**3GPP TSG RAN WG1 Meeting #103-e R1-20xxxxx**

**E-meeting, October 26th - November 13th, 2020**

**Agenda Item: 8.8.2.1**

**Source: Moderator (China Telecom)**

**Title: [103-e-NR-CovEnh-04] Email discussion for PUSCH coverage enhancement**

**Document for: Discussion**

1. Introduction

In RAN #86 meeting, a new Rel-17 study item on NR coverage enhancements was approved [1]. The objective of this study item is to study potential coverage enhancement solutions for specific scenarios for both FR1 and FR2. The detailed objectives are as follows.

* *The target scenarios and services include*
  + *Urban (outdoor gNB serving indoor UEs) scenario, and rural scenario (including extreme long distance rural scenario) for FR1*
  + *Indoor scenario (indoor gNB serving indoor UEs), and urban/suburban scenario (including outdoor gNB serving outdoor UEs and outdoor gNB serving indoor UEs) for FR2.*
  + *TDD and FDD for FR1.*
  + *VoIP and eMBB service for FR1.*
  + *eMBB service as first priority and VoIP as second priority for FR2.*
  + *LPWA services and scenarios are not included.*
* *Identify baseline coverage performance for both DL and UL for the above scenarios and services based on link-level simulation*
  + *UL channels (including PUSCH and PUCCH) are prioritized for FR1.*
  + *Both DL and UL channels for FR2.*
* *Identify the performance target for coverage enhancement, and study the potential solutions for coverage enhancements for the above scenarios and services*
  + *The target channels include at least PUSCH/PUCCH*
  + *Study enhanced solutions, e.g., time domain/frequency domain/DM-RS enhancement (including DM-RS-less transmissions)*
  + *Study the additional enhanced solutions for FR2 if any*
  + *Evaluate the performance of the potential solutions based on link level simulation.*

This contribution is a summary of the following email discussion:

[103-e-NR-CovEnh-04] Email discussion for PUSCH coverage enhancement – Jianchi (CT)

* 1st check point: 10/29
* 2nd check point: 11/4
* 3rd check point: 11/10
* Last check point 11/12

1. Summary of potential solutions

## 2.1 Time domain based solutions

### 2.1.1 Enhancements on PUSCH repetition Type A

For enhancements on PUSCH repetition type A, there are three options:

* Option 1: Increase the maximum number of repetitions.
  + Support: HW, HiSi, CTC, Intel, CATT, Spreadtrum, OPPO, Sharp, Apple
* Option 2: The number of repetitions is counted on the basis of available UL slots.
  + Support: HW, HiSi, CTC, vivo, Intel, Samsung, ZTE, CATT, CMCC, Panasonic, OPPO, LG, Interdigital, NTT DOCOMO, ETRI, Apple
* Option 3: Flexible symbol resource allocation in different slots.
  + Support: CMCC, LG, ETRI

**Option 1: Increase the maximum number of repetitions.**

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| **Supported companies** | HW, HiSi, CTC, Intel, CATT, Spreadtrum, OPPO, Sharp, Apple |
| **Companies have concerns** | **vivo**: If the actual number of repetitions is extended by simply extending the maximum number of repetitions, the actual number of repetition transmission may be not as expected.  **Sierra Wireless**: For the eMBB use cases, do not recommend specifying increased repetition for the PUSCH.  **Nokia/NSB**: The maximum number of repetitions for PUSCH repetition type A in release 15 is sufficient for FDD deployment. |
| **Potential spec. impact** | Increase the maximum number of repetitions for repetition type A, increase the entries of TDRA |

**Option 2: The number of repetitions is counted on the basis of available UL slots.**

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| **Supported companies** | HW, HiSi, CTC, vivo, Intel, Samsung, ZTE, CATT, CMCC, Panasonic, OPPO, LG, Interdigital, NTT DOCOMO, ETRI, Apple |
| **Companies have concerns** | **Nokia/NSB**: The PUSCH repetition type B can be used to cope with the cancellation due to DL/UL collision in TDD deployment. Therefore, the consideration of counting repetition numbers based on non-consecutive slots for PUSCH repetition type A may not be needed. |
| **Potential spec. impact** | New mechanism to determine actual repetition times, the postponement rules for repetition type A should be supported |
| **Other considerations** | **Samsung:** SFI is used to ensure full flexibility for a gNB to adapt the slot structure to optimize resource utilization and a UE can transmit in all symbols indicated as UL symbols.  **Panasonic:** If the cost of PDCCH is not high (i.e., no need of the large repetition for PDCCH) and/or DL/UL collision for TDD is not so frequent, just another PDCCH is used to request the remaining UL transmission would be more efficient because it can control whether the remaining repetition is sent or not. Therefore, this mechanism should be studied with the consideration of PDCCH overhead. |

* Option 3: Different symbol allocations applied in different slots.

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| **Supported companies** | CMCC, LG, ETRI |
| **Potential spec. impact** | New mechanism to indicate UL symbols for each slot. |
| **Other considerations** | **ETRI** propose to study to indicate more than one SLIVs in a single UL grant. |

### 2.1.2 Enhancements on PUSCH repetition Type B

For enhancements on PUSCH repetition type B, there are three options:

* Option 1: Actual PUSCH transmission across the slot boundary and invalid symbols
  + Support: ZTE, CTC, Samsung, WILUS, ETRI
* Option 2: Actual PUSCH transmission across the slot boundary and invalid symbols, and the length of actual repetition larger than 14 symbols
  + Support: ZTE, CTC, Samsung, WILUS, Interdigital, NTT DOCOMO
* Option 3: RV enhancement
  + Support: vivo

**Option 1: Actual PUSCH transmission across the slot boundary and invalid symbols**

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| **Supported companies** | ZTE, CTC, Samsung, WILUS, ETRI |
| **Companies have concerns** | **Nokia/NSB**: The enhancement on PUSCH repetition type B, e.g. actual repetition across the slot boundary, or the length of actual repetition larger than 14 symbols, is in the same category and should be discussed together with TB processing over multiple slots for PUSCH. The PUSCH coverage enhancement based on PUSCH repetition type B framework should be avoided. |
| **Potential spec. impact** | UE behaviour on handling of across slot boundary and invalid symbols, TBS determination, DM-RS pattern and DCI indication. |
| **Other considerations** | **Intel**: Enhancement on PUSCH repetition type B in time domain needs to be carefully studied in WI phase with considerations of impacts on UE implementation. |

**Option 2: Actual PUSCH transmission across the slot boundary and invalid symbols, and the length of actual repetition larger than 14 symbols**

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| **Supported companies** | ZTE, CTC, Samsung, WILUS, Interdigital, NTT DOCOMO |
| **Companies have concerns** | **Nokia/NSB**: The enhancement on PUSCH repetition type B, e.g. actual repetition across the slot boundary, or the length of actual repetition larger than 14 symbols, is in the same category and should be discussed together with TB processing over multiple slots for PUSCH. The PUSCH coverage enhancement based on PUSCH repetition type B framework should be avoided.  **Spreadtrum**: don’t support increasing maximum number of symbols for PUSCH. |
| **Potential spec. impact** | TBS determination, DM-RS pattern, DCI indication, SLIV table, hopping rules |
| **Other considerations** | **WILUS**: If across slot boundaries + actual repetition larger than 14 symbols are adopted, Additional consideration may be required for the hopping boundary determination    Option 1: Slot boundary  Option 2: Nominal repetition boundary  Option 3: Aggregated actual repetition boundary  **Intel**: Enhancement on PUSCH repetition type B in time domain needs to be carefully studied in WI phase with considerations of impacts on UE implementation. |

**Option 3: Single TB, transmitted in parts over multiple actual repetition**

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| **Supported companies** | vivo |
| **Potential spec. impact** | enhanced or new rules of determining RV for each repetition, enhanced or new segment rules of actual repetitions. |

### 2.1.3 TB processing over multi-slot PUSCH

For TB processing over multi-slot PUSCH, there are two options:

* Option 1: TBS is determined based on single slot, transmitted in parts over multiple slots.
  + Support: Interdigital
* Option 2: TBS is determined based on multiple slots.
  + Support: IITH, IITM, CEWIT, Reliance Jio, Tejas Networks, Intel, CTC, LG, Qualcomm, Panasonic, Samsung, Interdigital, WILUS, Sharp

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| **Supported companies** | vivo, IITH, IITM, CEWIT, Reliance Jio, Tejas Networks, Intel, CTC, LG, Interdigital, Qualcomm, Panasonic |
| **Potential spec. impact** | Uniform TDRA or start symbol and length, TBS and DMRS pattern, UE behaviour and related signalling. |
| **Other considerations** | **vivo**: For multi-slot PUSCH, applying the same TDRA over multiple slots would result in the discontinuous resource and inflexible allocation, the available resources cannot be fully utilized.  **CTC**:   * Option 1: TBS is determined based on single slot, transmitted in parts over multiple slots. * Option 2: TBS is determined based on multiple slots.   + Option 2a: different RV version is transmitted in each slot.   + Option 2b: different segment is transmitted in each slot.   **Nokia/NSB**: There is a tradeoff between the time domain diversity gain from PUSCH repetition and the low coding rate gain brought by the potential TB processing over multiple slots. The applicability of this solution in TDD deployment is limited. |

### 2.1.4 OCC spreading based repetition

**ZTE, Xiaomi**: OCC spreading based PUSCH can be considered for NR coverage enhancement. Orthogonal code division multiplexing among different UEs can be considered in case of repetitions



### 2.1.5 Symbol-level repetition/combing

**Panasonic**: Symbol-level repetition could be considered as one of cross-slot channel estimation techniques combined with TB processing over multi-slot PUSCH. The same OFDM symbol is repeated in N consecutive symbols, where N is the number of repetitions. This structure is also suitable to perform symbol-level combining.



**LG**: Symbol-level combining should be studied to enhance PUSCH coverage. Symbol-level combining can be supported by applying the same RV value during a bundle of PUSCH slots.

### 2.1.6 TB interleaving

**Sierra Wireless**: Recommend that gaps between repeats be specified as a Rel 17 Coverage enhancement solution.

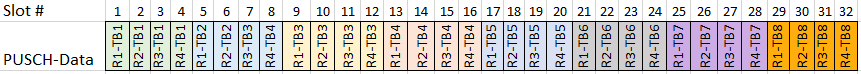


Figure 2a: Legacy scheduling (i.e. without gaps)

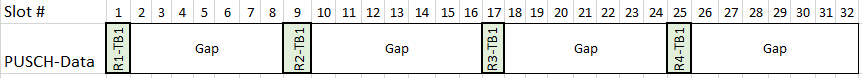


Figure 2b: No TBs scheduled within the gaps



Figure 2c: TBs scheduled within the gaps

Figure 2a illustrates how multiple TBs are in scheduled with repeats in legacy Rel 16 where each repeat is scheduled over contiguous slots. Figure 2b illustrates how a single TB is scheduled with a gap of 7 slots. Figure 2c illustrates the scheduling where the gap is filled with TBs #2-8. TBs #2-8 could be TBs for other users or for the same user as TB #1. If the TBs are all for the same user, this scheduling pattern provides the same data rate as if no gaps are used (i.e. figure 2a and 2c have the same data rate for that user). Allowing the gaps to be filled with TBs for other users, improves the gNB’s scheduling flexibility which will result in lower latency for other users and improved capacity.

### 2.1.7 Early termination of PUSCH repetitions

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| **Supported companies** | ZTE, CMCC, OPPO |
| **Companies have concerns** | **Nokia/NSB**: The potential advantage of introducing early termination of PUSCH repetition is unclear. |
| **Potential spec. impact** | Mechanism and signaling of early termination. |
| **Other considerations** | **ZTE, Panasonic**: DFI specified in Rel.16 NR-U for explicit ACK can be used as the starting point |

## 2.2 Frequency domain based solutions

### 2.2.1 Enhancements on inter-slot frequency hopping

* Inter-slot frequency hopping with more frequency offsets/ more frequency hopping positions
  + Support: HW, HiSi, Xiaomi, vivo, ZTE, NEC, Sony, NTT DOCOMO, CTC, Spreadtrum, Apple

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| **Supported companies** | HW, HiSi, Xiaomi, vivo, ZTE, NEC, Sony, NTT DOCOMO, CTC, Spreadtrum, Apple |
| **Companies have concerns** | **Intel**: Increasing the number of frequency hops from 2 to 4 for inter-slot frequency hopping may not be supported for NR coverage enhancement WI. |
| **Potential spec. impact** | Frequency hopping pattern and related signalling (RRC, DCI indication). |
| **Other considerations** | **CATT**: The suitable number of frequency hopping and the number of hopping offset candidates should be firstly determined, which may be dependent with BWP bandwidth. Then, it should be further studied how to flexibly indicate the hopping.  **Sony**: For PUSCH frequency hopping, the gNB can dynamically adapt the frequency hopping pattern, based on which hops are more effective. |

### 2.2.2 Inter-slot frequency hopping with inter-slot bundling

* Inter-slot frequency hopping with inter-slot bundling to enable cross-slot channel estimation
  + Support: ZTE, Intel, CTC, NEC, Samsung, LG, Panasonic, Interdigital, Apple

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| **Supported companies** | ZTE, Intel, CTC, NEC, Samsung, LG, Panasonic, Interdigital, Apple |
| **Companies have concerns** | **CATT**: Cross-slot channel estimation should be well studied before supporting this mechanism. |
| **Potential spec. impact** | Frequency domain hopping offset, time domain hopping interval and the related signalling, power consistency and the phase continuity within one bundle should be preserved. |
| **Other considerations** | **Nokia/NSB**: whether this solution should be supported or not depending on the outcome of cross-slot channel estimation solution. |

### 2.2.3 Enhancements on frequency hopping for PUSCH repetition type B

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| **Supported companies** | CATT |
| **Companies have concerns** | **vivo**: Intra-repetition frequency hopping for PUSCH repetition type B should be deprioritized.  **Spreadtrum**: Postpone the discussion on enhancements on frequency hopping for PUSCH repetition type B. |

### 2.2.4 Sub-PRB transmission

* Sub-PRB transmission with multi-slot aggregation for VoIP
  + Support: CTC, Sony, LG, NTT DOCOMO, Sierra Wireless, Samsung

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| **Supported companies** | CTC, Sony, LG, NTT DOCOMO, Sierra Wireless, Samsung |
| **Companies have concerns** | **vivo**: sub-PRB transmission with single TB sized for multiple slots and transmitted over multiple slots suffers higher complexity and latency. Furthermore, sub-PRB transmission requires significant work on specification. Thus, sub-PRB transmission is not supported for PUSCH coverage enhancement.  **CATT**: It can be foreseen that specifying Sub-PRB resource allocation requires heavy standard work, including sub-PRB pattern definition, FDRA/TDRA indication, hopping pattern within/between the PRBs, DMRS design, coexistence with legacy UEs, etc. Sub-PRB resource allocation may be supported, but the motivation needs more justification.  **Intel**: Depending on coverage enhancement target for VoIP under various deployment scenarios in FR1 and FR2, further study is needed to conclude whether sub-PRB based resource allocation can be considered for PUSCH coverage enhancement.  **Spreadtrum**: Too much specification efforts are needed. |
| **Potential spec. impact** | Sub-PRB pattern definition, FDRA/TDRA indication, hopping pattern within/between the PRBs, DMRS design, TBS determination, UE behaviour and related signalling. |
| **Other considerations** | **Sierra Wireless**: Recommend the 2 Tone Pi/2 BPSK (LTE-M) scheme be specified to improve coverage for VoIP. LTE-M and NB-IOT both support a near zero PAPR modulation schemes using the sub-PRB technique (**2 tone DTF-spread pi/2 BPSK** for LTE-M and single tone pi/2 BPSK for NB-IOT). The near-zero PAPR sub-PRB based modulation doesn’t increase coverage directly but instead reduce the need to define MPR and A-MPR values which would then require the UE to transmit at a higher power which increases coverage.  **CTC**:   * Option 1: TBS is determined based on sub-PRB and single slot. * Option 2: TBS is determined based on sub-PRB and multiple slots.   For option 1, sub-PRB transmission can be in conjunction with repetition. For option 2, the basic principle is similar with TB processing over multi-slot PUSCH, while sub-PRB transmission can further benefit from PSD boosting.  **Samsung**: frequency domain resource allocation, power control, DMRS related may or may not be needed, no spec impact on TBS determination.  **Nokia/NSB**: There is a trade-off between Tx power per subcarrier vs SNR gain, which should be carefully considered. |

### 2.2.5 Enhancements on Intra-slot frequency hopping

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| **Supported companies** | CTC, Spreadtrum, Nokia/NSB |
| **Companies have concerns** | **Xiaomi**: from the perspective of balancing complexity and performance gain, intra-slot frequency hopping should not to be supported. |
| **Potential spec. impact** | Frequency hopping pattern and related signalling (RRC, DCI indication). |
| **Other considerations** | **Nokia/NSB:** intra-frequency hopping with 3 hops and DMRS sharing. |

## 2.3 DM-RS enhancements

### 2.3.1 Cross-slot channel estimation

* Cross slot channel estimation
  + Support: HW, HiSi, Xiaomi, ZTE, ETRI, CTC, CMCC, NEC, Samsung, OPPO, Sharp, LG, Ericsson, Interdigital, NTT DOCOMO, Qualcomm, Intel, Panasonic, CATT, Apple

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| **Supported companies** | HW, HiSi, Xiaomi, ZTE, ETRI, CTC, CMCC, NEC, Samsung, OPPO, Sharp, LG, Ericsson, Interdigital, NTT DOCOMO, Qualcomm, Intel, Panasonic, CATT, Apple |
| **Potential spec. impact** | Power consistency and phase continuity should be preserved, DMRS placement in special slot, DMRS configuration. |
| **Other considerations** | **Vivo:** To guarantee phase and power continuity, potential solution is to indicate UE the continuity/spatial relation among slots or transmissions implicitly or explicitly.  **ZTE**: Further study whether phase continuity can be kept across slot boundary.  **CATT**: It need to be further studied whether UE power un-consistency exists due to potential reasons like the updated pathloss measurement or modulation-order-dependent power reduction.  **NEC**: The granularity of symbol number within one slot may not be suitable for cross channel estimation among multiple slots. To overcome this issue, different number of DMRS symbol in different slot could be studied.  **Panasonic**: In order to support cross-slot channel estimation, RAN1 asks to RAN4 in what condition phase continuity can be kept. If cross-slot/cross-repetition channel estimation is supported, adaptive DMRS transmission, in which DMRS configuration can be different among slots or repetition could also be considered.  **Interdigital, Xiaomi**: Support DMRS placement in a special slot which can be bundled with DMRS in the adjacent uplink slot.  **NTT DOCOMO:** Cross-slot channel estimation with non-consecutive PUSCH slots should be also considered for the performance evaluation. |

### 2.3.2 Lower DMRS density

For lower DM-RS density, there are two options:

* Option 1: lower density in time domain, e.g., DMRS sharing among multiple PUSCH transmissions
  + Support: HW, HiSi, Spreadtrum, OPPO, Sharp, Nokia, NSB, xiaomi, Apple
* Option 2: lower density in frequency domain
  + ZTE

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| **Supported companies** | HW, HiSi, Spreadtrum, OPPO, Sharp, Nokia, NSB, ZTE, xiaomi, Apple |
| **Companies have concerns** | **CATT**: DMRS enhancement is more related to MIMO enhancement, which may lead to heavy cross-topic specification work. It is suggested to justify the benefit of the DMRS density enhancement firstly.  **Intel**: Lower DMRS density in time domain is not supported for PUSCH coverage enhancement. |
| **Potential spec. impact** | DM-RS pattern and related signaling. |

### 2.3.3 Higher DMRS density

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| **Supported companies** | **CTC:** 1-comb DMRS (DM-RS with single port spans to occupy the whole DM-RS symbol)  **NTT DOCOMO**: Denser DM-RS mapping (e.g. 3 additional DMRS for 2 symbol DMRS) |
| **Companies have concerns** | **Intel, LG**: Higher DMRS density in time domain may not be needed for PUSCH coverage enhancement.  **CATT**: DMRS enhancement is more related to MIMO enhancement, which may lead to heavy cross-topic specification work. It is suggested to justify the benefit of the DMRS density enhancement firstly. |
| **Potential spec. impact** | DM-RS design and TBS determination. |

### 2.3.4 Adaptive DMRS configuration

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| **Supported companies** | CMCC, Sony, Qualcomm |
| **Other considerations** | **Qualcomm** propose 2 options to enable efficient DMRS adaptation:   * **Option 1:** Dynamic MAC-CE based activation + complementary DCI based selection of one of the activated DMRS configuration options. * **Option 2:** Single active DMRS configuration option that is dynamically activated by MAC-CE.   **Corresponding potential spec.** **impact**:  DMRS configurations, mechanism of DMRS configuration activation and selection. |

### 2.3.5 DM-RS balancing among frequency hops

**Nokia/NSB**: When PUSCH repetition type A and intra-slot frequency hopping are both applied, if an odd number of DMRS per slots is configured then the actual number of DMRS symbols per hop is not the same. This may lead to deterministic channel estimation accuracy differences across hops. This aspect should be considered when discussing DMRS enhancement solutions.

