**3GPP TSG-RAN WG2 Meeting #109-e *R2-2001248***

**Reno, USA, 18 – 22 Nov 2019**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **36.331** | **CR** | **4216** | **rev** | **-** | **Current version:** | **15.8.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Running CR for 36.331 for CA&DC enh | | | | | | | | | |
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| ***Source to WG:*** | Rapporteur (Ericsson) | | | | | | | | | |
| ***Source to TSG:*** | RAN2 | | | | | | | | | |
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| ***Work item code:*** | LTE\_NR\_DC\_CA\_enh-Core | | | | |  | ***Date:*** | | | 2020-02-17 |
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| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
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| ***Reason for change:*** | | To capture the RAN2 agreements on LTE\_NR\_DC\_CA\_enh-Core WI:  **RAN2#105 agreements**:  *Agreements for MCG fast recovery:*   * MCG failure can be indicated to the network via the SCG. FFS if via SCells.     **RAN2#105bis agreements**:  *Agreements for early measurements:*   * LTE UE in IDLE mode, IDLE with suspended, and INACTIVE can be configured with NR early measurements to support fast setup of (NG)EN-DC (i.e. euCA is extended to support NR measurements).   *Agreements for MCG fast recovery:*   * MCG fast recovery targets all MRDC architecture options * When MCG failure occurs, UE follows SCG failure-like procedure: * UE does not trigger RRC connection re-establishment. * UE triggers an MCG failure procedure in which a failure information message is transmitted to the network via SCG. * MCG fast recovery targets the following use cases MCG leg RLF * MCG fast recovery can only be triggered after AS security has been activated and the SRB2 and at least one DRB have been setup  *(rapporteur note: SCG is not available before AS security has been setup, so this need not be explicitly stated in specification)* * MCG failure indication should include:   + Available measurement results of MCG   + MCG link failure cause   + Available measurement results of SCG   + Available measurement results of non-serving cells * For MCG failure indication, new RRC message in introduced, e.g. MCGFailureInformation. * SCG leg of the split SRB1 can be used for MCG fast recovery.   **RAN2#106 agreements**:  *Agreements for MCG fast recovery:*   * Once the MCG failure indication is triggered, the UE shall: - transmit the MCG failure indication; - suspend MCG transmission for all SRBs and DRBs; - reset MCG-MAC; - maintain the current measurement configurations from both the MN and the SN, and continue measurements based on configuration from the MN and the SN if possible.. * If SCG failure is detected while MCG is suspended then initiate RRC re-establishment procedure * If SCG failure is detected while MCG is suspended then initiate RRC re-establishment procedure * Upon receiving the MCG failure indication, the MN sends reconfiguration with sync or RRC Release to the UE via SRB1. * Upon reception of reconfig with sync the UE resumes MCG transmission if suspended   **RAN2#107 agreements**  *Agreements for early measurements:*   * For per-frequency SSB measurement configuration reuse the IE structure that is currently used in SIBs for cell reselection purposes. * The legacy SSB measurement configurations in NR SIB2/4 and LTE SIB24 are reused for NR early measurements performed in frequencies which are candidates of cell selection/reselection, i.e. not introduce new measurement configurations in NR/LTE SIB for these SSBs. * Same as LTE euCA, NR frequency list (not the SSB measurement configuration) can be different between RRC release and SIB. The frequency list, if provided, in RRC release message overrides the one provided in SIB. * For per frequency SSB measurement configuration for purpose of only early measurements, it can be included in both RRC release message and SIB. If provided in RRC release message, it overrides the one provided in SIB in the cell where the RRC Release message is received. * As in LTE euCA, the indication whether to report RSRP, RSRQ or both can be indicated in both RRC release message and SIB. If provided in RRC release, it overrides the one in SIB. * Similar to LTE euCA, the indication of beam reporting type (i.e. whether to, not report beam results, report only the beam index, or report both beam index and results) can be indicated in both RRC release message and SIB. If provided in RRC release, it overrides the one in SIB. * NR early measurement configuration is included in a new NR SIB. * NR early measurement configuration is included in LTE SIB5 (i.e. the SIB including LTE early measurement configurations) * It is not necessary to specify CSI-RS based early measurements for the case of SCell with SSB in Rel-16. * It is not necessary to specify CSI-RS based early measurements for the case of SCell without SSB in Rel-16. * In NR early measurement configuration, the UE can be configured with maximum number for beam reporting and only beams above configured threshold for cell quality derivation are required to be reported (as NR CONNECTED measurements). * Do not support the network provide information on network’s support of CA/DC between frequencies to assist the UE to determine which frequencies to provide NR early measurement in Rel-16. * Do not support a mechanism to prevent outdated early measurement reporting in Rel-16 * Upon the reception of the RRCSetup message in response to RRCSetupRequest or RRCResumeRequest (while T331 is running), the UE stops T331, and deletes the dedicated idle mode measurement configuration, if any. * Upon the reception of the RRCReject message in response to RRCSetupRequest or RRCResumeRequest (while T331 is running), the UE keeps performing the idle mode measurements. * During a 2-step resume (i.e. RRCRelease in response to RRCResumeRequest), the network can release or reconfigure the idle mode measurements. * Upon the expiry of T331 while in IDLE or INACTIVE mode, the UE deletes the dedicated idle mode measurement configuration, if any. * The UE deletes the early measurement results after it has successfully reported them to the network (i.e. in UEInformationResponse or RRCResumeComplete).   *Agreements for MCG SCell and SCG configuraiton with RRC Resume:*   * The LTE RRCConnectionResume message (Inactive to Connected) can contain the MCG SCell configuration and the associated UE behaviour in handling the SCell configuration is the same as in the Rel-15 RRC connection reconfiguration procedure. * In NR and LTE Rel-16, the UE maintains the MCG SCell configuration upon the initiation of the resume procedure. * The RRC(Connection)Resume message contains an indication to restore/resume the MCG SCells (noting that behaviour in legacy eNBs that don't support this feature needs to be considered). * The (LTE and NR) RRC(Connection)Resume (Inactive to Connected))message can contain the SCG configuration and the associated UE behaviour in handling the SCG configuration is the same as in the Rel-15 RRC (connection) reconfiguration procedure. * In NR and LTE Rel-16, the UE maintains the SCG configuration upon the initiation of the resume procedure. * The RRC(Connection)Resume message contains an indication to restore/resume the SCG (noting that behaviour in legacy e/gNBs that don't support this feature needs to be considered).   *Agreements for MCG fast recovery:*   * Upon sending a MCG failure indication, UE starts a timer. * Upon resumption of MCG, UE stops the timer. * Upon expiry of the timer, UE initiates RRC connection re-establishment procedure. * Network can configure the timer value (no infinite value) * If a UE is configured with split SRB1 with PDCP duplication, there is no need to switch the primaryPath upon detection of MCG failure since MCG failure indication will be transmitted via SCG RLC bearer of split SRB1. * If PDCP duplication is not activated, upon detection of MCG failure the primaryPath for split SRB1 is implicitly reconfigured to the SCG. The UE expects the network to explicitly reconfigure the primaryPath back to MCG in the MCG recovery or in a Re-establishment * SRB3, if configured, can be used for MCG fast recovery. * For MCG fast recovery via SRB3, MCG Failure Information message in UL (same message as for SRB1 case) is encapsulated by the UE into an SN RRC message. * For MCG fast recovery via SRB3, the MN response message in DL (either a reconfiguration with sync or release message) is encapsulated by the SN in an SN RRC message.   **RAN2#107bis agreements**  *Agreements for early measurements:*   * The RRC release message can include SSB measurement configuration. It is assumed that information provided for cell reselection by broadcast is not provided in the RRC release message. * If the UE has not received a dedicated SSB configuration, the UE does early measurements based on SIB. * The UE only needs to support the following signalling combination options:   + A. If network uses broadcast signaling for the list of early measurements, it will provide all parameters by broadcast signaling with the only exception that dedicated signalling is used for the timer   + B. If network uses dedicated signaling for the list of early measurements, the following signalling options are allowed for each of the frequencies:     - 1) SSB measurement configuration (incl SMTC) and all other parameters are provided by dedicated signaling     - 2) SSB measurement configuration (incl SMTC) is broadcast and all other parameters are provided by dedicated signaling   *Agreements for MCG SCell and SCG configuraiton with RRC Resume:*   * Direct SCell activation (setting the SCell state to activated or deactivated) in resume message is supported, if R4 can confirm that there are no blocking issues from their point of view   *Agreements for MCG SCell and SCG configuraiton with RRC Resume:*   * For MCG fast recovery via SRB3, the MCGFailureInformation message in UL is encapsulated in the ULInformationTransferMRDC message * A new RRC message, i.e., DLInformationTransferMRDC, is introduced in order to allow the SN to encapsulate (for SRB3) the MN response (i.e., RRCReconfiguration or RRCRelease message) to be send to the UE * The RRC procedure on these encapsulated messages are the same as if they had been received by SRB1 * When receiving a MN RRCRelease message encapsulated within an SN RRC message via SRB3, the UE does not send any complete message * Split SRB1 is always used for the transmission of the MCGFailureInformation message. SRB3 is used only if split SRB1 is not configured   **RAN2#108 agreements**  *Agreements for early measurements:*   * Upon entering RRC CONNECTED mode, the UE stops validity timer T331 (if running) and deletes the dedicated idle mode measurement configuration (if configured). * After moving to another RAT due to inter-RAT cell reselection, the UE stops validity timer T331 (if running) and deletes the dedicated idle mode measurement configuration (if configured) * While transition from LTE INACTIVE mode to LTE IDLE mode, the UE keeps the validity timer T331 (if running) and the dedicated idle mode measurement configuration (if configured), i.e. just continue. * When UE reselects to a cell that is not part of the validity area, the UE stops the validity timer and also clears the entire early measurement configuration. * The validity area is defined as a carrier list (which could be different from the carriers to be measured during RRC\_IDLE/INACTIVE) with optional PCI list per carrier. * The early measurement results are sorted by RSRP unless only RSRQ is configured as reporting quantity. * If, for a frequency for which SSB config was provided by broadcast @ initial configuration, reselected cell does not broadcast SSB config the UE is not required to measure concerned frequency while camping on concerned cell (but should re-attempt following another re-selection) * The NR Rel-16 early measurement reporting solution is introduced in LTE   + The network can request (in RRCConnectionResume) the UE to send early measurements   + The UE can include early measurements in RRCConnectionResumeComplete. * If a UE is released by an eNB which only configures bcast LTE early measurements and then reselects to an eNB which broadcasts both LTE and NR idle/inactive measurement configurations, the UE shall apply these NR configurations * A new indication is introduced in SIB2 to indicate that the UE can perform NR early measurements while camped on the cell. * At least one indication is introduced in RRCConnectionResume to indicate that the UE shall include the LTE and/or NR early measurements in RRCConnectionResumeComplete.   *Agreements for MCG fast recovery:*   * The guard timer for fast MCG link recovery should be configured via dedicated signalling, it is configured by the MN. * The configuration of guard timer implicitly indicates that the feature of fast MCG link recovery is enabled by the network, and that the UE shall initiate the procedure. | | | | | | | | |
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| ***Summary of change:*** | | **After RAN2#105bis:**   * Extended ASN.1 signalling of measIdleConfig to include NR measurement configurations (6.3.5)   **After RAN2#106:**   * Added that MCG transmission will be resumed on reception RRC connection reconfiguration with mobilityControlInfo (5.3.5.4) * Modified the SCG change failure procedure, to trigger re-establishement if MCG was suspended (5.3.5.7a) * Modified the radio link failure detection procedure to trigger MCG failure information procedure on MCG RLF, and trigger re-establishment upon SCG RLF if MCG was suspended (5.3.11.3) * Clarified that SCG failure information procedure is triggered only if MCG is not suspended (5.6.13.2) * Added MCG failure information procedure (5.6.x) * Added the *mcgFailureInformation* message structure in UL-DCCH-Message (6.2.1) * Added ASN.1 for *MCGFailureInformation* (6.2.2) * Added NR carrier list in the *varMeasIdleConfig* (7.1) * Added procedure and ASN.1 for measurement results in *MCGFailureInformation* message (5.6.x, 6.3.5)   **After RAN2#107:**   * Modified initiation of RRC Connection Resume procedures to only release MR-DC if UE doesn’t support restoring SCG in connection resumption * Modified initiation of RRC Connection Resume procedures to only release MCG SCells if UE doesn’t support restoring MCG SCells in *c* connection resumption * Modified procedures for reception of *RRCConnectionResume* to release MCG SCells if *RRCConnectionResume* doesn’t include *restoreMCG-SCells* * Modified procedures for reception of *RRCConnectionResume* to release MR-DC if *RRCConnectionResume* doesn’t include *restoreSCG* * Added procedures to reception of *RRCConnectionResume* to configure MCG SCells with *RRCConnectionResume* * Added procedures to reception of *RRCConnectionResume* to configure SCG with *RRCConnectionResume* * Modified the procedure for reception of RRCConnectionReconfiguration that contains the *mobilityControlInfo,* to stop T316, if running and resume MCG transmission, if suspended * Modified the radio link failure detection procedure, to trigger MCG failure recovery also when SRB3 is configure * Added a note that the Idle mode measurement procedure needs to be updated to handle rel-16 idle mode measurements * Updated the MCG fast recovery procedure (setting the measurements, changing the primary path when needed, starting the guard timer, handling of guard timer expiry) * Modified the ASN.1 for the *MCGFailureInformation* to include the measurement results * Modified ASN.1 for *RRCConnectionResume* to inlcude indication to restore MCG SCells * Modified ASN.1 for *RRCConnectionResume* to inlcude indication to restore SCG * Modified ASN.1 for *RRCConnectionResume* to inlcude configuraitons for MCG SCells * Modified ASN.1 for *RRCConnectionResume* to inlcude configuraitons for SCG * Addede FFSs in *RRCConnectionResumeComplete* and *RRCConnectionSetupComplete* if a separate rel-16 idle mode measurement availability indication is needed * Addede FFS in *UEInformationRequest* if a separate rel-16 idle mode measurement request is needed * Modified *UEInformationResponse* to include rel-16 idle mode measurement results * Modified *IdleMeasConfig* to include NR measurement configurations * Added the *measResultsListIdle* for rel-16 that contains both EUTRA and NR measurement results * Modified ASN.1 to add UE capability to support keeping MCG SCells during RRC Connection Resume * Modified ASN.1 to add UE capability to support keeping SCG during RRC Connection Resume * Added information about MCG fast recovery guard time T316 in the Timers(informative) section   **After RAN2#107bis:**   * ***MCG failure recovery aspects:***   + Regarding guard timer/configurability/capability for MCG recovery     - Added T316 in the *RLF*-*TimersAndConstants,*     - FFSs if it is needed to be added in the SIB (*UE*-*TimersAndConstants)*     - FFSs if there is a need to explictly configure MCG failure recovery or implicit configuration is sufficient via configuring/not configuring T316     - FFSs if there is a need to have a capability indication for MCG failure recovery   + Clarified the re-establishment procedure triggering aspect (i.e. differentiation which triggers apply only for the cases where fast MCG recovery is configured) and also added the expiry of T316 as one of the conditions for triggering re-establishment.   + Clarified in the RLF detection procedure that the UE considers RLF as detected even if MCG failure recovery is configured   + Added a check that MCG failure recovery procedure is initiated only if the UE was configured to perform it.   + Added procedure on how to send MCG failure information via SRB3 * ***MCG SCell and SCG configuraiton/restore with RRC Connection Resume:***   + Added lines in the SCell Addition/modification to cover for the case of SCell state indication in RRCResume   + Updated the resume procedure to avoid the restoring and then releasing of MCG SCells and/or SCG doesn’t happen if the network doesn’t indicate the UE to restore them (i.e. restore only after checking the resume message).   + The state of the SCells that are restored set initially to deactivated.   + SCellGroup configuration included in the resume message and the handling of them included in the resume procedure * ***Early measurements:***   + SIB handling procedures updated to address early measurement configurations   + Updated the idle/inactive measurement procedure   + Updated the ASN.1 for the IEs and UE variables for idle/inactive measurement configurations and results (and also corresponding references in procedures)   **After RAN2#108:**   * ***early measurements:*** * SIB handling sections (5.2.2.12/31) updated to capture the handling of early measurements, including ensuring that the UE will not use ssb configurations read from a previous cell (related NOTEs/FFSs removed) * *RRCConnectionResume (5.3.3.4a)* updated   + include the idle/inactive measurements in the *RRCConnectionResumeComplete* if the network has requested it.   + Include the handling of the RRC reconfiguration complete message (in case of (NG)EN-DC), if the resume message included an SCG configuration * Release procedure:   + Updated to handle the reception of *measIdleCarrierListNR* and *validityAreaList*.   + idle/inactive measurement configuratino can be explicitly released. * UEInformationRequest/Response (5.6.5):   + Updated procedure to include the idle/inactive NR measurement results * Idle/inactive measurements   + Applied on how the sorting for idle/inactive measurement cell/beam results is done (5.6.20)   + Captured the procedure for handling the validity area (5.6.20)   + Ensured measurement not performed if SSB-Config is not avaialble   + Indication in SIB2 to indicate whether NR measurements can be included   + Upon inter-RAT re-selection, T331 is stopped (section 5.6.20.x added)   + ASN.1 updated to include the idle/inactive meas request indication in *RRCConnectionResume* and the meas results in *RRCConnectionResumeComplete,* * MeasIdleConfigDedicated   + ValidityArea signaling captured (earlier FFS removed) * ***MCG failure recovery:*** * Procedure handling of T307 expiry (5.3.5.7a) updated to ensure that SCG failure informatin will not be triggered while fast MCG failure recovery is ongoing * Removed FFSs regarding the need for guard timer in SIB signaling (5.3.5.8,5.3.10.7, 6.3.1) * Stopped T316 upon the reception of the *RRCConnectionRelease* message (5.3.8.3) * Updated the handling of RLF timers and constants with regard to t316 (5.3.10.7) * MCG failure procedure (5.6.x)   + Clarified that MCG failure recovery is not initiated while T316 is running   + SRB0 is not suspended on MCG failure recovery   + How to populate the NR measurement results is added   ***- MCG SCell and SCG configuraiton/restore with RRC Resume, Other aspects:***   * Procedure handling of SCell Addition/Modification (5.3.10.3.b) clarified regarding on the direct SCell state indication during resume * RRCConnectionResumeComplete updated to inclue the SCG response (i.e. complete message for the SCG configuration) * New TDM pattern configuration added in RRCConnectionReconfiguration (based on RAN1 parameter list) | | | | | | | | |
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| ***Consequences if not approved:*** | | Rel-16 DC/CA enhancements such as early measurements for fast CA/DC setup that can include inter-RAT measurements, SCG/SCell resume, direct SCell state configuration in resume, and fast MCG failure recovery will not be supported. | | | | | | | | |
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| ***Clauses affected:*** | | 5.2.2.12 Actions upon reception of SystemInformationBlockType5  5.2.2.31 Actions upon reception of SystemInformationBlockType24  5.3.3.2 RRC Connection establishment (Initiation)  5.3.3.2a Actions related to transmission of RRCConnectionResumeRequest message  5.3.3.4 Reception of the RRCConnectionSetup by the UE  5.3.3.4a Reception of the RRCConnectionResume by the UE  5.3.5.4 Reception of an RRCConnectionReconfiguration including the mobilityControlInfo by the UE (handover)  5.3.5.7a T307 expiry (SCG change failure)  5.3.7.2 RRC Connection re-establishment (initiation)  5.3.8.3 Reception of RRCConnectionRelease  5.3.10.3b SCell addition/modification  5.3.10.7 Radio Link Failure Timers and Constants configuration  5.3.11.3 Detection of radio link failure  5.6.5.3 Reception of the UEInformationRequest message  5.6.13.2 SCG Failure Information (Initiation)  5.6.20 Idle/inactive Measurements  5.6.x MCG failure information  6.2.1 General message structure (*UL-DCCH-Message*)  6.2.2 Message definitions (*MCGFailureInformation*, *RRCConnectionReconfiguration, RRCConnectionRelease, RRCConnectionResume*, *RRCConnectionResumeComplete*, *RRCConnectionSetupComplete*, *UEInformationRequest*, *UEInformationResponse*)  6.3.1 System Information Blocks (SIB2)  6.3.2 Radio resource control information elements (*RadioResourceConfigDedicated*, *RLF*-*TimersAndConstants*)  6.3.4 Mobility Control information elements (*PhysCellIdRangeNR*)  6.3.5 Measurement information elements (*MeasIdleConfig*, *MeasResults*)  6.4 RRC multiplicity and type constraint values (*Multiplicity and type constraint definitions*)  7.1 UE variables (*EUTRA-UE-Variables, VarMeasIdleConfig*, *VarMeasIdleReport*)  7.3.1 Timers (Informative)  A.6 Protection of RRC messages | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
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| ***Other comments:*** | |  | | | | | | | | |
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| ***This CR's revision history:*** | |  | | | | | | | | |

START OF CHANGES

# 5 Procedures

## 5.2 System information

### 5.2.2 System information acquisition

#### 5.2.2.12 Actions upon reception of *SystemInformationBlockType5*

Upon receiving *SystemInformationBlockType5*, the UE shall:

1> if in RRC\_IDLE, the *redistributionInterFreqInfo* is included and the UE is redistribution capable:

2> perform E-UTRAN inter-frequency redistribution procedure as specified in TS 36.304 [4], clause 5.2.4.10;

1> if in RRC\_IDLE, or in RRC\_CONNECTED while T311 is running:

2> if the frequency band selected by the UE to represent a non-serving E UTRA carrier frequency is not a downlink only band:

3> if, for the selected frequency band, the *freqBandInfo* or the *multiBandInfoList-v10j0* is present and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the *NS-PmaxList* within *freqBandInfo* or *multiBandInfoList-v10j0*:

4> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo* or *multiBandInfoList-v10j0*;

4> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:

5> apply the *additionalPmax*;

4> else:

5> apply the *p-Max*;

3> else:

4> apply the *p-Max*;

1> if in RRC\_IDLE or RRC\_INACTIVE and the UE has stored *VarMeasIdleConfig*:

2> if the UE is capble of idle/inactive measurements for CA and *VarMeasIdleConfig* does not contain *measIdleCarrierListEUTRA* received from the *RRCConnectionRelease* message:

3> if SIB5 includes the *measIdleConfigSIB:*

4> store or replace the *measIdleCarrierListEUTRA* of *measIdleConfigSIB* within *VarMeasIdleConfig*;

2> if the UE is capable of idle/inactive measurements for (NG)EN-DC:

3> if *VarMeasIdleConfig* does not contain *measIdleCarrierListNR* received from the *RRCConnectionRelease* message:

4> if SIB5 includes the *measIdleConfigSIB* and contains *measIdleCarrierListNR*:

5> store or replace the *measIdleCarrierListNR* of *measIdleConfigSIB* within VarMeasIdleConfig;

3> else:

5> remove the *measIdleCarrierListNR* in *VarMeasIdleConfig*, if stored;

3> else:

4> for each entry in the *measIdleCarrierListNR* within *VarMeasIdleConfig* that does not contain an *ssb-MeasConfig* received from the *RRCConnectionRelease* message:

5> if there is an entry in *measIdleCarrierListNR* in *measIdleConfigSIB* that has the same *carrierFreqNR* as the entry in the *measIdleCarrierListNR* within *VarMeasIdleConfig* and that contains *ssb-MeasConfig*:

6> store or replace the *ssb-MeasConfig* of the entry in the *measIdleCarrierListNR* in *measIdleConfigSIB* into *VarMeasIdleConfig*;

Upon receiving *SystemInformationBlockType5-NB*, the UE shall:

1> if in RRC\_IDLE, or in RRC\_CONNECTED while T311 is running:

2> if, for the frequency band selected by the UE (from *multiBandInfoList*) to represent a non-serving NB-IoT carrier frequency, the *freqBandInfo* is present and the UE capable of *multiNS-Pmax* supports at least one *additionalSpectrumEmission* in the *NS-PmaxList* within the *freqBandInfo*:

3> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NS-PmaxList* within *freqBandInfo*;

3> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NS-PmaxList*:

4> apply the *additionalPmax*;

3> else:

4> apply the *p-Max*;

2> else:

3> apply the *p-Max*;

*END OF CHANGES*

START OF CHANGES

#### 5.2.2.31 Actions upon reception of *SystemInformationBlockType24*

Upon receiving *SystemInformationBlockType24*, the UE shall:

1> if in RRC\_IDLE or RRC\_INACTIVE and the UE has a stored *VarMeasIdleConfig*:

2> for each entry in *measIdleCarrierListNR* within *VarMeasIdleConfig* that does not contain an *ssb-MeasConfig* received from the *RRCConnectionRelease* message:

3> if there is an entry in *carrierFreqListNR* with the same carrier frequency as the entry in *measIdleCarrierListNR* within *VarMeasIdleConfig*:

4> store or replace the SSB measurement configuration from *SystemInformationBlockType24* into *ssb-MeasConfig* of the corresponding entry in *measIdleCarrierListNR* within *VarMeasIdleConfig*;

3> else if there is no entry in *measIdleCarrierListNR* in *measIdleConfigSIB* of *SIB5* that has the same *carrierFreqNR* and *ssbSubcarrierSpacing* as the entry in the *measIdleCarrierListNR* within *VarMeasIdleConfig*:

4> remove the *ssb-MeasConfig* of the corresponding entry in the *measIdleCarrierListNR* within *VarMeasIdleConfig*, if stored;

*END OF CHANGES*

START OF CHANGES

## 5.3 Connection control

### 5.3.3 RRC connection establishment

#### 5.3.3.2 Initiation

The UE initiates the procedure when upper layers request establishment or resume of an RRC connection while the UE is in RRC\_IDLE or when upper layers request resume of an RRC connection or RRC layer requests resume of an RRC connection for, e.g. RNAU or reception of RAN paging while the UE is in RRC\_INACTIVE.

Except for NB-IoT, upon initiation of the procedure, if the UE is connected to EPC, the UE shall:

1> if *SystemInformationBlockType2* includes *ac-BarringPerPLMN-List* and the *ac-BarringPerPLMN-List* contains an *AC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]):

2> select the *AC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers;

2> in the remainder of this procedure, use the selected *AC-BarringPerPLMN* entry (i.e. presence or absence of access barring parameters in this entry) irrespective of the common access barring parameters included in *SystemInformationBlockType2;*

1> else

2> in the remainder of this procedure use the common access barring parameters (i.e. presence or absence of these parameters) included in *SystemInformationBlockType2;*

1> if *SystemInformationBlockType2* contains *acdc-BarringPerPLMN-List* and the *acdc-BarringPerPLMN-List* contains an *ACDC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]):

2> select the *ACDC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers;

2> in the remainder of this procedure, use the selected *ACDC-BarringPerPLMN* entry for ACDC barring check (i.e. presence or absence of access barring parameters in this entry) irrespective ofthe *acdc-BarringForCommon* parameters included in *SystemInformationBlockType2*;

1> else:

2> in the remainder of this procedure use the *acdc-BarringForCommon* (i.e. presence or absence of these parameters) included in *SystemInformationBlockType2* for ACDC barring check;

1> if upper layers indicate that the RRC connection is subject to EAB (see TS 24.301 [35]):

2> if the result of the EAB check, as specified in 5.3.3.12, is that access to the cell is barred:

3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that EAB is applicable, upon which the procedure ends;

1> if upper layers indicate that the RRC connection is subject to ACDC (see TS 24.301 [35]), *SystemInformationBlockType2* contains *BarringPerACDC-CategoryList*, and *acdc-HPLMNonly* indicates that ACDC is applicable for the UE:

2> if the *BarringPerACDC-CategoryList* contains a *BarringPerACDC-Category* entry corresponding to the ACDC category selected by upper layers:

3> select the *BarringPerACDC-Category* entry corresponding to the ACDC category selected by upper layers;

2> else:

3> select the last *BarringPerACDC-Category* entry in the *BarringPerACDC-CategoryList*;

2> stop timer T308, if running;

2> perform access barring check as specified in 5.3.3.13, using T308 as "Tbarring" and *acdc-BarringConfig* in the *BarringPerACDC-Category* as "ACDC barring parameter";

2> if access to the cell is barred:

3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring is applicable due to ACDC, upon which the procedure ends;

1> else if the UE is establishing the RRC connection for mobile terminating calls:

2> if timer T302 is running:

3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile terminating calls is applicable, upon which the procedure ends;

1> else if the UE is establishing the RRC connection for emergency calls:

2> if *SystemInformationBlockType2* includes the *ac-BarringInfo*:

3> if the *ac-BarringForEmergency* is set to *TRUE*:

4> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11]:

NOTE 1: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/ EHPLMN.

5> if the *ac-BarringInfo* includes *ac-BarringForMO-Data*, and for all of these valid Access Classes for the UE, the corresponding bit in the *ac-BarringForSpecialAC* contained in *ac-BarringForMO-Data* is set to *one*:

6> consider access to the cell as barred;

4> else:

5> consider access to the cell as barred;

2> if access to the cell is barred:

3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication, upon which the procedure ends;

1> else if the UE is establishing the RRC connection for mobile originating calls:

2> perform access barring check as specified in 5.3.3.11, using T303 as "Tbarring" and *ac-BarringForMO-Data* as "AC barring parameter";

2> if access to the cell is barred:

3> if *SystemInformationBlockType2* includes *ac-BarringForCSFB* or the UE does not support CS fallback:

4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls is applicable, upon which the procedure ends;

3> else (*SystemInformationBlockType2* does not include *ac-BarringForCSFB* and the UE supports CS fallback):

4> if timer T306 is not running, start T306 with the timer value of T303;

4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls and mobile originating CS fallback is applicable, upon which the procedure ends;

1> else if the UE is establishing the RRC connection for mobile originating signalling:

2> perform access barring check as specified in 5.3.3.11, using T305 as "Tbarring" and *ac-BarringForMO-Signalling* as "AC barring parameter";

2> if access to the cell is barred:

3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating signalling is applicable, upon which the procedure ends;

1> else if the UE is establishing the RRC connection for mobile originating CS fallback:

2> if *SystemInformationBlockType2* includes *ac-BarringForCSFB*:

3> perform access barring check as specified in 5.3.3.11, using T306 as "Tbarring" and *ac-BarringForCSFB* as "AC barring parameter";

3> if access to the cell is barred:

4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating CS fallback is applicable, due to *ac-BarringForCSFB*, upon which the procedure ends;

2> else:

3> perform access barring check as specified in 5.3.3.11, using T306 as "Tbarring" and *ac-BarringForMO-Data* as "AC barring parameter";

3> if access to the cell is barred:

4> if timer T303 is not running, start T303 with the timer value of T306;

4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating CS fallback and mobile originating calls is applicable, due to *ac-BarringForMO-Data*, upon which the procedure ends;

1> else if the UE is establishing the RRC connection for mobile originating MMTEL voice, mobile originating MMTEL video, mobile originating SMSoIP or mobile originating SMS:

2> if the UE is establishing the RRC connection for mobile originating MMTEL voice and *SystemInformationBlockType2* includes *ac-BarringSkipForMMTELVoice*; or

2> if the UE is establishing the RRC connection for mobile originating MMTEL video and *SystemInformationBlockType2* includes *ac-BarringSkipForMMTELVideo*; or

2> if the UE is establishing the RRC connection for mobile originating SMSoIP or SMS and *SystemInformationBlockType2* includes *ac-BarringSkipForSMS*:

3> consider access to the cell as not barred;

2> else:

3> if *establishmentCause* received from higher layers is set to *mo-Signalling* (including the case that *mo-Signalling* is replaced by *highPriorityAccess* according to TS 24.301 [35] or by *mo-VoiceCall* according to the clause 5.3.3.3)*:*

4> perform access barring check as specified in 5.3.3.11, using T305 as "Tbarring" and *ac-BarringForMO-Signalling* as "AC barring parameter";

4> if access to the cell is barred:

5> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating signalling is applicable, upon which the procedure ends;

3> if *establishmentCause* received from higher layers is set to *mo-Data* (including the case that *mo-Data* is replaced by *highPriorityAccess* according to TS 24.301 [35] or by *mo-VoiceCall* according to the clause 5.3.3.3):

4> perform access barring check as specified in 5.3.3.11, using T303 as "Tbarring" and *ac-BarringForMO-Data* as "AC barring parameter";

4> if access to the cell is barred:

5> if *SystemInformationBlockType2* includes *ac-BarringForCSFB* or the UE does not support CS fallback:

6> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls is applicable, upon which the procedure ends;

5> else (*SystemInformationBlockType2* does not include *ac-BarringForCSFB* and the UE supports CS fallback):

6> if timer T306 is not running, start T306 with the timer value of T303;

6> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls and mobile originating CS fallback is applicable, upon which the procedure ends;

Upon initiation of the procedure, if the UE is connected to 5GC, the UE shall:

1> if the upper layers provide an Access Category and one or more Access Identities upon requesting establishment of an RRC connection:

2> perform the unified access control procedure as specified in 5.3.16 using the Access Category and Access Identities provided by upper layers;

3> if the access attempt is barred, the procedure ends;

1> if the resumption of the RRC connection is triggered by response to NG-RAN paging:

2> select '0' as the Access Category;

2> perform the unified access control procedure as specified in 5.3.16 using the selected Access Category and one or more Access Identities provided by upper layers;

3> if the access attempt is barred, the procedure ends;

1> else if the resumption of the RRC connection is triggered by upper layers:

2> if the upper layers provide an Access Category and one or more Access Identities:

3> perform the unified access control procedure as specified in 5.3.16 using the Access Category and Access Identities provided by upper layers;

4> if the access attempt is barred, the procedure ends;

2> set the *resumeCause* in accordance with the information received from upper layers;

1> else if the resumption of the RRC connection is triggered due to an RNAU:

2> if an emergency service is ongoing:

3> select '2' as the Access Category;

3> set the *resumeCause* to *emergency*;

2> else:

3> select '8' as the Access Category;

2> perform the unified access control procedure as specified in 5.3.16 using the selected Access Category and one or more Access Identities to be applied as specified in TS 24.501 [95];

3> if the access attempt is barred:

4> set the variable *pendingRnaUpdate* to 'TRUE';

4> the procedure ends;

Except for NB-IoT, upon initiating the procedure, if connected to EPC or 5GC, the UE shall:

1> if the UE is resuming an RRC connection from a suspended RRC connection or from RRC\_INACTIVE:

2> if the UE was configured with (NG)EN-DC:

3> if the UE does not support maintaining SCG configuration upon connection resumption:

4> perform MR-DC release, as specified in TS 38.331 [82], clause 5.3.5.10;

3> release *p-MaxEUTRA*, if configured;

3> release *p-MaxUE-FR1*, if configured;

3> release *tdm-PatternConfig*, if configured;

2> if the UE does not support maintaining the MCG SCell configurations upon connection resumption:

3> release the MCG SCell(s), if configured, in accordance with 5.3.10.3a;

2> release *powerPrefIndicationConfig*, if configured and stop timer T340, if running;

2> release *reportProximityConfig* and clear any associated proximity status reporting timer;

2> release *obtainLocationConfig*, if configured;

2> release *idc-Config*, if configured;

2> release *sps-AssistanceInfoReport*, if configured;

2> release *measSubframePatternPCell*, if configured;

2> if the UE was configured with DC:

3> release the entire SCG configuration, if configured, except for the DRB configuration (as configured by *drb-ToAddModListSCG*);

2> release *naics-Info* for the PCell, if configured;

2> release the LWA configuration, if configured, as described in 5.6.14.3;

2> release the LWIP configuration, if configured, as described in 5.6.17.3;

2> release *bw-PreferenceIndicationTimer*, if configured and stop timer T341, if running;

2> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;

2> release *ailc-BitConfig*, if configured;

2> release *uplinkDataCompression*, if configured;

NOTE 1a: The parameters and configurations are released from the UE Inactive AS context if the UE is resuming an RRC connection from RRC\_INACTIVE.

1> apply the default physical channel configuration as specified in 9.2.4;

1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;

1> apply the default MAC main configuration as specified in 9.2.2;

1> apply the CCCH configuration as specified in 9.1.1.2;

1> apply the *timeAlignmentTimerCommon* included in *SystemInformationBlockType2*;

1> start timer T300;

1> if the UE is resuming an RRC connection from a suspended RRC connection:

2> initiate transmission of the *RRCConnectionResumeRequest* message in accordance with 5.3.3.3a;

1> else if the UE is resuming an RRC connection from RRC\_INACTIVE:

2> set the variable *pendingRnaUpdate* to 'FALSE';

2> initiate transmission of the *RRCConnectionResumeRequest* message in accordance with 5.3.3.3a;

1> else:

2> if stored, discard the UE AS context, UE Inactive AS context and *resumeIdentity*;

2> release *rrc-InactiveConfig*, if configured;

2> if the UE is initiating CP-EDT in accordance with conditions in 5.3.3.1b:

3> initiate transmission of the *RRCEarlyDataRequest* message in accordance with 5.3.3.3b;

2> else:

3> initiate transmission of the *RRCConnectionRequest* message in accordance with 5.3.3.3;

NOTE 2: Upon initiating the connection establishment procedure, the UE is not required to ensure it maintains up to date system information applicable only for UEs in RRC\_IDLE state or UEs in RRC\_INACTIVE. However, the UE needs to perform system information acquisition upon cell re-selection.

For NB-IoT, upon initiation of the procedure, the UE shall:

1> if theUEis establishing or resuming the RRC connection for mobile originating exception data;or

1> if theUEis establishing or resuming the RRC connection for mobile originating data;or

1> if theUEis establishing or resuming the RRC connection for delay tolerant access;or

1> if theUEis establishing or resuming the RRC connection for mobile originating signalling;

2> perform access barring check as specified in 5.3.3.14;

2> if access to the cell is barred:

3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring is applicable, upon which the procedure ends;

1> apply the default physical channel configuration as specified in 9.2.4;

1> apply the default MAC main configuration as specified in 9.2.2;

1> apply the CCCH configuration as specified in 9.1.1.2;

1> start timer T300;

1> if the UE is establishing an RRC connection:

2> if stored, discard the UE AS context and *resumeIdentity*;

2> if the UE is initiating CP-EDT in accordance with conditions in 5.3.3.1b:

3> initiate transmission of the *RRCEarlyDataRequest* message in accordance with 5.3.3.3b;

2> else:

3> initiate transmission of the *RRCConnectionRequest* message in accordance with 5.3.3.3;

1> else if the UE is resuming an RRC connection:

2> release *schedulingRequestConfig*, if configured;

2> initiate transmission of the *RRCConnectionResumeRequest* message in accordance with 5.3.3.3a;

NOTE 3: Upon initiating the connection establishment or resumption procedure, the UE is not required to ensure it maintains up to date system information applicable only for UEs in RRC\_IDLE state. However, the UE needs to perform system information acquisition upon cell re-selection.

NOTE 4: For EDT, upon initiating the connection establishment or resumption procedure, it is up to UE implementation whether to continue cell re-selection related measurements as well as cell re-selection evaluation and, if the conditions for cell re-selection are fulfilled, whether to perform cell re-selection as specified in 5.3.3.5.

*END OF CHANGES*

START OF CHANGES

#### 5.3.3.3a Actions related to transmission of *RRCConnectionResumeRequest* message

If the UE is resuming the RRC connection from a suspended RRC connection, the UE shall set the contents of *RRCConnectionResumeRequest* message as follows:

1> if the UE is a NB-IoT UE; or

1> if the UE is initiating UP-EDT in accordance with conditions in 5.3.3.1b; or

1> if field *useFullResumeID* is signalled in *SystemInformationBlockType2*:

2> set the *resumeID* to the stored *resumeIdentity*;

1> else:

2> set the *truncatedResumeID* to include bits in bit position 9 to 20 and 29 to 40 from the left in the stored *resumeIdentity*.

1> if the UE supports *mo-VoiceCall* establishment cause and UE is resuming the RRC connection for mobile originating MMTEL voice and *SystemInformationBlockType2* includes *voiceServiceCauseIndication* and the establishment cause received from upper layers is not set to *highPriorityAccess*:

2> set the *resumeCause* to *mo-VoiceCall*;

1> else if the UE supports *mo-VoiceCall* establishment cause for mobile originating MMTEL video and UE is resuming the RRC connection for mobile originating MMTEL video and *SystemInformationBlockType2* includes *videoServiceCauseIndication* and the establishment cause received from upper layers is not set to *highPriorityAccess*:

2> set the *resumeCause* to *mo-VoiceCall*;

1> else:

2> set the *resumeCause* in accordance with the information received from upper layers;

1> set the *shortResumeMAC-I* to the 16 least significant bits of the MAC-I calculated:

2> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) *VarShortResumeMAC-Input* (or *VarShortResumeMAC-Input-NB* in NB-IoT);

2> with the KRRCint key and the previously configured integrity protection algorithm; and

2> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;

1> if the UE is a NB-IoT UE:

2> if the UE supports DL channel quality reporting and *cqi-Reporting* is present in *SystemInformationBlockType2-NB*:

3> set the *cqi-NPDCCH* to include the latest results of the downlink channel quality measurements of the serving cell as specified in TS 36.133 [16];

NOTE 0: The downlink channel quality measurements may use measurement period T1 or T2, as defined in TS 36.133 [16]. In case period T2 is used the RRC-MAC interactions are left to UE implementation.

2> set *earlyContentionResolution* to TRUE;

1> restore the RRC configuration and security context from the stored UE AS context, except for the following:

- MCG SCell(s), if stored,

- *nr*-*SecondaryCellGroupConfig*, if stored;

1> if the UE is initiating UP-EDT in accordance with conditions in 5.3.3.1b:

2> restore the PDCP state and re-establish PDCP entities for all SRBs and all DRBs;

2> if *drb-ContinueROHC* has been provided in immediately preceding RRC connection release message, and the UE is requesting to resume RRC connection in the same cell:

3> indicate to lower layers that stored UE AS context is used and that *drb-ContinueROHC* is configured;

3> continue the header compression protocol context for the DRBs configured with the header compression protocol;

2> else:

3> indicate to lower layers that stored UE AS context is used;

3> reset the header compression protocol context for the DRBs configured with the header compression protocol;

2> resume all SRBs and all DRBs;

2> derive the KeNB key based on the KASME key to which the current KeNB is associated, using the stored value of *nextHopChainingCount* received in the *RRCConnectionRelease* message in the preceding connection, as specified in TS 33.401 [32];

2> derive the KRRCint key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];

2> derive the KRRCenc key and the KUPenc key associated with the previously configured ciphering algorithm, as specified in TS 33.401 [32];

2> configure lower layers to resume integrity protection using the previously configured algorithm and the KRRCint key derived in this clause to all subsequent messages received and sent by the UE;

2> configure lower layers to resume ciphering and to apply the ciphering algorithm and the KRRCenc key derived in this clause to all subsequent messages received and sent by the UE;

2> configure lower layers to resume ciphering and to apply the ciphering algorithm and the KUPenc key derived in this clause immediately to the user data sent and received by the UE;

2> configure the lower layers to use EDT;

1> else:

2> if SRB1 was configured with NR PDCP:

3> for SRB1, release the NR PDCP entity and establish an E-UTRA PDCP entity with the current (MCG) security configuration;

NOTE 1: The UE applies the LTE ciphering and integrity protection algorithms that are equivalent to the previously configured NR security algorithms.

2> else:

3> for SRB1, restore the PDCP state and re-establish the PDCP entity;

If the UE is resuming the RRC connection from RRC\_INACTIVE, the UE shall set the contents of *RRCConnectionResumeRequest* message as follows:

2> if field *useFullResumeID* is signalled in *SystemInformationBlockType2*:

3> set the *fullI-RNTI* to the stored *fullI-RNTI* value provided in suspend;

2> else:

3> set the *shortI-RNTI* to the stored *shortI-RNTI* value provided in suspend;

2> restore the RRC configuration, RoHC state, the stored QoS flow to DRB mapping rules and the KeNB and KRRCint keys from the UE Inactive AS context except for the following:

- MCG physical layer,

- MCG MAC configuration,

- NR *pdcp-Config*,

- MCG SCell configurations, if stored,

- *nr*-*SecondaryCellGroupConfig*, if stored;

2> set the *shortResumeMAC-I* to the 16 least significant bits of the MAC-I calculated:

3> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) *VarShortINACTIVE-MAC-Input*;

3> with the KRRCint key in the UE Inactive AS Context and the previously configured integrity protection algorithm; and

3> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;

2> derive the KeNB key based on the current KeNB or the NH, using the stored *nextHopChainingCount* value, as specified in TS 33.501 [86];

2> derive the KRRCenc key, the KRRCint and the KUPenc key, as specified in TS 33.401 [32];

2> apply the default configuration for SRB1 as specified in 9.2.1.1;

2> apply the default NR PDCP configuration as specified in TS 38.331 [82], clause 9.2.1 for SRB1;

2> configure lower layers to resume integrity protection for all SRBs except SRB0 using the configured algorithm and the KRRCint key derived in this clause immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE;

2> configure lower layers to resume ciphering for all radio bearers except SRB0 and to apply the configured ciphering algorithm, the KRRCenc key and the KUPenc key derived in this clause, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE;

Following procedures are applied for both suspended RRC connection and RRC\_INACTIVE:

2> resume SRB1;

NOTE 2: Until successful connection resumption, the default physical layer configuration and the default MAC Main configuration are applied for the transmission of SRB0 and SRB1, and SRB1 is used only for the transfer of *RRCConnectionResume* message.

The UE shall submit the *RRCConnectionResumeRequest* message to lower layers for transmission.

The UE shall continue cell re-selection related measurements as well as cell re-selection evaluation.

If the UE is resuming the RRC connection from RRC\_INACTIVE and if lower layers indicate an integrity check failure while T300 is running, the UE shall perform actions specified in 5.3.3.16.

