3GPP TSG-RAN WG4 Meeting # 96-e R4-2012257

Electronic Meeting, 17 August – 28 August, 2020

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
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|  | **38.133** | **CR** | **1043** | **rev** | **2** | **Current version:** | **16.4.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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|  |
| ***Title:***  | CR on introduction of intra-frequency measurements requirements for NR-U |
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| ***Source to WG:*** | Huawei, HiSilicon |
| ***Source to TSG:*** | R4 |
|  |  |
| ***Work item code:*** | NR\_unlic-Core |  | ***Date:*** | 2020-07-08 |
|  |  |  |  |  |
| ***Category:*** | **B** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | Resubmission of endorsed CR R4-2008581.Introdction of intra-frequency measurements requirements for NR-U  |
|  |  |
| ***Summary of change:*** | * Introdction of intra-frequency measurements requirements for NR-U based on the discussion of previous meetings.
* Remove the changes on changes.
* Add intra-frequency RSSI/CO requirements.
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| ***Consequences if not approved:*** | The corresponding requirements of NR-U is incomplete. |
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| ***Clauses affected:*** | 9.2A |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **x** |  Other core specifications  |  |
| ***affected:*** |  | **x** |  Test specifications |  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications |   |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

### <Start of Change 1>

## 9.2A NR intra-frequency measurements with CCA

### 9.2A.1 Introduction

The requirements in section 9.2.A apply for intra-frequency measurements on carrier frequency with CCA.

A measurement is defined as a SSB based intra-frequency measurement provided the centre frequency of the SSB of the serving cell indicated for measurement and the centre frequency of the SSB of the neighbour cell are the same, and the subcarrier spacing of the two SSBs are also the same.

The UE shall be able to identify new intra-frequency cells and perform SS-RSRP, SS-RSRQ, and SS-SINR measurements of identified intra-frequency cells if carrier frequency information is provided by PCell or the PSCell, even if no explicit neighbour list with physical layer cell identities is provided.

The UE can perform intra-frequency SSB based measurements without measurement gaps if

- the SSB is completely contained in the active BWP of the UE, or

- the active downlink BWP is initial BWP[3].

For intra-frequency SSB based measurements without measurement gaps, UE may cause scheduling restriction as specified in clause 9.2A.5.3.

SSB based measurements are configured along with one or two measurement timing configuration(s) (SMTC(s)) which provides periodicity, duration and offset information on a window of up to 5ms where the measurements are to be performed. For intra-frequency connected mode measurements, up to two measurement window periodicities may be configured. A single measurement window offset and measurement duration are configured per intra-frequency measurement object.

When measurement gaps are needed, the UE is not expected to detect SSB which start earlier than the gap starting time + switching time, nor detect SSB which end later than the gap end – switching time. Switching time is 0.5ms。

### 9.2A.2 Requirements applicability

The requirements in clause 9.2A apply, provided:

- The cell being identified or measured is detectable.

An intra-frequency cell shall be considered detectable when for each relevant SSB:

- SS-RSRP related side conditions given in clauses TBD, for a corresponding Band,

- SS-RSRQ related side conditions given in clauses TBD, for a corresponding Band,

- SS-SINR related side conditions given in clauses TBD, for a corresponding Band,

- SSB\_RP and SSB Ês/Iot according to TBD for a corresponding Band.

### 9.2A.3 Number of cells and number of SSB

For each intra-frequency layer, during each layer 1 measurement period, the UE shall be capable of performing SS-RSRP, SS-RSRQ, and SS-SINR measurements for at least:

- 8 identified cells, and

- 14 SSBs with different SSB index and/or PCI on the intra-frequency layer, where the number of SSBs in the serving cell (except for the SCell) is not smaller than the number of configured RLM-RS SSB resources.

### 9.2A.4 Measurement Reporting Requirements

9.2A.4.1 Periodic Reporting

Reported RSRP, RSRQ, and RS-SINR measurements contained in periodically triggered measurement reports shall meet the requirements in clauses TBD.

9.2A.4.2 Event-triggered Periodic Reporting

Reported RSRP, RSRQ, and RS-SINR measurements contained in periodically triggered measurement reports shall meet the requirements in clauses TBD.

The first report in event triggered periodic measurement reporting shall meet the requirements specified in clause 9.2A.4.3.

9.2A.4.3 Event Triggered Reporting

Reported RSRP, RSRQ, and RS-SINR measurements contained in periodically triggered measurement reports shall meet the requirements in clauses TBD.