## 2.4 Power-domain based solutions

### 2.4.1 Waveform design to optimize MPR/A-MPR

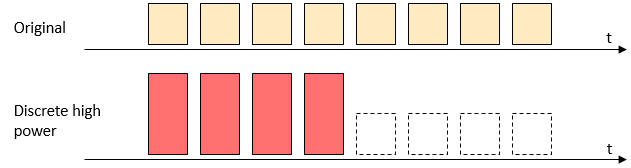
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| **Supported companies** | Interdigital, Qualcomm, Nokia, NSB |
| **Companies have concerns** | **CATT**: In RAN4, MPR/A-MPR is defined to put a requirement on range of UE power reduction. It only restricts the maximum reduced power, but the actual power reduction is up to UE implementation. The benefit for new waveform is not so clear, since the gain for optimizing power reduction is unclear and hard to be quantified. Anyway, RAN1 should firstly ask RAN4 the feasibility and availability, if it is indeed interesting and attractive. |
| **Other considerations** | **Interdigital**: For example, the gNB can determine based on e.g. uplink reference signals or based on power head room report if the UE needs more transmission power to achieve the performance target. The gNB can then indicate to the UE to use PAPR reduction techniques in the subsequent uplink transmissions.  **Qualcomm**: Consider tone reservation principle for DFT-s-OFDM and CP-OFDM waveforms to further reduce PAPR.  **Nokia/NSB**:The FDSS with spectral extension for QPSK is considered as potential solution to reduce MPR and to improve UL PUSCH coverage. |

### 2.4.2 Power boosting for pi/2 BPSK

**IITH, IITM, CEWIT, Reliance Jio, Tejas Networks**: Make pi/2 BPSK power boosting a function of the UL duty cycle. Send LS to RAN4 to study the feasibility of power boosting for pi/2 BPSK modulation beyond 26 dBm as a function of the UL duty cycle.

### 2.4.3 FDD high power UE

**HW/HiSi**: The most effective way to increase the SNR is directly using a higher instant output power at some specific slots while zero power is allocated to other slots of the FDD duration, thus maintaining the same total transmission power of all slots in the FDD duration and satisfying the SAR requirement.



**Concerns:**

CATT, OPPO, Sony: Power domain based enhancement should be carefully studied by RAN4 before starting any specification work in RAN1.

## 2.5 Spatial-domain based solutions

### 2.5.1 Multiple layer PUSCH transmission with DFT-S-OFDM

**Ericsson**:

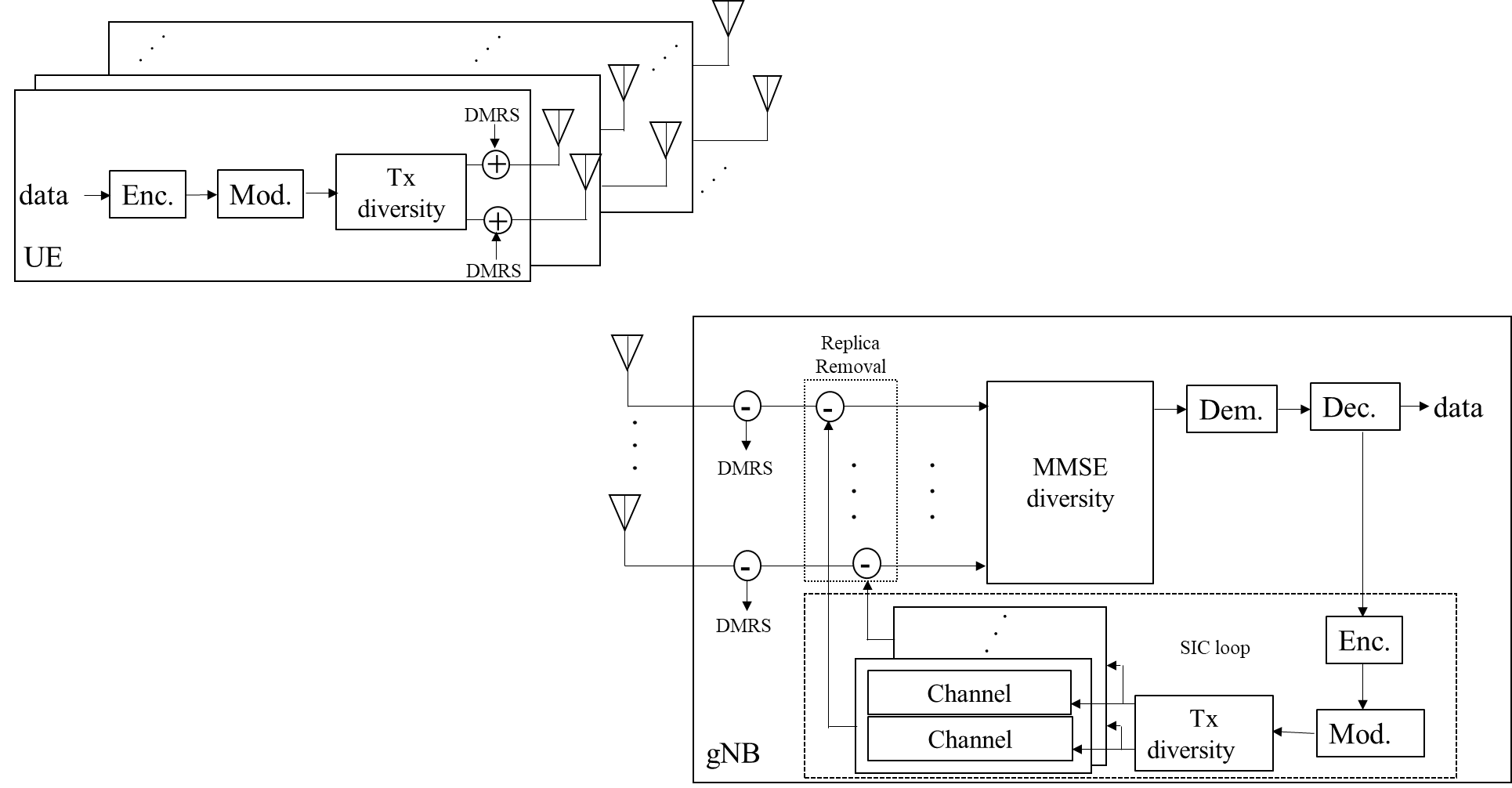
* Non-coherent and partially coherent UE’s PAPR or cubic metric (CM) of multiple layer PUSCH transmission is not higher than 1-layer PUSCH transmission by coherent UE.
* Multiple layer PUSCH transmission with DFT-S-OFDM can improve PUSCH cell coverage.
* Multiple layer transmission is especially beneficial in the non-coherent UEs that are those most used in real deployment, since multi-layer transmission provides more power in these UEs.
  + Pure rank 1 transmission tends to be infrequent even for UEs in the poorest channel conditions when few gNB antennas are used.
  + When massive MIMO gNBs are used, rank 1 is almost never selected.

**Concerns**:

**CATT**: For multi-layer DFT-s-OFDM, the motivation is not so clear, since higher ranks are usually chosen only when the channel quality is good enough. In this case, the UL coverage is not the bottleneck, and the UE transmission power is not necessary to be high. Then, PAPR does not cause serious issue even if CP-OFDM waveform is applied, which is mandatorily supported by all NR UEs. In this case, CP-OFDM can be applied for multi-layer PUSCH transmission.

### 2.5.2 Open-loop/closed loop Tx diversity

**NICT**: The use of DFT-s-OFDM with Tx diversity should be one of the approaches for coverage enhancement.



**Mitsubishi Electric**:Alamouti-based transmit diversity is supported for PUSCH with DFT-s-OFDM.

**OPPO**: In order to harvest the spatial diversity gain, different PUSCH spatial filter parameters and different antenna ports can be applied for different PUSCH slots.

## 2.6 Others

### 2.6.1 Dynamic PUSCH waveform adaptation

**Qualcomm**: To enable efficient waveform adaptation in 5G NR, a new signaling mechanism for dynamic waveform reconfiguration is required.

* **Option 1:** Dynamic MAC-CE based activation of the active MCS table. Different RRC configured MCS tables are associated with different waveforms and the activated MCS table provides an implicit indication of the associated waveform.
* **Option 2:** A new hybrid MCS table will be defined as a combination of MCSs from the existing MCS tables for DFT-S-OFDM and CP-OFDM transmission schemes. PUSCH MSC idx signaled in a scheduling DCI (scheduled grant) or configured under *ConfiguredGrantConfig* in case of a configured grant for PUSCH will be used as an implicit signaling for the transmission scheme.

**Potential specification impact**:

For Option 1, MCS table configurations, mechanism for MCS table activation/selection based on MAC-CE signaling

For Option 2, new hybrid MCS table needs to be defined.

### 2.6.2 Coverage enhancement for voice

**Ericsson**:

* Indicate to CT1 and SA4 that 2KB SIP message sizes may impact VoNR coverage or setup latency in arduous coverage scenarios and ask if SigComP functionality can be supported to reduce SIP message overhead.
* Ask CT1/SA4 what SIP message packet sizes and arrival rates can be expected.

1. Proposals (1st round)

In RAN1 #102-e, following agreements have been achieved:

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| **Agreements:**   * Study following power domain based solution for PUSCH enhancements   + Waveform design to optimize MPR/A-MPR   + [FDD high power UE]   + Power boosting for pi/2 BPSK   Note: if a LS to RAN4 (for the last two bullets) is deemed necessary, target sending the LS in the 1st week of RAN1#103-e. |

* Q1: Is it necessary to send LS to RAN4?
* Q2: It is expected to receive reply LS from RAN4 during this meeting? If no, how to make conclusions in RAN1?

Companies are invited to answer the above questions.

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| **Companies** | **Comments** |
| Sierra Wireless | Its unclear what the question(s) to RAN4 will be thus there is no need to send LS to RAN4. |
| Samsung | It seems no need to send an LS to RAN4. Also the questions to ask to RAN4 are unclear. |
| InterDigital | For waveform design to optimize MPR/A-MPR, we do not see a need to send LS to RAN4 |
| Intel | Share similar view as other companies. RAN1 needs to first understand better the questions for RAN4. |
| Panasonic | The question(s) to RAN4 should be clarified. |
| Nokia/NSB | We share similar concern as the FL that we may not receive the reply LS from RAN4 during this meeting and hence it is difficult to conclude the SI in this meeting. However, we are open to discuss the content of the LS, if the majority view is to send an LS to RAN4. |
| Xiaomi | Clarifying the question is the premise. |
| ZTE | For FDD higher power UE, we haven’t agreed to study yet. Thus, we don’t see a need to send LS to RAN4 at least for this one for now. Also, we agree that the questions for RAN4 should be clarified first. |
| IITH, IITM, CEWIT, Reliance Jio, Tejas Networks | For power boosting, we should definitely and immediately ask RAN4 whether it is possible to further boost the power beyond 26 dBm. Based on this the coverage goals will be determined.  However, if sending an LS to RAN4 is a problem, we should at least decide in RAN1 which of these to be supported. We think due to 3 solutions being grouped together, there is confusion about which solutions are being talked about.  Specifically for pi/2 BPSK power boosting, we have shown the gains achievable by power boosting. The group should consider studying this in more detail. |

//Proposal 1-13: Conclusions or observations on details and specification impacts for each enhanced solution

Proposal 1: Capture the followings into the TR

* Enhancements on PUSCH repetition type A were studied from several aspects, including increasing the maximum number of repetitions, the number of repetitions counted on the basis of available UL slots and flexible symbol resource allocation in different slots.
* Potential specification impacts of enhancements on PUSCH repetition type A include:
  + Increase the entries of TDRA, mechanism to determine actual repetition times, mechanism to indicate UL symbols for each slot.

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| Sierra Wireless | Consider making the sentence past tense (this goes for all the proposal to the TR).  Enhancements on PUSCH repetition type A were studied from several aspects, including increasing the maximum number of repetitions, …. |
| Samsung | Fine in principle with the proposal but please note there are other aspects under discussion in Sec.2.1.2. We can come back to it after further discussion instead of agreeing now. |
| InterDigital | Support the proposal from the feature lead in principle. In addition, DMRS bundling between repetitions should be included in one of the proposals. A suggestion for the proposal is shown in Proposal 8. |
| Intel | In general, we are fine with the proposals. One comment for “mechanism to determine actual repetition times”, it may be better to update as “mechanism to determine transmission occasion of actual repetition ~~times~~” to make it clearer. |
| NTT DOCOMO | We support the FL proposal. |
| Sharp | We are OK with the proposal. The wording suggested by Intel is preferred to us. |
| Nokia/NSB | We are fine with the FL’s proposal and the edits from Intel. |
| Xiaomi | We are fine with the FL proposal and the intel’s comment. |
| ZTE | We are fine the proposal. |
| LG | In general, we are fine with the proposal.  For potential specification impacts of enhancements on PUSCH repetition type A, we would like to add “mechanism to determine actual starting OFDM symbol for each slot” |
| CATT | Fine with the proposal. |
| OPPO | Share similar view to update “mechanism to determine actual repetition times” in the proposal as “mechanism to determine transmission occasion of actual repetition ~~times~~” |

Proposal 2: Capture the followings into the TR

* Enhancements on PUSCH repetition type B are studied from several aspects, including actual PUSCH transmission across the slot boundary/invalid symbols and the length of actual repetition larger than 14 symbols.
* Potential specification impacts of enhancements on PUSCH repetition type B include:
  + TBS determination, DM-RS pattern, DCI indication, TDRA, hopping rules.

Companies are invited to provide views on the above proposal.

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| --- | --- |
| **Companies** | **Comments** |
| InterDigital | Support the proposal from the feature lead in principle. In addition, DMRS bundling between repetitions should be included in one of the proposals. A suggestion for the proposal is shown in Proposal 8. |
| WILUS | Support FL proposal. |
| Intel | We are in general fine with the proposals, but not sure whether “DCI indication” is needed for potential spec impact. |
| Sharp | UCI multiplexing behaviour on PUCCH/PUSCH should be one of potential specification impacts for actual PUSCH transmission across the slot boundary. |
| Xiaomi | Support the FL proposal and in addition, we suggest to modify the “DCI indication” to“indication mechanism”. |
| ZTE | Support the proposal in principle. We suggest to make the potential spec impacts as examples since it may not be an complete list. |
| CATT | We are fine with the proposal. |
| InterDigital2 | There are examples in submitted contributions which capture repetition type B which has a repetition that is longer than 14 symbols. We suggest the following change in the proposal to make more general observation of the presented methods.  Enhancements on PUSCH repetition type B are studied from several aspects, including nominal/actual PUSCH transmission across the slot boundary/invalid symbols and the length of nominal/actual repetition larger than 14 symbols. |

Proposal 3: Capture the followings into the TR

* TB processing over multi-slot PUSCH is studied from several aspects, including TBS determined based on single slot, transmitted in parts over multiple slots and TBS determined based on multiple slots.
* Potential specification impacts of TB processing over multi-slot PUSCH include:
  + Time domain resource allocation, TBS determination, DM-RS pattern, RV determination.

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| Sierra Wireless | Its not clear what you mean with TBS – perhaps I think you instead mean Codeword. Also, for the case where the codewords are coded across multiple slots, the transmission still occurs across multiple slots where for TDD there may be gaps. Give this the following update is preferred:   * TB processing over multi-slot PUSCH was studied from several aspects, including codewords determined based on single slot, transmitted in parts over multiple slots and codewords determined based on multiple slots, transmitted over multiple slots with and without gaps. |
| InterDigital | Support the proposal from the feature lead |
| WILUS | Support FL proposal with some additional clarification as below:  Proposal 3: Capture the followings into the TR   * TB processing over multi-slot PUSCH is studied from several aspects, including TBS determined based on single slot, transmitted in parts over multiple slots and TBS determined based on multiple slots, transmitted over multiple slots. * Potential specification impacts of TB processing over multi-slot PUSCH include:   + Time domain resource allocation, TBS determination, DM-RS pattern, RV determination. |
| Intel | We are in general fine with the proposal. For RV determination, it is good to clarify whether this is for TB spanning multiple slots in conjunction with repetition. |
| NTT DOCOMO | We support the FL proposal. |
| Qualcomm | We wish to clarify that if we go with simple TB scaling approach, then reusing the PUSCH repetition mechanism avoids any change to TDRA or even the necessity for consecutive slots. This is likely to have a very minimal impact on the spec. |
| Sharp | “DMRS pattern” can be deleted from the potential specification impacts. What kind of impacts on DMRS pattern is expected? |
| Nokia/NSB | Support the FL’s proposal in principle. However, the following sentence (highlighted in red) should be added for the completeness of the proposal:   * “TB processing over multi-slot PUSCH is studied from several aspects, including TBS determined based on single slot, transmitted in parts over multiple slots and TBS determined based on multiple slots, transmitted over multiple slots”. |
| Xiaomi | We are in general fine with the proposal. But does the DMRS pattern relate to this solution? |
| ZTE | We are fine with the proposal. |
| LG | We are generally fine with the proposal. |
| CATT | We are not sure what new ‘DM-RS pattern’ or ‘RV determination’ rules are impacted here. |
| IITH, IITM, CEWIT, Reliance Jio, Tejas Networks | Support the proposal. |

Proposal 4: Capture the followings into the TR

* Enhancements on inter-slot frequency hopping are studied from several aspects, including more frequency offsets and more frequency hopping positions.
* Potential specification impacts of enhancements on inter-slot frequency hopping include:
  + Frequency domain hopping offsets, DM-RS pattern, TBS determination.

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| InterDigital | Support the proposal from the feature lead |
| Intel | It is unclear to us why “DM-RS pattern, TBS determination” is related to enhancement on inter-slot frequency hopping. |
| NTT DOCOMO | We support the FL proposal. |
| Nokia/NSB | Support |
| Xiaomi | It is unclear why “TBS determination” is related to enhancement on inter-slot frequency hopping. |
| ZTE | Support the proposal in principle. Similarly, we are not sure why TBS determination is relevant here. |
| LG | We are generally fine with the proposal. |
| CATT | Fine with the proposal. |
| OPPO | why “TBS determination” is needed for frequency hopping enhancement? |

Proposal 5: Capture the followings into the TR

* Potential specification impacts of inter-slot frequency hopping with inter-slot bundling to enable cross-slot channel estimation include:
  + Frequency domain hopping offset, time domain hopping interval, power consistency and the phase continuity within one bundle.

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| InterDigital | Support the proposal from the feature lead |
| Intel | For “Frequency domain hopping offset”, it is not clear whether we need this. We can reuse same mechanism as for inter-slot FH. |
| Nokia/NSB | Support |
| ZTE | Support the proposal. |
| CATT | We are fine with the proposal. |

Proposal 6: Capture the followings into the TR

* Sub-PRB transmission is studied from several aspects, including number of tones, sub-PRB transmission with single slot and sub-PRB transmission with multi-slot aggregation.
* Potential specification impacts of sub-PRB transmission include:
  + Frequency domain resource allocation, time domain resource allocation, TBS determination, DM-RS pattern, RV determination, hopping pattern within/between the PRBs

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| Intel | We are in general fine with the proposal. For spec impact, we would like to add “PUSCH signal generation for DFT-s-OFDM waveform”. Our understanding is that we may want to use PUCCH format 4 like mechanism for sub-PRB transmission for PUSCH when DFT-s-OFDM waveform is employed. |
| NTT DOCOMO | We support the FL proposal. |
| Nokia/NSB | Support |
| Xiaomi | We are fine with this proposal |
| LG | We are generally fine with the proposal. |
| CATT | Fine with the proposal. |
| OPPO | We are generally fine with the proposal. |

Proposal 7: Capture the followings into the TR

* Enhancements on intra-slot frequency hopping are studied from several aspects, including more frequency offsets, more frequency hopping positions and DM-RS sharing among multiple PUSCH transmissions.
* Potential specification impacts of enhancements on intra-slot frequency hopping include:
  + Frequency domain hopping offsets, DM-RS pattern, TBS determination, power consistency and phase continuity.

