3GPP TSG-RAN WG1 Meeting #108-e Draft R1-2202528

e-Meeting, 21st February – 3rd March 2022

**Agenda Item: 8.6.1.1**

**Title: FL summary #1 on reduced maximum UE bandwidth for RedCap**

**Source: Moderator (Ericsson)**

**Document for: Discussion, Decision**

# Introduction

This feature lead (FL) summary (FLS) concerns the Rel-17 work item (WI) for support of reduced capability (RedCap) NR devices [1]. Earlier RAN1 agreements for this WI are summarized in [2]. The final FLS for this agenda item from the previous RAN1 meeting can be found in [3].

This document summarizes contributions [4] – [27] submitted to agenda item 8.6.1.1 and relevant parts of contributions [28] – [35] submitted to other agenda items and captures this email discussion on reduced maximum UE bandwidth:

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| [108-e-R17-RedCap-01] Email discussion for maintenance on aspects related to reduced maximum UE bandwidth – Johan (Ericsson)* 1st check point: February 25
* Final check point: March 3
 |

According to the latest WI status report, the following remaining details pertaining to reduced UE bandwidth are expected to be addressed during CR/maintenance phase in Q1 2022:

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| * Clarification of UE behavior when separate initial DL BWP is not configured
* Presence of SSB transmission in separate initial DL BWP in connected mode for BWP#0 configuration option 1
* Remaining details of common PUCCH resource determination
* If needed, address RAN2/RAN4 feedback on RAN1 working assumptions on DL BWP operation (see [R1-2112802](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_107-e/Docs/R1-2112802.zip))
 |

The issues in this document are tagged and color coded with High Priority or Medium Priority. The issues that are in the focus of this round of the discussion are furthermore tagged FL1.

Follow the naming convention in this example:

* *RedCapBwFLS-v000.docx*
* *RedCapBwFLS-v001-CompanyA.docx*
* *RedCapBwFLS-v002-CompanyA-CompanyB.docx*
* *RedCapBwFLS-v003-CompanyB-CompanyC.docx*

If needed, you may “lock” a spreadsheet file for 30 minutes by creating a checkout file, as in this example:

* Assume CompanyC wants to update *RedCapBwFLS-v002-CompanyA-CompanyB.docx*.
* CompanyC uploads an empty file named *RedCapBwFLS-v003-CompanyB-CompanyC.checkout*
* CompanyC checks that no one else has created a checkout file simultaneously, and if there is a collision, CompanyC tries to coordinate with the company who made the other checkout (see, e.g., contact list below).
* CompanyC then has 30 minutes to upload *RedCapBwFLS-v003-CompanyB-CompanyC.docx*
* If no update is uploaded in 30 minutes, other companies can ignore the checkout file.
* Note that the file timestamps on the server are in UTC time.

In file names, please use the hyphen character (not the underline character) and include ‘v’ in front of the version number, as in the examples above and in line with the general recommendation (see slide 10 in [R1-2200852](https://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_108-e/Docs/R1-2200852.zip)), otherwise the sorting of the files will be messed up (which can only be fixed by the RAN1 secretary).

To avoid excessive email load on the RAN1 email reflector, please note that there is NO need to send an info email to the reflector just to inform that you have uploaded a new version of this document. Companies are invited to enter the contact info in the table below.

**FL1 Question 1-1a: Please consider entering contact info below for the points of contact for this email discussion.**

|  |  |  |
| --- | --- | --- |
| **Company** | **Point of contact** | **Email address** |
| vivo | Xueming Pan | panxueming@vivo.com |
| Nordic  | Karol Schober | karol.schober@nordicsemi.no |
| Spreadtrum | Huayu Zhou | huayu.zhou@unisoc.com |
| FUTUREWEI | Vip Desai | vipul.desai@futurewei.com |
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# Separate initial DL BWP

One of the FFSs identified in RAN1#106-bis-e is whether the separate RedCap initial DL BWP is always configured if the initial DL BWP for non-RedCap UEs is wider than the maximum RedCap UE bandwidth:

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| * For a cell that allows a RedCap UE to access, network can configure a separate initial DL BWP for RedCap UEs in SIB.
	+ FFS: It is always configured if the initial DL BWP for non-RedCap UEs is wider than the maximum RedCap UE bandwidth.
 |