The UE shall not send any event triggered measurement reports as long as no reporting criteria is fulfilled.

The measurement reporting delay is defined as the time between an event that will trigger a measurement report and the point when the UE starts to transmit the measurement report over the air interface. This requirement assumes that the measurement report is not delayed by other RRC signalling on the DCCH. This measurement reporting delay excludes a delay uncertainty resulted when inserting the measurement report to the TTI of the uplink DCCH. The delay uncertainty is: 2 x TTIDCCH. This measurement reporting delay excludes a delay which caused by no UL resources being available for UE to send the measurement report on, and all delays due to UL CCA failures until the successful transmission of the report..

The event triggered measurement reporting delay, measured without L3 filtering shall be less than T identify intra with index\_CCA or T identify intra without index\_CCA defined in clause 9.2A.5.1 or clause 9.2A.6.2.When L3 filtering is used an additional delay can be expected.

A cell is detectable only if at least one SSBs measured from the Cell being configured remains detectable during the time period T identify\_intra\_without\_index\_CCA or T identify\_intra\_with\_index\_CCA as defined in clause 9.2A.5.1 or clause 9.2A.6.2. If a cell which has been detectable at least for the time period T identify intra without index\_CCA or T identify intra with index\_CCA defined in clause 9.2A.5.1 or clause 9.2A.6.2 becomes undetectable for a period≤ 8 seconds and then the cell becomes detectable again with the same spatial reception parameter and triggers an event, the event triggered measurement reporting delay shall be less than TSSB\_measurement\_period\_intra\_CCA provided the timing to that cell has not changed more than ± 3200 Tc while the measurement gap has not been available and the L3 filter has not been used. When L3 filtering is used, an additional delay can be expected.

### 9.2A.5 Intra-frequency measurements without measurement gaps

9.2A.5.1 Intra-frequency cell identification

The UE shall be able to identify a new detectable intra frequency cell within Tidentify\_intra\_without\_index\_CCA if UE is not indicated to report SSB based RRM measurement result with the associated SSB index(*reportQuantityRsIndexes* or *maxNrofRSIndexesToReport* is not configured), or the UE is indicated that the neighbour cell is synchronous with the serving cell (*deriveSSB-IndexFromCell* is enabled). Otherwise UE shall be able to identify a new detectable intra frequency cell within Tidentify\_intra\_with\_index\_CCA. The UE shall be able to identify a new detectable intra frequency SS block of an already detected cell within Tidentify\_intra\_without\_index\_CCA.

Tidentify\_intra\_without\_index\_CCA = (TPSS/SSS\_sync\_intra\_CCA + T SSB\_measurement\_period\_intra\_CCA) ms

Tidentify\_intra\_with\_index CCA = (TPSS/SSS\_sync\_intra\_CCA + T SSB\_measurement\_period\_intra\_CCA + TSSB\_time\_index\_intra\_CCA) ms

Where:

 TPSS/SSS\_sync\_intra\_CCA: it is the time period used in PSS/SSS detection given in table 9.2A.5.1-1, 9.2A.5.1-3 (deactivated Scell) .

 TSSB\_time\_index\_intra\_CCA: it is the time period used to acquire the index of the SSB being measured given in table 9.2A.5.1-2 or 9.2A.5.1-4 (deactivated SCell).

 T SSB\_measurement\_period\_intra\_CCA: equal to a measurement period of SSB based measurement given in table 9.2A.5.2-1, 9.2A.5.2-2 (deactivated Scell). CSSFintra: it is a carrier specific scaling factor and is determined

- according to CSSFoutside\_gap,i in clause 9.1.5.1 for measurement conducted outside measurement gaps, i.e. when intra-frequency SMTC is fully non overlapping or partially overlapping with measurement gaps, or according to CSSFwithin\_gap,i in clause 9.1.5.2 for measurement conducted within measurement gaps, i.e. when intra-frequency SMTC is fully overlapping with measurement gaps.

 When intra-frequency SMTC is fully non overlapping with measurement gaps or intra-frequency SMTC is fully overlapping with MGs, Kp=1

 When intra-frequency SMTC is partially overlapping with measurent gaps, Kp = 1/(1- (SMTC period /MGRP)), where SMTC period < MGRP.

If SCG DRX is in use, intra-frequency cell identification requirements specified in Table 9.2A.5.1-1, Table 9.2A.5.1-2, Table 9.2A.5.1-3, and Table 9.2A.5.1-4 shall depend on the SCG DRX cycle. Otherwise, the requirements for when DRX is not in use shall apply.