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| Nokia/NSB | Support |
| OPPO | “including more frequency offsets, more frequency hopping positions and DM-RS sharing among multiple PUSCH transmissions” it seems “more frequency hopping positions” is duplicated with “more frequency offsets”? |
|  |  |

Proposal 8: Capture the followings into the TR

* Potential specification impacts of cross-slot channel estimation include:
  + Power consistency and phase continuity, DM-RS placement in special slot and DM-RS configuration.

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| InterDigital | Support the proposal from the feature lead in principle. In Proposal 1,2 8, 9 or 10, DM-RS bundling between repetitions should be mentioned. One possible way to modify Proposal 8 as follows:   * Potential specification impacts of cross-slot or cross-repetition channel estimation include:   Power consistency and phase continuity, DM-RS placement in special slot and DM-RS configuration. |
| NTT DOCOMO | It is also beneficial to consider the cross-slot channel estimation with non-consecutive PUSCH slots e.g., TDD pattern of DDDSU as in the simulation assumption. |
| Sharp | We support the Interdigital’s proposal. Further, we suggest to remove “DM-RS placement in special slot and DM-RS configuration” from the potential specification impacts. Cross-slot channel estimation can be applied to the existing repetition type B without impacting the specification for DMRS placement in special slot and DMRS configuration. |
| Nokia/NSB | Support |
| Xiaomi | Does the DMRS configuration take the DMRS bundling into account? If yes, we are fine with the proposal. |
| ZTE | Fine with the proposal and also the revision from InterDigital. |
| LG | We are generally fine with the proposal. |
| CATT | Fine with either FL’s or InterDigital’s version. |
| InterDigital2 | To respond to the comment from Sharp, regarding “DM-RS placement in special slot and DM-RS configuration”, we would like to keep it as a potential specification impact. Cross-slot channel estimation can be applied to non-repetition PUSCH. For example, in the FL’s Proposal 2, an actual repetition of PUSCH that contains more than 14 symbols is considered. In such a configuration, DMRS can be placed in a special slot which will require cross-slot channel estimation. DMRS bundling operation may need a consideration for DMRS in a special slot as well. |

Proposal 9: Capture the followings into the TR

* Lower DM-RS density in time domain is studied, including DM-RS sharing among multiple PUSCH transmissions.
* Potential specification impacts of DM-RS sharing among multiple PUSCH transmissions include:
  + DM-RS pattern and configuration, power consistency and phase continuity.

Companies are invited to provide views on the above proposal.

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| --- | --- |
| **Companies** | **Comments** |
| InterDigital | Support the proposal from the feature lead. |
| Sharp | We are OK with the proposal. |
| Nokia/NSB | Support |
| Xiaomi | It is OK |
| ZTE | It was agreed to study lower DMRS density in both the time and frequency domain in the last meeting. In addition, we provide evaluation results show that lower DMRS density in the frequency domain could also provide about 1 dB gain. So, we suggest to change as follows:   * Lower DM-RS density ~~in time domain~~ is studied, including DM-RS sharing among multiple PUSCH transmissions in the time domain and lower DMRS density in frequency domain. * Potential specification impacts of DM-RS sharing among multiple PUSCH transmissions include:   + DM-RS pattern and configuration, power consistency and phase continuity. * Potential specification impacts of lower DMRS density in the frequency domain include:   + DM-RS pattern and configuration. |
| OPPO | Support |

Proposal 10: Capture the followings into the TR

* Higher DM-RS density is studied, including 1-comb DM-RS and additional DM-RS symbol position.
* Potential specification impacts of higher DM-RS density include:
  + DM-RS design, DM-RS position and TBS determination.

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| InterDigital | Support the proposal from the feature lead. |
| Intel | Suggest to provide more details on “1-comb DMRS”, e.g., “DM-RS with single port spans to occupy the whole DM-RS symbol” to make it clear.  Suggest to change “additional DM-RS symbol position” as “additional DM-RS symbol position in a slot”. |
| NTT DOCOMO | We support the FL proposal. |
|  |  |

Proposal 11: Capture the followings into the TR

* Potential specification impacts of adaptive DM-RS configuration include:
  + Related signaling design.

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| Xiaomi | Need to add “DMRS configuration and pattern” in the potential spec impact. |
| OPPO | Support |
|  |  |

Proposal 12: Capture the followings into the TR

* DM-RS balancing among frequency hops is studied. Potential specification impacts include related signaling design.

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| Intel | We may need to add “DMRS configuration and pattern” in the potential spec impact. |
| Nokia/NSB | Support |
| ZTE | Support |

Proposal 13: Capture the followings into the TR

* UE transmit waveform design to reduce MPR is studies from several aspects, including tone reservation and FDSS with spectral extension for QPSK.
* Potential specification impacts include
  + UE transmit waveform design, RF requirements.

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| InterDigital | Support the proposal from the feature lead |
| Nokia/NSB | Support |
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Companies are invited to provide views on the others solutions, if any.

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| **Companies** | **Comments** |
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1. Proposals (2nd round)

//Proposal 1-13: Conclusions or observations on details and specification impacts for each enhanced solution

Proposal 1: Capture the followings into the TR

* Enhancements on PUSCH repetition type A were studied from several aspects, including increasing the maximum number of repetitions e.g. 24, 32, the number of repetitions counted on the basis of available UL slots and flexible symbol resource allocation in different slots.
* Potential specification impacts of enhancements on PUSCH repetition type A include:
  + ~~Increase the entries of~~ TDRA (Time-Domain Resource Allocation).
  + Mechanism to determine transmission occasion of actual repetition ~~times~~, e.g. postponement rules.
  + Mechanism to indicate UL symbols for each slot, e.g. mechanism to determine actual starting OFDM symbol for each slot.

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| Apple | For “flexible symbol resource allocation in different slots”, as we commented on GTW, this part seems more like PUSCH repetition type B. For PUSCH repetition type A, the starting symbol and length of transmission is the same for each repetition. Proposal 1 is on the PUSCH repetition type A enhancement, so it is preferred to take this part out of this proposal, also the sub-bullet under standard impact can be removed as well. |
| OPPO | For the 2nd sub-bullet “Mechanism to determine transmission occasion of actual repetition ~~times~~, e.g. postponement rules”. We propose to remove the detail scheme “e.g. postponement rules” since there may be other mechanism as discussed in our contribution R1-2008271 to get the available UL slots for repetiotion. |
| vivo | Support the proposal in principle. But, there is concern about the maximum number. There seems to be unnecessary to provide the exact maximum number as example values or candidate values before evaluation. Thus, we suggest to remove “e.g. 24, 32”. |
| Qualcomm | General comment applicable to all proposal here: Can we also add number of companies who studied each scheme, how many support pursuing this scheme, and how many expressed concerns? We can add this information as the current meeting progresses and additional feedback in available, but it will be good to have it on record. |
| Ericsson | Examples can be removed in bullets, otherwise different companies may want to different examples which can be discussed in details in work item stage if needed:   * “e.g. 24, 32” is not necessary which can be discussed based on the outcome of the evaluation; * It’s clear without examples in the 2nd and 3rd sub-bullet of the main bullet. |
| Intel | Support the proposal in principle. We had one question for the “Mechanism to indicate UL symbols for each slot”. Is this the intention to consider flexible resource allocation in time domain, e.g., different SLIV in different slots? It would be good to clarify this. |
| LG | We are generally fine with proposal.  Regarding the 3rd sub-bullet “Mechanism to indicate UL symbols for each slot, e.g. mechanism to determine actual starting OFDM symbol for each slot.”, my understanding is that the main intention is to allow flexible resource allocation in time domain. So, it seems good to be listed up at same sub-bullet. But, it needs to clarify the difference of two examples in 3rd sub-bullet.  ‘Indicate UL symbols’ may mean to use multiple SLIV indicators for each slot.  On the other hand, ‘determine actual starting OFDM symbol for each slot’ may mean to use single SLIV indicator, and to determine the actual starting symbol by means of some rule or depending on condition (e.g., OFDM symbols for UL in special slot) .  In this aspect, we suggest to remove ‘e.g.’ between two different examples at the 3rd sub-bullet.  “Mechanism to indicate UL symbols for each slot, ~~e.g.~~ mechanism to determine actual starting OFDM symbol for each slot.” |
| Nokia/NSB | We share the same view as vivo and Ericsson that the examples on maximum number of repetitions may not be needed. Concerning the comment from LG, we think that capturing only “mechanism to determine actual starting OFDM symbol for each slot” should be sufficient, since it covers both implicit and explicit indication. Furthermore, we think it should be clear that even if flexible approach to configuring starting os for each repetition could be considered, duration of nominal and actual repetition would always coincide, given that it’s a Type A repetition. The current version of the proposal seems ambiguous in this regard. We propose to rewrite the third sub-bullet as:  Mechanism to indicate UL symbols for each slot, e.g. mechanism to determine actual starting OFDM symbol for each slot provided that repetition length is constant across slots. |

Proposal 2: Capture the followings into the TR

* Enhancements on PUSCH repetition type B were studied from several aspects, including actual PUSCH transmission across the slot boundary/invalid symbols and the length of actual repetition larger than 14 symbols, and RV enhancement.
* Potential specification impacts of enhancements on PUSCH repetition type B include:
  + TBS determination, DM-RS pattern, ~~[DCI indication],~~ SLIV table, hopping rules, RV determination, phase continuity.

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| Apple | We are not so clear the standard impact of hopping rule, the frequency hopping enhancement is in another proposal, any special consideration on hopping (inter-repetition hopping/inter-slot hopping) for PUSCH repetition type B enhancement? |
| Samsung | There were also other aspects considered (as summarized in Sec.2.1.1/2). We suggest to add at least “transmission with flexible symbol resource allocation”. |
| Panasonic | In Proposal 1, “TDRA” is used while SLIV is used in Proposal 2. We think the term should be aligned. |
| vivo | Support the revised proposal from FL. |
| Qualcomm | For spec impact, can we add “signalling mechanisms to indicate any potential changes to DMRS pattern/configuration”? |
| InterDigital | There are examples in submitted contributions which capture repetition type B which has a repetition that is longer than 14 symbols. We suggest the following change in the proposal to make more general observation of the presented methods.  Enhancements on PUSCH repetition type B are studied from several aspects, including nominal/actual PUSCH transmission across the slot boundary/invalid symbols and the length of nominal/actual repetition larger than 14 symbols. |
| Ericsson | Not sure how frequency hopping will be affected by the enhancements mentioned in the first bullet, isn’t FH optimization in other proposals? |
| WILUS | For potential specification impacts, “phase continuity” seems a part of DMRS enhancement proposals. For the “hopping rules”, although its necessity is clear as proposed in our contribution [29], it should be considered in frequency domain enhancement as another proposal. |
| Intel | We share similar view as other companies that it is not clear whether there is spec impact on hopping rules. |
| LG | We are generally fine with the proposal 2. But, we share similar view with WILUS that ‘phase continuity’ and ‘hopping rules’ can be moved to other parts. |
| Nokia/NSB | We are fine with the proposal. |

Proposal 3: Capture the followings into the TR

* TB processing over multi-slot PUSCH was studied from several aspects, including TBS determined based on single slot, transmitted in parts over multiple slots and TBS determined based on multiple slots, transmitted over multiple slots.
* Potential specification impacts of TB processing over multi-slot PUSCH include:
  + Time domain resource allocation, TBS determination, ~~DM-RS pattern~~, RV determination.

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| vivo | In our understanding, DMRS pattern may have impact on specification. For example, one potential TDRA for multi-slot PUSCH includes mapping type A, and first OFDM symbol as start symbol, and the length of 17. Therefore, second slot only occupies 3 OFDM symbols in time domain. It is well-known that there should be equal or greater than 4 OFDM symbols for PUSCH mapping type A. How DMRS symbol is mapped in time domain for the second slot?  Thus, potential specification impacts should include DMRS pattern. |
| Ericsson | Phase coherency requirement for UE is needed in the multiple slot scheduled for one TB. |
| Sharp | Why do we discuss TDRA with length 17 for multi-slot PUSCH? Even when you want a PUSCH with one repetition with length 14 in one slot and another repetition with length 3 in subsequent slot, you can apply PUSCH mapping type B. |
| LG | We are generally fine with the proposal 3. Minor editorial comment:   * TB processing over multi-slot PUSCH was studied from several aspects, including TBS determined based on single slot, transmitted in parts over multiple slots ~~and~~ , TBS determined based on multiple slots~~,~~ and transmitted over multiple slots. |
| Nokia/NSB | We are fine with the FL’s proposal in principle. However, as also mentioned by vivo, we think that there is potential spec impact for DMRS pattern as well. |

Proposal 4: Capture the followings into the TR

* Enhancements on inter-slot frequency hopping were studied from several aspects, including:
  + More frequency offsets, e.g. 4 for BWP less than 50 PRBs, 8 for BWP greater than 50 PRBs.
  + More frequency hopping positions, e.g. 4.
* Potential specification impacts of enhancements on inter-slot frequency hopping include:
  + Frequency domain hopping offsets/positions, ~~[DM-RS pattern], TBS determination~~.

Companies are invited to provide views on the above proposal.

|  |  |
| --- | --- |
| **Companies** | **Comments** |
| Apple | We are fine with this proposal. |
| vivo | Support the revised proposal from FL. |
| Intel | We are fine with the proposal. |
| LG | We are fine with the proposal. |
| Nokia/NSB | Support |

Proposal 5: Capture the followings into the TR

* Inter-slot frequency hopping with inter-slot bundling to enable cross-slot channel estimation was studied. Potential specification impacts ~~of inter-slot frequency hopping with inter-slot bundling to enable cross-slot channel estimation~~ include:
  + [Frequency domain hopping offset], time domain hopping interval, power consistency and the phase continuity within one bundle of multiple consecutive slots, e.g. 2, 4 slots.

Companies are invited to provide views on the above proposal.

|  |  |
| --- | --- |
| **Companies** | **Comments** |
| Apple | We are fine with this proposal. |
| Panasonic | We suggest following description on potential specification impact:   * The specification impact related to cross-slot channel estimation like power consistency and the phase continuity within one bundle * [Frequency domain hopping offset], time domain hopping interval |
| vivo | It is preferable to merge the proposal with proposal 8 as one sub-bullet or special case to discuss. |
| Qualcomm | For spec impact, can we add “signalling mechanisms to enable/disable inter-slot bundling and any potential changes to DMRS pattern/configuration”? |
| Ericsson | Does this proposal mean the study of the frequency hopping patterns among a set of repetitions on different slots?  Maybe this one could be merged with proposal 8 as a sub-bullet since this is a study of how frequency hopping pattern will affect the cross-slot channel estimation. |
| Intel | It is still unclear to us why there is spec impact on “Frequency domain hopping offset”. Our understanding is that we would simply follow the design for inter-slot frequency hopping. Suggest to remove this. |
| Nokia/NSB | We support the FL’s proposal in principle. We are also fine to merge it with proposal 8 as suggested by some companies. In addition, we share the same view as Intel that the spec impact on “frequency domain hopping offset” needs more clarification. |

Proposal 6: Capture the followings into the TR

* Sub-PRB transmission was studied from several aspects, including number of tones, sub-PRB transmission with single slot and sub-PRB transmission with multi-slot aggregation.
* Potential specification impacts of sub-PRB transmission include:
  + Frequency domain resource allocation, time domain resource allocation, TBS determination, DM-RS pattern, RV determination, hopping pattern within/between the PRBs, [PUSCH signal generation for DFT-s-OFDM waveform].

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| Samsung | In the specification impact we suggest removing “time domain resource allocation, TBS determination, DM-RS pattern, RV determination”. For sub-PRB, the necessary change is to design new frequency domain resource allocation. Time domain resource allocation or TBS determination or RV determination can be impacted when sub-PRB is combined with other time domain solutions (i.e., repetition enhancement, TB processing over multi-slot PUSCH). The hopping pattern within/between PRBs and DMRS pattern in NR can be reused, so we added square brackets as follows.   * Frequency domain resource allocation, [DM-RS pattern], [hopping pattern within/between the PRBs], [PUSCH signal generation for DFT-s-OFDM waveform].” |
| vivo | We are ok to capture in TR however sceptical about performance gain and there is significant impact on specification. |
| Qualcomm | New RAN4/RF requirements will be necessary as this is a new waveform for NR. |
| Intel | To clarify our proposal, PUSCH signal generation for DFT-s-OFDM waveform is based on PUCCH format 4 where a block-wised OCC is applied for PUSCH, which can help avoid the introduction of FFT size of 4 or 6 in the spec. |
| Nokia/NSB | Support |

Proposal 7: Capture the followings into the TR

* Enhancements on intra-slot frequency hopping were studied from several aspects, including:
  + More frequency offsets, e.g. 4 for BWP less than 50 PRBs, 8 for BWP greater than 50 PRBs.
  + More frequency hopping positions, e.g. 3.
  + More hops, e.g. 3.
  + DM-RS sharing among multiple PUSCH transmissions.
* Potential specification impacts of enhancements on intra-slot frequency hopping include:
  + Frequency domain hopping offsets, DM-RS pattern, TBS determination, power consistency and phase continuity.

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| vivo | The benefit of enhancements on intra-slot frequency hopping is unclear and overhead would increase. DMRS sharing among hops is impractical due to different frequency range. Whether the meaning of more hops is the same as more frequency hopping positions or not? What is the difference? |
| Ericsson | It’s not clear to us the “DM-RS sharing among multiple PUSCH transmissions” in case of intra-slot frequency hopping, more clarification text needed. |
| Sony | Similarly to vivo, we would like clarification on the difference in meaning between “more hops” and “more frequency hopping positions”. |
| Nokia/NSB | Support |

Proposal 8: Capture the followings into the TR

* Cross-slot or cross-repetition channel estimation was studied from several aspects, including cross-slot channel estimation over consecutive slots, cross-slot channel estimation non-consecutive slots, and cross-repetition channel estimation.
* Potential specification impacts of cross-slot or cross-repetition channel estimation include:
  + Power consistency and phase continuity, [DM-RS placement in special slot and DM-RS configuration].

Companies are invited to provide views on the above proposal.

|  |  |
| --- | --- |
| **Companies** | **Comments** |
| Apple | We are fine with this proposal. |
| vivo | Support the revised proposal in principle. |
| CMCC | We propose to remove the bracket around “the DMRS placement in special slot and DMRS configuration”.  The special slot with limited uplink symbols should be also considered for the cross channel estimation. And our intention is to fully use the symbols in the special slots. The DMRS optimization could be considered based on the performance of cross slot/repletion channel estimation. |
| Qualcomm | For spec impact, can we add “signalling mechanisms to enable/disable cross-slot or  cross-repetition channel estimation? |
| InterDigital | We agree with CMCC. Regarding “DM-RS placement in special slot and DM-RS configuration”, we would like to keep it as a potential specification impact. Cross-slot channel estimation can be applied to non-repetition PUSCH. For example, in the FL’s Proposal 2, an actual repetition of PUSCH that contains more than 14 symbols is considered. In such a configuration, DMRS can be placed in a special slot which will require cross-slot channel estimation. DMRS bundling operation may need a consideration for DMRS in a special slot as well. |
| Ericsson | Maybe try to say “cross-slot” or “cross sub-slot” channel estimation is enough to cover all cases since “cross-repetition” may means cross repetitions which are in different slots or in same slot.  And as we commented earlier, this proposal may capture proposal 5 as well, i.e. something like:  “….including cross-slot channel estimation over consecutive slots, cross-slot channel estimation non-consecutive slots, and cross-repetition channel estimation, and cross-slot channel estimation with different frequency hopping patterns”. |
| Sharp | We prefer removing the contents in the square brackets.  CMCC’s proposal (DMRS-less repetition in special slot) seems to be captured in lower DMRS (e.g., DMRS-less).  InterDigital’s proposal (on actual PUSCH length more than 14 OFDM symbols) seems to be captured in Proposal 2. Proposal 2 captures “DMRS pattern” as one of potential specification impacts. |
| Intel | We suggest to remove “DM-RS placement in special slot”. Our view is that DMRS configuration would be sufficient. |
| Nokia/NSB | We are fine with the proposal. |

Proposal 9: Capture the followings into the TR

* Lower DM-RS density ~~in time domain~~ was studied, including DM-RS sharing among multiple PUSCH transmissions in the time domain and lower DMRS density in frequency domain.
* Potential specification impacts of DM-RS sharing among multiple PUSCH transmissions include:
  + DM-RS pattern and configuration, power consistency and phase continuity.
* Potential specification impacts of lower DMRS density in the frequency domain include:
  + DM-RS pattern and configuration.