Regarding the configuration of a separate initial DL BWP for RedCap when the initial DL BWP for non-RedCap UEs is wider than the maximum RedCap UE bandwidth, the contributions express different views. Few contributions [13, 16, 21, 22, 27] indicate that, considering the UE complexity and specification impacts, the separate initial DL BWP for RedCap should be always configured if the initial DL BWP for non-RedCap UEs is wider than the maximum RedCap UE bandwidth. [13] points out that it needs to be carefully studied that whether to support that the RedCap UE can continue using the location/bandwidth/SCS of CORESET#0 for the initial DL BWP. Meanwhile, several contributions [6, 8, 9, 10, 18, 19, 23, 24, 26, 28] argue it is not necessary to always configure a separate initial DL BWP for RedCap. Specifically, if the separate initial DL BWP for RedCap UEs is not configured, then the RedCap UEs can continue using the MIB-configured CORESET#0 (e.g., its location, bandwidth, SCS, and cyclic prefix). In this case, for TDD, the center frequencies between CORESET#0 and the initial UL BWP for RedCap can be different as long as the total bandwidth of the two is not larger than the RedCap maximum UE bandwidth.

Moreover, several contributions [10, 23, 24] mention that, in TDD, the center frequency of CORESET#0 and the initial UL BWP are not necessarily aligned but the total bandwidth of the two is not larger than the RedCap maximum UE bandwidth.

Some additional views are expressed as follows:

* [8]: If a separate SIB-configured initial DL BWP for RedCap UEs is not configured when the initial DL BWP for non-RedCap UEs is wider than the maximum RedCap UE bandwidth, then the RedCap UE continues to use at least the location, bandwidth, SCS, and cyclic prefix of the MIB-configured CORESET#0.
* [16]: A separate initial DL BWP is always configured for RedCap if the initial DL BWP for non-RedCap UEs is wider than the maximum RedCap UE bandwidth.
* [19]: If a separate SIB-configured initial DL BWP for RedCap UEs is not configured when the initial DL BWP for non-RedCap UEs is wider than the maximum RedCap UE bandwidth, then CORESET#0 is defined as separate initial DL BWP. CORESET#0 can be used during and after initial access.
* [22]: For a cell that allows RedCap UEs to access, a separate SIB-configured initial DL BWP for RedCap UEs shall always be configured if the initial DL BWP for non-RedCap UEs is wider than the maximum RedCap UEs bandwidth.
* [23]: For TDD, the center frequencies between CORESET#0 and the initial UL BWP for RedCap can be different as long as the total bandwidth of the two is not larger than the RedCap maximum UE bandwidth. Otherwise, they are the same.
* [31]: Support configuration of CORESET#0A in separate initial DL BWP
	+ CORESET#0A has same properties as CORESET#0 in terms of size and length
	+ FFS: first PRB of CORESET#0A in separate initial DL BWP.

Based on the above views, the following proposal related to the RedCap separate initial DL BWP can be considered.

**FL1 High Priority Proposal 2-1: For the case that the initial DL BWP for non-RedCap UEs is wider than the maximum RedCap UE bandwidth, down-select between the following two options during RAN1#108-e:**

* **Option 1: A separate initial DL BWP is configured for RedCap if the initial DL BWP for non-RedCap UEs is wider than the maximum RedCap UE bandwidth.**
	+ **Otherwise, the UE shall consider the cell as barred.**
* **Option 2: The RedCap UE continues to use at least the location, bandwidth, SCS, and cyclic prefix of the MIB-configured CORESET#0.**
	+ **For TDD, the center frequencies of the MIB-configured CORESET#0 and the initial UL BWP are not necessarily aligned, but the total frequency span of MIB-configured CORESET#0 and the initial UL BWP does not exceed the RedCap UE maximum bandwidth.**

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| **Company** | **Y/N** | **Preferred option (if any)** | **Comments** |
| vivo |  | Option 1 (1st preference)Option 2 is also fine with modification | For Option 2, even for now the RRC\_CONNECTED non-RedCap UE does not support the non-aligned center frequency between DL and UL BWP. Therefore we cannot agree with Option 2 as is, since it will break the legacy rule for center-frequency alignment between DL and UL BWP with the same IDWe are fine with proposal 2 if following modification is made:* **Option 2: The RedCap UE continues to use at least the location, bandwidth, SCS, and cyclic prefix of the MIB-configured CORESET#0.**
	+ **For TDD, the center frequencies of the MIB-configured CORESET#0 and the initial UL BWP needs to be ~~are not necessarily~~ aligned~~, but the total frequency span of MIB-configured CORESET#0 and the initial UL BWP does not exceed the RedCap UE maximum bandwidth~~.**
	+ **Otherwise, RedCap UE expects to be configured with separate initial DL BWP**
 |
| Nordic |  | Option 1 | As commented, in legacy initial DL BWP is always configured in SIB1. We do not understand why RedCap initial DL BWP should be handled otherwise. **Option 1 would automatically also resolve center-frequency issue.**There are at least 3 sub-options for Option 2 for TDD* Option 2-1 the total frequency span of MIB-configured CORESET#0 and the initial UL BWP does not exceed the RedCap UE maximum bandwidth.
* Option 2-2: CORESET#0 is within BW of initial UL BWP
* Option 2-3: CORESET#0 and initial UL BWP is center-frequency aligned, otherwise RedCap UE expects to be configured with separate initial DL BWP

