**3GPP TSG-RAN4 Meeting #95-eR4-2009099**

**Electronic meeting, 25th May – 5th June, 2020**

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| *CR-Form-v11.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.133** | **CR** | **0884** | **rev** | **-** | **Current version:** | **16.3.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | CR on inter-frequency CSI-RS L3 measurement requirement | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | OPPO | | | | | | | | | |
| ***Source to TSG:*** | RAN4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_CSIRS\_L3meas-Core | | | | |  | | ***Date:*** | | 2020-5-25 |
|  |  | | | |  | | |  | |  |
| ***Category:*** | **B** |  | | | | | | ***Release:*** | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | CSI-RS L3 measurement requirements should be introduced in Rel-16. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Introduce CSI-RS based inter-frequency measurement requirements:  9.x.x Inter frequency cell identification  9.x.x Inter frequency measurements  9.x.x NR Inter frequency measurements reporting requirements | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | CSI-RS inter-frequency measurements requirements are missing. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | Section 9.x | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | |  | | | |
| ***Other specs*** | |  | **X** | Other core specifications | | |  | | | |
| ***affected:*** | | **X** |  | Test specifications | | | TS 38.521-3 | | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | |  | | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |

<< Start of Change #1>>

### 9.3.4 Inter frequency cell identification

When measurement gaps are provided, or the UE supports capability of conducting such measurements without gaps, the UE shall be able to identify a new detectable SSB based inter frequency cell within TSSB\_identify\_inter\_without\_index if UE is not indicated to report SSB based RRM measurement result with the associated SSB index (*reportQuantityRsIndexes* or *maxNrofRSIndexesToReport* is not configured). Otherwise UE shall be able to identify a new detectable inter frequency cell within T SSB\_identify\_inter\_with\_index. The UE shall be able to identify a new detectable inter frequency SS block of an already detected cell within T SSB\_identify\_inter\_without\_index.

T SSB\_identify\_inter\_without\_index = (TPSS/SSS\_sync\_inter + T SSB\_measurement\_period\_inter) ms

T SSB\_identify\_inter\_with\_index = (TPSS/SSS\_sync\_inter + T SSB\_measurement\_period\_inter + TSSB\_time\_index\_inter) ms

When measurement gaps are provided, or the UE supports capability of conducting such measurements without gaps, the UE shall be able to identify a new detectable CSI-RS based inter frequency cell within TCSI-RS\_identify\_inter\_without\_index if UE is not indicated to report CSI-RS based RRM measurement result with the associated SSB index (*reportQuantityRsIndexes* or *maxNrofRSIndexesToReport* is not configured). Otherwise UE shall be able to identify a new detectable CSI-RS based inter frequency cell within T CSI-RS\_identify\_inter\_with\_index.

T CSI-RS\_identify\_inter\_without\_index = (TPSS/SSS\_sync\_inter + T CSI-RS\_measurement\_period\_inter) ms

T CSI-RS\_identify\_inter\_with\_index = (TPSS/SSS\_sync\_inter + T CSI-RS\_measurement\_period\_inter + TSSB\_time\_index\_inter) ms

Where:

TPSS/SSS\_sync\_inter: it is the time period used in PSS/SSS detection given in table 9.3.4-1 and table 9.3.4-2.

TSSB\_time\_index\_inter: it is the time period used to acquire the index of the SSB being measured given in table 9.3.4-3 and table 9.3.4-4.

TSSB\_measurement\_period\_inter: equal to a measurement period of SSB based measurement given in table 9.3.5-1 and table 9.3.5-2.

TCSI-RS\_measurement\_period\_inter: equal to a measurement period of SSB based measurement given in table 9.3.5-3 and table 9.3.5-4.

Mpss/sss\_sync\_inter: For a UE supporting FR2 power class 1, Mpss/sss\_sync\_inter = 64 samples. For a UE supporting FR2 power class 2, Mpss/sss\_sync\_inter = 40 samples. For a UE supporting FR2 power class 3, Mpss/sss\_sync\_inter = 40 samples. For a UE supporting FR2 power class 4, Mpss/sss\_sync\_inter = 40 samples.

