**3GPP TSG-RAN WG4 Meeting #109 R4-2319012**

**Chicago, US, November 13 – 17, 2023**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  |  | **CR** | draftCR | **rev** | - | **Current version:** |  |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | 38.133 draftCR on SCell activation due to Cell DTX/DRX | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Nokia, Nokia Shanghai Bell | | | | | | | | | |
| ***Source to TSG:*** |  | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | Netw\_Energy\_NR-Core | | | | |  | ***Date:*** | | | 2023-11-3 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | B |  | | | | | ***Release:*** | | | Rel-18 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
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| ***Reason for change:*** | | RAN4 is discussing the impact to SCell activation delay due to cell DTX/DRX. In R4-2319010, we propose the SCell activation delay shall not be extended due to cell DTX/DRX. Some clarification on the assumption needs to be added. | | | | | | | | |
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| ***Summary of change:*** | | Clarify that the SCell activation delay requirement is defined assuming cell DTX/DRX is not active. | | | | | | | | |
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| ***Consequences if not approved:*** | | It is not clear if the SCell activation delay will be impacted due to cell DTX/DRX. | | | | | | | | |
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| ***Clauses affected:*** | | 8.3.2 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

## << Start of changes >>

### 8.3.2 SCell Activation Delay Requirement for Deactivated SCell

The requirements in this clause shall apply for the UE configured with one downlink SCell in EN-DC, or in standalone NR carrier aggregation or in NE-DC or in NR-DC and when one SCell is being activated.

The delay within which the UE shall be able to activate the deactivated SCell depends upon the specified conditions.

Upon receiving SCell activation command in slot *n*, the UE shall be capable to transmit valid CSI report and apply actions related to the activation command for the SCell being activated no later than in slot , where:

THARQ (in ms) is the timing between DL data transmission and acknowledgement as specified in TS 38.213 [3]

Tactivation\_time is the SCell activation delay in millisecond.

If the SCell is known and belongs to FR1, Tactivation\_time is:

- TFirstSSB+ 5ms, if the measurement period of the SCell being activated is equal to or smaller than 2400ms.

- TFirstSSB\_MAX + Trs + 5ms, if the measurement period of the SCell being activated is larger than 2400ms.

If the SCell is unknown and belongs to FR1, and if one of the following conditions is met

- ‘ssb-PositionInBurst’ indicates only one SSB is being actually transmitted, or

- ‘ssb-PositionInBurst’ indicates multiple SSBs and TCI indication is provided in same MAC PDU with SCell activation,

provided that the side condition Ês/Iot ≥ -2dB is fulfilled, Tactivation\_time is:

- TFirstSSB\_MAX + TSMTC\_MAX + Trs + 5ms, if the following conditions are met,

- the SCell is contiguous to an active serving cell in the same band, and

- its *ssb-PositionInBurst* is same as the one of contiguous FR1 active serving cell, and

- its SMTC offset is same as the one of contiguous FR1 active serving cell, and

- its RTD with contiguous FR1 active serving cell is smaller than or equal to 260ns with respect to the to-be-activated SCell’s SSB numerology, and its reception power difference with contiguous FR1 active serving cell is smaller than or equal to 6dB;

- TFirstSSB\_MAX + TSMTC\_MAX + 2\*Trs + 5ms, otherwise.

otherwise, provided that the side condition Ês/Iot ≥ -2dB is fulfilled, Tactivation\_time is:

- 6ms + TFirstSSB\_MAX + TSMTC\_MAX + Trs + TL1-RSRP,measure + TL1-RSRP,report + THARQ + max(Tuncertainty\_MAC + TFineTiming + 2ms, Tuncertainty\_SP), if semi-persistent CSI-RS is used for CSI reporting,

- 3ms + TFirstSSB\_MAX + TSMTC\_MAX + Trs + TL1-RSRP,measure + TL1-RSRP,report + max(THARQ + Tuncertainty\_MAC + 5ms + TFineTiming, Tuncertainty\_RRC + TRRC\_delay), if periodic CSI-RS is used for CSI reporting.

