**3GPP TSG RAN WG1 #100bis-e R1-20xxxxx**

**e-Meeting, 20th – 30th April, 2020**

**Agenda item:** 7.2.11

**Source:** Moderator (NTT DOCOMO, INC.)

**Title:** Summary on email discussion [100b-e-NR-UEFeatures-Remaining] NR TEI

**Document for:** Discussion and Decision

1. Introduction

This contribution summarizes the following email discussion in AI 7.2.11 regarding Rel-16 NR UE features.

[100b-e-NR-UEFeatures-Remaining] Email discussion/approval of remaining issues (especially the one identified as low priority items in FL’s summaries) starting no earlier than 4/30 till next meeting – Hiroki (DCM)/Ralf (ATT)

Companies are encouraged to check further updates for UE features list based on R1-2003073 shown below and provide feedback if any. Please note that the target of this email discussion is to reflect agreeable updates rather than solving any controversial discussion point. If there is any controversial discussion point, it should be discussed in the next RAN1 meeting.

1. NR TEI

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| Features | Index | Feature group | Components | Prerequisite feature groups | Need for the gNB to know if the feature is supported | Applicable to the capability signalling exchange between UEs (V2X WI only)”. | **Consequence if the feature is not supported by the UE** | **Type**  **(the ‘type’ definition from UE features should be based on the granularity of 1) Per UE or 2) Per Band or 3) Per BC or 4) Per FS or 5) Per FSPC)** | Need of FDD/TDD differentiation | Need of FR1/FR2 differentiation | Capability interpretation for mixture of FDD/TDD and/or FR1/FR2 | Note | Mandatory/Optional |
| 14. NR TEI | 14-1 | Multiple LTE-CRS rate matching patterns | 1. Maximum number of LTE-CRS rate matching patterns in total within a NR carrier using 15 kHz SCS 2. Maximum number of LTE-CRS non-overlapping rate matching patterns within a NR carrier using 15 kHz SCS | 5-28 (Rate-matching around LTE CRS) | Yes | N/A |  | Per band | N/A | N/A (FR1 only) | N/A | For DSS  The number of the additional CRS rate matching patterns reported in Rel-16 is accounted in the total number of rate matching pattern reported by the UE for Rel-15 by using pdsch-RE-MappingFR1-PerSymbol/pdsch-RE-MappingFR1-PerSlot and pdsch-RE-MappingFR1-PerSymbol/pdsch-RE-MappingFR1-PerSlot  UE reporting component 1 for 14-1 also reports component 2.  Reporting of values of Component 1 larger than three is only applicable when reporting values of Component 2 larger than one. | Optional with capability signalling  Component 1:{2, 3, 4, 5, 6}  Component 2: {1, 2, 3} |
| 14. NR TEI | 14-1a | Two LTE-CRS overlapping rate matching patterns within a part of NR carrier using 15 kHz overlapping with a LTE carrier | 1. Support of two LTE-CRS overlapping rate matching patterns within a part of NR carrier using 15 kHz SCS overlapping with a LTE carrier | 14-1 (indicating component 1 value larger than component 2 value),  16-2 (mTRP support) | Yes | N/A |  | Per band | N/A | N/A (FR1 only) | N/A | For DSS  The number of the additional CRS rate matching patterns reported in Rel-16 is accounted in the total number of rate matching pattern reported by the UE for Rel-15 by using pdsch-RE-MappingFR1-PerSymbol/pdsch-RE-MappingFR1-PerSlot and pdsch-RE-MappingFR1-PerSymbol/pdsch-RE-MappingFR1-PerSlot | Optional with capability signaling |
| 14. NR TEI | 14-2 | PDSCH Type B mapping of length 9 and 10 OFDM symbols | 1. Indicates whether the UE supports PDSCH Type B scheduling of length 9 and 10 OFDM symbols with DMRS shift due to CRS collision | 5-6a (PDSCH mapping type B) | Yes | N/A |  | FFS: [Per band or Per UE] | [N/A or No] | [N/A or No] (FR1 only) | N/A | For DSS  FG10-8 covers PDSCH type B mapping without DMRS shift due to CRS collision. | FFS: [Mandatory with capability signailng or Optional with capability signaling] |
| 14. NR TEI | 14-3 | One slot periodic TRS configuration for FR1 | 1. UE can be configured with one-slot periodic TRS configuration only when no two consecutive slots are indicated as downlink slots by tdd-UL-DL-ConfigurationCommon or tdd-UL-DL-ConfigDedicated | 2-51 (CSI-RS for tracking) | Yes | N/A | No TRS can be configured when no two consecutive slots are indicated as downlink slots by tdd-UL-DL-ConfigurationCommon or tdd-UL-DL-ConfigDedicated | Per band | N/A (TDD only) | N/A (FR1 only) | N/A | UE can be configured with one-slot periodic TRS configuration only when no two consecutive slots are indicated as downlink slots by tdd-UL-DL-ConfigurationCommon or tdd-UL-DL-ConfigDedicated.  FFS: relationship with maxBurstLength for FG2-51 | Optional with capability signalling |