MSSB\_index\_inter: For a UE supporting FR2 power class 1, MSSB\_index\_inter = 40 samples. For a UE supporting FR2 power class 2, MSSB\_index\_inter = 24 samples. For a UE supporting FR2 power class 3, MSSB\_index\_inter = 24 samples. For a UE supporting FR2 power class 4, MSSB\_index\_inter = 24 samples.

Mmeas\_period\_inter: For a UE supporting FR2 power class 1, Mmeas\_period\_inter =64 samples. For a UE supporting FR2 power class 2, Mmeas\_period\_inter=40 samples. For a UE supporting FR2 power class 3, Mmeas\_period\_inter =40 samples. For a UE supporting FR2 power class 4, Mmeas\_period\_inter = 40 samples.

CSSFinter: it is a carrier specific scaling factor and is determined according to CSSFwithin\_gap,i in clause 9.1.5.2 for measurement conducted within measurement gaps.

**Table 9.3.4-1: Time period for PSS/SSS detection (Frequency range FR1)**

|  |  |
| --- | --- |
| **Condition NOTE1,2** | **TPSS/SSS\_sync\_inter** |
| No DRX | Max(600ms, 8 × Max(MGRP, SMTC period)) × CSSFinter |
| DRX cycle ≤ 320ms | Max(600ms, Ceil(8\*1.5) × Max(MGRP, SMTC period, DRX cycle)) × CSSFinter |
| DRX cycle > 320ms | 8 × DRX cycle × CSSFinter |
| NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1  NOTE 2: In EN-DC operation, the parameters, timers and scheduling requests referred to in clause 3.6.1 are for the secondary cell group. The DRX cycle is the DRX cycle of the secondary cell group. | |

**Table 9.3.4-2: Time period for PSS/SSS detection, (Frequency range FR2)**

|  |  |
| --- | --- |
| **Condition NOTE1,2** | **TPSS/SSS\_sync\_inter** |
| No DRX | Max(600ms, Mpss/sss\_sync\_inter × Max(MGRP, SMTC period)) × CSSFinter |
| DRX cycle ≤ 320ms | Max(600ms, (1.5 × Mpss/sss\_sync\_inter) × Max(MGRP, SMTC period, DRX cycle)) × CSSFinter |
| DRX cycle > 320ms | Mpss/sss\_sync\_inter × DRX cycle × CSSFinter |
| NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1  NOTE 2: In EN-DC operation, the parameters, timers and scheduling requests referred to in clause 3.6.1 are for the secondary cell group. The DRX cycle is the DRX cycle of the secondary cell group. | |

**Table 9.3.4-3: Time period for time index detection (Frequency range FR1)**

|  |  |
| --- | --- |
| **Condition NOTE1,2** | **TSSB\_time\_index\_inter** |
| No DRX | Max(120ms, 3 × Max(MGRP, SMTC period)) × CSSFinter |
| DRX cycle ≤ 320ms | Max(120ms, Ceil(3 × 1.5) × Max(MGRP, SMTC period, DRX cycle)) × CSSFinter |
| DRX cycle > 320ms | 3 × DRX cycle × CSSFinter |
| NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1  NOTE 2: In EN-DC operation, the parameters, timers and scheduling requests referred to in clause 3.6.1 are for the secondary cell group. The DRX cycle is the DRX cycle of the secondary cell group. | |

**Table 9.3.4-4: Time period for time index detection (Frequency range FR2)**

|  |  |
| --- | --- |
| **Condition NOTE1,2** | **TSSB\_time\_index\_inter** |
| No DRX | Max(200ms, MSSB\_index\_inter × Max(MGRP, SMTC period)) × CSSFinter |
| DRX cycle ≤ 320ms | Max(200ms, (1.5 × MSSB\_index\_inter) × Max(MGRP, SMTC period, DRX cycle)) × CSSFinter |
| DRX cycle > 320ms | MSSB\_index\_inter × DRX cycle × CSSFinter |
| NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1  NOTE 2: In EN-DC operation, the parameters, timers and scheduling requests referred to in clause 3.6.1 are for the secondary cell group. The DRX cycle is the DRX cycle of the secondary cell group. | |