*END OF CHANGES*

START OF CHANGES

#### 5.3.3.4 Reception of the *RRCConnectionSetup* by the UE

NOTE 1: Prior to this, lower layer signalling is used to allocate a C-RNTI. For further details see TS 36.321 [6];

The UE shall:

1> if the *RRCConnectionSetup* is received in response to an *RRCConnectionResumeRequest* from a suspended RRC connection:

2> release all radio resources, including release of the RLC entity, the MAC configuration and the associated PDCP entity for all established or suspended RBs, except for SRB0;

2> discard the stored UE AS context and *resumeIdentity*;

2> if stored, discard the stored *nextHopChainingCount*;

2> if stored, discard the stored *drb-ContinueROHC*;

2> indicate to upper layers fallback of the RRC connection;

1> if the *RRCConnectionSetup* is received in response to an *RRCConnectionResumeRequest* from RRC\_INACTIVE:

2> stop T380 if running;

2> discard the stored UE Inactive AS context;

2> release *rrc-InactiveConfig*, if configured;

2> discard any current AS security context including the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key;

2> release radio resources for all established RBs except SRB0, including release of the RLC entities, of the associated PDCP entities and of SDAP entities;

2> release the RRC configuration except for the default L1 parameter values, default MAC main configuration and CCCH;

2> apply the default NR PDCP configuration as specified in TS 38.331 [82], clause 9.2.1.1 for SRB1;

2> use NR PDCP for all subsequent messages received and sent by the UE via SRB1;

2> indicate to upper layers fallback of the RRC connection;

1> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;

1> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;

1> if stored, discard the dedicated offset provided by the *redirectedCarrierOffsetDedicated*;

1> stop timer T300;

1> if T302 is running:

2> stop timer T302;

2> if the UE is connected to 5GC:

3> perform the actions as specified in 5.3.16.4;

1> stop timer T303, if running;

1> stop timer T305, if running;

1> stop timer T306, if running;

1> stop timer T308, if running;

1> perform the actions as specified in 5.3.3.7;

1> stop timer T320, if running;

1> stop timer T350, if running;

1> perform the actions as specified in 5.6.12.4;

1> release *rclwi-Configuration*, if configured, as specified in 5.6.16.2;

1> stop timer T360, if running;

1> stop timer T322, if running;

1> if T309 is running:

2> stop timer T309 for all access categories;

2> perform the actions as specified in 5.3.16.4.

1> enter RRC\_CONNECTED;

1> stop the cell re-selection procedure;

1> consider the current cell to be the PCell;

1> set the content of *RRCConnectionSetup**Complete* message as follows:

2> if the *RRCConnectionSetup* is received in response to an *RRCConnectionResumeRequest*:

3> if upper layers provide an S-TMSI:

4> set the *s-TMSI* to the value received from upper layers;

3> else if upper layers provide a 5G-S-TMSI:

4> set the *ng-5G-S-TMSI-Bits* to *ng-5G-S-TMSI* with the value received from upper layers;

2> else if upper layers provide a 5G-S-TMSI:

3> set the *ng-5G-S-TMSI-Bits* to *ng-5G-S-TMSI-Part2* to the leftmost 8 bits of 5G-S-TMSI received from upper layers;

2> set the *selectedPLMN-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35] for E-UTRA/EPC and TS 24.501 [95] for E-UTRA/5GC) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1* (or *SystemInformationBlockType1-NB* in NB-IoT);

2> if upper layers provide the 'Registered MME', include and set the *registeredMME* as follows:

3> if the PLMN identity of the 'Registered MME' is different from the PLMN selected by the upper layers:

4> include the *plmnIdentity* in the *registeredMME* and set it to the value of the PLMN identity in the 'Registered MME' received from upper layers;

3> set the *mmegi* andthe *mmec* to the value received from upper layers;

2> if upper layers provided the 'Registered MME':

3> include and set the *gummei-Type* to the value provided by the upper layers;

2> if upper layers provide the 'Registered AMF', include and set the *registeredAMF* as follows:

3> if the PLMN identity of the 'Registered AMF' is different from the PLMN selected by the upper layers:

4> include the *plmnIdentity* in the *registeredAMF* and set it to the value of the PLMN identity in the 'Registered AMF' received from upper layers;

3> set the *amf-Identifier* to AMF Identifier of the 'Registered AMF' received from upper layers;

2> if upper layers provided the 'Registered AMF':

3> include and set the *guami-Type* to the value provided by the upper layers;

2> if upper layers provide one or more S-NSSAI (see TS 23.003 [27]):

3> include the *s-NSSAI-list* and set the content to the values provided by the upper layers;

2> if the UE supports CIoT EPS optimisation(s):

3> include a*ttachWithoutPDN-Connectivity* if received from upper layers;

3> include *up-CIoT-EPS-Optimisation* if received from upper layers;

3> except for NB-IoT, include *cp-CIoT-EPS-Optimisation* if received from upper layers;

2> if connecting as an RN:

3> include the *rn-SubframeConfigReq*;

2> if the *RRCConnectionSetup* is received in response to *RRCEarlyDataRequest*:

3> set the *dedicatedInfoNAS* to a zero-length octet string;

2> else:

3> set the *dedicatedInfoNAS* to include the information received from upper layers;

2> if the UE is connected to EPC:

3> except for NB-IoT:

4> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

5> include *rlf-InfoAvailable*;

4> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include *logMeasAvailableMBSFN*;

4> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include *logMeasAvailable*;

4> if the UE has Bluetooth logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include *logMeasAvailableBT*;

4> if the UE has WLAN logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include *logMeasAvailableWLAN*;

4> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

5> include *connEstFailInfoAvailable*;

4> include the *mobilityState* and set it to the mobility state (as specified in TS 36.304 [4]) of the UE just prior to entering RRC\_CONNECTED state;

4> stop T331, if running;

4> if the UE has flight path information available:

5> include *flightPathInfoAvailable*;

3> for NB-IoT:

4> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:

5> set the *measResultServCell* to include the measurements of the serving cell;

NOTE 2: The UE includes the latest results of the serving cell measurements as used for cell selection/ reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].

3> include *dcn-ID* if a DCN-ID value (see TS 23.401 [41]) is received from upper layers;

2> except for NB-IoT:

3> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

4> include the *mobilityHistoryAvail*;

3> if the SIB2 contains *idleModeMeasurements*, and the UE has idle/inactive measurement information available in *VarMeasIdleReport*:

4> include the *idleMeasAvailable*;

2> if UE needs UL gaps during continuous uplink transmission:

3> include *ue-CE-NeedULGaps*;

1> submit the *RRCConnectionSetupComplete* message to lower layers for transmission;

1> the procedure ends.

*END OF CHANGES*

START OF CHANGES

#### 5.3.3.4a Reception of the *RRCConnectionResume* by the UE

The UE shall:

1> stop timer T300;

1> if T309 is running:

2> stop timer T309 for all access categories;

2> perform the actions as specified in 5.3.16.4.

1> stop T380 if running;

1> except if the *RRCConnectionResume* is received in response to an *RRCConnectionResumeRequest* for EDT:

2> if resuming an RRC connection from a suspended RRC connection:

3> if the *RRCConnectionResume* message does not include the *restoreMCG-SCells*:

4> release the MCG SCell(s) from the UE AS context, if stored;

3> if the *RRCConnectionResume* message does not include the *restoreSCG*:

4> if the UE was configured with (NG)EN-DC:

5> perform MR-DC release, as specified in TS 38.331 [82], clause 5.3.5.10;

3> restore the PDCP state and re-establish PDCP entities for SRB2, if configured withE-UTRA PDCP, and for all DRBs that are configured with E-UTRA PDCP;

3> if *drb-ContinueROHC* is included:

4> indicate to lower layers that stored UE AS context is used and that *drb-ContinueROHC* is configured;

4> continue the header compression protocol context for the DRBs configured with the header compression protocol;

3> else:

4> indicate to lower layers that stored UE AS context is used;

4> reset the header compression protocol context for the DRBs configured with the header compression protocol;

3> discard the stored UE AS context and *resumeIdentity*;

3> configure lower layers to consider the restored MCG and SCG SCell(s) (if any) to be in deactivated state;

2> else if the *RRCConnectionResume* message includes the *fullConfig* (for resuming an RRC connection from RRC\_INACTIVE):

3> perform the radio configuration procedure as specified in 5.3.5.8;

2> else (for resuming an RRC connection from RRC\_INACTIVE):

3> restore the following from the stored UE Inactive AS context:

- MCG physical layer configuration,

- MCG MAC configuration,

- MCG RLC configuration,

- PDCP configuration,

- MCG SCell configurations, if stored

*- nr*-*SecondaryCellGroupConfig*, if stored;

3> discard the stored UE Inactive AS context;

3> configure lower layers to consider the restored MCG and SCG SCell(s) (if any) to be in deactivated state;

3> release the *rrc-InactiveConfig*, except *ran-NotificationAreaInfo*;

1> else:

2> discard the stored UE AS context and *resumeIdentity*;

1> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;

NOTE 1: When performing the radio resource configuration procedure, for the physical layer configuration and the MAC Main configuration, the restored RRC configuration from the stored UE AS context is used as basis for the reconfiguration.

1> if the received *RRCConnectionResume* includes the *sCellToReleaseList*:

2> perform SCell release as specified in 5.3.10.3a;

1> if the received *RRCConnectionResume* includes the *sCellToAddModList*:

2> perform SCell addition or modification as specified in 5.3.10.3b;

1> if the received *RRCConnectionResume* includes the *sCellGroupToReleaseList*:

2> perform SCell group release as specified in 5.3.10.3d;

1> if the received *RRCConnectionResume* includes the *sCellGroupToAddModList*:

2> perform SCell group addition or modification as specified in 5.3.10.3e;

1> if the received *RRCConnectionResume* message includes the *nr-SecondaryCellGroupConfig*:

2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3;

Editor’s Note: FFS on the handling of synchronization and RA towards the SN if the *RRCConnectionResume* contains the *restoreSCG* but no *nr-SecondaryCellGroupConfig.*

1> if the received *RRCConnectionResume* message includes the *sk-Counter*:

2> perform key update procedure as specified in TS 38.331 [82], clause 5.3.5.8;

1> if the received *RRCConnectionResume* message includes the *nr-RadioBearerConfig1*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> if the received *RRCConnectionResume* message includes the *nr-RadioBearerConfig2*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> except if the *RRCConnectionResume* is received in response to an *RRCConnectionResumeRequest* for EDT:

2> resume SRB2 and all DRBs, if any, including RBs configured with NR PDCP;

1> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;

1> if stored, discard the dedicated offset provided by the *redirectedCarrierOffsetDedicated*;

1> if the *RRCConnectionResume* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> if T302 is running:

2> stop timer T302;

2> if the UE is connected to 5GC:

3> perform the actions as specified in 5.3.16.4;

1> stop timer T303, if running;

1> stop timer T305, if running;

1> stop timer T306, if running;

1> stop timer T308, if running;

1> perform the actions as specified in 5.3.3.7;

1> stop timer T320, if running;

1> stop timer T350, if running;

1> perform the actions as specified in 5.6.12.4;

1> stop timer T360, if running;

1> stop timer T322, if running;

1> if the *RRCConnectionResume* is received in response to an *RRCConnectionResumeRequest* for EDT or an *RRCConnectionResumeRequest* from RRC\_INACTIVE:

2> ignore the *nextHopChainingCount* value indicated in the *RRCConnectionResume* message;

1> else:

2> if resuming an RRC connection from a suspended RRC connection:

3> update the KeNB key based on the KASME key to which the current KeNB is associated, using the *nextHopChainingCount* value indicated in the *RRCConnectionResume* message, as specified in TS 33.401 [32];

3> store the *nextHopChainingCount* value;

3> derive the KRRCint key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];

3> request lower layers to verify the integrity protection of the *RRCConnectionResume* message, using the previously configured algorithm and the KRRCint key;

3> if the integrity protection check of the *RRCConnectionResume* message fails:

4> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other', upon which the procedure ends;

3> derive the KRRCenc key and the KUPenc key associated with the previously configured ciphering algorithm, as specified in TS 33.401 [32];

3> configure lower layers to resume integrity protection using the previously configured algorithm and the KRRCint key immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE;

3> configure lower layers to resume ciphering and to apply the ciphering algorithm, the KRRCenc key and the KUPenc key, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE;

1> enter RRC\_CONNECTED;

1> indicate to upper layers that the suspended RRC connection has been resumed;

1> stop the cell re-selection procedure;

1> consider the current cell to be the PCell;

1> set the content of *RRCConnectionResumeComplete* message as follows:

2> set the *selectedPLMN-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35] for E-UTRA/EPC and TS 24.501 [95] for E-UTRA/5GC) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1*;

2> set the *dedicatedInfoNAS* to include the information received from upper layers;

2> except for NB-IoT:

3> if resuming an RRC connection from a suspended RRC connection:

4> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

5> include rlf-InfoAvailable;

4> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include logMeasAvailableMBSFN;

4> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include logMeasAvailable;

4> if the UE has Bluetooth logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include logMeasAvailableBT;

4> if the UE has WLAN logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include logMeasAvailableWLAN;

4> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

5> include connEstFailInfoAvailable;

4> include the *mobilityState* and set it to the mobility state (as specified in TS 36.304 [4]) of the UE just prior to entering RRC\_CONNECTED state;

4> if timer T331 is running;

5> stop timer T331;

5> perform the actions as specified in 5.6.20.3;4> if the UE has flight path information available:

5> include *flightPathInfoAvailable*;

3> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

4> include *mobilityHistoryAvail*;

3> if the UE has idle/inactive measurement information available in *VarMeasIdleReport*:

4> if the *idleModeMeasurementReq* is included in the *RRCConnectionResume* message:

Editor’s note: FFS if the *idleModeMeasurementReq* indicates all results (EUTRA and NR), or can request only EUTRA or NR results. The procedure below assumes the former.

5> set the *measResultListIdle* in the *RRCConnectionResumeComplete* message to the value of *measReportIdle* in the *VarMeasIdleReport,* if available;

5> if the SIB2 contains *idleModeMeasurements-r16*:

6> set the *measResultListIdleNR* in the *RRCConnectionResumeComplete* message to the value of *measReportIdleNR* in the *VarMeasIdleReport*, if available;

5> discard the *VarMeasIdleReport* upon successful delivery of the *RRCConnectionResumeComplete* message is confirmed by lower layers;

4> else if the SIB2 contains *idleModeMeasurements*:

5> include the *idleMeasAvailable*;

3> if the *RRCConnectionResume* message includes *nr-SecondaryCellGroupConfig*:

4> include *scg-ConfigResponseNR* in accordance with TS 38.331 [82], clause 5.3.5.3;

2> for NB-IoT:

3> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:

4> set the *measResultServCell* to include the measurements of the serving cell;

NOTE 2: The UE includes the latest results of the serving cell measurements as used for cell selection/ reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].

1> submit the *RRCConnectionResumeComplete* message to lower layers for transmission;

1> the procedure ends.

*END OF CHANGES*

START OF CHANGES

### 5.3.5 RRC connection reconfiguration

#### 5.3.5.3 Reception of an *RRCConnectionReconfiguration* not including the *mobilityControlInfo* by the UE

If the *RRCConnectionReconfiguration* message does not include the *mobilityControlInfo* and theUE is able to comply with the configuration included in this message, the UE shall:

1> if this is the first *RRCConnectionReconfiguration* message after successful completion of the RRC connection re-establishment procedure:

2> re-establish PDCP for SRB2 configured with E-UTRA PDCP entity and for all DRBs that are established and configured with E-UTRA PDCP, if any;

2> re-establish RLC for SRB2 and for all DRBs that are established and configured with E-UTRA RLC, if any;

2> if the *RRCConnectionReconfiguration* message includes the *fullConfig*:

3> perform the radio configuration procedure as specified in 5.3.5.8;

2> if the *RRCConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:

3> perform the radio resource configuration procedure as specified in 5.3.10;

NOTE 1: Void

NOTE 2: Void

1> else:

2> if the *RRCConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:

3> perform the radio resource configuration procedure as specified in 5.3.10;

NOTE 3: If the *RRCConnectionReconfiguration* message includes the establishment of radio bearers other than SRB1, the UE may start using these radio bearers immediately, i.e. there is no need to wait for an outstanding acknowledgment of the *SecurityModeComplete* message.

1> if the received *RRCConnectionReconfiguration* includes the *sCellToReleaseList*:

2> perform SCell release as specified in 5.3.10.3a;

1> if the received *RRCConnectionReconfiguration* includes the *sCellToAddModList*:

2> perform SCell addition or modification as specified in 5.3.10.3b;

1> if the received *RRCConnectionReconfiguration* includes the *sCellGroupToReleaseList*:

2> perform SCell group release as specified in 5.3.10.3d;

1> if the received *RRCConnectionReconfiguration* includes the *sCellGroupToAddModList*:

2> perform SCell group addition or modification as specified in 5.3.10.3e;

1> if the received *RRCConnectionReconfiguration* includes the *scg-Configuration*; or

1> if the current UE configuration includes one or more split DRBs configured with *pdcp-Config* and the received *RRCConnectionReconfiguration* includes *radioResourceConfigDedicated* including *drb-ToAddModList*:

2> perform SCG reconfiguration as specified in 5.3.10.10;

1> if the received *RRCConnectionReconfiguration* includes the *nr-Config* and it is set to *release*: or

1> if the received *RRCConnectionReconfiguration* includes *endc-ReleaseAndAdd* and it is set to *TRUE*:

2> perform MR-DC release as specified in TS 38.331 [82], clause 5.3.5.10;

1> if the received *RRCConnectionReconfiguration* includes the *sk-Counter*:

2> perform key update procedure as specified in TS 38.331 [82], clause 5.3.5.7;

1> if the received *RRCConnectionReconfiguration* includes the *nr-SecondaryCellGroupConfig*:

2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3;

1> if the received *RRCConnectionReconfiguration* includes the *nr-RadioBearerConfig1*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> if the received *RRCConnectionReconfiguration* includes the *nr-RadioBearerConfig2*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> if this is the first *RRCConnectionReconfiguration* message after successful completion of the RRC connection re-establishment procedure:

2> resume SRB2 and all DRBs that are suspended, if any, including RBs configured with NR PDCP;

NOTE 4: The handling of the radio bearers after the successful completion of the PDCP re-establishment, e.g. the re-transmission of unacknowledged PDCP SDUs (as well as the associated status reporting), the handling of the SN and the HFN, is specified in TS 36.323 [8].

NOTE 5: The UE may discard SRB2 messages and data that it receives prior to completing the reconfiguration used to resume these bearers.

1> if the received *RRCConnectionReconfiguration* includes the *systemInformationBlockType1Dedicated*:

2> perfom the actions upon reception of the *SystemInformationBlockType1* message as specified in 5.2.2.7*;*

1> if the received *RRCConnectionReconfiguration* includes the *systemInformationBlockType2Dedicated*:

2> perfom the actions upon reception of the *SystemInformationBlockType2* message as specified in 5.2.2.9;

1> if the *RRCConnectionReconfiguration* message includes the *dedicatedInfoNASList*:

2> forward each element of the *dedicatedInfoNASList* to upper layers in the same order as listed;

1> if the *RRCConnectionReconfiguration* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;

1> if the *RRCConnectionReconfiguration* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.10.9;

1> if the *RRCConnectionReconfiguration* message includes the *sl-DiscConfig* or *sl-CommConfig*:

2> perform the sidelink dedicated configuration procedure as specified in 5.3.10.15;

1> if the *RRCConnectionReconfiguration* message includes the *sl-V2X-ConfigDedicated*:

2> perform the V2X sidelink communication dedicated configuration procedure as specified in 5.3.10.15a;

1> if the *RRCConnectionReconfiguration* message includes *wlan-OffloadInfo*:

2> perform the dedicated WLAN offload configuration procedure as specified in 5.6.12.2;

1> if the *RRCConnectionReconfiguration* message includes *rclwi-Configuration*:

2> perform the WLAN traffic steering command procedure as specified in 5.6.16.2;

1> if the *RRCConnectionReconfiguration* message includes *lwa-Configuration*:

2> perform the LWA configuration procedure as specified in 5.6.14.2;

1> if the *RRCConnectionReconfiguration* message includes *lwip-Configuration*:

2> perform the LWIP reconfiguration procedure as specified in 5.6.17.2;

1> upon RRC connection establishment, if UE does not need UL gaps during continuous uplink transmission:

2> configure lower layers to stop using UL gaps during continuous uplink transmission in FDD for *RRCConnectionReconfigurationComplete* message and subsequent uplink transmission in RRC\_CONNECTED except for UL transmissions as specified in TS36.211 [21];

1> set the content of *RRCConnectionReconfigurationComplete* message as follows:

2> if the *RRCConnectionReconfiguration* message includes *perCC-GapIndicationRequest*:

3> include *perCC-GapIndicationList* and *numFreqEffective*;

2> if the frequencies are configured for reduced measurement performance:

3> include *numFreqEffectiveReduced*;

2> if the received *RRCConnectionReconfiguration* message included *nr-SecondaryCellGroupConfig*:

3> include *scg-ConfigResponseNR* in accordance with TS 38.331 [82], clause 5.3.5.3;

2> if the received *RRCConnectionReconfiguration* message was included in an NR *RRCResume* message:

3> include the *RRCConnectionReconfigurationComplete* message in the NR MCG RRC message *RRCResumeComplete* in accordance with TS 38.331 [82], clause 5.3.13.4;

1> if the UE is configured with NE-DC:

2> transfer the *RRCConnectionReconfigurationComplete* message via SRB1 embedded in NR RRC message *RRCReconfigurationComplete* as specified in TS 38.331 [82];

1> else:

2> submit the *RRCConnectionReconfigurationComplete* message to lower layers for transmission using the new configuration, upon which the procedure ends;

#### 5.3.5.4 Reception of an *RRCConnectionReconfiguration* including the *mobilityControlInfo* by the UE (handover)

If the *RRCConnectionReconfiguration* message includes the *mobilityControlInfo* and theUE is able to comply with the configuration included in this message, the UE shall:

1> stop timer T310, if running;

1> stop timer T312, if running;

1> start timer T304 with the timer value set to *t304,* as included in the *mobilityControlInfo*;

1> stop timer T370, if running;

1> if the *carrierFreq* is included:

2> consider the target PCell to be one on the frequency indicated by the *carrierFreq* with a physical cell identity indicated by the *targetPhysCellId*;

1> else:

2> consider the target PCell to be one on the frequency of the source PCell with a physical cell identity indicated by the *targetPhysCellId*;

1> if T309 is running:

2> stop timer T309 for all access categories;

2> perform the actions as specified in 5.3.16.4.

1> start synchronising to the DL of the target PCell;

NOTE 1: The UE should perform the handover as soon as possible following the reception of the RRC message triggering the handover, which could be before confirming successful reception (HARQ and ARQ) of this message.

1> if BL UE or UE in CE:

2> if *sameSFN-Indication* is not present in *mobilityControlInfo*:

3> acquire the *MasterInformationBlock* in the target PCell;

1> if *makeBeforeBreak* is configured:

2> perform the remainder of this procedure including and following resetting MAC after the UE has stopped the uplink transmission/downlink reception with the source PCell;

NOTE 1a: It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source PCell to initiate re-tuning for connection to the target cell, as specified in TS 36.133 [16], if *makeBeforeBreak* is configured.

NOTE 1b: It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source SCell(s) after receiving *RRCConnectionReconfiguration* message.

1> reset MCG MAC and SCG MAC, if configured;

1> release *uplinkDataCompression*, if configured;

1> re-establish PDCP for all RBs configured with *pdcp-config* that are established;

NOTE 2: The handling of the radio bearers after the successful completion of the PDCP re-establishment, e.g. the re-transmission of unacknowledged PDCP SDUs (as well as the associated status reporting), the handling of the SN and the HFN, is specified in TS 36.323 [8].

NOTE 2a: At handover the *reestablishPDCP* flag will be set for all RBs configured with NR PDCP in *nr-RadioBearerConfig1* or *nr-RadioBearerConfig2* TS 38.331 [82] which will cause the PDCP entity to be re-established also for these RBs.

1> re-establish MCG RLC and SCG RLC, if configured, for all RBs that are established;

1> for each SCell configured for the UE other than the PSCell:

2> if the received *RRCConnectionReconfiguration* message includes *sCellState* for the SCell and indicates *activated*:

3> configure lower layers to consider the SCell to be in activated state;

2> else if the received *RRCConnectionReconfiguration* message includes *sCellState* for the SCell and indicates *dormant*:

3> configure lower layers to consider the SCell to be in dormant state;

2> else:

3> configure lower layers to consider the SCell to be in deactivated state;

1> apply the value of the *newUE-Identity* as the C-RNTI;

1> if the *RRCConnectionReconfiguration* message includes the *fullConfig*:

2> perform the radio configuration procedure as specified in 5.3.5.8;

1> configure lower layers in accordance with the received *radioResourceConfigCommon*;

1> if the received *RRCConnectionReconfiguration* message includes the *rach-Skip*:

2> configure lower layers to apply the *rach-Skip* for the target MCG, as specified in TS 36.213 [23] and 36.321 [6];

1> configure lower layers in accordance with any additional fields, not covered in the previous, if included in the received mobilityControlInfo;

1> if the received *RRCConnectionReconfiguration* includes the *sCellToReleaseList*:

2> perform SCell release as specified in 5.3.10.3a;

1> if the received *RRCConnectionReconfiguration* includes the *sCellGroupToReleaseList*:

2> perform SCell group release as specified in 5.3.10.3d;

1> if the received *RRCConnectionReconfiguration* includes the *scg-Configuration*; or

1> if the current UE configuration includes one or more split DRBs and the received *RRCConnectionReconfiguration* includes *radioResourceConfigDedicated* including *drb-ToAddModList*:

2> perform SCG reconfiguration as specified in 5.3.10.10;

1> if the *RRCConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:

2> perform the radio resource configuration procedure as specified in 5.3.10;

1> if the *securityConfigHO* (without suffix) is included in the *RRCConnectionReconfiguration*:

2> if the *keyChangeIndicator* received in the *securityConfigHO* is set to *TRUE*:

3> update the KeNB key based on the KASME key taken into use with the latest successful NAS SMC procedure, as specified in TS 33.401 [32];

2> else:

3> update the KeNB key based on the current KeNB or the NH, using the *nextHopChainingCount* value indicated in the *securityConfigHO*, as specified in TS 33.401 [32];

NOTE 2b: If the UE needs to update the S-KeNB key as specified in 5.3.10.10, the UE updates the S-KeNB after updating the KeNB key.

2> store the *nextHopChainingCount* value;

2> if the *securityAlgorithmConfig* is included in the *securityConfigHO*:

3> derive the KRRCint key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];

3> if connected as an RN:

4> derive the KUPint key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];

3> derive the KRRCenc key and the KUPenc key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];

2> else:

3> derive the KRRCint key associated with the current integrity algorithm, as specified in TS 33.401 [32];

3> if connected as an RN:

4> derive the KUPint key associated with the current integrity algorithm, as specified in TS 33.401 [32];

3> derive the KRRCenc key and the KUPenc key associated with the current ciphering algorithm, as specified in TS 33.401 [32];

2> configure lower layers to apply the integrity protection algorithm and the KRRCint key, i.e. the integrity protection configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

2> configure lower layers to apply the ciphering algorithm, the KRRCenc key and the KUPenc key, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

1> else if the *securityConfigHO-v1530* is included in the *RRCConnectionReconfiguration*:

2> if the *nas-Container* is received:

3> forward the *nas-Container* to upper layers;

2> if the *keyChangeIndicator-r15* is received and is set to *TRUE*:

3> update the KeNB key based on the KAMF key, as specified in TS 33.501 [86];

2> else:

3> update the KeNB key based on the current KeNB or the NH, using the received *nextHopChainingCount-r15*, as specified in TS 33.501 [86];

2> store the *nextHopChainingCount-r15* value;

2> if the security*AlgorithmConfig-r15* is received:

3> derive the KRRCint key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];

3> derive the KRRCenc key and the KUPenc key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];

2> else:

3> derive the KRRCint key associated with the current integrity algorithm, as specified in TS 33.401 [32];

3> derive the KRRCenc key and the KUPenc key associated with the current ciphering algorithm, as specified in TS 33.401 [32];

1> if the received *RRCConnectionReconfiguration* includes the *nr-Config* and it is set to *release*; or

1> if the received *RRCConnectionReconfiguration* includes *endc-ReleaseAndAdd* and it is set to *TRUE*:

2> perform MR-DC release as specified in TS 38.331 [82], clause 5.3.5.10;

1> if the received *RRCConnectionReconfiguration* includes the *sk-Counter*:

2> perform key update procedure as specified in in TS 38.331 [82], clause 5.3.5.7;

1> if the received *RRCConnectionReconfiguration* includes the *nr-SecondaryCellGroupConfig*:

2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3.

1> if the received *RRCConnectionReconfiguration* includes the *nr-RadioBearerConfig1*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> if the received *RRCConnectionReconfiguration* includes the *nr-RadioBearerConfig2*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6.

1> if connected as an RN:

2> configure lower layers to apply the integrity protection algorithm and the KUPint key, for current or subsequently established DRBs that are configured to apply integrity protection, if any;

1> if the received *RRCConnectionReconfiguration* includes the *sCellToAddModList*:

2> perform SCell addition or modification as specified in 5.3.10.3b;

1> if the received *RRCConnectionReconfiguration* includes the *sCellGroupToAddModList*:

2> perform SCell group addition or modification as specified in 5.3.10.3e;

1> if the received *RRCConnectionReconfiguration* includes the *systemInformationBlockType1Dedicated*:

2> perfom the actions upon reception of the *SystemInformationBlockType1* message as specified in 5.2.2.7;

1> perform the measurement related actions as specified in 5.5.6.1;

1> if the *RRCConnectionReconfiguration* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;

1> release *reportProximityConfig* and clear any associated proximity status reporting timer;

1> if the *RRCConnectionReconfiguration* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.10.9;

1> if the *RRCConnectionReconfiguration* message includes the *sl-DiscConfig* or *sl-CommConfig*:

2> perform the sidelink dedicated configuration procedure as specified in 5.3.10.15;

1> if the *RRCConnectionReconfiguration* message includes *wlan-OffloadInfo*:

2> perform the dedicated WLAN offload configuration procedure as specified in 5.6.12.2;

1> if *handoverWithoutWT-Change* is not configured:

2> release the LWA configuration, if configured, as described in 5.6.14.3;

1> release the LWIP configuration, if configured, as described in 5.6.17.3;

1> if the *RRCConnectionReconfiguration* message includes *rclwi-Configuration*:

2> perform the WLAN traffic steering command procedure as specified in 5.6.16.2;

1> if the *RRCConnectionReconfiguration* message includes *lwa-Configuration*:

2> perform the LWA configuration procedure as specified in 5.6.14.2;

1> if the *RRCConnectionReconfiguration* message includes *lwip-Configuration*:

2> perform the LWIP reconfiguration procedure as specified in 5.6.17.2;

1> if the *RRCConnectionReconfiguration* message includes the *sl-V2X-ConfigDedicated* or *mobilityControlInfoV2X*:

2> perform the V2X sidelink communication dedicated configuration procedure as specified in 5.3.10.15a;

1> set the content of *RRCConnectionReconfigurationComplete* message as follows:

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

3> include *rlf-InfoAvailable*;

2> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and if T330 is not running:

3> include *logMeasAvailableMBSFN*;

2> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable*;

2> if the UE has Bluetooth logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include *logMeasAvailableBT*;

2> if the UE has WLAN logged measurements available and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include *logMeasAvailableWLAN*;

2> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

3> include *connEstFailInfoAvailable*;

2> if the *RRCConnectionReconfiguration* message includes *perCC-GapIndicationRequest*:

3> include *perCC-GapIndicationList* and *numFreqEffective*;

2> if the frequencies are configured for reduced measurement performance:

3> include *numFreqEffectiveReduced*;

2> if the UE has flight path information available:

3> include *flightPathInfoAvailable*;

2> if the received *RRCConnectionReconfiguration* message included *nr-SecondaryCellGroupConfig*:

3> include *scg-ConfigResponseNR* in accordance with TS 38.331 [82], clause 5.3.5.3;

1> stop timer T316, if running;

1> resume MCG transmission, if suspended;

1> submit the *RRCConnectionReconfigurationComplete* message to lower layers for transmission;

1> if MAC successfully completes the random access procedure; or

1> if MAC indicates the successful reception of a PDCCH transmission addressed to C-RNTI and if *rach-Skip* is configured:

2> stop timer T304;

2> release *rach-Skip*;

2> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the target PCell, if any;

2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the target PCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of the target PCell;

NOTE 3: Whenever the UE shall setup or reconfigure a configuration in accordance with a field that is received it applies the new configuration, except for the cases addressed by the above statements.

2> if the UE is configured to provide IDC indications:

3> if the UE has transmitted an *InDeviceCoexIndication* message during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*:

4> initiate transmission of the *InDeviceCoexIndication* message in accordance with 5.6.9.3;

2> if the UE is configured to provide power preference indications, overheating assistance information, SPS assistance information, delay budget report or maximum bandwidth preference indications:

3> if the UE has transmitted a *UEAssistanceInformation* message during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*:

4> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;

2> if *SystemInformationBlockType15* is broadcast by the PCell:

3> if the UE has transmitted a *MBMSInterestIndication* message during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*:

4> ensure having a valid version of *SystemInformationBlockType15* for the PCell;

4> determine the set of MBMS frequencies of interest in accordance with 5.8.5.3;

4> determine the set of MBMS services of interest in accordance with 5.8.5.3a;

4> initiate transmission of the *MBMSInterestIndication* message in accordance with 5.8.5.4;

2> if *SystemInformationBlockType18* is broadcast by the target PCell; and the UE transmitted a *SidelinkUEInformation* message indicating a change of sidelink communication related parameters relevant in target PCell (i.e. change of *commRxInterestedFreq* or *commTxResourceReq*, *commTxResourceReqUC* if *SystemInformationBlockType18* includes *commTxResourceUC-ReqAllowed* or *commTxResourceInfoReqRelay* if PCell broadcasts *SystemInformationBlockType19* including *discConfigRelay*) during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*; or

2> if *SystemInformationBlockType19* is broadcast by the target PCell; and the UE transmitted a *SidelinkUEInformation* message indicating a change of sidelink discovery related parameters relevant in target PCell (i.e. change of *discRxInterest* or *discTxResourceReq*, *discTxResourceReqPS* if *SystemInformationBlockType19* includes *discConfigPS* or *discRxGapReq* or *discTxGapReq* if the UE is configured with *gapRequestsAllowedDedicated* set to *true* or if the UE is not configured with *gapRequestsAllowedDedicated* and *SystemInformationBlockType19* includes *gapRequestsAllowedCommon*) during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*; or

2> if *SystemInformationBlockType21* is broadcast by the target PCell; and the UE transmitted a *SidelinkUEInformation* message indicating a change of V2X sidelink communication related parameters relevant in target PCell (i.e. change of *v2x-CommRxInterestedFreqList* or *v2x-CommTxResourceReq*) during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*:

3> initiate transmission of the *SidelinkUEInformation* message in accordance with 5.10.2.3;

2> the procedure ends;

NOTE 4: The UE is not required to determine the SFN of the target PCell by acquiring system information from that cell before performing RACH access in the target PCell, except for BL UEs or UEs in CE when *sameSFN-Indication* is not present in *mobilityControlInfo*.

*END OF CHANGES*

START OF CHANGES

#### 5.3.5.7a T307 expiry (SCG change failure)

The UE shall:

1> if T307 expires:

NOTE 1: Following T307 expiry any dedicated preamble, if provided within the *rach-ConfigDedicatedSCG*, is not available for use by the UE anymore.

2> if the UE is configured with DC; or

2> if the UE is configured with NE-DC and MCG transmission is not suspended:

3> initiate the SCG failure information procedure as specified in 5.6.13 to report SCG change failure;

2> else:

3> initiate the connection re-establishment procedure as specified in TS 38.331 [82] 5.3.7;

*END OF CHANGES*

START OF CHANGES

### 5.3.7 RRC connection re-establishment

#### 5.3.7.2 Initiation

The UE shall only initiate the procedure either when AS security has been activated or for a NB-IoT UE supporting RRC connection re-establishment for the Control Plane CIoT EPS optimisation. The UE initiates the procedure when one of the following conditions is met:

1> upon detecting radio link failure and fast MCG link recovery is not available (i.e. T316 is not configured), in accordance with 5.3.11; or

1> upon handover failure, in accordance with 5.3.5.6; or

1> upon mobility from E-UTRA failure, in accordance with 5.4.3.5; or

1> except for UP-EDT, upon integrity check failure indication from lower layers concerning SRB1 or SRB2; or

1> upon an RRC connection reconfiguration failure, in accordance with 5.3.5.5; or

1> upon an RRC connection reconfiguration failure, in accordance with TS38.331 [82], clause 5.3.5.8; or

1> upon detecting radio link failure for the SCG while MCG transmission is suspended, in accordance with TS 38.331 [82] subclause 5.3.10.3 in (NG)EN-DC; or

1> upon SCG change failure while MCG transmission is suspended, in accordance with TS 38.331 [82] subclause 5.3.5.8.3 in (NG)EN-DC; or

1> upon SCG configuration failure while MCG transmission is suspended in accordance with subclause TS 38.331 [82] subclause 5.3.5.8.2 in (NG)EN-DC; or

1> upon integrity check failure indication from SCG lower layers concerning SRB3 while MCG transmission is suspended; or

1> upon T316 expiry, in accordance with sub-clause 5.6.x.5.

NOTE: For UP-EDT, integrity check failure indication from lower layers is handled in accordance with clause 5.3.3.16.

Upon initiation of the procedure, the UE shall:

1> stop timer T310, if running;

1> stop timer T312, if running;

1> stop timer T313, if running;

1> stop timer T316, if running;

1> stop timer T307, if running;

1> start timer T311;

1> stop timer T370, if running;

1> release *uplinkDataCompression*, if configured;

1> suspend all RBs, including RBs configured with NR PDCP, except SRB0;

1> reset MAC;

1> release the MCG SCell(s), if configured, in accordance with 5.3.10.3a;

1> release the SCell group(s), if configured, in accordance with 5.3.10.3d;

1> apply the default physical channel configuration as specified in 9.2.4;

1> except for NB-IoT, for the MCG, apply the default semi-persistent scheduling configuration as specified in 9.2.3;

1> for NB-IoT, release *schedulingRequestConfig*, if configured;

1> for the MCG, apply the default MAC main configuration as specified in 9.2.2;

1> release *powerPrefIndicationConfig*, if configured and stop timer T340, if running;

1> release *reportProximityConfig*, if configured and clear any associated proximity status reporting timer;

1> release *obtainLocationConfig*, if configured;

1> release *idc-Config*, if configured;

1> release *sps-AssistanceInfoReport*, if configured;

1> release *measSubframePatternPCell*, if configured;

1> release the entire SCG configuration, if configured, except for the DRB configuration (as configured by *drb-ToAddModListSCG*);

1> if (NG)EN-DC is configured:

2> perform MR-DC release, as specified in TS 38.331[82], clause 5.3.5.10;

2> release *p-MaxEUTRA*, if configured;

2> release *p-MaxUE-FR1*, if configured;

2> release *tdm-PatternConfig*, if configured;

1> release *naics-Info* for the PCell, if configured;

1> if connected as an RN and configured with an RN subframe configuration:

2> release the RN subframe configuration;

1> release the LWA configuration, if configured, as described in 5.6.14.3;

1> release the LWIP configuration, if configured, as described in 5.6.17.3;

1> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;

1> perform cell selection in accordance with the cell selection process as specified in TS 36.304 [4];

1> release *bw-PreferenceIndicationTimer*, if configured and stop timer T341, if running;

1> release *overheatingAssistanceConfig*, if configured and stop timer T345, if running;

1> release *ailc-BitConfig*, if configured;

### 5.3.8 RRC connection release

#### 5.3.8.3 Reception of the *RRCConnectionRelease* by the UE

The UE shall:

1> except for NB-IoT, BL UEs or UEs in CE, delay the following actions defined in this sub-clause 60 ms from the moment the *RRCConnectionRelease* message was received or optionally when lower layers indicate that the receipt of the *RRCConnectionRelease* message has been successfully acknowledged, whichever is earlier;

1> for BL UEs or UEs in CE, delay the following actions defined in this sub-clause 1.25 seconds from the moment the *RRCConnectionRelease* message was received or optionally when lower layers indicate that the receipt of the *RRCConnectionRelease* message has been successfully acknowledged, whichever is earlier;

1> for NB-IoT, delay the following actions defined in this sub-clause 10 seconds from the moment the *RRCConnectionRelease* message was received or optionally when lower layers indicate that the receipt of the *RRCConnectionRelease* message has been successfully acknowledged, whichever is earlier.

NOTE: For BL UEs, UEs in CE and NB-IoT, when STATUS reporting, as defined in TS 36.322 [7], has not been triggered and the UE has sent positive HARQ feedback (ACK), as defined in TS 36.321 [6], the lower layers can be considered to have indicated that the receipt of the *RRCConnectionRelease* message has been successfully acknowledged.

1> stop T380, if running;

1> if the *RRCConnectionRelease* message is received in response to an *RRCConnectionResumeRequest* for EDT:

2> indicate to upper layers that the suspended RRC connection has been resumed;

2> discard the stored UE AS context and *resumeIdentity*;

2> stop timer T300;

2> stop timer T302, if running;

2> stop timer T303, if running;

2> stop timer T305, if running;

2> stop timer T306, if running;

2> stop timer T308, if running;

2> perform the actions as specified in 5.3.3.7;

2> stop timer T316, if running;

2> stop timer T320, if running;

2> stop timer T322, if running;

1> if ASsecurity is not activated and if UE is connected to 5GC:

2> ignore any field included in *RRCConnectionRelease* message except *waitTime*;

2> perform the actions upon leaving RRC\_CONNECTED or RRC\_INACTIVE as specified in 5.3.12 with the release cause '*other'* upon which the procedure ends;

1> if the *RRCConnectionRelease* message includes *redirectedCarrierInfo* indicating redirection to *geran*; or

1> if the *RRCConnectionRelease* message includes *idleModeMobilityControlInfo* including *freqPriorityListGERAN*:

2> if AS security has not been activated; and

2> if upper layers indicate that redirect to GERAN without AS security is not allowed:

3> ignore the content of the *RRCConnectionRelease*;

3> perform the actions upon leaving RRC\_CONNECTED or RRC\_INACTIVE as specified in 5.3.12, with release cause 'other', upon which the procedure ends;

1> if AS security has not been activated:

2> ignore the content of *redirectedCarrierInfo*, if included and indicating redirection to *nr*;

2> ignore the content of *idleModeMobilityControlInfo*, if included and including *freqPriorityListNR*;

2> if the UE ignores the content of *redirectedCarrierInfo* or of *idleModeMobilityControlInfo*:

3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other', upon which the procedure ends;

1> if the *RRCConnectionRelease* message includes *redirectedCarrierInfo* indicating redirection to *eutra* and if UE is connected to 5GC:

2> if *cn-Type* is included:

3> after the cell selection, indicate the available CN Type(s) and the received *cn-Type* to upper layers;

NOTE 1: Handling the case if the E-UTRA cell selected after the redirection does not support the core network type specified by the *cn-Type,* is up to UE implementation.

1> if the *RRCConnectionRelease* message includes the *idleModeMobilityControlInfo*:

2> store the cell reselection priority information provided by the *idleModeMobilityControlInfo*;

2> if the *t320* is included:

3> start timer T320, with the timer value set according to the value of *t320*;

1> else:

2> apply the cell reselection priority information broadcast in the system information;

1> if the *RRCConnectionRelease* message includes the *releaseMeasIdleConfig*:

2> if timer T331 is running:

3> stop timer T331;

3> perform the actions as specified in 5.6.20.3;

1> if the *RRCConnectionRelease* message includes the *measIdleConfig*:

2> clear *VarMeasIdleConfig* and *VarMeasIdleReport*;

2> store the received *measIdleDuration* in *VarMeasIdleConfig*;

2> start or restart T331 with the value of *measIdleDuration*;

2> if the *measIdleConfig* contains *measIdleCarrierListEUTRA*:

3> store the received *measIdleCarrierListEUTRA* in *VarMeasIdleConfig*;

2> if the *measIdleConfig* contains *measIdleCarrierListNR*:

3> store the received *measIdleCarrierListNR* in *VarMeasIdleConfig*;

2> if the *measIdleConfig* contains *validityAreaList*:

3> store the received *validityAreaList* in *VarMeasIdleConfig*;

2> start performing idle/inactive measurements asspecified in5.6.20;

NOTE 2: If the *measIdleConfig* does not contain *measIdleCarrierListEUTRA* or *measIdleCarrierListNR*, UE may receive *measIdleCarrierListEUTRA* or *measIdleCarrierListNR* as specified in 5.2.2.12.