The requirements apply provided any two closest SSB occasions available at the UE for the measurement shall be separated by no more than the maximum time requirement for the cell to remain known defined in clause 9.2A.4.3.

**Table 9.2A.5.1-1: Time period for PSS/SSS detection**

|  |  |
| --- | --- |
| **Condition** | **TPSS/SSS\_sync\_intra**\_CCA |
| No DRX | max( 600ms, ceil((5+LPSS/SSS) x Kp) x SMTC period)Note 1 x CSSFintra |
| DRX cycle≤ 320ms | max( 600ms, ceil(1.5x (5+LPSS/SSS) x Kp) x max(SMTC period,DRX cycle)) x CSSFintra |
| DRX cycle>320ms | ceil((5+LPSS/SSS) x Kp) x DRX cycle x CSSFintra |
| NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identifiedNOTE 2: LPSS/SSS is the number of SMTC occasions not available at the UE during TPSS/SSS\_sync\_intra\_CCA for PSS/SSS detection, where LPSS/SSS< LPSS/SSS,max. NOTE 3: LPSS/SSS,max =7 for Max(DRX cycle,SMTC period)≤40ms where DRX cycle is 0 for non-DRX, LPSS/SSS,max =5 for 40ms<Max(DRX cycle,SMTC period)≤320ms, LPSS/SSS,max = 3 for DRX cycle>320ms.NOTE 4: Upon exceeding LPSS/SSS,max, the UE is not required to meet the requirements for PSS/SSS detection. |

**Table 9.2A.5.1-2: Time period for time index detection**

|  |  |
| --- | --- |
| **Condition** | **TSSB\_time\_index\_intra**\_CCA |
| No DRX | max(120ms, ceil((3+Lind) x Kp )x SMTC period)Note 1 x CSSFintra |
| DRX cycle≤ 320ms | max(120ms, ceil (1.5 x (3+Lind) x Kp) x max(SMTC period,DRX cycle)) x CSSFintra |
| DRX cycle>320ms | Ceil((3+Lind) x Kp) x DRX cycle x CSSFintra |
| NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identifiedNOTE 2: Lind is the number of SMTC occasions not available at the UE during TSSB\_time\_index\_intra\_CCA for index detection, where Lind ≤ Lind,max.NOTE 3: Lind,max = [5] for Max(DRX cycle,SMTC period)≤40ms where DRX cycle is 0 for non-DRX, Lind,max = [3] for 40ms<Max(DRX cycle,SMTC period)≤320ms, Lind,max =TBD for DRX cycle>320ms.NOTE 4: Upon exceeding Lind,max over the period of time TSSB\_time\_index\_intra\_CCA, the UE has to restart the time index detection procedure. |

**Table 9.2A.5.1-3: Time period for PSS/SSS detection, deactivated SCell**

|  |  |
| --- | --- |
| **Condition** | **TPSS/SSS\_sync\_intra**\_CCA |
| No DRX | (5 + LPSS/SSS,deact) x measCycleSCell x CSSFintra |
| DRX cycle≤ 320ms | (5 + LPSS/SSS, deact) x max(measCycleSCell, 1.5xDRX cycle) x CSSFintra |
| DRX cycle>320ms | (5 + LPSS/SSS, deact) x max(measCycleSCell, DRX cycle) x CSSFintra |
| NOTE 1: LPSS/SSS, deact is the number of SMTC occasions not available at the UE during TPSS/SSS\_sync\_intra\_CCA for PSS/SSS detection, where LPSS/SSS, deact< LPSS/SSS, deact,max,NOTE 2: LPSS/SSS, deact,max, = 7 for Max(DRX cycle, measCycleSCell)≤40ms where DRX cycle is 0 for non-DRX, LPSS/SSS, deact,max = 5 for 40ms<Max(DRX cycle, measCycleSCell)≤320ms, LPSS/SSS, deact,max = 3 for DRX cycle>320ms.NOTE 3: Upon exceeding LPSS/SSS, deact,max,, the UE is not required to meet the requirements for PSS/SSS detection. |