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| vivo | The benefit of coverage enhancement from lower DMRS density in frequency domain is unclear. If lower DMRS density is introduced, there would be one added DMRS type, resulting in significant specification work. So, we support the 1st round proposal. |
| CMCC | Fine with the current version. |
| Qualcomm | For spec impact, can we add “signalling mechanisms (dynamic/implicit/static) to indicate changes in DMRS pattern/configuration”? |
| Ericsson | General comment (though we share similar view as Vivo that the benefit of these is not clear to us either) is that it’s better to merge all these DMRS density related optimizations together, e.g. lower density in time and/or frequency domain, adaptive/flexible DMRS density on different repetitions and/or different hops. |
| Sony | We are fine with the proposal, agree with Qualcomm that signalling will have spec impact. We find Ericsson’s proposal, to merge the DMRS density related topics, a good way forward. |
| Nokia/NSB | Proposals 9 and 10 could be grouped in one standalone “DMRS density proposal” accounting for lower/higher DMRS density. |

Proposal 10: Capture the followings into the TR

* Higher DM-RS density was studied, including 1-comb DM-RS, e.g., DM-RS with single port spans to occupy the whole DM-RS symbol, and additional DM-RS symbol position in a slot.
* Potential specification impacts of higher DM-RS density include:
  + DM-RS design, DM-RS position and TBS determination.

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| Qualcomm | For spec impact, can we add “signalling mechanisms to indicate changes to DMRS pattern/configuration”? |
| Ericsson | General comment is that it’s better to merge all these DMRS density related optimizations together, e.g. lower density in time and/or frequency domain, adaptive/flexible DMRS density on different repetitions and/or different hops. |
| Nokia/NSB | Proposals 9 and 10 could be grouped in one standalone “DMRS density proposal” accounting for lower/higher DMRS density. |

Proposal 11: Capture the followings into the TR

* Adaptive DM-RS configuration was studied. Potential specification impacts ~~of adaptive DM-RS configuration~~ include:
  + Related signaling design.

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| Apple | We are fine with this proposal. |
| CMCC | Fine with the proposal |
| Ericsson | General comment is that it’s better to merge all these DMRS density related optimizations together, e.g. lower density in time and/or frequency domain, adaptive/flexible DMRS density on different repetitions and/or different hops. |
| Sony | We are fine with the proposal. We find Ericsson’s proposal a good way forward. |
| Nokia/NSB | Support the proposal. We would merge only proposals related to DMRS density, such as P9 and P10, and not the ones related to DMRS configurations. |

Proposal 12: Capture the followings into the TR

* DM-RS balancing among frequency hops was studied. Potential specification impacts include:
  + Related signaling design, DMRS configuration and pattern.

Companies are invited to provide views on the above proposal.

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| **Companies** | **Comments** |
| Ericsson | General comment is that it’s better to merge all these DMRS density related optimizations together, e.g. lower density in time and/or frequency domain, adaptive/flexible DMRS density on different repetitions and/or different hops. |
| Nokia/NSB | Support the proposal. We would merge only proposals related to DMRS density, such as P9 and P10, and not the ones related to DMRS configurations. |
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Proposal 13: Capture the followings into the TR

* UE transmit waveform design to reduce MPR was studies from several aspects, including tone reservation and FDSS (Frequency Domain Spectral Shaping) with spectral extension for QPSK.
* Potential specification impacts include
  + UE transmit waveform design, RF requirements.

Companies are invited to provide views on the above proposal. Companies are encouraged to provide more details about the specification impacts of tone reservation and FDSS with spectral extension.

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| **Companies** | **Comments** |
| vivo | In our understanding, FDSS could be up to implementation. We would like to clarify if there is any specification impact. |
| Qualcomm | For details on tone reservation, we can reference R1-2008626. If a single line summary is desired, then the following text can be added in brackets --- “a fraction of tones allocated to a UE are reserved for the UE to shape its waveform; no data is transmitted on these tones”. Regarding spec impact, we can add “signalling reserved tones” if UE transmit waveform design is deemed too generic. |
| Ericsson | Although this study is not clear to us and it may require RAN4 discussions as well if RAN1 has common understanding that this enhancement is necessary, we’re fine to capture all studied techniques including this one in the TR. |
| Nokia/NSB | Support the proposal, with the following editorial correction: “[…] was studied from several aspects”.  Further details on FDSS (Frequency Domain Spectral Shaping) with spectral extension for QPSK can be found in R1-2008703.To further clarify the “UE transmit waveform design” in the spec impact, we can add “signalling design for spectral extension”. |

Companies are invited to provide views on the others solutions, if any.

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| **Companies** | **Comments** |
| Qualcomm | Can we also add a proposal for dynamic PUSCH waveform adaptation (as summarized in Section 2.6.1? |
| Ericsson | Could u please capture power domain related proposals as well since we have studies already provided in our contribution for multi-layer transmission of PUSCH?  Besides, the compression efficiency for SIP signal using compression algorithm is very high which can be up to 85% compression gain. So we would like to capture this possible solution to enhance compress large payload for PUSCH in VoNR as well. |
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1. Proposals (3rd round)

For this set of proposals, we are not talking about which solutions are supported or recommended for the follow-up WI, while we are analysing the details and the potential specification impacts of the solutions, which have been agreed to be studied.

Regarding the comments on supporting companies or companies having concerns, please refer to section 2.

Regarding signalling mechanism, we may not need to list it explicitly for each solution, as part of it is included in time domain resource allocation or frequency domain resource allocation, and RRC signalling need to be considered for most solutions.

Proposal 1: Capture the followings into the TR

* Enhancements on PUSCH repetition type A were studied from several aspects, including increasing the maximum number of repetitions, ~~e.g. 24, 32,~~ the number of repetitions counted on the basis of available UL slots and flexible symbol resource allocation in different slots.
* Potential specification impacts of enhancements on increasing the maximum number of repetitions include:
  + TDRA (Time-Domain Resource Allocation).
* Potential specification impacts of enhancements on the number of repetitions counted on the basis of available UL slots include:
  + TDRA (Time-Domain Resource Allocation).
  + Mechanism to determine transmission occasion of actual repetition~~, [e.g. postponement rules]~~.
* Potential specification impacts of enhancements on flexible symbol resource allocation include:
  + TDRA (Time-Domain Resource Allocation).
  + Mechanism to indicate UL symbols for each slot, [e.g. mechanism to determine actual starting OFDM symbol for each slot].

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| **Companies** | **Comments** |
| FL | From FL perspective, although flexible symbol resource allocation is more like PUSCH repetition type B, since we are talking about enhancements, it can be studied for type A anyway.  For better understanding, specification impacts are separated for each scheme. |
| Samsung | There can be overlap between enhancements considered in this section and R16 PUSCH repetitions Type B. Removing the flexible symbol resource allocation part would resolve that. The flexible symbol resource allocation needs to be added for repetition Type B. |
| LG | For enhancement of type A, the flexible symbol resource allocation can be considered.  We are generally fine with FL’s proposal.  For the 2nd sub-bullet in 4th bullet, we suggest to change as below:   * Mechanism to indicate/determine UL symbols for each slot. |
| Apple | We share the similar view with Samsung. |
| vivo | We are fine without examples. In our understanding, “Mechanism to indicate UL symbols for each slot” is deemed generic, but “mechanism to determine actual starting OFDM symbol for each slot” is one more specific solution. So, we can just keep the former. |
| Huawei, HiSilicon | In determining the available UL slot, whether flexible slot which consists of several DL or flexible symbols can be regarded as available slot should be discussed. We suggest 3rd bullet proposal as follows:   * Potential specification impacts of enhancements on the number of repetitions counted on the basis of available UL slots include:   + TDRA (Time-Domain Resource Allocation).   + Mechanism to determine transmission occasion of actual repetition~~, , [e.g. postponement rules]~~.   Mechanism to determine whether flexible slot can be determined as an available UL slot. |
| Intel | We are generally fine with the proposal. We suggest to include some examples (e.g., postponement rules) to capture the exact study in SI phase.  One editorial change: for the last bullet, it would be good the add “flexible symbol resource allocation in different slots” to align the main bullet. |

Proposal 2: Capture the followings into the TR

* Enhancements on PUSCH repetition type B were studied from several aspects, including actual PUSCH transmission across the slot boundary/invalid symbols and the length of actual repetition larger than 14 symbols, and RV enhancement.
* Potential specification impacts of enhancements on PUSCH repetition type B include:
  + TBS determination, DM-RS pattern, TDRA (Time-Domain Resource Allocation), ~~hopping rules,~~ RV determination, ~~phase continuity~~.

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| **Companies** | **Comments** |
| Samsung | We suggest to add flexible symbol resource allocation in the description, and add to the specification impact “mechanisms for adjusting repetitions of a PUSCH transmission in the available UL symbols”***.*** |
| Ericsson | “phase continuity” should be kept unless we remove the “and the length of actual repetition larger than 14 symbols” since phase continuity is only required within a slot in baseline. |
| vivo | Support the proposal. |

Proposal 3: Capture the followings into the TR

* TB processing over multi-slot PUSCH was studied from several aspects, including TBS determined based on single slot and transmitted in parts over multiple slots, ~~and~~ TBS determined based on multiple slots and transmitted over multiple slots.
* Potential specification impacts of TB processing over multi-slot PUSCH include:
  + TDRA (Time-Domain Resource Allocation), TBS determination, [DM-RS pattern], RV determination, [phase continuity].

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| **Companies** | **Comments** |
| IITH, IITM, CEWIT, Reliance Jio, Tejas Networks | Support |
| Ericsson | Remove the brackets for “phase continuity” which is required for transmission in more than one slot. |
| vivo | According to our comment in 2nd round, there are always some cases to consider how to arrange DMRS symbols in time domain if multi-slot PUSCH. On the other hand, if mapping type B is always applied for multi-slot PUSCH, there is naturally no concern about DMRS pattern. However, mapping type for multi-slot PUSCH is not the key issue to discuss and needs further study to move forward. So, we would like to remove the brackets on DMRS pattern. |
| Huawei, HiSilicon | Whether frequency hopping pattern among multiple slots is included in the above filed of TDRA is not clear, which should be further clarified.  We agree to remove the bracket for ‘phase continuity’. |
| Intel | We are not sure whether we need to have some enhancement on the DMRS pattern. Existing DMRS pattern in NR is already flexible enough, our view is that we can simply reuse the existing pattern for TB spanning multiple slots. |

Proposal 4: Capture the followings into the TR

* Enhancements on inter-slot frequency hopping were studied from several aspects, including:
  + More frequency offsets, e.g. 4 for BWP less than 50 PRBs, 8 for BWP greater than 50 PRBs.
  + More frequency hopping positions, e.g. 4.
* Potential specification impacts of enhancements on inter-slot frequency hopping include:
  + Frequency domain hopping offsets/positions, ~~[DM-RS pattern], TBS determination~~.

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| **Companies** | **Comments** |
| vivo | Support the proposal. |
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Proposal 5: Capture the followings into the TR

* Inter-slot frequency hopping with inter-slot bundling to enable cross-slot channel estimation was studied. Potential specification impacts include:
  + ~~[Frequency domain hopping offset],~~ time domain hopping interval
  + The specification impact related to cross-slot channel estimation: power consistency and the phase continuity within one bundle of multiple consecutive slots, e.g. 2, 4 slots.

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| **Companies** | **Comments** |
| FL | Proposal 5 “Inter-slot frequency hopping with inter-slot bundling” and proposal 8 “Cross-slot channel estimation” belong to different categories. If the majority suggest to treat it as a special case of cross-slot channel estimation, we are fine with it. |
| Ericsson | For cross-slot channel estimation, we have to consider how the frequency hopping is applied, e.g. hopping every other slot or every 2 slots or every 4 slots, which can be one aspect of proposal 8, considering cross slot channels estimation can only be applied on slots in the same hop according to our understanding. |
| vivo | It is preferable to merge the proposal with proposal 8 as one sub-bullet or special case to discuss. |
| Intel | We share similar view as FL that this proposal and proposal 8 “Cross-slot channel estimation” belong to different categories and it is better to separate these two. |

Proposal 6: Capture the followings into the TR

* Sub-PRB transmission was studied from several aspects, including number of tones, sub-PRB transmission with single slot and sub-PRB transmission with multi-slot aggregation.
* Potential specification impacts of sub-PRB transmission with single slot include:
  + Frequency domain resource allocation, [TBS determination], [DM-RS pattern], hopping pattern within/between the PRBs, [PUSCH signal generation for DFT-s-OFDM waveform], [RF requirement].
* Potential specification impacts of sub-PRB transmission with multi-slot aggregation include:
  + Frequency domain resource allocation, time domain resource allocation, TBS determination, [DM-RS pattern], RV determination, hopping pattern within/between the PRBs, [PUSCH signal generation for DFT-s-OFDM waveform], [RF requirement].

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| **Companies** | **Comments** |
| FL | Sub-PRB transmission with single slot and sub-PRB transmission with multi-slot aggregation may have different specification impacts. Specification impacts are separated. |
| Samsung | In the specification impact we suggest removing the parts in square brackets. For sub-PRB, the necessary change is to design new frequency domain resource allocation. |
| Apple | According to the last meeting agreement, we propose the following update, “Sub-PRB transmission for VoIP was studied from several aspects,…”. |
| vivo | We are fine to capture in TR, although we are still sceptical on the performance gain, and there is significant impact on specification. |
| CATT | Regarding the “time domain resource allocation, TBS determination, DM-RS pattern, RV determination, hopping pattern within/between the PRBs” in the 2nd bullet, they may be brought by the experience in Rel-15 when sub-PRB allocation is introduced in LTE MTC. Companies contributed great effort on the aforementioned parts and developed some new design different from NB-IoT. Considering that they are more like some ‘observations’ from the past, and the proposal is to capture ‘potential’ specification impact, we think they are OK to be captured. |
| Intel | For sub-PRB based transmission, it is not clear to us even for a single slot based transmission, why we do not need TBS determination. The number of REs in frequency is reduced to less than 12, which would definitely have impact on TBS determination.  For PUSCH signal generation for DFT-s-OFDM waveform, we suggest to remove the bracket. Even if we reuse the existing signal generation mechanism, for DFT-s-OFDM waveform, we do not even have DFT size of 4 or 6, which is less than 12. It is unclear to us why there is no spec impact on this. |

Proposal 7: Capture the followings into the TR

* Enhancements on intra-slot frequency hopping were studied from several aspects, including:
  + More frequency offsets, e.g. 4 for BWP less than 50 PRBs, 8 for BWP greater than 50 PRBs.
  + More frequency hopping positions, e.g. 3.
  + More number of hops in a slot, e.g. 3.
  + DM-RS sharing among multiple PUSCH transmissions with the same frequency position between two consecutive slots.
* Potential specification impacts of enhancements on intra-slot frequency hopping include:
  + Frequency domain hopping offsets, DM-RS pattern, TBS determination, power consistency and phase continuity.

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| **Companies** | **Comments** |
| FL | More frequency hopping positions means the number of frequency hopping positions indicated by DCI is increased. More hops means the number hops in a slot is increased. |
| Apple | For sub-bullet DM-RS sharing among multiple PUSCH transmissions with the same frequency position between two consecutive slots, it’s not clear whether it is relevant to intra-slot frequency hopping, it seems more related to hopping proposal 4 inter-slot frequency hopping or proposal 9 DM-RS enhancement. |
| Ericsson | Could we understand that here it means we use single DCI to schedule multiple PUSCH (multiple frequency domain resource allocation) for this “more frequency hopping positions”?  The “DM-RS sharing among multiple PUSCH transmissions with the same frequency position between two consecutive slots.” seems still not clear, does this mean different DMRS configurations are used in the 2 consecutive slots for the PUSCH repetitions with the same FDRA provided in DCI? |
| vivo | For 3rd sub-bullet, in our understanding, for intra-slot frequency hopping, the meaning of more hops is the same as more frequency hopping positions. Do more hops mean the number hops in a slot for multiple PUSCH transmissions? If so, the benefit is unclear, due to heavy DMRS overhead. Further clarification is needed.  For 4th sub-bullet, we suggest to remove the 4th sub-bullet, and the new Proposal 9 includes this.  We are fine to capture in TR, although we are still sceptical on the performance gain. |
| Intel | We share similar view as other companies that “DM-RS sharing among multiple PUSCH transmissions with the same frequency position between two consecutive slots.” seems not clear. This seems already covered by lower DMRS density. We suggest to remove this. |
| Sony | Similarly to vivo, we would like clarification on the difference in meaning between “more hops” and “more frequency hopping positions”.  As we understand it:   * *more frequency hop positions* relate to *frequency-domain positions of hops*, and * *more hops* relate to *time-domain hop positions within a slot*.   This needs to be clear. We propose to change the wording of “more hops” to “more time hopping positions”. |

Proposal 8: Capture the followings into the TR

* Cross-slot or cross-repetition channel estimation was studied from several aspects, including cross-slot channel estimation over consecutive slots, cross-slot channel estimation non-consecutive slots, and cross-repetition channel estimation.
* Potential specification impacts of cross-slot or cross-repetition channel estimation include:
  + Power consistency and phase continuity, [DM-RS placement in special slot and DM-RS configuration].

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| **Companies** | **Comments** |
| FL | Regarding “DM-RS placement in special slot and DM-RS configuration”, it seems controversial, we may need more discussion or during GTW session. |
| Sharp | I share the understanding that utilization of special slots is an important use case for this item. If companies observe that further optimization of DMRS (time domain) location/granularity as an essential factor, we propose to explicitly mention it in the proposal, like following.   * Cross-slot or cross-repetition channel estimation with/without optimization of DMRS location/granularity was studied from several aspects, including cross-slot channel estimation over consecutive slots, cross-slot channel estimation over non-consecutive slots, and cross-repetition channel estimation. * Potential specification impacts of cross-slot or cross-repetition channel estimation include:   + Power consistency and phase continuity, DM-RS placement in special slot and DM-RS configuration. |
| Ericsson | What’s the difference between “cross-slot” and “cross-repetition”? it seems cross-slot can be cross 2 repetitions on 2 slots, cross-repetition can also be cross 2 PUSCH repetitions on 2 slots or in same slot.  Based on our understanding, compared to legacy, the change is whether we do channel estimation across slots since phase coherency duration will be extended from single slot to more than one slot. |
| vivo | We are fine with the proposal. |
| Huawei, HiSilicon | Suggest to remove the bracket for ‘**DM-RS placement in special slot and DM-RS configuration**’  Besides, except above potential spec impacts of cross-slot channel estimation, the spec impact that same DMRS antenna ports for transmissions over multiple slots should be included, aiming to ensure that the estimated channel of each slot corresponds to the same fading link. Thus, we suggest the proposal as:   * Potential specification impacts of cross-slot or cross-repetition channel estimation include:   **Power consistency and phase continuity, ~~[~~DM-RS placement in special slot and DM-RS configuration, DMRS antenna ports~~]~~** |
| CATT | We think at least the ‘**DM-RS placement in special slot’** can be captured. The square bracket of **DM-RS placement in special slot** can be removed. |
| Intel | It is still not clear to us “DM-RS placement in special slot”. Does this mean in the spec slot, UE only transmits the DMRS but not PUSCH? If UE would also transmit the PUSCH in the spec slot, can we simply reuse the PUSCH repetition type B for DMRS pattern? |

Proposal 9: Capture the followings into the TR

* Enhancements on DM-RS density were studied from several aspects, including DM-RS sharing among multiple PUSCH transmissions in the time domain, lower DMRS density in frequency domain, 1-comb DM-RS, e.g., DM-RS with single port spans to occupy the whole DM-RS symbol, and additional DM-RS symbol position in a slot.
* Potential specification impacts of DM-RS sharing among multiple PUSCH transmissions include:
  + DM-RS pattern and configuration, power consistency and phase continuity.
* Potential specification impacts of lower DMRS density in the frequency domain include:
  + DM-RS design, DM-RS pattern and configuration.
* Potential specification impacts of 1-comb DM-RS include:
  + DM-RS design, and TBS determination.
* Potential specification impacts of additional DM-RS symbol position in a slot include:
  + DM-RS position.