1> for NB-IoT, if the *RRCConnectionRelease* message includes the *redirectedCarrierInfo*:

2> if the *redirectedCarrierOffsetDedicated* isincluded in the *redirectedCarrierInfo*:

3> store the dedicated offsetfor the frequency in *redirectedCarrierInfo*;

3> start timer T322, with the timer value set according to the value of *T322* in *redirectedCarrierInfo*;

1> if the *releaseCause* received in the *RRCConnectionRelease* message indicates *loadBalancingTAURequired*:

2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'load balancing TAU required';

1> else if the *releaseCause* received in the *RRCConnectionRelease* message indicates *cs-FallbackHighPriority*:

2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'CS Fallback High Priority';

1> else:

2> if the *extendedWaitTime* is present; and

2> if the UE supports delay tolerant access or the UE is a NB-IoT UE:

3> forward the *extendedWaitTime* to upper layers;

2> if the *extendedWaitTime-CPdata* is present and the NB-IoT UE only supports the Control Plane CIoT EPS optimisation:

3> forward the *extendedWaitTime-CPdata* to upper layers;

2> if the *releaseCause* received in the *RRCConnectionRelease* message indicates *rrc-Suspend*:

3> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC suspension';

2> else if *rrc-InactiveConfig* is included:

3> perform the actions upon entering RRC\_INACTIVE as specified in 5.3.8.7;

2> else:

3> perform the actions upon leaving RRC\_CONNECTED or RRC\_INACTIVE as specified in 5.3.12, with release cause 'other';

*END OF CHANGES*

START OF CHANGES

### 5.3.10 Radio resource configuration

#### 5.3.10.3b SCell addition/ modification

The UE shall:

1> for each *sCellIndex* value included either in the *sCellToAddModList* or in the *sCellToAddModListSCG* that is not part of the current UE configuration (SCell addition):

2> add the SCell, corresponding to the *cellIdentification*, in accordance with the *radioResourceConfigCommonSCell* and *radioResourceConfigDedicatedSCell*, both included either in the *sCellToAddModList* or in the *sCellToAddModListSCG*;

2> if *sCellState* is configured for the SCell and indicates *activated*:

3> configure lower layers to consider the SCell to be in activated state;

2> else if *sCellState* is configured for the SCell and indicates *dormant*:

3> configure lower layers to consider the SCell to be in dormant state;

2> else:

3> configure lower layers to consider the SCell to be in deactivated state;

2> for each *measId* included in the *measIdList* within *VarMeasConfig*:

3> if SCells are not applicable for the associated measurement; and

3> if the concerned SCell is included in *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*:

4> remove the concerned SCell from *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

1> for each *sCellIndex* value included either in the *sCellToAddModList* or in the *sCellToAddModListSCG* that is part of the current UE configuration (SCell modification):

2> modify the SCell configuration in accordance with the *radioResourceConfigDedicatedSCell*, included either in the *sCellToAddModList* or in the *sCellToAddModListSCG*;

2> if the *sCellToAddModList* was received within an *RRCConnectionResume* or an NR *RRCResume* message:

3> if the *sCellState* is configured for the SCell and indicates *activated*:

4> configure lower layers to consider the SCell to be in activated state;

3> else if *sCellState* is configured for the SCell and indicates *dormant*:

4> configure lower layers to consider the SCell to be in dormant state;

3> else:

4> configure lower layers to consider the SCell to be in deactivated state;

*END OF CHANGES*

START OF CHANGES

#### 5.3.10.7 Radio Link Failure Timers and Constants reconfiguration

The UE shall:

1> if the received *rlf-TimersAndConstants* is set to release:

2> use values for timers T301, T310, T311 and constants N310, N311, as included in *ue-TimersAndConstants* received in *SystemInformationBlockType2*(or *SystemInformationBlockType2-NB* in NB-IoT);

1> else:

2> reconfigure the value of timers and constants in accordance with received *rlf-TimersAndConstants*;

1> if the received *rlf-TimersAndConstantsMCG-Failure* is set to release:

2> consider fast MCG link recovery is not available;

1> else*:*

2> consider fast MCG link recovery is available;

1> if the received *rlf-TimersAndConstantsSCG* is set to release:

2> stop timer T313, if running, and

2> release the value of timer *t313* as well as constants *n313* and *n314*;

1> else:

2> reconfigure the value of timers and constants in accordance with received *rlf-TimersAndConstantsSCG*;

*END OF CHANGES*

START OF CHANGES

### 5.3.11 Radio link failure related actions

#### 5.3.11.3 Detection of radio link failure

The UE shall:

1> upon T310 expiry; or

1> upon T312 expiry; or

1> upon random access problem indication from MCG MAC while neither T300, T301, T304 nor T311 is running; or

1> upon indication from MCG RLC, which is allowed to be send on PCell, that the maximum number of retransmissions has been reached for an SRB or DRB:

2> consider radio link failure to be detected for the MCG i.e. RLF;

2> if the UE is configured with (NG)EN-DC; and

2> if fast MCG link recovery is configured (i.e. T316 is configured); and

2> if SCG transmission is not suspended; and

2> if NR PSCell change is not ongoing (i.e. T304 for the NR PSCell is not running as specified in TS 38.331 [82], clause 5.3.5.5.2, in (NG)EN-DC):

3> initiate the MCG failure information procedure as specified in 5.6.x to report MCG radio link failure;2> else:

3> except for NB-IoT, store the following radio link failure information in the *VarRLF-Report* by setting its fields as follows:

4> clear the information included in *VarRLF-Report*, if any;

4> set the *plmn-IdentityList* to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);

4> set the *measResultLastServCell* to include the RSRP and RSRQ, if available, of the PCell based on measurements collected up to the moment the UE detected radio link failure;

4> set the *measResultNeighCells* to include the best measured cells, other than the PCell, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected radio link failure, and set its fields as follows;

5> if the UE was configured to perform measurements for one or more EUTRA frequencies, include the *measResultListEUTRA*;

5> if the UE was configured to perform measurement reporting for one or more neighbouring UTRA frequencies, include the *measResultListUTRA*;

5> if the UE was configured to perform measurement reporting for one or more neighbouring GERAN frequencies, include the *measResultListGERAN*;

5> if the UE was configured to perform measurement reporting for one or more neighbouring CDMA2000 frequencies, include the *measResultsCDMA2000*;

5> for each neighbour cell included, include the optional fields that are available;

NOTE 1: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

4> if available, set the *logMeasResultListWLAN* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;

4> if available, set the *logMeasResultListBT* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;

4> if detailed location information is available, set the content of the *locationInfo* as follows:

5> include the locationCoordinates;

5> include the *horizontalVelocity*, if available;

4> set the *failedPCellId* to the global cell identity, if available, and otherwise to the physical cell identity and carrier frequency of the PCell where radio link failure is detected;

4> set the *tac-FailedPCell* to the tracking area code, if available, of the PCell where radio link failure is detected;

4> if an *RRCConnectionReconfiguration* message including the *mobilityControlInfo* was received before the connection failure:

5> if the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo* concerned an intra E-UTRA handover:

6> include the *previousPCellId* and set it to the global cell identity of the PCell where the last *RRCConnectionReconfiguration* message including *mobilityControlInfo* was received;

6> set the *timeConnFailure* to the elapsed time since reception of the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo*;

5> if the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo* concerned a handover to E-UTRA from UTRA and if the UE supports Radio Link Failure Report for Inter-RAT MRO:

6> include the *previousUTRA-CellId* and set it to the physical cell identity, the carrier frequency and the global cell identity, if available, of the UTRA Cell in which the last *RRCConnectionReconfiguration* message including *mobilityControlInfo* was received;

6> set the *timeConnFailure* to the elapsed time since reception of the last *RRCConnectionReconfiguration* message including the *mobilityControlInfo*;

4> if the UE supports QCI1 indication in Radio Link Failure Report and has a DRB for which QCI is 1:

5> include the drb-EstablishedWithQCI-1;

4> set the connectionFailureType to rlf;

4> set the *c-RNTI* to the C-RNTI used in the PCell;

4> set the *rlf-Cause* to the trigger for detecting radio link failure;

3> if AS security has not been activated:

4> if the UE is a NB-IoT UE:

5> if the UE supports RRC connection re-establishment for the Control Plane CIoT EPS optimisation:

6> initiate the RRC connection re-establishment procedure as specified in 5.3.7;

5> else:

6> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

4> else:

5> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';

3> else:

4> initiate the connection re-establishment procedure as specified in 5.3.7;

In case of DC or NE-DC, the UE shall:

1> upon T313 expiry; or

1> upon random access problem indication from SCG MAC; or

1> upon indication from SCG RLC, which is allowed to be sent on PSCell, that the maximum number of retransmissions has been reached for an SCG, for a split DRB or for a split SRB:

2> consider radio link failure to be detected for the SCG i.e. SCG-RLF;

2> if the UE is configured with DC; or

2> if the UE is configured with NE-DC and MCG transmission is not suspended:

3> initiate the SCG failure information procedure as specified in 5.6.13 to report SCG radio link failure;

2> else:

3> initiate the connection re-establishment procedure as specified in TS 38.331 [82], clause 5.3.7;

In case of CA PDCP duplication, the UE shall:

1> upon indication from an RLC entity, which is restricted to be sent on SCell only, that the maximum number of retransmissions has been reached:

2> initiate the failure information procedure as specified in 5.6.21 to report RLC failure of type duplication;

The UE may discard the radio link failure information, i.e. release the UE variable *VarRLF-Report*, 48 hours after the radio link failure is detected, upon power off or upon detach.

*END OF CHANGES*

START OF CHANGES

## 5.6 Other

### 5.6.5 UE Information

#### 5.6.5.3 Reception of the *UEInformationRequest* message

Upon receiving the *UEInformationRequest* message, the UE shall, only after successful security activation:

1> if *rach-ReportReq* is set to *true*, set the contents of the *rach-Report* in the *UEInformationResponse* message as follows:

2> set the *numberOfPreamblesSent* to indicate the number of preambles sent by MAC for the last successfully completed random access procedure;

2> if contention resolution was not successful as specified in TS 36.321 [6] for at least one of the transmitted preambles for the last successfully completed random access procedure:

3> set the *contentionDetected* to *true*;

2> else:

3> set the *contentionDetected* to *false*;

1> if *rlf-ReportReq* is set to *true* and the UE has radio link failure information or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

2> set *timeSinceFailure* in *VarRLF-Report* to the time that elapsed since the last radio link or handover failure in E-UTRA;

2> set the *rlf-Report* in the *UEInformationResponse* message to the value of *rlf-Report* in *VarRLF-Report*;

2> discard the *rlf-Report* from *VarRLF-Report* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> if *connEstFailReportReq* is set to *true* and the UE has connection establishment failure information in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

2> set *timeSinceFailure* in *VarConnEstFailReport* to the time that elapsed since the last connection establishment failure in E-UTRA;

2> set the *connEstFailReport* in the *UEInformationResponse* message to the value of *connEstFailReport* in *VarConnEstFailReport*;

2> discard the *connEstFailReport* from *VarConnEstFailReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> if the *logMeasReportReq* is present and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

2> if *VarLogMeasReport* includes one or more logged measurement entries, set the contents of the *logMeasReport* in the *UEInformationResponse* message as follows:

3> include the *absoluteTimeStamp* and set it to the value of *absoluteTimeInfo* in the *VarLogMeasReport*;

3> include the *traceReference* and set it to the value of *traceReference* in the *VarLogMeasReport*;

3> include the *traceRecordingSessionRef* and set it to the value of *traceRecordingSessionRef* in the *VarLogMeasReport;*

3> include the *tce-Id* and set it to the value of *tce-Id* in the *VarLogMeasReport*;

3> include the *logMeasInfoList* and set it to include one or more entries from *VarLogMeasReport* starting from the entries logged first;

3> if the *VarLogMeasReport* includes one or more additional logged measurement entries that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:

4> include the *logMeasAvailable*;

3> if the *VarLogMeasReport* includes one or more additional logged Bluetooth measurement entries that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:

4> include the *logMeasAvailableBT*;

3> if the *VarLogMeasReport* includes one or more additional logged WLAN measurement entries that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:

4> include the *logMeasAvailableWLAN*;

1> if *mobilityHistoryReportReq* is set to *true*:

2> include the *mobilityHistoryReport* and set it to include entries from *VarMobilityHistoryReport*;

2> include in the *mobilityHistoryReport* an entry for the current cell, possibly after removing the oldest entry if required, and set its fields as follows:

3> set *visitedCellId* to the global cell identity of the current cell:

3> set field *timeSpent* to the time spent in the current cell;

Editor’s note: FFS if the *idleModeMeasurementsReq* indicates all results (EUTRA and NR), or can request only EUTRA or NR results. The procedure below assumes the former.

1> if the *idleModeMeasurementReq* is included in the *UEInformationRequest* and the UE has stored *VarMeasIdleReport*:

2> set the *measResultListIdle* in the *UEInformationResponse* message to the value of *measReportIdle* in the *VarMeasIdleReport*, if available;

2> if the SIB2 contains *idleModeMeasurements-r16*:

3> set the *measResultListIdleNR* in the *UEInformationResponse* message to the value of *measReportIdleNR* in the *VarMeasIdleReport*, if available;

2> discard the *VarMeasIdleReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> if *flightPathInfoReq* field is present and the UE has flight path information available:

2> include the *flightPathInfoReport* and set it to include the list of waypoints along the flight path;

2> if the *includeTimeStamp* is set to TRUE:

3> set the field *timeStamp* to the time when UE intends to arrive to each waypoint if this information is available at the UE;

1> if the *logMeasReport* is included in the *UEInformationResponse*:

2> submit the *UEInformationResponse* message to lower layers for transmission via SRB2;

2> discard the logged measurement entries included in the *logMeasInfoList* from *VarLogMeasReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> else:

2> submit the *UEInformationResponse* message to lower layers for transmission via SRB1;

*END OF CHANGES*

START OF CHANGES

### 5.6.13 SCG failure information

#### 5.6.13.2 Initiation

A UE initiates the procedure to report SCG failures when neither MCG nor SCG transmission is suspended and when one of the following conditions is met:

1> upon detecting radio link failure for the SCG, in accordance with 5.3.11; or

1> upon SCG change failure, in accordance with 5.3.5.7a; or

1> upon stopping uplink transmission towards the PSCell due to exceeding the maximum uplink transmission timing difference when *powerControlMode* is configured to 1, in accordance with clause 7.17.2 of TS 36.133 [29].

In case of DC, upon initiating the procedure, the UE shall:

1> suspend all SCG DRBs and suspend SCG transmission for split DRBs;

1> reset SCG-MAC;

1> stop T307;

1> if the UE is configured with NE-DC:

2> initiate transmission of the *SCGFailureInformationEUTRA* message via the NR MCG as specified in TS 38.331 [82], clause 5.7.3a;

1> else:

2> initiate transmission of the *SCGFailureInformation* message in accordance with 5.6.13.3;

*END OF CHANGES*

START OF CHANGES

### 5.6.20 Idle/Inactive Measurements

#### 5.6.20.1 General

This procedure specifies the measurements done by a UE in RRC\_IDLE or RRC\_INACTIVE when it has an idel/inactive measurement configuration and the storage of the available measurements by a UE in RRC\_IDLE and RRC\_INACTIVE.

#### 5.6.20.2 Initiation

While in RRC\_IDLE or RRC\_INACTIVE, T331 is running, the UE shall:

1> perform the measurements in accordance with the following:

2> for each entry in *measIdleCarrierListEUTRA* within *VarMeasIdleConfig*:

3> if UE supports carrier aggregation between serving carrier and the carrier frequency indicated by *carrierFreq* within the corresponding entry;

4> perform measurements in the carrier frequency and bandwidth indicated by *carrierFreq* and *allowedMeasBandwidth* within the corresponding entry;

NOTE: The fields *s-NonIntraSearch* in *SystemInformationBlockType3* do not affect the idle/inactive measurement procedures. How the UE performs the idle/inactive measurements is up to UE implementation as long as the requirements in TS 36.133 [16] are met for measurement reporting. UE is not required to perform idle/inactive measurements if the SIB2 does not contain *idleModeMeasurements*.

4> if the *measCellList* is included:

5> consider the serving cell and cells identified by each entry within the *measCellList* to be applicable for idle/inactive measurement reporting;

4> else:

5> consider the serving cell and up to *maxCellMeasIdle* strongest identified cells to be applicable for idle/inactive measurement reporting;

4> if the *reportQuantities* is set to *rsrq*:

5> consider RSRQ as the sorting quantity;

4> else:

5> consider RSRP as the sorting quantity;

4> store measurement results as indicated by *reportQuantities* for cells applicable for idle/inactive measurement reporting whose RSRP/RSRQ measurement results are above the value(s) provided in *qualityThreshold* (if any) within the *VarMeasIdleReport*;

2> if SIB2 includes *idleModeMeasurements-r16* and the *VarMeasIdleConfig* includes the *measIdleCarrierListNR*:

3> for each entry in *measIdleCarrierListNR* within *VarMeasIdleConfig* that contains *ssb-MeasConfig*:

4> if UE supports (NG)EN-DC between serving carrier and the carrier frequency and subcarrier spacing indicated by *carrierFreqNR* and *ssbSubCarrierSpacing* within the corresponding entry:

Editor’s note: It is FFS if a check for SCG CA is to be performed (i.e. carrier not suitable for a PSCell but suitable for an SCG SCell with another carrier being measured that is suitable for PSCell).

5> perform measurements in the carrier frequency and subcarrier spacing indicated by *carrierFreq* and *ssbSubCarrierSpacing* within the corresponding entry;

5> if the *measCellListNR* is included:

6> consider the serving cell and cells identified by each entry within the *measCellListNR* to be applicable for idle/inactive measurement reporting;

5> else:

6> consider the serving cell and up to *maxCellMeasIdle* strongest identified cells to be applicable for idle/inactive measurement reporting;

5> if the *reportQuantities* is set to *rsrq*:

6> consider RSRQ as the sorting quantity;

5> else:

6> consider RSRP as the sorting quantity;

5> store measurement results as indicated by *reportQuantities* for cells applicable for idle/inactive measurement reporting whose RSRP/RSRQ measurement results are above the value(s) provided in *qualityThreshold* (if any) within the *measReportIdleNR* in *VarMeasIdleReport*;

5> if the *reportRS*-*IndexResultsNR* is included:

6> if the *reportQuantityRS*-*Indexes* is set to *rsrq*:

7> consider RSRQ as the sorting quantity;

6> else:

7> consider RSRP as the sorting quantity;

6> store the beam measurement results as indicated by *reportQuantityRS*-*Indexes* within the *measReportIdleNR* in *VarMeasIdleReport*;

Editor’s Note: FFS if additional procedures regarding beam measurements is needed.

1> if *validityAreaList* is configured in *VarMeasIdleConfig*:

2> if the UE reselects to a serving cell on a frequency which does not match the *carrierFreq* of any entry in the *validityAreaList*;

3> if timer T331 is running;

4> stop timer T331;

4> perform the actions as specified in 5.6.20.3;

2> if the UE reselects to a serving cell on a frequency which matches the *carrierFreq* of any entry in the *validityAreaList*;

3> if *validityCellList* is included for the corresponding frequency and if the physical cell identity of the serving cell does not match any entry in *validityCellList*:

4> if timer T331 is running;

5> stop timer T331;

5> perform the actions as specified in 5.6.20.3;

1> else if *validityArea* is configured in *VarMeasIdleConfig* and UE reselects to a serving cell whose physical cell identity does not match any entry in *validityArea* for the corresponding carrier frequency:

2> if timer T331 is running;

3> stop timer T331;

3> perform the actions as specified in 5.6.20.3;

#### 5.6.20.3 T331 expiry or stop

The UE shall:

1> if T331 expires or is stopped:

2> release the *VarMeasIdleConfig*;

NOTE: It is up to UE implementation whether to continue idle/inactive measurements according to SIB5 configuration after T331 has expired or stopped.

#### 5.6.20.x UE actions upon inter-RAT cell reselection while T331 is running

Upon reselecting to an inter-RAT cell, the UE shall:

1> if timer T331 is running;

2> stop timer T331;

2> perform the actions as specified in 5.6.20.3;

*END OF CHANGES*

START OF CHANGES

### 5.6.x MCG failure information

#### 5.6.x.1 General

****

**Figure 5.6.x.1-x: MCG failure information**

The purpose of this procedure is to inform E-UTRAN MN about an MCG failure the UE has experienced i.e. MCG radio link failure. A UE in RRC\_CONNECTED, for which AS security has been activated with SRB2 and at least one DRB setup, may initiate the fast MCG link recovery procedure in order to continue the RRC connection without re-establishment.

#### 5.6.x.2 Initiation

A UE configured with split SRB1 or SRB3 initiates the procedure to report MCG failures when neither MCG nor SCG transmission is suspended, fast MCG link recovery is configured (i.e. T316 is configured), and when the following condition is met:

1> upon detecting radio link failure of the MCG, in accordance with 5.3.11, while T316 is not running.

Upon initiating the procedure, the UE shall:

1> suspend MCG transmission for all SRBs and DRBs, except SRB0;

1. reset MCG-MAC;

1> initiate transmission of the *MCGFailureInformation* message in accordance with 5.6.x.4.

#### 5.6.x.3 Failure type determination

The UE shall set the MCG failure type as follows:

1> if the UE initiates transmission of the *MCGFailureInformation* message due to T310 expiry:

2> set the *failureType* as *t310-Expiry*;

1> else if the UE initiates transmission of the *MCGFailureInformation* message to provide random access problem indication from MCG MAC:

2> set the *failureType* as *randomAccessProblem*;

1> else if the UE initiates transmission of the *MCGFailureInformation* message to provide indication from MCG RLC that the maximum number of retransmissions has been reached:

2> set the *failureType* as *rlc-MaxNumRetx*.

#### 5.6.x.4 Actions related to transmission of *MCGFailureInformation* message

The UE shall set the contents of the *MCGFailureInformation* message as follows:

1> include and set *failureType* in accordance with 5.6.x.3;

1> for each *measObjectEUTRA* for which a *measId* is configured and for which measurement results are available:

2> include an entry in *measResultsFreqListEUTRA*;

2> if a serving cell is associated with the *MeasObjectEUTRA*:

3> set *measResultServingCell* to include the available quantities of the concerned cell and in accordance with the performance requirements in TS 36.133 [16];

2> set the *measResultNeighCellList* to include the best measured cells, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected the failure, and set its fields as follows:

3> ordering the cells with sorting as follows:

4> using RSRP if RSRP measurement results are available, otherwise using RSRQ if RSRQ measurement results are available, otherwise using SINR;

3> for each neighbour cell included:

4> include the optional fields for which measurement results are available;

NOTE: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

1> for each NR frequency the UE is configured to measure by *measConfig* for which measurement results are available:

2> set the *measResultFreqListNR* to include the best measured cells, ordered such that the best cell is listed first using RSRP to order if RSRP measurement results are available for cells on this frequency, otherwise using RSRQ to order if RSRQ measurement results are available for cells on this frequency, otherwise using SINR to order, and based on measurements collected up to the moment the UE detected the failure, and for each cell that is included, include the optional fields that are available;

1> if the UE is in (NG)EN-DC:

2> include and set *measResultSCG* in accordance with TS 38.331 [82], clause 5.7.3.4:

NOTE: Field *measResultSCG* is used to report available results for NR frequencies the UE is configured to measure by NR RRC signalling.

1> if SRB1 is configured as split SRB and *pdcp-Duplication* is not configured in accordance with TS 38.331 [82, 6.3.2]:

2> if *primaryPath* refers to to the MCG:

3> set *primaryPath* to refer to the SCG.

The UE shall:

1. start timer T316;

1> if SRB1 is configured as split SRB:

2> submit the *MCGFailureInformation* message to lower layers for transmission via SRB1, upon which the procedure ends;

1> else (i.e. SRB3 is configured):

2> submit the *MCGFailureInformation* message to lower layers for transmission, embedded in NR RRC message *ULInformationTransferMRDC* via SRB3as specified in TS 38.331 [82], clause 5.7.2a.3.

#### 5.6.x.5 T316 expiry

The UE shall:

1> if T316 expires:

2> initiate the connection re-establishment procedure as specified in 5.3.7.

*END OF CHANGES*

START OF CHANGES

# 6 Protocol data units, formats and parameters (tabular & ASN.1)

## 6.2 RRC messages

NOTE: The messages included in this clause reflect the current status of the discussions. Additional messages may be included at a later stage.

### 6.2.1 General message structure

#### – *UL-DCCH-Message*

The *UL-DCCH-Message* class is the set of RRC messages that may be sent from the UE to the E‑UTRAN or from the RN to the E-UTRAN on the uplink DCCH logical channel.

-- ASN1START

UL-DCCH-Message ::= SEQUENCE {

message UL-DCCH-MessageType

}

UL-DCCH-MessageType ::= CHOICE {

c1 CHOICE {

csfbParametersRequestCDMA2000 CSFBParametersRequestCDMA2000,

measurementReport MeasurementReport,

rrcConnectionReconfigurationComplete RRCConnectionReconfigurationComplete,

rrcConnectionReestablishmentComplete RRCConnectionReestablishmentComplete,

rrcConnectionSetupComplete RRCConnectionSetupComplete,

securityModeComplete SecurityModeComplete,

securityModeFailure SecurityModeFailure,

ueCapabilityInformation UECapabilityInformation,

ulHandoverPreparationTransfer ULHandoverPreparationTransfer,

ulInformationTransfer ULInformationTransfer,

counterCheckResponse CounterCheckResponse,

ueInformationResponse-r9 UEInformationResponse-r9,

proximityIndication-r9 ProximityIndication-r9,

rnReconfigurationComplete-r10 RNReconfigurationComplete-r10,

mbmsCountingResponse-r10 MBMSCountingResponse-r10,

interFreqRSTDMeasurementIndication-r10 InterFreqRSTDMeasurementIndication-r10

},

messageClassExtension CHOICE {

c2 CHOICE {

ueAssistanceInformation-r11 UEAssistanceInformation-r11,

inDeviceCoexIndication-r11 InDeviceCoexIndication-r11,

mbmsInterestIndication-r11 MBMSInterestIndication-r11,

scgFailureInformation-r12 SCGFailureInformation-r12,

sidelinkUEInformation-r12 SidelinkUEInformation-r12,

wlanConnectionStatusReport-r13 WLANConnectionStatusReport-r13,

rrcConnectionResumeComplete-r13 RRCConnectionResumeComplete-r13,

ulInformationTransferMRDC-r15 ULInformationTransferMRDC-r15,

scgFailureInformationNR-r15 SCGFailureInformationNR-r15,

measReportAppLayer-r15 MeasReportAppLayer-r15,

failureInformation-r15 FailureInformation-r15,

mcgFailureInformation-r16 MCGFailureInformation-r16,

spare4 NULL, spare3 NULL, spare2 NULL, spare1 NULL

},

messageClassExtensionFuture-r11 SEQUENCE {}

}

}

-- ASN1STOP

*END OF CHANGES*

START OF CHANGES

### 6.2.2 Message definitions

#### – *MCGFailureInformation*

The *MCGFailureInformation* message is used to provide information regarding E-UTRA MCG failures detected by the UE.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to Network

***MCGFailureInformation* message**

-- ASN1START

MCGFailureInformation-r16 ::= SEQUENCE {

criticalExtensions CHOICE {

mcgFailureInformation MCGFailureInformation-r16-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

MCGFailureInformation-r16-IEs ::= SEQUENCE {

failureReportMCG FailureReportMCG OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

FailureReportMCG ::= SEQUENCE {

failureType ENUMERATED {

t310-Expiry, randomAccessProblem,

rlc-MaxNumRetx, spare},

measResultFreqListEUTRA MeasResultList3EUTRA-r15 OPTIONAL,

measResultFreqListNR MeasResultFreqListFailNR-r15 OPTIONAL,

measResultSCG OCTET STRING OPTIONAL,

...

}

-- ASN1STOP

| ***MCGFailureInformation field descriptions*** |
| --- |
| ***measResultFreqListEUTRA***  The field contains available results of measurements on EUTRA frequencies the UE is configured to measure by *measConfig*. |
| ***measResultFreqListNR***  The field contains available results of measurements on NR frequencies the UE is configured to measure by *measConfig*. |
| ***measResultSCG***  Includes the NR *MeasResultSCG-Failure* IE as specified in TS 38.331 [82]. The field contains available results of measurements on NR frequencies the UE is configured to measure by the NR RRCConfiguration message. |

*END OF CHANGES*

START OF CHANGES

#### – *RRCConnectionReconfiguration*

The *RRCConnectionReconfiguration* message is the command to modify an RRC connection. It may convey information for measurement configuration, mobility control, radio resource configuration (including RBs, MAC main configuration and physical channel configuration) including any associated dedicated NAS information and security configuration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E‑UTRAN to UE

*RRCConnectionReconfiguration message*

-- ASN1START

RRCConnectionReconfiguration ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

c1 CHOICE{

rrcConnectionReconfiguration-r8 RRCConnectionReconfiguration-r8-IEs,

spare7 NULL,

spare6 NULL, spare5 NULL, spare4 NULL,

spare3 NULL, spare2 NULL, spare1 NULL

},

criticalExtensionsFuture SEQUENCE {}

}

}

RRCConnectionReconfiguration-r8-IEs ::= SEQUENCE {

measConfig MeasConfig OPTIONAL, -- Need ON

mobilityControlInfo MobilityControlInfo OPTIONAL, -- Cond HO

dedicatedInfoNASList SEQUENCE (SIZE(1..maxDRB)) OF

DedicatedInfoNAS OPTIONAL, -- Cond nonHO

radioResourceConfigDedicated RadioResourceConfigDedicated OPTIONAL, -- Cond HO-toEUTRA

securityConfigHO SecurityConfigHO OPTIONAL, -- Cond HO-toEPC

nonCriticalExtension RRCConnectionReconfiguration-v890-IEs OPTIONAL

}

RRCConnectionReconfiguration-v890-IEs ::= SEQUENCE {

lateNonCriticalExtension OCTET STRING (CONTAINING RRCConnectionReconfiguration-v8m0-IEs) OPTIONAL,

nonCriticalExtension RRCConnectionReconfiguration-v920-IEs OPTIONAL

}

-- Late non-critical extensions:

RRCConnectionReconfiguration-v8m0-IEs ::= SEQUENCE {

-- Following field is only for pre REL-10 late non-critical extensions

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCConnectionReconfiguration-v10i0-IEs OPTIONAL

}

RRCConnectionReconfiguration-v10i0-IEs ::= SEQUENCE {

antennaInfoDedicatedPCell-v10i0 AntennaInfoDedicated-v10i0 OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionReconfiguration-v10l0-IEs OPTIONAL

}

RRCConnectionReconfiguration-v10l0-IEs ::= SEQUENCE {

mobilityControlInfo-v10l0 MobilityControlInfo-v10l0 OPTIONAL,

sCellToAddModList-v10l0 SCellToAddModList-v10l0 OPTIONAL, -- Need ON

-- Following field is only for late non-critical extensions from REL-10 to REL-11

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCConnectionReconfiguration-v12f0-IEs OPTIONAL

}

RRCConnectionReconfiguration-v12f0-IEs ::= SEQUENCE {

scg-Configuration-v12f0 SCG-Configuration-v12f0 OPTIONAL, -- Cond nonFullConfig

-- Following field is only for late non-critical extensions from REL-12

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCConnectionReconfiguration-v1370-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1370-IEs ::= SEQUENCE {

radioResourceConfigDedicated-v1370 RadioResourceConfigDedicated-v1370 OPTIONAL, -- Need ON

sCellToAddModListExt-v1370 SCellToAddModListExt-v1370 OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionReconfiguration-v13c0-IEs OPTIONAL

}

RRCConnectionReconfiguration-v13c0-IEs ::= SEQUENCE {

radioResourceConfigDedicated-v13c0 RadioResourceConfigDedicated-v13c0 OPTIONAL, -- Need ON

sCellToAddModList-v13c0 SCellToAddModList-v13c0 OPTIONAL, -- Need ON

sCellToAddModListExt-v13c0 SCellToAddModListExt-v13c0 OPTIONAL, -- Need ON

scg-Configuration-v13c0 SCG-Configuration-v13c0 OPTIONAL, -- Need ON

-- Following field is only for late non-critical extensions from REL-13 onwards

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- Regular non-critical extensions:

RRCConnectionReconfiguration-v920-IEs ::= SEQUENCE {

otherConfig-r9 OtherConfig-r9 OPTIONAL, -- Need ON

fullConfig-r9 ENUMERATED {true} OPTIONAL, -- Cond HO-Reestab

nonCriticalExtension RRCConnectionReconfiguration-v1020-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1020-IEs ::= SEQUENCE {

sCellToReleaseList-r10 SCellToReleaseList-r10 OPTIONAL, -- Need ON

sCellToAddModList-r10 SCellToAddModList-r10 OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionReconfiguration-v1130-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1130-IEs ::= SEQUENCE {

systemInformationBlockType1Dedicated-r11 OCTET STRING (CONTAINING SystemInformationBlockType1) OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionReconfiguration-v1250-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1250-IEs ::= SEQUENCE {

wlan-OffloadInfo-r12 CHOICE {

release NULL,

setup SEQUENCE {

wlan-OffloadConfigDedicated-r12 WLAN-OffloadConfig-r12,

t350-r12 ENUMERATED {min5, min10, min20, min30, min60,

min120, min180, spare1} OPTIONAL -- Need OR

}

} OPTIONAL, -- Need ON

scg-Configuration-r12 SCG-Configuration-r12 OPTIONAL, -- Cond nonFullConfig

sl-SyncTxControl-r12 SL-SyncTxControl-r12 OPTIONAL, -- Need ON

sl-DiscConfig-r12 SL-DiscConfig-r12 OPTIONAL, -- Need ON

sl-CommConfig-r12 SL-CommConfig-r12 OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionReconfiguration-v1310-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1310-IEs ::= SEQUENCE {

sCellToReleaseListExt-r13 SCellToReleaseListExt-r13 OPTIONAL, -- Need ON

sCellToAddModListExt-r13 SCellToAddModListExt-r13 OPTIONAL, -- Need ON

lwa-Configuration-r13 LWA-Configuration-r13 OPTIONAL, -- Need ON

lwip-Configuration-r13 LWIP-Configuration-r13 OPTIONAL, -- Need ON

rclwi-Configuration-r13 RCLWI-Configuration-r13 OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionReconfiguration-v1430-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1430-IEs ::= SEQUENCE {

sl-V2X-ConfigDedicated-r14 SL-V2X-ConfigDedicated-r14 OPTIONAL, -- Need ON

sCellToAddModListExt-v1430 SCellToAddModListExt-v1430 OPTIONAL, -- Need ON

perCC-GapIndicationRequest-r14 ENUMERATED{true} OPTIONAL, -- Need ON

systemInformationBlockType2Dedicated-r14 OCTET STRING (CONTAINING SystemInformationBlockType2) OPTIONAL, -- Cond nonHO

nonCriticalExtension RRCConnectionReconfiguration-v1510-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1510-IEs ::= SEQUENCE {

nr-Config-r15 CHOICE {

release NULL,

setup SEQUENCE {

endc-ReleaseAndAdd-r15 BOOLEAN,

nr-SecondaryCellGroupConfig-r15 OCTET STRING OPTIONAL, -- Need ON

p-MaxEUTRA-r15 P-Max OPTIONAL -- Need ON

}

} OPTIONAL, -- Need ON

sk-Counter-r15 INTEGER (0.. 65535) OPTIONAL, -- Need ON

nr-RadioBearerConfig1-r15 OCTET STRING OPTIONAL, -- Need ON

nr-RadioBearerConfig2-r15 OCTET STRING OPTIONAL, -- Need ON

tdm-PatternConfig-r15 TDM-PatternConfig-r15 OPTIONAL, -- Cond FDD-PCell

nonCriticalExtension RRCConnectionReconfiguration-v1530-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1530-IEs ::= SEQUENCE {

securityConfigHO-v1530 SecurityConfigHO-v1530 OPTIONAL, -- Cond HO-5GC

sCellGroupToReleaseList-r15 SCellGroupToReleaseList-r15 OPTIONAL, -- Need ON

sCellGroupToAddModList-r15 SCellGroupToAddModList-r15 OPTIONAL, -- Need ON

dedicatedInfoNASList-r15 SEQUENCE (SIZE(1..maxDRB-r15)) OF

DedicatedInfoNAS OPTIONAL, -- Cond nonHO

p-MaxUE-FR1-r15 P-Max OPTIONAL, -- Need OR

smtc-r15 MTC-SSB-NR-r15 OPTIONAL, -- Need OP

nonCriticalExtension RRCConnectionReconfiguration-v16xy-IEs OPTIONAL

}

RRCConnectionReconfiguration-v16xy-IEs ::= SEQUENCE {

tdm-PatternConfig-r16 TDM-PatternConfig-r15 OPTIONAL, -- Need ON

nonCriticalExtension SEQUENCE {} OPTIONAL

}

SL-SyncTxControl-r12 ::= SEQUENCE {

networkControlledSyncTx-r12 ENUMERATED {on, off} OPTIONAL -- Need OP

}

PSCellToAddMod-r12 ::= SEQUENCE {

sCellIndex-r12 SCellIndex-r10,

cellIdentification-r12 SEQUENCE {

physCellId-r12 PhysCellId,

dl-CarrierFreq-r12 ARFCN-ValueEUTRA-r9

} OPTIONAL, -- Cond SCellAdd

radioResourceConfigCommonPSCell-r12 RadioResourceConfigCommonPSCell-r12 OPTIONAL, -- Cond SCellAdd

radioResourceConfigDedicatedPSCell-r12 RadioResourceConfigDedicatedPSCell-r12 OPTIONAL, -- Cond SCellAdd2

...,

[[ antennaInfoDedicatedPSCell-v1280 AntennaInfoDedicated-v10i0 OPTIONAL -- Need ON

]],

[[ sCellIndex-r13 SCellIndex-r13 OPTIONAL -- Need ON

]],

[[ radioResourceConfigDedicatedPSCell-v1370 RadioResourceConfigDedicatedPSCell-v1370 OPTIONAL -- Need ON

]],

[[ radioResourceConfigDedicatedPSCell-v13c0 RadioResourceConfigDedicatedPSCell-v13c0 OPTIONAL -- Need ON

]]

}

PSCellToAddMod-v12f0 ::= SEQUENCE {

radioResourceConfigCommonPSCell-r12 RadioResourceConfigCommonPSCell-v12f0 OPTIONAL

}

PSCellToAddMod-v1440 ::= SEQUENCE {

radioResourceConfigCommonPSCell-r14 RadioResourceConfigCommonPSCell-v1440 OPTIONAL

}

PowerCoordinationInfo-r12 ::= SEQUENCE {

p-MeNB-r12 INTEGER (1..16),

p-SeNB-r12 INTEGER (1..16),

powerControlMode-r12 INTEGER (1..2)

}

SCellToAddModList-r10 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-r10

SCellToAddModList-v10l0 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-v10l0

SCellToAddModList-v13c0 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-v13c0

SCellToAddModListExt-r13 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddModExt-r13

SCellToAddModListExt-v1370 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddModExt-v1370

SCellToAddModListExt-v13c0 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddMod-v13c0

SCellToAddModListExt-v1430 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddModExt-v1430

SCellGroupToAddModList-r15 ::= SEQUENCE (SIZE (1..maxSCellGroups-r15)) OF SCellGroupToAddMod-r15

SCellToAddMod-r10 ::= SEQUENCE {

sCellIndex-r10 SCellIndex-r10,

cellIdentification-r10 SEQUENCE {

physCellId-r10 PhysCellId,

dl-CarrierFreq-r10 ARFCN-ValueEUTRA

} OPTIONAL, -- Cond SCellAdd

radioResourceConfigCommonSCell-r10 RadioResourceConfigCommonSCell-r10 OPTIONAL, -- Cond SCellAdd

radioResourceConfigDedicatedSCell-r10 RadioResourceConfigDedicatedSCell-r10 OPTIONAL, -- Cond SCellAdd2

...,

[[ dl-CarrierFreq-v1090 ARFCN-ValueEUTRA-v9e0 OPTIONAL -- Cond EARFCN-max

]],

[[ antennaInfoDedicatedSCell-v10i0 AntennaInfoDedicated-v10i0 OPTIONAL -- Need ON

]],

[[ srs-SwitchFromServCellIndex-r14 INTEGER (0.. 31) OPTIONAL -- Need ON

]],

[[ sCellState-r15 ENUMERATED {activated, dormant} OPTIONAL -- Need ON

]]

}

SCellToAddMod-v10l0 ::= SEQUENCE {

radioResourceConfigCommonSCell-v10l0 RadioResourceConfigCommonSCell-v10l0 OPTIONAL

}

SCellToAddMod-v13c0 ::= SEQUENCE {

radioResourceConfigDedicatedSCell-v13c0 RadioResourceConfigDedicatedSCell-v13c0 OPTIONAL

}

SCellToAddModExt-r13 ::= SEQUENCE {

sCellIndex-r13 SCellIndex-r13,

cellIdentification-r13 SEQUENCE {

physCellId-r13 PhysCellId,

dl-CarrierFreq-r13 ARFCN-ValueEUTRA-r9

} OPTIONAL, -- Cond SCellAdd

radioResourceConfigCommonSCell-r13 RadioResourceConfigCommonSCell-r10 OPTIONAL, -- Cond SCellAdd

radioResourceConfigDedicatedSCell-r13 RadioResourceConfigDedicatedSCell-r10 OPTIONAL, -- Cond SCellAdd2

antennaInfoDedicatedSCell-r13 AntennaInfoDedicated-v10i0 OPTIONAL -- Need ON

}

SCellToAddModExt-v1370 ::= SEQUENCE {

radioResourceConfigCommonSCell-v1370 RadioResourceConfigCommonSCell-v10l0 OPTIONAL

}

SCellToAddModExt-v1430 ::= SEQUENCE {

srs-SwitchFromServCellIndex-r14 INTEGER (0.. 31) OPTIONAL, -- Need ON

...,

[[ sCellState-r15 ENUMERATED {activated, dormant} OPTIONAL -- Need ON

]]

}

SCellGroupToAddMod-r15 ::= SEQUENCE {

sCellGroupIndex-r15 SCellGroupIndex-r15,

sCellConfigCommon-r15 SCellConfigCommon-r15 OPTIONAL, -- Need ON

sCellToReleaseList-r15 SCellToReleaseListExt-r13 OPTIONAL, -- Need ON

sCellToAddModList-r15 SCellToAddModListExt-r13 OPTIONAL -- Need ON

}

SCellToReleaseList-r10 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellIndex-r10

SCellToReleaseListExt-r13 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellIndex-r13

SCellGroupToReleaseList-r15 ::= SEQUENCE (SIZE (1..maxSCellGroups-r15)) OF SCellGroupIndex-r15

SCellGroupIndex-r15 ::= INTEGER (1..maxSCellGroups-r15)

SCellConfigCommon-r15 ::= SEQUENCE {

radioResourceConfigCommonSCell-r15 RadioResourceConfigCommonSCell-r10 OPTIONAL, -- Need ON

radioResourceConfigDedicatedSCell-r15 RadioResourceConfigDedicatedSCell-r10 OPTIONAL,-- Need ON

antennaInfoDedicatedSCell-r15 AntennaInfoDedicated-v10i0 OPTIONAL -- Need ON

}

SCG-Configuration-r12 ::= CHOICE {

release NULL,

setup SEQUENCE {

scg-ConfigPartMCG-r12 SEQUENCE {

scg-Counter-r12 INTEGER (0.. 65535) OPTIONAL, -- Need ON

powerCoordinationInfo-r12 PowerCoordinationInfo-r12 OPTIONAL, -- Need ON

...

} OPTIONAL, -- Need ON

scg-ConfigPartSCG-r12 SCG-ConfigPartSCG-r12 OPTIONAL -- Need ON

}

}

SCG-Configuration-v12f0 ::= CHOICE {

release NULL,

setup SEQUENCE {

scg-ConfigPartSCG-v12f0 SCG-ConfigPartSCG-v12f0 OPTIONAL -- Need ON

}

}

SCG-Configuration-v13c0 ::= CHOICE {

release NULL,

setup SEQUENCE {

scg-ConfigPartSCG-v13c0 SCG-ConfigPartSCG-v13c0 OPTIONAL -- Need ON

}

}

SCG-ConfigPartSCG-r12 ::= SEQUENCE {

radioResourceConfigDedicatedSCG-r12 RadioResourceConfigDedicatedSCG-r12 OPTIONAL, -- Need ON

sCellToReleaseListSCG-r12 SCellToReleaseList-r10 OPTIONAL, -- Need ON

pSCellToAddMod-r12 PSCellToAddMod-r12 OPTIONAL, -- Need ON

sCellToAddModListSCG-r12 SCellToAddModList-r10 OPTIONAL, -- Need ON

mobilityControlInfoSCG-r12 MobilityControlInfoSCG-r12 OPTIONAL, -- Need ON

...,

[[

sCellToReleaseListSCG-Ext-r13 SCellToReleaseListExt-r13 OPTIONAL, -- Need ON

sCellToAddModListSCG-Ext-r13 SCellToAddModListExt-r13 OPTIONAL -- Need ON

]],

[[

sCellToAddModListSCG-Ext-v1370 SCellToAddModListExt-v1370 OPTIONAL -- Need ON

]],

[[

pSCellToAddMod-v1440 PSCellToAddMod-v1440 OPTIONAL -- Need ON

]],

[[ sCellGroupToReleaseListSCG-r15 SCellGroupToReleaseList-r15 OPTIONAL, -- Need ON

sCellGroupToAddModListSCG-r15 SCellGroupToAddModList-r15 OPTIONAL -- Need ON

]],

[[ -- NE-DC addition for setup/ modification and release SN configured measurements

measConfigSN-r15 MeasConfig OPTIONAL, -- Need ON

-- NE-DC additions concerning DRBs/ SRBs are within RadioResourceConfigDedicatedSCG

tdm-PatternConfigNE-DC-r15 TDM-PatternConfig-r15 OPTIONAL -- Cond FDD-PSCell

]],

[[ p-MaxEUTRA-r15 P-Max OPTIONAL -- Need ON

]]

}

SCG-ConfigPartSCG-v12f0 ::= SEQUENCE {

pSCellToAddMod-v12f0 PSCellToAddMod-v12f0 OPTIONAL, -- Need ON

sCellToAddModListSCG-v12f0 SCellToAddModList-v10l0 OPTIONAL -- Need ON

}

SCG-ConfigPartSCG-v13c0 ::= SEQUENCE {

sCellToAddModListSCG-v13c0 SCellToAddModList-v13c0 OPTIONAL, -- Need ON

sCellToAddModListSCG-Ext-v13c0 SCellToAddModListExt-v13c0 OPTIONAL -- Need ON

}

SecurityConfigHO ::= SEQUENCE {

handoverType CHOICE {

intraLTE SEQUENCE {

securityAlgorithmConfig SecurityAlgorithmConfig OPTIONAL, -- Cond fullConfig

keyChangeIndicator BOOLEAN,

nextHopChainingCount NextHopChainingCount

},

interRAT SEQUENCE {

securityAlgorithmConfig SecurityAlgorithmConfig,

nas-SecurityParamToEUTRA OCTET STRING (SIZE(6))

}

},

...

