> include beam measurement information according to the associated *reportConfig* as described in 5.5.5.2, where availability is considered according to the measurement configuration associated with the SCG;

1> if there is at least one applicable neighbouring cell to report:

2> if the *reportType* is set to *eventTriggered* or *periodical*:

3> set the *measResultNeighCells* to include the best neighbouring cells up to *maxReportCells* in accordance with the following:

4> if the *reportType* is set to *eventTriggered*:

5> include the cells included in the *cellsTriggeredList* as defined within the *VarMeasReportList* for this *measId*;

4> else:

5> include the applicable cells for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;

4> for each cell that is included in the *measResultNeighCells*, include the *physCellId*;

4> if the *reportType* is set to *eventTriggered* or *periodical*:

5> for each included cell, include the layer 3 filtered measured results in accordance with the *reportConfig* for this *measId*, ordered as follows:

6> if the *measObject* associated with this *measId* concerns NR:

7> if *rsType* in the associated *reportConfig* is set to *ssb*:

8> set *resultsSSB-Cell* within the *measResult* to include the SS/PBCH block based quantity(ies) indicated in the *reportQuantityCell* within the concerned *reportConfig*, in decreasing order of the sorting quantity, determined as specified in 5.5.5.3, i.e. the best cell is included first;

8> if *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* are configured, include beam measurement information as described in 5.5.5.2;

7> else if *rsType* in the associated *reportConfig* is set to *csi-rs*:

8> set *resultsCSI-RS-Cell* within the *measResult* to include the CSI-RS based quantity(ies) indicated in the *reportQuantityCell* within the concerned *reportConfig*, in decreasing order of the sorting quantity, determined as specified in 5.5.5.3, i.e. the best cell is included first;

8> if *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* are configured, include beam measurement information as described in 5.5.5.2;

6> if the *measObject* associated with this *measId* concerns E-UTRA:

7> set the *measResult* to include the quantity(ies) indicated in the *reportQuantity* within the concerned *reportConfigInterRAT* in decreasing order of the sorting quantity, determined as specified in 5.5.5.3, i.e. the best cell is included first;

2> else:

3> if the cell indicated by *cellForWhichToReportCGI* is an NR cell:

4> if *plmn-IdentityInfoList* of the *cgi-Info* for the concerned cell has been obtained:

5> include the *plmn-IdentityInfoList* including *plmn-IdentityList*, *trackingAreaCode* (if available), *ranac* (if available), *cellIdentity* and *cellReservedForOperatorUse* for each entry of the *plmn-IdentityInfoList*;

5> include *frequencyBandList* if available;

4> if *npn-IdentityInfoList* of the *cgi-Info* for the concerned cell has been obtained:

5> include the *npn-IdentityInfoList* including *npn-IdentityList*, *trackingAreaCode* (if available), *ranac* (if available), *cellIdentity* and *cellReservedForOperatorUse* for each entry of the *npn-IdentityInfoList*;

4> else if *MIB* indicates the *SIB1* is not broadcast:

5> include the *noSIB1* including the *ssb-SubcarrierOffset* and *pdcch-ConfigSIB1* obtained from *MIB* of the concerned cell;

3> if the cell indicated by *cellForWhichToReportCGI* is an E-UTRA cell:

4> if all mandatory fields of the *cgi-Info-EPC* for the concerned cell have been obtained:

5> include in the *cgi-Info-EPC* the fields broadcasted in E-UTRA *SystemInformationBlockType1* associated to EPC;

4> if the UE is E-UTRA/5GC capable and all mandatory fields of the *cgi-Info-5GC* for the concerned cell have been obtained:

5> include in the *cgi-Info-5GC* the fields broadcasted in E-UTRA *SystemInformationBlockType1* associated to 5GC;

4> if the mandatory present fields of the *cgi-Info* for the cell indicated by the *cellForWhichToReportCGI* in the associated *measObject* have been obtained:

5> include the *freqBandIndicator*;

5> if the cell broadcasts the *multiBandInfoList*, include the *multiBandInfoList*;

5> if the cell broadcasts the *freqBandIndicatorPriority*, include the *freqBandIndicatorPriority*;