We would be fine with Option 2-2 and 2-3 |
| Spreadtrum |  | Prefer Option 1, but can live with Option 2 if it is the majority view and have the modification | Option 1 is more simple way to avoid the “continue-to-use” mechanism, which is lack of flexibility.For Option 2, if the RedCap UE continues to use the location/bandwidth/… of CORESET#0, e.g. during random access, the RedCap UE needs to perform RF retuning for DL/UL switch, when the center frequencies of CORESET#0 and the initial UL BWP are not aligned. It is not complexity and power efficient. Therefore, we share the similar modification as vivo. |
| FUTUREWEI |  | Option 1 and option 2 with modifications | Option 1 is straightforward for the UE and eliminates checking by the UE. Option 2 can reduce the signaling overhead, especially if the network chooses not to configure a separate initial DL BWP. We are open to consider options including those mentioned by Nordic and vivo  |

Several contributions [6, 18, 19, 22, 27] also discuss aspects related to reception of DCI Format 1\_0 for RedCap. In particular, when a separate initial DL BWP is configured for RedCap, clarification is needed for the DCI size determination in a CSS. Contributions [18, 19, 22, 27] propose that the size determination for DCI Format 1\_0 should be based on the size of CORESET #0. In [6], it is proposed to down-select two options: Option 1: it is determined by the size of CORESET 0, and Option 2: it is determined by the size of the separate initial DL BWP.

**FL1 Medium Priority Proposal 2-2: For RedCap UE reception of DCI format 1\_0 in a CSS:**

* **DCI size always depends on size of CORESET#0.**
* **Resource allocation starts at first PRB of CORESET where DCI format has been received**

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| **Company** | **Y/N** | **Comments** |
| vivo | Y |  |
| Nordic  | Y | We assume, this should be a Conclusion, as no spec change needed. |
| FUTUREWEI |  | Before a decision is made, we should consider some implications of DCI size bullet. If a separate initial DL BWP is provided, then its size must be at least the size of CORESET#0. A network implementation may want to consider a different size for the separate initial DL BWP. Another point is the RB alignment for a CORESET (starting at multiple of 6 RBs). It may not be possible to ensure RB alignment, TDD center frequency alignment and size. |

# SSB for BWP#0 configuration option 1 in connected mode

Another open issue is related to presence of SSB in a separate initial DL BWP when used in connected mode for BWP#0 configuration option 1. Several contributions argue that for BWP#0 configuration option 1, the use of initial DL BWP in connected mode is very limited from functionality and power saving point of view [9, 16, 19]. Also, these contributions indicate that based on RAN2 feedback, NCD-SSB is not provided for the initial DL BWP. Therefore, several contributions propose that, for BWP#0 configuration option 1, the UE does not expect SSB in the separate initial DL BWP that is configured for random access when it is used in connected mode [9, 10, 16, 19, 24]. In [12], it is noted that BWP#0 configuration option 1 can be supported for RedCap UE irrespective of the presence of CD-SSB and entire CORESET#0 in a separate initial DL BWP. Contribution [5] mentions that a RedCap UE can use BWP#0 option 1 in the connected state if the RedCap UE is configured with a separate initial DL BWP that contains CORESET#0/SSB. However, one contribution [17] argues that a RedCap UE can expect to be provided with NCD-SSB transmission in the separate initial DL BWP.

Based on the above views, the following proposal can be considered:

**FL1 High Priority Proposal 3-1: For BWP#0 configuration option 1, if the separate initial DL BWP is used in connected mode and it is only used for random access, the UE does not expect it to always contain SSB.**