}

SecurityConfigHO-v1530 ::= SEQUENCE {

handoverType-v1530 CHOICE {

intra5GC-r15 SEQUENCE {

securityAlgorithmConfig-r15 SecurityAlgorithmConfig OPTIONAL, -- Cond HO-toEUTRA

keyChangeIndicator-r15 BOOLEAN,

nextHopChainingCount-r15 NextHopChainingCount,

nas-Container-r15 OCTET STRING OPTIONAL -- Need ON

},

fivegc-ToEPC-r15 SEQUENCE {

securityAlgorithmConfig-r15 SecurityAlgorithmConfig,

nextHopChainingCount-r15 NextHopChainingCount

},

epc-To5GC-r15 SEQUENCE {

securityAlgorithmConfig-r15 SecurityAlgorithmConfig,

nas-Container-r15 OCTET STRING

}

},

...

}

TDM-PatternConfig-r15 ::= CHOICE {

release NULL,

setup SEQUENCE {

subframeAssignment-r15 SubframeAssignment-r15,

harq-Offset-r15 INTEGER (0.. 9)

}

}

-- ASN1STOP

| *RRCConnectionReconfiguration* field descriptions |
| --- |
| ***dedicatedInfoNASList***  This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for each PDU in the list. If *dedicatedInfoNASList-r15* is present, UE shall ignore the *dedicatedInfoNASList* (without suffix). |
| ***endc-ReleaseAndAdd***  A one-shot field indicating whether the UE simultaneously releases and adds all the NR SCG related configuration within *nr-Config*, i.e. the configuration set by the NR *RRCReconfiguration* message (e.g. *secondaryCellGroup, SRB3* and *measConfig)*. |
| ***fullConfig***  Indicates the full configuration option is applicable for the RRC Connection Reconfiguration message for intra-system intra-RAT handover. For inter-RAT handover from NR to E-UTRA, *fullConfig* indicates whether or not delta signalling of SDAP/PDCP from source RAT is applicable. This field is absent when the *RRCConnectionReconfiguration* message is generated by the E-UTRA SCG. |
| ***harq-Offset***  Indicates a HARQ subframe offset that is applied to the subframes designated as UL in the associated subrame assignment, see TS 36.213 [23]. |
| ***keyChangeIndicator***  If UE is connected to EPC, true is used only in an intra-cell handover when a KeNB key is derived from a KASME key taken into use through the latest successful NAS SMC procedure, as described in TS 33.401 [32] for KeNB re-keying. false is used in an intra-LTE handover when the new KeNB key is obtained from the current KeNB key or from the NH as described in TS 33.401 [32].  If UE is connected to 5GC, with keyChangeIndicator-r15, true is used in an intra-cell handover when a KeNB key is derived from a KAMF key taken into use through the latest successful NAS SMC procedure, as described in TS 33.501 [86] for KeNB re-keying.  False is used for intra-system handover when the new KeNB key is obtained from the current KeNB key or from the NH as described in TS 33.501 [86]. True is also used in NG based handover procedure with KAMF change, when a KeNB key is derived from the new KAMF key as described in TS 33.501 [86]. |
| ***lwa-Configuration***  This field is used to provide parameters for LWA configuration. E-UTRAN does not simultaneously configure LWA with DC, LWIP or RCLWI for a UE. |
| ***lwip-Configuration***  This field is used to provide parameters for LWIP configuration. E-UTRAN does not simultaneously configure LWIP with DC, LWA or RCLWI for a UE. |
| ***measConfig***  Measurements that E-UTRAN may configure when the UE is not configured with NE-DC. |
| ***measConfigSN***  Measurements that E-UTRAN may configure when the UE is configured with NE-DC and for which reports are carried within an NR RRC message. |
| ***nas-Container***  This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although, if included, it affects activation of AS- security after handover within E-UTRA/5GC. The content is defined in TS 24.501 [95]. In case of NG based handover, the content of nas-Container is. the Intra N1 mode NAS transparent container IE. In case of inter-system handover to from 5GS to EPS, the content of NAS-Container is. the S1 mode to N1 mode NAS transparent container IE. |
| ***nas-securityParamToEUTRA***  This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although, if included, it affects activation of AS- security after inter-RAT handover to E-UTRA/EPC or inter-system handover to E-UTRA/EPC. The content is defined in TS 24.301 [35]. This field is not used for handover from 5GC. |
| ***networkControlledSyncTx***  This field indicates whether the UE shall transmit synchronisation information (i.e. become synchronisation source). Value *On* indicates the UE to transmit synchronisation information while value *Off* indicates the UE to not transmit such information. |
| ***nextHopChainingCount***  Parameter NCC: See TS 33.401 [32] if UE is connected to EPC, else see 33.501 [86] if UE is connected to 5GC. |
| ***nr-Config***  Includes the NR related configurations. This field is used to configure (NG)EN-DC configuration, possibly in conjunction with fields *sk-Counter* and *nr-RadioBearerConfig1/ 2*. NOTE 1. |
| ***nr-RadioBearerConfig1, nr-RadioBearerConfig2***  Includes the NR *RadioBearerConfig* IE as specified in TS 38.331 [82]. The field includes the configuration of RBs configured with NR PDCP. |
| ***nr-SecondaryCellGroupConfig***  Includes the NR *RRCReconfiguration* message as specified in TS 38.331 [82]. In this version of the specification, the NR RRC message only includes fields *secondaryCellGroup* and/ or *measConfig*. If *nr-SecondaryCellGroupConfig* is configured, the network always includes this field upon MN handover to initiate an NR SCG reconfiguration with sync and key change. |
| ***perCC-GapIndicationRequest***  Indicates that UE shall include *perCC-GapIndicationList* and *numFreqEffective* in the *RRCConnectionReconfigurationComplete* message. *numFreqEffectiveReduced* may also be included if frequencies are configured for reduced measurement performance. |
| ***p-MaxEUTRA***  Indicates the maximum power available for LTE. |
| ***p-MaxUE-FR1***  The maximum total transmit power to be used by the UE across all serving cells in frequency range 1 (FR1) across all cell groups. The maximum transmit power that the UE may use may be additionally limited on cell- or cell-group level. The field is optionally present, if (NG)EN-DC (nr-Config-r15) has been configured. It is absent otherwise. |
| ***p-MeNB***  Indicates the guaranteed power for the MeNB, as specified in TS 36.213 [23]. The value N corresponds to N-1 in TS 36.213 [23]. |
| ***powerControlMode***  Indicates the power control mode used in DC. Value 1 corresponds to DC power control mode 1 and value 2 indicates DC power control mode 2, as specified in TS 36.213 [23]. |
| ***p-SeNB***  Indicates the guaranteed power for the SeNB as specified in TS 36.213 [23], Table 5.1.4.2-1. The value N corresponds to N-1 in TS 36.213 [23]. |
| ***rclwi-Configuration***  WLAN traffic steering command as specified in 5.6.16.2. E-UTRAN does not simultaneously configure RCLWI with DC, LWA or LWIP for a UE. |
| ***sCellConfigCommon***  Indicates the common configuration for the SCell group. |
| ***sCellGroupIndex***  Indicates the identity of SCell groups for which a common configuration is provided. |
| ***sCellIndex***  The *sCellIndex* is unique within the scope of the UE. In case of DC, an SCG cell can not use the same value as used for an MCG cell. For *pSCellToAddMod*, if *sCellIndex-r13* is present the UE shall ignore *sCellIndex-r12.* |
| ***sCellGroupToAddModList, sCellGroupToAddModListSCG***  Indicates the SCell group to be added or modified. E-UTRAN only configures at most 4 SCell groups per UE over all cell groups. SCell groups can only be configured for LTE SCells, and all SCells in an SCell group must belong to the same cell group. |
| ***sCellGroupToReleaseList***  Indicates the SCell group to be released. |
| ***sCellState***  A one-shot field that indicates whether the SCell shall be considered to be in activated or dormant state upon SCell configuration. |
| ***sCellToAddModList, sCellToAddModListExt***  Indicates the SCell to be added or modified. E-UTRAN uses field *sCellToAddModList-r10* to add or modify SCells (with *sCellIndex-r10*) for a UE that does not support carrier aggregation with more than 5 component carriers. If E-UTRAN includes *sCellToAddModListExt-v1430* it includes the same number of entries, and listed in the same order, as in *sCellToAddModListExt-r13*. If E-UTRAN includes *sCellToAddModList-v10l0* it includes the same number of entries, and listed in the same order, as in *sCellToAddModList-r10*. If E-UTRAN includes *sCellToAddModListExt-v1370* it includes the same number of entries, and listed in the same order, as in *sCellToAddModListExt-r13*. If E-UTRAN includes *sCellToAddModListExt-v13c0* it includes the same number of entries, and listed in the same order, as in *sCellToAddModListExt-r13.* |
| ***sCellToAddModListSCG, sCellToAddModListSCG-Ext***  Indicates the SCG cell to be added or modified. The field is used for SCG cells other than the PSCell (which is added/ modified by field *pSCellToAddMod*). E-UTRAN uses field *sCellToAddModListSCG-r12* to add or modify SCells (with *sCellIndex-r10*) for a UE that does not support carrier aggregation with more than 5 component carriers. If E-UTRAN includes *sCellToAddModListSCG-v10l0* it includes the same number of entries, and listed in the same order, as in *sCellToAddModListSCG-r12*. If E-UTRAN includes *sCellToAddModListSCG-Ext-v1370* it includes the same number of entries, and listed in the same order, as in *sCellToAddModListSCG-Ext-r13*. If E-UTRAN includes *sCellToAddModListSCG-Ext-v13c0* it includes the same number of entries, and listed in the same order, as in *sCellToAddModListSCG-Ext-r13.* |
| ***sCellToReleaseList, sCellToReleaseListExt***  Indicates the SCell to be released. E-UTRAN uses field *sCellToReleaseList-r10* to release SCells for a UE that does not support carrier aggregation with more than 5 component carriers. |
| ***sCellToReleaseListSCG, sCellToReleaseListSCG-Ext***  Indicates the SCG cell to be released. The field is also used to release the PSCell e.g. upon change of PSCell, upon system information change for the PSCell. E-UTRAN uses field *sCellToReleaseListSCG-r12* to release SCells for a UE that does not support carrier aggregation with more than 5 component carriers. |
| ***scg-Configuration***  Covers the SCG configuration as used in case of DC and NE-DC. When the UE is configured with NE-DC, E-UTRAN neither applies value release nor configures *scg-ConfigPartMCG*. |
| ***scg-Counter***  A counter used upon initial configuration of SCG security as well as upon refresh of S-KeNB. E-UTRAN includes the field upon SCG change when one or more SCG DRBs are configured. Otherwise E-UTRAN does not include the field. |
| ***securityConfigHO***  This field contains the parameters required to update the security keys at handover. If E-UTRAN includes the *securityConfigHO* (i.e., without suffix), the choice *intraLTE* is used for handover within E-UTRA/EPC while the choice *interRAT* is used for handover from GERAN or UTRAN to E-UTRA/EPC. If E-UTRAN includes the *securityConfigHO-v1530* (i.e., with suffix), the choice *intra5GC* is used for handover from NR or E-UTRA/5GC to E-UTRA/5GC while the choice *fivegc-ToEPC* is used for inter-system handover from NR or E-UTRA/5GC to E-UTRA/EPC and the choice *epc-To5GC* is used for inter-system handover from E-UTRA/EPC to E-UTRA/5GC. |
| ***sk-Counter***  A one-shot counter used upon initial configuration of S-KgNB as well as upon refresh of S-KgNB. E-UTRAN always provides this field either upon initial configuration of an NR SCG, or upon configuration of the first (SN terminated) RB using S-KgNB, whichever happens first. |
| ***sl-V2X-ConfigDedicated***  Indicates sidelink configuration for non-P2X related V2X sidelink communication as well as P2X related V2X sidelink communication. |
| ***smtc***  The SSB periodicity/offset/duration configuration of target cell for NR PSCell addition and SN change. It is based on timing reference of EUTRA PCell. NOTE 2.  If the field is absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message. |
| ***srs-SwitchFromServCellIndex***  Indicates the serving cell whose UL transmission may be interrupted during SRS transmission on a PUSCH-less cell. During SRS transmission on a PUSCH-less cell, the UE may temporarily suspend the UL transmission on a serving cell with PUSCH in the same CG to allow the PUSCH-less cell to transmit SRS. The PUSCH-less cell is always a TDD cell but the serving cell with PUSCH may be either a FDD or TDD cell. |
| ***subframeAssignment***  Indicates DL/UL subframe configuration where sa0 points to Configuration 0, sa1 to Configuration 1 etc. as specified in TS 36.211 [21], table 4.2-2. |
| ***systemInformationBlockType1Dedicated***  This field is used to transfer *SystemInformationBlockType1* or *SystemInformationBlockType1-BR* to the UE. |
| ***systemInformationBlockType2Dedicated***  This field is used to transfer BR version of *SystemInformationBlockType2* to BL UEs or UEs in CE or *SystemInformationBlockType2* to non-BL UEs. |
| ***t350***  Timer T350 as described in clause 7.3. Value *minN* corresponds to N minutes. |
| ***tdm-PatternConfig***  UL/DL reference configuration indicating the time during which a UE configured with (NG)EN-DC is allowed to transmit certain LTE uplink signals as further specified in TS 36.213 [23].. This field is used when power control or IMD issues require single UL transmission as specified in TS 38.101-3 [101] and TS 38.213 [88].  The network sets at most one of *tdm-PatternConfig-r15* and *tdm-PatternConfig-r16* to *setup*.  The *tdm-PatternConfig-r16* may be configured also for a LTE TDD PCell provided that it does not indicate TDM subframe allocation 0 or 6 in SIB1. In this case, the network sets the *tdm-PatternConfig-r16-> subframeAssignment* to 2, 4 or 5 and ensures that the *tdm-PatternConfig-r16->* harq-Offset does not violate the UL-DL configuration given in SIB1. |
| ***tdm-PatternConfigNE-DC***  UL/DL reference configuration indicating the time during which a UE configured with NE-DC is allowed to transmit. This field is used when power control or IMD issues require single UL transmission as specified in TS 38.101-3 [101] and TS 38.213 [88]. |

| Conditional presence | Explanation |
| --- | --- |
| *EARFCN-max* | The field is mandatory present if *dl-CarrierFreq-r10* is included and set to *maxEARFCN*. Otherwise the field is not present. |
| *FDD-PCell* | This field is optionally present, need ON, for a FDD PCell if there is no SCell with configured uplink. Otherwise, the field is not present. |
| *FDD-PSCell* | This field is optionally present, need ON, for a FDD PSCell if there is no SCell with configured uplink. Otherwise, the field is not present. |
| *fullConfig* | This field is mandatory present for handover within E-UTRA when the *fullConfig* is included; otherwise it is optionally present, Need OP. |
| *HO* | The field is mandatory present in case of handover within E-UTRA or to E-UTRA; otherwise the field is not present. |
| *HO-Reestab* | The field is mandatory present in case of inter-system handover within E-UTRA or handover from NR to E-UTRA/EPC; it is optionally present, need ON, in case of intra-system handover within E-UTRA or upon the first reconfiguration after RRC connection re-establishment; or for intra-system handover from NR to E-UTRA, otherwise the field is not present. |
| *HO-5GC* | The field is mandatory present in case of handover within E-UTRA/5GC, handover to E-UTRA/5GC, handover from NR to E-UTRA/EPC, or handover from E-UTRA/5GC to E-UTRA/EPC, otherwise the field is not present. |
| *HO-toEPC* | The field is mandatory present in case of handover within E-UTRA/EPC or to E-UTRA/EPC, except handover from NR or E-UTRA/5GC, otherwise the field is not present. |
| *HO-toEUTRA* | The field is mandatory present in case of handover to E-UTRA or for reconfigurations when *fullConfig* is included; otherwise the field is optionally present, need ON. |
| *nonFullConfig* | The field is not present when the *fullConfig* is included or in case of handover to E-UTRA; otherwise it is optional present, need ON. |
| *nonHO* | The field is not present in case of handover within E-UTRA or to E-UTRA; otherwise it is optional present, need ON. |
| *SCellAdd* | The field is mandatory present upon SCell addition; otherwise it is not present. |
| *SCellAdd2* | The field is mandatory present upon SCell addition; otherwise it is optionally present, need ON. |

NOTE 1: Fields *sk-Counter* and *nr-RadioBearerConfig1/ 2* are placed outside *nr-Config*, as these may be configured while the UE is not configured with (NG)EN-DC.

NOTE 2: It is not specified whether the timing reference for the SMTC configuration is the source EUTRA PCell or the target EUTRA PCell in case the NR PSCell addition or SN change takes place simultaneously with handover. As a consequence, explicit SMTC configuration is only supported when the source EUTRA PCell and the target EUTRA PCell of the handover are SFN/subframe-synchronized.

*END OF CHANGES*

START OF CHANGES

#### – *RRCConnectionRelease*

The *RRCConnectionRelease* message is used to command the release of an RRC connection, or to complete an UP-EDT procedure.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E‑UTRAN to UE

*RRCConnectionRelease message*

-- ASN1START

RRCConnectionRelease ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

c1 CHOICE {

rrcConnectionRelease-r8 RRCConnectionRelease-r8-IEs,

spare3 NULL, spare2 NULL, spare1 NULL

},

criticalExtensionsFuture SEQUENCE {}

}

}

RRCConnectionRelease-r8-IEs ::= SEQUENCE {

releaseCause ReleaseCause,

redirectedCarrierInfo RedirectedCarrierInfo OPTIONAL, -- Need ON

idleModeMobilityControlInfo IdleModeMobilityControlInfo OPTIONAL, -- Need OP

nonCriticalExtension RRCConnectionRelease-v890-IEs OPTIONAL

}

RRCConnectionRelease-v890-IEs ::= SEQUENCE {

lateNonCriticalExtension OCTET STRING (CONTAINING RRCConnectionRelease-v9e0-IEs) OPTIONAL,

nonCriticalExtension RRCConnectionRelease-v920-IEs OPTIONAL

}

-- Late non critical extensions

RRCConnectionRelease-v9e0-IEs ::= SEQUENCE {

redirectedCarrierInfo-v9e0 RedirectedCarrierInfo-v9e0 OPTIONAL, -- Cond NoRedirect-r8

idleModeMobilityControlInfo-v9e0 IdleModeMobilityControlInfo-v9e0 OPTIONAL, -- Cond IdleInfoEUTRA

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- Regular non critical extensions

RRCConnectionRelease-v920-IEs ::= SEQUENCE {

cellInfoList-r9 CHOICE {

geran-r9 CellInfoListGERAN-r9,

utra-FDD-r9 CellInfoListUTRA-FDD-r9,

utra-TDD-r9 CellInfoListUTRA-TDD-r9,

...,

utra-TDD-r10 CellInfoListUTRA-TDD-r10

} OPTIONAL, -- Cond Redirection

nonCriticalExtension RRCConnectionRelease-v1020-IEs OPTIONAL

}

RRCConnectionRelease-v1020-IEs ::= SEQUENCE {

extendedWaitTime-r10 INTEGER (1..1800) OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionRelease-v1320-IEs OPTIONAL

}

RRCConnectionRelease-v1320-IEs::= SEQUENCE {

resumeIdentity-r13 ResumeIdentity-r13 OPTIONAL, -- Need OR

nonCriticalExtension RRCConnectionRelease-v1530-IEs OPTIONAL

}

RRCConnectionRelease-v1530-IEs ::= SEQUENCE {

drb-ContinueROHC-r15 ENUMERATED {true} OPTIONAL, -- Cond UP-EDT

nextHopChainingCount-r15 NextHopChainingCount OPTIONAL, -- Cond UP-EDT

measIdleConfig-r15 MeasIdleConfigDedicated-r15 OPTIONAL, -- Need ON

rrc-InactiveConfig-r15 RRC-InactiveConfig-r15 OPTIONAL, -- Need OR

cn-Type-r15 ENUMERATED {epc,fivegc} OPTIONAL, -- Need OR

nonCriticalExtension RRCConnectionRelease-v1540-IEs OPTIONAL

}

RRCConnectionRelease-v1540-IEs ::= SEQUENCE {

waitTime INTEGER (1..16) OPTIONAL, -- Cond 5GC

nonCriticalExtension RRCConnectionRelease-v16xy-IEs OPTIONAL

}

RRCConnectionRelease-v16xy-IEs ::= SEQUENCE {

releaseIdleMeasConfig ENUMERATED {true} OPTIONAL, -- Need ON

nonCriticalExtension SEQUENCE {} OPTIONAL

}

ReleaseCause ::= ENUMERATED {loadBalancingTAUrequired,

other, cs-FallbackHighPriority-v1020, rrc-Suspend-v1320}

RedirectedCarrierInfo ::= CHOICE {

eutra ARFCN-ValueEUTRA,

geran CarrierFreqsGERAN,

utra-FDD ARFCN-ValueUTRA,

utra-TDD ARFCN-ValueUTRA,

cdma2000-HRPD CarrierFreqCDMA2000,

cdma2000-1xRTT CarrierFreqCDMA2000,

...,

utra-TDD-r10 CarrierFreqListUTRA-TDD-r10,

nr-r15 CarrierInfoNR-r15

}

RedirectedCarrierInfo-v9e0 ::= SEQUENCE {

eutra-v9e0 ARFCN-ValueEUTRA-v9e0

}

RRC-InactiveConfig-r15::= SEQUENCE {

fullI-RNTI-r15 I-RNTI-r15,

shortI-RNTI-r15 ShortI-RNTI-r15,

ran-PagingCycle-r15 ENUMERATED { rf32, rf64, rf128, rf256} OPTIONAL, --Need OR

ran-NotificationAreaInfo-r15 RAN-NotificationAreaInfo-r15 OPTIONAL, --Need ON

periodic-RNAU-timer-r15 ENUMERATED {min5, min10, min20, min30, min60,

min120, min360, min720} OPTIONAL, --Need OR

nextHopChainingCount-r15 NextHopChainingCount OPTIONAL, --Cond INACTIVE

dummy SEQUENCE{} OPTIONAL

}

RAN-NotificationAreaInfo-r15 ::= CHOICE {

cellList-r15 PLMN-RAN-AreaCellList-r15,

ran-AreaConfigList-r15 PLMN-RAN-AreaConfigList-r15

}

PLMN-RAN-AreaCellList-r15 ::= SEQUENCE (SIZE (1..maxPLMN-r15)) OF PLMN-RAN-AreaCell-r15

PLMN-RAN-AreaCell-r15 ::= SEQUENCE {

plmn-Identity-r15 PLMN-Identity OPTIONAL,

ran-AreaCells-r15 SEQUENCE (SIZE (1..32)) OF CellIdentity

}

PLMN-RAN-AreaConfigList-r15 ::= SEQUENCE (SIZE (1..maxPLMN-r15)) OF PLMN-RAN-AreaConfig-r15

PLMN-RAN-AreaConfig-r15 ::= SEQUENCE {

plmn-Identity-r15 PLMN-Identity OPTIONAL,

ran-Area-r15 SEQUENCE (SIZE (1..16)) OF RAN-AreaConfig-r15

}

RAN-AreaConfig-r15 ::= SEQUENCE {

trackingAreaCode-5GC-r15 TrackingAreaCode-5GC-r15,

ran-AreaCodeList-r15 SEQUENCE (SIZE (1..32)) OF RAN-AreaCode-r15 OPTIONAL --Need OR

}

CarrierFreqListUTRA-TDD-r10 ::= SEQUENCE (SIZE (1..maxFreqUTRA-TDD-r10)) OF ARFCN-ValueUTRA

IdleModeMobilityControlInfo ::= SEQUENCE {

freqPriorityListEUTRA FreqPriorityListEUTRA OPTIONAL, -- Need ON

freqPriorityListGERAN FreqsPriorityListGERAN OPTIONAL, -- Need ON

freqPriorityListUTRA-FDD FreqPriorityListUTRA-FDD OPTIONAL, -- Need ON

freqPriorityListUTRA-TDD FreqPriorityListUTRA-TDD OPTIONAL, -- Need ON

bandClassPriorityListHRPD BandClassPriorityListHRPD OPTIONAL, -- Need ON

bandClassPriorityList1XRTT BandClassPriorityList1XRTT OPTIONAL, -- Need ON

t320 ENUMERATED {

min5, min10, min20, min30, min60, min120, min180,

spare1} OPTIONAL, -- Need OR

...,

[[ freqPriorityListExtEUTRA-r12 FreqPriorityListExtEUTRA-r12 OPTIONAL -- Need ON

]],

[[ freqPriorityListEUTRA-v1310 FreqPriorityListEUTRA-v1310 OPTIONAL, -- Need ON

freqPriorityListExtEUTRA-v1310 FreqPriorityListExtEUTRA-v1310 OPTIONAL -- Need ON

]],

[[ freqPriorityListNR-r15 FreqPriorityListNR-r15 OPTIONAL -- Need ON

]]

}

IdleModeMobilityControlInfo-v9e0 ::= SEQUENCE {

freqPriorityListEUTRA-v9e0 SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA-v9e0

}

FreqPriorityListEUTRA ::= SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA

FreqPriorityListExtEUTRA-r12 ::= SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA-r12

FreqPriorityListEUTRA-v1310 ::= SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA-v1310

FreqPriorityListExtEUTRA-v1310 ::= SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA-v1310

FreqPriorityEUTRA ::= SEQUENCE {

carrierFreq ARFCN-ValueEUTRA,

cellReselectionPriority CellReselectionPriority

}

FreqPriorityEUTRA-v9e0 ::= SEQUENCE {

carrierFreq-v9e0 ARFCN-ValueEUTRA-v9e0 OPTIONAL -- Cond EARFCN-max

}

FreqPriorityEUTRA-r12 ::= SEQUENCE {

carrierFreq-r12 ARFCN-ValueEUTRA-r9,

cellReselectionPriority-r12 CellReselectionPriority

}

FreqPriorityEUTRA-v1310 ::= SEQUENCE {

cellReselectionSubPriority-r13 CellReselectionSubPriority-r13 OPTIONAL -- Need ON

}

FreqPriorityListNR-r15 ::= SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityNR-r15

FreqPriorityNR-r15 ::= SEQUENCE {

carrierFreq-r15 ARFCN-ValueNR-r15,

cellReselectionPriority-r15 CellReselectionPriority,

cellReselectionSubPriority-r15 CellReselectionSubPriority-r13 OPTIONAL -- Need OR

}

FreqsPriorityListGERAN ::= SEQUENCE (SIZE (1..maxGNFG)) OF FreqsPriorityGERAN

FreqsPriorityGERAN ::= SEQUENCE {

carrierFreqs CarrierFreqsGERAN,

cellReselectionPriority CellReselectionPriority

}

FreqPriorityListUTRA-FDD ::= SEQUENCE (SIZE (1..maxUTRA-FDD-Carrier)) OF FreqPriorityUTRA-FDD

FreqPriorityUTRA-FDD ::= SEQUENCE {

carrierFreq ARFCN-ValueUTRA,

cellReselectionPriority CellReselectionPriority

}

FreqPriorityListUTRA-TDD ::= SEQUENCE (SIZE (1..maxUTRA-TDD-Carrier)) OF FreqPriorityUTRA-TDD

FreqPriorityUTRA-TDD ::= SEQUENCE {

carrierFreq ARFCN-ValueUTRA,

cellReselectionPriority CellReselectionPriority

}

BandClassPriorityListHRPD ::= SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandClassPriorityHRPD

BandClassPriorityHRPD ::= SEQUENCE {

bandClass BandclassCDMA2000,

cellReselectionPriority CellReselectionPriority

}

BandClassPriorityList1XRTT ::= SEQUENCE (SIZE (1..maxCDMA-BandClass)) OF BandClassPriority1XRTT

BandClassPriority1XRTT ::= SEQUENCE {

bandClass BandclassCDMA2000,

cellReselectionPriority CellReselectionPriority

}

CellInfoListGERAN-r9 ::= SEQUENCE (SIZE (1..maxCellInfoGERAN-r9)) OF CellInfoGERAN-r9

CellInfoGERAN-r9 ::= SEQUENCE {

physCellId-r9 PhysCellIdGERAN,

carrierFreq-r9 CarrierFreqGERAN,

systemInformation-r9 SystemInfoListGERAN

}

CarrierInfoNR-r15 ::= SEQUENCE {

carrierFreq-r15 ARFCN-ValueNR-r15,

subcarrierSpacingSSB-r15 ENUMERATED {kHz15, kHz30, kHz120, kHz240},

smtc-r15 MTC-SSB-NR-r15 OPTIONAL -- Need OP

}

CellInfoListUTRA-FDD-r9 ::= SEQUENCE (SIZE (1..maxCellInfoUTRA-r9)) OF CellInfoUTRA-FDD-r9

CellInfoUTRA-FDD-r9 ::= SEQUENCE {

physCellId-r9 PhysCellIdUTRA-FDD,

utra-BCCH-Container-r9 OCTET STRING

}

CellInfoListUTRA-TDD-r9 ::= SEQUENCE (SIZE (1..maxCellInfoUTRA-r9)) OF CellInfoUTRA-TDD-r9

CellInfoUTRA-TDD-r9 ::= SEQUENCE {

physCellId-r9 PhysCellIdUTRA-TDD,

utra-BCCH-Container-r9 OCTET STRING

}

CellInfoListUTRA-TDD-r10 ::= SEQUENCE (SIZE (1..maxCellInfoUTRA-r9)) OF CellInfoUTRA-TDD-r10

CellInfoUTRA-TDD-r10 ::= SEQUENCE {

physCellId-r10 PhysCellIdUTRA-TDD,

carrierFreq-r10 ARFCN-ValueUTRA,

utra-BCCH-Container-r10 OCTET STRING

}

-- ASN1STOP

| *RRCConnectionRelease* field descriptions |
| --- |
| ***carrierFreq or bandClass***  The carrier frequency (UTRA, E-UTRA, and NR) and band class (HRPD and 1xRTT) for which the associated cellReselectionPriority is applied. For NR, the *ARFCN-ValueNR* corresponds to a GSCN value as specified in TS 38.101 [85]. |
| ***carrierFreqs***  The list of GERAN carrier frequencies organised into one group of GERAN carrier frequencies. |
| ***cellInfoList***  Used to provide system information of one or more cells on the redirected inter-RAT carrier frequency. The system information can be used if, upon redirection, the UE selects an inter-RAT cell indicated by the *physCellId* and *carrierFreq* (GERAN and UTRA TDD) or by the *physCellId* (other RATs). The choice shall match the *redirectedCarrierInfo*. In particular, E-UTRAN only applies value *utra-TDD-r10* in case *redirectedCarrierInfo* is set to *utra-TDD-r10*. |
| ***cellList***  Indicates a list of cells configured as RAN area. For each element, in the absence of *plmn-Identity* the UE considers the registered PLMN. Total number of cells across all PLMNs does not exceed 32. |
| ***cn-Type***  The*cn-Type* is used to indicate that the UE is redirected from 5GC to EPC or 5GC when*redirectedCarrierInfo* indicates E-UTRA frequency. |
| ***drb-ContinueROHC***  This field indicates whether to continue or reset the header compression protocol context for the DRBs configured with the header compression protocol. Presence of the field indicates that the header compression protocol context continues when UE initiates UP-EDT in the same cell, while absence indicates that the header compression protocol context is reset. |
| ***dummy***  This field is not used in the specification. If received it shall be ignored by the UE. |
| ***extendedWaitTime***  Value in seconds for the wait time for Delay Tolerant access requests. |
| ***freqPriorityListX***  Provides a cell reselection priority for each frequency, by means of separate lists for each RAT (including E-UTRA). The UE shall be able to store at least 3 occurrences of *FreqsPriorityGERAN*. If E-UTRAN includes *freqPriorityListEUTRA-v9e0* and/or *freqPriorityListEUTRA-v1310* it includes the same number of entries, and listed in the same order, as in *freqPriorityListEUTRA* (i.e. without suffix). Field *freqPriorityListExt* includes additional neighbouring inter-frequencies, i.e. extending the size of the inter-frequency carrier list using the general principles specified in 5.1.2. EUTRAN only includes *freqPriorityListExtEUTRA* if *freqPriorityListEUTRA* (i.e without suffix) includes *maxFreq* entries. If E-UTRAN includes *freqPriorityListExtEUTRA-v1310* it includes the same number of entries, and listed in the same order, as in *freqPriorityListExtEUTRA-r12.* |
| ***idleModeMobilityControlInfo***  Provides dedicated cell reselection priorities. Used for cell reselection as specified in TS 36.304 [4]. For E-UTRA and UTRA frequencies, a UE that supports multi-band cells for the concerned RAT considers the dedicated priorities to be common for all overlapping bands (i.e. regardless of the ARFCN that is used). |
| ***measIdleConfig***  Indicates a one-shot measurement configuration to be stored and used by the UE while in RRC\_IDLE or RRC\_INACTIVE. |
| ***periodic-RNAU-timer***  Refers to the timer that triggers the periodic RNAU procedure in UE. Value min5 corresponds to 5 minutes, value min10 corresponds to 10 minutes and so on. |
| ***ran-Area***  Indicates whether TA code(s) or RAN area code(s) are used for the RAN notification area. The network uses only TA code(s) or RAN area code(s) to configure a UE. Total number of TACs across all PLMNs does not exceed 16. Total number of RAN-AreaCode across all PLMNs does not exceed 32. |
| ***ran-NotificationAreaInfo***  Network ensures that the UE in RRC\_INACTIVE always has a valid *ran-NotificationAreaInfo*. |
| ***ranAreaConfigList***  Indicates a list of RAN area codes or RA code(s) as RAN area. For each element, in the absence of *plmn-Identity* the UE considers the registered PLMN. |
| ***ran-pagingCycle***  Refers to the UE specific cycle for RAN-initiated paging. Value rf32 corresponds to 32 radio frames, rf64 corresponds to 64 radio frames and so on. |
| ***redirectedCarrierInfo***  The r*edirectedCarrierInfo* indicates a carrier frequency (downlink for FDD) and is used to redirect the UE to an E‑UTRA or an inter-RAT carrier frequency, by means of the cell selection upon leaving RRC\_CONNECTED as specified in TS 36.304 [4]. The value *geran* can only be included after successful security activation when UE is connected to 5GC. |
| ***releaseCause***  The *releaseCause* is used to indicate the reason for releasing the RRC Connection. The cause value *cs-FallbackHighPriority* is only applicable when *redirectedCarrierInfo* is present with the value set to *utra-FDD,* *utra-TDD* or *utra-TDD-r10*. E-UTRAN should not set the *releaseCause* to *loadBalancingTAURequired* or to *cs-FallbackHighPriority* if the *extendedWaitTime* is present. The network should not set the *releaseCause* to *loadBalancingTAURequired* if the UE is connected to 5GC. |
| ***releaseIdleMeasConfig***  Indicates that the UE shall release the idle/inactive measurement configurations, if configured. |
| ***rrc-InactiveConfig***  Indicates configuration for the RRC\_INACTIVE state. The network does not configure this field when the UE is redirected to an inter-RAT carrier frequency. |
| ***smtc***  The SSB periodicity/offset/duration configuration of the redirected target NR frequency. It is based on the timing reference of EUTRAN PCell. If the field is absent, the UE uses the SMTC configured in the *measObjectNR* having the same SSB frequency and subcarrier spacing |
| ***subcarrierSpacingSSB***  Indicate subcarrier spacing of SSB of redirected target NR frequency. Only the values 15 or 30 (<6GHz), 120 kHz or 240 kHz (>6GHz) are applicable. |
| ***systemInformation***  Container for system information of the GERAN cell i.e. one or more System Information (SI) messages as defined in TS 44.018 [45], table 9.1.1. |
| ***t320***  Timer T320 as described in clause 7.3. Value minN corresponds to N minutes. |
| ***utra-BCCH-Container***  Contains System Information Container message as defined in TS 25.331 [19]. |
| ***waitTime***  Wait time value in seconds. |

| Conditional presence | Explanation |
| --- | --- |
| *5GC* | The field is optionally present, Need ON, if the UE is connected to 5GC; otherwise the field is not present. |
| *EARFCN-max* | The field is mandatory present if the corresponding *carrierFreq* (i.e. without suffix) is set to *maxEARFCN*. Otherwise the field is not present. |
| *IdleInfoEUTRA* | The field is optionally present, Need OP, if the *IdleModeMobilityControlInfo* (i.e. without suffix) is included and includes *freqPriorityListEUTRA*; otherwise the field is not present. |
| *INACTIVE* | The field is mandatory present in this release. |
| *NoRedirect-r8* | The field is optionally present, Need OP, if the *redirectedCarrierInfo* (i.e. without suffix) is not included; otherwise the field is not present. |
| *Redirection* | The field is optionally present, Need ON, if the *redirectedCarrierInfo* is included and set to *geran*, *utra-FDD*, *utra-TDD* or *utra-TDD-r10*; otherwise the field is not present. |
| *UP-EDT* | The field is optionally present, Need ON, if the UE supports UP-EDT and *releaseCause* is set to *rrc-Suspend*; otherwise the field is not present. |

*END OF CHANGES*

START OF CHANGES

#### – *RRCConnectionResume*

The *RRCConnectionResume* message is used to resume the suspended RRC connection.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E‑UTRAN to UE

*RRCConnectionResume* message

-- ASN1START

RRCConnectionResume-r13 ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

c1 CHOICE {

rrcConnectionResume-r13 RRCConnectionResume-r13-IEs,

spare3 NULL,

spare2 NULL,

spare1 NULL

},

criticalExtensionsFuture SEQUENCE {}

}

}

RRCConnectionResume-r13-IEs ::= SEQUENCE {

radioResourceConfigDedicated-r13 RadioResourceConfigDedicated OPTIONAL, -- Need ON

nextHopChainingCount-r13 NextHopChainingCount,

measConfig-r13 MeasConfig OPTIONAL, -- Need ON

antennaInfoDedicatedPCell-r13 AntennaInfoDedicated-v10i0 OPTIONAL, -- Need ON

drb-ContinueROHC-r13 ENUMERATED {true} OPTIONAL, -- Need OP

lateNonCriticalExtension OCTET STRING OPTIONAL,

rrcConnectionResume-v1430-IEs RRCConnectionResume-v1430-IEs OPTIONAL

}

RRCConnectionResume-v1430-IEs ::= SEQUENCE {

otherConfig-r14 OtherConfig-r9 OPTIONAL, -- Need ON

rrcConnectionResume-v1510-IEs RRCConnectionResume-v1510-IEs OPTIONAL

}

RRCConnectionResume-v1510-IEs ::= SEQUENCE {

sk-Counter-r15 INTEGER (0.. 65535) OPTIONAL, -- Need ON

nr-RadioBearerConfig1-r15 OCTET STRING OPTIONAL, -- Need ON

nr-RadioBearerConfig2-r15 OCTET STRING OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionResume-v1530-IEs OPTIONAL

}

RRCConnectionResume-v1530-IEs ::= SEQUENCE {

fullConfig-r15 ENUMERATED {true} OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionResume-v16xy-IEs OPTIONAL

}

RRCConnectionResume-v16xy-IEs ::= SEQUENCE {

idleModeMeasurementReq-r16 TypeFFS OPTIONAL, -- Need ON

restoreMCG-SCells ENUMERATED {true} OPTIONAL, -- Need ON

restoreSCG ENUMERATED {true} OPTIONAL, -- Need ON

sCellToAddModList-r16 TypeFFS OPTIONAL, -- Need ON

sCellToReleaseList-r16 SCellToReleaseListExt-r13 OPTIONAL, -- Need ON

sCellGroupToReleaseList-r16 SCellGroupToReleaseList-r15 OPTIONAL, -- Need ON

sCellGroupToAddModList-r16 SCellGroupToAddModList-r15 OPTIONAL, -- Need ON

nr-SecondaryCellGroupConfig OCTET STRING OPTIONAL, -- Need ON

nonCriticalExtension SEQUENCE {} OPTIONAL

}

TypeFFS ::= NULL

-- ASN1STOP

| *RRCConnectionResume* field descriptions |
| --- |
| ***drb-ContinueROHC***  This field indicates whether to continue or reset the header compression protocol context for the DRBs configured with EUTRA PDCP and the header compression protocol. Presence of the field indicates that the header compression protocol context continues while absence indicates that the header compression protocol context is reset. |
| ***fullConfig***  Indicates that the full configuration option is applicable for the *RRCConnectionResume* message. |
| ***idleModeMeasurementReq***  This field indicates that the UE shall report the idle/inactive measurements to the network in the *RRCConnectionResumeComplete* message |
| ***nr-RadioBearerConfig1, nr-RadioBearerConfig2***  Includes the NR *RadioBearerConfig* IE as specified in TS 38.331 [82]. The field includes the configuration of RBs configured with NR PDCP. |
| ***nr-SecondaryCellGroupConfig***  Includes the NR *RRCReconfiguration* message as specified in TS 38.331 [82]. In this version of the specification, the NR RRC message only includes fields *secondaryCellGroup* and/ or *measConfig*. This field can be included only when the UE is connected to 5GC. |
| ***restoreMCG-Scells***  Indicates that the UE shall restore the MCG Scell configurations from the UE AS Context or UE Inactive AS Context, if configured. |
| ***restoreSCG***  If included, the UE shall restore the SCG configurations from the UE AS Context or UE Inactive AS Context, if configured. |
| ***sCellGroupToAddModList***  Indicates the SCell group to be added or modified. This field can be included only when the UE is connected to 5GC. |
| ***sCellGroupToReleaseList***  Indicates the SCell group to be released. This field can be included only when the UE is connected to 5GC |
| **sCellToAddModList**  List of SCells to be added or modified. This field can be included only when the UE is connected to 5GC. |
| **sCellToReleaseList**  List of SCells to be released. This field can be included only when the UE is connected to 5GC. |
| ***sk-Counter***  A one-shot counter used upon initial configuration of S-KgNB as well as upon refresh of S-KgNB. E-UTRAN provides this field when the UE is configured with an (SN-terminated) RB using S-KgNB. |

*END OF CHANGES*

START OF CHANGES

#### – *RRCConnectionResumeComplete*

The *RRCConnectionResumeComplete* message is used to confirm the successful completion of an RRC connection resumption.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E‑UTRAN

*RRCConnectionResumeComplete* message

-- ASN1START

RRCConnectionResumeComplete-r13 ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

rrcConnectionResumeComplete-r13 RRCConnectionResumeComplete-r13-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

RRCConnectionResumeComplete-r13-IEs ::= SEQUENCE {

selectedPLMN-Identity-r13 INTEGER (1..maxPLMN-r11) OPTIONAL,

dedicatedInfoNAS-r13 DedicatedInfoNAS OPTIONAL,

rlf-InfoAvailable-r13 ENUMERATED {true} OPTIONAL,

logMeasAvailable-r13 ENUMERATED {true} OPTIONAL,

connEstFailInfoAvailable-r13 ENUMERATED {true} OPTIONAL,

mobilityState-r13 ENUMERATED {normal, medium, high, spare} OPTIONAL,

mobilityHistoryAvail-r13 ENUMERATED {true} OPTIONAL,

logMeasAvailableMBSFN-r13 ENUMERATED {true} OPTIONAL,

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCConnectionResumeComplete-v1530-IEs OPTIONAL

}

RRCConnectionResumeComplete-v1530-IEs ::= SEQUENCE {

logMeasAvailableBT-r15 ENUMERATED {true} OPTIONAL,

logMeasAvailableWLAN-r15 ENUMERATED {true} OPTIONAL,

idleMeasAvailable-r15 ENUMERATED {true} OPTIONAL,

flightPathInfoAvailable-r15 ENUMERATED {true} OPTIONAL,

nonCriticalExtension RRCConnectionResumeComplete-v16xy-IEs OPTIONAL

}

RRCConnectionResumeComplete-v16xy-IEs ::= SEQUENCE {

measResultListIdle-r15 MeasResultListIdle-r15 OPTIONAL,

measResultListIdleNR-r16 MeasResultListIdleNR-r16 OPTIONAL,

scg-ConfigResponseNR-r16 OCTET STRING OPTIONAL,

nonCriticalExtension SEQUENCE{} OPTIONAL

}

-- Editors Note: FFS whether to have a separate availability indicator for rel-16 idle/inactive measurements.