1> if the corresponding *measObject* concerns NR:

2> if the *reportSFTD-Meas* is set to *true* within the corresponding *reportConfigNR* for this *measId*:

3> set the *measResultSFTD-NR* in accordance with the following:

4> set *sfn-OffsetResult* and *frameBoundaryOffsetResult* to the measurement results provided by lower layers;

4> if the *reportRSRP* is set to *true*;

5> set *rsrp-Result* to the RSRP of the NR PSCell derived based on SSB;

2> else if the *reportSFTD-NeighMeas* is included within the corresponding *reportConfigNR* for this *measId*:

3> for each applicable cell which measurement results are available, include an entry in the *measResultCellListSFTD-NR* and set the contents as follows:

4> set *physCellId* to the physical cell identity of the concered NR neighbour cell.

4> set *sfn-OffsetResult* and *frameBoundaryOffsetResult* to the measurement results provided by lower layers;

4> if the *reportRSRP* is set to *true*:

5> set *rsrp-Result* to the RSRP of the concerned cell derived based on SSB;

1> else if the corresponding *measObject* concerns E-UTRA:

2> if the *reportSFTD-Meas* is set to *true* within the corresponding *reportConfigInterRAT* for this *measId*:

3> set the *measResultSFTD-EUTRA* in accordance with the following:

4> set *sfn-OffsetResult* and *frameBoundaryOffsetResult* to the measurement results provided by lower layers;

4> if the *reportRSRP* is set to *true*;

5> set *rsrpResult-EUTRA* to the RSRP of the EUTRA PSCell;

1> increment the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* by 1;

1> stop the periodical reporting timer, if running;

1> if the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* is less than the *reportAmount* as defined within the corresponding *reportConfig* for this *measId*:

2> start the periodical reporting timer with the value of *reportInterval* as defined within the corresponding *reportConfig* for this *measId*;

1> else:

2> if the *reportType* is set to *periodical*:

3> remove the entry within the *VarMeasReportList* for this *measId*;

3> remove this *measId* from the *measIdList* within *VarMeasConfig*;

1> if the UE is in (NG)EN-DC:

2> if SRB3 is configured:

3> submit the *MeasurementReport* message via SRB3 to lower layers for transmission, upon which the procedure ends;

2> else:

3> submit the *MeasurementReport* message via the E-UTRA MCG embedded in E-UTRA RRC message *ULInformationTransferMRDC* as specified in TS 36.331 [10].

1> else if the UE is in NR-DC:

2> if the measurement configuration that triggered this measurement report is associated with the SCG:

3> if SRB3 is configured:

4> submit the *MeasurementReport* message via SRB3 to lower layers for transmission, upon which the procedure ends;

3> else:

4> submit the *MeasurementReport* message via the NR MCG embedded in NR RRC message *ULInformationTransferMRDC* as specified in5.7.2a.3;

2> else:

3> submit the *MeasurementReport* message via SRB1 to lower layers for transmission, upon which the procedure ends;

1> else:

2> submit the *MeasurementReport* message to lower layers for transmission, upon which the procedure ends.

*Next Modification (new SIB in 6.3.1 )*

### 6.3.1 System information blocks

[…]

– *SIBX*

*SIBX* contains the human readable network names of the NPNs listed in SIB1.

***SIBX* information element**

-- ASN1START

-- TAG-SIBX-START

SIBX-r16 ::= SEQUENCE {

humanReadableNameList-r16 HumanReadableNameList-16 OPTIONAL, -- Need R

lateNonCriticalExtension OCTET STRING OPTIONAL,

...

}

HumanReadableNameList-r16 ::= SEQUENCE (SIZE (1..maxNPN-r16)) OF HumanReadableName

HumanReadableName-r16 ::= SEQUENCE {

humanReadableName-r16 OCTET STRING (SIZE(1.. maxHRNNLen-r16)) OPTIONAL -- Need R

}

-- TAG-SIBX-STOP

-- ASN1STOP

|  |
| --- |
| ***SIBX* field descriptions** |
| ***HumanReadableNameList***  The *n*-th entry of *HumanReadableNameList* contains the human readable name of the nth NPN of SIB1. |

*Next Modification (6.3.2)*

### 6.3.2 Radio resource control information elements

[…]

– *CellAccessRelatedInfo*

The IE *CellAccessRelatedInfo* indicates cell access related information for this cell.