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| **Company** | **Y/N** | **Comments** |
| vivo | N | Firstly, we need to have a decision whether to allow BWP#0 configuration option 1 for the initial DL BWP configuration. From our perspective, we do not see the benefits/motivation to support BWP#0 configuration option 1 to configure the separate initial DL BWP, using BWP#0 configuration option 2 might be sufficient. Even if BWP#0 configuration option 1 is allowed for separate initial DL BWP configuration, it is not clear to us how to capture the proposal 3-1 into specification, as to our understanding it is hard to define a BWP in connected mode “only used for random access purpose”, i.e. to prevent other scheduling beyond random access.  |
| Nordic | Y, but | If this would be the case for short time until UE gets dedicated RRC, we would be fine. Otherwise, UE not supporting optional capabilities would be in trouble. |
| Spreadtrum | Maybe N | We are not sure whether or not RAN1 should have the further agreement on it. The previous agreement has such meaning already, and in spec 38.213, “during random access” is written as “monitoring Type1-PDCCH” which is also fine for connected mode.* + **For a separate initial DL BWP (if it does not include CD-SSB and the entire CORESET#0) from RAN1 perspective,**
		- **If it is configured for random access while not for paging in idle/inactive mode, RedCap UE does NOT expect it to contain SSB/CORESET#0/SIB.**
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**FL1 High Priority Question 3-2: For BWP#0 configuration option 1, should it be supported to use the separate initial DL BWP in connected mode for other purposes than random access? If the answer is yes, please comment in the Comments field on whether the UE should expect it to always contain SSB.**

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| **Company** | **Y/N** | **Comments** |
| vivo |  | Firstly, we need to have a decision whether to allow BWP#0 configuration option 1 for the initial DL BWP configuration. From our perspective, we do not see the benefits/motivation to support BWP#0 configuration option 1 to configure the separate initial DL BWP, using BWP#0 configuration option 2 might be sufficient. Even if BWP#0 configuration option 1 is allowed for separate initial DL BWP configuration, it is not clear to us how to capture the proposal 3-1 into specification, as to our understanding it is hard to define a BWP in connected mode “only used for random access purpose”, or “for other purposes than random access”.  |
| Nordic | N | BWP#0 in Option 1 is used in legacy only until UE gets dedicated RRC. So clearly not meant for RRC connected mode. |
| Spreadtrum | Maybe N | For connected mode, gNB can also configure the CSS in the UE-specific DL BWP. For BWP#0 configuration Option 1, if gNB configures the CSS (SIB/paging) in the separate initial BWP, RedCap UE should retune RF to monitor the CSS outside the active DL BWP, no matter the separate initial DL BWP contains SSB or not. Indeed, from the RAN plenary conclusion:

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| Scheme 1 (i.e. UE in IDLE and INACTIVE monitors paging in an initial BWP associated with CD-SSB) is adopted for further work in Rel-17. Scheme 2 (i.e. UE in IDLE and INACTIVE monitors paging in an initial BWP associated with NCD-SSB) is not considered further in Rel-17.RAN2 should work on the assumption that the cell reselection measurements and cell ranking are performed based on measurements on the CD-SSB. This applies for intra- and inter-frequency measurements, and for IDLE and INACTIVE states. |

it seems more feasible that RedCap UE should receive SIB/paging in CORESET#0. Therefore, we think for BWP#0 configuration Option 1, RedCap UE should receive SIB/paging in CORESET#0, although we share the similar view as vivo that gNB should avoid such power inefficient configuration for UE. |

# Update of RAN1 working assumptions on DL BWP operation

The remaining working assumptions from RAN1#107e are as follows for FR1. There are similar working assumptions for FR2.

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| Agreement:* For FR1,
	+ For a separate initial DL BWP (if it does not include CD-SSB and the entire CORESET#0) from RAN1 perspective,
		- If it is configured for random access while not for paging in idle/inactive mode, RedCap UE does NOT expect it to contain SSB/CORESET#0/SIB.
		- Note: RAN1 assumes REDCAP UE performing Random access in the separate DL BWP does not need to monitor paging in a BWP containing CORESET#0
		- Working assumption: If it is configured for paging, RedCap UE expects it to contain NCD-SSB for serving cell but not CORESET#0/SIB from RAN1 perspective
	+ For an RRC-configured active DL BWP in connected mode (if it does not include CD-SSB and the entire CORESET#0) from RAN1 perspective,
		- A RedCap UE supporting mandatory FG 6-1 (but not optional FG 6-1a) expects it to contain NCD-SSB for serving cell but not CORESET#0/SIB
		- A RedCap UE can indicate the following as optional capability:
			* Not need NCD-SSB: A RedCap UE can in addition optionally support relevant operation based on for CSI-RS (working assumption) and/or FG 6-1a by reporting optional capabilities.
	+ Note: if a separate initial/RRC configured DL BWP is configured to contain the entire CORESET#0, CD-SSB is expected by RedCap UE.
	+ Note: The network may choose to configure SSB or MIB-configured CORESET#0 or SIB1 to be within the respective DL BWP.
	+ Note: If a separate SIB-configured initial DL BWP for RedCap UEs contains the entire CORESET#0, the RedCap UE shall use the bandwidth and location of the CORESET#0 in DL during initial access.
	+ Note: NCD-SSB periodicity is not required to be configured the same as that of CD-SSB
	+ Note: Periodicity of NCD-SSB shall be not less than periodicity of CD-SSB

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Regarding use of NCD-SSB in idle mode operation, RAN#94-e made the following agreement [36].