-- ASN1STOP

| *RRCConnectionResumeComplete* field descriptions |
| --- |
| ***idleMeasAvailable***  Indication that the UE has idle/inactive measurement report available. |
| ***selectedPLMN-Identity***  Index of the PLMN selected by the UE from the *plmn-IdentityList* fields included in SIB1. 1 if the 1st PLMN is selected from the 1st *plmn-IdentityList* included in SIB1, 2 if the 2nd PLMN is selected from the same *plmn-IdentityList*, or when no more PLMN are present within the same *plmn-IdentityList,* then the PLMN listed 1st in the subsequent *plmn-IdentityList* within the same SIB1 and so on. The *selectedPLMN-Identity* is referred to the PLMN list for 5GC if the UE is in RRC\_INACTIVE state. |

*END OF CHANGES*

START OF CHANGES

#### – *RRCConnectionSetupComplete*

The *RRCConnectionSetupComplete* message is used to confirm the successful completion of an RRC connection establishment.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E‑UTRAN

*RRCConnectionSetupComplete message*

-- ASN1START

RRCConnectionSetupComplete ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

c1 CHOICE{

rrcConnectionSetupComplete-r8 RRCConnectionSetupComplete-r8-IEs,

spare3 NULL, spare2 NULL, spare1 NULL

},

criticalExtensionsFuture SEQUENCE {}

}

}

RRCConnectionSetupComplete-r8-IEs ::= SEQUENCE {

selectedPLMN-Identity INTEGER (1..maxPLMN-r11),

registeredMME RegisteredMME OPTIONAL,

dedicatedInfoNAS DedicatedInfoNAS,

nonCriticalExtension RRCConnectionSetupComplete-v8a0-IEs OPTIONAL

}

RRCConnectionSetupComplete-v8a0-IEs ::= SEQUENCE {

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCConnectionSetupComplete-v1020-IEs OPTIONAL

}

RRCConnectionSetupComplete-v1020-IEs ::= SEQUENCE {

gummei-Type-r10 ENUMERATED {native, mapped} OPTIONAL,

rlf-InfoAvailable-r10 ENUMERATED {true} OPTIONAL,

logMeasAvailable-r10 ENUMERATED {true} OPTIONAL,

rn-SubframeConfigReq-r10 ENUMERATED {required, notRequired} OPTIONAL,

nonCriticalExtension RRCConnectionSetupComplete-v1130-IEs OPTIONAL

}

RRCConnectionSetupComplete-v1130-IEs ::= SEQUENCE {

connEstFailInfoAvailable-r11 ENUMERATED {true} OPTIONAL,

nonCriticalExtension RRCConnectionSetupComplete-v1250-IEs OPTIONAL

}

RRCConnectionSetupComplete-v1250-IEs ::= SEQUENCE {

mobilityState-r12 ENUMERATED {normal, medium, high, spare} OPTIONAL,

mobilityHistoryAvail-r12 ENUMERATED {true} OPTIONAL,

logMeasAvailableMBSFN-r12 ENUMERATED {true} OPTIONAL,

nonCriticalExtension RRCConnectionSetupComplete-v1320-IEs OPTIONAL

}

RRCConnectionSetupComplete-v1320-IEs ::= SEQUENCE {

ce-ModeB-r13 ENUMERATED {supported} OPTIONAL,

s-TMSI-r13 S-TMSI OPTIONAL,

attachWithoutPDN-Connectivity-r13 ENUMERATED {true} OPTIONAL,

up-CIoT-EPS-Optimisation-r13 ENUMERATED {true} OPTIONAL,

cp-CIoT-EPS-Optimisation-r13 ENUMERATED {true} OPTIONAL,

nonCriticalExtension RRCConnectionSetupComplete-v1330-IEs OPTIONAL

}

RRCConnectionSetupComplete-v1330-IEs ::= SEQUENCE {

ue-CE-NeedULGaps-r13 ENUMERATED {true} OPTIONAL,

nonCriticalExtension RRCConnectionSetupComplete-v1430-IEs OPTIONAL

}

RRCConnectionSetupComplete-v1430-IEs ::= SEQUENCE {

dcn-ID-r14 INTEGER (0..65535) OPTIONAL,

nonCriticalExtension RRCConnectionSetupComplete-v1530-IEs OPTIONAL

}

RRCConnectionSetupComplete-v1530-IEs ::= SEQUENCE {

logMeasAvailableBT-r15 ENUMERATED {true} OPTIONAL,

logMeasAvailableWLAN-r15 ENUMERATED {true} OPTIONAL,

idleMeasAvailable-r15 ENUMERATED {true} OPTIONAL,

flightPathInfoAvailable-r15 ENUMERATED {true} OPTIONAL,

connectTo5GC-r15 ENUMERATED {true} OPTIONAL,

registeredAMF-r15 RegisteredAMF-r15 OPTIONAL,

s-NSSAI-list-r15 SEQUENCE(SIZE (1..maxNrofS-NSSAI-r15)) OF S-NSSAI-r15 OPTIONAL,

ng-5G-S-TMSI-Bits-r15 CHOICE {

ng-5G-S-TMSI-r15 NG-5G-S-TMSI-r15,

ng-5G-S-TMSI-Part2-r15 BIT STRING (SIZE (8))

} OPTIONAL,

nonCriticalExtension RRCConnectionSetupComplete-v1540-IEs OPTIONAL

}

-- Editors Note: FFS whether to have a separate availability indicator for rel-16 idle/inactive measurements.

RRCConnectionSetupComplete-v1540-IEs ::= SEQUENCE {

gummei-Type-v1540 ENUMERATED {mappedFrom5G-v1540} OPTIONAL,

guami-Type-r15 ENUMERATED {native, mapped} OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

RegisteredMME ::= SEQUENCE {

plmn-Identity PLMN-Identity OPTIONAL,

mmegi BIT STRING (SIZE (16)),

mmec MMEC

}

RegisteredAMF-r15 ::= SEQUENCE {

plmn-Identity-r15 PLMN-Identity OPTIONAL,

amf-Identifier-r15 AMF-Identifier-r15

}

-- ASN1STOP

| *RRCConnectionSetupComplete* field descriptions |
| --- |
| ***attachWithoutPDN-Connectivity***  This field is used to indicate that the UE performs an Attach without PDN connectivity procedure, as indicated by the upper layers and specified in TS 24.301 [35]. |
| ***cp-CIoT-EPS-Optimisation***  This field is included when the UE supports the Control plane CIoT EPS Optimisation, as indicated by the upper layers, see TS 24.301 [35]. |
| ***ce-ModeB***  Indicates whether the UE supports operation in CE mode B, as specified in TS 36.306 [5]. |
| ***connectTo5GC***  This field is not used in the specification. It shall not be sent by the UE. |
| ***dcn-ID***  The Dedicated Core Network Identity, see TS 23.401 [41]. |
| ***guami-Type***  This field is used to indicate whether the GUAMI included is native (derived from native 5G-GUTI) or mapped (from EPS, derived from EPS GUTI) as specified in TS 24.501 [95]. |
| ***gummei-Type***  This field is used to indicate whether the GUMMEI included is native (assigned by EPC) or mapped. The value native indicates the GUMMEI is native, mapped indicates the GUMMEI is mapped from 2G/3G identifiers, and mappedFrom5G indicates the GUMMEI is mapped from 5G identifiers. A UE that sets *gummei-Type-v1540* to mappedFrom5G shall also include *gummei-Type-r10* and set it to native. |
| ***idleMeasAvailable***  Indication that the UE has idle/inactive measurement report available. |
| ***mmegi***  Provides the Group Identity of the registered MME within the PLMN, as provided by upper layers, see TS 23.003 [27]. |
| ***mobilityState***  This field indicates the UE mobility state (as defined in TS 36.304 [4], clause 5.2.4.3) just prior to UE going into RRC\_CONNECTED state. The UE indicates the value of *medium* and *high* when being in Medium-mobility and High-mobility states respectively. Otherwise the UE indicates the value *normal*. |
| ***ng-5G-S-TMSI-Part2*** The leftmost 8 bits of 5G-S-TMSI. |
| ***registeredAMF***  This field is used to transfer the GUAMI of the AMF where the UE is registered, as provided by upper layers, see TS 23.003 [27]. |
| ***registeredMME***  This field is used to transfer the GUMMEI of the MME where the UE is registered, as provided by upper layers. |
| ***rn-SubframeConfigReq***  If present, this field indicates that the connection establishment is for an RN and whether a subframe configuration is requested or not. |
| ***selectedPLMN-Identity***  Index of the PLMN selected by the UE from the *plmn-IdentityList* fields included in SIB1. 1 if the 1st PLMN is selected from the 1st *plmn-IdentityList* included in SIB1, 2 if the 2nd PLMN is selected from the same *plmn-IdentityList*, or when no more PLMN are present within the same *plmn-IdentityList*, then the PLMN listed 1st in the subsequent *plmn-IdentityList* within the same SIB1 and so on. |
| ***s-NSSAI-List***  This field is a list of S-NSSAI as indicated by the upper layers. The UE can report up to eight S-NSSAI per NSSAI, see TS 23.003 [27]. |
| ***ue-CE-NeedULGaps***  Indicates whether the UE needs uplink gaps during continuous uplink transmission in FDD as specified in TS 36.211 [21] and TS 36.306 [5]. |
| ***up-CIoT-EPS-Optimisation***  This field is included when the UE supports the User plane CIoT EPS Optimisation, as indicated by the upper layers, see TS 24.301 [35]. |

*END OF CHANGES*

START OF CHANGES

#### – *UEInformationRequest*

The *UEInformationRequest* is the command used by E-UTRAN to retrieve information from the UE.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E‑UTRAN to UE

*UEInformationRequest message*

-- ASN1START

UEInformationRequest-r9 ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

c1 CHOICE {

ueInformationRequest-r9 UEInformationRequest-r9-IEs,

spare3 NULL, spare2 NULL, spare1 NULL

},

criticalExtensionsFuture SEQUENCE {}

}

}

UEInformationRequest-r9-IEs ::= SEQUENCE {

rach-ReportReq-r9 BOOLEAN,

rlf-ReportReq-r9 BOOLEAN,

nonCriticalExtension UEInformationRequest-v930-IEs OPTIONAL

}

UEInformationRequest-v930-IEs ::= SEQUENCE {

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension UEInformationRequest-v1020-IEs OPTIONAL

}

UEInformationRequest-v1020-IEs ::= SEQUENCE {

logMeasReportReq-r10 ENUMERATED {true} OPTIONAL, -- Need ON

nonCriticalExtension UEInformationRequest-v1130-IEs OPTIONAL

}

UEInformationRequest-v1130-IEs ::= SEQUENCE {

connEstFailReportReq-r11 ENUMERATED {true} OPTIONAL, -- Need ON

nonCriticalExtension UEInformationRequest-v1250-IEs OPTIONAL

}

UEInformationRequest-v1250-IEs ::= SEQUENCE {

mobilityHistoryReportReq-r12 ENUMERATED {true} OPTIONAL, -- Need ON

nonCriticalExtension UEInformationRequest-v1530-IEs OPTIONAL

}

UEInformationRequest-v1530-IEs ::= SEQUENCE {

idleModeMeasurementReq-r15 ENUMERATED {true} OPTIONAL, -- Need ON

flightPathInfoReq-r15 FlightPathInfoReportConfig-r15 OPTIONAL, -- Need ON

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- Editors Note: FFS whether to have a separate rel-16 idle/inactive measurement request or the idleModeMeasurementReq-r15 can be reused for rel-16 as well.

.

-- ASN1STOP

| *UEInformationRequest* field descriptions |
| --- |
| ***rach-ReportReq***  This field is used to indicate whether the UE shall report information about the random access procedure. |

*END OF CHANGES*

START OF CHANGES

#### – *UEInformationResponse*

The *UEInformationResponse* message is used by the UE to transfer the information requested by the E-UTRAN.

Signalling radio bearer: SRB1 or SRB2 (when logged measurement information is included)

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E-UTRAN

*UEInformationResponse message*

-- ASN1START

UEInformationResponse-r9 ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

c1 CHOICE {

ueInformationResponse-r9 UEInformationResponse-r9-IEs,

spare3 NULL, spare2 NULL, spare1 NULL

},

criticalExtensionsFuture SEQUENCE {}

}

}

UEInformationResponse-r9-IEs ::= SEQUENCE {

rach-Report-r9 SEQUENCE {

numberOfPreamblesSent-r9 NumberOfPreamblesSent-r11,

contentionDetected-r9 BOOLEAN

} OPTIONAL,

rlf-Report-r9 RLF-Report-r9 OPTIONAL,

nonCriticalExtension UEInformationResponse-v930-IEs OPTIONAL

}

-- Late non critical extensions

UEInformationResponse-v9e0-IEs ::= SEQUENCE {

rlf-Report-v9e0 RLF-Report-v9e0 OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- Regular non critical extensions

UEInformationResponse-v930-IEs ::= SEQUENCE {

lateNonCriticalExtension OCTET STRING (CONTAINING UEInformationResponse-v9e0-IEs) OPTIONAL,

nonCriticalExtension UEInformationResponse-v1020-IEs OPTIONAL

}

UEInformationResponse-v1020-IEs ::= SEQUENCE {

logMeasReport-r10 LogMeasReport-r10 OPTIONAL,

nonCriticalExtension UEInformationResponse-v1130-IEs OPTIONAL

}

UEInformationResponse-v1130-IEs ::= SEQUENCE {

connEstFailReport-r11 ConnEstFailReport-r11 OPTIONAL,

nonCriticalExtension UEInformationResponse-v1250-IEs OPTIONAL

}

UEInformationResponse-v1250-IEs ::= SEQUENCE {

mobilityHistoryReport-r12 MobilityHistoryReport-r12 OPTIONAL,

nonCriticalExtension UEInformationResponse-v1530-IEs OPTIONAL

}

UEInformationResponse-v1530-IEs ::= SEQUENCE {

measResultListIdle-r15 MeasResultListIdle-r15 OPTIONAL,

flightPathInfoReport-r15 FlightPathInfoReport-r15 OPTIONAL,

nonCriticalExtension UEInformationResponse-v16xy-IEs OPTIONAL

}

UEInformationResponse-v16xy-IEs ::= SEQUENCE {

measResultListIdleNR-r16 MeasResultListIdleNR-r16 OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

RLF-Report-r9 ::= SEQUENCE {

measResultLastServCell-r9 SEQUENCE {

rsrpResult-r9 RSRP-Range,

rsrqResult-r9 RSRQ-Range OPTIONAL

},

measResultNeighCells-r9 SEQUENCE {

measResultListEUTRA-r9 MeasResultList2EUTRA-r9 OPTIONAL,

measResultListUTRA-r9 MeasResultList2UTRA-r9 OPTIONAL,

measResultListGERAN-r9 MeasResultListGERAN OPTIONAL,

measResultsCDMA2000-r9 MeasResultList2CDMA2000-r9 OPTIONAL

} OPTIONAL,

...,

[[ locationInfo-r10 LocationInfo-r10 OPTIONAL,

failedPCellId-r10 CHOICE {

cellGlobalId-r10 CellGlobalIdEUTRA,

pci-arfcn-r10 SEQUENCE {

physCellId-r10 PhysCellId,

carrierFreq-r10 ARFCN-ValueEUTRA

}

} OPTIONAL,

reestablishmentCellId-r10 CellGlobalIdEUTRA OPTIONAL,

timeConnFailure-r10 INTEGER (0..1023) OPTIONAL,

connectionFailureType-r10 ENUMERATED {rlf, hof} OPTIONAL,

previousPCellId-r10 CellGlobalIdEUTRA OPTIONAL

]],

[[ failedPCellId-v1090 SEQUENCE {

carrierFreq-v1090 ARFCN-ValueEUTRA-v9e0

} OPTIONAL

]],

[[ basicFields-r11 SEQUENCE {

c-RNTI-r11 C-RNTI,

rlf-Cause-r11 ENUMERATED {

t310-Expiry, randomAccessProblem,

rlc-MaxNumRetx, t312-Expiry-r12},

timeSinceFailure-r11 TimeSinceFailure-r11

} OPTIONAL,

previousUTRA-CellId-r11 SEQUENCE {

carrierFreq-r11 ARFCN-ValueUTRA,

physCellId-r11 CHOICE {

fdd-r11 PhysCellIdUTRA-FDD,

tdd-r11 PhysCellIdUTRA-TDD

},

cellGlobalId-r11 CellGlobalIdUTRA OPTIONAL

} OPTIONAL,

selectedUTRA-CellId-r11 SEQUENCE {

carrierFreq-r11 ARFCN-ValueUTRA,

physCellId-r11 CHOICE {

fdd-r11 PhysCellIdUTRA-FDD,

tdd-r11 PhysCellIdUTRA-TDD

}

} OPTIONAL

]],

[[ failedPCellId-v1250 SEQUENCE {

tac-FailedPCell-r12 TrackingAreaCode

} OPTIONAL,

measResultLastServCell-v1250 RSRQ-Range-v1250 OPTIONAL,

lastServCellRSRQ-Type-r12 RSRQ-Type-r12 OPTIONAL,

measResultListEUTRA-v1250 MeasResultList2EUTRA-v1250 OPTIONAL

]],

[[ drb-EstablishedWithQCI-1-r13 ENUMERATED {qci1} OPTIONAL

]],

[[ measResultLastServCell-v1360 RSRP-Range-v1360 OPTIONAL

]],

[[ logMeasResultListBT-r15 LogMeasResultListBT-r15 OPTIONAL,

logMeasResultListWLAN-r15 LogMeasResultListWLAN-r15 OPTIONAL

]]

}

RLF-Report-v9e0 ::= SEQUENCE {

measResultListEUTRA-v9e0 MeasResultList2EUTRA-v9e0

}

MeasResultList2EUTRA-r9 ::= SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2EUTRA-r9

MeasResultList2EUTRA-v9e0 ::= SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2EUTRA-v9e0

MeasResultList2EUTRA-v1250 ::= SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2EUTRA-v1250

MeasResult2EUTRA-r9 ::= SEQUENCE {

carrierFreq-r9 ARFCN-ValueEUTRA,

measResultList-r9 MeasResultListEUTRA

}

MeasResult2EUTRA-v9e0 ::= SEQUENCE {

carrierFreq-v9e0 ARFCN-ValueEUTRA-v9e0 OPTIONAL

}

MeasResult2EUTRA-v1250 ::= SEQUENCE {

rsrq-Type-r12 RSRQ-Type-r12 OPTIONAL

}

MeasResultList2UTRA-r9 ::= SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2UTRA-r9

MeasResult2UTRA-r9 ::= SEQUENCE {

carrierFreq-r9 ARFCN-ValueUTRA,

measResultList-r9 MeasResultListUTRA

}

MeasResultList2CDMA2000-r9 ::= SEQUENCE (SIZE (1..maxFreq)) OF MeasResult2CDMA2000-r9

MeasResult2CDMA2000-r9 ::= SEQUENCE {

carrierFreq-r9 CarrierFreqCDMA2000,

measResultList-r9 MeasResultsCDMA2000

}

LogMeasReport-r10 ::= SEQUENCE {

absoluteTimeStamp-r10 AbsoluteTimeInfo-r10,

traceReference-r10 TraceReference-r10,

traceRecordingSessionRef-r10 OCTET STRING (SIZE (2)),

tce-Id-r10 OCTET STRING (SIZE (1)),

logMeasInfoList-r10 LogMeasInfoList-r10,

logMeasAvailable-r10 ENUMERATED {true} OPTIONAL,

...,

[[ logMeasAvailableBT-r15 ENUMERATED {true} OPTIONAL,

logMeasAvailableWLAN-r15 ENUMERATED {true} OPTIONAL

]]

}

LogMeasInfoList-r10 ::= SEQUENCE (SIZE (1..maxLogMeasReport-r10)) OF LogMeasInfo-r10

LogMeasInfo-r10 ::= SEQUENCE {

locationInfo-r10 LocationInfo-r10 OPTIONAL,

relativeTimeStamp-r10 INTEGER (0..7200),

servCellIdentity-r10 CellGlobalIdEUTRA,

measResultServCell-r10 SEQUENCE {

rsrpResult-r10 RSRP-Range,

rsrqResult-r10 RSRQ-Range

},

measResultNeighCells-r10 SEQUENCE {

measResultListEUTRA-r10 MeasResultList2EUTRA-r9 OPTIONAL,

measResultListUTRA-r10 MeasResultList2UTRA-r9 OPTIONAL,

measResultListGERAN-r10 MeasResultList2GERAN-r10 OPTIONAL,

measResultListCDMA2000-r10 MeasResultList2CDMA2000-r9 OPTIONAL

} OPTIONAL,

...,

[[ measResultListEUTRA-v1090 MeasResultList2EUTRA-v9e0 OPTIONAL

]],

[[ measResultListMBSFN-r12 MeasResultListMBSFN-r12 OPTIONAL,

measResultServCell-v1250 RSRQ-Range-v1250 OPTIONAL,

servCellRSRQ-Type-r12 RSRQ-Type-r12 OPTIONAL,

measResultListEUTRA-v1250 MeasResultList2EUTRA-v1250 OPTIONAL

]],

[[ inDeviceCoexDetected-r13 ENUMERATED {true} OPTIONAL

]],

[[ measResultServCell-v1360 RSRP-Range-v1360 OPTIONAL

]],

[[ logMeasResultListBT-r15 LogMeasResultListBT-r15 OPTIONAL,

logMeasResultListWLAN-r15 LogMeasResultListWLAN-r15 OPTIONAL

]],

[[ anyCellSelectionDetected-r15 ENUMERATED {true} OPTIONAL

]]

}

MeasResultListMBSFN-r12 ::= SEQUENCE (SIZE (1..maxMBSFN-Area)) OF MeasResultMBSFN-r12

MeasResultMBSFN-r12 ::= SEQUENCE {

mbsfn-Area-r12 SEQUENCE {

mbsfn-AreaId-r12 MBSFN-AreaId-r12,

carrierFreq-r12 ARFCN-ValueEUTRA-r9

},

rsrpResultMBSFN-r12 RSRP-Range,

rsrqResultMBSFN-r12 MBSFN-RSRQ-Range-r12,

signallingBLER-Result-r12 BLER-Result-r12 OPTIONAL,

dataBLER-MCH-ResultList-r12 DataBLER-MCH-ResultList-r12 OPTIONAL,

...

}

DataBLER-MCH-ResultList-r12 ::= SEQUENCE (SIZE (1.. maxPMCH-PerMBSFN)) OF DataBLER-MCH-Result-r12

DataBLER-MCH-Result-r12 ::= SEQUENCE {

mch-Index-r12 INTEGER (1..maxPMCH-PerMBSFN),

dataBLER-Result-r12 BLER-Result-r12

}

BLER-Result-r12 ::= SEQUENCE {

bler-r12 BLER-Range-r12,

blocksReceived-r12 SEQUENCE {

n-r12 BIT STRING (SIZE (3)),

m-r12 BIT STRING (SIZE (8))

}

}

BLER-Range-r12 ::= INTEGER(0..31)

MeasResultList2GERAN-r10 ::= SEQUENCE (SIZE (1..maxCellListGERAN)) OF MeasResultListGERAN

ConnEstFailReport-r11 ::= SEQUENCE {

failedCellId-r11 CellGlobalIdEUTRA,

locationInfo-r11 LocationInfo-r10 OPTIONAL,

measResultFailedCell-r11 SEQUENCE {

rsrpResult-r11 RSRP-Range,

rsrqResult-r11 RSRQ-Range OPTIONAL

},

measResultNeighCells-r11 SEQUENCE {

measResultListEUTRA-r11 MeasResultList2EUTRA-r9 OPTIONAL,

measResultListUTRA-r11 MeasResultList2UTRA-r9 OPTIONAL,

measResultListGERAN-r11 MeasResultListGERAN OPTIONAL,

measResultsCDMA2000-r11 MeasResultList2CDMA2000-r9 OPTIONAL

} OPTIONAL,

numberOfPreamblesSent-r11 NumberOfPreamblesSent-r11,

contentionDetected-r11 BOOLEAN,

maxTxPowerReached-r11 BOOLEAN,

timeSinceFailure-r11 TimeSinceFailure-r11,

measResultListEUTRA-v1130 MeasResultList2EUTRA-v9e0 OPTIONAL,

...,

[[ measResultFailedCell-v1250 RSRQ-Range-v1250 OPTIONAL,

failedCellRSRQ-Type-r12 RSRQ-Type-r12 OPTIONAL,

measResultListEUTRA-v1250 MeasResultList2EUTRA-v1250 OPTIONAL

]],

[[ measResultFailedCell-v1360 RSRP-Range-v1360 OPTIONAL

]],

[[ logMeasResultListBT-r15 LogMeasResultListBT-r15 OPTIONAL,

logMeasResultListWLAN-r15 LogMeasResultListWLAN-r15 OPTIONAL

]]

}

NumberOfPreamblesSent-r11::= INTEGER (1..200)

TimeSinceFailure-r11 ::= INTEGER (0..172800)

MobilityHistoryReport-r12 ::= VisitedCellInfoList-r12

FlightPathInfoReport-r15 ::= SEQUENCE {

flightPath-r15 SEQUENCE (SIZE (1..maxWayPoint-r15)) OF WayPointLocation-r15 OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

WayPointLocation-r15 ::= SEQUENCE {

wayPointLocation-r15 LocationInfo-r10,

timeStamp-r15 AbsoluteTimeInfo-r10 OPTIONAL

}

-- ASN1STOP

| *UEInformationResponse* field descriptions |
| --- |
| ***absoluteTimeStamp***  Indicates the absolute time when the logged measurement configuration logging is provided, as indicated by E-UTRAN within *absoluteTimeInfo*. |
| ***anyCellSelectionDetected***  This field is used to indicate the detection of *any cell selection* state, as defined in TS 36.304 [4]. The UE sets this field when performing the logging of measurement results in RRC\_IDLE and there is no suitable cell or no acceptable cell. |
| ***bler***  Indicates the measured BLER value. The coding of BLER value is defined in TS 36.133 [16]. |
| ***blocksReceived***  Indicates total number of MCH blocks, which were received by the UE and used for the corresponding BLER calculation, within the measurement period as defined in TS 36.133 [16]. |
| ***carrierFreq***  In case the UE includes *carrierFreq-v9e0* and/ or *carrierFreq-v1090*, the UE shall set the corresponding entry of *carrierFreq-r9* and/ or *carrierFreq-r10* respectively to *maxEARFCN*. For E-UTRA and UTRA frequencies, the UE sets the ARFCN according to the band used when obtaining the concerned measurement results. |
| ***connectionFailureType***  This field is used to indicate whether the connection failure is due to radio link failure or handover failure. |
| ***contentionDetected***  This field is used to indicate that contention was detected for at least one of the transmitted preambles, see TS 36.321 [6]. |
| ***c-RNTI***  This field indicates the C-RNTI used in the PCell upon detecting radio link failure or the C-RNTI used in the source PCell upon handover failure. |
| ***dataBLER-MCH-ResultList***  Includes a BLER result per MCH on subframes using *dataMCS*, with the applicable MCH(s) listed in the same order as in *pmch-InfoList* within *MBSFNAreaConfiguration*. |
| ***drb-EstablishedWithQCI-1***  This field is used to indicate the radio link failure occurred while a bearer with QCI value equal to 1 was configured, see TS 24.301 [35]. |
| ***failedCellId***  This field is used to indicate the cell in which connection establishment failed. |
| ***failedPCellId***  This field is used to indicate the PCell in which RLF is detected or the target PCell of the failed handover. The UE sets the EARFCN according to the band used for transmission/ reception when the failure occurred. |
| ***inDeviceCoexDetected***  Indicates that measurement logging is suspended due to IDC problem detection. |
| ***logMeasResultListBT***  This field refers to the Bluetooth measurement results. |
| ***logMeasResultListWLAN***  This field refers to the WLAN measurement results. |
| ***maxTxPowerReached***  This field is used to indicate whether or not the maximum power level was used for the last transmitted preamble, see TS 36.321 [6]. |
| ***mch-Index***  Indicates the MCH by referring to the entry as listed in *pmch-InfoList* within *MBSFNAreaConfiguration*. |
| ***measResultFailedCell***  This field refers to the last measurement results taken in the cell, where connection establishment failure happened. For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, *measResultFailedCell-v1360* is reported if the measured RSRP is less than -140 dBm. |
| ***measResultLastServCell***  This field refers to the last measurement results taken in the PCell, where radio link failure or handover failure happened. For BL UEs or UEs in CE, when operating in CE Mode B, *measResultLastServCell-v1360* is reported if the measured RSRP is less than -140 dBm. |
| ***measResultListEUTRA***  If *measResultListEUTRA-v9e0*, *measResultListEUTRA-v1090* or *measResultListEUTRA-v1130* is included, the UE shall include the same number of entries, and listed in the same order, as in *measResultListEUTRA-r9*, *measResultListEUTRA-r10* and/ or *measResultListEUTRA-r11* respectively. |
| ***measResultListEUTRA-v1250***  If included in *RLF-Report-r9* the UE shall include the same number of entries, and listed in the same order, as in *measResultListEUTRA-r9*;  If included in *LogMeasInfo-r10* the UE shall include the same number of entries, and listed in the same order, as in *measResultListEUTRA-r10*;  If included in *ConnEstFailReport-r11* the UE shall include the same number of entries, and listed in the same order, as in *measResultListEUTRA-r11*; |
| ***measResultListIdle***  This field indicates the E-UTRA measurement results done during RRC\_IDLE and RRC\_INACTIVE at network request. |
| ***measResultIdleListNR***  This field indicates the NR measurement results done during RRC\_IDLE and RRC\_INACTIVE at network request. |
| ***measResultServCell***  This field refers to the log measurement results taken in the Serving cell. For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, *measResultServCell-v1360* is reported if the measured RSRP is less than -140 dBm. |
| ***mobilityHistoryReport***  This field is used to indicate the time of stay in 16 most recently visited E-UTRA cells or of stay out of E-UTRA. |
| ***numberOfPreamblesSent***  This field is used to indicate the number of RACH preambles that were transmitted. Corresponds to parameter PREAMBLE\_TRANSMISSION\_COUNTER in TS 36.321 [6]. |
| ***previousPCellId***  This field is used to indicate the source PCell of the last handover (source PCell when the last *RRC-Connection-Reconfiguration* message including *mobilityControlInfo*was received). |
| ***previousUTRA-CellId***  This field is used to indicate the source UTRA cell of the last successful handover to E-UTRAN, when RLF occurred at the target PCell. The UE sets the ARFCN according to the band used for transmission/ reception on the concerned cell. |
| ***reestablishmentCellId***  This field is used to indicate the cell in which the re-establishment attempt was made after connection failure. |
| ***relativeTimeStamp***  Indicates the time of logging measurement results, measured relative to the *absoluteTimeStamp*. Value in seconds. |
| ***rlf-Cause***  This field is used to indicate the cause of the last radio link failure that was detected. In case of handover failure information reporting (i.e., the *connectionFailureType* is set to '*hof*'), the UE is allowed to set this field to any value. |
| ***selectedUTRA-CellId***  This field is used to indicate the UTRA cell that the UE selects after RLF is detected, while T311 is running. The UE sets the ARFCN according to the band selected for transmission/ reception on the concerned cell. |
| ***signallingBLER-Result***  Includes a BLER result of MBSFN subframes using *signallingMCS*. |
| ***tac-FailedPCell***  This field is used to indicate the Tracking Area Code of the PCell in which RLF is detected. |
| ***tce-Id***  Parameter Trace Collection Entity Id: See TS 32.422 [58]. |
| ***timeConnFailure***  This field is used to indicate the time elapsed since the last HO initialization until connection failure. Actual value = field value \* 100ms. The maximum value 1023 means 102.3s or longer. |
| ***timeSinceFailure***  This field is used to indicate the time that elapsed since the connection (establishment) failure. Value in seconds. The maximum value 172800 means 172800s or longer. |
| ***timeStamp***  Includes time stamps for the waypoints that describe planned locations for the UE. |
| ***traceRecordingSessionRef***  Parameter Trace Recording Session Reference: See TS 32.422 [58]. |
| ***wayPointLocation***  Includes location coordinates for a UE for Aerial UE operation. The waypoints describe planned locations for the UE. |

*END OF CHANGES*

START OF CHANGES

## 6.3 RRC information elements

### 6.3.1 System information blocks

#### – *SystemInformationBlockType2*

The IE *SystemInformationBlockType2* contains radio resource configuration information that is common for all UEs.

NOTE: UE timers and constants related to functionality for which parameters are provided in another SIB are included in the corresponding SIB.

*SystemInformationBlockType2* information element

-- ASN1START

SystemInformationBlockType2 ::= SEQUENCE {

ac-BarringInfo SEQUENCE {

ac-BarringForEmergency BOOLEAN,

ac-BarringForMO-Signalling AC-BarringConfig OPTIONAL, -- Need OP

ac-BarringForMO-Data AC-BarringConfig OPTIONAL -- Need OP

} OPTIONAL, -- Need OP

radioResourceConfigCommon RadioResourceConfigCommonSIB,

ue-TimersAndConstants UE-TimersAndConstants,

freqInfo SEQUENCE {

ul-CarrierFreq ARFCN-ValueEUTRA OPTIONAL, -- Need OP

ul-Bandwidth ENUMERATED {n6, n15, n25, n50, n75, n100}

OPTIONAL, -- Need OP

additionalSpectrumEmission AdditionalSpectrumEmission

},

mbsfn-SubframeConfigList MBSFN-SubframeConfigList OPTIONAL, -- Need OR

timeAlignmentTimerCommon TimeAlignmentTimer,

...,

lateNonCriticalExtension OCTET STRING (CONTAINING SystemInformationBlockType2-v8h0-IEs) OPTIONAL,

[[ ssac-BarringForMMTEL-Voice-r9 AC-BarringConfig OPTIONAL, -- Need OP

ssac-BarringForMMTEL-Video-r9 AC-BarringConfig OPTIONAL -- Need OP

]],

[[ ac-BarringForCSFB-r10 AC-BarringConfig OPTIONAL -- Need OP

]],

[[ ac-BarringSkipForMMTELVoice-r12 ENUMERATED {true} OPTIONAL, -- Need OP

ac-BarringSkipForMMTELVideo-r12 ENUMERATED {true} OPTIONAL, -- Need OP

ac-BarringSkipForSMS-r12 ENUMERATED {true} OPTIONAL, -- Need OP

ac-BarringPerPLMN-List-r12 AC-BarringPerPLMN-List-r12 OPTIONAL -- Need OP

]],

[[ voiceServiceCauseIndication-r12 ENUMERATED {true} OPTIONAL -- Need OP

]],

[[ acdc-BarringForCommon-r13 ACDC-BarringForCommon-r13 OPTIONAL, -- Need OP

acdc-BarringPerPLMN-List-r13 ACDC-BarringPerPLMN-List-r13 OPTIONAL -- Need OP

]],

[[

udt-RestrictingForCommon-r13 UDT-Restricting-r13 OPTIONAL, -- Need OR

udt-RestrictingPerPLMN-List-r13 UDT-RestrictingPerPLMN-List-r13 OPTIONAL, -- Need OR

cIoT-EPS-OptimisationInfo-r13 CIOT-EPS-OptimisationInfo-r13 OPTIONAL, -- Need OP

useFullResumeID-r13 ENUMERATED {true} OPTIONAL -- Need OP

]],

[[ unicastFreqHoppingInd-r13 ENUMERATED {true} OPTIONAL -- Need OP

]],

[[ mbsfn-SubframeConfigList-v1430 MBSFN-SubframeConfigList-v1430 OPTIONAL, -- Need OP

videoServiceCauseIndication-r14 ENUMERATED {true} OPTIONAL -- Need OP

]],

[[ plmn-InfoList-r15 PLMN-InfoList-r15 OPTIONAL -- Need OP

]],

[[ cp-EDT-r15 ENUMERATED {true} OPTIONAL, -- Need OR

up-EDT-r15 ENUMERATED {true} OPTIONAL, -- Need OR

idleModeMeasurements-r15 ENUMERATED {true} OPTIONAL, -- Need OR

reducedCP-LatencyEnabled-r15 ENUMERATED {true} OPTIONAL -- Need OR

]],

[[ mbms-ROM-ServiceIndication-r15 ENUMERATED {true} OPTIONAL -- Need OR

]]

}

SystemInformationBlockType2-v8h0-IEs ::= SEQUENCE {

multiBandInfoList SEQUENCE (SIZE (1..maxMultiBands)) OF AdditionalSpectrumEmission OPTIONAL, -- Need OR

nonCriticalExtension SystemInformationBlockType2-v9e0-IEs OPTIONAL

}

SystemInformationBlockType2-v9e0-IEs ::= SEQUENCE {

ul-CarrierFreq-v9e0 ARFCN-ValueEUTRA-v9e0 OPTIONAL, -- Cond ul-FreqMax

nonCriticalExtension SystemInformationBlockType2-v9i0-IEs OPTIONAL

}

SystemInformationBlockType2-v9i0-IEs ::= SEQUENCE {

-- Following field is for any non-critical extensions from REL-9

nonCriticalExtension OCTET STRING (CONTAINING SystemInformationBlockType2-v10m0-IEs) OPTIONAL,

dummy SEQUENCE {} OPTIONAL

}

SystemInformationBlockType2-v10m0-IEs ::= SEQUENCE {

freqInfo-v10l0 SEQUENCE {

additionalSpectrumEmission-v10l0 AdditionalSpectrumEmission-v10l0

} OPTIONAL,

multiBandInfoList-v10l0 SEQUENCE (SIZE (1..maxMultiBands)) OF

AdditionalSpectrumEmission-v10l0 OPTIONAL,

nonCriticalExtension SystemInformationBlockType2-v10n0-IEs OPTIONAL

}

SystemInformationBlockType2-v10n0-IEs ::= SEQUENCE {

-- Following field is for non-critical extensions up-to REL-12

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension SystemInformationBlockType2-v13c0-IEs OPTIONAL

}

SystemInformationBlockType2-v13c0-IEs ::= SEQUENCE {

uplinkPowerControlCommon-v13c0 UplinkPowerControlCommon-v1310 OPTIONAL, -- Need OR

-- Following field is for non-critical extensions from REL-13

nonCriticalExtension SystemInformationBlockType2-v16xy-IEs OPTIONAL

}

SystemInformationBlockType2-v16xy-IEs ::= SEQUENCE {

idleModeMeasurements-r16 TypeFFS OPTIONAL, -- Need OR

nonCriticalExtension SEQUENCE {} OPTIONAL

}

AC-BarringConfig ::= SEQUENCE {

ac-BarringFactor ENUMERATED {

p00, p05, p10, p15, p20, p25, p30, p40,

p50, p60, p70, p75, p80, p85, p90, p95},

ac-BarringTime ENUMERATED {s4, s8, s16, s32, s64, s128, s256, s512},

ac-BarringForSpecialAC BIT STRING (SIZE(5))

}

MBSFN-SubframeConfigList ::= SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-SubframeConfig

MBSFN-SubframeConfigList-v1430 ::= SEQUENCE (SIZE (1..maxMBSFN-Allocations)) OF MBSFN-SubframeConfig-v1430

AC-BarringPerPLMN-List-r12 ::= SEQUENCE (SIZE (1.. maxPLMN-r11)) OF AC-BarringPerPLMN-r12

AC-BarringPerPLMN-r12 ::= SEQUENCE {

plmn-IdentityIndex-r12 INTEGER (1..maxPLMN-r11),

ac-BarringInfo-r12 SEQUENCE {

ac-BarringForEmergency-r12 BOOLEAN,

ac-BarringForMO-Signalling-r12 AC-BarringConfig OPTIONAL, -- Need OP

ac-BarringForMO-Data-r12 AC-BarringConfig OPTIONAL -- Need OP

} OPTIONAL, -- Need OP

ac-BarringSkipForMMTELVoice-r12 ENUMERATED {true} OPTIONAL, -- Need OP

ac-BarringSkipForMMTELVideo-r12 ENUMERATED {true} OPTIONAL, -- Need OP

ac-BarringSkipForSMS-r12 ENUMERATED {true} OPTIONAL, -- Need OP

ac-BarringForCSFB-r12 AC-BarringConfig OPTIONAL, -- Need OP

ssac-BarringForMMTEL-Voice-r12 AC-BarringConfig OPTIONAL, -- Need OP

ssac-BarringForMMTEL-Video-r12 AC-BarringConfig OPTIONAL -- Need OP

}

ACDC-BarringForCommon-r13 ::= SEQUENCE {

acdc-HPLMNonly-r13 BOOLEAN,

barringPerACDC-CategoryList-r13 BarringPerACDC-CategoryList-r13

}

ACDC-BarringPerPLMN-List-r13 ::= SEQUENCE (SIZE (1.. maxPLMN-r11)) OF ACDC-BarringPerPLMN-r13

ACDC-BarringPerPLMN-r13 ::= SEQUENCE {

plmn-IdentityIndex-r13 INTEGER (1..maxPLMN-r11),

acdc-OnlyForHPLMN-r13 BOOLEAN,

barringPerACDC-CategoryList-r13 BarringPerACDC-CategoryList-r13

}

BarringPerACDC-CategoryList-r13 ::= SEQUENCE (SIZE (1..maxACDC-Cat-r13)) OF BarringPerACDC-Category-r13

BarringPerACDC-Category-r13 ::= SEQUENCE {

acdc-Category-r13 INTEGER (1..maxACDC-Cat-r13),

acdc-BarringConfig-r13 SEQUENCE {

ac-BarringFactor-r13 ENUMERATED {

p00, p05, p10, p15, p20, p25, p30, p40,

p50, p60, p70, p75, p80, p85, p90, p95},

ac-BarringTime-r13 ENUMERATED {s4, s8, s16, s32, s64, s128, s256, s512}

} OPTIONAL -- Need OP

}

UDT-Restricting-r13 ::= SEQUENCE {

udt-Restricting-r13 ENUMERATED {true} OPTIONAL, --Need OR

udt-RestrictingTime-r13 ENUMERATED {s4, s8, s16, s32, s64, s128, s256, s512} OPTIONAL --Need OR

}

UDT-RestrictingPerPLMN-List-r13 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF UDT-RestrictingPerPLMN-r13

UDT-RestrictingPerPLMN-r13 ::= SEQUENCE {

plmn-IdentityIndex-r13 INTEGER (1..maxPLMN-r11),

udt-Restricting-r13 UDT-Restricting-r13 OPTIONAL --Need OR

}

CIOT-EPS-OptimisationInfo-r13 ::= SEQUENCE (SIZE (1.. maxPLMN-r11)) OF CIOT-OptimisationPLMN-r13

CIOT-OptimisationPLMN-r13::= SEQUENCE {

up-CIoT-EPS-Optimisation-r13 ENUMERATED {true} OPTIONAL, -- Need OP

cp-CIoT-EPS-Optimisation-r13 ENUMERATED {true} OPTIONAL, -- Need OP

attachWithoutPDN-Connectivity-r13 ENUMERATED {true} OPTIONAL -- Need OP

}

PLMN-InfoList-r15 ::= SEQUENCE (SIZE (1..maxPLMN-r11)) OF PLMN-Info-r15

PLMN-Info-r15 ::= SEQUENCE {

upperLayerIndication-r15 ENUMERATED {true} OPTIONAL -- Need OR

}

-- ASN1STOP

| *SystemInformationBlockType2* field descriptions |
| --- |
| ***ac-BarringFactor***  If the random number drawn by the UE is lower than this value, access is allowed. Otherwise the access is barred. The values are interpreted in the range [0,1): p00 = 0, p05 = 0.05, p10 = 0.10,…, p95 = 0.95. Values other than p00 can only be set if all bits of the corresponding *ac-BarringForSpecialAC* are set to 0. |
| ***ac-BarringForCSFB***  Access class barring for mobile originating CS fallback. |
| ***ac-BarringForEmergency***  Access class barring for AC 10. |
| ***ac-BarringForMO-Data***  Access class barring for mobile originating calls. |
| ***ac-BarringForMO-Signalling***  Access class barring formobile originating signalling. |
| ***ac-BarringForSpecialAC***  Access class barring for AC 11-15. The first/ leftmost bit is for AC 11, the second bit is for AC 12, and so on. |
| ***ac-BarringTime***  Mean access barring time value in seconds. |
| ***acdc-BarringConfig***  Barring configuration for an ACDC category. If the field is absent, access to the cell is considered as not barred for the ACDC category in accordance with clause 5.3.3.13. |
| ***acdc-Category***  Indicates the ACDC category as defined in TS 24.105 [72]. |
| ***acdc-OnlyForHPLMN***  Indicates whether ACDC is applicable for UEs not in their HPLMN for the corresponding PLMN. *TRUE* indicates that ACDC is applicable only for UEs in their HPLMN for the corresponding PLMN. *FALSE* indicates that ACDC is applicable for both UEs in their HPLMN and UEs not in their HPLMN for the corresponding PLMN. |
| ***additionalSpectrumEmission***  The UE requirements related to IE *AdditionalSpectrumEmission* are defined in TS 36.101 [42], table 6.2.4-1, for UEs neither in CE nor BL UEs and TS 36.101 [42], table 6.2.4E-1, for UEs in CE or BL UEs. NOTE 1. |
| ***attachWithoutPDN-Connectivity***  If present, the field indicates that attach without PDN connectivity as specified in TS 24.301 [35] is supported for this PLMN. |
| ***barringPerACDC-CategoryList***  A list of barring information per ACDC category according to the order defined in TS 22.011 [10]. The first entry in the list corresponds to the highest ACDC category of which applications are the least restricted in access attempts at a cell, the second entry in the list corresponds to the ACDC category of which applications are restricted more than applications of the highest ACDC category in access attempts at a cell, and so on. The last entry in the list corresponds to the lowest ACDC category of which applications are the most restricted in access attempts at a cell. |
| ***cIoT-EPS-OptimisationInfo***  A list of CIoT EPS related parameters. Value 1 indicates parameters for the PLMN listed 1st in the 1st *plmn-IdentityList* included in SIB1. Value 2 indicates parameters for the PLMN listed 2nd in the same *plmn-IdentityList,* or when no more PLMN are present within the same *plmn-IdentityList,* then the value indicates paramters for PLMN listed 1st in the subsequent *plmn-IdentityList* within the same SIB1 and so on.NOTE 1. |
| ***cp-CIoT-EPS-Optimisation***  This field indicates if the UE is allowed to establish the connection with Control plane CIoT EPS Optimisation, see TS 24.301 [35]. |
| ***cp-EDT***  This field indicates whether the UE is allowed to initiate CP-EDT, see 5.3.3.1b. |
| ***dummy***  This field is not used in the specification. If received it shall be ignored by the UE. |
| ***idleModeMeasurements***  This field indicates that the eNB can process indication of idle/inactive measurements from UE. |
| ***mbsfn-SubframeConfigList***  Defines the subframes that are reserved for MBSFN in downlink.  NOTE 1. If the cell is a FeMBMS/Unicast mixed cell, EUTRAN includes *mbsfn-SubframeConfigList-v1430*. If a FeMBMS/Unicast mixed cell does not use sub-frames #4 or #9 as MBSFN sub-frames, *mbsfn-SubframeConfigList-v1430* is still included and indicates all sub-frames as non-MBSFN sub-frames. |
| ***multiBandInfoList***  A list of *AdditionalSpectrumEmission* i.e. one for each additional frequency band included in *multiBandInfoList* in *SystemInformationBlockType1,* listed in the same order. If E-UTRAN includes *multiBandInfoList-v10l0* it includes the same number of entries, and listed in the same order, as in *multiBandInfoList*. |
| ***plmn-IdentityIndex***  Index of the PLMN across the *plmn-IdentityList* fields included in SIB1. Value 1 indicates the PLMN listed 1st in the 1st *plmn-IdentityList* included in SIB1. Value 2 indicates the PLMN listed 2nd in the same *plmn-IdentityList*, or when no more PLMN are present within the same *plmn-IdentityList*, then the PLMN listed 1st in the subsequent *plmn-IdentityList* within the same SIB1 and so on.NOTE 1. |
| ***plmn-InfoList***  If E-UTRAN includes this field, it includes the same number of entries, and listed in the same order as PLMNs across the plmn-IdentityList fields included in SIB1. I.e. the first entry corresponds to the first entry of the combined list that results from concatenating the entries included in the second to the original plmn-IdentityList field. |
| ***reducedCP-LatencyEnabled***  If present, reduced control plane latency is enabled. UEs supporting reduced CP latency transmit Msg3 according to timing as specified in TS 36.213 [23] when transmitting *RRCConnectionResumeRequest* in Msg3. | |
| ***mbms-ROM-ServiceIndication***  This field indicates whether the UE is allowed to send*MBMSInterestIndication* message for the purpose of indicating receive only mode MBMS service parameters. | |
| ***ssac-BarringForMMTEL-Video***  Service specific access class barring for MMTEL video originating calls. |
| ***ssac-BarringForMMTEL-Voice***  Service specific access class barring for MMTEL voice originating calls. |
| ***udt-Restricting***  Value TRUE indicates that the UE should indicate to the higher layers to restrict unattended data traffic TS 22.101 [77] irrespective of the UE being in RRC\_IDLE or RRC\_CONNECTED. The UE shall not indicate to the higher layers if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11]. |
| ***udt-RestrictingTime***  If present and when the *udt-Restricting* changes from TRUE, the UE runs a timer for a period equal to rand \* *udt-RestrictingTime*, where rand is a random number drawn that is uniformly distributed in the range 0 ≤ rand < 1 value in seconds. The timer stops if *udt-Restricting* changes to TRUE. Upon timer expiry, the UE indicates to the higher layers that the restriction is alleviated. |
| ***unicastFreqHoppingInd***  This field indicates if the UE is allowed to indicate support of frequency hopping for unicast MPDCCH/PDSCH/PUSCH as described in TS 36.321 [6]. This field is included only in the BR version of SI message carrying *SystemInformationBlockType2.* |
| ***ul-Bandwidth***  Parameter: transmission bandwidth configuration, NRB, in uplink, see TS 36.101 [42], table 5.6-1. Value n6 corresponds to 6 resource blocks, n15 to 15 resource blocks and so on. If for FDD this parameter is absent, the uplink bandwidth is equal to the downlink bandwidth. For TDD this parameter is absent and it is equal to the downlink bandwidth. NOTE 1. |
| ***ul-CarrierFreq***  For FDD: If absent, the (default) value determined from the default TX-RX frequency separation defined in TS 36.101 [42], table 5.7.3-1, applies.  For TDD: This parameter is absent and it is equal to the downlink frequency. NOTE 1. |
| ***up-CIoT-EPS-Optimisation***  This field indicates if the UE is allowed to resume the connection with User plane CIoT EPS Optimisation, see TS 24.301 [35]. |
| ***up-EDT***  This field indicates whether the UE is allowed to initiate UP-EDT, see 5.3.3.1b. |
| ***upperLayerIndication***  Indication to be provided to upper layers. |
| ***useFullResumeID***  This field indicates if the UE indicates full resume ID of 40 bits in *RRCConnectionResumeRequest*. |
| ***videoServiceCauseIndication***  Indicates whether the UE is requested to use the establishment cause *mo-VoiceCall* for mobile originating MMTEL video calls. |
| ***voiceServiceCauseIndication***  Indicates whether UE is requested to use the establishment cause *mo-VoiceCall* for mobile originating MMTEL voice calls. |

| Conditional presence | Explanation |
| --- | --- |
| *ul-FreqMax* | The field is mandatory present if *ul-CarrierFreq* (i.e. without suffix) is present and set to *maxEARFCN*. Otherwise the field is not present. |

NOTE 1: E-UTRAN sets this field to the same value for all instances of SI message that are broadcasted within the same cell.

