**3GPP TSG-RAN4 Meeting #106-bis-e *R4-230xxxx***

**Online, 17th – 26th April 2023**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.101-1** | **CR** | **-** | **rev** | **-** | **Current version:** | **18.1.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | Running Draft CR to TS 38.101-1 on additional OOBE requirements for aerial UEs | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_UAV-Core | | | | |  | ***Date:*** | | | 2023-04-21 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-18 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | This draft CR is based on the agreements made in RAN4#106-bis-e meeting.  Additional updates are expected once RAN4 has made further agreements. | | | | | | | | |
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| ***Summary of change:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 3.1, 4.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS /TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*<Start of the change>*

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

**Aerial UE:**TBD

**Aggregated Channel Bandwidth**: The RF bandwidth in which a UE transmits and receives multiple contiguously aggregated carriers.

**Carrier aggregation**: Aggregation of two or more component carriers in order to support wider transmission bandwidths.

**Carrier aggregation band**: A set of one or more operating bands across which multiple carriers are aggregated with a specific set of technical requirements.

**Carrier aggregation bandwidth class**: A class defined by the aggregated transmission bandwidth configuration and maximum number of component carriers supported by a UE.

**Carrier aggregation configuration**: A combination of CA operating band(s) and CA bandwidth class(es) supported by a UE.

**Con-current operation**: The simultaneous transmission and reception of sidelink and Uu interfaces while operation is agnostic of the service used on each interface.

**Contiguous carriers**: A set of two or more carriers configured in a spectrum block where there are no RF requirements based on co-existence for un-coordinated operation within the spectrum block.

**Contiguous resource allocation**: A resource allocation of consecutive resource blocks within one carrier or across contiguously aggregated carriers. The gap between contiguously aggregated carriers due to the nominal channel spacing is allowed.

**Contiguous spectrum**: Spectrum consisting of a contiguous block of spectrum with no sub-block gaps.

**Inter-band carrier aggregation:** Carrier aggregation of component carriers in different operating bands.

NOTE: Carriers aggregated in each band can be contiguous or non-contiguous.

**Intra-band contiguous carrier aggregation**: Contiguous carriers aggregated in the same operating band.

**Intra-band non-contiguous carrier aggregation**: Non-contiguous carriers aggregated in the same operating band.

**RedCap UE**: The UE with reduced capabilities as defined in clause 4.2.21.1 from TS38.306 [15].

**Sub-band**: For a UE that supports shared spectrum channel access in wideband operation, a sub-band is the set of RBs within an approximately 20 MHz segment of the channel where the wideband channel is uniformly divided into an integer number of 20 MHz sub-bands. Sub-bands may be separately allocated in uplink and downlink.

**Sub-block**: This is one contiguous allocated block of spectrum for transmission and reception by the same UE. There may be multiple instances of sub-blocks within an RF bandwidth.

**Sub-block bandwidth**: The bandwidth of one sub-block.

**Sub-block gap**: A frequency gap between two consecutive sub-blocks within an RF bandwidth, where the RF requirements in the gap are based on co-existence for un-coordinated operation.

**UE transmission bandwidth configuration**: Set of resource blocks located within the UE channel bandwidth which may be used for transmitting or receiving by the UE.

**Vehicular UE:** A UE embedded in a vehicle, permanently connected to an embedded antenna system that radiates externally for NR operating bands.

NOTE: Vehicular UE does not refer to other UE form factors placed inside the vehicle.

**Wideband operation:** For a UE that supports shared spectrum channel access, wideband operation refers to operation within a channel larger than 20 MHz in which intra-cell guard bands may be configured to distinguish individual RB-sets

*<End of the change>*

*<Start of the change>*

## 4.3 Specification suffix information

Unless stated otherwise, the suffixes shown in Table 4.3-1 are used for indicating at 2nd level clause. For shared spectrum channel access, suffices A, B, and D are used for indicating at 3rd level clause.

Table 4.3-1: Definition of suffixes

|  |  |
| --- | --- |
| Clause suffix | Variant |
| None | Single Carrier |
| A | Carrier Aggregation (CA) |
| B | Dual-Connectivity (DC) |
| C | Supplement Uplink (SUL) |
| D | UL MIMO |
| E | V2X |
| F | Shared spectrum channel access |
| G | Tx Diversity (TxD) |
| H | Carrier Aggregation(CA) with UL MIMO |
| I | RedCap |
| J | Aerial UE (UAV) |

A terminal which supports the above features needs to meet both the general requirements and the additional requirement applicable to the additional clause (suffixes A to I) in clauses 5, 6 and 7. Where there is a difference in requirement between the general requirements and the additional clause requirements (suffixes A to I) in clauses 5, 6 and 7, the tighter requirements are applicable unless stated otherwise in the additional clause.

A terminal which supports advanced V2X services, public safety services and other commercial use cases related to NR sidelink operation shall meet all of the separate corresponding requirements in suffix E.

For a terminal that supports SUL for the band combination specified in Table 5.2C-1, the current version of the specification assumes the terminal is configured with active transmission either on UL carrier or SUL carrier at any time in one serving cell and the UE requirements for single carrier shall apply for the active UL or SUL carrier accordingly.

For a terminal that supports public safety service using sidelink, the minimum requirements are applicable when

- The UE is associated with a serving cell on PS carrier, or

- The UE is not associated with a serving cell on the PS carrier and is provisioned with the preconfigured radio parameters for PS that are associated with known Geographical Area, or

- The UE is associated with a serving cell on a carrier different than the PS carrier, and the radio parameters for PS that are provided by the serving cell, or

- The UE is associated with a serving cell on a carrier different than the PS carrier, and has a non-serving cell selected on the PS carrier with the preconfigured radio parameters.

When the advanced-V2X or PS UE is not associated with a serving cell on the V2X or PS carrier, and the UE does not have knowledge of its geographical area, or is provisioned with preconfigured radio parameters that are not associated with any Geographical Area, V2X or PS UE’ transmissions are not allowed, and the requirements in Section 6.3E.2 apply.

For a terminal that supports operation in shared spectrum, the current version of this specification assumes in the uplink sub-bands within a wideband channel shall be contiguously allocated to the UE. The uplink requirements for one or more non-transmitted sub-bands between two transmitted sub-bands does not form a part of the current version of this specification.

Terminal that supports inter-band NR-DC configuration shall meet the minimum requirements for corresponding CA configuration (suffix A), unless otherwise specified.

*<End of the change>*