3GPP TSG-RAN WG4 Meeting #95-e R4-2008680

Electronic Meeting, 25 May – 5 Jun., 2020

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
|  |
|  | **38.133** | **CR** | **0668** | **rev** | **1** | **Current version:** | **16.3.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:***  | CR on uplink spatial relation switch delay (section 8.12) |
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| ***Source to WG:*** | Mediatek Inc. |
| ***Source to TSG:*** | R4 |
|  |  |
| ***Work item code:*** | NR\_newRAT-Core |  | ***Date:*** | 2020-06-02 |
|  |  |  |  |  |
| ***Category:*** | **B** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | Uplink spatial relation switch delay section is missing. |
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| ***Summary of change:*** | Uplink spatial relation switch delay are specified. |
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| ***Consequences if not approved:*** | The specification is not complete. |
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| ***Clauses affected:*** | 8.12 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** | **X** |  |  Test specifications | TS 38.533 |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

Start of Change

## 8.12 Uplink spatial relation switch delay

8.12.1 Introduction

The requirements in this clause apply for a UE configured with one or more spatial relation configurations on serving cell in MR-DC or standalone NR. There is no requirement when the UE is requested to switch to a spatial relation with the higher layer parameter *spatialRelationInfo* associated to SRS. UE shall complete the switch of active spatial relation within the delay defined in this clause when the UE is requested to switch to a spatial relation with the higher layer parameter *spatialRelationInfo* associated to a DL RS.

8.12.2 Known conditions for spatial relation when associated with DL-RS

The spatial relation associated to DL RS is known if the following conditions are met:

- During the period from the last transmission of the DL RS resource used for the L1-RSRP measurement reporting for the target spatial relation to the completion of active spatial relation, where the DL RS resource for L1-RSRP measurement is the DL RS in target spatial relation or QCLed to the target spatial relation with QCL type-D.

- Spatial relation switch command is received within 1280 ms upon the last transmission of the DL RS resource for beam reporting or measurement

- The UE has sent at least 1 L1-RSRP report for the target spatial relation before the spatial relation switch command

- The DL RS configured in spatial relation remains detectable during the spatial relation switching period

- SNR of the DL RS configured in spatial relation ≥ -3dB

- The SSB associated with the spatial relation remain detectable during the spatial relation switching period

- SNR of the SSB associated with the spatial relation ≥ -3dB

Otherwise, the spatial relation is unknown.

8.12.3 MAC-CE based spatial relation switch delay

If the target spatial relation associated to DL RS is known and the DL RS is in the active TCI list, upon receiving PDSCH carrying MAC-CE activation command in slot n, for UL spatial relation switch for PUCCH or semi-persistent SRS transmission of serving cell with a target UL spatial relation, the UE shall be able to transmit PUCCH or semi-persistent SRS with the target UL spatial relation in the slot n+ THARQ + $3N\_{slot}^{subframe,µ}$+ 1 when *beamCorrespondenceWithoutUL-BeamSweeping* sets to 1 where THARQ is the timing between DL data transmission and acknowledgement as specified in TS 38.213 [3].

8.12.4 DCI based spatial relation switch delay

If the target spatial relation associated to DL RS is known, when a UE receives the DCI triggering aperiodic SRS at slot n with the higher layer parameter *spatialRelationInfo*, UE shall be able to transmit aperiodic SRS with target spatial relation of the serving cell on which spatial relation switch occurs in the slot+1, where, *k* is configured via higher layer parameter *slotOffset*[2]for each triggered SRS resources set and is based on the subcarrier spacing of the triggered SRS transmission, *µSRS* and *µPDCCH* are the subcarrier spacing configurations for triggered SRS and PDCCH carrying the triggering command respectively in TS 38.214 [26].

The known condition for spatial relation associated to DL RS defined in clause 8.12.2 is applied.

8.12.5 RRC based spatial relation switch delay

If the target spatial relation associated to DL RS is known and the DL RS is in the active TCI list, upon receiving PDSCH carrying RRC activation command at slot n, UE shall be able to transmit target periodic SRS with spatial relation of the serving cell on which periodic SRS with spatial relation reconfigured in the slot n+ TRRC\_processing+1 when *beamCorrespondenceWithoutUL-BeamSweeping* sets to 1 where TRRC\_processing is the RRC processing delay defined in TS38.331 [2].

End of Change