**3GPP TSG-RAN4 Meeting #97-e *R4-2017157***

**Electronic meeting, Nov. 2-13, 2020**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.133** | **CR** | **DraftCR** | **rev** | **1** | **Current version:** | **16.5.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | CR on conditions for PRS based measurements | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | CATT, Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_pos-Perf | | | | |  | ***Date:*** | | | 2020-10-15 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | B |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | The conditions for NR PRS based measurements need to be defined when specifying the performance requirements for PRS based measurements in 38.133. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Introduce the conditions for NR PRS based measurements in 38.133. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | The conditions for NR PRS based measurements are missing. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | B.2.x, 3.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  |  | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  |  | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  |  | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

<Start of Change 1>

## B.2.x Conditions for NR PRS-based measurements

This clause defines the following conditions for NR PRS-based measurements and corresponding procedures performed based on PRS: PRP and PRS Ês/Iot, applicable for a corresponding operating band.

The conditions are defined in Table B.2.x-1 for FR1 NR cells.

The conditions are defined in Table B.2.x-2 for FR2 NR cells.

Table B.2.x-1: Conditions for NR PRS-based measurements in FR1

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Parameter | NR operating band groups Note1 | Minimum PRP1,2 | | | PRS Ês/Iot |
| dBm / SCSPRS | | | dB |
| SCSPRS = 15 kHz | SCSPRS = 30 kHz | SCSPRS = 60 kHz |
| Conditions | NR\_FDD\_FR1\_A, NR\_TDD\_FR1\_A, NR\_SDL\_FR1\_A | -127 | -124 | -121 | ≥ -6 Note2  ≥ -13 Note3 |
| NR\_FDD\_FR1\_B | -126.5 | -123.5 | -120.5 |
| NR\_TDD\_FR1\_C | -126 | -123 | -120 |
| NR\_FDD\_FR1\_D, NR\_TDD\_FR1\_D | -125.5 | -122.5 | -119.5 |
| NR\_FDD\_FR1\_E, NR\_TDD\_FR1\_E | -125 | -122 | -119 |
| NR\_FDD\_FR1\_F | -124.5 | -121.5 | -118.5 |
| NR\_FDD\_FR1\_G | -124 | -121 | -118 |
| NR\_FDD\_FR1\_H | -123.5 | -120.5 | -117.5 |
| NOTE 1: NR operating band groups are defined in clause 3.5.2.  NOTE 2: PRS Ês/Iot for RSTD measurement reference cell PRS resource, FFS for PRS-RSRP and UE Rx-Tx.  NOTE 3: PRS Ês/Iot for RSTD measurement neighbor cell PRS resource, FFS for PRS-RSRP and UE Rx-Tx. | | | | | |

Table B.2.x-2: Conditions for NR PRS-based measurements in FR2

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | Angle of arrival | NR operating bands | Minimum PRP1,2 Note 2, Note 3 | | | | | PRS Ês/Iot |
| dBm / SCSPRS | | | | | dB |
| SCSPRS = 120 kHz | | | | SCSPRS = 60 kHz |
| UE power class | | | | UE power class |
| 1 | 2 | 3 | 4 | 1, 2, 3, 4 |
| **Conditions** | Rx Beam Peak | n257 | -128.3+Y1 | -113.8 | -112.1 | -127.8+Y4 | (Value for SCSPRS = 120 kHz) - 3dB | ≥ -6 Note4  ≥ -13 Note5 |
| n258 | -128.3+Y1 | -113.8 | -112.1 | -127.8+Y4 |
| n259 |  |  | -108.5 |  |
| n260 | -125.3+Y1 |  | -109.5 | -125.8+Y4 |
| n261 | -128.3+Y1 | -113.8 | -112.1 | -127.8+Y4 |
| Spherical coverage Note 1 | n257 | -120.3+Z1 | -102.8 | -101.2 | -118.8+Z4 | (Value for SCSPRS = 120 kHz) - 3dB | ≥ -6 Note4  ≥ -13 Note5 |
| n258 | -120.3+Z1 | -102.8 | -101.2 | -118.8+Z4 |
| n259 |  |  | -95.7 |  |
| n260 | -117.3+Z1 |  | -96.9 | -113.8+Z4 |
| n261 | -120.3+Z1 | -102.8 | -101.2 | -118.8+Z4 |
| NOTE 1: Values based on EIS spherical coverage as defined in clause 7.3.4 of TS 38.101-2 [19]. Side condition applies for directions in which EIS spherical coverage requirement is met.  NOTE 2: Values specified at the Reference point to give minimum PRS Ês/Iot, with no applied noise.  NOTE 3: For UEs that support multiple FR2 bands, Rx Beam Peak values are increased by ∆MBP,n and spherical coverage values are increased by ∆MBS,n, the UE multi-band relaxation factor in dB specified in clause 6.2.1 of TS 38.101-2 [19].  NOTE 4: PRS Ês/Iot for RSTD measurement reference cell PRS resource, FFS for PRS-RSRP and UE Rx-Tx.  NOTE 5: PRS Ês/Iot for RSTD measurement neighbor cell PRS resource, FFS for PRS-RSRP and UE Rx-Tx. | | | | | | | | |

*Editor’s notes for Table B.2.x-2:*

*- The value of Y for power classes 1 and 4 is FFS, where Y1 and Y4 are the rough/fine beam gain differences in Rx beam peak direction for power classes 1 and 4 respectively*

*- The value of Z for power classes 1 and 4 is FFS, where Z1 and Z4 are the rough/fine beam gain differences in spherical coverage directions for power classes 1 and 4 respectively*

<End of Change 1>

<Start of Change 2>

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [11] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [11].

