**3GPP TSG-RAN4 Meeting #109 *R4-2321645***

**Chicago, USA, November 13-17, 2023**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  |  | **CR** | **XXXX** | **rev** | **-** | **Current version:** |  |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | Big CR to TS 38.133 on RRM requirements for enhanced NR sidelink relay | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | LG Electronics | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_SL\_relay\_enh-Core | | | | |  | ***Date:*** | | | 2023-11-24 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-18 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)*  *Rel-19 (Release 19)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | 1. To define requirements for discovery and reselection for UE-to-UE relay scenario. 2. To define requirements for interruption in multi-path relay scenario. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | The following requirements are defined for the UE supporting SL relay operation as agreed in the approved WF in R4-2310059:   * Delay requirement on selection/reselection of the relay UE by the remote UE in UE-to-UE (U2U) relay scenario. * Requirement on interruption caused by the remote UE on direct path (PCell) due to SL-DRX operation on indirect path (U2N relay) in multi-path relay scenario. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | 1. The performance of the remote UE in U2U relay operation cannot be guaranteed. 2. The performance of the remote UE in in multi-path relay scenario cannot be guaranteed. The remote may cause unpredictable interruption during SL-DRX operation wrt the relay UE. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 3.3, 12.10.1, 12.10.2, 12.7.4 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

**----------------------START OF CHANGE----------------------------**

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [11] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [11].

AoA Angle of Arrival

AoD Angle of Departure

ATG Air to Ground

BFD Beam Failure Detection

BFD-RS BFD Reference Signal

BLER Block Error Rate

BM-RS Beam Management Reference Signal

BWP Bandwidth Part

CA Carrier Aggregation

CBD Candidate Beam Detection

CBW Channel Bandwidth

CC Component Carrier

CCA Clear Channel Assessment

CG-SDT Configured Grant Small Data Transmisison

CLI Cross Link Interference

CMR Channel Measurement Resource

CORESET Control Resource Set

CP Cyclic Prefix

CSI Channel-State Information

CSI-RS CSI Reference Signal

CSI-RSRP CSI Reference Signal based Reference Signal Received Power

CSI-RSRQ CSI Reference Signal based Reference Signal Received Quality

CSI-SINR CSI Reference Signal based Signal to Noise and Interference Ratio

CSI\_RP Received (linear) average power of the resource elements that carry NR CSI-RS signals and channels, measured at the UE antenna connector

DBT Discovery Burst Transmission

DC Dual Connectivity

DCI Downlink Control Information

DL Downlink

DL-AoD Downlink Angle-of-Departure

DL-TDOA Downlink Time Difference Of Arrival

DMRS Demodulation Reference Signal

DRX Discontinuous Reception

E-CID Enhanced Cell ID

E-UTRA Evolved UTRA

E-UTRAN Evolved UTRAN

EN-DC E-UTRA-NR Dual Connectivity

FDD Frequency Division Duplex

FR Frequency Range

GEO Geostationary Earth Orbit

HARQ Hybrid Automatic Repeat Request

HO Handover

GAP Refers to any of Measurement Gap, activated Pre-MG and NCSG

IMR Interference Measurement Resource

L1-RSRP Layer 1 RSRP

L1 SL-RSRP Layer 1 Sidelink RSRP which corresponds to PSCCH-RSRP and/or PSSCH-RSRP