- However, when the following conditions are fulfilled, no activation requirement will be applied for this unknown SCell:

- the SCell is contiguous to an active serving cell in the same band, and

- A single SSB is used in the unknown SCell; or multiple SSBs are used in the SCell and TCI state indication for PDCCH is provided by the same MAC PDU used for SCell activation; and

- its *ssb-PositionInBurst* is same as the one of contiguous FR1 active serving cell, and

- its SMTC offset is same as the one of contiguous FR1 active serving cell

- its RTD with contiguous FR1 active serving cell is larger than 260ns with respect to the to-be-activated SCell’s SSB numerology, or its reception power difference with contiguous FR1 active serving cell is larger than 6dB;

If the SCell being activated belongs to FR1 and if there is at least one active serving cell contiguous to the SCell on that FR1 band, if the UE is not provided with SSB configuration (*absoluteFrequencySSB*) nor SMTC configuration for the target SCell, Tactivation\_time is 3 ms for UE supporting *scellWithoutSSB*, provided

- The RTD between the target SCell and the contiguous active serving cell is within within ±260ns, and

- The difference of the reception power with the contiguous active serving cell is <= 6dB, and

- The RS(s) of SCell being activated is (are) QCL-TypeA with TRS(s) of the SCell being activated, and the TRS(s) of the SCell being activated is (are) further QCL-TypeC with SSB(s) of any active serving cell that is contiguous to the SCell being activated on that FR1 band.

If the SCell being activated belongs to FR2 and if there is at least one active serving cell on that FR2 band, then Tactivation\_time is TFirstSSB+ 5ms provided:

- The UE is provided with SMTC for the target SCell, and

- The SSBs in the serving cell(s) and the SSBs in the SCell fulfil the condition defined in clause 3.6.3, and

- The parameter ssb-PositionsInBurst is same for the serving cell(s) and the Scell, and

- SSB is in the same half-frame on the SCell and the contiguous FR2 active serving cell.

If the SCell being activated belongs to FR2 and if there is at least one active serving cell on that FR2 band, if the UE supporting *scellWithoutSSB* is not provided with any SMTC for the target SCell, Tactivation\_time is 3 ms, provided

- the RS (s) of SCell being activated is (are) QCL-TypeD with RS (s) of one active serving cell on that FR2 band.

If the SCell being activated belongs to FR2 and if there is at least one active serving cell on that FR2 band, if the FR2 power class 6 UE is configured with *highSpeedMeasFlagFR2-r17*, Tactivation\_time is 3 ms , provided

- the RS (s) of SCell being activated is (are) QCL-TypeD with RS (s) of one active serving cell on that FR2 band.

*Editor Notes: FFS additional condition/capability is needed.*

If the SCell being activated belongs to FR2 and if there is no active serving cell on that FR2 band provided that PCell or PSCell is in FR1 or in FR2:

If the target SCell is known to UE and semi-persistent CSI-RS is used for CSI reporting, then Tactivation\_time is:

- 3ms + max(Tuncertainty\_MAC + TFineTiming + 2ms, Tuncertainty\_SP), where Tuncertainty\_MAC=0 and Tuncertainty\_SP=0 if UE receives the SCell activation command, semi-persistent CSI-RS activation command and TCI state activation command at the same time.

If the target SCell is known to UE and periodic CSI-RS is used for CSI reporting, then Tactivation\_time is:

- max(Tuncertainty\_MAC + 5ms + TFineTiming, Tuncertainty\_RRC + TRRC\_delay-THARQ), where Tuncertainty\_MAC=0 if UE receives the SCell activation command and TCI state activation commands at the same time.

If the PCell/PSCell and the target SCell are configured as FR1-FR2-1 CA or if the PCell/PSCell and the target SCell are in a FR2-1 band pair with independent beam management, and the target SCell is unknown to UE and semi-persistent CSI-RS is used for CSI reporting, provided that the side condition Ês/Iot ≥ -2dB is fulfilled, then Tactivation\_time is:

- 6ms + TFirstSSB\_MAX + 15\*TSMTC\_MAX + 8\*Trs + TL1-RSRP, measure + TL1-RSRP, report + THARQ + max(Tuncertainty\_MAC + TFineTiming + 2ms, Tuncertainty\_SP).

If the PCell/PSCell and the target SCell are configured as FR1-FR2-2 CA or if the PCell/PSCell and the target SCell are in a FR2-2 band pair with independent beam management, and the target SCell is unknown to UE and semi-persistent CSI-RS is used for CSI reporting, provided that the side condition Ês/Iot ≥ -2dB is fulfilled, then Tactivation\_time is:

- 6ms + TFirstSSB\_MAX + 23\*TSMTC\_MAX + 12\*Trs + TL1-RSRP, measure + TL1-RSRP, report + THARQ + max(Tuncertainty\_MAC + TFineTiming + 2ms, Tuncertainty\_SP).