***CellAccessRelatedInfo* information element**

-- ASN1START

-- TAG-CELLACCESSRELATEDINFO-START

CellAccessRelatedInfo ::= SEQUENCE {

plmn-IdentityList PLMN-IdentityInfoList,

cellReservedForOtherUse ENUMERATED {true} OPTIONAL, -- Need R

...,

[[

cellReservedForFutureUse-r16 ENUMERATED {true} OPTIONAL, -- Need R

npn-IdentityInfoList-r16 NPN-IdentityInfoList-r16 OPTIONAL -- Need R

]]

}

-- TAG-CELLACCESSRELATEDINFO-STOP

-- ASN1STOP

|  |
| --- |
| ***CellAccessRelatedInfo* field descriptions** |
| ***cellReservedForFutureUse***  Indicates whether the cell is reserved, as defined in 38.304 [20] for future use. The field is applicable to all PLMNs and NPNs. |
| ***cellReservedForOtherUse***  Indicates whether the cell is reserved, as defined in 38.304 [20]. The field is applicable to all PLMNs. |
| ***npn-IdentityInfoList***  The *npn-IdentityInfoList* is used to configure a set of *NPN-IdentityInfoList* elements. Each of those elements contains a list of one or more NPN Identities and additional information associated with those NPNs. The total number of PLMNs (identified by a PLMN identity in *plmn -IdentityList*), PNI-NPNs (identified by a PLMN identity and a CAG-ID), and SNPNs (identified by a PLMN identity and a NID) together in the *PLMN-IdentityInfoList* and *NPN-IdentityInfoList* does not exceed 12, except for the NPN-only cells. In case of NPN-only cells the PLMN-IdentityList contains a single element that does not count to the limit of 12. The NPN index is defined as B+FFS, where B is the index used for the last PLMN in the *PLMNIdentittyInfoList*. In NPN-only cells B is considered 0. |
| ***plmn-IdentityList***  The *plmn-IdentityList* is used to configure a set of *PLMN-IdentityInfoList* elements. Each of those elements contains a list of one or more PLMN Identities and additional information associated with those PLMNs. The PLMN index is defined as *b1+b2+…+b(n-1)+i* for the PLMN included at the *n*-th entry of *PLMN-IdentityInfoList* and the *i*-th entry of its corresponding *PLMN-IdentityInfo*, where *b(j)* is the number of *PLMN-Identity* entries in each *PLMN-IdentityInfo*, respectively. |

Editor's Note: A definition of network indexing for NPNs is FFS.

*Next Modification (6.3.2)*

*– CGI-InfoNR*

The IE *CGI-InfoNR* indicates cell access related information, which is reported by the UE as part of report CGI procedure.

***CGI-InfoNR* information element**

-- ASN1START

-- TAG-CGI-INFO-NR-START

CGI-InfoNR ::= SEQUENCE {

plmn-IdentityInfoList PLMN-IdentityInfoList OPTIONAL,

frequencyBandList MultiFrequencyBandListNR OPTIONAL,

noSIB1 SEQUENCE {

ssb-SubcarrierOffset INTEGER (0..15),

pdcch-ConfigSIB1 PDCCH-ConfigSIB1

} OPTIONAL,

...,

[[

npn-IdentityInfoList-r16 NPN-IdentityInfoList-r16 OPTIONAL

]]

}

}

-- TAG-CGI-INFO-NR-STOP

-- ASN1STOP

| ***CGI-InfoNR* field descriptions** |
| --- |
| ***noSIB1***  Contains *ssb-SubcarrierOffset* and *pdcch-ConfigSIB1* fields acquired by the UE from *MIB* of the cell for which report CGI procedure was requested by the network in case *SIB1* was not broadcast by the cell. |

