**3GPP TSG-RAN WG2 Meeting #109 electronic R2-2000xxx**

24th Feb – 6th Mar 2020

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.304** | **CR** | **xxxx** | **rev** |  | **Current version:** | **15.6.0** |  |
|  | | | | | | | | |
| *For* ***[HE](http://www.3gpp.org/3G_Specs/CRs.htm" \l "_blank)******[LP](http://www.3gpp.org/3G_Specs/CRs.htm" \l "_blank)*** *on using this form: comprehensive instructions can be found at  <http://www.3gpp.org/Change-Requests>.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Correction of TS 38.304 to introduce IAB | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_newRAT-Core | | | | |  | ***Date:*** | | | 2020-02-24 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | RAN2 agreed to introduce the IAB supporting indication in the SIB1 to indicate whether cell is a candidate cell for IAB node. Both support of IAB node(s) and the cell status for IAB node(s) is combined in the IE *iab-Support,* i.e. if the IE is present, the cell supports IABs and the cell is also considered as a candidate for IABs; if the IE is absent, the cell does not support IAB and/or the cell is barred for IAB.  In the TS 38.304, the deterimination of cell status for IAB cell should be clarified using the new defined IE in RRC. | | | | | | | | |
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| ***Summary of change:*** | | In section 5.3.1:  Add “iab-Support” to determine the cell status;  **Impact analysis**  Impacted 5G architecture options: Standalone, EN-DC, NR-DC  Impacted functionality:  Cell status  Inter-operability:   1. If the UE is implemented according to this CR but the network is not, there is no inter-operability issue foreseen. 2. If the network is implemented according to this CR but the UE is not, there is no inter-operability issue foreseen. | | | | | | | | |
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| ***Consequences if not approved:*** | | IAB node can not determine whether the cell supporting IAB and whether the cell is barred for IAB node. | | | | | | | | |
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| ***Clauses affected:*** | | 3.2, 4.5, 5.3.1 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

--------------------- [Start of 1st change] ---------------------------------

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

AS Access Stratum

CMAS Commercial Mobile Alert System

CN Core Network

DCI Downlink Control Information

ETWS Earthquake and Tsunami Warning System

E-UTRA Evolved UMTS Terrestrial Radio Access

E-UTRAN Evolved UMTS Terrestrial Radio Access Network

IAB Integrated Access and Backhaul

IMSI International Mobile Subscriber Identity

MCC Mobile Country Code

MICO Mobile Initiated Connection Only

NAS Non-Access Stratum

NR NR Radio Access

PLMN Public Land Mobile Network

RAT Radio Access Technology

RNA RAN-based Notification Area

RNAU RAN-based Notification Area Update

RRC Radio Resource Control

UAC Unified Access Control

UE User Equipment

UMTS Universal Mobile Telecommunications System

--------------------- [End of 1st change] ---------------------------------

--------------------- [Start of 2nd change] ---------------------------------

## 4.5 Cell Categories

The cells are categorised according to which services they offer:

**acceptable cell:**

An "acceptable cell" is a cell on which the UE may camp to obtain limited service (originate emergency calls and receive ETWS and CMAS notifications). Such a cell shall fulfil the following requirements, which is the minimum set of requirements to initiate an emergency call and to receive ETWS and CMAS notification in an NR network:

- The cell is not barred, see clause 5.3.1;

- The cell selection criteria are fulfilled, see clause 5.2.3.2.

**suitable cell:**

A cell is considered as suitable if the following conditions are fulfilled:

- The cell is part of either the selected PLMN or the registered PLMN or PLMN of the Equivalent PLMN list;

- The cell selection criteria are fulfilled, see clause 5.2.3.2;

According to the latest information provided by NAS:

- The cell is not barred, see clause 5.3.1;

- The cell is part of at least one TA that is not part of the list of "Forbidden Tracking Areas" (TS 22.261 [12]), which belongs to a PLMN that fulfils the first bullet above.

**barred cell:**

A cell is barred if it is so indicated in the system information, as specified in TS 38.331 [3].

**reserved cell:**

A cell is reserved if it is so indicated in system information, as specified in TS 38.331 [3].

Following exception to these definitions are applicable for UEs:

- if a UE has an ongoing emergency call, all acceptable cells of that PLMN are treated as suitable for the duration of the emergency call.

- camped on a cell that belongs to a registration area that is forbidden for regional provision of service; a cell that belongs to a registration area that is forbidden for regional provision service (TS 23.122 [9], TS 24.501 [14]) is suitable but provides only limited service.