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| **Companies** | **Comments** |
| FL | Based on the comments, proposal 9 and 10 are merged, while specification impacts are separated for each scheme. |
| Ericsson | As commented earlier in last round, it’s better to summarize these DMRS density related optimizations in one proposal. |
| CMCC | From current version, we cannot find any explicit description about lower density of DMRS in time domain. And if the density of DMRS could be changed, the TBS determination could also be impact. Furthermore, the TBS determination could be considered for single and multiple slots.  Then the proposal is suggested as below,   * Enhancements on DM-RS density were studied from several aspects, including DM-RS sharing among multiple PUSCH transmissions (including lower DMRS density in time domain) in the time domain, lower DMRS density in frequency domain, 1-comb DM-RS, e.g., DM-RS with single port spans to occupy the whole DM-RS symbol, and additional DM-RS symbol position in a slot. * Potential specification impacts of DM-RS sharing among multiple PUSCH transmissions (including lower DMRS density in time domain) include:   + DM-RS pattern and configuration, TBS determination, power consistency and phase continuity. |
| vivo | We are fine to capture in TR, although we are still sceptical on the performance gain. |

Proposal 11: Capture the followings into the TR

* Adaptive DM-RS configuration was studied. Potential specification impacts include:
  + Related signaling design.

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| **Companies** | **Comments** |
| Ericsson | As commented earlier in last round, it’s better to summarize these DMRS density related optimizations in one proposal. |
| vivo | According to the revised Proposal 9, this proposal should be also included. |
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Proposal 12: Capture the followings into the TR

* DM-RS balancing among frequency hops was studied. Potential specification impacts include:
  + Related signaling design, DMRS configuration and pattern.

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| **Companies** | **Comments** |
| Ericsson | As commented earlier in last round, it’s better to summarize these DMRS density related optimizations in one proposal. |
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Proposal 13: Capture the followings into the TR

* UE transmit waveform design to reduce MPR was studied from several aspects, including tone reservation and FDSS (Frequency Domain Spectral Shaping) with spectral extension for QPSK.
* Potential specification impacts include
  + ~~UE transmit waveform design~~ signalling reserved tones, signalling design for spectral extension, RF requirements.

Note: For tone reservation, a fraction of tones allocated to a UE are reserved for the UE to shape its waveform; no data is transmitted on these tones.

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| **Companies** | **Comments** |
| Huawei, HiSilicon | In our understanding, FDSS can be implemented without spectral extension, and it can also apply to modulations other than QPSK. The intention to restrict the spectral extension and QPSK is not clear, and RAN1 should investigate different options to perform FDSS. Moreover, the detailed signalling and design for FDSS and reserved tones may impact the spec. In summary, we can support the proposal with the following revision:  “   * UE transmit waveform design to reduce MPR was studies from several aspects, including tone reservation and FDSS (Frequency Domain Spectral Shaping) ~~with spectral extension for QPSK~~. * Potential specification impacts include   + ~~UE transmit waveform design~~ signalling reserved tones, signalling and/or design for FDSS ~~spectral extension~~, RF requirements.   **Note: For tone reservation, a fraction of tones allocated to a UE are reserved for the UE to shape its waveform; no data is transmitted on these tones.**  **“** |
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Proposal 14: Capture the followings into the TR

* Power domain based solutions were studies from several aspects, including multiple layer PUSCH transmission with DFT-S-OFDM and Open-loop/closed loop Tx diversity.

Companies are encouraged to provide the potential specification impacts:

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| **Companies** | **Comments** |
| Ericsson | Potential little specification impact: mechanism to determine the precoder, e.g. reuse a subset of the R15 codebooks. |
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Companies are encouraged to answer the following question:

* Q: Whether RAN1 to further study following solutions:
* Power boosting for pi/2 BPSK
* OCC spreading based repetition
* Symbol-level repetition/combing
* TB interleaving
* RV repetition
* Early termination of PUSCH repetitions
* Enhancements on intra-slot frequency hopping
* FDD high power UE
* Dynamic PUSCH waveform adaptation
* Compression enhancement for SIP invite message, e.g., SigComp

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| **Companies** | **Comments** |
| IITH, IITM, CEWIT, Reliance Jio, Tejas Networks | Can we understand why power boosting was moved into this category? Specifically, for proposal-14, only 2 or 3 companies provided results, but it is included in the TR. But 5 companies have provided results for the power boosting pi/2 BPSK case. We recommend that even this solution be captured in the TR. We strictly request this to be captured in the TR.  Next, the gains of boosting are quite clear and easy for every to follow. 26 dBm is already supported in spec. We should only find out whether this can be further increased. Not sure what is the confusion on this solution. |
| Ericsson | SigComp was studied and described in our contribution and the summary of the enhancement results, we propose to at least capture the study results in TR according to the guidance and common understanding, and discuss the priority of it later based on the discussions on voice in the evaluation agendas.  Example proposal:  Proposal xx: Capture the followings into the TR  SIP signal compression was studied for enhancement large payload PUSCH including SigComp used for application information compression and the compression efficiency.  Potential spec. impacts: also using compression algorithm to compress the large SIP signaling message in higher layer. |
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1. Proposals (4th round)

Proposal 1: Capture the followings into the TR

* Enhancements on PUSCH repetition type A were studied from several aspects, including increasing the maximum number of repetitions, ~~e.g. 24, 32,~~ the number of repetitions counted on the basis of available UL slots and flexible symbol resource allocation in different slots.
* Potential specification impacts of enhancements on increasing the maximum number of repetitions include:
  + TDRA (Time-Domain Resource Allocation).
* Potential specification impacts of enhancements on the number of repetitions counted on the basis of available UL slots include:
  + TDRA (Time-Domain Resource Allocation).
  + Mechanism to determine transmission occasion of actual repetition~~, [e.g. postponement rules]~~.
  + Mechanism to determine whether flexible slot can be determined as an available UL slot.
* Potential specification impacts of enhancements on flexible symbol resource allocation in different slots include:
  + TDRA (Time-Domain Resource Allocation).
  + Mechanism to indicate/determine UL symbols for each slot~~, [e.g. mechanism to determine actual starting OFDM symbol for each slot]~~.

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| **Companies** | **Comments** |
| FL | From FL perspective, although flexible symbol resource allocation is more like PUSCH repetition type B, since we are talking about enhancements, it can be studied for type A anyway.  It seems the majority are fine with no examples. |
| Sharp | Wording change from "flexible slot" to "special slot" is proposed. Flexible slot can be interpreted as a slot whose slot format can be changed dynamically by DCI format 2\_0. Original Huawei's proposal seems "special slot" which includes several DL or flexible symbols. The term "special slot" is already in proposal 8. Therefore, we think it's clearer.  “Mechanism to determine whether ~~flexible~~ special slot can be determined as an available UL slot.” |
| LG | We are fine with the Proposal 1. |
| CATT | We are generally fine with Proposal 1. We also think Sharp’s modification is reasonable. |
| Nokia/NSB | Support |
| Huawei, HiSilicon | OK with the Proposal 1. |
| Intel | We are fine with Proposal 1 with Sharp’s update. |

Proposal 2: Capture the followings into the TR

* Enhancements on PUSCH repetition type B were studied from several aspects, including actual PUSCH transmission across the slot boundary/invalid symbols, the length of actual repetition larger than 14 symbols, RV enhancement [and flexible symbol resource allocation].
* Potential specification impacts of enhancements on PUSCH repetition type B include:
  + TBS determination, DM-RS pattern, TDRA (Time-Domain Resource Allocation), RV determination,
  + [Mechanisms for adjusting repetitions of a PUSCH transmission in the available UL symbols for flexible symbol resource allocation]
  + Note that power consistency and phase continuity may or may not be required depending on factors such as cross-slot channel estimation, etc.

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| **Companies** | **Comments** |
| FL | Regarding the “phase continuity”, it seems it’s similar with proposal 3. |
| LG | We are fine with Proposal 2 |
| CATT | We are fine with Proposal 2 in principle.  We would appreciate if we can see some clarification on ‘flexible symbol resource allocation’. Does it mean different symbol numbers of (actual? nominal?) repetitions of type B repetition? |
| WILUS | We are generally fine with FL proposal. However, “Mechanisms for adjusting repetitions of a PUSCH transmission in the available UL symbols for flexible symbol resource allocation” seems abstract. |
| Nokia/NSB | We are fine with the proposal in principle. However, as also pointed out by CATT, clarification for “flexible symbol resource allocation” is needed, because we are not sure that this aspect has been studied. Whether it is the same as or different from “actual PUSCH transmission across the slot boundary/invalid symbols”? Since it is mentioned “were studied” in the text, let us make it clear. |
| Huawei, HiSilicon | OK with the Proposal. |
| Intel | We share similar view as other companies that the latest update with square bracket is not clear to us. Either it would be good to be clarified by proponent companies or we can remove it. |
| Ericsson | Agree with Intel and other companies that it’s better to remove the bullet in bracket if it’s not clear what to specify at all.  Regarding “‐ Note that power consistency and phase continuity may or may not be required depending on factors such as cross-slot channel estimation, etc.”, does it mean we may assume different channels for different part of one PUSCH occasion and we may assume only one PUSCH transmission instance for power control? These (at least power control assumption) require clarification or additional changes in the specification. |

Proposal 3: Capture the followings into the TR

* TB processing over multi-slot PUSCH was studied from several aspects, including TBS determined based on single slot and transmitted in parts over multiple slots, TBS determined based on multiple slots and transmitted over multiple slots.
* Potential specification impacts of TB processing over multi-slot PUSCH include:
  + TDRA (Time-Domain Resource Allocation), TBS determination, DM-RS pattern, RV determination.
  + Note that power consistency and phase continuity may or may not be required depending on factors such as cross-slot channel estimation, etc.

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| **Companies** | **Comments** |
| FL | As we are discussing the “potential” specification impacts, FL suggests to keep “DM-RS pattern” as commented by some companies. |
| InterDigital | From our perspective, DM-RS pattern is not part of the spec impact. DM-RS enhancement are discussed in other proposals (e.g., Proposal 9,11,12). Here, the aim is to obtain diversity gain in time domain by mapping a TB or segmented TB to multiple slots. Within each slot, existing DM-RS can be used. The UE can use the DM-RS within each slot, and perform channel estimation locally within each slot, as we commented online. This topic should not incorporate enhancements related to DMRS enhancement or cross-slot channel estimation; the aforementioned enhancements are add-ons to the enhancement discussed in this topic.  Similar to the issue related to phase continuity, we propose to remove “DM-RS pattern” from the specification impact and modify the note as follows.  Note that power consistency, phase continuity and enhancements for DM-RS configurations may or may not be required depending on factors such as cross-slot channel estimation, etc. |
| IITH, IITM, CEWIT, Reliance Jio, Tejas Networks | Support |
| Qualcomm | Same comments as Interdigital. |
| WILUS | We have similar view with InterDigital. |
| Nokia/NSB | We are fine with the proposal in principle. However, the specification impact on “TBS determination” needs further clarification. It is unclear to us why do we need to modify the TBS determination procedure? Although we may change the way REs are allocated in this case, the TBS determination procedure should not be modified. Maybe the terminology makes some confusions here.  Concerning the DMRS pattern, if the intention is to consider this solution as an independent solution and joint channel estimation/DMRS sharing can be added on top then we are fine with the proposed text from InterDigital. |
| Huawei, HiSilicon | For TB transmission across multiple slots, we presented our concern on its difficulty on UE implementation in previous discussions. In UE implementation, slot boundary is a special time position for control and scheduling of hardware and software. If TB across slot boundary transmission is introduced with parts over multiple slots, the period of control and scheduling might be interrupted, which will increase the complexity significantly.  Since the potential spec impact seems not to resolve any complexity issue yet, we suggest the following modification  “TB processing over multi-slot PUSCH was studied from several aspects, including TBS determined based on single slot and transmitted ~~in parts~~ over multiple integer slots, TBS determined based on multiple slots and transmitted over multiple slots.”  Thus TBS can across multiple slots but still end at slot boundary without blurring the usage of  slot boundary |
| Intel | We are fine with the update from InterDigital. Enhancement on DMRS pattern may be only needed when cross-slot channel estimation is employed. |
| Ericsson | DMRS pattern doesn’t have to be affected even if cross-slot CE is applied.  Besides, similar to the comment to earlier proposal, phase coherency here depends on whether only one channel is assumed for one PUSCH transmission when it crosses multiple slots. Power consistency depends on whether we assume only one PUSCH transmission instance even if it crosses multiple slots. And these (at least the power control assumption) requires specification changes to make it clear as we discussed in the GTW. |

Proposal 6: Capture the followings into the TR

* Sub-PRB transmission for VoIP was studied from several aspects, including number of tones, sub-PRB transmission with single slot and sub-PRB transmission with multi-slot aggregation.
* Potential specification impacts of sub-PRB transmission with single slot include:
  + Frequency domain resource allocation, TBS determination, [DM-RS pattern], hopping pattern within/between the PRBs, PUSCH signal generation for DFT-s-OFDM waveform, [RF requirement].
* Potential specification impacts of sub-PRB transmission with multi-slot aggregation include:
  + Frequency domain resource allocation, time domain resource allocation, TBS determination, [DM-RS pattern], RV determination, hopping pattern within/between the PRBs, PUSCH signal generation for DFT-s-OFDM waveform, [RF requirement].

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| **Companies** | **Comments** |
| Nokia/NSB | Support |
| Intel | We support FL’s proposal. |
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Proposal 7: Capture the followings into the TR

* Enhancements on intra-slot frequency hopping were studied from several aspects, including:
  + More frequency offsets, e.g. 4 for BWP less than 50 PRBs, 8 for BWP greater than 50 PRBs.
  + More frequency hopping positions, e.g. 3.
  + More time-domain hop positions within a slot, e.g. 3.
  + [DM-RS sharing among multiple PUSCH transmissions with the same frequency position between two consecutive slots]
* Potential specification impacts of enhancements on intra-slot frequency hopping include:
  + Frequency domain hopping offsets, DM-RS pattern, TBS determination.
  + [Power consistency and phase continuity for DM-RS sharing among multiple PUSCH transmissions]

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| **Companies** | **Comments** |
| CATT | We are fine with FL’s proposal in principle.  Regarding to the DMRS sharing bullet, a DMRS may be shared by more than 2 consecutive slots. Maybe deleting ‘two’ will be better.  [DM-RS sharing among multiple PUSCH transmissions with the same frequency position between ~~two~~ consecutive slots] |
| Nokia/NSB | Support |
| Huawei, HiSilicon | Agree with CATT’s proposal for the removal of “two”. |
| Intel | We are fine with FL’s proposal. For DMRS sharing, our understanding is that this is already captured in lower DMRS density. Hence, we do not think we need to include this here for intra-slot frequency hopping. |
| Ericsson | Share similar view as Intel that DMRS sharing could be removed which can be covered by the DMRS optimization proposals (DMRS density/pattern related) in agreements below:  Agreements: Capture the followings into the TR   * Enhancements on DM-RS density were studied from several aspects, including lower DM-RS density in time domain, DM-RS sharing among multiple PUSCH transmissions in the time domain, lower DMRS density in frequency domain, 1-comb DM-RS, e.g., DM-RS with single port spans to occupy the whole DM-RS symbol, and additional DM-RS symbol position in a slot. * Potential specification impacts of lower DM-RS density in time domain, and DM-RS sharing among multiple PUSCH transmissions include:   + DM-RS pattern and configuration, power consistency, phase continuity, and TBS determination. * Potential specification impacts of lower DMRS density in the frequency domain include:   + DM-RS design, DM-RS pattern and configuration. * Potential specification impacts of 1-comb DM-RS include:   + DM-RS design, and TBS determination. * Potential specification impacts of additional DM-RS symbol position in a slot include:   + DM-RS position. |

Proposal 8: Capture the followings into the TR

* Joint channel estimation or DM-RS bundling with/without optimization of DMRS location/granularity was studied from several aspects, including cross-slot channel estimation over consecutive slots, cross-slot channel estimation over non-consecutive slots, cross-repetition channel estimation within one slot, and inter-slot frequency hopping with inter-slot bundling to enable cross-slot channel estimation.
* Potential specification impacts of joint channel estimation or DM-RS bundling include:
  + Power consistency and phase continuity, DM-RS placement in special slot and DM-RS configuration.
  + Time domain hopping interval for inter-slot frequency hopping with inter-slot bundling

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| **Companies** | **Comments** |
| FL | It seems the majority support merging proposal 5 “Inter-slot frequency hopping with inter-slot bundling” and proposal 8 “Cross-slot channel estimation”.  Regarding the comments from Ericsson, maybe we can make the wording more generic as “joint channel estimation” or “DM-RS bundling”.  Regarding “DM-RS placement in special slot”, as a number of companies support it, FL suggests to remove the brackets.  Regarding “DMRS antenna ports”, is it included in “Power consistency and phase continuity”? |
| LG | We are generally fine with Proposal 12.  As mentioned by companies, we need to clarify whether DMRS related specification impacts are included in other proposals or not. |
| Qualcomm | To be consistent with the edit made to Proposal 3, we would like to add TBS determination to this list of potential spec impacts, conditioned on whether this enhancement is coupled with Multi-slot PUSCH or not. |
| CATT | We are fine with the current version. |
| Nokia/NSB | We are fine with the proposal. |
| Huawei, HiSilicon | Fine with FL proposal |
| Intel | Regarding the “DM-RS placement in special slot”, it is still unclear to us the spec impact. It would be good that proponent companies would clarify it. |
| Ericsson | DMRS enhancement related enhancement is already covered quite much in  Agreements: Capture the followings into the TR   * Enhancements on DM-RS density were studied from several aspects, including lower DM-RS density in time domain, DM-RS sharing among multiple PUSCH transmissions in the time domain, lower DMRS density in frequency domain, 1-comb DM-RS, e.g., DM-RS with single port spans to occupy the whole DM-RS symbol, and additional DM-RS symbol position in a slot. * Potential specification impacts of lower DM-RS density in time domain, and DM-RS sharing among multiple PUSCH transmissions include:   + DM-RS pattern and configuration, power consistency, phase continuity, and TBS determination. * Potential specification impacts of lower DMRS density in the frequency domain include:   + DM-RS design, DM-RS pattern and configuration. * Potential specification impacts of 1-comb DM-RS include:   + DM-RS design, and TBS determination. * Potential specification impacts of additional DM-RS symbol position in a slot include:   + DM-RS position. |

Proposal 13: Capture the followings into the TR

* UE transmit waveform design to reduce MPR was studied from several aspects, including tone reservation and FDSS (Frequency Domain Spectral Shaping) ~~with spectral extension for QPSK~~.
* Potential specification impacts include
  + Signalling reserved tones, signalling and/ or design for spectral extension, RF requirements.

Note: For tone reservation, a fraction of tones allocated to a UE are reserved for the UE to shape its waveform; no data is transmitted on these tones.

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| **Companies** | **Comments** |
| InterDigital | We think it is fine to keep QPSK in the text since FDSS was actually studied with QPSK in a contribution. However, we agree with the comment from Huawei that the work should not be limited to QPSK. From our view, for both FDSS and tone reservation, signalling is required. Thus, we have the following proposal for potential specification impacts.   * Potential specification impacts include   Signalling, design for spectral extension, RF requirements. |
| Qualcomm | Support |
| Nokia/NSB | The first part of the proposal is just capturing what has been studied, as is being done for all other proposals and other channels. In this sense, we are not sure we should discuss on that part. Indeed, **the solution was actually studied with spectral extension and for QPSK** in particular. It would be rather inexact to capture something more generic. Therefore, we fully agree with InterDigital and should not remove the part related to QPSK. From our perspective, if Huawei's concerns are about the generality of the possible solutions RAN1 may envision to reduce MPR by waveform optimization/design, we believe that the edits proposed by InterDigital (agreeable to us) do not preclude any other non-QPSK options to be considered for the potential specification impacts. |
| Huawei, HiSilicon | OK with FL proposal.  InterDigital seems fine with removal of “QPSK”. We prefer such removal. |

Proposal 14: Capture the followings into the TR

* Spatial domain based solutions were studies from several aspects, including multiple layer PUSCH transmission with DFT-S-OFDM and Open-loop/closed loop Tx diversity.
* Potential specification impacts include
  + Mechanism to determine the precoder, e.g. reuse a subset of the R15 codebooks.

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| **Companies** | **Comments** |
| Mitsubishi | Given the small amount of discussions on the topic, we don’t have any grounds for exemplifying only one particular scheme (e.g. subset of Rel.15 codebooks) over any of the other proposed schemes. For the sub-bullet in red, either add to e.g. list “Alamouti-based precoding” and any other proposal from different companies, or completely remove the bullet, since the spec impact is highly dependent on the specific scheme |
| Ericsson | To align with the Msg3 PUSCH discussions on spatial domain:   * Potential specification impacts include indication to use close-loop or open-loop TX-D, mechanism to determine the precoder in random access procedure, e.g. reuse a subset of the R15 codebooks, and mechanism to determine the precoder cycling pattern during random access procedure, e.g. on different PUSCH repetitions.   We’re also fine to include more examples for other schemes not considered here from other companies. |
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Proposal 15: Capture the followings into the TR

* Power boosting for pi/2 BPSK was studied, including beyond 26 dBm as a function of the UL duty cycle.