*END OF CHANGES*

START OF CHANGES

### 6.3.2 Radio resource control information elements

#### – *RadioResourceConfigDedicated*

The IE *RadioResourceConfigDedicated* is used to setup/modify/release RBs, to modify the MAC main configuration, to modify the SPS configuration and to modify dedicated physical configuration.

*RadioResourceConfigDedicated* information element

-- ASN1START

RadioResourceConfigDedicated ::= SEQUENCE {

srb-ToAddModList SRB-ToAddModList OPTIONAL, -- Cond HO-Conn

drb-ToAddModList DRB-ToAddModList OPTIONAL, -- Cond HO-toEUTRA

drb-ToReleaseList DRB-ToReleaseList OPTIONAL, -- Need ON

mac-MainConfig CHOICE {

explicitValue MAC-MainConfig,

defaultValue NULL

} OPTIONAL, -- Cond HO-toEUTRA2

sps-Config SPS-Config OPTIONAL, -- Need ON

physicalConfigDedicated PhysicalConfigDedicated OPTIONAL, -- Need ON

...,

[[ rlf-TimersAndConstants-r9 RLF-TimersAndConstants-r9 OPTIONAL -- Need ON

]],

[[ measSubframePatternPCell-r10 MeasSubframePatternPCell-r10 OPTIONAL -- Need ON

]],

[[ neighCellsCRS-Info-r11 NeighCellsCRS-Info-r11 OPTIONAL -- Need ON

]],

[[ naics-Info-r12 NAICS-AssistanceInfo-r12 OPTIONAL -- Need ON

]],

[[ neighCellsCRS-Info-r13 NeighCellsCRS-Info-r13 OPTIONAL, -- Cond CRSIM

rlf-TimersAndConstants-r13 RLF-TimersAndConstants-r13 OPTIONAL -- Need ON

]],

[[ sps-Config-v1430 SPS-Config-v1430 OPTIONAL -- Cond SPS

]],

[[ srb-ToAddModListExt-r15 SRB-ToAddModListExt-r15 OPTIONAL, -- Need ON

srb-ToReleaseListExt-r15 INTEGER (4) OPTIONAL, -- Need ON

sps-Config-v1530 SPS-Config-v1530 OPTIONAL, -- Need ON

crs-IntfMitigConfig-r15 CHOICE {

release NULL,

setup CHOICE { crs-IntfMitigEnabled-15 NULL,

crs-IntfMitigNumPRBs-r15 ENUMERATED {n6, n24}

}

} OPTIONAL, -- Need ON

neighCellsCRS-Info-r15 NeighCellsCRS-Info-r15 OPTIONAL, -- Need ON

drb-ToAddModList-r15 DRB-ToAddModList-r15 OPTIONAL, -- Need ON

drb-ToReleaseList-r15 DRB-ToReleaseList-r15 OPTIONAL, -- Need ON

dummy SEQUENCE (SIZE (1..2)) OF INTEGER (1..2) OPTIONAL -- Need ON

]],

[[ sps-Config-v1540 SPS-Config-v1540 OPTIONAL -- Need ON

]] ,

[[ rlf-TimersAndConstantsMCG-Failure-r16 RLF-TimersAndConstantsMCG-Failure-r16 OPTIONAL -- Cond Split-SRB1-SRB3

]]

}

RadioResourceConfigDedicated-v1370 ::= SEQUENCE {

physicalConfigDedicated-v1370 PhysicalConfigDedicated-v1370 OPTIONAL -- Need ON

}

RadioResourceConfigDedicated-v13c0 ::= SEQUENCE {

physicalConfigDedicated-v13c0 PhysicalConfigDedicated-v13c0

}

RadioResourceConfigDedicatedPSCell-r12 ::= SEQUENCE {

-- UE specific configuration extensions applicable for an PSCell

physicalConfigDedicatedPSCell-r12 PhysicalConfigDedicated OPTIONAL, -- Need ON

sps-Config-r12 SPS-Config OPTIONAL, -- Need ON

naics-Info-r12 NAICS-AssistanceInfo-r12 OPTIONAL, -- Need ON

...,

[[ neighCellsCRS-InfoPSCell-r13 NeighCellsCRS-Info-r13 OPTIONAL -- Need ON

]],

[[ sps-Config-v1430 SPS-Config-v1430 OPTIONAL -- Cond SPS2

]],

[[ sps-Config-v1530 SPS-Config-v1530 OPTIONAL, -- Need ON

crs-IntfMitigEnabled-r15 BOOLEAN OPTIONAL, -- Need ON

neighCellsCRS-Info-r15 NeighCellsCRS-Info-r15 OPTIONAL -- Need ON

]],

[[ sps-Config-v1540 SPS-Config-v1540 OPTIONAL -- Need ON

]]

}

RadioResourceConfigDedicatedPSCell-v1370 ::= SEQUENCE {

physicalConfigDedicatedPSCell-v1370 PhysicalConfigDedicated-v1370 OPTIONAL -- Need ON

}

RadioResourceConfigDedicatedPSCell-v13c0 ::= SEQUENCE {

physicalConfigDedicatedPSCell-v13c0 PhysicalConfigDedicated-v13c0

}

RadioResourceConfigDedicatedSCG-r12 ::= SEQUENCE {

drb-ToAddModListSCG-r12 DRB-ToAddModListSCG-r12 OPTIONAL, -- Need ON

mac-MainConfigSCG-r12 MAC-MainConfig OPTIONAL, -- Need ON

rlf-TimersAndConstantsSCG-r12 RLF-TimersAndConstantsSCG-r12 OPTIONAL, -- Need ON

...,

[[ drb-ToAddModListSCG-r15 DRB-ToAddModListSCG-r15 OPTIONAL -- Need ON

]],

[[ srb-ToAddModListSCG-r15 SRB-ToAddModList OPTIONAL, -- Need ON

srb-ToReleaseListSCG-r15 SRB-ToReleaseList-r15 OPTIONAL -- Need ON

]],

[[ -- NE-DC additions for release of RLC bearer config for DRBs

drb-ToReleaseListSCG-r15 DRB-ToReleaseList-r15 OPTIONAL -- Need ON

]]

}

RadioResourceConfigDedicatedSCell-r10 ::= SEQUENCE {

-- UE specific configuration extensions applicable for an SCell

physicalConfigDedicatedSCell-r10 PhysicalConfigDedicatedSCell-r10 OPTIONAL, -- Need ON

...,

[[ mac-MainConfigSCell-r11 MAC-MainConfigSCell-r11 OPTIONAL -- Cond SCellAdd

]],

[[ naics-Info-r12 NAICS-AssistanceInfo-r12 OPTIONAL -- Need ON

]],

[[ neighCellsCRS-InfoSCell-r13 NeighCellsCRS-Info-r13 OPTIONAL -- Need ON

]],

[[ physicalConfigDedicatedSCell-v1370 PhysicalConfigDedicatedSCell-v1370 OPTIONAL -- Need ON

]],

[[ crs-IntfMitigEnabled-r15 BOOLEAN OPTIONAL, -- Need ON

neighCellsCRS-Info-r15 NeighCellsCRS-Info-r15 OPTIONAL, -- Need ON

sps-Config-v1530 SPS-Config-v1530 OPTIONAL -- Need ON

]]

}

RadioResourceConfigDedicatedSCell-v13c0 ::= SEQUENCE {

physicalConfigDedicatedSCell-v13c0 PhysicalConfigDedicatedSCell-v13c0

}

SRB-ToAddModList ::= SEQUENCE (SIZE (1..2)) OF SRB-ToAddMod

SRB-ToAddModListExt-r15 ::= SEQUENCE (SIZE (1)) OF SRB-ToAddMod

SRB-ToAddMod ::= SEQUENCE {

srb-Identity INTEGER (1..2),

rlc-Config CHOICE {

explicitValue RLC-Config,

defaultValue NULL

} OPTIONAL, -- Cond Setup

logicalChannelConfig CHOICE {

explicitValue LogicalChannelConfig,

defaultValue NULL

} OPTIONAL, -- Cond Setup

...,

[[ pdcp-verChange-r15 ENUMERATED {true} OPTIONAL, -- Cond NR-PDCP

rlc-Config-v1530 RLC-Config-v1530 OPTIONAL, -- Need ON

rlc-BearerConfigSecondary-r15 RLC-BearerConfig-r15 OPTIONAL, -- Need ON

srb-Identity-v1530 INTEGER (4) OPTIONAL -- Need ON

]],

[[ rlc-Config-v1560 RLC-Config-v1510 OPTIONAL -- Need ON

]]

}

DRB-ToAddModList ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-ToAddMod

DRB-ToAddModList-r15 ::= SEQUENCE (SIZE (1..maxDRB-r15)) OF DRB-ToAddMod

DRB-ToAddModListSCG-r12 ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-ToAddModSCG-r12

DRB-ToAddModListSCG-r15 ::= SEQUENCE (SIZE (1..maxDRB-r15)) OF DRB-ToAddModSCG-r12

DRB-ToAddMod ::= SEQUENCE {

eps-BearerIdentity INTEGER (0..15) OPTIONAL, -- Cond DRB-Setup

drb-Identity DRB-Identity,

pdcp-Config PDCP-Config OPTIONAL, -- Cond PDCP

rlc-Config RLC-Config OPTIONAL, -- Cond SetupM

logicalChannelIdentity INTEGER (3..10) OPTIONAL, -- Cond DRB-SetupM

logicalChannelConfig LogicalChannelConfig OPTIONAL, -- Cond SetupM

...,

[[ drb-TypeChange-r12 ENUMERATED {toMCG} OPTIONAL, -- Need OP

rlc-Config-v1250 RLC-Config-v1250 OPTIONAL -- Need ON

]],

[[ rlc-Config-v1310 RLC-Config-v1310 OPTIONAL, -- Need ON

drb-TypeLWA-r13 BOOLEAN OPTIONAL, -- Need ON

drb-TypeLWIP-r13 ENUMERATED {lwip, lwip-DL-only,

lwip-UL-only, eutran} OPTIONAL -- Need ON

]],

[[ rlc-Config-v1430 RLC-Config-v1430 OPTIONAL, -- Need ON

lwip-UL-Aggregation-r14 BOOLEAN OPTIONAL, -- Cond LWIP

lwip-DL-Aggregation-r14 BOOLEAN OPTIONAL, -- Cond LWIP

lwa-WLAN-AC-r14 ENUMERATED {ac-bk, ac-be, ac-vi, ac-vo} OPTIONAL -- Cond UL-LWA

]],

[[ rlc-Config-v1510 RLC-Config-v1510 OPTIONAL -- Need ON

]],

[[ rlc-Config-v1530 RLC-Config-v1530 OPTIONAL, -- Need ON

rlc-BearerConfigSecondary-r15 RLC-BearerConfig-r15 OPTIONAL, -- Need ON

logicalChannelIdentity-r15 INTEGER (32..38) OPTIONAL -- Need ON

]]

}

DRB-ToAddModSCG-r12 ::= SEQUENCE {

drb-Identity-r12 DRB-Identity,

drb-Type-r12 CHOICE {

split-r12 NULL,

scg-r12 SEQUENCE {

eps-BearerIdentity-r12 INTEGER (0..15) OPTIONAL, -- Cond DRB-Setup

pdcp-Config-r12 PDCP-Config OPTIONAL -- Cond PDCP-S

}

} OPTIONAL, -- Cond SetupS2

rlc-ConfigSCG-r12 RLC-Config OPTIONAL, -- Cond SetupS

rlc-Config-v1250 RLC-Config-v1250 OPTIONAL, -- Need ON

logicalChannelIdentitySCG-r12 INTEGER (3..10) OPTIONAL, -- Cond DRB-SetupS

logicalChannelConfigSCG-r12 LogicalChannelConfig OPTIONAL, -- Cond SetupS

...,

[[ rlc-Config-v1430 RLC-Config-v1430 OPTIONAL -- Need ON

]],

[[ logicalChannelIdentitySCG-r15 INTEGER (32..38) OPTIONAL, -- Need ON

rlc-Config-v1530 RLC-Config-v1530 OPTIONAL, -- Need ON

rlc-BearerConfigSecondary-r15 RLC-BearerConfig-r15 OPTIONAL -- Need ON

]],

[[ rlc-Config-v1560 RLC-Config-v1510 OPTIONAL -- Need ON

]]

}

DRB-ToReleaseList ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-Identity

DRB-ToReleaseList-r15 ::= SEQUENCE (SIZE (1..maxDRB-r15)) OF DRB-Identity

SRB-ToReleaseList-r15 ::= SEQUENCE (SIZE (1..2)) OF INTEGER (1..2)

MeasSubframePatternPCell-r10 ::= CHOICE {

release NULL,

setup MeasSubframePattern-r10

}

NeighCellsCRS-Info-r11 ::= CHOICE {

release NULL,

setup CRS-AssistanceInfoList-r11

}

CRS-AssistanceInfoList-r11 ::= SEQUENCE (SIZE (1..maxCellReport)) OF CRS-AssistanceInfo-r11

CRS-AssistanceInfo-r11 ::= SEQUENCE {

physCellId-r11 PhysCellId,

antennaPortsCount-r11 ENUMERATED {an1, an2, an4, spare1},

mbsfn-SubframeConfigList-r11 MBSFN-SubframeConfigList,

...,

[[ mbsfn-SubframeConfigList-v1430 MBSFN-SubframeConfigList-v1430 OPTIONAL -- Need ON

]]

}

NeighCellsCRS-Info-r13 ::= CHOICE {

release NULL,

setup CRS-AssistanceInfoList-r13

}

CRS-AssistanceInfoList-r13 ::= SEQUENCE (SIZE (1..maxCellReport)) OF CRS-AssistanceInfo-r13

CRS-AssistanceInfo-r13 ::= SEQUENCE {

physCellId-r13 PhysCellId,

antennaPortsCount-r13 ENUMERATED {an1, an2, an4, spare1},

mbsfn-SubframeConfigList-r13 MBSFN-SubframeConfigList OPTIONAL, -- Need ON

...,

[[ mbsfn-SubframeConfigList-v1430 MBSFN-SubframeConfigList-v1430 OPTIONAL -- Need ON

]]

}

NeighCellsCRS-Info-r15 ::= CHOICE {

release NULL,

setup CRS-AssistanceInfoList-r15

}

CRS-AssistanceInfoList-r15 ::= SEQUENCE (SIZE (1..maxCellReport)) OF CRS-AssistanceInfo-r15

CRS-AssistanceInfo-r15 ::= SEQUENCE {

physCellId-r15 PhysCellId,

crs-IntfMitigEnabled-15 ENUMERATED {enabled} OPTIONAL -- Need ON

}

NAICS-AssistanceInfo-r12 ::= CHOICE {

release NULL,

setup SEQUENCE {

neighCellsToReleaseList-r12 NeighCellsToReleaseList-r12 OPTIONAL , -- Need ON

neighCellsToAddModList-r12 NeighCellsToAddModList-r12 OPTIONAL, -- Need ON

servCellp-a-r12 P-a OPTIONAL -- Need ON

}

}

NeighCellsToReleaseList-r12 ::= SEQUENCE (SIZE (1..maxNeighCell-r12)) OF PhysCellId

NeighCellsToAddModList-r12 ::= SEQUENCE (SIZE (1..maxNeighCell-r12)) OF NeighCellsInfo-r12

NeighCellsInfo-r12 ::= SEQUENCE {

physCellId-r12 PhysCellId,

p-b-r12 INTEGER (0..3),

crs-PortsCount-r12 ENUMERATED {n1, n2, n4, spare},

mbsfn-SubframeConfig-r12 MBSFN-SubframeConfigList OPTIONAL, -- Need ON

p-aList-r12 SEQUENCE (SIZE (1..maxP-a-PerNeighCell-r12)) OF P-a,

transmissionModeList-r12 BIT STRING (SIZE(8)),

resAllocGranularity-r12 INTEGER (1..4),

...

}

P-a ::= ENUMERATED { dB-6, dB-4dot77, dB-3, dB-1dot77,

dB0, dB1, dB2, dB3}

RLC-BearerConfig-r15 ::= CHOICE {

release NULL,

setup SEQUENCE {

rlc-Config-r15 RLC-Config-r15 OPTIONAL, -- Need ON

logicalChannelIdentityConfig-r15 CHOICE {

logicalChannelIdentity-r15 INTEGER (1..10),

logicalChannelIdentityExt-r15 INTEGER (32..38)

},

logicalChannelConfig-r15 LogicalChannelConfig OPTIONAL -- Need ON

}

}

-- ASN1STOP

| *RadioResourceConfigDedicated* field descriptions |
| --- |
| ***crs-IntfMitigConfig***  *crs-IntfMitigEnabled-r15* indicates CRS interference mitigation is enabled for the cell, as specified in TS 36.133 [16], clause 3.6.1.1. For BL UEs or UEs in CE supporting *ce-CRS-IntfMitig,* presence of this field indicates CRS interference mitigation is enabled in the cell, as specified in TS 36.133 [16], clauses 3.6.1.2 and 3.6.1.3, and the value *crs-IntfMitigNumPRBs* indicatesnumber of PRBs, i.e. 6 or 24 PRBs, for CRS transmission in the central cell BW when CRS interference mitigation is enabled. For UEs not supporting this feature, the behaviour is undefined if this field is configured and the field *cellBarred* in *SystemInformationBlockType1* (*SystemInformationBlockType1-BR* for BL UEs or UEs in CE) is set to *notbarred*. |
| ***crs-PortsCount***  Parameter represents the number of antenna ports for cell-specific reference signal used by the signaled neighboring cell where n1 corresponds to 1 antenna port, n2 to 2 antenna ports etc. see TS 36.211 [21], clause 6.10.1. |
| ***drb-Identity***  In case of DC, the DRB identity is unique within the scope of the UE i.e. an SCG DRB can not use the same value as used for an MCG or split DRB. For a split DRB the same identity is used for the MCG- and SCG parts of the configuration. |
| ***drb-ToAddModList***  When *drb-ToAddModList-r15* is configured, UE shall ignore the *drb-ToAddModList* (without suffix). |
| ***drb-ToAddModListSCG***  When an SCG is configured, E-UTRAN configures at least one SCG or split DRB. *When drb-ToAddModListSCG-r15* is configured, UE shall ignore the *drb-ToAddModListSCG* (without suffix). When NE-DC is configured, this field indicates the SCG RLC bearers to be (re-)configured. |
| ***drb-ToReleaseList***  When *drb-ToReleaseList-r15* is configured, UE shall ignore the *drb-ToReleaseList* (without suffix). |
| ***drb-ToReleaseListSCG***  When NE-DC is configured, this field indicates the SCG RLC bearers to be released. |
| ***drb-Type***  This field indicates whether the DRB is split or SCG DRB. E-UTRAN does not configure split and SCG DRBs simultaneously for the UE. |
| ***drb-TypeChange***  Indicates that a split/SCG DRB is reconfigured to an MCG DRB (i.e. E-UTRAN only signals the field in case the DRB type changes). |
| ***drb-TypeLWA***  Indicates whether a DRB is (re)configured as an LWA DRB or an LWA DRB is reconfigured not to use WLAN resources. NOTE 1 |
| ***drb-TypeLWIP***  Indicates whether a DRB is (re)configured to use LWIP Tunnel in UL and DL (value *lwip*), DL only (value *lwip-DL-only*), UL only (value *lwip-UL-only*) or not to use LWIP Tunnel (value *eutran*). |
| ***dummy***  This field is not used in the specification. If received it shall be ignored by the UE. |
| ***logicalChannelConfig***  For SRBs a choice is used to indicate whether the logical channel configuration is signalled explicitly or set to the default logical channel configuration for SRB1 as specified in 9.2.1.1 or for SRB2 as specified in 9.2.1.2. |
| ***logicalChannelIdentity, LogicalChannelIdentityExt***  The logical channel identity for both UL and DL. Value 4 is not configured for DRBs if SRB4 is configured. When *logicalChannelIdentity-r15* is signalled, UE shall ignore contents of *logicalChannelIdentity* (without suffix). |
| ***logicalChannelIdentitySCG***  The logical channel identity for both UL and DL. When *logicalChannelIdentitySCG-r15* is signalled, UE shall ignore contents of *logicalChannelIdentitySCG* (without suffix). |
| ***lwa-WLAN-AC***  For LWA bearers, indicates the corresponding WLAN access category for uplink. AC-BK (value *ac-bk*) corresponds to Background access category, AC-BE (value *ac-be*) corresponds to Best Effort access category, AC-VI (value *ac-vi*) corresponds to Video access category and AC-VO (value *ac-vo*) corresponds to Voice access category as defined by IEEE 802.11-2012 [67]. If *lwa-WLAN-AC* is not configured, it is left up to UE to decide which IEEE 802.11 AC value to use when performing transmissions of packets for this DRB over WLAN in the uplink. |
| ***lwip-DL-Aggregation, lwip-UL-Aggregation***  Indicates whether LWIP is configured to utilize LWIP aggregation in DL or UL. |
| ***mac-MainConfig***  Although the ASN.1 includes a choice that is used to indicate whether the mac-MainConfig is signalled explicitly or set to the default MAC main configuration as specified in 9.2.2, EUTRAN does not apply "*defaultValue*". |
| ***mbsfn-SubframeConfig***  Defines the MBSFN subframe configuration used by the signaled neighboring cell. If absent, UE assumes no MBSFN configuration for the neighboring cell. |
| ***measSubframePatternPCell***  Time domain measurement resource restriction pattern for the PCell measurements (RSRP, RSRQ and the radio link monitoring). |
| ***neighCellsCRS-Info, neighCellsCRS-InfoSCell, neighCellsCRS-InfoPSCell***  This field contains assistance information used by the UE to mitigate interference from CRS while performing RRM/RLM/CSI measurement or data demodulation or DL control channel demodulation. When the received CRS assistance information is for a cell with CRS non-colliding with that of the CRS of the cell to measure, the UE may use the CRS assistance information to mitigate CRS interference. When the received CRS assistance information is for a cell with CRS colliding with that of the CRS of the cell to measure, the UE may use the CRS assistance information to mitigate CRS interference RRM/RLM (as specified in TS 36.133 [16]) and for CSI (as specified in TS 36.101 [42]) on the subframes indicated by *measSubframePatternPCell*, *measSubframePatternConfigNeigh*, *csi-MeasSubframeSet1* ifconfigured, and the CSI subframe set 1 if *csi-MeasSubframeSets-r12* is configured. The UE may use CRS assistance information to mitigate CRS interference from the cells in the *CRS-AssistanceInfoList* for the demodulation purpose or DL control channel demodulation as specified in TS 36.101 [42]. EUTRAN does not configure *neighCellsCRS-Info-r11* or *neighCellsCRS-Info-r13* if *eimta-MainConfigPCell-r12* is configured. |
| ***neighCellsToAddModList***  This field contains assistance information used by the UE to cancel and suppress interference of a neighbouring cell. If this field is present for a neighbouring cell, the UE assumes that the transmission parameters listed in the sub-fields are used by the neighbouring cell. If this field is present for a neighbouring cell, the UE assumes the neighbour cell is subframe and SFN synchronized to the serving cell, has the same system bandwidth, UL/DL and special subframe configuration, and cyclic prefix length as the serving cell. |
| ***p-aList***  Indicates the restricted subset of power offset for QPSK, 16QAM, and 64QAM PDSCH transmissions for the neighbouring cell by using the parameter, see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc. |
| ***p-b***  Parameter: , indicates the cell-specific ratio used by the signaled neighboring cell, see TS 36.213 [23], Table 5.2-1. |
| ***pdcp-verChange***  Indicates that the PDCP version of the SRB is changed from NR PDCP to E-UTRA PDCP. Network only configures this version change for during handover, resume and first reconfiguration after re-establishment. E-UTRAN does not include this field when *SRB-ToAddMod* is included in *srb-ToAddModListSCG*. |
| ***physicalConfigDedicated***  The default dedicated physical configuration is specified in 9.2.4. |
| ***resAllocGranularity***  Indicates the resource allocation and precoding granularity in PRB pair level of the signaled neighboring cell, see TS 36.213 [23], clause 7.1.6. |
| ***rlc-BearerConfigSecondary***  The configuration of a secondary RLC bearer within the same Cell Group as may e.g. be used in case of PDCP duplication using CA. The configuration comprises a (secondary) RLC entity, a logical channel identity and a logical channel configuration. E-UTRAN may configure this for SRB1, SRB2 and DRBs. For SRBs, E-UTRAN only configures the field for MCG (i.e. if included in *radioResourceConfigDedicated*. E-UTRAN configures the same RLC mode (AM/ UM) as used for the original RLC entity. The primary RLC entity is configured by *RLC-Config*. |
| ***rlc-Config***  For SRBs a choice is used to indicate whether the RLC configuration is signalled explicitly or set to the values defined in the default RLC configuration for SRB1 in 9.2.1.1 or for SRB2 in 9.2.1.2. RLC AM is the only applicable RLC mode for SRB1 and SRB2. E-UTRAN does not reconfigure the RLC mode of DRBs except when a full configuration option is used, and may reconfigure the RLC SN field size and the AM RLC LI field size only upon handover within E-UTRA or upon the first reconfiguration after RRC connection re-establishment or upon SCG Change for SCG and split DRBs. |
| ***servCellp-a***  Indicates the power offset for QPSK C-RNTI based PDSCH transmissions used by the serving cell, see TS 36.213 [23], clause 5.2. Value dB-6 corresponds to -6 dB, dB-4dot77 corresponds to -4.77 dB etc. |
| ***sps-Config***  The default SPS configuration is specified in 9.2.3. Except for handover or releasing SPS for MCG, E-UTRAN does not reconfigure *sps-Config* for MCG when there is a configured downlink assignment or a configured uplink grant for MCG (see TS 36.321 [6]). Except for SCG change or releasing SPS for SCG, E-UTRAN does not reconfigure *sps-Config* for SCG when there is a configured downlink assignment or a configured uplink grant for SCG (see TS 36.321 [6]). In one serving cell, *sps-Config-v1530* is not present simultaneously with either *sps-Config* (without suffix) or *sps-Config-r12*. |
| ***srb-Identity***  Value 1 is applicable for SRB1 only. Value 2 is applicable for SRB2 only. Value 4 is applicable for SRB4 only, if configured. For a split SRB the same identity is used for the MCG and NR SCG RLC bearer configurations. If *srb-Identity-v1530* is received, the UE shall ignore *srb-Identity* (i.e. without suffix). |
| ***srb-Identity-v1530***  E-UTRAN does not include this field when *SRB-ToAddMod* is included in *srb-ToAddModListSCG*. |
| ***srb-ToAddModListExt***  The field is to configure SRB4. |
| ***srb-ToAddModList***  E-UTRAN configures the same RAT type (i.e. EUTRA or NR) for PDCP configuration of SRB1 and SRB2. |
| ***transmissionModeList***  Indicates a subset of transmission mode 1, 2, 3, 4, 6, 8, 9, 10, for the signaled neighboring cell for which *NeighCellsInfo* applies. When TM10 is signaled, other signaled transmission parameters in *NeighCellsInfo* are not applicable to up to 8 layer transmission scheme of TM10. E-UTRAN may indicate TM9 when TM10 with QCL type A and DMRS scrambling with  in TS 36.211 [21], clause 6.10.3.1, is used in the signalled neighbour cell and TM9 or TM10 with QCL type A and DMRS scrambling with  in TS 36.211 [21], clause 6.10.3.1, is used in the serving cell. UE behaviour with NAICS when TM10 is used is only defined when QCL type A and DMRS scrambling with  in TS 36.211 [21], clause 6.10.3.1, is used for the serving cell and all signalled neighbour cells. The first/ leftmost bit is for transmission mode 1, the second bit is for transmission mode 2, and so on. |

NOTE 1: It is up to eNB to ensure that the field indicating LWA bearer type is set to FALSE when LWA bearer is no longer used (e.g. during handover or re-establishment where LWA configuration is released).

| Conditional presence | Explanation |
| --- | --- |
| CRSIM | The field is optionally present, need ON, if *neighCellsCRS-Info-r11* is not present; otherwise it is not present. |
| *DRB-Setup* | The field is mandatory present if the corresponding DRB is being set up and the UE is connected to EPC; otherwise it is not present. |
| *DRB-SetupM* | The field is:  - mandatory present:  - for the UE without SCG: upon setup of MCG DRB;  - for E-UTRA DC, upon setup of MCG or split DRB;  - for (NG)EN-DC:  - upon setup of MCG RLC bearer;  - optionally present, Need ON:  - for E-UTRA DC, upon change from SCG to MCG DRB;  - for (NG)EN-DC:  - upon change of *keyToUse*, as defined in TS 38.331 [82], for a DRB configured with an MCG RLC bearer;  - when configured with MCG RLC bearer, upon change of S-KgNB without handover;  - not present otherwise. |
| *DRB-SetupS* | The field is:  - mandatory present:  - for E-UTRA DC:  - upon setup of SCG or split DRB;  - upon change from MCG to split DRB;  - for NE-DC:  - upon setup of SCG RLC bearer;  - optionally present, Need ON:  - for E-UTRA DC, upon change from MCG to SCG DRB;  - for NE-DC, upon change of *keyToUse*, as defined in TS 38.331 [82], for a DRB configured with an SCG RLC bearer;  - not present otherwise. |
| *HO-Conn* | The field is mandatory present in case of handover to E-UTRA or when the *fullConfig* is included in the *RRCConnectionReconfiguration* message or in case of RRC connection establishment (excluding *RRConnectionResume*); otherwise the field is optionally present, need ON. Upon connection establishment/ re-establishment only SRB1 is applicable (excluding *RRConnectionResume*). |
| *HO-toEUTRA* | The field is mandatory present  - in case of handover to E-UTRA or  - when the *fullConfig* is included in the *RRCConnectionReconfiguration* message with the configuration for at least one MCG bearer or split data bearer;  In case of RRC connection establishment (excluding *RRConnectionResume*); and RRC connection re-establishment the field is not present; otherwise the field is optionally present, need ON. |
| *HO-toEUTRA2* | The field is mandatory present in case of handover to E-UTRA or when the *fullConfig* is included in the *RRCConnectionReconfiguration* message; otherwise the field is optionally present, need ON. |
| *LWIP* | The field is optionally present, Need ON, if *drb-TypeLWIP-r13* is configured and not set to eutran; otherwise it is not present and the UE shall delete any existing value for this field. |
| *Split-SRB1-SRB3* | This field is optionally present, Need ON, if the UE is configured with split SRB1 or SRB3. It is absent otherwise. |
| *NR-PDCP* | The field is optional present, Need ON, when the SRB is configured with NR-PDCP prior to reception of this reconfiguration message. Otherwise it is not present. |
| *PDCP* | The field is mandatory present:  - when connected to E-UTRA/EPC:  - for the bearers configured with E-UTRA PDCP, if the corresponding DRB is being setup;  the field is optionally present, need ON: :  - when connected to E-UTRA/EPC:  - for the bearers configured with E-UTRA PDCP, upon reconfiguration of the corresponding split DRB or LWA DRB, upon the corresponding DRB type change from split to MCG bearer, upon the corresponding DRB type change from MCG to split bearer or LWA bearer, upon the corresponding DRB type change from LWA to LTE only bearer, upon handover within E-UTRA and upon the first reconfiguration after re-establishment but in all these cases only when *fullConfig* is not included in the *RRCConnectionReconfiguration* message;  otherwise it is not present. |
| *PDCP-S* | The field is mandatory present if the corresponding DRB is being setup; the field is optionally present, need ON, upon SCG change; otherwise it is not present. |
| *RLC-Setup* | This field is optionally present if the corresponding DRB is being setup, need ON; otherwise it is not present. |
| *SCellAdd* | The field is optionally present, need ON, upon SCell addition; otherwise it is not present. |
| *Setup* | The field is mandatory present if the corresponding SRB/DRB is being setup; otherwise the field is optionally present, need ON. |
| *SetupM* | The field is mandatory present upon setup of an MCG or split DRB, or upon setup of MCG RLC bearer; otherwise the field is optionally present, need ON. |
| *SetupS* | The field is mandatory present:  - for E-UTRA DC:  - upon setup of an SCG or split DRB,  - upon change from MCG to split DRB;  - for NE-DC, upon setup of SCG RLC bearer;  otherwise the field is optionally present, need ON. |
| *SetupS2* | The field is:  - mandatory present:  - for E-UTRA DC:  - upon setup of an SCG or split DRB, as well as upon change from MCG to split or SCG DRB.  - optionally present, need ON:  - for E-UTRA DC:  - for an SCG DRB  otherwise the field is not present. |
| *SPS* | The field is optionally present, need ON, if sps-Config (without suffix) is not configured; otherwise it is not present. |
| *SPS2* | The field is optionally present, need ON, if sps-Config-r12 is not configured; otherwise it is not present. |
| *UL-LWA* | The field is optionally present, need ON if *ul-LWA-Config-r14* is present. Otherwise the field is not present. |

*END OF CHANGES*

START OF CHANGES

#### – *RLF-TimersAndConstants*

The IE *RLF-TimersAndConstants* contains UE specific timers and constants applicable for UEs in RRC\_CONNECTED.

*RLF-TimersAndConstants* information element

-- ASN1START

RLF-TimersAndConstants-r9 ::= CHOICE {

release NULL,

setup SEQUENCE {

t301-r9 ENUMERATED {

ms100, ms200, ms300, ms400, ms600, ms1000, ms1500,

ms2000},

t310-r9 ENUMERATED {

ms0, ms50, ms100, ms200, ms500, ms1000, ms2000},

n310-r9 ENUMERATED {

n1, n2, n3, n4, n6, n8, n10, n20},

t311-r9 ENUMERATED {

ms1000, ms3000, ms5000, ms10000, ms15000,

ms20000, ms30000},

n311-r9 ENUMERATED {

n1, n2, n3, n4, n5, n6, n8, n10},

...

}

}

RLF-TimersAndConstants-r13 ::= CHOICE {

release NULL,

setup SEQUENCE {

t301-v1310 ENUMERATED {

ms2500, ms3000, ms3500, ms4000, ms5000,

ms6000, ms8000, ms10000},

...,

[[ t310-v1330 ENUMERATED {ms4000, ms6000} OPTIONAL -- Need ON

]]

}

}

RLF-TimersAndConstantsSCG-r12 ::= CHOICE {

release NULL,

setup SEQUENCE {

t313-r12 ENUMERATED {

ms0, ms50, ms100, ms200, ms500, ms1000, ms2000},

n313-r12 ENUMERATED {

n1, n2, n3, n4, n6, n8, n10, n20},

n314-r12 ENUMERATED {

n1, n2, n3, n4, n5, n6, n8, n10},

...

}

}

RLF-TimersAndConstantsMCG-Failure-r16 ::= CHOICE {

release NULL,

setup SEQUENCE {

t316-r16 ENUMERATED {ffs},

...

}

}

-- ASN1STOP

| *RLF-TimersAndConstants* field descriptions |
| --- |
| ***n3xy***  Constants are described in clause 7.4. n1 corresponds with 1, n2 corresponds with 2 and so on. |
| ***t3xy***  Timers are described in clause 7.3. Value ms0 corresponds with 0 ms, ms50 corresponds with 50 ms and so on.  E-UTRAN configures *RLF-TimersAndConstants-r13* only if UE supports *ce-ModeB*. UE shall use the extended values *t3xy-v1310* and *t3xy-v1330*, if present, and ignore the values signaled by *t3xy-r9*. Configuration of t316 for the MCG indicates that fast MCG link recovery is configured. |

*END OF CHANGES*

START OF CHANGES

### 6.3.4 Mobility control information elements

#### – *PhysCellIdRangeNR*

The IE *PhysCellIdRangeNR* is used to encode either a single or a range of physical layer identities of NR cells. The range is encoded by using a *start* value and by indicating the number of consecutive physical layer identities (including *start*) in the range. For fields comprising multiple occurrences of *PhysCellIdRangeNR*, E-UTRAN may configure overlapping ranges of physical layer identities.

*PhysCellIdRangeNR* information element

-- ASN1START

PhysCellIdRangeNR-r16 ::= SEQUENCE {

start PhysCellIdNR-r15,

range ENUMERATED {

n4, n8, n12, n16, n24, n32, n48, n64, n84,

n96, n128, n168, n252, n504, n1008,

spare1} OPTIONAL -- Need OP

}

-- ASN1STOP

| *PhysCellIdRangeNR* field descriptions |
| --- |
| ***range***  Indicates the number of physical layer identities in the range (including *start*). Value n4 corresponds with 4, n8 corresponds with 8 and so on. The UE shall apply value 1 in case the field is absent, in which case only the physical layer identity value indicated by *start* applies. |
| ***start***  Indicates the lowest physical layer identity in the range. |

*END OF CHANGES*

START OF CHANGES

### 6.3.5 Measurement information elements

#### – *MeasIdleConfig*

The IE *MeasIdleConfig* is used to convey information to UE about measurements requested to be done while in RRC\_IDLE or RRC\_INACTIVE.

*MeasIdleConfig* information element

-- ASN1START

MeasIdleConfigSIB-r15 ::= SEQUENCE {

measIdleCarrierListEUTRA-r15 EUTRA-CarrierList-r15,

...,

[[

measIdleCarrierListNR-r16 NR-CarrierList-r16 OPTIONAL -- Need OR

]]

}

MeasIdleConfigDedicated-r15 ::= SEQUENCE {

measIdleCarrierListEUTRA-r15 EUTRA-CarrierList-r15 OPTIONAL, -- Need OR

measIdleDuration-r15 ENUMERATED {sec10, sec30, sec60, sec120,

sec180, sec240, sec300, spare},

...,

[[

measIdleCarrierListNR-r16 NR-CarrierList-r16 OPTIONAL, -- Need OR

validityAreaList-r16 ValidityAreaList-r16 OPTIONAL -- Need OR

]]

}

EUTRA-CarrierList-r15 ::= SEQUENCE (SIZE (1..maxFreqIdle-r15)) OF MeasIdleCarrierEUTRA-r15

NR-CarrierList-r16 ::= SEQUENCE (SIZE (1..maxFFS)) OF MeasIdleCarrierNR-r16

MeasIdleCarrierEUTRA-r15::= SEQUENCE {

carrierFreq-r15 ARFCN-ValueEUTRA-r9,

allowedMeasBandwidth-r15 AllowedMeasBandwidth,

validityArea-r15 CellList-r15 OPTIONAL, -- Need OR

measCellList-r15 CellList-r15 OPTIONAL, -- Need OR

reportQuantities ENUMERATED {rsrp, rsrq, both},

qualityThreshold-r15 SEQUENCE {

idleRSRP-Threshold-r15 RSRP-Range OPTIONAL, -- Need OR

idleRSRQ-Threshold-r15 RSRQ-Range-r13 OPTIONAL -- Need OR

} OPTIONAL, -- Need OP

...

}

ValidityAreaList-r16 ::= SEQUENCE (SIZE (1..maxFreqIdle-r16)) OF ValidityArea-r16

ValidityArea-r16 ::= SEQUENCE {

carrierFreq-r16 ARFCN-ValueEUTRA-r9,

validityCellList-r16 ValidityCellList OPTIONAL -- Need ON

}

ValidityCellList ::= SEQUENCE (SIZE (1.. maxCellMeasIdle-r16)) OF PhysCellIdRange

MeasIdleCarrierNR-r16 ::= SEQUENCE {

carrierFreqNR-r16 ARFCN-ValueNR-r15,

measCellListNR-r16 CellListNR-r16 OPTIONAL, -- Need ON

reportQuantitiesNR-r16 ENUMERATED {rsrp, rsrq, both},

qualityThresholdNR-r16 SEQUENCE {

idleRSRP-ThresholdNR-r16 RSRP-RangeNR-r15 OPTIONAL, -- Need ON

idleRSRQ-ThresholdNR-r16 RSRQ-RangeNR-r15 OPTIONAL -- Need ON

} OPTIONAL, -- Need ON

ssb-MeasConfig-r16 SEQUENCE {

frequencyBandList                   MultiFrequencyBandListNR-r15 OPTIONAL, -- Need FFS

maxRS-IndexCellQual-r16 MaxRS-IndexCellQualNR-r15 OPTIONAL, -- Need FFS

threshRS-Index-r16 ThresholdListNR-r15 OPTIONAL, -- Need FFS

measTimingConfig-r16 MTC-SSB-NR-r15 OPTIONAL, -- Need FFS

ssbSubcarrierSpacing-r16 ENUMERATED {kHz15, kHz30, kHz120, kHz240},

ssb-ToMeasure-r16 SSB-ToMeasure-r15 OPTIONAL, -- Need FFS

deriveSSB-IndexFromCell-r16 BOOLEAN,

ss-RSSI-Measurement-r16 SS-RSSI-Measurement-r15 OPTIONAL -- Need FFS

-- Editors note: FFS if frequencyBandList and ssbSubcarrierSpacing should be defined together with the carrierFreqNR (i.e. outside the ssb-MeasConfig structure)

} OPTIONAL -- Cond FFS

beamMeasConfigIdle-r16 BeamMeasConfigIdleNR-r16 OPTIONAL, -- Need FFS

...

}

CellList-r15 ::= SEQUENCE (SIZE (1.. maxCellMeasIdle-r15)) OF PhysCellIdRange

CellListNR-r16 ::= SEQUENCE (SIZE (1.. maxFFS)) OF PhysCellIdRangeNR-r16

BeamMeasConfigIdleNR-r16 ::= SEQUENCE {

reportQuantityRS-IndexNR-r16 ENUMERATED {rsrp, rsrq, both} OPTIONAL, -- Need FFS

maxReportRS-Index-r16 INTEGER (0..FFS) OPTIONAL, -- Need FFS

reportRS-IndexResultsNR-r16 BOOLEAN

}

-- ASN1STOP

| *MeasIdleConfig* field descriptions |
| --- |
| ***allowedMeasBandwidth***  If absent, the value corresponding to the downlink bandwidth indicated by the *dl-Bandwidt*h included in *MasterInformationBlock* of serving cell applies. |
| ***carrierFreq***  Indicates the E-UTRA carrier frequency to be used for measurements during RRC\_IDLE or RRC\_INACTIVE. |
| ***measIdleCarrierListEUTRA***  Indicates the E-UTRA carriers to be measured during RRC\_IDLE or RRC\_INACTIVE. |
| ***measIdleCarrierListNR***  Indicates the NR carriers to be measured during RRC\_IDLE and RRC\_INACTIVE. |
| ***measIdleDuration***  Indicates the duration for performing measurements during RRC\_IDLE or RRC\_INACTIVE for measurements assigned via *RRCConnectionRelease*. Value sec10 correspond to 10 seconds, value sec30 to 30 seconds and so on. |
| ***qualityThreshold***  Indicates the quality thresholds for reporting the measured cells for idle/inactive measurements. If absent, PCell and up to *maxCellMeasIdle* strongest identified cells are considered for idle/inactivemeasurement reporting. |
| ***reportQuantities***  Indicates which measurement quantities UE is requested to report in the idle/inactive measurement report. In this version of the specification, E-UTRAN always configures the value '*both*'. |
| ***measCellList***  Indicates the list of cells which the UE is requested to measure and report for idle/inactive measurements. |
| ***validityArea***  Indicates the list of cells within which UE is requested to do measurements during RRC\_IDLE or RRC\_INACTIVE. If the UE reselects to a cell whose physical cell identity does not match any entry in *validityArea* for the corresponding carrier frequency, the measurements are no longer required. E-UTRAN configures this field only in *RRCConnectionRelease*. |

*END OF CHANGES*

START OF CHANGES

#### – *MeasResults*

The IE *MeasResults* covers measured results for intra-frequency, inter-frequency and inter- RAT mobility.