If the PCell/PSCell and the target SCell are configured as FR1-FR2-1 CA or if the PCell/PSCell and the target SCell are in a FR2-1 band pair with independent beam management, and the target SCell is unknown to UE and periodic CSI-RS is used for CSI reporting, provided that the side condition Ês/Iot ≥ -2dB is fulfilled, then Tactivation\_time is:

- 3ms + TFirstSSB\_MAX + 15\*TSMTC\_MAX + 8\*Trs + TL1-RSRP, measure + TL1-RSRP, report + max {(THARQ + Tuncertainty\_MAC + 5ms + TFineTiming), (Tuncertainty\_RRC + TRRC\_delay)}.

If the PCell/PSCell and the target SCell are configured as FR1-FR2-2 CA or if the PCell/PSCell and the target SCell are in a FR2-2 band pair with independent beam management, and the target SCell is unknown to UE and periodic CSI-RS is used for CSI reporting, provided that the side condition Ês/Iot ≥ -2dB is fulfilled, then Tactivation\_time is:

- 3ms + TFirstSSB\_MAX + 23\*TSMTC\_MAX + 12\*Trs + TL1-RSRP, measure + TL1-RSRP, report + max {(THARQ + Tuncertainty\_MAC + 5ms + TFineTiming), (Tuncertainty\_RRC + TRRC\_delay)}.

where,

TSMTC\_MAX:

- In FR1, in case of intra-band contiguous SCell activation or in case of intra-band non-contiguous SCell activation for UE not capable of [*intraBandNRCA-NonCollocated-r18*], TSMTC\_MAX is the longer SMTC periodicity between active serving cells and SCell being activated provided the cell specific reference signals from the active serving cells and the SCells being activated or released are available in the same slot; in case of intra-band non-contiguous SCell activation for UE capable of [*intraBandNRCA-NonCollocated-r18*] or in case of inter-band SCell activation, TSMTC\_MAX is the SMTC periodicity of SCell being activated.

- In FR2, in case of intra-band SCell activation, TSMTC\_MAX is the longer SMTC periodicity between active serving cells and SCell being activated provided that in Rel-15 only support FR2 intra-band CA; in case of FR2 inter-band SCell activation, TSMTC\_MAX is the SMTC periodicity of SCell being activated.

- TSMTC\_MAX is bounded to a minimum value of 10ms.

Trs is the SMTC periodicity of the SCell being activated if the UE has been provided with an SMTC configuration for the SCell in SCell addition message, otherwise Trs is the SMTC configured in the measObjectNR having the same SSB frequency and subcarrier spacing. If the measObjectNRs having the same SSB frequency and subcarrier spacing configured by MN and SN have different SMTC, Trs is the periodicity of one of the SMTC which is up to UE implementation. If the UE is not provided SMTC configuration or measurement object on this frequency, the requirement which involves Trs is applied with Trs = 5ms assuming the SSB transmission periodicity is 5ms. There are no requirements if the SSB transmission periodicity is not 5ms

TFirstSSB: is the time to the end of the first complete SSB burst indicated by the SMTC, or within 5ms if SMTC is not configured, after slot n + .

TFirstSSB\_MAX: Is the time to the end of the first complete SSB burst indicated by the SMTC, or within 5ms if SMTC is not configured, after slot n + , further fulfilling:

- In FR1, in case of intra-band contiguous SCell activation or in case of intra-band non-contiguous SCell activation for UE not capable of [*intraBandNRCA-NonCollocated-r18*], the occasion when all active serving cells and SCells being activated or released are transmitting SSB bursts in the same slot; in case of intra-band non-contiguous SCell activation for UE capable of [*intraBandNRCA-NonCollocated-r18*] or in case of inter-band SCell activation, the first occasion when the SCell being activated is transmitting SSB burst.

- In FR2, the occasion when all active serving cells and SCells being activated or released are transmitting SSB bursts in the same slot.

TFineTiming is the time period between UE finish processing the last activation command for PDCCH TCI, PDSCH TCI (when applicable) and the timing of first complete available SSB corresponding to the TCI state.

TL1-RSRP, measure is L1-RSRP measurement delay TL1-RSRP\_Measurement\_Period\_SSB msor TL1-RSRP\_Measurement\_Period\_CSI-RS based on applicability as defined in clause 9.5 assuming M=1 and TReport=0.

TL1-RSRP, report is delay of acquiring CSI reporting resources.

Tuncertainty\_MAC is the time period between reception of the last activation command for PDCCH TCI, PDSCH TCI (when applicable) relative to

- SCell activation command for known case;

- First valid L1-RSRP reporting for unknown case.

Tuncertainty\_RRC is the time period between reception of the RRC configuration message for TCI of periodic CSI-RS for CQI reporting (when applicable) relative to

- SCell activation command for known case;

- First valid L1-RSRP reporting for unknown case.