Companies are encouraged to provide the potential specification impacts:

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| **Companies** | **Comments** |
| IITH, IITM, CEWIT, Reliance Jio, Tejas Networks | Support. |
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Proposal 16: Capture the followings into the TR

* SIP signal compression was studied for enhancement large payload PUSCH including SigComp used for application information compression and the compression efficiency.
* Potential specification impacts include
  + Using compression algorithm to compress the large SIP signaling message in higher layer.

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| **Companies** | **Comments** |
| Ericsson | Fine. |
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Proposal 17: Capture the followings into the TR

* Dynamic PUSCH waveform adaptation was studied. Potential specification impacts include:
  + Related signaling design.

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| **Companies** | **Comments** |
| Qualcomm | Support. |
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Companies are invited to provide views on the others solutions, if any.

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| **Companies** | **Comments** |
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Proposal: Capture the following observation into the TR.

Observation 1:

* Five sources evaluate the performance of enhancements on PUSCH repetition type A.
  + Three sources show 1.0~6.8 dB performance gain when the actual number of repetition is increased for VoIP at 2% rBLER for FR1 TDD, compared to Rel-16 PUSCH repetition type A.
  + Two sources show 2.0~6.4 dB performance gain when the actual number of repetition is increased for eMBB 100kbps at 10% iBLER for FR1 TDD, compared to Rel-16 PUSCH repetition type A.
  + One source shows 1.6 dB performance loss when the maximum number of repetitions is increased to 16 at for eMBB 100kbps 10% iBLER for FR1 FDD, compared to Rel-16 PUSCH repetition type A.

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| **Companies** | **Comments** |
| Qualcomm | Need clarity on what performance gain means. If it is just BLER gains for a fixed throughput, then it should be clarified. This stands in contrast to the gains being claimed for other enhancements below. |
| Ericsson | Increasing the number of repetitions may improve the SNR at target BLER in link level simulation but will also get worse antenna gain due to this lowered SNR. It’s better to check how much the additional actual antenna gain correction is needed as well. At lease it should be noted here that antenna gain loss is not considered in this observation. |
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Observation 2:

* Five sources evaluate the performance of enhancements on PUSCH repetition type B.
  + Four sources show 0.2~2.0 dB performance gain when the actual PUSCH transmission can across the slot boundary and the length of actual repetition can be larger than 14 symbols for VoIP at 2% rBLER for FR1 TDD, compared to Rel-16 PUSCH repetition type B.
  + One source shows around 1.4 dB performance gain when the actual PUSCH transmission can across the slot boundary and the length of actual repetition can be larger than 14 symbols for VoIP at 2% rBLER for FR2 TDD, compared to Rel-16 PUSCH repetition type B.
  + One sources shows 0.33~0.38 dB performance gain when the actual PUSCH transmission can across the slot boundary and the length of actual repetition can be larger than 14 symbols for eMBB at 10% iBLER for FR1 TDD, compared to Rel-16 PUSCH repetition type B.
  + One source shows the number of RBs can be reduced from 38 to 33, when the actual PUSCH transmission can across the slot boundary and the length of actual repetition can be larger than 14 symbols for VoIP at 2% rBLER for FR1 TDD, compared to Rel-16 PUSCH repetition type B.
  + One source shows the number of RBs can be reduced from 30 to 26, when the actual PUSCH transmission can across the slot boundary and the length of actual repetition can be larger than 14 symbols for eMBB at 10% iBLER for FR2 TDD, compared to Rel-16 PUSCH repetition type B.
  + One source shows around 2.0 dB performance gain for RV enhancement for eMBB at 10% iBLER for FR 1 TDD, compared to Rel-16 PUSCH repetition type B.

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| **Companies** | **Comments** |
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Observation 3:

* Seven sources evaluate the performance of TB processing over multi-slot PUSCH.
  + Three sources show 0.6~6.2 dB performance gain when TBS determined based on multiple slots and transmitted over multiple slots for VoIP at 2% rBLER for FR1, compared to TB is determined based on single slot in Rel-16.
  + Five sources show 0.8~2.7 dB performance gain when TBS determined based on multiple slots and transmitted over multiple slots for eMBB at 10% iBLER for FR1, compared to TB is determined based on single slot in Rel-16.
  + One source shows 0.4~2.0 dB performance gain depending on the number of aggregated slots and modulation when TBS determined based on single slot and transmitted in parts over multiple slots for VoIP at 2% rBLER for FR1, compared to TB is determined based on single slot in Rel-16.
  + One source shows 0~1.75 dB performance gain depending on the number of aggregated slots and modulation when TBS determined based on single slot and transmitted in parts over multiple slots for eMBB at 10% iBLER for FR1, compared to TB is determined based on single slot in Rel-16.

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| **Companies** | **Comments** |
| IITH, IITM, CEWIT, Reliance Jio, Tejas Networks | Although we have not included in our TDOC, we have seen that the gains with multi slot TB processing improve with a greater number of slots. The gains depend on the number of PRBs and the slots used in the overall TB calculations. |
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Observation 4:

* Six sources evaluate the performance of inter-slot frequency hopping with more frequency offsets/ more frequency hopping positions.
  + Five sources show 0.3~1.5 dB performance gain for inter-slot frequency hopping with more frequency offsets/ more frequency hopping positions for eMBB at 10% iBLER for FR1, compared to Rel-16 inter frequency hopping.
  + One source shows no gain for inter-slot frequency hopping with more frequency offsets/ more frequency hopping positions for eMBB at 10% iBLER for FR1, compared to Rel-16 inter frequency hopping.

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| **Companies** | **Comments** |
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Observation 5:

* Five sources evaluate the performance of inter-slot frequency hopping with inter-slot bundling and cross-slot channel estimation.
  + Two sources show 0.5~2.5 dB performance gain for inter-slot frequency hopping with inter-slot bundling for VoIP at 2% rBLER depending on bundle size, DM-RS configurations for FR1, compared to Rel-16 inter-slot frequency hopping.
  + One source shows 1.0~1.55 dB performance gain for inter-slot frequency hopping with inter-slot bundling for VoIP at 2% rBLER depending on bundle size, DM-RS configurations for FR2, compared to Rel-16 inter-slot frequency hopping.
  + Three sources show 0.5~1.3 dB performance gain for inter-slot frequency hopping with inter-slot bundling for eMBB at 10% iBLER depending on bundle size for FR1, compared to Rel-16 inter-slot frequency hopping.

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| **Companies** | **Comments** |
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Observation 6:

* Four sources evaluate the performance of sub-PRB transmission with multi-slot aggregation.
  + One source shows around 0.8 dB performance gain for sub-PRB transmission with 6 tones for VoIP at 2% rBLER for FR1, compared to Rel-16 PRB-based transmission.
  + One source shows 5.6 and 8.5 dB performance gain for sub-PRB transmission with 6 tones for VoIP at 2% rBLER and eMBB at 10% iBELR for FR1, respectively, compared to Rel-16 PRB-based transmission.
  + One source shows up to 5 dB performance gain for sub-PRB transmission with 2 tones for VoIP at 2% rBLER for FR1, compared to Rel-16 PRB-based transmission.
  + One source shows no gain for sub-PRB transmission for VoIP at 2% rBLER for FR1, compared to Rel-16 PRB-based transmission.

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| **Companies** | **Comments** |
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Observation 7:

* Twelve sources evaluate the performance of cross-slot channel estimation.
  + Ten sources show 0.4~3 dB performance gain for cross-slot channel estimation for eMBB at 10% iBLER depending on the number of slots for FR1, compared to PUSCH transmission without cross-slot channel estimation.
  + Two sources show 0.9~1.3 dB performance gain for cross-slot channel estimation for VoIP at 2% rBLER depending on bundle size for FR1, compared to PUSCH transmission without cross-slot channel estimation.
  + One source shows 0.85~1.1 dB performance gain for cross-slot channel estimation for VoIP at 2% rBLER depending on bundle size for FR2, compared to PUSCH transmission without cross-slot channel estimation.

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| **Companies** | **Comments** |
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Observation 8:

* Three sources evaluate the performance of lower DM-RS density.
  + One source shows around 1.4 dB performance gain for lower DM-RS density in time domain with cross-slot channel estimation for eMBB at 10% iBLER for FR1, compared to Rel-16 DM-RS density.
  + One source shows around 1.0 dB performance gain for lower DM-RS density in frequency domain for eMBB at 10% iBLER for FR1, compared to Rel-16 DM-RS density.
  + One source shows around 0.2 dB performance loss for lower DM-RS density in time domain for eMBB at 10% iBLER for FR1, compared to Rel-16 DM-RS density.

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| **Companies** | **Comments** |
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Observation 9:

* Three sources evaluate the performance of higher DM-RS density.
  + One source shows 0.5~1.5 dB performance gain for 1-comb DM-RS for eMBB at 10% iBLER for FR1, compared to Rel-16 DM-RS density.
  + One source shows around 1.0 dB performance gain for additional DM-RS symbol position for VoIP at 2% rBLER for FR1, compared to Rel-16 DM-RS density.
  + One source shows around 0.05 dB performance loss for higher DM-RS density in time domain for eMBB 10% iBLER for FR1, compared to Rel-16 DM-RS density.

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| **Companies** | **Comments** |
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Observation 10:

* One source evaluates the performance of adaptive DM-RS configuration and shows around 1.7 dB performance gain for eMBB at 10% iBLER for FR1, compared to Rel-16 semi-static DM-RS configuration.
* One source evaluates the performance of enhanced intra-slot frequency hopping with more frequency offsets/ more frequency hopping positions and shows around 1.8 dB performance gain for VoIP at 2% rBLER and 0.4 dB performance gain for eMBB at 2% rBLER for FR1, compared to Rel-16 intra-slot frequency hopping.
* One source evaluates the performance of power boosting for pi/2 BPSK and shows around 3 dB gain for UL duty cycle less than 50% and around 6 dB gain for UL duty cycle less than 25%.
* One source evaluates the performance of dynamic switching between DFT-S-OFDM and CP-OFDM and shows 1~1.5 dB gain, compared to semi-static switching between DFT-S-OFDM and CP-OFDM.
* One source evaluates the performance of UE transmit waveform design to reduce MPR and shows 1.0~1.5 dB gain, compared to Rel-16 DFT-S-OFDM and CP-OFDM.
* One source evaluates the performance of symbol level repetition and shows around 0.4 dB performance gain for UE speed 3km/h and around 0.3 performance loss for eMBB at 10% iBLER for FR1, compared to Rel-16 PUSCH repetition type A.
* One source evaluates the performance of Alamouti-based transmit diversity and shows 2-2.7dB performance gain for FR1 and 2-3dB performance gain with QPSK, and up to 8.5dB 2-3dB performance gain with 16QAM for FR2.
* One source evaluates the performance of multiple layer PUSCH transmission with DFT-S-OFDM and shows around 3 dB cubic metric gain, compared to multiple layer PUSCH transmission with CP-OFDM.

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| **Companies** | **Comments** |
| IITH, IITM, CEWIT, Reliance Jio, Tejas Networks | As per chairman decision, we need to decide whether RAN1 will continue studying power boosting for pi/2 BPSK or not. As per our results, it is clear that we must continue this study. We suggest that this proposal be made to RAN1 and be captured. |
| Panasonic | On symbol-level repetition (6th bullet in Observation 10), we would like to clarify the UE speed as follows:   * One source evaluates the performance of symbol level repetition and shows around 0.4 dB performance gain for UE speed 3km/h and around 0.3 dB performance loss for UE speed 120 km/h, respectively, for eMBB at 10% iBLER for FR1, compared to Rel-16 PUSCH repetition type A. |
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1. Proposals on hold

// Conclusions or recommendations on the enhanced solutions (to be discussed after Nov. 6)

Proposal: Capture the followings into the TR

* Enhancements on PUSCH repetition type A is beneficial for PUSCH coverage enhancements for TDD. It is recommended to support enhancements on PUSCH repetition type A in Rel-17.
  + Increasing the maximum number of repetitions.
  + The number of repetitions counted on the basis of available UL slots.
* Enhancements on PUSCH repetition type B is beneficial for PUSCH coverage enhancements. It is recommended to support enhancements on PUSCH repetition type B in Rel-17.
  + Actual PUSCH transmission across the slot boundary/invalid symbols.
  + The length of actual repetition larger than 14 symbols.
* TB processing over multi-slot PUSCH is beneficial for PUSCH coverage enhancements. It is recommended to support TB processing over multi-slot PUSCH in Rel-17.
  + TBS determined based on multiple slots
* Enhancements on inter-slot frequency hopping is beneficial for PUSCH coverage enhancements. It is recommended to support inter-slot frequency hopping with more frequency offsets/ more frequency hopping positions for PUSCH in Rel-17.
* Inter-slot frequency hopping with inter-slot bundling to enable cross-slot channel estimation is beneficial for PUSCH coverage enhancements. It is recommended to support inter-slot frequency hopping with inter-slot bundling to enable cross-slot channel estimation for PUSCH in Rel-17.
* Sub-PRB transmission with multi-slot aggregation is beneficial for VoIP PUSCH coverage enhancements. It is recommended to support sub-PRB transmission with multi-slot aggregation for VoIP for PUSCH in Rel-17.
* Cross-slot channel estimation is beneficial for PUSCH coverage enhancements. It is recommended to support cross-slot channel estimation for PUSCH in Rel-17.
* Lower DM-RS density in time domain with DM-RS sharing among multiple PUSCH transmissions is beneficial for PUSCH coverage enhancements. It is recommended to support lower DM-RS density in time domain with DM-RS sharing among multiple PUSCH transmissions in Rel-17.

1. RAN1 #102-e agreements

**Agreements:**

* **Capture the following updated structure in TR 38.830.**

**6.1 PUSCH coverage enhancements**

**6.1.1 Time-domain based solutions**

**6.1.2 Frequency-domain based solutions**

**6.1.3 DM-RS enhancements**

**6.1.4 Power-domain based solutions**

**6.1.5 Spatial-domain based solutions**

**6.1.6 Others**

**Agreements:**

* Prioritize the study on the performance and specification impacts on time domain based solutions for PUSCH enhancements, including
  + Increase the number of repetitions for PUSCH repetition type A
    - PUSCH repetition with non-consecutive slots/on the basis of available slots for TDD
    - Note: whether increasing the number of PUSCH repetition for FDD depends on the outcome of AI 8.8.1.1.
  + Enhancement on PUSCH repetition Type B
    - E.g., actual repetition across the slot boundary, or the length of actual repetition larger than 14 symbols, etc.
  + TB processing at least over multi-slot PUSCH
    - e.g., single TB, sized for a single slot, but transmitted in parts over multiple slots; or single TB, sized for multiple slots, transmitted over multiple slots, and in conjunction with repetition, etc.
* FFS
  + OCC spreading based repetition
  + Symbol-level repetition
  + TB interleaving
  + RV repetition
  + Early termination of PUSCH repetitions

**Agreements:**

* Following solutions are not considered for PUSCH enhancements in this study item in RAN1:
  + Enhancements to improve spherical coverage / beam correspondence
  + Reflective arrays
  + Polarization aspects of the UL and/or DL reference signals

**Agreements:**

* Prioritize the study on the performance and specification impacts on DM-RS enhancements for PUSCH, including
  + Cross-slot channel estimation
  + With a lower priority compared with cross-slot channel estimation (i.e., companies are encouraged to study it)
    - Lower density
      * E.g., DM-RS sharing among multiple PUSCH transmissions or lower DMRS density in the frequency domain.
    - Higher density
      * E.g., in time or frequency domain, e.g., 1-comb pattern
    - Adaptive configuration
    - DM-RS balancing among frequency hops

**Agreements:**

* Multiple layer PUSCH transmission with DFT-S-OFDM for PUSCH enhancements can be studied with low priority.
* Study open-loop/closed loop Tx diversity for PUSCH enhancements with low priority.

**Agreements:**

* Study the performance and specification impacts on frequency domain based solutions for PUSCH, including
  + Inter-slot frequency hopping
    - with more frequency offsets
    - with more frequency hopping positions.
  + Inter-slot frequency hopping with inter-slot bundling to enable cross-slot channel estimation
  + Enhancements on frequency hopping for PUSCH repetition type B
    - Note that the above inter-slot frequency hopping enhancement can apply for PUSCH repetition type B
  + Sub-PRB transmission for VoIP
    - FFS: details, e.g., number of tones, multi-slot aggregation
* FFS
  + Intra-slot frequency hopping
    - with more frequency offsets
    - with more frequency hopping positions.

[Note: Appropriate simulation assumptions are expected.]

**Agreements:**

* Study following power domain based solution for PUSCH enhancements
  + Waveform design to optimize MPR/A-MPR
  + [FDD high power UE]
  + Power boosting for pi/2 BPSK

Note: if a LS to RAN4 (for the last two bullets) is deemed necessary, target sending the LS in the 1st week of RAN1#103-e.

1. RAN1 #103-e agreements

Agreements:

For the agreement made in RAN1 #102-e:

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| **Agreements:**   * Study following power domain based solution for PUSCH enhancements   + Waveform design to optimize MPR/A-MPR   + [FDD high power UE]   + Power boosting for pi/2 BPSK   Note: if a LS to RAN4 (for the last two bullets) is deemed necessary, target sending the LS in the 1st week of RAN1#103-e. |

RAN1 targets to make a decision whether to further study on power boosting for pi/2 BPSK during this e-meeting.

Agreements: Capture the followings into the TR

* Enhancements on inter-slot frequency hopping were studied from several aspects, including:
  + More frequency offsets, e.g. 4 for BWP less than 50 PRBs, 8 for BWP greater than 50 PRBs.
  + More frequency hopping positions, e.g. 4.
* Potential specification impacts of enhancements on inter-slot frequency hopping include:
  + Frequency domain hopping offsets/positions.

Agreements: Capture the followings into the TR

* Enhancements on DM-RS density were studied from several aspects, including lower DM-RS density in time domain, DM-RS sharing among multiple PUSCH transmissions in the time domain, lower DMRS density in frequency domain, 1-comb DM-RS, e.g., DM-RS with single port spans to occupy the whole DM-RS symbol, and additional DM-RS symbol position in a slot.
* Potential specification impacts of lower DM-RS density in time domain, and DM-RS sharing among multiple PUSCH transmissions include:
  + DM-RS pattern and configuration, power consistency, phase continuity, and TBS determination.
* Potential specification impacts of lower DMRS density in the frequency domain include:
  + DM-RS design, DM-RS pattern and configuration.
* Potential specification impacts of 1-comb DM-RS include:
  + DM-RS design, and TBS determination.
* Potential specification impacts of additional DM-RS symbol position in a slot include:
  + DM-RS position.

Agreements: Capture the followings into the TR

* Adaptive DM-RS configuration was studied. Potential specification impacts include:
  + Related signaling design.

Agreements: Capture the followings into the TR

* DM-RS balancing among frequency hops was studied. Potential specification impacts include:
  + Related signaling design, DMRS configuration and pattern.