**Table 9.2A.5.1-4: Time period for time index detection, deactivated SCell**

|  |  |
| --- | --- |
| **Condition** | **TSSB\_time\_index\_intra**\_CCA |
| No DRX | (3+Lind,deact) x measCycleSCell x CSSFintra |
| DRX cycle≤ 320ms |  (3+Lind,deact) x max(measCycleSCell, 1.5xDRX cycle) x CSSFintra |
| DRX cycle>320ms | (3+Lind,deact) x max(measCycleSCell, DRX cycle) x CSSFintra |
| NOTE 1: Lind,deact is the number of SMTC occasions not available at the UE during TSSB\_time\_index\_intra\_CCA for index detection, where Lind,deact < Lind,deact,max,NOTE 2: Lind,deact,max, = [5] for Max(DRX cycle, measCycleSCell)≤40ms where DRX cycle is 0 for non-DRX, Lind,deact,max = [3] for 40ms<Max(DRX cycle, measCycleSCell)≤320ms, Lind,deact,max = [2] for DRX cycle>320ms.NOTE 3: Upon exceeding Lind,deact,max over the period of time TSSB\_time\_index\_intra\_CCA,the UE has to restart the time index detection procedure. |

9.2A.5.2 Measurement period

The measurement period for intra-frequency measurements without gaps is as shown in table 9.2A.5.2-1, 9.2A.5.2-2 (deactivated SCell).

If SCG DRX is in use, intra-frequency measurement period requirements specified in Table 9.2A.5.2-1, Table 9.2A.5.2-2 shall depend on the SCG DRX cycle. Otherwise, the requirements for when DRX is not in use shall apply.

The requirements apply provided any two closest SSB occasions available at the UE for the measurement shall be separated by no more than the maximum time requirement for the cell to remain known defined in clause 9.2A.4.3.

When the time period of unsuccessful measurement attempts due to exceeding the max number of unavailable SMTC occasions of an already identified cell exceeds the maximum time requirement for the cell to remain known defined in clause 9.2A.4.3, UE shall stop the measurement attempts on this SSB perform the detection procedure again like for any other SSB.

**Table 9.2A.5.2-1: Measurement period for intra-frequency measurements without gaps**

|  |  |
| --- | --- |
| **Condition** | **T SSB\_measurement\_period\_intra**\_CCA |
| No DRX | max(200ms, ceil((5+Lmeas) x Kp) x SMTC period)Note 1 x CSSFintra |
| DRX cycle≤ 320ms | max(200ms, ceil(1.5x (5+Lmeas) x Kp) x max(SMTC period,DRX cycle)) x CSSFintra |
| DRX cycle>320ms | ceil((5+Lmeas) x Kp ) x DRX cycle x CSSFintra |
| NOTE 1: If different SMTC periodicities are configured for different cells, the SMTC period in the requirement is the one used by the cell being identifiedNOTE 2: Lmeas is the number of SMTC occasions not available at the UE during T SSB\_measurement\_period\_intra\_CCA for measurement, where Lmeas <Lmeas,max.NOTE 3: Lmeas,max = [7] for Max(DRX cycle,SMTC period)≤40ms where DRX cycle is 0 for non-DRX, Lmeas,max = [5] for 40ms<Max(DRX cycle,SMTC period)≤320ms, Lmeas,max = [3] for DRX cycle>320ms.NOTE 4: Upon exceeding Lmeas,max over the period of time T SSB\_measurement\_period\_intra\_CCA, the UE has to restart the measurement procedure. |

**Table 9.2A.5.2-2: Measurement period for intra-frequency measurements without gaps (deactivated SCell)**

|  |  |
| --- | --- |
| **Condition** | **T SSB\_measurement\_period\_intra**\_CCA |
| No DRX | (5+Lmeas,deact) x measCycleSCell x CSSFintra |
| DRX cycle≤ 320ms | (5+Lmeas, deact) x max(measCycleSCell, 1.5xDRX cycle) x CSSFintra |
| DRX cycle>320ms | (5+Lmeas, deact) x max(measCycleSCell, DRX cycle) x CSSFintra |
| NOTE 1: Lmeas,deact is the number of SMTC occasions not available at the UE during T SSB\_measurement\_period\_intra\_CCA for measurement, where Lmeas,deact <Lmeas, ,deact ,maxNOTE 2: Lmeas, ,deact ,max, = [7] for Max(DRX cycle, measCycleSCell)≤40ms where DRX cycle is 0 for non-DRX, Lmeas, ,deact ,max = [5] for 40ms<Max(DRX cycle, measCycleSCell)≤320ms, Lmeas, ,deact ,max = [3] for DRX cycle>320ms.NOTE 3: Upon exceeding Lmeas,deact,max over the period of time T SSB\_measurement\_period\_intra\_CCA, the UE has to restart the measurement procedure. |

9.2A.5.3 Scheduling availability of UE during intra-frequency measurements

UE shall be capable of measuring without measurement gaps when the SSB is completely contained in the active bandwidth part of the UE. When any of the conditions in the following clauses is met, there are restrictions on the scheduling availability; otherwise, there is no scheduling restriction. Note that the SSB symbols to be measured in the following clauses are the SSB symbols indicated by *SSB-ToMeasure* [2], if it is configured; otherwise, all *L* SSB symbols within SMTC window duration defined in clause 4.1 of TS 38.213 [3] are included.