--------------------- [End of 2nd change] ---------------------------------

--------------------- [Start of 3rd change] ---------------------------------

## 5.3 Cell Reservations and Access Restrictions

### 5.3.0 Introduction

There are two mechanisms which allow an operator to impose cell reservations or access restrictions. The first mechanism uses indication of cell status and special reservations for control of cell selection and reselection procedures. The second mechanism, referred to as Unified Access Control as specified in TS 38.331 [3], shall allow preventing selected access categories or access identities from sending initial access messages for load control reasons.

### 5.3.1 Cell status and cell reservations

Cell status and cell reservations are indicated in the *MIB or SIB1* message as specified in TS 38.331 [3] by means of three fields:

- *cellBarred* (IE type: "barred" or "not barred")   
Indicated in *MIB* message. In case of multiple PLMNs indicated in *SIB1*, this field is common for all PLMNs

- *cellReservedForOperatorUse* (IE type: "reserved" or "not reserved")   
Indicated in *SIB1* message*.* In case of multiple PLMNs indicated in *SIB1*, this field is specified per PLMN.

- *cellReservedForOtherUse* (IE type: "true")   
Indicated in *SIB1* message. In case of multiple PLMNs indicated in *SIB1*, this field is common for all PLMNs.

NOTE: For IAB node, it ignores the *cellBarred*, *cellReservedForOperatorUse* and *cellReservedForOtherUse* as defined in TS 38.331 [3].

Editor Notes: This can be updated based on the possible agreements by discussion of “R2-2002058, Summary of 6.1.5.3: SI Broadcast, cell Restrictions/Reservation and Barring, Initial Access, and Connection Setup, Ericsson”.

- *iab-Support* (IE type: "true")

Indicated in *SIB1* message. In case of multiple PLMNs indicated in *SIB1*, this field is specified per PLMN. [This field indicates if the cell is barred for IAB node or the cell does not support IAB node, or both. When this field is absent, the IAB node shall treat this cell as if cell status is barred.]

Editor Notes: The need of the text in bracket is FFS.

When cell status is indicated as "not barred" and "not reserved" for operator use and not "true" for other use,

- All UEs shall treat this cell as candidate during the cell selection and cell reselection procedures.

When cell status is indicated as "true" for other use,

- The UE shall treat this cell as if cell status is "barred".

When cell status is indicated as "not barred" and "reserved" for operator use for any PLMN and not "true" for other use,

- UEs assigned to Access Identity 11 or 15 operating in their HPLMN/EHPLMN shall treat this cell as candidate during the cell selection and reselection procedures if the field *cellReservedForOperatorUse* for that PLMN set to "reserved".

- UEs assigned to an Access Identity 0, 1, 2 and 12 to 14 shall behave as if the cell status is "barred" in case the cell is "reserved for operator use" for the registered PLMN or the selected PLMN.

NOTE 1: Access Identities 11, 15 are only valid for use in the HPLMN/ EHPLMN; Access Identities 12, 13, 14 are only valid for use in the home country as specified in TS 22.261 [12].

When cell status "barred" is indicated or to be treated as if the cell status is "barred",

- The UE is not permitted to select/reselect this cell, not even for emergency calls.

- The UE shall select another cell according to the following rule:

- If the cell is to be treated as if the cell status is "barred" due to being unable to acquire the *MIB*:

- the UE may exclude the barred cell as a candidate for cell selection/reselection for up to 300 seconds.

- the UE may select another cell on the same frequency if the selection criteria are fulfilled.

- else:

- If operating as an IAB-MT and the cell is to be treated as if the cell status is "barred" due to lack of *iab-Support* in *SIB1*:

- The IAB-MT may exclude the barred cell as a candidate for cell selection/reselection for up to 300 seconds;

Editor Notes: FFS on the need of above text.

- If the cell is to be treated as if the cell status is "barred" due to being unable to acquire the *SIB1* or due to *trackingAreaCode* being absent in *SIB1* as specified in TS 38.331 [3]:

- The UE may exclude the barred cell as a candidate for cell selection/reselection for up to 300 seconds.

- If the field *intraFreqReselection* in *MIB* message is set to "allowed", the UE may select another cell on the same frequency if re-selection criteria are fulfilled;

- The UE shall exclude the barred cell as a candidate for cell selection/reselection for 300 seconds.

- If the field *intraFreqReselection* in *MIB* message is set to "not allowed" the UE shall not re-select a cell on the same frequency as the barred cell;

- The UE shall exclude the barred cell and the cells on the same frequency as a candidate for cell selection/reselection for 300 seconds.

The cell selection of another cell may also include a change of RAT.

--------------------------[End of change] ------------------------------