1. Reference
2. 3GPP RP-200861, “Revised SID on Study on NR coverage enhancements”, China Telecom, RAN#88e, June 29th – July 3rd, 2020.
3. “3GPP TSG RAN WG1 RAN1 #102e Chairman’s Notes”, e-Meeting, Aug. 17th – 28th, 2020.
4. R1-2007583 Potential solutions for PUSCH coverage enhancement Huawei, HiSilicon
5. R1-2007640 PUSCH coverage enhancement Beijing Xiaomi Mobile Software
6. R1-2007680 Discussion on Solutions for PUSCH coverage enhancement vivo
7. R1-2007743 Discussion on potential techniques for PUSCH coverage enhancements ZTE
8. R1-2007874 Discussion on potential techniques for PUSCH coverage enhancement CATT
9. R1-2007905 PUSCH coverage enhancements Indian Institute of Tech (H)
10. R1-2007930 Potential techniques for NR coverage enhancements Sierra Wireless, S.A.
11. R1-2007954 On potential techniques for PUSCH coverage enhancement Intel Corporation
12. R1-2007989 PUSCH coverage enhancement ETRI
13. R1-2007994 Discussion on PUSCH coverage enhancements China Telecom
14. R1-2008026 Discussion on the PUSCH coverage enhancement CMCC
15. R1-2008078 Discussion on PUSCH coverage enhancement NEC
16. R1-2008092 Potential solutions for PUSCH coverage enhancement Spreadtrum Communications
17. R1-2008181 PUSCH coverage enhancement Samsung
18. R1-2008271 Consideration on PUSCH coverage enhancement OPPO
19. R1-2008370 On PUSCH coverage enhancement techniques Sony
20. R1-2008378 Discussion on PUSCH coverage enhancements Panasonic Corporation
21. R1-2008399 PUSCH coverage enhancement Sharp
22. R1-2008403 Discussions on PUSCH coverage enhancement LG Electronics
23. R1-2008419 PUSCH coverage enhancement Ericsson
24. R1-2008479 On potential techniques for PUSCH coverage enhancement Apple
25. R1-2008483 PUSCH coverage enhancements InterDigital, Inc.
26. R1-2008559 Potential techniques for PUSCH coverage enhancements NTT DOCOMO, INC.
27. R1-2008626 Potential coverage enhancement techniques for PUSCH Qualcomm Incorporated
28. R1-2008700 On the use of Tx diversity in DFT-s-OFDM for PUSCH coverage enhancement NICT
29. R1-2008703 Discussion on approaches and solutions for NR PUSCH coverage enhancement Nokia, Nokia Shanghai Bell
30. R1-2008729 Discussion on potential techniques for PUSCH coverage enhancement WILUS Inc.
31. R1-2008743 On transmit diversity techniques for PUSCH coverage enhancement Mitsubishi Electric RCE
32. R1-2007483 [102-e-Post-NR-CovEnh-02] Phase 3: initial collection of simulation results for enhancements Moderator (China Telecom)
33. Appendix

### [3] R1-2007583 Huawei, HiSilicon

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| ***Observation 1: By joint channel estimation across consecutive PUSCH transmissions, a large coverage gain can be achieved as compared to conventional single slot channel estimation, i.e., 1.4 dB and 2.1 dB SNR gains are obtained at 10% BLER for 2 and 3 slots joint channel estimation, respectively.***  ***Observation 2: By finer scheduling granularity of (re)transmission, a better coverage performance could be obtained while the large DMRS overhead in each finer granularity (re)transmission would degrade the coverage performance.***  ***Observation 3: PUSCH transmissions with finer scheduling granularity and DMRS sharing among multiple PUSCH (re)transmissions can achieve an obvious SNR gain compared to the baseline (a scheduling granularity of 12OS) at 1Mbps target data rate, such as 1.4dB gain can be obtained by the finer scheduling granularity of 2OS.***  ***Observation 4: Enhancement of repetition cancellation in TDD (7:3 DL: UL) with doubled actual repetitions can obtain approximately >2dB SNR gain for both urban and rural scenarios.***  ***Observation 5: A large SNR gain is obtained by FDD higher power transmission as compared to original repetitions, e.g. 1 dB SNR gain at 10% BLER.***  ***Observation 6: By increasing the frequency hopping positions from 2 positions to 4 positions, a >1dB SNR gain can be obtained at 10% BLER for both 4 repetitions with frequency hopping and 8 repetitions with frequency hopping.***  ***Proposal 1: Joint channel estimation across consecutive PUSCH transmissions is recommended for PUSCH coverage enhancement.***  ***Proposal 2: Potential spec impacts to enable joint channel estimation are identical transmission power, precoding and DMRS port, etc., among consecutive PUSCH transmissions.***  ***Proposal 3: Shared DMRS among multiple consecutive PUSCH transmissions with finer scheduling granularity is recommended for PUSCH coverage enhancement, such as non-DMRS configured PUSCH should be supported to reduce DMRS overhead.***  ***Proposal 4: Enhancement of repetition cancellation in TDD to ensure more actual repetitions is recommended for PUSCH coverage enhancement.***  ***Proposal 5: FDD higher power UE transmission is recommended for PUSCH coverage enhancement.***  ***Proposal 6: More frequency hopping positions among multiple repetitions is recommended for PUSCH coverage enhancement.*** |

### [4] R1-2007640 Xiaomi

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| ***Proposal 1: For PUSCH type B, it is better to extension actual/nominal repetition to support non-consecutive slots and partial special slot for improving the PUSCH utilization efficiency and enhancing coverage.***  ***Proposal 2: Whether fixed RV repetition solution having an overall performance gain need to be further evaluated. Dynamic RV repetition or finer granularity RV repetition may be better.***  ***Proposal 3: OCC spreading based repetition can increase the spectrum efficiency, it should be considered into the candidate solutions for coverage enhancement.***  ***Proposal 4: The number of inter-slot frequency hops positions can be increased to at least 4 to further improve PUSCH coverage.***  ***Proposal 5: From the perspective of balancing complexity and performance gain, intra-slot frequency hopping should not to be supported.***  ***Proposal 6: Maybe dynamic indication window size and inter-slot bundling size for cross-slot channel estimation is more suitable***  ***Proposal 7: Support inter-repetition bundling to enable DMRS sharing.***  ***Proposal 8: At least actual repetitions segmented from the same nominal repetition in the same or continuous slots can share the same DMRS.***  ***Proposal 9: Support DMRS bundling in Repetition Type B which includes DMRS placement in a special slot.*** |

### [5] R1-2007680 vivo

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| ***Observation 1:* *Increase the number of actual repetitions for PUSCH repetition type A have potential specification impacts in the following aspects:***   * ***The maximum number of repetitions for repetition type A should be extended.*** * ***The postponement rules for repetition type A should be supported.***   ***Observation 2: Compared to RV cycling, enhanced RV solution can provide about 2 dB performance gain in some cases.***  ***Observation 3: Enhanced RV solution has potential specification impacts in the following aspects***   * ***Enhanced or new rules of determining RV for each repetition.*** * ***Enhanced or new segment rules of actual repetitions.***   ***Observation 4: For multi-slot PUSCH, applying the same TDRA over multiple slots would result in the discontinuous resource and inflexible allocation, the available resources cannot be fully utilized.***  ***Observation 5: Multi-slot PUSCH scheme has potential specification impacts in the following aspects***   * ***Uniform TDRA or start symbol and length is redesigned for multi-slot PUSCH.*** * ***The computation of TBS and DMRS pattern for multi-slot PUSCH is modified.***   ***Observation 6: Sub-PRB transmission does not have meaningful performance gain over PUSCH repetition type A with the same TBS.***  ***Observation 7: Sub-PRB transmission will lead to significant specification work, if supported.***  ***Observation 8: Phase continuity and power consistency among slots or transmissions is not required in current specification.***  ***Observation 9: Joint channel estimation have potential specification impacts in the following aspects***   * ***UE need to keep the same Tx power across PUSCH transmission if coherent transmission is configured;*** * ***Potential UE behavior if the coherency of PUSCH repetitions is impacted by other procedures, e.g. simultaneous transmission if configured with CA;*** * ***The granularity in time domain should be defined for joint channel estimation.***   ***Proposal 1: For PUSCH repetition type A, it is beneficial to postpone the PUSCH repetition if the resources are not available.***  ***Proposal 2: Enhanced RV solutions for PUSCH repetition type B transmission should be considered.***  ***Proposal 3: It is beneficial to support multi-slot PUSCH for coverage enhancement.***  ***Proposal 4: More frequency hops for inter slot frequency hopping should be considered with higher priority.***  ***Proposal 5: Intra-repetition frequency hopping for PUSCH repetition type B should be deprioritized.***  ***Proposal 6: sub-PRB transmission is not supported for PUSCH coverage enhancement.***  ***Proposal 7: It is beneficial for joint channel estimation to guarantee phase continuity and power consistency among multiple slots or transmission.*** |

### [6] R1-2007743 ZTE

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| ***Observation 1: For VoIP service, 4 repetitions with maximum 1 re-transmission can provide about 1~1.5 dB gain over 2 repetitions with maximum 3 re-transmissions.***  ***Observation 2: In scenario with TDD frame structure ‘DDDSU’ (S: 10D:2G:2U),***   * ***PUSCH repetition type B with actual repetition cross slot boundary can provide 0.8dB gain, and*** * ***the length of actual repetition with 16 symbols can provide 0.8dB gain.***   ***Observation 3: Increasing the number of frequency hopping positions from 2 to 4 could provide additional performance gain for PUSCH repetition.***  ***Observation 4: Frequency hopping together with cross-slot channel estimation can provide performance improvement for PUSCH repetition.***  ***Observation 5: Cross-slot channel estimation among 8 PUSCH repetitions can provide 1.8 dB gain in urban scenario.***  ***Observation 6: Lower DMRS density with only mapping DMRS on half of the PRBs could provide about 1 dB performance gain.***  ***Proposal 1: For PUSCH repetition type A, the number of repetitions indicated by gNB should be guaranteed in case of collisions.***  ***Proposal 2: For PUSCH repetition type B, support actual PUSCH transmission across the slot boundaries and the length of actual repetition larger than 14 symbols.***  ***Proposal 3: Early termination can be considered for NR coverage enhancement.***  ***Proposal 4: OCC spreading based PUSCH can be considered for NR coverage enhancement.***  ***Proposal 5: Enhancement to inter-slot frequency hopping is supported for NR coverage enhancement.***   * ***More frequency hopping positions can be considered.***   ***Proposal 6: For PUSCH repetition, support enhanced frequency hopping schemes to enable cross-slot channel estimation among repetitions per hop.***  ***Proposal 7: Cross-slot channel estimation among PUSCH repetitions should be supported.***   * ***Further study whether phase continuity can be kept across slot boundary.***   ***Proposal 8: Support lower DMRS density in the frequency domain for NR coverage enhancement.*** |

### [7] R1-2007874 CATT

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| ***Observation 1: The benefit of TB processing over multiple slots needs more justification.***  ***Observation 2: The motivation of introducing sub-PRB resource allocation needs more justification.***  ***Observation 3: The motivation of introducing multi-layer DFT-s-OFDM is not clear.***  ***Observation 4: Cross-slot channel estimation is up to gNB implementation.***  ***Observation 5: The benefit of DMRS density enhancement needs further justification.***  ***Proposal 1: Increasing the repetition number is supported, including:***   * ***Increasing the repetition number;*** * ***Supporting non-consecutive slots repetition on the basis of available slot/symbols.***   ***Proposal 2: Repetition type B enhancement should be studied for PUSCH.***  ***Proposal 3: Power domain based enhancement should be carefully studied by RAN4 before starting any specification work in RAN1.*** |

### [8] R1-2007905 Indian Institute of Tech (H)

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| ***Observation 1: 5G NR coverage enhancement should support additional [x] dB increase in MCL over rel-16 of 5G NR.***  ***Observation 2: Coverage enhancement SI should support higher MCL which directly results in higher ISD compared to existing IMT-2020 evaluations.***  ***Observation 3: UE with 26 dbm max Tx power for UL duty cycle < 50% provides a substantial increase in cell edge data rates.***  ***Proposal 1: Identify [x] dB via system and link-level simulations.***  ***Proposal 2: Study enhanced TBS calculations to increase the MCL for Rel-17 by supporting transmissions over multiple UL slots.***  ***Proposal 3: Make pi/2 BPSK power boosting a function of the UL duty cycle.***  ***Proposal 4: Send LS to RAN4 to study the feasibility of power boosting for pi/2 BPSK modulation beyond 26 dBm as a function of the UL duty cycle.*** |

### [9] R1-2007930 Sierra Wireless

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| ***Observation 1: Adding gaps between repeats to improve time diversity is a prioritized time-domain based solution***  ***Observation 2: Filling the gaps with TBs from the same user, maintains the data rate even when gaps are used.***  ***Observation 3: Allowing the gaps to be filled with TBs from other users, improves scheduling flexibility.***  ***Observation 4: With FH disabled, 2.5 dB of gain can be achieved when adding gaps between repeats.***  ***Observation 5: With FH enabled, 2.0 dB of gain can be achieved when adding gaps between repeats.***  ***Observation 6: The multi-slot encoding technique provides similar SNR gains to adding gaps between repeats.***  ***Observation 7: Advantages of gaps between repeats over multi-slot encoding:***   * ***Improved support for small TBS (e.g. VoIP TBS = 320bits)*** * ***Supports larger time diversity (e.g. beyond 64ms)*** * ***Scheduling flexibility (i.e. allows other users to be scheduled in gaps)***   ***Proposal 1: For the eMBB use cases, do not recommend specifying increased repetition for the PUSCH or PDSCH***  ***Proposal 2: Recommend that gaps between repeats be specified as a Rel 17 Coverage enhancement solution***  ***Proposal 3: e (LTE-M) scheme be specified to improve coverage for VoIP.*** |

### [10] R1-2007954 Intel

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| **Observation 1**   * *~2dB performance gain can be observed when doubling the repetition levels for PUSCH.*   **Observation 2**   * *Compared to single slot transmission with same code rate, TB spanning multiple slots can deliver similar link level performance.* * *For TB spanning 4 slots with 1 PRB in each slot, ~6dB performance gain can be achieved in term of link budget over single slot transmission with 4 PRBs.*   **Observation 3**   * *For Rel-15 inter-slot frequency hopping pattern, cross-slot channel estimation can provide ~2dB performance gain compared to the case without cross-slot channel estimation.* * *When employing cross-slot channel estimation, Rel-15 intra-slot and inter-slot frequency hopping patterns have similar performance.* * *When employing cross-slot channel estimation, ~1.0dB performance gain can be achieved by enhanced inter-slot frequency hopping pattern, compared to Rel-15 intra-slot and inter-slot frequency hopping pattern.* * *Compared to Rel-15 inter-slot frequency hopping without cross-slot channel estimation, substantial performance gain, i.e., ~3dB can be achieved by enhanced inter-slot frequency hopping with cross-slot channel estimation.*   **Observation 4**   * *When 2 Rx antennas are used, ~1.5dB performance gain can be achieved for 4 frequency hops compared to 2 frequency hops.* * *When 4 Rx antennas are used, ~0.3dB performance gain can be achieved for 4 frequency hops compared to 2 frequency hops.*   **Observation 5**   * *For 8 repetitions with inter-slot frequency hopping, 4 DMRS symbols can achieve better link level performance than 5 and 6 DMRS symbols for PUSCH.*   **Observation 6**   * *For 8 repetitions with intra-slot frequency hopping, performance difference is small for the cases when DMRS symbols are not allocated in odd slots and when DMRS symbols are allocated in each slot.*   **Proposal 1**   * *Maximum number of repetitions can be increased for PUSCH coverage enhancement, especially for TDD configuration with limited UL slots.* * *It is desirable to allow UE to postpone PUSCH transmission in TDD system, until all the configured/indicated number of repetitions is reached.*   **Proposal 2**   * *Enhancement on PUSCH repetition type B in time domain needs to be carefully studied in WI phase with considerations of impacts on UE implementation.*   **Proposal 3**   * *A TB with TBS determined for multiple slots and transmitted over multiple slots can be considered for PUSCH coverage enhancement.*   **Proposal 4**   * *Inter-slot frequency hopping with inter-slot bundling is supported in conjunction with cross-slot channel estimation for PUSCH coverage enhancement.*   **Proposal 5**   * *Increasing the number of frequency hops from 2 to 4 for inter-slot frequency hopping may not be supported for NR coverage enhancement WI, when considering practical gNB receiver architecture.*   **Proposal 6**   * *Depending on coverage enhancement target for VoIP, sub-PRB based resource allocation may not be considered for PUSCH coverage enhancement.*   **Proposal 7**   * *Higher DMRS density in time domain is not supported for PUSCH coverage enhancement.*   **Proposal 8**   * *Lower DMRS density in time domain is not supported for PUSCH coverage enhancement.* |

### [11] R1-2007989 ETRI

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| ***Proposal 1: For repetition type A enhancement, study the way to guarantee the number of repetition as being indicated.***  ***Proposal 2: For repetition type A enhancement, study to indicate more than one SLIVs in a single UL grant.***  ***Proposal 3: For repetition type B enhancement, relax the definition of a time window, i.e., include only valid symbols.***  ***Proposal 4: For joint channel estimation, study the enhanced power control to keep similar or even same power level during all repetitions.*** |

### [12] R1-2007994 China Telecom

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| ***Observation 1: The enhanced repetition mechanism, the number of repetitions counted on the basis of available UL slots, can improve the performance of voice service for both O2I and O2O scenario. About 3.2dB and 4dB gain at target 2% rBLER can be obtained for O2I and O2O respectively.***  ***Observation 2: Compared with Rel-16 repetition type B, the enhanced repetition type B can improve the performance of voice service for both O2I and O2O scenarios. About 0.8 dB gain at target 2% rBLER can be obtained for both O2I and O2O scenarios.***  ***Observation 3: Compared with Rel-16 repetition type A, TB processing over multi-slot PUSCH can improve the performance of voice service for both O2I and O2O scenarios. About 1.0 dB gain and 0.6dB gain at target 2% rBLER can be obtained for O2I and O2O scenarios respectively.***  ***Observation 4: TB processing over multi-slot PUSCH can improve the performance of eMBB for rural scenario. About 1.3 dB gain and 2.7dB gain at target 10% iBLER can be obtained for TB processing over 2 slots and 4 slots respectively.***  ***Observation 5: The enhanced frequency hopping scheme can improve the coverage performance. About 0.4dB gain at target 10% iBLER and 1.8dB gain at target 2% rBLER can be obtained for eMBB and VoIP respectively compared with Rel-16 frequency hopping scheme.***  ***Observation 6: Inter-bundle frequency hopping can improve the coverage performance. Compared with Rel-16 inter-slot frequency hopping, about 0.5dB gain at target 2% rBLER for VoIP service can be obtained for inter-bundle frequency hopping.***  ***Observation 7: Sub-PRB transmission can improve the coverage performance. Compared with Rel-16 repetition type A, about 0.8dB gain can be obtained at target 2% rBLER for sub-PRB transmission for voice service.***  ***Observation 8: Cross-slot channel estimation can improve the coverage performance. About 0.4dB and 0.8 dB gain at target 10% iBLER can be observed for TDD (DDDSUDDSUU) and FDD respectively.***  ***Observation 9: 1-comb DM-RS can improve the coverage performance. About 0.5dB and 1.5 dB gain at target 10% iBLER can be obtained for eMBB for urban and rural scenarios respectively.*** |

### [13] R1-2008026 CMCC

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| ***Observation 1: 0.4 dB gain could be achieved through the cross-slot channel estimation over 2 slots for PUSCH eMBB traffic.***  ***Observation 2: In addition to the cross-slot channel estimation, a lower DMRS density and fully utilized sources in special slot could improve the coverage of PUSCH. And about 1.22 dB coverage gain could be achieved.***  ***Proposal 1: Different symbol allocations applied in different slots for PUSCH repetition Type A can be supported.***  ***Proposal 2: PUSCH repetition on non-consecutive physical available resources for PUSCH transmission can be supported, both PUSCH repetition Type A and Type B can be considered.***  ***Proposal 3: Early termination for PUSCH repetitions can be supported to reduce unnecessary network UL resource occupation and reduce unnecessary UE power consumption.***  ***Proposal 4: Fully use of resources in the special slot should be considered for the PUSCH coverage enhancement.***  ***Proposal 5: A reduced DM-RS density could spare more resources for PUSCH to improve the coverage.***  ***Proposal 6: An adaptive configuration of DMRS could improve the operation flexibility according to different scenarios.***  ***Proposal 7: The lower density and adaptive configuration of DMRS should be prioritized.*** |

### [14] R1-2008078 NEC

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| ***Proposal 1: It’s beneficial to support cross channel. Based on legacy NR, channel across different slot are not inferable. Inferable state could be indicated to UE to support cross channel estimation.***  ***Proposal 2: To study different number of DMRS symbol in each slot to make up DMRS symbol pattern over multiple slots when cross slot channel estimation adopted.***  ***Proposal 3: It's beneficial to study providing more frequency hopping RB position to increase the coverage. Inter-slot frequency hopping with inter-slot bundling to enable cross-slot channel estimation should be supported.*** |

### [15] R1-2008092 Spreadtrum

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| ***Proposal 1. The repetition number of dynamic scheduled PUSCH/configured grant PUSCH should be increased, e.g., 32, 64, etc.***  ***Proposal 2. Don’t support increasing maximum number of symbols for PUSCH***  ***Proposal 3. For both inter/intra-slot hopping, the supported PUSCH hoping positions/number should be increased, e.g., 4, 8, etc.***  ***Proposal 4. Postpone the discussion on enhancements on frequency hopping for PUSCH repetition type B.***  ***Proposal 5. Sub-PRB transmission is not considered in PUSCH coverage enhancement.***  ***Proposal 6. Support to introduce DMRS-less transmission for PUSCH coverage enhancement in Rel-17.*** |