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| * Scheme 1 (i.e., UE in IDLE and INACTIVE monitors paging in an initial BWP associated with CD-SSB) is adopted for further work in Rel-17.
* Scheme 2 (i.e., UE in IDLE and INACTIVE monitors paging in an initial BWP associated with NCD-SSB) is not considered further in Rel-17.
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RAN2 provided feedback [38] on the RAN1 working assumption on use of CSI-RS in DL BWPs for RedCap UEs [37]:

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| Regarding the following working assumption for FR1 and FR2 related to an RRC-configured active DL BWP in connected mode: “A RedCap UE can in addition optionally support relevant operation based on CSI-RS”* The use of CSI-RS for cell/beam RLM and measurements is supported from RAN2 signalling standpoint as indicated earlier. RAN4 has informed RAN2 and RAN1 that CSI-RS cannot be used as a standalone mechanism for RRM measurements and existing requirements rely on the presence of SSB signals, in their reply LS provided in R4-2120327. RAN2 does not intend to introduce a new mechanism that would enable a RedCap UE to perform CSI-RS based RRM measurements and think that it is up to RAN4 to decide whether RAN1 working assumption regarding the use of CSI-RS in connected mode is acceptable based on the information provided above.
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RAN4 provided feedback [41] on the RAN1 working assumption on use of CSI-RS in DL BWPs for RedCap UEs [37]:

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| For an RRC-configured active DL BWP in connected mode (if it does not include CD-SSB and the entire CORESET#0): * A RedCap UE that supports FG 6-1a but NOT support CSI-RS based L3 measurement operates in the BWP
	+ the UE can support RLM, BFD, CBD and L1 RSRP measurement based on CSI-RS if UE reports the corresponding capabilities.
	+ the UE can support SSB based L3 measurement but cannot support CSI-RS based L3 measurement.
* A RedCap UE that supports FG 6-1a and CSI-RS based L3 measurement operates in the BWP
	+ the UE can support RLM, BFD, CBD and L1 RSRP measurement based on CSI-RS if UE reports the corresponding capabilities.
	+ the UE can support both SSB based L3 measurement and CSI-RS based L3 measurement with associated SSB.
		- RAN4 will not define CSI-RS L3 based measurement requirements for Redcap 1RX UE in Rel-17.
* For serving cell timing related requirements, RAN4 will not define requirements based on CSI-RS in Rel-17.
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In addition, regarding NCD-SSB properties, RAN4 provided the following feedback [40]:

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| * It is RAN4 assumption that NCD-SSB is ‘QCL’-ed with CD-SSB when the NCD-SSB and CD-SSB shares the same SSB index.
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Based on the received feedbacks, several contributions [5, 13, 15, 16, 17, 19, 23] propose to update the above working assumptions identified in RAN1#107e. In particular, it is proposed to remove (do not confirm) the working assumption about paging considering that in Rel-17 RedCap UE in idle/inactive mode monitors paging only in an initial BWP associated with CD-SSB. Also, a few contributions [5, 15, 16, 23] indicate that the working assumption regarding “Not need NCD-SSB” may need to be revised considering that CSI-RS is not used as a standalone mechanism for RRM measurements in Rel-17. However, two contributions [10, 19] propose to confirm the working assumption about “Not need NCD-SSB”.

Some other presented views are summarized below:

* [15]: Operation based on CSI-RS in an active BWP without either CD-SSB or NCD-SSB should not be considered in Rel-17 because RAN4 will not define requirement for it in Rel-17.
* [27]: Do not confirm the working assumption about CSI-RS and focus only on design of capability FG 6-1 by means of retuning gaps.
* [29]: The time offset of each NCD-SSB is explicitly configurable by the network, which could be different from that of CD-SSB in the same network.
* [29]: For a RedCap UE, at most one SSB can be configured within its active BWP.
* [30]: It is up to UE implementation about whether to receive the SIB when the UE retunes to CORESET0 and retunes back to separate initial UL BWP for RSRP measurement due to msg1/A retransmission.
* [33]: When initial DL BWP is shared or separate initial DL BWP contains legacy initial DL BWP, additional RedCap specific paging and RAR search space are supported.
* [35]: It is proposed update the description and figures corresponding to BWP#0 configuration for RedCap UEs in RAN2 specifications.

