**3GPP TSG- Meeting #**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  |  | **CR** |  | **rev** | 1 | **Current version:** |  |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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| ***Title:*** |  | | | | | | | | | |
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| ***Source to WG:*** |  | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
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| ***Work item code:*** |  | | | | |  | ***Date:*** | | | 0 |
|  |  | | | |  | |  | | |  |
| ***Category:*** |  |  | | | | | ***Release:*** | | |  |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | For a UE configured for 2L UL:   1. Agreement that emissions requirements apply at a UE level are captured in Rel-16, but not in Rel-15   (See R4-2014256 for further details. See also R4-2011762 and CR433) | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | 1. Introduction of wording changes for consistency with Rel-16 on emissions requirement 2. Redirection clause clarification | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Incorrect requirements remain | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **x** |  | Test specifications | | | | TS38.521-1... | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

< Start of changes >

## 6.2D Transmitter power for UL MIMO

### 6.2D.1 UE maximum output power for UL MIMO

For power class 2 UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the maximum output power for any transmission bandwidth within the channel bandwidth is specified in Table 6.2D.1-1. The requirements shall be met with the UL MIMO configurations specified in Table 6.2D.1-2. For UE supporting UL MIMO, the maximum output power is defined as the sum of the maximum output power from both UE antenna connectors. The period of measurement shall be at least one sub frame (1 ms).

The requirements shall be met with the UL MIMO configurations of using 2-layer UL MIMO transmission with codebook of. DCI Format for UE configured in PUSCH transmission mode for uplink single-user MIMO shall be used.

Table 6.2D.1-1: UE Power Class for UL MIMO in closed loop spatial multiplexing scheme

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| NR band | Class 1 (dBm) | Tolerance (dB) | Class 2 (dBm) | Tolerance (dB) | Class 3 (dBm) | Tolerance (dB) | Class 4 (dBm) | Tolerance (dB) |
| n41 |  |  | 26 | +2/-31 | 23 | +2/-31 |  |  |
| n77 |  |  | 26 | +2/-3 | 23 | +2/-3 |  |  |
| n78 |  |  | 26 | +2/-3 | 23 | +2/-3 |  |  |
| n79 |  |  | 26 | +2/-3 | 23 | +2/-3 |  |  |
| NOTE 1: The transmission bandwidths confined within FUL\_low and FUL\_low + 4 MHz or FUL\_high – 4 MHz and FUL\_high, the maximum output power requirement is relaxed by reducing the lower tolerance limit by 1.5 dB  NOTE 2: Power class 3 is the default power class unless otherwise stated | | | | | | | | |

Table 6.2D.1-2: UL MIMO configuration in closed-loop spatial multiplexing scheme

|  |  |  |
| --- | --- | --- |
| Transmission scheme | DCI format | Codebook Index |
| Codebook based uplink | DCI format 0\_1 | Codebook index 0 |

If UE is scheduled for single antenna-port PUSCH transmission by DCI format 0\_0 or by DCI format 0\_1 for single antenna port codebook based transmission, the requirements in clause 6.2.1 apply.

### 6.2D.2 UE maximum output power reduction for UL MIMO

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the allowed Maximum Power Reduction (MPR) for the maximum output power in Table 6.2D.1-1 is specified in Table 6.2.2-1. The requirements shall be met with UL MIMO configurations defined in Table 6.2D.1-2. For UE supporting UL MIMO, the maximum output power is defined as the sum of the maximum output power afrom both UE antenna connector.

For the UE maximum output power modified by MPR, the power limits specified in clause 6.2D.4 apply.

If UE is scheduled for single antenna-port PUSCH transmission by DCI format 0\_0 or by DCI format 0\_1 for single antenna port codebook based transmission, the requirements in clause 6.2.2 apply.

### 6.2D.3 UE additional maximum output power reduction for UL MIMO

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the A-MPR values specified in clause 6.2.3 shall apply to the maximum output power specified in Table 6.2D.1-1. The requirements shall be met with the UL MIMO configurations specified in Table 6.2D.1-2. For UE supporting UL MIMO, the maximum output power is defined as the sum of the maximum output power from both UE antenna connector. Unless stated otherwise, an A-MPR of 0 dB shall be used.

For the UE maximum output power modified by A-MPR, the power limits specified in clause 6.2D.4 apply.

If UE is scheduled for single antenna-port PUSCH transmission by DCI format 0\_0 or by DCI format 0\_1 for single antenna port codebook based transmission, the requirements in clause 6.2.3 apply.

### 6.2D.4 Configured transmitted power for UL MIMO

For UE supporting UL MIMO, the transmitted power is configured per each UE.

The definitions of configured maximum output power PCMAX,*c*, the lower bound PCMAX\_L,*c*, and the higher bound PCMAX\_H,*c* specified in clause 6.2.4 shall apply to UE supporting UL MIMO, where

- PPowerClass, ΔPPowerClass and ∆TC,c are specified in clause 6.2D.1;

- MPRc is specified in clause 6.2D.2;

- A-MPRc is specified in clause 6.2D.3.

The measured configured maximum output power PUMAX,*c* for serving cell *c* shall be within the following bounds:

PCMAX\_L,*c*– MAX{TL, T LOW(PCMAX\_L,*c*)} ≤ PUMAX,*c* ≤ PCMAX\_H,*c*+ T HIGH(PCMAX\_H,*c*)

where TLOW(PCMAX\_L,*c*) and THIGH(PCMAX\_H,*c*) are defined as the tolerance and applies to PCMAX\_L,*c* and PCMAX\_H,*c* separately, while TL is the absolute value of the lower tolerance in Table 6.2D.1-1 for the applicable operating band.