##### 9.2A.5.3.1 Scheduling availability of UE performing measurements in TDD bands

When UE performs intra-frequency measurements in a TDD band, the following restrictions apply due to SS-RSRP or SS-SINR measurement

* The UE is not expected to transmit PUCCH/PUSCH/SRS on SSB symbols configured to be measured, and on 1 data symbol before each consecutive SSB symbols configured to be measured and 1 data symbol after each consecutive SSB symbols configured to be measured within SMTC window duration if *deriveSSB\_IndexFromCell* is enabled. If the high layer in TS 38.331[2] signaling of smtc2 is configured, the SMTC periodicity follows smtc2; Otherwise SMTC periodicity follows smtc1.
* The UE is not expected to transmit PUCCH/PUSCH/SRS on all symbols within SMTC window duration if *deriveSSB\_IndexFromCell* is not enabled. If the high layer in TS 38.331 [2] signaling of smtc2 is configured, the SMTC periodicity follows smtc2; Otherwise SMTC periodicity follows smtc1.

When the UE performs intra-frequency measurements in a TDD band, the following restrictions apply due to SS-RSRQ measurement

* - The UE is not expected to transmit PUCCH/PUSCH/SRS on SSB symbols configured to be measured, RSSI measurement symbols, and on 1 data symbol before each consecutive SSB configured to be measured/RSSI symbols and 1 data symbol after each consecutive SSB configured to be measured/RSSI symbols within SMTC window duration if *deriveSSB\_IndexFromCell* is enabled. If the high layer signaling of smtc2 is configured (in TS 38.331), the SMTC periodicity follows smtc2; Otherwise the SMTC periodicity follows smtc1.

The UE is not expected to transmit PUCCH/PUSCH/SRS on all symbols within SMTC window duration if *deriveSSB\_IndexFromCell* is not enabled. If the high layer in TS 38.331 signaling of smtc2 is configured, the SMTC periodicity follows smtc2; Otherwise SMTC periodicity follows smtc1.

When intra-band carrier aggregation in unlicensed spectrum is performed, the scheduling restrictions due to a given serving cell should also apply to all other serving cells in the same band on the symbols that fully or partially overlap with the aforementioned restricted symbols

9.2A.5.3.2 Scheduling availability of UE performing measurements with a different subcarrier spacing than PDSCH/PDCCH

For UE which do not support *simultaneousRxDataSSB-DiffNumerology* [14] the following restrictions apply due to SS-RSRP/RSRQ/SINR measurement

- If *deriveSSB\_IndexFromCell* is enabled the UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/TRS/CSI-RS for CQI on SSB symbols to be measured, and on 1 data symbol before each consecutive SSB symbols to be measured and 1 data symbol after each consecutive SSB symbols to be measured within SMTC window duration.

- If *deriveSSB\_IndexFromCell* is not enabled the UE is not expected to transmit PUCCH/PUSCH/SRS or receive PDCCH/PDSCH/TRS/CSI-RS for CQI on all symbols within SMTC window duration.

When intra-band carrier aggregation is performed, the scheduling restrictions due to a given serving cell should also apply to all other serving cells in the same band on the symbols that fully or partially overlap with aforementioned restricted symbols.

### 9.2A.6 Intra-frequency measurements with measurement gaps

9.2A.6.1 Intra-frequency cell identification

The UE shall be able to identify a new detectable intra frequency cell within Tidentify\_intra\_without\_index\_CCA if UE is not indicated to report SSB based RRM measurement result with the associated SSB index (*reportQuantityRsIndexes* or *maxNrofRSIndexesToReport* is not configured), or the UE has been indicated that the neighbour cell is synchronous with the serving cell (*deriveSSB-IndexFromCell* is enabled). Otherwise UE shall be able to identify a new detectable intra frequency cell within Tidentify\_intra\_with\_index\_CCA. The UE shall be able to identify a new detectable intra frequency SS block of an already detected cell within Tidentify\_intra\_without\_index CCA.