*Next Modification (6.3.2)*

– *RRCSetupComplete*

The *RRCSetupComplete* message is used to confirm the successful completion of an RRC connection establishment.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to Network

***RRCSetupComplete* message**

-- ASN1START

-- TAG-RRCSETUPCOMPLETE-START

RRCSetupComplete ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

rrcSetupComplete RRCSetupComplete-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

RRCSetupComplete-IEs ::= SEQUENCE {

selectedPLMN-Identity INTEGER (1..maxPLMN),

registeredAMF RegisteredAMF OPTIONAL,

guami-Type ENUMERATED {native, mapped} OPTIONAL,

s-NSSAI-List SEQUENCE (SIZE (1..maxNrofS-NSSAI)) OF S-NSSAI OPTIONAL,

dedicatedNAS-Message DedicatedNAS-Message,

ng-5G-S-TMSI-Value CHOICE {

ng-5G-S-TMSI NG-5G-S-TMSI,

ng-5G-S-TMSI-Part2 BIT STRING (SIZE (9))

} OPTIONAL,

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension SEQUENCE{} OPTIONAL

}

RegisteredAMF ::= SEQUENCE {

plmn-Identity PLMN-Identity OPTIONAL,

amf-Identifier AMF-Identifier

}

-- TAG-RRCSETUPCOMPLETE-STOP

-- ASN1STOP

|  |
| --- |
| ***RRCSetupComplete-IEs* field descriptions** |
| ***guami-Type***  This field is used to indicate whether the GUAMI included is native (derived from native 5G-GUTI) or mapped (from EPS, derived from EPS GUTI) as specified in TS 24.501 [23]. |
| ***ng-5G-S-TMSI-Part2***  The leftmost 9 bits of 5G-S-TMSI. |
| ***registeredAMF***  This field is used to transfer the GUAMI of the AMF where the UE is registered, as provided by upper layers, see TS 23.003 [21]. |
| ***selectedPLMN-Identity***  Index of the PLMN or NPN selected by the UE from the *plmn-IdentityList* or *npn-IdentityInfoList* fields included in SIB1. |

*Next Modification (6.3.2)*

*– UAC-BarringPerPLMN-List*

The IE *UAC-BarringPerPLMN-List* provides access category specific access control parameters, which are configured per PLMN.

***UAC-BarringPerPLMN-List* information element**

-- ASN1START

-- TAG-UAC-BARRINGPERPLMN-LIST-START

UAC-BarringPerPLMN-List ::= SEQUENCE (SIZE (1.. maxPLMN)) OF UAC-BarringPerPLMN

UAC-BarringPerPLMN ::= SEQUENCE {

plmn-IdentityIndex INTEGER (1..maxPLMN),

uac-ACBarringListType CHOICE{

uac-ImplicitACBarringList SEQUENCE (SIZE(maxAccessCat-1)) OF UAC-BarringInfoSetIndex,

uac-ExplicitACBarringList UAC-BarringPerCatList

} OPTIONAL -- Need S

}

-- TAG-UAC-BARRINGPERPLMN-LIST-STOP

-- ASN1STOP

|  |
| --- |
| ***UAC-BarringPerPLMN-List* field descriptions** |
| ***uac-ACBarringListType***  Access control parameters for each access category valid only for a specific PLMN. UE behaviour upon absence of this field is specified in clause 5.3.14.2. |
| ***plmn-IdentityIndex***  Index of the PLMN or SNPN across the *plmn-IdentityList* and *npn-IdentityInfoList* fields included in SIB1. |

Editor’s Note: It is FFS how to add the reference to PNI-NPNs.

*Next Modification (new in 6.3.2 )*

#### – *NPN-Identity*

The IE *NPN-Identity* includes either a list of CAG-IDs or a list of NIDs per PLMN Identity. Further information regarding how to set the IE is specified in TS 23.003 [21].