### [16] R1-2008181 Samsung

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| ***Observation 1: Rel-16 PUSCH repetition type B may have loss of coding gain.***  ***Proposal 1: Support an actual repetition across the slot boundary or invalid symbols.***  ***Proposal 2: Support a length of a repetition larger than 14 symbols.***  ***Observation 2: Rel-16 Type A and Type B PUSCH repetition cannot provide flexible utilization of UL symbols which may reduce coverage and resource utilization efficiency.***  ***Proposal 3: A UE considers the slot format indicated by dynamic SFI for adjusting repetitions of a PUSCH transmission to include only UL symbols.***  ***Observation 3: Dropping the transmission of repetitions has negative impact on PUSCH, especially for configured grant. There are trade-offs with both dropping and postponing cancelled repetitions and it is beneficial to enable a gNB to configure either dropping or postponing repetitions to a UE.***  ***Proposal 4: For Type A PUSCH repetition, support postponing/dropping cancelled repetitions for a PUSCH transmission.***  ***Proposal 5: Support enhancements on cross-slot channel estimation.***  ***Proposal 6: Support enhancements on frequency hopping with cross-slot channel estimation.***  ***Proposal 7: Support sub-PRB transmission for coverage enhancement.***  ***Observation 4: The necessary spec impact for sub-PRB transmission is frequency domain resource allocation as well as some consideration in pow*** |

### [17] R1-2008271 OPPO

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| ***Observation 1: Assuming the low fixed MCS, the BLER performance can be improve while the target throughput for eMBB in Urban Scenarios cannot be improved with repetition enhancement only. The while the target throughput for eMBB in Rural Scenarios can be enhanced by repetition.***  ***Observation 2: Repetition scheme can also enhance the coverage in lower SNR and data rate lower than the target eMBB data rate.***  ***Observation 3: When the maximum number of effective transmissions is fixed, adaptive repetition can achieve better performance. The adaptive repetition can be supported by HARQ mechanism with high control overhead or by enhance repetition mechanism.***  ***Observation 4: PUSCH/PDSCH slot aggregation would restrict flexible slots as uplink/downlink, which restricts the scheduling flexibility.***  ***Proposal 1: Slot aggregation and dynamic repetition can be considered as the baseline for Rel-17 PUSCH coverage enhancement.***  ***Proposal 2: Introducing higher aggregation factor for PUSCH repetition and adaptive PUSCH repetition with earlier termination.***  ***Proposal 3: Restricting PUSCH repetition in preconfigured valid slots.***  ***Proposal 4: Cross-slot estimation, DMRS-less and non-uniform distributed DMRS can be considered for PUSCH repetition.***  ***Supporting frequency hopping of PUSCH based on multiple slots.***  ***Proposal 5: During PUSCH repetition, different PUSCH spatial filter parameters and different antenna ports can be applied for different PUSCH slots.***  ***Proposal 6: High power UE for FDD shall be discussed in RAN4.*** |

### [18] R1-2008370 Sony

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| ***Proposal 1: Coverage enhancement supports the coverage enhancement of CG-UCI and CG-PUSCH****.*  ***Observation 1: Pairwise repetition of CG-UCI and CG-PUSCH reduces PUSCH buffering and allows for earlier PUSCH decoding****.*  ***Proposal 2: CG-UCI and CG-PUSCH are repeated in pairwise fashion****.*  ***Observation 2: If the DM-RS density can be dynamically changed in both time and frequency domains, the throughput of the PUSCH can be optimized both with respect to time and frequency selective channel conditions.***  ***Proposal 3: Adaptive configuration of DM-RS to improve PUSCH coverage should be studied.***  ***Proposal 4: For PUSCH, frequency hopping with at least four hops is supported through multiple configured grants****.*  ***Proposal 5: For PUSCH frequency hopping, the gNB can dynamically adapt the frequency hopping pattern, based on which hops are more effective****.*  ***Observation 3: A higher transmit power UE can improve PUSCH coverage****.*  ***Observation 4: An HD-FDD UE can transmit a higher power than an FD-FDD UE while using the same PA****.*  ***Proposal 6: Coverage enhancement supports half-duplex FDD UEs****.*  ***Proposal 7: Coverage enhancement supports sub-PRB PUSCH transmission****.*  ***Proposal 8: Send an LS to RAN4 recommending that RAN4 study higher transmit power FDD UEs and negative MPR / power boosting****.* |

### [19] R1-2008378 Panasonic

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| ***Observation 1: The repetition enhancement such that the number of repetitions is counted on the basis of available UL slots is useful if the resource usage of PDCCH needs to be reduced like PDCCH repetition.***  ***Proposal 1: In order to support cross-slot channel estimation, RAN1 asks to RAN4 in what condition phase continuity can be kept.***  ***Observation 2: For the repetition with DMRS enhancement, DMRS distribution over the duration of repetition is important for the trackability to channel variation.***  ***Observation 3: DMRS allocation of PUSCH repetition Type A with cross-slot 2D MMSE channel estimation can provide better averaging and interpolation performance.***  ***Observation 4: Single TB, sized for multiple slots, transmitted over multiple slots could be considered up to 1/3 or 1/5 coding rate. Further lower coding rate is realized by the repetition.***  ***Observation 5: In the combination with cross-slot/cross-repetition channel estimation, frequency hopping enhancement such as configurable time domain hopping interval should be supported.*** |

### [20] R1-2008399 Sharp

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| ***Proposal 1: Capture DMRS bundling as a candidate solution for coverage enhancement in the TR.***  ***Proposal 2: DMRS-less repetition in the aggregated slot can be considered.***  ***Proposal 3: TBS scaling is required to meet the target data rate requirement in a PUSCH transmission with aggregated slot.***  ***Proposal 4: DMRS allocation can be based on the aggregated resource or the nominal repetition instead of the actual repetition.***  ***Proposal 5: For repetition type B, TBS scaling can be considered with***  ***Option 1: Scaling based on the amount of time resources or***  ***Option 2: Longer nominal repetition length than 14.***  ***Proposal 6: Increased number of repetitions can be considered for VoIP coverage enhancement.*** |

### [21] R1-2008403 LG

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| ***Proposal 1: To increase the number of PUSCH repetitions in unpaired spectrum, PUCCH repetition principle can be reused for PUSCH***  ***Proposal 2: Flexible symbol resource allocation depending on PUSCH repetition slot should be studied for PUSCH coverage enhancement.***  ***Observation 1: PUSCH TB mapping over multi-slot is beneficial in terms of performance gain and frequency-domain multiplexing.***  ***Proposal 3: Symbol-level combining should be studied to enhance PUSCH coverage. Symbol-level combining can be supported by applying the same RV value during a bundle of PUSCH slots.***  ***Proposal 4: To support cross-slot channel estimation, the same beam direction, precoding matrix, and PRB location should be applied for PUSCH repetitions during the slots.***  ***Proposal 5: Enhancement of DMRS density does not seems to be necessary.***  ***Proposal 6: To support bundled slot wise frequency hopping, the location and number of bundled slot need to be discussed further.***  ***Proposal 7: For sub-PRB transmission, related topics (i.e., TB mapping, DMRS mapping) should be studied.*** |

### [22] R1-2008419 Ericsson

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| ***Observations:***   * *Non-coherent and partially coherent UE’s PAPR or cubic metric (CM) of multiple layer PUSCH transmission is not higher than 1-layer PUSCH transmission by coherent UE.* * *Multiple layer PUSCH transmission with DFT-S-OFDM can improve PUSCH cell coverage.* * *Multiple layer transmission is especially beneficial in the non-coherent UEs that are those most used in real deployment, since multi-layer transmission provides more power in these UEs.*   + *Pure rank 1 transmission tends to be infrequent even for UEs in the poorest channel conditions when few gNB antennas are used.*   + *When massive MIMO gNBs are used, rank 1 is almost never selected.* * *Cross-slot channel estimation brings gains, but further study is needed on how much needs to be specified vs. what can be done in gNB implementation (e.g. by estimating wideband phase corrections to combine slots).* * *Using 4 instead of 2 hops can bring modest gains in a limited set of scenarios.* * *SigComp can compress SIP packets at application layer before encryption is used. This feature should be considered for Voice coverage enhancement. It has better potential i.e. suitable for all scenarios regardless of whether packets are encrypted or unencrypted.* * *Early CSI may also benefit the Voice Service. Having accurate CSI for a UE in poor coverage that wants to send a large UL SIP packet such as INVITE can allow the network to apply schemes such as beamforming, frequency selective scheduling, robust modulation and coding schemes, etc.*   *Based on the observations and discussions, we have following proposals.*  ***Proposals:***   * *Consider at least the following areas for UL coverage enhancement:*   + *Improvements to low PAPR transmission*   + *Multi-antenna techniques*   + *Cross-slot channel estimation* * *Specify multiple layer PUSCH transmission with DFT-S-OFDM.* * *Indicate to CT1 and SA4 that 2KB SIP message sizes may impact VoNR coverage or setup latency in arduous coverage scenarios and ask if SigComP functionality can be supported to reduce SIP message overhead.* * *Ask CT1/SA4 what SIP message packet sizes and arrival rates can be expected.* |

### [23] R1-2008479 Apple

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| ***Proposal 1:The coverage enhancement solutions should be down selected further according to the performance and standard impacts***  ***Proposal 2: The benefits of TB processing over multi-slot, sub-PRB transmission and frequency hopping enhancement for PUSCH repetition type B need to be studied further.***  ***Observation:***   * ***At low SNR operating point, PUSCH performance is improved with one more DM-RS symbol.*** * ***Intra-slot Frequency hopping can provide benefit with smaller number of PRB allocation and a relative high SNR region.*** * ***Usage several techniques together could provide better performance than single technique applied.*** |

### [24] R1-2009168 InterDigital

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| ***Observation 1: The number of DMRS symbols placed in an uplink slot should be minimized without sacrificing channel estimation performance***  ***Observation 2: DMRS symbol can be placed in a special slot which is placed before the uplink slot, allowing channel estimation across the slot boundary***  ***Observation 3***: *The number of required PRBs can be lowered compared to the baseline scheme using the enhanced Type B transmission where the length of actual repetition is 16*  ***Observation 4****:* *The BLER performance can be improved by allocation of an additional DMRS symbol in the enhanced Type B transmission where the length of actual repetition is 16*  **Observation 5**: *BLER performance can be improved with optimized MCS and nearly 2 dB gain can be obtained over the baseline scheme in the enhanced Type B transmission where the length of actual repetition is 16*  ***Observation 6: Relying solely on repetitions to meet PUSCH coverage can have the following shortcomings:***  ***1) A non-narrow band frequency allocation, thus reducing the TB’s power spectral density***  ***2) An increase of latency required to transmit the TB/reach the required HARQ operating point***  ***3) Increased cell load, which may come at the cost of other service types or users in the cell.***  **This contribution proposes to support the following enhancements**  ***Proposal 1:Support DMRS placement in a special slot which can be bundled with DMRS in the adjacent uplink slot***  ***Proposal 2:Support frequency hopping pattern which contains bundled DMRS in the same hop.***  ***Proposal 3: Support DMRS bundling for repetition type A and B***  ***Proposal 4: Support the use of uplink symbols in the adjacent special slot to extend duration of PUSCH***  ***Proposal 5* : *Support enhanced Type B repetition where the length of a repetition is larger than 14 symbols***  ***Proposal 6: Support repetition of PUSCH over non-consecutive slots***  ***Proposal 7: Support TB scheduling over consecutive slots in the time domain without repetition***  ***Proposal 8 Support TB encoding for transmission of coded TB segments mapped over multiple slots***  ***Proposal 9: Support partial TB retransmission for TBs transmitted over a multi-slot PUSCH***  ***Proposal 10: Support methods to minimize MPR of the waveform*** |

### [25] R1-2008559 NTT DOCOMO

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| ***Proposal 1: Extension of PUSCH repetition to support non-consecutive slots can be one of the potential techniques for PUSCH coverage enhancement.***  ***Proposal 2: More efficient utilization of partial slot with next full slot for PUSCH can be one of the potential techniques for PUSCH coverage enhancement.***  ***Proposal 3: High PSD (small number of PRBs) with high coding rate may have advantage for coverage performance, and additional PRB unit with smaller number of subcarriers (e.g. half PRB with 6 subcarriers) can be one of the potential techniques for PUSCH coverage enhancement.***  ***Proposal 4: Frequency hopping with multiple frequency offsets can be one of the potential techniques for PUSCH coverage enhancement.***  ***Proposal 5: Denser DM-RS mapping (e.g., 2 for DM-RS symbol duration, and pos3 for additional DM-RS symbol position) can be expected for enhancement of coverage performance.***  ***Proposal 6: Cross-slot channel estimation with non-consecutive PUSCH slots should be also considered for the performance evaluation.*** |

### [26] R1-2008626 Qualcomm

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| ***Proposal 1: For enhancing the coverage of PUSCH, consider techniques for UE transmit waveform design that allow further reduction in the MPR values for DFT-S-OFDM and CP-OFDM waveforms. In particular, consider tone reservation principle for DFT-s-OFDM and CP-OFDM waveforms to further reduce PAPR.***  ***Proposal 2: Consider DMRS bundling technique for coverage enhancement in Rel-17.***  ***Observation: Using appropriate DMRS configuration could provide up to 1.7dB gain per fixed MCS and tens of percent of TPUT increase at cell edge scenarios for PUSCH.***  ***Proposal 3: Introduce a new mechanism for dynamic DMRS configuration signaling to enable DMRS adaptation in Rel-17.***  ***Proposal 4: Consider the following signaling options for dynamic DMRS configuration***   * ***Option 1: Dynamic MAC-CE based activation and complementary DCI based selection of one of the activated DMRS configuration options.*** * ***Option 2: Single active DMRS configuration option that is dynamically activated by MAC-CE to adopt DMRS configuration to channel and SNR conditions.***   ***Proposal 5: Introduce a new mechanism for dynamic signaling of the transmission scheme to enable waveform adaptation to reception conditions associated with PUSCH transmissions of a UE.***  ***Proposal 6: Consider the following options for dynamic implicit signaling of the transmission scheme for PUSCH:***   * ***Option 1: Dynamic MAC-CE based activation of the active MCS table. Different RRC configured MCS tables are associated with different waveforms and the activated MCS table provides an implicit indication of the associated waveform.*** * ***Option 2: To define a new hybrid MCS table that will contain a combination of MCSs from MCS tables for DFT-S-OFDM and CP-OFDM transmission schemes while different MCS index ranges will be associated with different waveforms. MSC index indicated for a PUSCH grant will be used as an implicit signaling for the transmission scheme.***   ***Proposal 7: Consider TBS scaling and optimization across multiple slots for PUSCH coverage enhancement in Rel-17.*** |

### [27] R1-2008700 NICT

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| ***Observation 1: DFT-s-OFDM is better waveform from viewpoint of reduction of PAPR than CP-OFDM.***  ***Observation 2: The use of Tx diversity is effective to reduce required SNR in PUSCH with DFT-s-OFDM at BLER of 10%. It could contribute to realizing coverage enhancement.***  ***Proposal 1: DFT-s-OFDM is better waveform from viewpoint of reduction of PAPR than CP-OFDM.***  ***Proposal 2: Tx diversity should be introduced in order to realize the reduction of necessary fading margin for coverage enhancement.***  ***Proposal 3: The use of DFT-s-OFDM with Tx diversity should be one of the approaches for coverage enhancement.*** |

### [28] R1-2008703 Nokia, Nokia Shanghai Bell

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| ***Observation 1. The coverage for data channel can be improved by using qam64-LowSE MCS index table (table 3), which enables lower code rate as compared to its 256QAM and 64QAM counterparts.***  ***Observation 2. For a fixed number of PRBs, using the lowest possible MCS index, which still guarantees the target throughput, can extend the cell coverage.***  ***Observation 3. The coverage of PUSCH can be enhanced by identifying the optimal combination of number of allocated PRBs and MCS index for PUSCH to meet the throughput target.***  ***Observation 4. The maximum number of repetitions for PUSCH repetition type A in release 15 is sufficient for FDD deployment.***  ***Observation 5. The PUSCH repetition type B can be used to cope with the cancellation due to DL/UL collision in TDD deployment. Therefore, the consideration of counting repetition numbers based on non-consecutive slots for PUSCH repetition type A may not be needed.***  ***Observation 6. There is a tradeoff between the time domain diversity gain from PUSCH repetition and the low coding rate gain brought by the potential TB processing over multiple slots. The applicability of this solution in TDD deployment is limited.***  ***Observation 7. The potential advantage of introducing early termination of PUSCH repetition and/or more RVs is unclear and, if any at system-level, likely absent at link-level.***  ***Observation 8. In TDD deployments, the coverage of PUSCH can be significantly enhanced by simply considering the frame structure that maximizes PUSCH coverage while ensuring that DL target throughput is met.***  ***Observation 9. Inter-slot frequency hopping with inter-slot bundling to enable cross-slot channel estimation can be further considered following the outcome of the discussion on cross-slot channel estimation solution.***  ***Observation 10. Specific enhancement for PUSCH repetition type B in frequency domain is not needed. However, generic enhancements agreed in frequency domain, if any, can be considered for PUSCH repetition type B.***  ***Observation 11. Intra-slot frequency hopping can help to improve PUSCH coverage. DMRS overhead can be reduced thanks to cross-slot joint channel estimation/channel information sharing.***  ***Observation 12. Introducing sub-PRB transmission may be beneficial for coverage, in case of low data rate applications.***  ***Observation 13. Cross-slot channel estimation and DMRS-less PUSCH transmission require several constraints to be applicable in practice.***  ***Observation 14. Extending the spectral shaping for QPSK is a potential solution to reduce MPR and to improve UL PUSCH coverage.***  ***Observation 15. FDSS without spectrum extension (defined for pi/2 BPSK in Rel-15) is not beneficial for QPSK due to the lack of significant gain in terms of CM and PAR.***  ***Observation 16. FDSS with spectrum extension is a potential candidate for shaping with QPSK because it can reduce both CM and PAR efficiently.***  ***Observation 17. The Output Back-Off at PA of the original QPSK waveform is reduced by 1.0-1.7 dB by applying FDSS with spectral extension.***  ***Observation 18. At the PRB allocations of interest for coverage, the OBO difference between pi/2 BPSK FDSS and QPSK FDSS with spectral extension is 0-0.3 dB.***  ***Observation 19. Link performance of QPSK with extend FDSS is comparable to the original QPSK waveform without FDSS.***  ***Observation 20. Link performance of QPSK with extend FDSS is always better compared to the pi/2 BPSK with FDSS.***  ***Observation 21. Coverage of the original QPSK waveform can be improved up to 2 dB by applying FDSS with spectral extension.***  ***Observation 22. At the PRB allocations of interest for coverage, the QPSK FDSS with spectral extension provides in most cases better, and the rest of the cases at least comparable coverage compared to pi/2 BPSK FDSS.***  ***Proposal 1. In the TR of Rel-17 NR coverage enhancement SI, the following observation should be captured: The coverage for data channel can be improved by using qam64-LowSE MCS index table (table 3), which yields more MCS indices with lower code rate as compared to its 256QAM and 64QAM counterparts, especially in scenarios with low(er) throughput requirements.***  ***Proposal 2. In the TR of Rel-17 NR coverage enhancement SI, the following observation should be captured: The coverage of PUSCH can be enhanced by identifying the optimal combination of number of allocated PRBs and MCS index for PUSCH to meet the throughput target.***  ***Proposal 3. The available features in NR Releases 15 and 16 should be considered when discussing work items for NR coverage enhancement.***  ***Proposal 4. The enhancement on PUSCH repetition type B, e.g. actual repetition across the slot boundary, or the length of actual repetition larger than 14 symbols, is in the same category and should be discussed together with TB processing over multiple slots for PUSCH. The PUSCH coverage enhancement based on PUSCH repetition type B framework should be avoided.***  ***Proposal 5. DMRS balancing should be considered for the design of new DMRS enhancement solutions.***  ***Proposal 6. The FDSS with spectral extension for QPSK is considered as potential solution to reduce MPR and to improve UL PUSCH coverage.*** |

### [29] R1-2008729 WILUS

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| ***Proposal 1: Discuss detailed methods about actual repetition across the slot boundary and the length of actual repetition larger than 14 symbols in WI phase.***  ***Proposal 2: Discuss options about RE calculation that extended to multiple slots in WI phase.***  ***-Redesign calculation***  ***-Redesign calculation***  ***Proposal 3: Discuss determination of frequency hopping boundary based on time domain coverage enhancement in WI phase.*** |

### [30] R1-2008743 Mitsubishi Electric

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| ***Proposal 1: Alamouti-based transmit diversity is supported for PUSCH with DFTsOFDM.*** |