AoA Angle of Arrival

AoD Angle of Departure

BFD Beam Failure Detection

BFD-RS BFD Reference Signal

BLER Block Error Rate

BM-RS Beam Management Reference Signal

BWP Bandwidth Part

CA Carrier Aggregation

CBD Candidate Beam Detection

CBW Channel Bandwidth

CC Component Carrier

CCA Clear Channel Assessment

CLI Cross Link Interference

CMR Channel Measurement Resource

CORESET Control Resource Set

CP Cyclic Prefix

CSI Channel-State Information

CSI-RS CSI Reference Signal

CSI-RSRP CSI Reference Signal based Reference Signal Received Power

CSI-RSRQ CSI Reference Signal based Reference Signal Received Quality

DC Dual Connectivity

DCI Downlink Control Information

DL Downlink

DL-AoD Downlink Angle-of-Departure

DL-TDOA Downlink Time Difference Of Arrival

DMRS Demodulation Reference Signal

DRX Discontinuous Reception

E-CID Enhanced Cell ID

E-UTRA Evolved UTRA

E-UTRAN Evolved UTRAN

EN-DC E-UTRA-NR Dual Connectivity

FDD Frequency Division Duplex

FR Frequency Range

HARQ Hybrid Automatic Repeat Request

HO Handover

IMR Interference Measurement Resource

L1-RSRP Layer 1 RSRP

L1 SL-RSRP Layer 1 Sidelink RSRP which corresponds to PSCCH-RSRP and/or PSSCH-RSRP

LMF Location Management Function

LPP LTE Positioning Protocol

MAC Medium Access Control

MCG Master Cell Group

MDT Minimization of Drive Tests

MG Measurement Gap

MGL Measurement Gap Length

MGRP Measurement Gap Repetition Period

MIB Master Information Block

MN Master Node

MR-DC Multi-Radio Dual Connectivity

NE-DC NR-E-UTRA Dual Connectivity

NGEN-DC NG-RAN E-UTRA-NR Dual Connectivity

NR New Radio

NR-DC NR-NR Dual Connectivity

OFDM Orthogonal Frequency Division Multiplexing

OFDMA Orthogonal Frequency Division Multiple Access

OTDOA Observed Time Difference Of Arrival

PBCH Physical Broadcast Channel

PCC Primary Component Carrier

PCell Primary Cell

PDCCH Physical Downlink Control Channel

PDSCH Physical Downlink Shared Channel

PLMN Public Land Mobile Network

PRACH Physical RACH

PRP PRS Received Power

PRS Positioning Reference Signal

PRS-RSRP Positioning Reference Signal based Reference Signal Received Power

PSBCH Physical Sidelink Broadcast Channel

PSBCH-RSRP Physical Sidelink Broadcast Channel DMRS based Reference Signal Received Power

PSCCH Physical Sidelink Control Channel

PSCCH-RSRP Physical Sidelink Control Channel DMRS based Reference Signal Received Power

PSCell Primary SCell

PSS Primary Synchronization Signal PSS Primary Synchronization Signal

PSSCH Physical Sidelink Shared Channel

PSSCH-RSRP Physical Sidelink Shared Channel DMRS based Reference Signal Received Power

pTAG Primary Timing Advance Group

PUCCH Physical Uplink Control Channel

PUSCH Physical Uplink Shared Channel

QCL Quasi Co-Location

RACH Random Access Channel

RAT Radio Access Technology

RLM Radio Link Monitoring

RLM-RS Reference Signal for RLM

RMSI Remaining Minimum System Information

RRC Radio Resource Control

RRM Radio Resource Management

RSSI Received Signal Strength Indicator

RSRP Reference Signal Received Power

RSRQ Reference Signal Received Quality

RSTD Reference Signal Time Difference

RTT Round Trip Time

S-SSB Sidelink Synchronization Signal Block

S-SSB\_RP Received (linear) average power of the resource elements that carry NR S-SSB signals and channels, measured at the UE antenna connector

SA Standalone operation mode

SCC Secondary Component Carrier

SCell Secondary Cell

SCG Secondary Cell Group

SCS Subcarrier Spacing

SCSSSB SSB subcarrier spacing

SDL Supplementary Downlink

SFN System Frame Number

SFTD SFN and Frame Timing DifferenceSI System Information

SIB System Information Block

SL-RSSI Sidelink Received Signal Strength Indicator

SLSS Sidelink Synchronization Signal

SMTC SSB-based Measurement Timing configuration

SpCell Special Cell

SRS Sounding Reference Signal

SRS-RSRP Sounding Reference Signal based Reference Signal Received Power

SS-RSRP Synchronization Signal based Reference Signal Received Power

SS-RSRQ Synchronization Signal based Reference Signal Received Quality

SS-SINR Synchronization Signal based Signal to Noise and Interference Ratio

SSB Synchronization Signal Block

SSB\_RP Received (linear) average power of the resource elements that carry NR SSB signals and channels, measured at the UE antenna connector.

SSS Secondary Synchronization Signal

sTAG Secondary Timing Advance Group

SUL Supplementary Uplink

TA Timing Advance

TAG Timing Advance Group

TCI Transmission Configuration Indicator

TDD Time Division Duplex

TDOA Time Difference Of Arrival

TRP Transmission-Reception Point

TTI Transmission Time Interval

UE User Equipment

UL Uplink

<End of Change 2>