 Tidentify\_intra\_without\_index CCA = TPSS/SSS\_sync\_intra\_CCA + T SSB\_measurement\_period\_intra\_CCA ms

 Tidentify\_intra\_with\_index\_CCA = TPSS/SSS\_sync\_intra\_CCA + T SSB\_measurement\_period\_intra\_CCA + TSSB\_time\_index\_intra\_CCA

Where:

 TPSS/SSS\_sync\_intra\_CCA: it is the time period used in PSS/SSS detection given in table 9.2A.6.1-1.

 TSSB\_time\_index\_intra\_CCA: it is the time period used to acquire the index of the SSB being measured given in table 9.2A.6.1-2.

 T SSB\_measurement\_period\_intra\_CCA: equal to a measurement period of SSB based measurement given in table 9.2A.6.2-1 or 9.2A.6.1-3. CSSFintra: it is a carrier specific scaling factor and is determined according to CSSFwithin\_gap,i in clause 9.1.5.2 for measurement conducted within measurement gaps.

If SCG DRX is in use, intra-frequency cell identification requirements specified in Table 9.2A.6.1-1 and Table 9.2A.6.1-2 shall depend on the SCG DRX cycle. Otherwise, the requirements for when DRX is not in use shall apply.

The requirements apply provided any two closest SSB occasions available at the UE for the measurement shall be separated by no more than the maximum time requirement for the cell to remain known defined in clause 9.2A.4.3.

**Table 9.2A.6.1-1: Time period for PSS/SSS detection**

|  |  |
| --- | --- |
| **Condition** | **TPSS/SSS\_sync\_intra**\_CCA |
| No DRX  | max(600ms, (5+LPSS/SSS,gaps) x max(MGRP, SMTC period)) x CSSFintra |
| DRX cycle≤ 320ms  | max(600ms, ceil(1.5x (5+LPSS/SSS,gaps)) x max(DRX cycle, MGRP, SMTC period)) x CSSFintra |
| DRX cycle>320ms | (5+LPSS/SSS,gaps) x (MGRP, DRX cycle) x CSSFintra |
| NOTE 1: LPSS/SSS,gaps is the number of SMTC occasions not available at the UE during TPSS/SSS\_sync\_intra\_CCA for PSS/SSS detection, where LPSS/SSS,gaps <LPSS/SSS,gaps,max..NOTE 2: LPSS/SSS,gaps,max, =7 for Max(DRX cycle, SMTC period, MGRP)≤40ms where DRX cycle is 0 for non-DRX, LPSS/SSS,gaps,max =5 for 40ms<Max(DRX cycle, SMTC period, MGRP)≤320ms, LPSS/SSS,gaps,max =3 for DRX cycle>320ms.NOTE 3: Upon exceeding LPSS/SSS,gaps,max, the UE is not required to meet the requirements for PSS/SSS detection. |

**Table 9.2A.6.1-2: Time period for time index detection**

|  |  |
| --- | --- |
| **Condition** | **TSSB\_time\_index\_intra**\_CCA |
| No DRX  | max(120ms, (3+Lind,gaps) x max(MGRP, SMTC period)) x CSSFintra |
| DRX cycle≤ 320ms  | max(120ms, ceil(1.5x (3+Lind,gaps)) x max(MGRP, SMTC period,DRX cycle) x CSSFintra) |
| DRX cycle>320ms | (3+Lind,gaps) x (MGRP, DRX cycle) x CSSFintra |
| NOTE 1: Lind,gaps is the number of SMTC occasions not available at the UE during TSSB\_time\_index\_intra\_CCA forindex detection where Lind,gaps < Lind,gaps,max..NOTE 2: Lind,gaps,max, = [5] for Max(DRX cycle, SMTC period, MGRP)≤40ms where DRX cycle is 0 for non-DRX, Lind,gaps,max = [3] for 40ms<Max(DRX cycle, SMTC period, MGRP)≤320ms, Lind,gaps,max = [2] for DRX cycle>320ms.NOTE 3: Upon exceeding Lind,gaps,max over the TSSB\_time\_index\_intra\_CCA period of time, the UE has to restart the time index detection procedure. |

9.2A.6.2 Intra-frequency Measurement Period

The measurement period for intra-frequency measurements with gaps is as shown in table 9.2A.6.2-1.

If SCG DRX is in use, intra-frequency measurement period requirements specified in Table 9.2A.6.2-1 shall depend on the SCG DRX cycle. Otherwise, the requirements for when DRX is not in use shall apply.

The requirements apply provided any two closest SSB occasions available at the UE for the measurement shall be separated by no more than the maximum time requirement for the cell to remain known defined in clause 9.2A.4.3.