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the tolerance is specified in Table 6.2D.4-1. The requirements shall be met with UL MIMO configurations specified in Table 6.2D.1-2.

Table 6.2D.4-1: PCMAX,*c* tolerance in closed-loop spatial multiplexing scheme

|  |  |  |
| --- | --- | --- |
| PCMAX,*c*(dBm) | Tolerance TLOW(PCMAX\_L,*c*) (dB) | Tolerance THIGH(PCMAX\_H,*c*) (dB) |
| PCMAX,*c* = 26 | 3.0 | 2.0 |
| 23 ≤ PCMAX,*c* < 26 | 3.0 | 2.0 |
| 22 ≤ PCMAX,*c* < 23 | 5.0 | 2.0 |
| 21 ≤ PCMAX,*c* < 22 | 5.0 | 3.0 |
| 20 ≤ PCMAX,*c* < 21 | 6.0 | 4.0 |
| 16 ≤ PCMAX,*c* < 20 | 5.0 | |
| 11 ≤ PCMAX,*c* < 16 | 6.0 | |
| -40 ≤ PCMAX,*c* < 11 | 7.0 | |

If UE is scheduled for single antenna-port PUSCH transmission by DCI format 0\_0 or by DCI format 0\_1 for single antenna port codebook based transmission, the requirements in clause 6.2.4 apply.

<End of changes>

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## 6.3D Output power dynamics for UL MIMO

### 6.3D.1 Minimum output power for UL MIMO

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the minimum output power is defined as the sum of the mean power at each transmit connector in one sub-frame (1 ms). The minimum output power shall not exceed the values specified in Table 6.3.1-1.

If UE is scheduled for single antenna-port PUSCH transmission by DCI format 0\_0 or by DCI format 0\_1 for single antenna port codebook based transmission, the requirements in clause 6.3.1 apply

### 6.3D.2 Transmit OFF power for UL MIMO

The transmit OFF power is defined as the mean power at each transmit antenna connector in a duration of at least one sub-frame (1 ms) excluding any transient periods.

The transmit OFF power at each transmit antenna connector shall not exceed the values specified in Table 6.3.2-1.

### 6.3D.3 Transmit ON/OFF time mask for UL MIMO

For UE supporting UL MIMO, the ON/OFF time mask requirements in clause 6.3.3 apply at each transmit antenna connector.

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the general ON/OFF time mask requirements specified in clause 6.3.3.1 apply to each transmit antenna connector. The requirements shall be met with the UL MIMO configurations described in clause 6.2D.1.

If UE is scheduled for single antenna-port PUSCH transmission by DCI format 0\_0 or by DCI format 0\_1 for single antenna port codebook based transmission, the requirements in clause 6.3.3 apply.

### 6.3D.4 Power control for UL MIMO

For UE supporting UL MIMO, the power control tolerance applies to the sum of output power at each transmit antenna connector.

The power control requirements specified in clause 6.3.4 apply to UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme. The requirements shall be met with UL MIMO configurations described in clause 6.2D.1.

If UE is scheduled for single antenna-port PUSCH transmission by DCI format 0\_0 or by DCI format 0\_1 for single antenna port codebook based transmission, the requirements in clause 6.3.4 apply

< End of change >



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## 6.5D Output RF spectrum emissions for UL MIMO

### 6.5D.1 Occupied bandwidth for UL MIMO

For UE supporting UL MIMO, the requirements for occupied bandwidth apply to the sum of the powers from both UE transmit antenna connectors The occupied bandwidth is defined as the bandwidth containing 99 % of the total integrated mean power of the transmitted spectrum on the assigned channel.

For UE with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the occupied bandwidth at each transmitter antenna shall be less than the channel bandwidth specified in table 6.5.1-1. The requirements shall be met with UL MIMO configurations described in clause 6.2D.1.

If UE is scheduled for single antenna-port PUSCH transmission by DCI format 0\_0 or by DCI format 0\_1 for single antenna port codebook based transmission, the requirements in clause 6.5.1 apply

### 6.5D.2 Out of band emission for UL MIMO

For UE supporting UL MIMO, the requirements for Out of band emissions resulting from the modulation process and non-linearity in the transmitters is defined as the sum of the emissions from both UE transmit antenna connectors.

For UEs with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the requirements in subclause 6.5.2 apply. The requirements shall be met with UL MIMO configurations described in clause 6.2D.1.

If UE is scheduled for single antenna-port PUSCH transmission by DCI format 0\_0 or by DCI format 0\_1 for single antenna port codebook based transmission, the requirements in clause 6.5.2 apply.

### 6.5D.3 Spurious emission for UL MIMO

For UE supporting UL MIMO, the requirements for Spurious emissions which are caused by unwanted transmitter effects such as harmonics emission, parasitic emissions, intermodulation products and frequency conversion products are specified at each transmit antenna connector.

For UEs with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the requirements specified in subclause 6.5.3 apply. The requirements shall be met with the UL MIMO configurations described in clause 6.2D.1.

If UE is configured for transmission on single-antenna port, the requirements in clause 6.5.3 apply.

### 6.5D.4 Transmit intermodulation for UL MIMO

For UE supporting UL MIMO, the transmit intermodulation requirements are specified at each transmit antenna connector and the wanted signal is defined as the sum of output powers from both transmit antenna connector.

For UEs with two transmit antenna connectors in closed-loop spatial multiplexing scheme, the requirements specified in clause 6.5.4 apply to each transmit antenna connector. The requirements shall be met with the UL MIMO configurations described in clause 6.2D.1.

If UE is scheduled for single antenna-port PUSCH transmission by DCI format 0\_0 or by DCI format 0\_1 for single antenna port codebook based transmission, the requirements in clause 6.5.4 apply.

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