*NPN-Identity* information element

-- ASN1START

-- TAG-NPN-IDENTITY-START

NPN-Identity-r16 ::= CHOICE {

pni-npn-r16 SEQUENCE {

plmn-Identity-r16 PLMN-Identity,

cag-IdentityList-r16 SEQUENCE (SIZE (1..maxNPN-r16)) OF CAG-Identity-r16

},

snpn-r16 SEQUENCE {

plmn-Identity PLMN-Identity,

nid-List-r16 SEQUENCE (SIZE (1..maxNPN-r16)) OF NID-r16

}

}

CAG-Identity-r16 ::= BIT STRING (SIZE (32))

NID-r16 ::= BIT STRING (SIZE (52))

-- TAG-NPN-IDENTITY-STOP

-- ASN1STOP

|  |
| --- |
| *NPN-Identity* field descriptions |
| ***CAG-Identity***  A CAG-ID as specified in TS 23.003 [21]. The PLMN ID and a CAG ID in the *NPN-Identity* identifies a PNI-NPN. |
| ***cag-IdentityList***  The *cag-IdentityList* contains one or more *CAG-Identity*. All CAG IDs associated to the same PLMN ID are listed in the same *cag-IdentityList* entry*.* |
| ***NID***  A NID as specified in TS 23.003 [21]. The PLMN ID and a NID in the *NPN-Identity* identifies a SNPN. |
| ***nid-List***  The *nid-List* contains one or more *NID*. |

Editor’s Note: The size of NID is to be checked based on CT4 agreements.

Editor's Note: It is FFS whether all CAG identities associated to the same PLMN identity shall be listed in the same *cag-IdentityList*.

*Next Modification (new in 6.3.2 )*

#### – *NPN-IdentityInfoList*

The IE *NPN-IdentityInfoList* includes a list of NPN identity information.

*NPN-IdentityInfoList* information element

-- ASN1START

-- TAG-NPN-IDENTITYINFOLIST-START

NPN-IdentityInfoList-r16 ::= SEQUENCE (SIZE (1..maxNPN-r16)) OF NPN-IdentityInfo-r16

NPN-IdentityInfo-r16 ::= SEQUENCE {

npn-IdentityList-r16 SEQUENCE (SIZE (1..maxNPN-r16)) OF NPN-Identity-r16,

trackingAreaCode-r16 TrackingAreaCode,

ranac-r16 RAN-AreaCode OPTIONAL, -- Need R

cellIdentity-r16 CellIdentity,

cellReservedForOperatorUse-r16 ENUMERATED {reserved, notReserved},

...

}

-- TAG-NPN-IDENTITYINFOLIST-STOP

-- ASN1STOP

|  |
| --- |
| *NPN-IdentityInfoList* field descriptions |
| ***NPN-IdentityInfo***  The *NPN-IdentityInfo* contains one or more NPN identities and additional information associated with those NPNs. Only the same type of NPNs (either SNPNs or PNI-NPNs) can be listed in a *NPN-IdentityInfo* element. |
| ***npn-IdentityList***  The *npn-IdentityList* contains one or more NPN Identity elements. |
| ***trackingAreaCode***  Indicates the Tracking Area Code to which the cell indicated by cellIdentity field belongs. |
| ***ranac***  Indicates the RAN Area Code to which the cell indicated by cellIdentity field belongs. |
| ***trackingAreaCode***  Indicates Tracking Area Code to which the cell indicated by cellIdentity field belongs. |
| ***cellReservedForOperatorUse***  Indicates whether the cell is reserved for operator use (for the NPN(s) identified in the *npn-IdentyList*) as defined in TS 38.304 [20]. |

Editor's Note: Whether *trackingAreaCode* is optinal or mandatory depends on DC/CA support. This is FFS.

*Next Modification (new definitions in 6.4)*

## 6.4 RRC multiplicity and type constraint values

### – Multiplicity and type constraint definitions

-- ASN1START

-- TAG-MULTIPLICITY-AND-TYPE-CONSTRAINT-DEFINITIONS-START

maxHRNNLen-r16 INTEGER ::= FFSvalue -- Maximum length of Human Readable Network Names

maxNPN-r16 INTEGER ::= 12 -- Maximum number of NPNs broadcast and reported by UE at establishment

-- TAG-MULTIPLICITY-AND-TYPE-CONSTRAINT-DEFINITIONS-STOP

-- ASN1STOP

*End of Modifications*