Some contributions discuss UE capability aspects (something which is also discussed under agenda item 8.16.6):

* [7]: Legacy behavior shall be followed that the RedCap UE can support CSI-RS based L3 measurement with associated SSB and RLM, BFD, CBD, L1 RSRP measurement based on CSI-RS if UE reports the corresponding capabilities.
* [12]: It is not necessary to either specify RedCap UE dedicated UE capability signaling or update existing UE features in the current specification which indicate the support of CSI-RS based operation for L3 measurement, RLM, BFD, CBD and L1 RSRP measurement.
* [13]: Remove “CORESET#0” or add a note in FG 6-1/6-1a/6-2/6-3/6-4. The note is “For RedCap UE, CORESET#0 here means CORESET#0 or CORESET of CSS”.
* [23]:
	+ FG 1-5 (“CSI-RS based RRM measurement without associated SS-block”) is not applicable to RedCap UE.
	+ FG 1-4 (“CSI-RS based RRM measurement with associated SS-block”) is not applicable to RedCap UE and add a new UE feature group(s) for RedCap UE to report its support for CSI-RS based RRM measurement with associated SSB.
		- The new UE feature group(s) is to be discussed in AI 8.16.6.
		- FG 6-1a is a prerequisite for the new UE feature group(s).

Based on the above views, the following can be considered.

Please note that the discussion on the potential need to update the definitions of FGs 6-1 and 6-1a will take place in the RedCap UE feature list email discussion under agenda item 8.16.6.

**FL1 High Priority Proposal 4-1: Replace the working assumption from RAN1#107e “Working assumption: If it is configured for paging, RedCap UE expects it to contain NCD-SSB for serving cell but not CORESET#0/SIB from RAN1 perspective” with the following note based on the RAN plenary agreement [36]:**

* **Note (for FR1 and FR2): In Rel-17, a RedCap UE in idle/inactive mode monitors paging only in an initial BWP associated with CD-SSB.**

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| **Company** | **Y/N** | **Comments** |
| vivo | Y |  |
| Nordic | Y | We agree that paging in separate initial BWP is supported in RRC connected. Wording could be updated/simplified as follows:**Note (for FR1 and FR2): In Rel-17, above applies only for RRC connected state.** |
| Spreadtrum | Y |  |
| FUTUREWEI | Y |  |

Please note that the discussion on the potential need to update the definitions of FGs 6-1 and 6-1a will take place in the RedCap UE feature list email discussion under agenda item 8.16.6.

**FL1 High Priority Question 4-2: Given the feedback from RAN2 and RAN4, can the CSI-RS-related working assumption in the following bullet from the RAN1#107e agreement be confirmed as is? Please provide potential updates or other additional comments regarding CSI-RS-based operation as needed in the Comments field.**

* **Not need NCD-SSB: A RedCap UE can in addition optionally support relevant operation based on for CSI-RS (working assumption) and/or FG 6-1a by reporting optional capabilities.**

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| **Company** | **Y/N** | **Comments** |
| vivo | N | Based on RAN4’s feedback for CSI-based measurement that is always coupled with “A RedCap UE that supports FG 6-1a […]”, it means CSI-RS cannot be used as a standalone method. Therefore, we propose if the WA needs to be confirmed in Rel-17, FG6-1a should be the prerequisite for RedCap UE supporting relevant operations based on CSI-RS. Following update is necessary. * **For an RRC-configured active DL BWP in connected mode (if it does not include CD-SSB and the entire CORESET#0) from RAN1 perspective,**
	+ **A RedCap UE supporting mandatory FG 6-1 (but not optional FG 6-1a) expects it to contain NCD-SSB for serving cell but not CORESET#0/SIB**
	+ **A RedCap UE can indicate the following as optional capability:**
		- **Not need NCD-SSB: A RedCap UE can in addition optionally support relevant operation based on for CSI-RS ~~(working assumption) and/or~~** **if FG 6-1a is supported by reporting optional capabilities.**
 |
| Nordic | N | Based on the feedback from RAN2 and RAN4 and give this is maintenance.* **Not need NCD-SSB: A RedCap UE can in addition optionally support relevant operation based on ~~for CSI-RS (working assumption) and/or~~ FG 6-1a by reporting optional capabilities.**
 |
| Spreadtrum | N | Share the similar view as vivo. |

Finally, RAN2 has discussed this scenario and how a RedCap UE performs RSRP measurements before Msg1 or MsgA retransmission on separate initial UL BWP and agreed on the following [39]:

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| * From RAN2 perspective, if a RedCap UE in idle/inactive mode is configured with a separate initial BWP associated with no SSB (CD or NCD) for RACH, it is up to UE implementation to perform new RSRP measurement in a DL BWP associated with CD-SSB before Msg1/A retransmission.
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**FL1 Medium Priority Question 4-3: Does the RAN2 agreement regarding RSRP measurement before Msg1/MsgA retransmission require any updates of RAN1 specifications? If yes, please elaborate in the Comments field.**