#### 9.3.4.1 Void

#### 9.3.4.2 Void

### 9.3.5 Inter frequency measurements

When measurement gaps are provided for inter frequency measurements, or the UE supports capability of conducting such measurements without gaps, the UE physical layer shall be capable of reporting SS-RSRP, SS-RSRQ and SS-SINR measurements to higher layers with measurement accuracy as specified in sub-clauses 10.1.4, 10.1.5, 10.1.9, 10.1.10, 10.1.14 and 10.1.15, respectively, as shown in table 9.3.5-1 and 9.3.5-2:

**Table 9.3.5-1: Measurement period for inter-frequency measurements with gaps (Frequency FR1)**

|  |  |
| --- | --- |
| **Condition NOTE1,2** | **T SSB\_measurement\_period\_inter** |
| No DRX | Max(200ms, 8 × Max(MGRP, SMTC period)) × CSSFinter |
| DRX cycle ≤ 320ms | Max(200ms, Ceil(8 × 1.5) × Max(MGRP, SMTC period, DRX cycle)) × CSSFinter |
| DRX cycle > 320ms | 8 × DRX cycle × CSSFinter |
| NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1  NOTE 2: In EN-DC operation, the parameters, timers and scheduling requests referred to in clause 3.6.1 are for the secondary cell group. The DRX cycle is the DRX cycle of the secondary cell group. | |

**Table 9.3.5-2: Measurement period for inter-frequency measurements with gaps (Frequency FR2)**

|  |  |
| --- | --- |
| **Condition NOTE1,2** | **T SSB\_measurement\_period\_inter** |
| No DRX | Max(400ms, Mmeas\_period\_inter × Max(MGRP, SMTC period)) × CSSFinter |
| DRX cycle ≤ 320ms | Max(400ms, (1.5 × Mmeas\_period\_inter) × Max(MGRP, SMTC period, DRX cycle)) × CSSFinter |
| DRX cycle > 320ms | Mmeas\_period\_inter × DRX cycle × CSSFinter |
| NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1  NOTE 2: In EN-DC operation, the parameters, timers and scheduling requests referred to in clause 3.6.1 are for the secondary cell group. The DRX cycle is the DRX cycle of the secondary cell group. | |

**Table 9.3.5-3: Measurement period for CSI-RS based inter-frequency measurements with gaps (Frequency FR1)**

|  |  |
| --- | --- |
| **Condition NOTE1,2** | **T CSI-RS\_measurement\_period\_inter** |
| No DRX | Max(200ms, 8 × Max(MGRP, CSI-RS period)) × CSSFinter |
| DRX cycle ≤ 320ms | Max(200ms, Ceil(8 × 1.5) × Max(MGRP, SMTC period, DRX cycle)) × CSSFinter |
| DRX cycle > 320ms | 8 × DRX cycle × CSSFinter |
| NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1  NOTE 2: In EN-DC operation, the parameters, timers and scheduling requests referred to in clause 3.6.1 are for the secondary cell group. The DRX cycle is the DRX cycle of the secondary cell group. | |

**Table 9.3.5-4: Measurement period for CSI-RS based inter-frequency measurements with gaps (Frequency FR2)**

|  |  |
| --- | --- |
| **Condition NOTE1,2** | **T CSI-RS\_measurement\_period\_inter** |
| No DRX | Max(400ms, Mmeas\_period\_inter × Max(MGRP, CSI-RS period)) × CSSFinter |
| DRX cycle ≤ 320ms | Max(400ms, (1.5 × Mmeas\_period\_inter) × Max(MGRP, SMTC period, DRX cycle)) × CSSFinter |
| DRX cycle > 320ms | Mmeas\_period\_inter × DRX cycle × CSSFinter |
| NOTE 1: DRX or non DRX requirements apply according to the conditions described in clause 3.6.1  NOTE 2: In EN-DC operation, the parameters, timers and scheduling requests referred to in clause 3.6.1 are for the secondary cell group. The DRX cycle is the DRX cycle of the secondary cell group. | |

#### 9.3.5.1 Void

#### 9.3.5.2 Void

#### 9.3.5.3 Void

<< End of Change #1>>

<< Start of Change #2>>

### 9.3.6 NR Inter frequency measurements reporting requirements

#### 9.3.6.1 Periodic Reporting

Reported SS-RSRP, SS-RSRQ, and SS-SINR measurements contained in periodically triggered measurement reports shall meet the requirements in clauses 10.1.4.1, 10.1.5.1, 10.1.9.1, 10.1.10.1, 10.1.14.1 and 10.1.15.1, respectively.