Tuncertainty\_SP is the time period between reception of the activation command for semi-persistent CSI-RS resource set for CQI reporting relative to

- SCell activation command for known case;

- First valid L1-RSRP reporting for unknown case.

TRRC\_delay is the RRC procedure delay as specified in TS38.331 [2].

Longer delays for RRM measurement requirements, and in case of FR2 also SSB based RLM/BFD/CBD/L1-RSRP measurement requirements, can be expected during the cell detection time for unknown SCell activation.

When *absoluteFrequencySSB* is not configured in *DownlinkConfigCommon* for target SCell but SMTC for target SCell is configured, no requirement would be applied.

TCSI\_reporting is the delay (in ms) including uncertainty in acquiring the first available downlink CSI reference resource, UE processing time for CSI reporting and uncertainty in acquiring the first available CSI reporting resources as specified in TS 38.331 [2].

SCell in FR1 is known if it has been meeting the following conditions:

- During the period equal to max(5\*measCycleSCell,  5\*DRX cycles) for FR1 before the reception of the SCell activation command:

- the UE has sent a valid measurement report for the SCell being activated and

- the SSB measured remains detectable according to the cell identification conditions specified in clause 9.2 and 9.3.

- the SSB measured during the period equal to max(5\*measCycleSCell, 5\*DRX cycles) also remains detectable during the SCell activation delay according to the cell identification conditions specified in clause 9.2 and 9.3.

Otherwise SCell in FR1 is unknown.

For the first SCell activation in FR2 bands, the SCell is known if it has been meeting the following conditions:

- During the period equal to 4s for UE supporting power class 1/5 and 3s for UE supporting power class 2/3/4 before UE receives the last activation command for PDCCH TCI, PDSCH TCI (when applicable) and semi-persistent CSI-RS for CQI reporting (when applicable):

- the UE has sent a valid L3-RSRP measurement report with SSB index, and

- SCell activation command is received after L3-RSRP reporting and no later than the time when UE receives MAC-CE command for TCI activation

- During the period from L3-RSRP reporting to the valid CQI reporting, the reported SSBs with indexes remain detectable according to the cell identification conditions specified in clauses 9.2 and 9.3, and the TCI state is selected based on one of the latest reported SSB indexes.

Otherwise, the first SCell in FR2 band is unknown. The requirement for unknown SCell applies provided that the activation commands for PDCCH TCI, PDSCH TCI (when applicable), semi-persistent CSI-RS for CQI reporting (when applicable), and configuration message for TCI of periodic CSI-RS for CQI reporting (when applicable) are based on the latest valid L1-RSRP reporting.

If the UE has been provided with higher layer in TS 38.331 [2] signaling of *smtc2*prior to the activation command, TSMTC\_Scell follows *smtc1* or *smtc2* according to the physical cell ID of the target cell being activated. TSMTC\_MAX follows *smtc1* or *smtc2* according to the physical cell IDs of the target cells being activated and the active serving cells.

In addition to CSI reporting defined above, UE shall also apply other actions related to the activation command specified in TS 38.331 [2] for a SCell at the first opportunities for the corresponding actions once the SCell is activated.

The starting point of an interruption window on spCell or any activated SCell, as specified in clause 8.2, shall not occur before slot n+1+ and not occur after slot slot n+1+, where NR slot length is with respect to the numerology used in the SCell being activated, and TX is:

- 0, if Tactivation\_time is 3ms;

- TFirstSSB, for any scenario where Tactivation\_time includes TFirstSSB;

- TFirstSSB\_MAX, for any scenario where Tactivation\_time includes TFirstSSB\_MAX;

- Tuncertainty\_MAC +TFineTiming, for any scenario where Tactivation\_time includes only TFineTiming and no TFirstSSB\_MAX.

The length of the interruption window may be different for different victim cells, and depends on the applicable scenario and on the frequency band relation between the aggressor cell and the victim cell.

The requirements in this clause and requriements on interruption due to SCell activation in clause 8.2 apply provided that the SSB of the to-be-activated SCell is within the first active DL BWP of the Scell.

Starting from the slot specified in clause 4.3 of TS 38.213 [3] (timing for secondary Cell activation/deactivation) and until the UE has completed the SCell activation, the UE shall report out of range if the UE has available uplink resources to report CQI for the SCell.

Starting from the slot specified in clause 4.3 of TS 38.213 [3] (timing for secondary Cell activation/deactivation) and until the UE has completed a first L1-RSRP measurement, the UE shall report lowest valid L1 SS-RSRP range if the UE has available uplink resources to report L1-RSRP for the SCell.

## << End of changes >>