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| **Company** | **Y/N** | **Comments** |
| vivo | N |  |
| Nordic | N |  |
| FUTUREWEI | N |  |

# PUCCH resource determination

From RAN1#107-e, we have the following agreement regarding RedCap PUCCH resources (for HARQ feedback for Msg4/MsgB):

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| Agreement: * When the frequency hopping for the RedCap PUCCH resources (for HARQ feedback for Msg4/MsgB) is deactivated,
	+ Each PUCCH resource is mapped to a single PRB.
	+ What side[(s)] of the RedCap UL BWP center frequency to which PUCCH resources are mapped is[/are] configurable by the network, including SIB-configurable [additional] offset (with no more than [4] candidate values) using the existing equations for determining the PRB index of the PUCCH transmission as a starting point.
* RedCap and non-RedCap can be configured with the same or different PUCCH resource set indices (see TS 38.213 Table 9.2.1-1).
 |

Several contributions [4, 5, 6, 7, 9, 10, 12, 14, 16, 17, 19, 20, 24, 26, 27, 28] provided their views on the PUCCH resource determination with disabled frequency hopping in terms of whether both edges of UL BWP can be used or all PUCCH resources are mapped to one edge, 2) maximum number of PUCCH resources, 3) additional PRB offset, and 4) explicit equations.

Many of the contributions [5, 6, 7, 9, 10, 14, 16, 17, 19, 20, 24, 26, 27] propose that all PUCCH resources should be mapped to only one edge of the UL BWP considering the purpose of disabling PUCCH frequency hopping for minimizing the UL resource fragmentation. One contribution [4] supports also mapping the PUCCH resources to both edges of the UL BWP.

Most of these contributions [4, 5, 6, 9, 14, 16, 17, 19, 24, 26] propose to support the maximum 16 PUCCH resources in case of disabled PUCCH frequency hopping to achieve a higher capacity compared to the case with 8 PUCCH resources.

In addition, contributions are generally supportive of having additional PRB offsets for RedCap to avoid overlapping PUCCH resources. Contribution [27] proposes that additional offset values {0, 4, 6, 8} can be configured for RedCap default PUCCH resource set. Also, in [12], it is proposed that the candidate values are {2, 3, 4, 6} and if the field is absent, the RedCap UE assumes the value of 0.

Based on the above views, the following proposal can be considered:

**FL1 High Priority Proposal 5-1: When the frequency hopping for the RedCap PUCCH resources (for HARQ feedback for Msg4/MsgB) is deactivated,**

* **All 16 PUCCH resources are mapped to one side, and it is SIB-configurable which side.**
* **The PRB index of the PUCCH transmission is determined using the existing equations as a starting point, with an additional PRB offset with 4 candidate values.**
	+ **One of the candidate values is zero.**

|  |  |  |
| --- | --- | --- |
| **Company** | **Y/N** | **Comments** |
| vivo | Y |  |
| Nordic  | Y |  |
| FUTUREWEI | Y |  |

**FL1 High Priority Question 5-2: Companies are invited to comment on suitable candidate values for the additional PRB offset values.**

|  |  |
| --- | --- |
| **Company** | **Comments** |
| vivo | We slightly prefer the additional offset values are {0, 4, 6, 8}for better co-exist with legacy common PUCCH resources.  |
| Nordic | As we contributed, {0,4,6,8} provides the best multiplexing with non-RedCap UE PUCCH |
| FUTUREWEI | The values of {0,4,6,8} seem reasonable |

# Other aspects

The following other aspects not covered in the earlier sections of this document are discussed in some contributions.

**UL/DL center frequency in TDD:**

* [6]: For TDD, the center frequencies are assumed to be the same for the initial DL BWP and initial UL BWP after initial access for RedCap UEs.
* [13]: For TDD, center frequencies are the same for the initial DL and UL BWP during random access for RedCap UEs, no matter whether or not it includes CD-SSB and the entire CORESET#0 or not.
* [21]: For TDD, the initial DL BWP for RedCap UEs (separate or default) shall be center frequency aligned with the initial UL BWP for RedCap UEs (separate or default) for both during initial access and after initial access.
* [23]: For TDD, center frequencies are the same for the initial DL and UL BWPs for RedCap UEs, regardless of whether the initial DL BWP contains CD-SSB and the entire CORESET#0.
* [27]: In TDD, initial UL BWP applicable to RedCap UEs is aligned in center frequency with initial DL BWP applicable to RedCap UEs.