Reported CSI-RSRP, CSI-RSRQ, and CSI-SINR measurements contained in periodically triggered measurement reports shall meet the requirements in clauses 10.1.4.2, 10.1.5.2, 10.1.9.2, 10.1.10.2, 10.1.14.2 and 10.1.15.2, respectively.

#### 9.3.6.2 Event-triggered Periodic Reporting

Reported SS-RSRP, SS-RSRQ, and SS-SINR measurements contained in event triggered periodic measurement reports shall meet the requirements in clauses 10.1.4.1, 10.1.5.1, 10.1.9.1, 10.1.10.1, 10.1.14.1 and 10.1.15.1, respectively.

Reported CSI-RSRP, CSI-RSRQ, and CSI-SINR measurements contained in periodically triggered measurement reports shall meet the requirements in clauses 10.1.4.2, 10.1.5.2, 10.1.9.2, 10.1.10.2, 10.1.14.2 and 10.1.15.2, respectively.

The first report in event triggered periodic measurement reporting shall meet the requirements specified in clause 9.3.6.3.

#### 9.3.6.3 Event-triggered Reporting

Reported SS-RSRP, SS-RSRQ, and SS-SINR measurements contained in event triggered measurement reports shall meet the requirements in clauses 10.1.4.1, 10.1.5.1, 10.1.9.1, 10.1.10.1, 10.1.14.1 and 10.1.15.1, respectively.

Reported CSI-RSRP, CSI-RSRQ, and CSI-SINR measurements contained in periodically triggered measurement reports shall meet the requirements in clauses 10.1.4.2, 10.1.5.2, 10.1.9.2, 10.1.10.2, 10.1.14.2 and 10.1.15.2, respectively.

The UE shall not send any event triggered measurement reports, as long as no reporting criteria are fulfilled.

The measurement reporting delay is defined as the time between an event that will trigger a measurement report and the point when the UE starts to transmit the measurement report over the air interface. This requirement assumes that the measurement report is not delayed by other RRC signalling on the DCCH. This measurement reporting delay excludes a delay uncertainty resulted when inserting the measurement report to the TTI of the uplink DCCH. The delay uncertainty is: 2 × TTIDCCH. This measurement reporting delay excludes a delay which caused by no UL resources for UE to send the measurement report.

The event triggered measurement reporting delay, measured without L3 filtering shall be within TSSB\_identify\_inter\_without\_index or TCSI-RS\_identify\_inter\_without\_index if UE is not indicated to report SSB or CSI-RS based RRM measurement result with the associated SSB index, respectively. Otherwise UE shall be able to identify a new detectable inter frequency cell within T SSB\_identify\_inter\_with\_index or TCSI-RS\_identify\_inter\_with\_index. Tidentify\_inter\_without\_index, Tidentify\_inter\_with\_index, TCSI-RS\_identify\_inter\_without\_index and TCSI-RS\_identify\_inter\_with\_index are defined in clause 9.3.4.When L3 filtering is used an additional delay can be expected.

A cell is detectable only if at least one SSB measured from the cell being configured remains detectable during the time period Tidentify\_inter\_without\_index or Tidentify\_inter\_with\_index defined in clause 9.3.4. If a cell which has been detectable at least for the time period Tidentify\_inter\_without\_index or Tidentify\_inter\_with\_index defined in clause 9.3.4 becomes undetectable for a period ≤ 5 seconds and then the cell becomes detectable again with the same spatial reception parameter and then triggers the measurement report as per TS 38.331 [2], the event triggered measurement reporting delay shall be less than TSSB\_measurement\_period\_inter defined in clause 9.3.5 provided the timing to that cell has not changed more than ± 3200 Tc while measurement gap has not been available and the L3 filtering has not been used. When L3 filtering is used an additional delay can be expected.

<< End of Change #2>>