When the time period of unsuccessful measurement attempts due to exceeding the max number of unavailable SMTC occasions of an already identified cell exceeds the maximum time requirement for the cell to remain known defined in clause 9.2A.4.3, UE shall stop the measurement attempts on this SSB and perform the detection procedure again like for any other SSB.

**Table 9.2A.6.2-1: Measurement period for intra-frequency measurements with gaps**

|  |  |
| --- | --- |
| **Condition** | **T SSB\_measurement\_period\_intra**\_CCA |
| No DRX  | max(200ms, (5+Lmeas,gaps) x max(MGRP, SMTC period)) x CSSFintra |
| DRX cycle≤ 320ms  | max(200ms, ceil(1.5x (5+Lmeas,gaps)) x max(MGRP, SMTC period,DRX cycle))x CSSFintra |
| DRX cycle>320ms | (5+Lmeas,gaps) x (MGRP, DRX cycle) x CSSFintra |
| NOTE 1: Lmeas,gaps is the number of SMTC occasions not available at the UE during TSSB\_time\_index\_intra\_CCA for measurement where Lmeas,gaps < Lmeas,gaps,max.NOTE 2: Lmeas,gaps,max = [7] for Max(DRX cycle, SMTC period, MGRP)≤40ms where DRX cycle is 0 for non-DRX, Lmeas,gaps,max = [5] for 40ms<Max(DRX cycle, SMTC period, MGRP)≤320ms, Lmeas,gaps,max = [3] for DRX cycle>320ms.NOTE 3: Upon exceeding Lmeas,gaps,max over the T SSB\_measurement\_period\_intra\_CCAperiod of time, the UE has to restart the measurement procedure. |

### 9.2A.7 Intra-frequency RSSI and Channel occupancy measurements

9.2A.7.1 Intra-frequency RSSI measurements

An RSSI measurement is defined as an intra-frequency measurement provided that the RSSI measurement bandwidth is fully contained within the current carrier bandwidth of the UE.

The UE physical layer shall be capable of performing the RSSI measurements, defined in TS 38.215 [4] on one or more serving carriers operating with CCA, TS 37.213 [33], if the carrier(s) are indicated by higher layers [2], and report the RSSI measurements to higher layers. The UE physical layer shall provide to higher layers a single RSSI sample for each OFDM symbol within each configured RSSI measurement duration [2] occurring with a configured RSSI measurement timing configuration periodicity [2], *rmtc-Periodicity*.

The measurement period for intra-frequency RSSI measurements without measurement gaps is as shown in Table 9.2A.7.1-1 and Table 9.2A.7.1-2. The measurement period for intra-frequency RSSI measurements with measurement gaps is as shown in Table 9.2A.7.1-3.

**Table 9.2A.7.1-1: Measurement period for intra-frequency RSSI measurements without measurement gaps when SMTC and RMTC are overlapping**

|  |  |
| --- | --- |
| **Condition NOTE1,2** | **T RSSI\_measurement\_period\_intra\_cca** |
| No DRX | max(*reportInterval*, *rmtc-Periodicity*\*CSSFoutside\_gap,i) |
| DRX | max(*reportInterval*, max(*rmtc-Periodicity*, DRX cycle) \*CSSFoutside\_gap,i) |
| NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1NOTE 2: CSSFoutside\_gap, i is a carrier specific scaling factor and is determined according to CSSFwithin\_gap,i in clause 9.1.5.1 for measurement conducted outside measurement gap. |

**Table 9.2A.7.1-2: Measurement period for intra-frequency RSSI measurements without measurement gaps when SMTC and RMTC are not overlapping**

|  |  |
| --- | --- |
| **Condition NOTE1,2** | **T RSSI\_measurement\_period\_intra\_cca** |
| No DRX | max(*reportInt*erval, Nintra-MO\**rmtc-Periodicity*) |
| DRX | max(*reportInt*erval, Nintra-MO\*max(*rmtc-Periodicity*, DRXcycle length)) |
| NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1NOTE 2: Nintra-MO is defined as the number of measurement objects that can be measured without gaps |

**Table 9.2A.7.1-3: Measurement period for intra-frequency RSSI measurements with measurement gaps**

|  |  |
| --- | --- |
| **Condition NOTE1,2** | **T RSSI\_measurement\_period\_intra\_cca** |
| No DRX | max(*reportInterval*, max(*rmtc-Periodicity, MGRP*) x CSSFintra) |
| DRX | max(*reportInterval*, max(*rmtc-Periodicity*, MGRP,DRX cycle length) x CSSFintra) |
| NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1NOTE 2: CSSFintra is a carrier specific scaling factor and is determined according to CSSFwithin\_gap,i in clause 9.1.5.2 for measurement conducted within measurement gaps. |

If the UE requires measurement gaps to perform intra-frequency measurements, a single measurement gap pattern is used for all concurrent intra-frequency measurements, including intra-frequency RSSI measurements. The RSSI measurement duration and the measurement gap should be aligned, and the following additional condition should be fulfilled:

Entire RSSI measurement duration should be contained in the measurement gap.