**Multiplexing of FH and non-FH PUCCH:**

* [4]: Two base sequences are generated and applied for a non-FH PUCCH with time-domain symbol allocation and frequency domain PRB allocation the same as that of an intra-slot FH PUCCH.
* [12]: When intra-slot PUCCH frequency hopping within the separate initial UL BWP in the PUCCH resource for HARQ feedback for Msg4/MsgB for RedCap UEs is disabled, UE generate two base sequences for the PUCCH as if intra-slot frequency hopping is enabled for the PUCCH transmission.
* [17]: Multiplexing between non-FH and FH PUCCH from RedCap and non-RedCap UEs respectively is left up to gNB implementation.
* [18]: If intra-slot FH is disabled for Redcap and overlapped RBs are used for non-FH and FH PUCCH transmissions, the base sequence group/sequence hopping for PUCCH format 1 is determined based on value of ‘pucch-GroupHopping’ IE configured for non-Redcap UE.

**RACH occasions:**

* [4]: For the shared ROs scenario, only ROs which fall within separate initial UL BWP can be regarded as valid ROs for RedCap UEs and the mapping of SSB-to-RO can be separately configured for RedCap UEs.
* [10]: When ROs are shared, a separate mapping between RO and SSB for RedCap UE may be needed.

Companies are invited to comment on whether any other critical issues (beside the ones covered in earlier sections) need to be resolved to conclude the Rel-17 RedCap WI.

**FL1 Medium Priority Question 6-1: Companies are invited to comment on whether any other critical issues (beside the ones covered in earlier sections) need to be resolved to conclude the Rel-17 RedCap WI.**

|  |  |
| --- | --- |
| **Company** | **Comments** |
| vivo | For the center-frequency alignment issue, we think the legacy principle should be maintained, i.e. a TDD UE assumes center-frequency alignment between DL BWP and UL BWP with the same BWP index.  |
| Nordic  | We believe it would be good to clarify what CORESET(s) can be configured in separate Initial DL BWP not containing CORESET#0 by MIB. If non-RedCap SIB1 shall be reused for RedCap, commonCORESET configuration requires more than 50bits, **this is critically high**. We believe there should be possibility for some simple CORESET configuration, be it called CORESET0A or something else. |
| Spreadtrum | 1. NW can configure the separate initial DL BWP, no matter whether the separate initial DL BWP contains CD-SSB and the entire CORESET#0. We are not sure the current agreements (when combined) can imply it. If it can, we are fine for it.
2. Similar as vivo, we think the center-frequency alignment b/w DL/UL BWP should be applied to all cases for RedCap UE. In the current agreements, there is a FFS for the case when the initial DL BWP does not include CD-SSB and the entire CORESET#0.
 |

# References

|  |  |  |  |
| --- | --- | --- | --- |
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| [3] | [R1-2112501](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_107-e/Docs/R1-2112501.zip) | FL summary #5 on reduced maximum UE bandwidth for RedCap | Moderator (Ericsson) |
| [4] | [R1-2200917](https://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_108-e/Docs/R1-2200917.zip) | Remaining issues on reduced maximum UE bandwidth | Huawei, HiSilicon |
| [5] | [R1-2200985](https://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_108-e/Docs/R1-2200985.zip) | Remaining aspects of Bandwidth Reduction for RedCap UEs | FUTUREWEI |
| [6] | [R1-2201099](https://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_108-e/Docs/R1-2201099.zip) | Remaining issues on reduced maximum UE bandwidth | Vivo, Guangdong Genius |
| [7] | [R1-2201136](https://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_108-e/Docs/R1-2201136.zip) | Bandwidth reduction for reduced capability NR devices | ZTE, Sanechips |
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| [11] | [R1-2201441](https://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_108-e/Docs/R1-2201441.zip) | Remaining issues on reduced maximum UE bandwidth for RedCap | China Telecom |
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| [16] | [R1-2201668](https://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_108-e/Docs/R1-2201668.zip) | Reduced maximum UE bandwidth for RedCap | Ericsson |
| [17] | [R1-2201702](https://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_108-e/Docs/R1-2201702.zip) | On reduced BW support for RedCap | Intel Corporation |
| [18] | [R1-2201775](https://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_108-e/Docs/R1-2201775.zip) | Reduced maximum UE bandwidth for Redcap | Apple |
| [19] | [R1-2201861](https://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_108-e/Docs/R1-2201861.zip) | Remaining issues of reduced maximum UE bandwidth | CMCC |
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| [21] | [R1-2201970](https://www.3gpp.org/ftp/TSG_RAN/WG1_RL1/TSGR1_108-e/Docs/R1-2201970.zip) | Reduced maximum UE bandwidth for RedCap | Lenovo, Motorola Mobility |
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