*MeasResults* information element

-- ASN1START

MeasResults ::= SEQUENCE {

measId MeasId,

measResultPCell SEQUENCE {

rsrpResult RSRP-Range,

rsrqResult RSRQ-Range

},

measResultNeighCells CHOICE {

measResultListEUTRA MeasResultListEUTRA,

measResultListUTRA MeasResultListUTRA,

measResultListGERAN MeasResultListGERAN,

measResultsCDMA2000 MeasResultsCDMA2000,

...,

measResultNeighCellListNR-r15 MeasResultCellListNR-r15

} OPTIONAL,

...,

[[ measResultForECID-r9 MeasResultForECID-r9 OPTIONAL

]],

[[ locationInfo-r10 LocationInfo-r10 OPTIONAL,

measResultServFreqList-r10 MeasResultServFreqList-r10 OPTIONAL

]],

[[ measId-v1250 MeasId-v1250 OPTIONAL,

measResultPCell-v1250 RSRQ-Range-v1250 OPTIONAL,

measResultCSI-RS-List-r12 MeasResultCSI-RS-List-r12 OPTIONAL

]],

[[ measResultForRSSI-r13 MeasResultForRSSI-r13 OPTIONAL,

measResultServFreqListExt-r13 MeasResultServFreqListExt-r13 OPTIONAL,

measResultSSTD-r13 MeasResultSSTD-r13 OPTIONAL,

measResultPCell-v1310 SEQUENCE {

rs-sinr-Result-r13 RS-SINR-Range-r13

} OPTIONAL,

ul-PDCP-DelayResultList-r13 UL-PDCP-DelayResultList-r13 OPTIONAL,

measResultListWLAN-r13 MeasResultListWLAN-r13 OPTIONAL

]],

[[ measResultPCell-v1360 RSRP-Range-v1360 OPTIONAL

]],

[[ measResultListCBR-r14 MeasResultListCBR-r14 OPTIONAL,

measResultListWLAN-r14 MeasResultListWLAN-r14 OPTIONAL

]],

[[ measResultServFreqListNR-r15 MeasResultServFreqListNR-r15 OPTIONAL,

measResultCellListSFTD-r15 MeasResultCellListSFTD-r15 OPTIONAL

]],

[[ logMeasResultListBT-r15 LogMeasResultListBT-r15 OPTIONAL,

logMeasResultListWLAN-r15 LogMeasResultListWLAN-r15 OPTIONAL,

measResultSensing-r15 MeasResultSensing-r15 OPTIONAL,

heightUE-r15 INTEGER (-400..8880) OPTIONAL

]]

}

MeasResultListEUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultEUTRA

MeasResultEUTRA ::= SEQUENCE {

physCellId PhysCellId,

cgi-Info SEQUENCE {

cellGlobalId CellGlobalIdEUTRA,

trackingAreaCode TrackingAreaCode,

plmn-IdentityList PLMN-IdentityList2 OPTIONAL

} OPTIONAL,

measResult SEQUENCE {

rsrpResult RSRP-Range OPTIONAL,

rsrqResult RSRQ-Range OPTIONAL,

...,

[[ additionalSI-Info-r9 AdditionalSI-Info-r9 OPTIONAL

]],

[[ primaryPLMN-Suitable-r12 ENUMERATED {true} OPTIONAL,

measResult-v1250 RSRQ-Range-v1250 OPTIONAL

]],

[[ rs-sinr-Result-r13 RS-SINR-Range-r13 OPTIONAL,

cgi-Info-v1310 SEQUENCE {

freqBandIndicator-r13 FreqBandIndicator-r11 OPTIONAL,

multiBandInfoList-r13 MultiBandInfoList-r11 OPTIONAL,

freqBandIndicatorPriority-r13 ENUMERATED {true} OPTIONAL

} OPTIONAL

]],

[[

measResult-v1360 RSRP-Range-v1360 OPTIONAL

]],

[[

cgi-Info-5GC-r15 SEQUENCE (SIZE (1..maxPLMN-r11)) OF CellAccessRelatedInfo-5GC-r15 OPTIONAL

]]

}

}

MeasResultListIdle-r15 ::= SEQUENCE (SIZE (1..maxIdleMeasCarriers-r15)) OF MeasResultIdle-r15

MeasResultIdle-r15 ::= SEQUENCE {

measResultServingCell-r15 SEQUENCE {

rsrpResult-r15 RSRP-Range,

rsrqResult-r15 RSRQ-Range-r13

},

measResultNeighCells-r15 CHOICE {

measResultIdleListEUTRA-r15 MeasResultIdleListEUTRA-r15,

...

} OPTIONAL,

...

}

MeasResultIdleListEUTRA-r15 ::= SEQUENCE (SIZE (1..maxCellMeasIdle-r15)) OF MeasResultIdleEUTRA-r15

MeasResultIdleEUTRA-r15 ::= SEQUENCE {

carrierFreq-r15 ARFCN-ValueEUTRA-r9,

physCellId-r15 PhysCellId,

measResult-r15 SEQUENCE {

rsrpResult-r15 RSRP-Range,

rsrqResult-r15 RSRQ-Range-r13

},

...

}

MeasResultListIdleNR-r16 ::= SEQUENCE(SIZE (1..maxFFS)) OF MeasResultIdleNR-r16

MeasResultIdleNR-r16 ::= SEQUENCE {

carrierFreq-r16 ARFCN-ValueNR-r15,

measResultsPerCellListIdleNR-r16 SEQUENCE (SIZE (1..maxFFS)) OF MeasResultsPerCellIdleNR-r16,

...

}

MeasResultsPerCellIdleNR-r16 ::= SEQUENCE {

physCellIdNR-r16 PhysCellIdNR-r15,

measResultNR-r16 SEQUENCE {

rsrpResult-r16 RSRP-RangeNR-r15 OPTIONAL,

rsrqResult-r16 RSRQ-RangeNR-r15 OPTIONAL,

resultRS-IndexList-r16 ResultsPerSSB-IndexList-r16 OPTIONAL

},

...

}

ResultsPerSSB-IndexList-r16 ::= SEQUENCE (SIZE (1..maxFFS)) OF ResultsPerSSB-IndexIdle-r16

ResultsPerSSB-IndexIdle-r16 ::= SEQUENCE {

ssb-Index-r16 RS-IndexNR-r15,

ssb-Results-r16 SEQUENCE {

ssb-RSRP-Result-r16 RSRP-RangeNR-r15 OPTIONAL,

ssb-RSRQ-Result-r16 RSRQ-RangeNR-r15 OPTIONAL

} OPTIONAL

}

MeasResultServFreqListNR-r15 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF MeasResultServFreqNR-r15

MeasResultServFreqNR-r15 ::= SEQUENCE {

carrierFreq-r15 ARFCN-ValueNR-r15,

measResultSCell-r15 MeasResultCellNR-r15 OPTIONAL,

measResultBestNeighCell-r15 MeasResultCellNR-r15 OPTIONAL,

...

}

MeasResultCellListNR-r15::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultCellNR-r15

MeasResultCellNR-r15 ::= SEQUENCE {

pci-r15 PhysCellIdNR-r15,

measResultCell-r15 MeasResultNR-r15,

measResultRS-IndexList-r15 MeasResultSSB-IndexList-r15 OPTIONAL,

...,

[[ cgi-Info-r15 CGI-InfoNR-r15 OPTIONAL

]]

}

MeasResultNR-r15 ::= SEQUENCE {

rsrpResult-r15 RSRP-RangeNR-r15 OPTIONAL,

rsrqResult-r15 RSRQ-RangeNR-r15 OPTIONAL,

rs-sinr-Result-r15 RS-SINR-RangeNR-r15 OPTIONAL,

...

}

MeasResultSSB-IndexList-r15::= SEQUENCE (SIZE (1..maxRS-IndexReport-r15)) OF MeasResultSSB-Index-r15

MeasResultSSB-Index-r15 ::= SEQUENCE {

ssb-Index-r15 RS-IndexNR-r15,

measResultSSB-Index-r15 MeasResultNR-r15 OPTIONAL,

...

}

MeasResultServFreqList-r10 ::= SEQUENCE (SIZE (1..maxServCell-r10)) OF MeasResultServFreq-r10

MeasResultServFreqListExt-r13 ::= SEQUENCE (SIZE (1..maxServCell-r13)) OF MeasResultServFreq-r13

MeasResultServFreq-r10 ::= SEQUENCE {

servFreqId-r10 ServCellIndex-r10,

measResultSCell-r10 SEQUENCE {

rsrpResultSCell-r10 RSRP-Range,

rsrqResultSCell-r10 RSRQ-Range

} OPTIONAL,

measResultBestNeighCell-r10 SEQUENCE {

physCellId-r10 PhysCellId,

rsrpResultNCell-r10 RSRP-Range,

rsrqResultNCell-r10 RSRQ-Range

} OPTIONAL,

...,

[[ measResultSCell-v1250 RSRQ-Range-v1250 OPTIONAL,

measResultBestNeighCell-v1250 RSRQ-Range-v1250 OPTIONAL

]],

[[ measResultSCell-v1310 SEQUENCE {

rs-sinr-Result-r13 RS-SINR-Range-r13

} OPTIONAL,

measResultBestNeighCell-v1310 SEQUENCE {

rs-sinr-Result-r13 RS-SINR-Range-r13

} OPTIONAL

]]

}

MeasResultServFreq-r13 ::= SEQUENCE {

servFreqId-r13 ServCellIndex-r13,

measResultSCell-r13 SEQUENCE {

rsrpResultSCell-r13 RSRP-Range,

rsrqResultSCell-r13 RSRQ-Range-r13,

rs-sinr-Result-r13 RS-SINR-Range-r13 OPTIONAL

} OPTIONAL,

measResultBestNeighCell-r13 SEQUENCE {

physCellId-r13 PhysCellId,

rsrpResultNCell-r13 RSRP-Range,

rsrqResultNCell-r13 RSRQ-Range-r13,

rs-sinr-Result-r13 RS-SINR-Range-r13 OPTIONAL

} OPTIONAL,

...,

[[ measResultBestNeighCell-v1360 SEQUENCE {

rsrpResultNCell-v1360 RSRP-Range-v1360

} OPTIONAL

]]

}

MeasResultCSI-RS-List-r12 ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultCSI-RS-r12

MeasResultCSI-RS-r12 ::= SEQUENCE {

measCSI-RS-Id-r12 MeasCSI-RS-Id-r12,

csi-RSRP-Result-r12 CSI-RSRP-Range-r12,

...

}

MeasResultListUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultUTRA

MeasResultUTRA ::= SEQUENCE {

physCellId CHOICE {

fdd PhysCellIdUTRA-FDD,

tdd PhysCellIdUTRA-TDD

},

cgi-Info SEQUENCE {

cellGlobalId CellGlobalIdUTRA,

locationAreaCode BIT STRING (SIZE (16)) OPTIONAL,

routingAreaCode BIT STRING (SIZE (8)) OPTIONAL,

plmn-IdentityList PLMN-IdentityList2 OPTIONAL

} OPTIONAL,

measResult SEQUENCE {

utra-RSCP INTEGER (-5..91) OPTIONAL,

utra-EcN0 INTEGER (0..49) OPTIONAL,

...,

[[ additionalSI-Info-r9 AdditionalSI-Info-r9 OPTIONAL

]],

[[ primaryPLMN-Suitable-r12 ENUMERATED {true} OPTIONAL

]]

}

}

MeasResultListGERAN ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultGERAN

MeasResultGERAN ::= SEQUENCE {

carrierFreq CarrierFreqGERAN,

physCellId PhysCellIdGERAN,

cgi-Info SEQUENCE {

cellGlobalId CellGlobalIdGERAN,

routingAreaCode BIT STRING (SIZE (8)) OPTIONAL

} OPTIONAL,

measResult SEQUENCE {

rssi INTEGER (0..63),

...

}

}

MeasResultsCDMA2000 ::= SEQUENCE {

preRegistrationStatusHRPD BOOLEAN,

measResultListCDMA2000 MeasResultListCDMA2000

}

MeasResultListCDMA2000 ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultCDMA2000

MeasResultCDMA2000 ::= SEQUENCE {

physCellId PhysCellIdCDMA2000,

cgi-Info CellGlobalIdCDMA2000 OPTIONAL,

measResult SEQUENCE {

pilotPnPhase INTEGER (0..32767) OPTIONAL,

pilotStrength INTEGER (0..63),

...

}

}

MeasResultListWLAN-r13 ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultWLAN-r13

MeasResultListWLAN-r14 ::= SEQUENCE (SIZE (1..maxWLAN-Id-Report-r14)) OF MeasResultWLAN-r13

MeasResultWLAN-r13 ::= SEQUENCE {

wlan-Identifiers-r13 WLAN-Identifiers-r12,

carrierInfoWLAN-r13 WLAN-CarrierInfo-r13 OPTIONAL,

bandWLAN-r13 WLAN-BandIndicator-r13 OPTIONAL,

rssiWLAN-r13 WLAN-RSSI-Range-r13,

availableAdmissionCapacityWLAN-r13 INTEGER (0..31250) OPTIONAL,

backhaulDL-BandwidthWLAN-r13 WLAN-backhaulRate-r12 OPTIONAL,

backhaulUL-BandwidthWLAN-r13 WLAN-backhaulRate-r12 OPTIONAL,

channelUtilizationWLAN-r13 INTEGER (0..255) OPTIONAL,

stationCountWLAN-r13 INTEGER (0..65535) OPTIONAL,

connectedWLAN-r13 ENUMERATED {true} OPTIONAL,

...

}

MeasResultListCBR-r14 ::= SEQUENCE (SIZE (1..maxCBR-Report-r14)) OF MeasResultCBR-r14

MeasResultCBR-r14 ::= SEQUENCE {

poolIdentity-r14 SL-V2X-TxPoolReportIdentity-r14,

cbr-PSSCH-r14 SL-CBR-r14,

cbr-PSCCH-r14 SL-CBR-r14 OPTIONAL

}

MeasResultSensing-r15 ::= SEQUENCE {

sl-SubframeRef-r15 INTEGER (0..10239),

sensingResult-r15 SEQUENCE (SIZE (0..400)) OF SensingResult-r15

}

SensingResult-r15 ::= SEQUENCE {

resourceIndex-r15 INTEGER (1..2000)

}

MeasResultForECID-r9 ::= SEQUENCE {

ue-RxTxTimeDiffResult-r9 INTEGER (0..4095),

currentSFN-r9 BIT STRING (SIZE (10))

}

PLMN-IdentityList2 ::= SEQUENCE (SIZE (1..5)) OF PLMN-Identity

AdditionalSI-Info-r9 ::= SEQUENCE {

csg-MemberStatus-r9 ENUMERATED {member} OPTIONAL,

csg-Identity-r9 CSG-Identity OPTIONAL

}

MeasResultForRSSI-r13 ::= SEQUENCE {

rssi-Result-r13 RSSI-Range-r13,

channelOccupancy-r13 INTEGER (0..100),

...

}

UL-PDCP-DelayResultList-r13 ::= SEQUENCE (SIZE (1..maxQCI-r13)) OF UL-PDCP-DelayResult-r13

UL-PDCP-DelayResult-r13 ::= SEQUENCE {

qci-Id-r13 ENUMERATED {qci1, qci2, qci3, qci4, spare4, spare3, spare2, spare1},

excessDelay-r13 INTEGER (0..31),

...

}

CGI-InfoNR-r15 ::= SEQUENCE {

plmn-IdentityInfoList-r15 PLMN-IdentityInfoListNR-r15 OPTIONAL,

frequencyBandList-15 MultiFrequencyBandListNR-r15 OPTIONAL,

noSIB1-r15 SEQUENCE {

ssb-SubcarrierOffset-r15 INTEGER (0..15),

pdcch-ConfigSIB1-r15 INTEGER (0..255)

} OPTIONAL,

...

}

CellIdentityNR-r15 ::= BIT STRING (SIZE (36))

PLMN-IdentityListNR-r15 ::= SEQUENCE (SIZE (1.. maxPLMN-NR-r15)) OF PLMN-Identity

PLMN-IdentityInfoListNR-r15 ::= SEQUENCE (SIZE (1..maxPLMN-NR-r15)) OF PLMN-IdentityInfoNR-r15

PLMN-IdentityInfoNR-r15 ::= SEQUENCE {

plmn-IdentityList-r15 PLMN-IdentityListNR-r15,

trackingAreaCode-r15 TrackingAreaCodeNR-r15 OPTIONAL,

ran-AreaCode-r15 RAN-AreaCode-r15 OPTIONAL,

cellIdentity-r15 CellIdentityNR-r15

}

TrackingAreaCodeNR-r15 ::= BIT STRING (SIZE (24))

-- ASN1STOP

| *MeasResults* field descriptions |
| --- |
| ***availableAdmissionCapacityWLAN***  Indicates the available admission capacity of WLAN as defined in IEEE 802.11-2012 [67]. |
| ***backhaulDL-BandwidthWLAN***  Indicates the backhaul available downlink bandwidth of WLAN, equal to Downlink Speed times Downlink Load defined in Wi-Fi Alliance Hotspot 2.0 [76]. |
| ***backhaulUL-BandwidthWLAN***  Indicates the backhaul available uplink bandwidth of WLAN, equal to Uplink Speed times Uplink Load defined in Wi-Fi Alliance Hotspot 2.0 [76]. |
| ***bandWLAN***  Indicates the WLAN band. |
| ***carrierFreq***  Indicates the carrier frequency. Within *MeasResultIdleListEUTRA-r15*, UE only includes measurements with the same carrier frequency. |
| ***carrierInfoWLAN***  Indicates the WLAN channel information. |
| ***cbr-PSSCH***  Indicates the CBR measurement results on the PSSCH of the pool indicated by *poolIdentity*. If *adjacencyPSCCH-PSSCH* is set to *TRUE* for the pool indicated by *pooIIdentit*y, this field indicates the CBR measurement of both the PSSCH and PSCCH resources which are measured together. |
| ***cbr-PSCCH***  Indicates the CBR measurement results on the PSCCH of the pool indicated by *poolIdentity.* This field is only included if *adjacencyPSCCH-PSSCH* is set to *FALSE* for the pool indicated by *pooIIdentity*. |
| ***channelOccupancy***  Indicates the percentage of samples when the RSSI was above the configured *channelOccupancyThreshold* for the associated *reportConfig*. |
| ***channelUtilizationWLAN***  Indicates WLAN channel utilization as defined in IEEE 802.11-2012 [67]. |
| ***connectedWLAN***  Indicates whether the UE is connected to the WLAN for which the measurement results are applicable. |
| ***csg-MemberStatus***  Indicates whether or not the UE is a member of the CSG of the neighbour cell. |
| ***currentSFN***  Indicates the current system frame number when receiving the UE Rx-Tx time difference measurement results from lower layer. |
| ***excessDelay***  Indicates excess queueing delay ratio in UL, according to excess delay ratio measurement report mapping table, as defined in TS 36.314 [71], Table 4.2.1.1.1-1. |
| ***heightUE***  Indicates height of the UE in meters relative to the sea level. Value 0 corresponds to sea level (i.e., negative value indicates depth of the UE below sea level). Value -400 corresponds to -400 m, value -399 corresponds to -399 m and so on. |
| ***locationAreaCode***  A fixed length code identifying the location area within a PLMN, as defined in TS 23.003 [27]. |
| ***measId***  Identifies the measurement identity for which the reporting is being performed. If the *measId-v1250* is included, the *measId* (i.e. without a suffix) is ignored by eNB. |
| ***measResult***  Measured result of an E‑UTRA cell;  Measured result of a UTRA cell;  Measured result of a GERAN cell or frequency;  Measured result of a CDMA2000 cell;  Measured result of a WLAN;  Measured result of UE Rx–Tx time difference;  Measured result of UE SFN, radio frame and subframe timing difference; or  Measured result of RSSI and channel occupancy. |
| ***measResultCSI-RS-List***  Measured results of the CSI-RS resources in discovery signals measurement. |
| ***measResultListCDMA2000***  List of measured results for the maximum number of reported best cells for a CDMA2000 measurement identity. |
| ***measResultListEUTRA***  List of measured results for the maximum number of reported best cells for an E‑UTRA measurement identity. For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, *measResult-v1360* is reported if the measured RSRP is less than -140 dBm. |
| ***measResultListGERAN***  List of measured results for the maximum number of reported best cells or frequencies for a GERAN measurement identity. |
| ***measResultListSFTD***  List of measured SFTD results for the reported cells for a NR measurement identity. |
| ***measResultListUTRA***  List of measured results for the maximum number of reported best cells for a UTRA measurement identity. |
| ***measResultListWLAN***  List of measured results for the maximum number of reported best WLAN outside the WLAN mobility set and connected WLAN, if any, for a WLAN measurement identity. |
| ***measResultPCell***  Measured result of the PCell. For BL UEs or UEs in CE, when operating in CE Mode B, *measResultPCell-v1360* is reported if the measured RSRP is less than -140 dBm. |
| **measResultsCDMA2000**  Contains the CDMA2000 HRPD pre-registration status and the list of CDMA2000 measurements. |
| ***MeasResultServFreqList***  Measured results of the serving frequencies: the measurement result of each SCell, if any, and of the best neighbouring cell on each serving frequency. For UE supporting CE Mode B, when CE mode B is not restricted by upper layers, *measResultBestNeighCell-v1360* is reported if the measured RSRP is less than -140 dBm. |
| ***measResultServingCell***  Measured results of the serving cell (i.e., PCell) from idle/inactive measurements. |
| ***noSIB1***  Contains *ssb-SubcarrierOffset* and *pdcch-ConfigSIB1* fields acquired by the UE from MIB of the cell for which report CGI procedure was requested by the network in case SIB1 was not broadcast by the cell. |
| ***pilotPnPhase***  Indicates the arrival time of a CDMA2000 pilot, measured relative to the UE's time reference in units of PN chips, see C.S0005 [25]. This information is used in either SRVCC handover or enhanced 1xRTT CS fallback procedure to CDMA2000 1xRTT. |
| ***pilotStrength***  CDMA2000 Pilot Strength, the ratio of pilot power to total power in the signal bandwidth of a CDMA2000 Forward Channel. See C.S0005 [25] for CDMA2000 1xRTT and C.S0024 [26] for CDMA2000 HRPD. |
| ***poolIdentity***  The identity of the transmission resource pool which is corresponding to the *poolReportId* configured ina resource pool for V2X sidelink communication. |
| ***plmn-IdentityList***  The list of PLMN Identity read from broadcast information when the multiple PLMN Identities are broadcast. |
| ***preRegistrationStatusHRPD***  Set to TRUE if the UE is currently pre-registered with CDMA2000 HRPD. Otherwise set to FALSE. This can be ignored by the eNB for CDMA2000 1xRTT. |
| ***qci-Id***  Indicates QCI value for which *excessDelay* is provided, according to TS 36.314 [71]. |
| **resourceIndex**  Indicates the available resource candidates within the [T1, T2] window as specified in TS 36.213 [23]. clause 14.1.1.6. Value 1 indicates the resource candidate on the subframe indicated by *sl-SubframeRe*f, from subchannel 0 to *sensingSubchannelNumber*-1. Value 2 indicates the resource candidate on the first subframe following the subframe indicated by *sl-SubframeRef*, from subchannel 0 to *sensingSubchannelNumber*-1 (Value 101 indicates the resource candidate on the subframe indicated by *sl-SubframeRef*, from subchannel 1 to *sensingSubchannelNumber*, if the *numSubchannel* of the resource pool is larger than *sensingSubchannelNumber*) and so on. |
| ***routingAreaCode***  The RAC identity read from broadcast information, as defined in TS 23.003 [27]. |
| ***rsrpResult***  Measured RSRP result of an E‑UTRA cell.  The rsrpResult is only reported if configured by the eNB. |
| ***rsrqResult***  Measured RSRQ result of an E‑UTRA cell.  The rsrqResult is only reported if configured by the eNB. |
| ***rssi***  GERAN Carrier RSSI. RXLEV is mapped to a value between 0 and 63, TS 45.008 [28]. When mapping the RXLEV value to the RSSI bit string, the first/leftmost bit of the bit string contains the most significant bit. |
| ***rssi-Result***  Measured RSSI result in dBm. |
| ***rs-sinr-Result***  Measured RS-SINR result of an E‑UTRA or NR cell. The *rs-sinr-Result* is only reported if configured by the eNB. |
| ***rssiWLAN***  Measured WLAN RSSI result in dBm. |
| ***sl-SubframeRef***  Indicates the subframe corresponding to n+T1 used to obtain the sensing measurement results (see TS 36.213 [23]). Specifically, the value indicates the timing offset with respect to subframe#0 of DFN#0 in milliseconds. |
| ***stationCountWLAN***  Indicates the total number stations currently associated with this WLAN as defined in IEEE 802.11-2012 [67]. |
| ***ue-RxTxTimeDiffResult***  UE Rx-Tx time difference measurement result of the PCell, provided by lower layers. If *ue-RxTxTimeDiffPeriodicalTDD-r13* is set to *TRUE*, the measurement mapping is according to EUTRAN TDD UE Rx-Tx time difference report mapping in TS 36.133 [16] and measurement result includes *NTAoffset*, else the measurement mapping is according to EUTRAN FDD UE Rx-Tx time difference report mapping in TS 36.133 [16]. |
| ***utra-EcN0***  According to CPICH\_Ec/No in TS 25.133 [29] for FDD. Fourteen spare values. The field is not present for TDD. |
| ***utra-RSCP***  According to CPICH\_RSCP in TS 25.133 [29] for FDD and P-CCPCH\_RSCP in TS 25.123 [30] for TDD. Thirty-one spare values. |
| ***wlan-Identifiers***  Indicates the WLAN parameters used for identification of the WLAN for which the measurement results are applicable. |

*END OF CHANGES*

START OF CHANGES

## 6.4 RRC multiplicity and type constraint values

### – Multiplicity and type constraint definitions

-- ASN1START

maxAccessCat-1-r15 INTEGER ::= 63 -- Maximum number of Access Categories - 1

maxACDC-Cat-r13 INTEGER ::= 16 -- Maximum number of ACDC categories (per PLMN)

maxAvailNarrowBands-r13 INTEGER ::= 16 -- Maximum number of narrowbands

maxBandComb-r10 INTEGER ::= 128 -- Maximum number of band combinations.

maxBandComb-r11 INTEGER ::= 256 -- Maximum number of additional band combinations.

maxBandComb-r13 INTEGER ::= 384 -- Maximum number of band combinations in Rel-13

maxBands INTEGER ::= 64 -- Maximum number of bands listed in EUTRA UE caps

maxBandsNR-r15 INTEGER ::= 1024 -- Maximum number of NR bands listed in EUTRA UE caps

maxBandwidthClass-r10 INTEGER ::= 16 -- Maximum number of supported CA BW classes per band

maxBandwidthCombSet-r10 INTEGER ::= 32 -- Maximum number of bandwidth combination sets per

-- supported band combination

maxBarringInfoSet-r15 INTEGER ::= 8 -- Maximum number of UAC barring information sets

maxBT-IdReport-r15 INTEGER ::= 32 -- Maximum number of Bluetooth IDs to report

maxBT-Name-r15 INTEGER ::= 4 -- Maximum number of Bluetooth name

maxCBR-Level-r14 INTEGER ::= 16 -- Maximum number of CBR levels

maxCBR-Level-1-r14 INTEGER ::= 15

maxCBR-Report-r14 INTEGER ::= 72 -- Maximum number of CBR results in a report

maxCDMA-BandClass INTEGER ::= 32 -- Maximum value of the CDMA band classes

maxCE-Level-r13 INTEGER ::= 4 -- Maximum number of CE levels

maxCellBlack INTEGER ::= 16 -- Maximum number of blacklisted physical cell identity

-- ranges listed in SIB type 4 and 5

maxCellHistory-r12 INTEGER ::= 16 -- Maximum number of visited EUTRA cells reported

maxCellInfoGERAN-r9 INTEGER ::= 32 -- Maximum number of GERAN cells for which system in-

-- formation can be provided as redirection assistance

maxCellInfoUTRA-r9 INTEGER ::= 16 -- Maximum number of UTRA cells for which system

-- information can be provided as redirection

-- assistance

maxCellMeasIdle-r15 INTEGER ::= 8 -- Maximum number of neighbouring inter-frequency

-- cells per carrier measured in RRC\_IDLE and RRC\_INACTIVE

maxCellMeasIdle-r16 INTEGER ::= 8 -- Value FFS

maxCombIDC-r11 INTEGER ::= 128 -- Maximum number of reported UL CA or

-- MR-DC combinations

maxCSI-IM-r11 INTEGER ::= 3 -- Maximum number of CSI-IM configurations

-- (per carrier frequency)

maxCSI-IM-r12 INTEGER ::= 4 -- Maximum number of CSI-IM configurations

-- (per carrier frequency)

minCSI-IM-r13 INTEGER ::= 5 -- Minimum number of CSI IM configurations from which

-- REL-13 extension is used

maxCSI-IM-r13 INTEGER ::= 24 -- Maximum number of CSI-IM configurations

-- (per carrier frequency)

maxCSI-IM-v1310 INTEGER ::= 20 -- Maximum number of additional CSI-IM configurations

-- (per carrier frequency)

maxCSI-Proc-r11 INTEGER ::= 4 -- Maximum number of CSI processes (per carrier

-- frequency)

maxCSI-RS-NZP-r11 INTEGER ::= 3 -- Maximum number of CSI RS resource

-- configurations using non-zero Tx power

-- (per carrier frequency)

minCSI-RS-NZP-r13 INTEGER ::= 4 -- Minimum number of CSI RS resource from which

-- REL-13 extension is used

maxCSI-RS-NZP-r13 INTEGER ::= 24 -- Maximum number of CSI RS resource

-- configurations using non-zero Tx power

-- (per carrier frequency)

maxCSI-RS-NZP-v1310 INTEGER ::= 21 -- Maximum number of additional CSI RS resource

-- configurations using non-zero Tx power

-- (per carrier frequency)

maxCSI-RS-ZP-r11 INTEGER ::= 4 -- Maximum number of CSI RS resource

-- configurations using zero Tx power(per carrier

-- frequency)

maxCQI-ProcExt-r11 INTEGER ::= 3 -- Maximum number of additional periodic CQI

-- configurations (per carrier frequency)

maxFreqUTRA-TDD-r10 INTEGER ::= 6 -- Maximum number of UTRA TDD carrier frequencies for

-- which system information can be provided as

-- redirection assistance

maxCellInter INTEGER ::= 16 -- Maximum number of neighbouring inter-frequency

-- cells listed in SIB type 5

maxCellIntra INTEGER ::= 16 -- Maximum number of neighbouring intra-frequency

-- cells listed in SIB type 4

maxCellListGERAN INTEGER ::= 3 -- Maximum number of lists of GERAN cells

maxCellMeas INTEGER ::= 32 -- Maximum number of entries in each of the

-- cell lists in a measurement object

maxCellReport INTEGER ::= 8 -- Maximum number of reported cells/CSI-RS resources

maxCellSFTD INTEGER ::= 3 -- Maximum number of cells for SFTD reporting

maxConfigSPS-r14 INTEGER ::= 8 -- Maximum number of simultaneous SPS configurations

maxConfigSPS-r15 INTEGER ::= 6 -- Maximum number of simultaneous SPS configurations

-- configured with SPS C-RNTI

maxCSI-RS-Meas-r12 INTEGER ::= 96 -- Maximum number of entries in the CSI-RS list

-- in a measurement object

maxDRB INTEGER ::= 11 -- Maximum number of Data Radio Bearers

maxDRBExt-r15 INTEGER ::= 4 -- Maximum number of additional DRBs

maxDRB-r15 INTEGER ::= 15 -- Highest value of extended maximum number of DRBs

maxDS-Duration-r12 INTEGER ::= 5 -- Maximum number of subframes in a discovery signals

-- occasion

maxDS-ZTP-CSI-RS-r12 INTEGER ::= 5 -- Maximum number of zero transmission power CSI-RS for

-- a serving cell concerning discovery signals

maxEARFCN INTEGER ::= 65535 -- Maximum value of EUTRA carrier frequency

maxEARFCN-Plus1 INTEGER ::= 65536 -- Lowest value extended EARFCN range

maxEARFCN2 INTEGER ::= 262143 -- Highest value extended EARFCN range

maxEPDCCH-Set-r11 INTEGER ::= 2 -- Maximum number of EPDCCH sets

maxFBI INTEGER ::= 64 -- Maximum value of fequency band indicator

maxFBI-NR-r15 INTEGER ::= 1024 -- Highest value FBI range for NR.

maxFBI-Plus1 INTEGER ::= 65 -- Lowest value extended FBI range

maxFBI2 INTEGER ::= 256 -- Highest value extended FBI range

maxFeatureSets-r15 INTEGER ::= 256 -- Total number of feature sets (size of pool)

maxPerCC-FeatureSets-r15 INTEGER ::= 32 -- Total number of CC-specific feature sets

-- (size of the pool)

maxFFS INTEGER ::= 8 -- Maximum number value FFS

maxFreq INTEGER ::= 8 -- Maximum number of carrier frequencies

maxFreqIDC-r11 INTEGER ::= 32 -- Maximum number of carrier frequencies that are

-- affected by the IDC problems

maxFreqIdle-r15 INTEGER ::= 8 -- Maximum number of carrier frequencies for

-- IDLE mode measurements configured by eNB

maxFreqIdle-r16 INTEGER ::= 8 -- Value FFS

maxFreqMBMS-r11 INTEGER ::= 5 -- Maximum number of carrier frequencies for which an

-- MBMS capable UE may indicate an interest

maxFreqNR-r15 INTEGER ::= 5 -- Maximum number of NR carrier frequencies for

-- which a UE may provide measurement results upon

-- NR SCG failure

maxFreqV2X-r14 INTEGER ::= 8 -- Maximum number of carrier frequencies for which V2X

-- sidelink communication can be configured

maxFreqV2X-1-r14 INTEGER ::= 7 -- Highest index of frequencies

maxGERAN-SI INTEGER ::= 10 -- Maximum number of GERAN SI blocks that can be

-- provided as part of NACC information

maxGNFG INTEGER ::= 16 -- Maximum number of GERAN neighbour freq groups

maxIdleMeasCarriers-r15 INTEGER ::= 3 -- Maximum number of neighbouring inter-

-- frequency carriers measured in RRC\_IDLE and RRC\_INACTIVE

maxLCG-r13 INTEGER ::= 4 -- Maximum number of logical channel groups

maxLogMeasReport-r10 INTEGER ::= 520 -- Maximum number of logged measurement entries

-- that can be reported by the UE in one message

maxMBSFN-Allocations INTEGER ::= 8 -- Maximum number of MBSFN frame allocations with

-- different offset

maxMBSFN-Area INTEGER ::= 8

maxMBSFN-Area-1 INTEGER ::= 7

maxMBMS-ServiceListPerUE-r13 INTEGER ::= 15 -- Maximum number of services which the UE can

-- include in the MBMS interest indication

maxMeasId INTEGER ::= 32

maxMeasId-Plus1 INTEGER ::= 33

maxMeasId-r12 INTEGER ::= 64

maxMultiBands INTEGER ::= 8 -- Maximum number of additional frequency bands

-- that a cell belongs to

maxMultiBandsNR-r15 INTEGER ::= 32 -- Maximum number of additional NR frequency bands

-- that a cell belongs to

maxMultiBandsNR-1-r15 INTEGER ::= 31

maxNS-Pmax-r10 INTEGER ::= 8 -- Maximum number of NS and P-Max values per band

maxNAICS-Entries-r12 INTEGER ::= 8 -- Maximum number of supported NAICS combination(s)

maxNeighCell-r12 INTEGER ::= 8 -- Maximum number of neighbouring cells in NAICS

-- configuration (per carrier frequency)

maxNeighCell-SCPTM-r13 INTEGER ::= 8 -- Maximum number of SCPTM neighbour cells

maxNrofS-NSSAI-r15 INTEGER ::= 8 -- Maximum number of S-NSSAI

maxObjectId INTEGER ::= 32

maxObjectId-Plus1-r13 INTEGER ::= 33

maxObjectId-r13 INTEGER ::= 64

maxP-a-PerNeighCell-r12 INTEGER ::= 3 -- Maximum number of power offsets for a neighbour cell

-- in NAICS configuration

maxPageRec INTEGER ::= 16 --

maxPhysCellIdRange-r9 INTEGER ::= 4 -- Maximum number of physical cell identity ranges

maxPLMN-r11 INTEGER ::= 6 -- Maximum number of PLMNs

maxPLMN-1-r14 INTEGER ::= 5 -- Maximum number of PLMNs minus one

maxPLMN-r15 INTEGER ::= 8 -- Maximum number of PLMNs for RNA configuration

maxPLMN-NR-r15 INTEGER ::= 12 -- Maximum number of NR PLMNs

maxPNOffset INTEGER ::= 511 -- Maximum number of CDMA2000 PNOffsets

maxPMCH-PerMBSFN INTEGER ::= 15

maxPSSCH-TxConfig-r14 INTEGER ::= 16 -- Maximum number of PSSCH TX configurations

maxQuantSetsNR-r15 INTEGER ::= 2 -- Maximum number of NR quantity configuration sets

maxQCI-r13 INTEGER ::= 6 -- Maximum number of QCIs

maxRAT-Capabilities INTEGER ::= 8 -- Maximum number of interworking RATs (incl EUTRA)

maxRE-MapQCL-r11 INTEGER ::= 4 -- Maximum number of PDSCH RE Mapping configurations

-- (per carrier frequency)

maxReportConfigId INTEGER ::= 32

maxReservationPeriod-r14 INTEGER ::= 16 -- Maximum number of resource reservation periodicities

-- for sidelink V2X communication

maxRS-Index-r15 INTEGER ::= 64 -- Maximum number of RS indices

maxRS-Index-1-r15 INTEGER ::= 63 -- Highest value of RS index as used to identify

-- RS index in RRM reports.

maxRS-IndexCellQual-r15 INTEGER ::= 16 -- Maximum number of RS indices averaged to derive

-- cell quality for RRM.

maxRS-IndexReport-r15 INTEGER ::= 32 -- Maximum number of RS indices for RRM.

maxRSTD-Freq-r10 INTEGER ::= 3 -- Maximum number of frequency layers for RSTD

-- measurement

maxSAI-MBMS-r11 INTEGER ::= 64 -- Maximum number of MBMS service area identities

-- broadcast per carrier frequency

maxSCell-r10 INTEGER ::= 4 -- Maximum number of SCells

maxSCell-r13 INTEGER ::= 31 -- Highest value of extended number range of SCells

maxSCellGroups-r15 INTEGER ::= 4 -- Maximum number of SCell common parameter groups

maxSC-MTCH-r13 INTEGER ::= 1023 -- Maximum number of SC-MTCHs in one cell

maxSC-MTCH-BR-r14 INTEGER ::= 128 -- Maximum number of SC-MTCHs in one cell for feMTC

maxSL-CommRxPoolNFreq-r13 INTEGER ::= 32 -- Maximum number of individual sidelink communication

-- Rx resource pools on neighbouring freq

maxSL-CommRxPoolPreconf-v1310 INTEGER ::= 12 -- Maximum number of additional preconfigured

-- sidelink communication Rx resource pool entries

maxSL-TxPool-r12Plus1-r13 INTEGER ::= 5 -- First additional individual sidelink

-- Tx resource pool

maxSL-TxPool-v1310 INTEGER ::= 4 -- Maximum number of additional sidelink

-- Tx resource pool entries

maxSL-TxPool-r13 INTEGER ::= 8 -- Maximum number of individual sidelink

-- Tx resource pools

maxSL-CommTxPoolPreconf-v1310 INTEGER ::= 7 -- Maximum number of additional preconfigured

-- sidelink Tx resource pool entries

maxSL-Dest-r12 INTEGER ::= 16 -- Maximum number of sidelink destinations

maxSL-DiscCells-r13 INTEGER ::= 16 -- Maximum number of cells with similar sidelink

-- configurations

maxSL-DiscPowerClass-r12 INTEGER ::= 3 -- Maximum number of sidelink power classes

maxSL-DiscRxPoolPreconf-r13 INTEGER ::= 16 -- Maximum number of preconfigured sidelink

-- discovery Rx resource pool entries

maxSL-DiscSysInfoReportFreq-r13 INTEGER ::= 8 -- Maximum number of frequencies to include in a

-- SidelinkUEInformation for SI reporting

maxSL-DiscTxPoolPreconf-r13 INTEGER ::= 4 -- Maximum number of preconfigured sidelink

-- discovery Tx resource pool entries

maxSL-GP-r13 INTEGER ::= 8 -- Maximum number of gap patterns that can be requested

-- for a frequency or assigned

maxSL-PoolToMeasure-r14 INTEGER ::= 72 -- Maximum number of TX resource pools for CBR

-- measurement and report

maxSL-Prio-r13 INTEGER ::= 8 -- Maximum number of entries in sidelink priority list

maxSL-RxPool-r12 INTEGER ::= 16 -- Maximum number of individual sidelink Rx resource pools

maxSL-Reliability-r15 INTEGER ::= 8 -- Maximum number of entries in sidelink reliability list

maxSL-SyncConfig-r12 INTEGER ::= 16 -- Maximum number of sidelink Sync configurations

maxSL-TF-IndexPair-r12 INTEGER ::= 64 -- Maximum number of sidelink Time Freq resource index

-- pairs

maxSL-TxPool-r12 INTEGER ::= 4 -- Maximum number of individual sidelink Tx resource pools

maxSL-V2X-RxPool-r14 INTEGER ::= 16 -- Maximum number of RX resource pools for

-- V2X sidelink communication

maxSL-V2X-RxPoolPreconf-r14 INTEGER ::= 16 -- Maximum number of RX resource pools for

-- V2X sidelink communication

maxSL-V2X-TxPool-r14 INTEGER ::= 8 -- Maximum number of TX resource pools for

-- V2X sidelink communication

maxSL-V2X-TxPoolPreconf-r14 INTEGER ::= 8 -- Maximum number of TX resource pools for

-- V2X sidelink communication

maxSL-V2X-SyncConfig-r14 INTEGER ::= 16 -- Maximum number of sidelink Sync configurations

-- for V2X sidelink communication

maxSL-V2X-CBRConfig-r14 INTEGER ::= 4 -- Maximum number of CBR range configurations

-- for V2X sidelink communication congestion

-- control

maxSL-V2X-CBRConfig-1-r14 INTEGER ::= 3

maxSL-V2X-TxConfig-r14 INTEGER ::= 64 -- Maximum number of TX parameter configurations

-- for V2X sidelink communication congestion

-- control

maxSL-V2X-TxConfig-1-r14 INTEGER ::= 63

maxSL-V2X-CBRConfig2-r14 INTEGER ::= 8 -- Maximum number of CBR range configurations in

-- pre-configuration for V2X sidelink

-- communication congestion control

maxSL-V2X-CBRConfig2-1-r14 INTEGER ::= 7

maxSL-V2X-TxConfig2-r14 INTEGER ::= 128 -- Maximum number of TX parameter

-- configurations in pre-configuration for V2X

-- sidelink communication congestion control

maxSL-V2X-TxConfig2-1-r14 INTEGER ::= 127

maxSTAG-r11 INTEGER ::= 3 -- Maximum number of STAGs

maxServCell-r10 INTEGER ::= 5 -- Maximum number of Serving cells

maxServCell-r13 INTEGER ::= 32 -- Highest value of extended number range of Serving cells

maxServCellNR-r15 INTEGER ::= 16 -- Maximum number of NR serving cells

maxServiceCount INTEGER ::= 16 -- Maximum number of MBMS services that can be included

-- in an MBMS counting request and response

maxServiceCount-1 INTEGER ::= 15

maxSessionPerPMCH INTEGER ::= 29

maxSessionPerPMCH-1 INTEGER ::= 28

maxSIB INTEGER ::= 32 -- Maximum number of SIBs

maxSIB-1 INTEGER ::= 31

maxSI-Message INTEGER ::= 32 -- Maximum number of SI messages

maxSimultaneousBands-r10 INTEGER ::= 64 -- Maximum number of simultaneously aggregated bands

maxSubframePatternIDC-r11 INTEGER ::= 8 -- Maximum number of subframe reservation patterns

-- that the UE can simultaneously recommend to the

-- E-UTRAN for use.

maxTrafficPattern-r14 INTEGER ::= 8 -- Maximum number of periodical traffic patterns

-- that the UE can simultaneously report to the

-- E-UTRAN.

maxUTRA-FDD-Carrier INTEGER ::= 16 -- Maximum number of UTRA FDD carrier frequencies

maxUTRA-TDD-Carrier INTEGER ::= 16 -- Maximum number of UTRA TDD carrier frequencies

maxWayPoint-r15 INTEGER ::= 20 -- Maximum number of flight path information waypoints

maxWLAN-Id-r12 INTEGER ::= 16 -- Maximum number of WLAN identifiers

maxWLAN-Bands-r13 INTEGER ::= 8 -- Maximum number of WLAN bands

maxWLAN-Id-r13 INTEGER ::= 32 -- Maximum number of WLAN identifiers

maxWLAN-Channels-r13 INTEGER ::= 16 -- maximum number of WLAN channels used in

-- WLAN-CarrierInfo

maxWLAN-CarrierInfo-r13 INTEGER ::= 8 -- Maximum number of WLAN Carrier Information

maxWLAN-Id-Report-r14 INTEGER ::= 32 -- Maximum number of WLAN IDs to report

maxWLAN-Name-r15 INTEGER ::= 4 -- Maximum number of WLAN name

-- ASN1STOP

NOTE: The value of maxDRB aligns with SA2.