LEO Low Earth Orbit

LMF Location Management Function

LPP LTE Positioning Protocol

MAC Medium Access Control

MCG Master Cell Group

MDT Minimization of Drive Tests

MG Measurement Gap

MGL Measurement Gap Length

MGRP Measurement Gap Repetition Period

MIB Master Information Block

ML Measurement Length

MN Master Node

MR-DC Multi-Radio Dual Connectivity

MUSIM Multi-Universal Subscriber Identity Module

NCSG Network Controlled Small Gap

NE-DC NR-E-UTRA Dual Connectivity

NGEN-DC NG-RAN E-UTRA-NR Dual Connectivity

NR New Radio

NR-DC NR-NR Dual Connectivity

NTN Non-Terrestrial Network

OFDM Orthogonal Frequency Division Multiplexing

OFDMA Orthogonal Frequency Division Multiple Access

OTDOA Observed Time Difference Of Arrival

PBCH Physical Broadcast Channel

PCC Primary Component Carrier

PCell Primary Cell

PDCCH Physical Downlink Control Channel

PDSCH Physical Downlink Shared Channel

PLMN Public Land Mobile Network

PRACH Physical RACH

Pre-MG Pre-configured Measurement Gap

PRP PRS Received Power

PRS Positioning Reference Signal

PRS-RSRP Positioning Reference Signal based Reference Signal Received Power

PPW PRS Processing Window

PSBCH Physical Sidelink Broadcast Channel

PSBCH-RSRP Physical Sidelink Broadcast Channel DMRS based Reference Signal Received Power

PSCCH Physical Sidelink Control Channel

PSCCH-RSRP Physical Sidelink Control Channel DMRS based Reference Signal Received Power

PSCell Primary SCell

PSS Primary Synchronization Signal

PSSCH Physical Sidelink Shared Channel

PSSCH-RSRP Physical Sidelink Shared Channel DMRS based Reference Signal Received Power

pTAG Primary Timing Advance Group

PUCCH Physical Uplink Control Channel

PUSCH Physical Uplink Shared Channel

QCL Quasi Co-Location

RACH Random Access Channel

RAT Radio Access Technology

RLM Radio Link Monitoring

RLM-RS Reference Signal for RLM

RMSI Remaining Minimum System Information

RRC Radio Resource Control

RRH Remote Radio Head

RRM Radio Resource Management

RSSI Received Signal Strength Indicator

RSRP Reference Signal Received Power

RSRQ Reference Signal Received Quality

RSTD Reference Signal Time Difference

RTT Round Trip Time

S-SSB Sidelink Synchronization Signal Block

SSB\_RP Received (linear) average power of the resource elements that carry NR SSB signals and channels, measured at the UE antenna connector or radiated interface boundary.

SA Standalone operation mode

SAB Satellite access band

SAN Satellite Access Node

SCC Secondary Component Carrier

SCell Secondary Cell

SCG Secondary Cell Group

SCS Subcarrier Spacing

SCSSSB SSB subcarrier spacing

SDL Supplementary Downlink

SDT Small Data Transmission

SFN System Frame Number

SFTD SFN and Frame Timing DifferenceSI System Information

SIB System Information Block

SL-RSSI Sidelink Received Signal Strength Indicator

SLSS Sidelink Synchronization Signal

SMTC SSB-based Measurement Timing configuration

SpCell Special Cell

SRS Sounding Reference Signal

SRS-RSRP Sounding Reference Signal based Reference Signal Received Power

SS-RSRP Synchronization Signal based Reference Signal Received Power

SS-RSRQ Synchronization Signal based Reference Signal Received Quality

SS-SINR Synchronization Signal based Signal to Noise and Interference Ratio

SSB Synchronization Signal Block

SSB\_RP Received (linear) average power of the resource elements that carry NR SSB signals and channels, measured at the UE antenna connector.

SSS Secondary Synchronization Signal

sTAG Secondary Timing Advance Group

SUL Supplementary Uplink

TA Timing Advance

TAG Timing Advance Group

TCI Transmission Configuration Indicator

TDD Time Division Duplex

TDOA Time Difference Of Arrival

TN Terrestrial Network

TRP Transmission-Reception Point

TTI Transmission Time Interval

U2N UE-to-Network

U2U UE-to-UE

UE User Equipment

UL Uplink

VIL Visible Interruption Length

VIRP Visible Interruption Repetition Period

VSAT Very Small Aperture Terminal

**-----------------------------NEXT CHANGE------------------------------**

### 12.7.4 Interruptions to WAN at transitions between active and non-active during SL-DRX

Interruption on PCell/serving cell if configured due to V2X transitions between active and non-active during SL-DRX are allowed with up to 1% probability of missed ACK/NACK when the configured SL-DRX cycle is less than 640 ms, and 0.625% probability of missed ACK/NACK is allowed when the configured SL-DRX cycle is 640 ms or longer. When multiple SL-DRX cycles are configured, the shortest SL-DRX cycle is applied. Each interruption shall not exceed X slot as defined in table 12.7.4-1.