| 14. NR TEI | 14-4 | SRS Tx switch with allowing downgrading configuration | 1) Support SRS Tx port switch  [2) Report whether the uplink Tx switching impact to downlink receiving in a band]  [3) Report whether the UL Tx is switched together with UL Tx in another band]  [Define affected DL and UL bands by using txSwitchImpactToRx and txSwitchWithAnotherBand for the new (downgraded) entries] | 2-55 | Yes | N/A |  | FFS: [Per BC or Per FS or Per FSPC] | N/A | N/A | N/A | Agreement:  •Rel-16 UE capability design for SRS antenna switching in conjunction with the existing Rel-15 UE capability should allow UE to indicate support of one of the following combinations  o{t1r1, t1r2}  o{t1r1, t1r2, t1r4}  o{t1r1, t1r2, t2r2, t2r4}  o{t1r1, t2r2}  o{t1r1, t2r2, t4r4}  o{t1r1, t1r2, t2r2, t1r4, t2r4}  oNote: Detailed signaling design is up to RAN2  FFS: whether components 2 and 3 are necessary or not | Optional with capability signalling  Component 1: Candidate value set:  {  o{t1r1, t1r2}  o{t1r1, t1r2, t1r4}  o{t1r1, t1r2, t2r2, t2r4}  o{t1r1, t2r2}  o{t1r1, t2r2, t4r4}  o{t1r1, t1r2, t2r2, t1r4, t2r4}  }  Component2: Candidate value set: {yes, no}  Component 3: Candidate value set: {yes, no} |
| 14. NR TEI | 14-5 | Half-duplex UE behaviour in TDD CA for same SCS | 1. Support for directional collision handling between reference and other cell(s) for half-duplex operation in CA with same SCS | 6-5, 6-6, simultaneousRxTxInterBandCA not supported | Yes | N/A |  | FFS: [Per BC or Per FS or Per UE] | [N/A or No] (TDD only) | [N/A or Yes or No] | [N/A] | Half duplex UEs that do not indicate this capability should still be able to operate half-duplex TDD CA (i.e. simultaneousRxTxInterBandCA not supported) per Rel15 specifications if network ensures same transmission direction across all the serving cells | FFS: [Mandatory with capability signaling for intra-band CA band and for inter-band CA in band combination without RAN4 FG 2-5 capability or Optional with capability signaling] |
| 14. NR TEI | [14-5a] | Half-duplex UE behaviour in TDD CA with different SCS | 1. Support for directional collision handling between reference and other cell(s) for half-duplex operation in CA with different SCS | TBD | Yes | N/A |  | FFS: [Per BC or Per FS or Per UE] | [N/A or No] (TDD only) | [N/A or Yes or No] | [N/A] | Half duplex UEs that do not indicate this capability should still be able to operate half-duplex TDD CA (i.e. simultaneousRxTxInterBandCA not supported) per Rel15 specifications if network ensures same transmission direction across all the serving cells | FFS: [Mandatory with capability signaling for intra-band CA band and for inter-band CA in band combination without RAN4 FG 2-5 capability or Optional with capability signaling] |
| 14. NR TEI | 14-6 | New RACH configuration for FR1 TDD | 1. new RACH configuration entries with subframe number 2 and/or 7 for RACH periodicity longer than 10 ms |  | No | N/A |  | N/A | N/A (TDD only) | N/A (FR1 only) | N/A | Agreement:  •A new UE capability is not introduced for this TEI, i.e., it is a mandatory UE feature for Rel-16. | Mandatory without capability signalling |
| 14. NR TEI | [14-7] | New capability for beamSwitchTiming values of 224 and 336 | [48 is used as the beam switching threshold for UEs reporting 224 or 336  When using sym224 and sym336, beamSwitchTiming indicates the minimum number of OFDM symbols between the DCI triggering of aperiodic CSI-RS and aperiodic CSI-RS transmission in a CSI-RS resource configured with repetition ‘ON’ to apply TCI indication in CSI-RS triggering DCI.] | TBD  [2-28] | Yes | N/A |  | Per band | N/A | N/A (FR2 only) | N/A | FFS: relationship with beamSwitchTiming for FG2-28  Agreements:  ・48 is used as the beam switching threshold for UEs reporting 224 or 336  When using the higher values of the feature (sym224 and sym336), beamSwitchTiming indicates the minimum number of OFDM symbols between the DCI triggering of aperiodic CSI-RS and aperiodic CSI-RS transmission in a CSI-RS resource configured with repetition ‘ON’ to apply TCI indication in CSI-RS triggering DCI. | Optional with capability signaling |
| 14. NR TEI | [14-8] | Active BWP when receiving the CSI triggering DCI and when receiving the associated CSI-RS | 1. For a given CSI report, whether UE supports to receive the CSI triggering DCI in a different active DL BWP from receiving the associated CSI-RS, in the carrier of the serving cell expecting to receive the associated CSI-RS. | TBD | Yes | N/A |  | Per UE | No | No | N/A |  | Optional with capability signaling |

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