*END OF CHANGES*

START OF CHANGES

# 7 Variables and constants

## 7.1 UE variables

NOTE: To facilitate the specification of the UE behavioural requirements, UE variables are represented using ASN.1. Unless explicitly specified otherwise, it is however up to UE implementation how to store the variables. The optionality of the IEs in ASN.1 is used only to indicate that the values may not always be available.

#### – *EUTRA-UE-Variables*

This ASN.1 segment is the start of the E‑UTRA UE variable definitions.

-- ASN1START

EUTRA-UE-Variables DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

AbsoluteTimeInfo-r10,

AreaConfiguration-r10,

AreaConfiguration-v1130,

ARFCN-ValueNR-r15,

BT-NameList-r15,

CarrierFreqGERAN,

CellIdentity,

CellList-r15,

ConnEstFailReport-r11,

EUTRA-CarrierList-r15,

SpeedStateScaleFactors,

C-RNTI,

LoggingDuration-r10,

LoggingInterval-r10,

LogMeasInfo-r10,

MeasCSI-RS-Id-r12,

MeasId,

MeasId-v1250,

MeasIdToAddModList,

MeasIdToAddModListExt-r12,

MeasIdToAddModList-v1310,

MeasIdToAddModListExt-v1310,

MeasObjectToAddModList,

MeasObjectToAddModList-v9e0,

MeasObjectToAddModListExt-r13,

MeasResultListIdle-r15,

MeasResultListIdleNR-r16,

MeasScaleFactor-r12,

MobilityStateParameters,

NeighCellConfig,

NR-CarrierList-r16,

PhysCellId,

PhysCellIdCDMA2000,

PhysCellIdGERAN,

PhysCellIdUTRA-FDD,

PhysCellIdUTRA-TDD,

PLMN-Identity,

PLMN-IdentityList3-r11,

QuantityConfig,

ReportConfigToAddModList,

RLF-Report-r9,

TargetMBSFN-AreaList-r12,

TraceReference-r10,

Tx-ResourcePoolMeasList-r14,

VisitedCellInfoList-r12,

maxCellMeas,

maxCSI-RS-Meas-r12,

maxMeasId,

maxMeasId-r12,

maxRS-Index-r15,

PhysCellIdNR-r15,

RS-IndexNR-r15,

UL-DelayConfig-r13,

ValidityAreaList-r16,

WLAN-CarrierInfo-r13,

WLAN-Identifiers-r12,

WLAN-Id-List-r13,

WLAN-NameList-r15,

WLAN-Status-r13,

WLAN-Status-v1430,

WLAN-SuspendConfig-r14

FROM EUTRA-RRC-Definitions;

-- ASN1STOP

*END OF CHANGES*

START OF CHANGES

#### – *VarMeasIdleConfig*

The UE variable *VarMeasIdleConfig* includes the configuration of the measurements to be performed by the UE while in RRC\_IDLE or RRC\_INACTIVE for E-UTRA inter-frequency and inter-RAT (i.e. NR) measurements.

*VarMeasIdleConfig* UE variable

-- ASN1START

VarMeasIdleConfig-r15 ::= SEQUENCE {

measIdleCarrierListEUTRA-r15 EUTRA-CarrierList-r15 OPTIONAL,

measIdleDuration-r15 ENUMERATED {sec10, sec30, sec60, sec120,

sec180, sec240, sec300}

}

VarMeasIdleConfig-r16 ::= SEQUENCE {

measIdleCarrierListNR-r16 NR-CarrierList-r16 OPTIONAL,

validityAreaList-r16 ValidityAreaList-r16 OPTIONAL

}

-- ASN1STOP

#### – *VarMeasIdleReport*

The UE variable *VarMeasIdleReport* includes the logged measurements information.

*VarMeasIdleReport* UE variable

-- ASN1START

VarMeasIdleReport-r15 ::= SEQUENCE {

measReportIdle-r15 MeasResultListIdle-r15

}

VarMeasIdleReport-r16 ::= SEQUENCE {

measReportIdleNR-r16 MeasResultListIdleNR-r16

}

-- ASN1STOP

*END OF CHANGES*

START OF CHANGES

## 7.3 Timers

### 7.3.1 Timers (Informative)

| Timer | Start | Stop | At expiry |
| --- | --- | --- | --- |
| T300  NOTE1 | Transmission of *RRCConnectionRequest* or *RRCConnectionResumeRequest* or *RRCEarlyDataRequest* | Reception of *RRCConnectionSetup*, *RRCConnectionReject* or *RRCConnectionResume* or *RRCEarlyDataComplete* or *RRCConnectionRelease* for UP-EDT, cell re-selection and upon abortion of connection establishment by upper layers | Perform the actions as specified in 5.3.3.6 |
| T301  NOTE1 | Transmission of *RRCConnectionReestabilshmentRequest* | Reception of *RRCConnectionReestablishment* or *RRCConnectionReestablishmentReject* message as well as when the selected cell becomes unsuitable | Go to RRC\_IDLE |
| T302 | Reception of *RRCConnectionReject* while performing RRC connection establishment or reception of *RRCConnectionRelease* including *waitTime* | Upon entering RRC\_CONNECTED and upon cell re-selection, or upon reception of *RRCEarlyDataComplete* or *RRCConnectionRelease* for UP-EDT or upon reception of *RRCConnectionReject* message for E-UTRA/5GC. | Inform upper layers about barring alleviation as specified in 5.3.3.7 |
| T303 | Access barred while performing RRC connection establishment for mobile originating calls | Upon entering RRC\_CONNECTED and upon cell re-selection, or upon reception of *RRCEarlyDataComplete* or *RRCConnectionRelease* for UP-EDT | Inform upper layers about barring alleviation as specified in 5.3.3.7 |
| T304 | Reception of *RRCConnectionReconfiguration* message including the *MobilityControl Info* or  reception of *MobilityFromEUTRACommand* message including *CellChangeOrder* | Criterion for successful completion of handover within E-UTRA, handover to E-UTRA or cell change order is met (the criterion is specified in the target RAT in case of inter-RAT) | In case of cell change order from E-UTRA or intra E-UTRA handover, initiate the RRC connection re-establishment procedure; In case of handover to E-UTRA, perform the actions defined in the specifications applicable for the source RAT. |
| T305 | Access barred while performing RRC connection establishment for mobile originating signalling | Upon entering RRC\_CONNECTED and upon cell re-selection, or upon reception of *RRCEarlyDataComplete* or *RRCConnectionRelease* for UP-EDT | Inform upper layers about barring alleviation as specified in 5.3.3.7 |
| T306 | Access barred while performing RRC connection establishment for mobile originating CS fallback. | Upon entering RRC\_CONNECTED and upon cell re-selection, or upon reception of *RRCEarlyDataComplete* or *RRCConnectionRelease* for UP-EDT | Inform upper layers about barring alleviation as specified in 5.3.3.7 |
| T307 | Reception of *RRCConnectionReconfiguration* message including *MobilityControlInfoSCG* | Successful completion of random access on the PSCell, upon initiating re-establishment and upon SCG release | Initiate the SCG failure information procedure as specified in 5.6.13. |
| T308 | Access barred due to ACDC while performing RRC connection establishment subject to ACDC | Upon entering RRC\_CONNECTED and upon cell re-selection, or upon reception of *RRCEarlyDataComplete* or *RRCConnectionRelease* for UP-EDT | Inform upper layers about barring alleviation for ACDC as specified in 5.3.3.7 |
| T309 | When access attempt is barred at access barring check for an Access Category. The UE shall maintain one instance of this timer per Access Category. | Upon entering RRC\_CONNECTED, upon cell (re)selection, upon reception of *RRCConnectionRelease,* upon change of PCell while in RRC\_CONNECTED, or upon reception of *MobilityFromEUTRACommand*. | Perform the actions as specified in 5.3.16.4. |
| T310  NOTE1  NOTE2 | Upon detecting physical layer problems for the PCell i.e. upon receiving N310 consecutive out-of-sync indications from lower layers | Upon receiving N311 consecutive in-sync indications from lower layers for the PCell, upon triggering the handover procedure and upon initiating the connection re-establishment procedure | If security is not activated and the UE is not a NB-IoT UE that supports RRC connection re-establishment for the Control Plane CIoT EPS optimisation: go to RRC\_IDLE else: initiate the MCG failure information procedure as specified in 5.6.x or the connection re-establishment procedure as specified in 5.3.7. |
| T311  NOTE1 | Upon initiating the RRC connection re-establishment procedure | Selection of a suitable E-UTRA cell or a cell using another RAT. | Enter RRC\_IDLE |
| T312  NOTE2 | Upon triggering a measurement report for a measurement identity for which T312 has been configured, while T310 is running | Upon receiving N311 consecutive in-sync indications from lower layers, upon triggering the handover procedure, upon initiating the connection re-establishment procedure, and upon the expiry of T310 | If security is not activated: go to RRC\_IDLE else: initiate the MCG failure information procedure as specified in 5.6.x or the connection re-establishment procedure as specified in 5.3.7. |
| T313  NOTE2 | Upon detecting physical layer problems for the PSCell i.e. upon receiving N313 consecutive out-of-sync indications from lower layers | Upon receiving N314 consecutive in-sync indications from lower layers for the PSCell, upon initiating the connection re-establishment procedure, upon SCG release and upon receiving *RRCConnectionReconfiguration* including *MobilityControlInfoSCG* | Inform E-UTRAN about the SCG radio link failure by initiating the SCG failure information procedure as specified in 5.6.13. |
| T316 | Upon transmission of the *MCGFailureInformation* message | Upon resumption of MCG transmission, upon reception of *RRCConnectionRelease*, or upon initiaitng the re-establishment procedure, | Perform the actions as specified in 5.6.x.5. |
| T320 | Upon receiving *t320* or upon cell (re)selection to E-UTRA from another RAT with validity time configured for dedicated priorities (in which case the remaining validity time is applied). | Upon entering RRC\_CONNECTED, when PLMN selection is performed on request by NAS, when the UE enters RRC\_IDLE from RRC\_INACTIVE, or upon cell (re)selection to another RAT (in which case the timer is carried on to the other RAT) , or upon reception of *RRCEarlyDataComplete* or *RRCConnectionRelease* for UP-EDT | Discard the cell reselection priority information provided by dedicated signalling. |
| T321 | Upon receiving *measConfig* including a *reportConfig* with the *purpose* set to *reportCGI* | Upon acquiring the information needed to set all fields of *cellGlobalId* for the requested cell, upon receiving *measConfig* that includes removal of the *reportConfig* with the *purpose* set to *reportCGI* and upon detecting that a cell is not broadcasting SIB1. | Initiate the measurement reporting procedure, stop performing the related measurements and remove the corresponding *measId* |
| T322  NOTE1 | Upon receiving *redirectedCarrierOffsetDedicated* included in *RedirectedCarrierInfo* | Upon entering RRC\_CONNECTED, when PLMN selection is performed on request by NAS, or upon cell (re)selection to another frequency or RAT, or upon reception of *RRCEarlyDataComplete* or *RRCConnectionRelease* for UP-EDT | Release *redirectedCarrierOffsetDedicated*. |
| T325 | Timer (re)started upon receiving *RRCConnectionReject* message with *deprioritisationTimer*. |  | Stop deprioritisation of all frequencies or E-UTRA signalled by *RRCConnectionReject.* |
| T330 | Upon receiving *LoggedMeasurementConfiguration* message | Upon log volume exceeding the suitable UE memory, upon initiating the release of *LoggedMeasurementConfiguration* procedure | Perform the actions specified in 5.6.6.4 |
| T331 | Upon receiving *RRCConnectionRelease* message including *measIdleConfig.* | Upon receiving *RRCConnectionSetup, RRCConnectionResume, RRCConnectionRelease* with an idle/inactive measurement configuration or indication to release the configuration, if *validityArea* is configured, upon reselecting to cell that does not belong to *validityArea (if confgirued),* or upon reselecting to an inter-RAT cell. | Release the stored *VarMeasIdleConfig.* |
| T340  NOTE2 | Upon transmitting *UEAssistanceInformation* message with *powerPrefIndication* set to *normal* | Upon initiating the connection re-establishment procedure | No action. |
| T341  NOTE2 | Upon transmitting *UEAssistanceInformation* message with *bw-Preference.* | Upon resuming an RRC connection or upon initiating the connection re-establishment procedure | No action. |
| T342  NOTE2 | Upon transmitting *DelayBudgetReport* message. | Upon initiating the connection re-establishment and connection resume procedures | No action. |
| T350 | Upon entering RRC\_IDLE if *t350* has been received in wlan-OffloadInfo. | Upon entering RRC\_CONNECTED, or upon cell reselection. | Perform the actions specified in 5.6.12.4. |
| T351 | Reception of *RRCConnectionReconfiguration* message including the association*Timer* in *WLAN-MobilityConfig*. | Upon successful connection to WLAN, upon WLAN connection failure, upon leaving RRC\_CONNECTED, upon triggering the handover procedure, or upon initiating the connection re-establishment procedure. | Perform WLAN Connection Status Reporting specified in 5.6.15.2. |
| T360 | Upon performing the redistribution target selection as specified in TS 36.304 [4]. | Upon entering RRC\_CONNECTED, upon receiving a Paging message including *redistributionIndication*; upon reselecting a cell not belonging to the redistribution target. | Stop considering a frequency or cell to be redistribution target, and perform the redistribution target selection if the condition specified in TS 36.304 [4] is met. |
| T370 | Upon receiving *SL-DiscConfig* including a *discSysInfoToReportConfig* set to *setup.* | Upon initiating the transmission of *SidelinkUEInformation* including *discSysInfoReportFreqList*, upon receiving *SL-DiscConfig* including *discSysInfoToReportConfig* set to *release*, upon handover and re-establishment*.* | Release *discSysInfoToReportConfig*. |
| T314  NOTE2 | Upon early detecting physical layer problems for the PCell i.e. upon receiving N310 consecutive "early-out-of-sync" indications from lower layers. | Upon receiving N311 consecutive in-sync indications from lower layers for the PCell, upon triggering the handover procedure and upon initiating the connection re-establishment procedure | Initiate the UE Assistance Information procedure to report early detection of physical layer problems in accordance with 5.6.10. |
| T315  NOTE2 | Upon detecting physical layer improvements of the PCell i.e. upon receiving N311 consecutive "early-in-sync" indications from lower layers. | Upon receiving N310 consecutive "early-out-of-sync" indications from lower layers for the PCell. | Initiate the UE Assistance Information procedure to report detection of physical layer improvements in accordance with 5.6.10. |
| T343  NOTE2 | Upon transmitting *UEAssistanceInformation* message with *RLM-Report* including *earlyOutOfSync*. | Upon initiating the connection re-establishment procedure | No action. |
| T344  NOTE2 | Upon transmitting *UEAssistanceInformation* message with *RLM-Report* including *earlyInSync*. | Upon initiating the connection re-establishment procedure | No action. |
| T345 | Upon transmitting *UEAssistanceInformation* message with *overheatingAssistance* | Upon initiating the connection re-establishment procedure | No action. |
| T380 | Upon reception of *periodic-RNAU-timer* in RRCConnectionRelease. | Upon reception of *RRCConnectionResume*, *RRCConnectionRelease* or *RRCConnectionSetup*. | Initiate the RAN notification area update procedure |
| NOTE1: Only the timers marked with "NOTE1" are applicable to NB-IoT.  NOTE2: The behaviour as specified in 7.3.2 applies. | | | |

*END OF CHANGES*

START OF CHANGES

## A.6 Protection of RRC messages (informative)

The following list provides information which messages can be sent (unprotected) prior to security activation and which messages can be sent unprotected after security activation. Those messages indicated "-" in "P" column should never be sent unprotected by eNB or UE. Further requirements are defined in the procedural text.

P…Messages that can be sent (unprotected) prior to security activation

A - I…Messages that can be sent without integrity protection after security activation

A - C…Messages that can be sent unciphered after security activation

NA… Message can never be sent after security activation

| Message | P | | A-I | A-C | Comment |
| --- | --- | --- | --- | --- | --- |
| CSFBParametersRequestCDMA2000 | **+** | | **-** | **-** |  |
| CSFBParametersResponseCDMA2000 | + | | - | - |  |
| CounterCheck | - | | - | - |  |
| CounterCheckResponse | - | | - | - |  |
| DelayBudgetReport | - | | - | - |  |
| DLInformationTransfer | + | | - | - |  |
| FailureInformation | - | | - | - |  |
| HandoverFromEUTRAPreparationRequest (CDMA2000) | - | | - | - |  |
| InDeviceCoexIndication | - | | - | - |  |
| InterFreqRSTDMeasurementIndication | - | | - | - |  |
| LoggedMeasurementsConfiguration | | - | - | - |  |
| MasterInformationBlock | + | | + | + |  |
| MasterInformationBlock-MBMS | + | | + | + |  |
| MBMSCountingRequest | + | | + | + |  |
| MBMSCountingResponse | - | | - | - |  |
| MBMSInterestIndication | + | | - | - |  |
| MBSFNAreaConfiguration | + | | + | + |  |
| MeasReportAppLayer | - | | - | - |  |
| MeasurementReport | - | | - | - | Measurement configuration may be sent prior to security activation. But: In order to protect privacy of UEs, MEASUREMENT REPORT is only sent from the UE after successful security activation. |
| MCGFailureInformation | - | | - | - |  |
| MobilityFromEUTRACommand | - | | - | - |  |
| Paging | + | | + | + |  |
| ProximityIndication | - | | - | - |  |
| RNReconfiguration | - | | - | - |  |
| RNReconfigurationComplete | - | | - | - |  |
| RRCConnectionReconfiguration | + | | - | - | The message shall not be sent unprotected before security activation if it is used to perform handover or to establish SRB2, SRB4 and DRBs |
| RRCConnectionReconfigurationComplete | + | | - | - | Unprotected, if sent as response to RRCConnectionReconfiguration which was sent before security activation |
| RRCConnectionReestablishment | - | | + | + | This message is not protected by PDCP operation. |
| RRCConnectionReestablishmentComplete | - | | - | - |  |
| RRCConnectionReestablishmentReject | - | | + | + | One reason to send this may be that the security context has been lost, therefore sent as unprotected. |
| RRCConnectionReestablishmentRequest | - | | - | + | This message is not protected by PDCP operation. However, a short MAC-I is included. |
| RRCConnectionReject | + | | + | + | Except for UP-EDT, A-I and A-C are NA. |
| RRCConnectionRelease | + | | - | - | Justification for P: If the RRC connection only for signalling not requiring DRBs or ciphered messages, or the signalling connection has to be released prematurely, this message is sent as unprotected.  For UP-EDT, the message is only sent after successful security activation.  *RRCConnectionRelease* message sent before security activation cannot include *rrc-InactiveConfig, redirectedCarrierInfo, idleModeMobilityControlInfo* information fields when UE is connected to 5GC. |
| RRCConnectionRequest | + | | NA | NA |  |
| RRCConnectionResume | - | | - | + | When this message is transmitted, security is activated but suspended. Integrity verification is done after the message received by RRC.  For UP-EDT, the message is only sent after successful security activation.  For RRC\_INACTIVE state, the message is protected with both integrity and ciphering. |
| RRCConnectionResumeRequest | - | | - | + | This message is not protected by PDCP operation. However, a short MAC-I is included. |
| RRCConnectionResumeComplete | - | | - | - |  |
| RRCConnectionSetup | + | | NA | NA |  |
| RRCConnectionSetupComplete | + | | NA | NA |  |
| RRCEarlyDataRequest | + | | NA | NA |  |
| RRCEarlyDataComplete | + | | NA | NA |  |
| SCGFailureInformation | - | | - | - |  |
| SCGFailureInformationNR | - | | - | - |  |
| SCPTMConfiguration | + | | + | + |  |
| SecurityModeCommand | + | | NA | NA | Integrity protection applied, but no ciphering (integrity verification done after the message received by RRC) |
| SecurityModeComplete | - | | NA | NA | Integrity protection applied, but no ciphering. Ciphering is applied after completing the procedure. |
| SecurityModeFailure | + | | NA | NA | Neither integrity protection nor ciphering applied. |
| SidelinkUEInformation | + | | - | - |  |
| SystemInformation | + | | + | + |  |
| SystemInformationBlockType1 | + | | + | + |  |
| SystemInformationBlockType1-MBMS | + | | + | + |  |
| UEAssistanceInformation | - | | - | - |  |
| UECapabilityEnquiry | + | | - | - |  |
| UECapabilityInformation | + | | - | - |  |
| UEInformationRequest | - | | - | - |  |
| UEInformationResponse | - | | - | - | In order to protect privacy of UEs, UEInformationResponse is only sent from the UE after successful security activation |
| ULHandoverPreparationTransfer (CDMA2000) | - | | - | - | This message should follow HandoverFromEUTRAPreparationRequest |
| ULInformationTransfer | + | | - | - |  |
| ULInformationTransferMRDC | - | | - | - |  |
| WLANConnectionStatusReport | - | | - | - |  |

# Annex (not part of the specification): RAN2 Agreements

This Annex contains the RAN2 agreements on Rel-16 WI for “DC and CA enhancements”. The agreements are provided verbatim for reference.This annex shall be removed once the WI is completed.

## RAN2#105

Agreements:

For IDLE/INACTIVE

1. Rel-16 early measurement configuration may contain both NR and LTE configuration, only NR configuration or only LTE configuration, to support various MR-DC and CA scenario. FFS on details. IDLE mode and INACTIVE mode details will be discussed separately
2. NR early measurement configuration should include NR specific measurement parameters configurations.
3. Available beam and cell level measurement results can be included in early measurement reporting if configured.

Agreements:

1. The configured SCells (MCG and SCG) can be configured in deactivated or activated state by RRC upon addition or after a handover. Timing requirements are up to RAN4. FFS if this applies to resume.

Agreements

1. MCG failure can be indicated to the network via the SCG. FFS ifvia SCells.
2. FFS how the failure is indicated, which SRBs, and which failure case the fast MCG failure recovery.
3. We will aim to have a unified solution for the failure cases that we want to address.

## RAN2#105bis

Agreement

1 For NR IDLE mode, the LTE rel-15 euCA early measurement reporting solution (i.e. via UEInformationRequest and UEInformationResponse like messages) after connection is setup will be supported.

2 For both LTE and NR, sending full idle mode measurements before security activation shall not be allowed.

FFS if some measurement information (detail TBD) related to idle mode measurements can be sent before security activation.

3 SMC and SMC complete messages will not be modified to enable the signalling of early measurements.

4 For both LTE and NR, RAN2 confirm that current specification allow that UEInformationRequest (or equivalent message to be specified in NR) can be sent by the network immediate after Security Mode Command without network having to wait for Security Mode Complete (i.e. similar to sending of Reconfiguration after SMC)

5 For NR INACTIVE mode, the LTE rel-15 euCA early measurement reporting solution (i.e. via UEInformationRequest and UEInformationResponse like messages) after connection is resumed will be supported.

6 Sending early measurement report is network controlled

7 For NR INACTIVE, the network can request early measurement report in RRCResume

8 For NR INACTIVE, early measurement reporting can be sent in RRCResumeComplete

FFS Whether agreements 7 and 8 should be applied to LTE RRCConnectionResume and RRCConnectionResumeComplete message.

Agreements

1: NR early measurements can be configured in both NR RRCRelease message and NR system information.

FFS: Whether there are differences in the configuration that can be provided by RRCRelease and SI.

2: Introduce some indication about the cell's early measurement support in NR system information.

3: To control the duration of UE performing both IDLE and INACTIVE measurements, a single validity timer (similar to measIdleDuration in LTE euCA) is mandatory indicated only in NR RRCRelease message, i.e. not included in NR SIB.

4: For both IDLE and INACTIVE early measurements, the following IEs can be optionally configured per NR frequency in both NR RRCRelease message and NR SIB:

- A list of frequencies and optionally cells (similar to measCellList in LTE euCA) the UE is required to perform early measurements.

- A cell quality threshold (similar to qualityThreshold in LTE euCA) the UE is required to report the measurement results only for the cells which met the configured thresholds.

FFS: A validity Area (similar to validityArea in LTE euCA) to indicate the list of cells within which UE is required to perform early measurements. If the UE reselects to a cell outside this list, the early measurements are no longer required (same as timer expiry).

o If it is absent, the UE will not have area limitation of early measurements.

For SSB based measurements:

5: For both IDLE and INACTIVE early measurements, SSB frequencies to be measured can be located out of sync raster

6: For both IDLE and INACTIVE early measurements, RSRP and RSRQ can be configured as cell and beam measurement quantity.

7: For both IDLE and INACTIVE early measurements, the configuration parameters provided per SSB frequency follow the same principles as those provided in SIB2/4 for the purposes of Idle/Inactive mobility. (Details differences can be discussed at stage 3 level)

8: As LTE euCA, cell / beam SINR is not introduced as measurement quantity in NR early measurement configuration in Rel-16.

For SSB based beam level measurement configurations:

9 The UE is required to report the beam with the highest measurement quantity

FFS: Whether additional beams can be reported.

10: For both IDLE and INACTIVE early measurements, the UE can be configured with one of the 3 beam reporting types

1) No beam reporting;

2) Only beam identifier

3) Both beam identifier and quantity

FFS: Whether to support CSI-RS based NR early measurements

11: LTE UE in IDLE mode, IDLE with suspended, and INACTIVE can be configured with NR early measurements to support fast setup of (NG)EN-DC (i.e. euCA is extended to support NR measurements). Details are FFS

Agreements for MCG fast recovery:

0 MCG fast recovery targets all MRDC architecture options

1: When MCG failure occurs, UE follows SCG failure-like procedure:

i. UE does not trigger RRC connection re-establishment.

ii. UE triggers an MCG failure procedure in which a failure information message is transmitted to the network via SCG.

2: MCG fast recovery targets the following use cases MCG leg RLF

FFS: Other uses cases. Can consider in future whether the mechanism can be also be applied in the case of other MCG failures.

3 MCG fast recovery can only be triggered after AS security has been activated and the SRB2 and at least one DRB have been setup

4 MCG failure indication should include:

i. Available measurement results of MCG

ii. MCG link failure cause

iii. Available measurement results of SCG

iv. Available measurement results of non-serving cells

5: For MCG failure indication, new RRC message in introduced, e.g. MCGFailureInformation.

6: SCG leg of the split SRB1 can be used for MCG fast recovery.

FFS: If configured, SRB3 can be used for MCG fast recovery. Priority is to complete the solution based on split SRB1

7: New SRB is not introduced for MCG fast recovery.

## RAN2#106

Agreements

1: RAN2 confirms that for both LTE and NR, sending cell RSRP/RSRQ of idle mode measurements before security activation shall not be allowed.

2: RAN2 confirms that for both LTE and NR, sending cell PCI(s) with good quality and associated frequency of idle mode measurements before security activation shall not be allowed.

Agreement

1 How the UE applies filtering of beam measurements as part of early measurement reporting is left to UE implementation (Up to RAN4 to specify performance requirements for early measurement reporting)

2 The UE can report more than one beam measurement. Network can configure whether it wants to receive more than just the best beam

FFS whether the network can configure max number of beams and a threshold above which beams are reported

Agreements

1 The early measurement configuration can be different between that in RRCRelease and in SIB. If the UE receives the early measurement configuration from RRCRelease, this overrides the early measurement configuration provided in SIB (if any).

FFS: Whether some other measurement related configuration in SI (e.g. smtc) outside of the early measurement configuration can still be used.

2 A single early measurement configuration is provided in SI for idle and inactive

FFS: Whether the early measurement configuration can be kept when the UE receives the Release (to Inactive to Idle) in response to Resume Request.

3 L3 filtering is not applied to early measurement reporting

4 The UE performs the idle measurement for the frequencies in configured frequency list only when the UE support CA or MR-DC between the frequency and the serving frequency.

FFS Whether the network can provide information on support of CA/DC between frequencies to assist the UE to determine which frequencies to provide measurement for.

6 If UE reselects to a cell that does not support early measurements (as indicated by absence of an indicator in SI), the validity timer keeps running, but the UE is not required to performs measurements while camped on that cell (same as LTE euCA)

Agreement

1 SCell dormant state like LTE euCA will not be introduced in NR.

2 ‘dormancy’ behaviour will be studied as a solution for fast return to SCell utilisation for data transfer. The 'dormancy' behaviour implies that the UE stops monitoring PDCCH but continues other activities such as CSI measurements, AGC and beam management. RAN1/4 input required on feasibility and benefit.

1 Temporary RS resources at SCell activation will be studied as a solution for fast SCell activation. RAN1/4 input required on feasibility and benefit.

Agreements

1: Fast MCG recovery is not supported in case (intra and inter-RAT) handover failure

2: Fast MCG recovery is not supported in case of integrity check failure

3: Fast MCG recovery is not supported in case of RRC connection reconfiguration failure

Agreements

FFS Whether a guard timer is needed for the MCG failure indication message

1 Once the MCG failure indication is triggered, the UE shall:

- transmit the MCG failure indication;

− suspend MCG transmission for all SRBs and DRBs;

− reset MCG-MAC;

− maintain the current measurement configurations from both the MN and the SN, and continue measurements based on configuration from the MN and the SN if possible.

FFS whether switch the primaryPath to SCG is needed

2 If SCG failure is detected while MCG is suspended then initiate RRC re-establishment procedure

3 Upon receiving the MCG failure indication, the MN sends reconfiguration with sync or RRC Release to the UE via SRB1.

4 Upon reception of reconfig with sync the UE resumes MCG transmission if suspended

## RAN2#107

Agreements

1: For per-frequency SSB measurement configuration reuse the IE structure that is currently used in SIBs for cell reselection purposes.

2: The legacy SSB measurement configurations in NR SIB2/4 and LTE SIB24 are reused for NR early measurements performed in frequencies which are candidates of cell selection/reselection, i.e. not introduce new measurement configurations in NR/LTE SIB for these SSBs.

3: Same as LTE euCA, NR frequency list (not the SSB measurement configuration) can be different between RRC release and SIB. The frequency list, if provided, in RRC release message overrides the one provided in SIB.

4 For per frequency SSB measurement configuration for purpose of only early measurements, it can be included in both RRC release message and SIB. If provided in RRC release message, it overrides the one provided in SIB in the cell where the RRC Release message is received. (

FFS How UE manages the situation when an SSB measurement configuration for a given frequency is provided in SIB of the current cell and was also provided RRC Release (in an earlier cell).

Agreements

7: As in LTE euCA, the indication whether to report RSRP, RSRQ or both can be indicated in both RRC release message and SIB. If provided in RRC release, it overrides the one in SIB.

8: Similar to LTE euCA, the indication of beam reporting type (i.e. whether to, not report beam results, report only the beam index, or report both beam index and results) can be indicated in both RRC release message and SIB. If provided in RRC release, it overrides the one in SIB.

9: NR early measurement configuration is included in a new NR SIB.

10: NR early measurement configuration is included in LTE SIB5 (i.e. the SIB including LTE early measurement configurations)

11: It is not necessary to specify CSI-RS based early measurements for the case of SCell with SSB in Rel-16.

12: It is not necessary to specify CSI-RS based early measurements for the case of SCell without SSB in Rel-16.

13: In NR early measurement configuration, the UE can be configured with maximum number for beam reporting and only beams above configured threshold for cell quality derivation are required to be reported (as NR CONNECTED measurements).

14 Do not support the network provide information on network’s support of CA/DC between frequencies to assist the UE to determine which frequencies to provide NR early measurement in Rel-16.

15 Do not support a mechanism to prevent outdated early measurement reporting in Rel-16

Agreements:

1 Upon the reception of the RRCSetup message in response to RRCSetupRequest or RRCResumeRequest (while T331 is running), the UE stops T331, and deletes the dedicated idle mode measurement configuration, if any.

2: Upon the reception of the RRCReject message in response to RRCSetupRequest or RRCResumeRequest (while T331 is running), the UE keeps performing the idle mode measurements.

3: During a 2-step resume (i.e. RRCRelease in response to RRCResumeRequest), the network can release or reconfigure the idle mode measurements.

FFS whether this is delta or complete replace

4: Upon the expiry of T331 while in IDLE or INACTIVE mode, the UE deletes the dedicated idle mode measurement configuration, if any.

5: The UE deletes the early measurement results after it has successfully reported them to the network (i.e. in UEInformationResponse or RRCResumeComplete).

Agreements (Activation of SCells is not addressed by these agreements - to be discussed separately)

1 The LTE RRCConnectionResume message (Inactive to Connected) can contain the MCG SCell configuration and the associated UE behaviour in handling the SCell configuration is the same as in the Rel-15 RRC connection reconfiguration procedure.

2 In NR and LTE Rel-16, the UE maintains the MCG SCell configuration upon the initiation of the resume procedure.

3 The RRC(Connection)Resume message contains an indication to restore/resume the MCG SCells (noting that behaviour in legacy eNBs that don't support this feature needs to be considered).

4 The (LTE and NR) RRC(Connection)Resume (Inactive to Connected))message can contain the SCG configuration and the associated UE behaviour in handling the SCG configuration is the same as in the Rel-15 RRC (connection) reconfiguration procedure.

5 In NR and LTE Rel-16, the UE maintains the SCG configuration upon the initiation of the resume procedure.

6 The RRC(Connection)Resume message contains an indication to restore/resume the SCG (noting that behaviour in legacy e/gNBs that don't support this feature needs to be considered).

Agreements

1: Upon sending a MCG failure indication, UE starts a timer.

2: Upon resumption of MCG, UE stops the timer.

3: Upon expiry of the timer, UE initiates RRC connection re-establishment procedure.

4: Network can configure the timer value (no infinite value)

Agreements

1 If a UE is configured with split SRB1 with PDCP duplication, there is no need to switch the primaryPath upon detection of MCG failure since MCG failure indication will be transmitted via SCG RLC bearer of split SRB1.

2 If PDCP duplication is not activated, upon detection of MCG failure the primaryPath for split SRB1 is implicitly reconfigured to the SCG. The UE expects the network to explicitly reconfigure the primaryPath back to MCG in the MCG recovery or in a Re-establishment

Agreements

1: SRB3, if configured, can be used for MCG fast recovery.

2: For MCG fast recovery via SRB3, MCG Failure Information message in UL (same message as for SRB1 case) is encapsulated by the UE into an SN RRC message.

3: For MCG fast recovery via SRB3, the MN response message in DL (either a reconfiguration with sync or release message) is encapsulated by the SN in an SN RRC message.

FFS Transmission of the complete message

## RAN2#107bis

Agreements

1: There is a validity area, and the action when the UE exits the validity area is that the UE stops all early measurements.

2: Validity area is configured by means of dedicated RRC signaling

3: Validity area can be configured by means of: Lists of PCIs; Lists of CellIdentity;

4: When UE reselects to a cell that is not part of the validity area (for any of the configured frequencies/cells) while measIdleDuration is running, UE should stop measurement. UE stops the timer.

*WA that the UE also clears the entire early measurement configuration.*

Agreements

1: The RRC release message can include SSB measurement configuration. It is assumed that information provided for cell reselection by broadcast is not provided in the RRC release message.

2: No UE requirements will be specified for what UE shall do upon reselection to a cell broadcasting for some frequency an SSB measurement configuration that differs from the values received in the RRC release message i.e. UE may stop early performing measurements for concerned frequency

3: If the UE has not received a dedicated SSB configuration, the UE does early measurements based on SIB.

4: The UE only needs to support the following signalling combination options:

A. If network uses broadcast signaling for the list of early measurements, it will provide all parameters by broadcast signaling with the only exception that dedicated signalling is used for the timer

B. If network uses dedicated signaling for the list of early measurements, the following signalling options are allowed for each of the frequencies:

1) SSB measurement configuration (incl SMTC) and all other parameters are provided by dedicated signaling

2) SSB measurement configuration (incl SMTC) is broadcast and all other parameters are provided by dedicated signaling

Agreements

1: Based on RAN1/RAN4 reply LS, introduce ‘dormancy’ behaviour for NR SCell, i.e. the UE stops monitoring PDCCH on SCell but continue performing CSI measurements, AGC and beam management, if configured.

2: RAN2 confirms that UE “dormancy” operation is part of SCell activated state (i.e. not as part of SCell deactivated state)

Agreements

1: Direct SCell activation (setting the SCell state to activated or deactivated) in resume message is supported, if R4 can confirm that there are no blocking issues from their point of view

2: When the UE resumes to a cell included in the stored SCG, particular functionality for swapping of MCG and SCG configurations is not considered for Rel16

3: New conditions/triggers for resuming directly to the SN are not considered in Rel16.

4: R2 assumes the following (can be slightly modified due to progress on Scell dormancy):

- The UE supports network-controlled suspension of the SCG in RRC\_CONNECTED.

-UE behaviour for a suspended SCG is FFS

-The UE supports at most one SCG configuration, suspended or not suspended, in Rel16.-

-In RRC\_CONNECTED upon addition of the SCG, the SCG can be either suspended or not suspended by configuration.

Agreements

1: We don’t attempt this kind of enhancements (Common Cell Configuration for Signalling Reduction) in Rel-16 in WI CADC enh.

Agreements

1: Fast PCell recovery via SCell is not introduced in Rel-16

2: We add no functionality for optimized RRC re-establishment to SN RAT in Rel-16

3: No further mechanisms are introduced to resolve outstanding UL/DL RRC deadlock messages situation upon the triggering of MCG failure recovery

4: For MCG fast recovery via SRB3, the MCGFailureInformation message in UL is encapsulated in the ULInformationTransferMRDC message

5: A new RRC message, i.e., DLInformationTransferMRDC, is introduced in order to allow the SN to encapsulate (for SRB3) the MN response (i.e., RRCReconfiguration or RRCRelease message) to be send to the UE

6: The RRC procedure on these encapsulated messages are the same as if they had been received by SRB1

7: When receiving a MN RRCRelease message encapsulated within an SN RRC message via SRB3, the UE does not send any complete message

8: Split SRB1 is always used for the transmission of the MCGFailureInformation message. SRB3 is used only if split SRB1 is not configured

9: MCG failure recovery can be configured by the network.

## RAN2#108

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| * Upon entering RRC CONNECTED mode, the UE stops validity timer T331 (if running) and deletes the dedicated idle mode measurement configuration (if configured). * After moving to another RAT due to inter-RAT cell reselection, the UE stops validity timer T331 (if running) and deletes the dedicated idle mode measurement configuration (if configured) * While transition from NR INACTIVE mode to NR IDLE mode, the UE keeps the validity timer T331 (if running) and the dedicated idle mode measurement configuration (if configured), i.e. just continue. * While transition from LTE INACTIVE mode to LTE IDLE mode, the UE keeps the validity timer T331 (if running) and the dedicated idle mode measurement configuration (if configured), i.e. just continue. * When UE reselects to a cell that is not part of the validity area, the UE stops the validity timer and also clears the entire early measurement configuration. * For the early measurements during a 2-step resume:   if *RRCConnectionRelease* does not include idle/inactive measurement configuration, the UE keeps the configuration and T331 continues running (i.e. no action);  if *RRCConnectionRelease* includes idle/inactive measurement configuration, the new configuration completely replaces the old configuration (incl timer which would be started).  if *RRCConnectionRelease* includes an release indication, the UE releases the old configuration, stops timer. |

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| * The validity area is defined as a carrier list (which could be different from the carriers to be measured during RRC\_IDLE/INACTIVE) with optional PCI list per carrier. * The early measurement results are sorted by RSRP unless only RSRQ is configured as reporting quantity. |

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| * The validity area cannot include IRAT cells * If, for a frequency for which SSB config was provided by broadcast @ initial configuration, reselected cell does not broadcast SSB config the UE is not required to measure concerned frequency while camping on concerned cell (but should re-attempt following another re-selection) |

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| * Measurement for Cell reselection (304) and early measurements are independent. * In case UE cannot find suitable cell to camp or in anycell selection does not trigger stopping T331 or deleting early measurement configuration (no need to capture in the TS). |

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| * The NR Rel-16 early measurement reporting solution is introduced in LTE * a. The network can request (in RRCConnectionResume) the UE to send early measurements * b. The UE can include early measurements in RRCConnectionResumeComplete. * If a UE is released by an eNB which only configures bcast LTE early measurements and then reselects to an eNB which broadcasts both LTE and NR idle/inactive measurement configurations, the UE shall apply these NR configurations * A new indication is introduced in SIB2 to indicate that the UE can perform NR early measurements while camped on the cell. * At least one indication is introduced in RRCConnectionResume to indicate that the UE shall include the LTE and/or NR early measurements in RRCConnectionResumeComplete. |

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| * We use BWP model as agreed/assumed in R1. * R2 confirm that The dormant BWP is not configured with PDCCH monitoring, this is done by the IE pdcch-Config being absent in the BWP configuration. * The dormant BWP is configured only when the SCell is configured with at least one other UE-specific RRC configured BWP (i.e., a ‘regular BWP’). There can be only one configured dormant BWP for an SCell. * UE determines via RRC configuration, which DL BWP among the UE-specific RRC configured BWPs is the dormant BWP * Upon entering dormancy, the UE clears/suspends any uplink grants (type 1 and type2) associated with the SCell. * In dormancy SCell, the UE doesn’t perform RACH. * In dormancy SCell, aperiodic CSI/SRS via self-carrier scheduling is not allowed. * WA: If in dormancy SCell, aperiodic CSI via cross-carrier scheduling is not allowed, FFS for SRS * As dormant state in LTE euCA, SCell dormancy is not applicable to the PUCCH SCell. * Send LS to R1 cc R4 informing of agreements, stating that this is not finished and e.g. SRS transmissions on the dormancy SCell is still FFS (no action) |

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| * The guard timer for fast MCG link recovery should be configured via dedicated signalling, it is configured by the MN. * The configuration of guard timer implicitly indicates that the feature of fast MCG link recovery is enabled by the network, and that the UE shall initiate the procedure.   General:   * For fast MCG recovery, R2 assumes this is now finished (w.r.t functionality) |

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| * Under async CA, clarify that the UE uses SFN of primary cell (i.e. PCell or PSCell) within the same cell group for the calculation of HARQ Process ID in SPS/CG, i.e. no change of rel-15 legacy UE behaviour. * Under async CA, clarify that the UE uses SFN of primary cell (i.e. PCell or PSCell) within the same cell group for calculation of downlink/uplink assignment occurrences of SPS/CG, i.e. no change of rel-15 legacy UE behaviour. * Under async CA, clarify that the UE uses SFN of primary cell (i.e. PCell or PSCell) within the same cell group for DRX on-duration determination, i.e. no change of rel-15 legacy UE behaviour. * R2 assumes that SFN from Pcell is used for SI reception, thus no impact to SI reception * R2 assumes that SFN from Pcell is used for Rel-15 DRX, thus no impact to Rel-15 DRX. * R2 assumes that SFN from Pcell is used for UP operation (CG, DRX etc), thus no impact to UP. |

## RAN2#109-e

**Early measurements:**

* The UE starts to perform early measurements only when it is configured with measIdleDuration in RRC(Connection)Release (i.e. early measurement cannot be started only based on SIB signalling).
* RAN2 to confirm that the different ways of configuring early measurements are: *All configuration received in dedicated signalling (i.e. RRC(Connection)Release; or All configuration received in broadcast (except for the measIdleDuration); or The dedicated signalling contains measIdleDuration and the list of the EUTRA/NR carriers:*

***- For E-UTRA carriers, the measurement configuration is contained via the dedicated signaling***

***- For each of the NR carriers, the SSB configuration can be configured either via dedicated signalling or via SIB.***

* RAN2 to confirm that the NR/EUTRA carrier list can not be split into SIB and dedicated signalling (i.e. either both in SIB or both in dedicated).
* The measIdleDuration range in LTE euCA to be adopted in NR (i.e. ENUMERATED {sec10, sec30, sec60, sec120, sec180, sec240, sec300, spare})
* As in LTE euCA, the RSRQ-Range-r13 IE (i.e. -30..46) will be used for specifying the thresholds for early measurement reporting of E-UTRA carriers in NR.
* The SCS IE to be on the top level of the MeasIdleCarrierNR (i.e. not within the ssb-MeasConfig IE).
* Capture the “available” aspect in procedure text.
* Clarification to be added in 36.331 that the UE will be configured with only one validity area (either the rel-15 or rel-16 version).
* In LTE/NR rel-16, the UE performs measurement on a carrier only if it is capable of CA or DC between the concerned carrier and the serving carrier.
* No special handling will be specified for the case of 2-step resume without context fetch (i.e. can be handled via network implementation).
* RNA update is not triggered due to going out of the validity area.
* For early measurements while camping in LTE, the UE is required to measure E-UTRA if idleModeMeasurements-r15 is included. The UE is required to measure NR carriers, if idleModeMeasurements-r16 is included IEs, in SIB2 respectively.
* In NR rel-16, the idleModeMeasurements can be used to specify whether the UE is required to perform early measurements on EUTRA, NR or both carriers. FFS if one IE (i.e. ENUMERATED {eutra, nr, both} or separate IEs (i.e. one for EUTRA, one for NR) is to be used.
* The frequencyBandList to be on the top level of MeasIdleCarrierNR. FFS regarding nrofSS-BlocksToAverage-r16 and absThreshSS-BlocksConsolidation-r16 IEs.
* No additional information elements regarding dedicated SSB configuration validity will be specified.
* In rel-16, SFTD measurements cannot be configured as part of early measurement configuration.
* No special handling of early measurement results during inter-RAT cell reselection will be specified.
* The early measurement configuration will not be enhanced to support per (serving)-frequency early measurement target frequency list.
* A NOTE to be added in 36/38.331 that UE is not required to perform early measurements on a given frequency if it finds mismatch between dedicated and SIB SSB configuration.