Table 12.7.4-1: Interruption length X at transition between active and non-active during SL-DRX

|  |  |  |  |
| --- | --- | --- | --- |
|  | NR Slot | Interruption length X (slots) | |
|  | length (ms) | Sync | Async |
| 0 | 1 | 1 | 2 |
| 1 | 0.5 | 1 | 2 |
| 2 | 0.25 | 3 | |

For SL-DRX active to inactive state transition, when the UE is in non-DRX or DRX on WAN and V2X is in sidelink resource allocation mode 2, the interruptions in this clause shall not apply when one of the following conditions is met:

- While receiving paging,

- While receiving system information.

In addition, for SL-DRX active to inactive state transition, when the UE is in non-DRX or DRX on WAN and V2X is in sidelink resource allocation mode 2 and SL DRX cycle is less than 320 ms, the interruptions in this clause shall not apply when one of the following conditions is met:

- T310 timer is running for RLF on PCell

- performing candidate beam detection on PCell/serving cell as specfied in section 8.5.5. and 8.5.6

During the U2N relay operation as defined in clause 5.8.14 [2], the interruption requirements defined in this clause apply only to the sidelink relay UE.

During the multipath relay operation [2], the interruption requirements defined in this clause apply to the SL remote UE or the SL relay UE.

**-----------------------------NEXT CHANGE------------------------------**

## 12.10 Selection / Reselection of relay UE

### 12.10.1 Introduction

This section contains the requirements related to selection and reselection of relay UE.

The requirements apply for the selection and reselection of candidate relay UEs that are transmitting relay discovery signals within the resource pool as configured for the remote UE. The requirements are applicable to the following UE capabilities [2]:

* a remote UE that communicates with the network via a UE-to-network (U2N) relay UE or
* a remote UE that communicates with another via a UE-to-UE (U2U) relay UE.

### 12.10.2 Selection / Reselection of relay UE

For a remote UE configured by upper layer for relay operation, the remote UE shall search for candidate relay UEs for selection and/or reselection every discovery period which is determined by resource reservation period or SPS transmission periodicity configured by network.

If the remote UE has a selected sidelink relay UE, then the remote UE shall measure the SD-RSRP or SL-RSRP of the selected relay once in every four discovery periods and evaluate if it meets the relay selection criterion as defined in TS 38.331[2] (clause 5.8.15.3 for U2N relay and clause TBD for U2U relay).

The remote UE shall measure SD-RSRP or SL-RSRP of the candidate relay UEs every Tmeasure, SL\_Relay\_Intra for relay UEs that are detected and measured according to the measurement rules.

For intra-frequency relay UEs that are detected, but that has not been selected or reselected to, the remote UE shall be capable of evaluating that the intra-frequency relay UE has met selection or reselection criterion defined in TS 38.331[2] (clause 5.8.15.3 for U2N relay and clause TBD for U2U relay) within Tevaluate, SL\_Relay\_Intra as specified in table 12.10.2-1.

The minimum requirements are required to meet when the selected and candidate relay UEs are transmitting relay discovery message every discovery period.

Table 12.10.2-1: Tmeasure, SL\_Relay\_Intra and Tevaluate, SL\_Relay\_Intra

|  |  |  |
| --- | --- | --- |
| Discovery Period [s] | Tmeasure,SL\_Relay\_Intra [s] (number of discovery periods) | Tevaluate, SL\_Relay\_Intra [s] (number of discovery periods) |
| 0.04≤Discovery period≤10.24 | Note 1 (4) | Note 1 (16) |
| Note 1: Time depends upon the discovery period which is resource reservation period (in mode 2) or SPS transmission periodicity (in mode 1).  Note 2: SL-RSRP or SD-RSRP can be derived from PSCCH-DMRS and/or PSSCH-DMRS. | | |

**----------------------END OF CHANGE----------------------------**