The RSSI measurement performed and reported according to this section shall meet the RSSI measurement accuracy requirement in Section TBD.

9.2A.7.2 Intra-frequency Channel occupancy measurements

The UE shall be capable of estimating the channel occupancy on one or more serving carrier frequencies indicated by higher layers [2], based on RSSI samples provided by the physical layer.

The measurement period for intra-frequency channel occupancy measurements without measurement gap is as shown in Table 9.2A.7.2-1 and Table 9.2A.7.1-2. The measurement period for intra-frequency RSSI measurements with measurement gaps is as shown in Table 9.2A.7.2-3.

**Table 9.2A.7.2-1: Measurement period for intra-frequency Channel Occupancy measurements without measurement gaps when SMTC and RMTC are overlapping**

|  |  |
| --- | --- |
| **Condition NOTE1,2** | **T RSSI\_measurement\_period\_intra\_cca** |
| No DRX | max(*reportInterval*, *rmtc-Periodicity*\*CSSFoutside\_gap,i) |
| DRX | max(*reportInterval*, max(*rmtc-Periodicity*, DRX cycle) \*CSSFoutside\_gap,i) |
| NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1NOTE 2: CSSFoutside\_gap, iis a carrier specific scaling factor and is determined according to CSSFwithin\_gap,i in clause 9.1.5.1 for measurement conducted outside measurement gap. |

**Table 9.2A.7.2-2: Measurement period for intra-frequency Channel Occupancy measurements without measurement gaps when SMTC and RMTC are not overlapping**

|  |  |
| --- | --- |
| **Condition NOTE1,2** | **T RSSI\_measurement\_period\_intra\_cca** |
| No DRX | max(*reportInt*erval, Nintra-MO\**rmtc-Periodicity*) |
| DRX | max(*reportInt*erval, Nintra-MO\*max(*rmtc-Periodicity*, DRXcycle length)) |
| NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1NOTE 2: Nintra-MO is defined as the number of measurement objects that can be measured without gaps |

**Table 9.2A.7.2-3: Measurement period for intra-frequency RSSI measurements with measurement gaps**

|  |  |
| --- | --- |
| **Condition NOTE1,2** | **T RSSI\_measurement\_period\_intra\_cca** |
| No DRX | max(*reportInterval*, max(*rmtc-Periodicity, MGRP*) x CSSFintra) |
| DRX | max(*reportInterval*, max(*rmtc-Periodicity*, MGRP,DRX cycle length) x CSSFintra) |
| NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1NOTE 2: CSSFintra is a carrier specific scaling factor and is determined according to CSSFwithin\_gap,i in clause 9.1.5.2 for measurement conducted within measurement gaps. |

If the UE requires measurement gaps to perform intra-frequency measurements, a single measurement gap pattern is used for all concurrent intra-frequency measurements, including intra-frequency RSSI measurements. The RSSI measurement duration and the measurement gap should be aligned, and the following additional condition should be fulfilled:

Entire RSSI measurement duration should be contained in the measurement gap.

The channel occupancy measurement performed and reported according to this section shall meet the channel occupancy measurement accuracy requirements in Section TBD.

9.2A.7.3 Scheduling restriction during RSSI and Channel Occupancy measurements

When the UE performs intra-frequency RSSI/CO measurements in unlicensed spectrum, the following restrictions apply due to RSSI/CO measurements:

- The UE is not expected to transmit PUCCH/PUSCH/SRS on RSSI measurement symbols configured by RMTC.

When intra-band carrier aggregation in unlicensed spectrum is performed, the scheduling restrictions due to a given serving cell should also apply to all other serving cells in the same band on the symbols that fully or partially overlap with the aforementioned restricted symbols.

*Editor’s notes: FFS whether it is necessary to include the restriction on 1 data symbol before the first RSSI measurement symbol configured by RMTC, and 1 data symbol after the last RSSI measurement symbol configured by RMTC*

### <End of Change 1>