**3GPP TSG-RAN4 Meeting #105 *R4-2219117***

**Toulouse, France, 14th Nov 2022 - 18th Nov 2022**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.101-4** | **CR** | **0320** | **rev** | **1** | **Current version:** | **17.6.0** |  |
|  | | | | | | | | |
| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | Big CR to 38.101-4: Correction of RedCap UE demodulation and CSI reporting requirements | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R4 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_redcap-Perf | | | | |  | ***Date:*** | | | 2022-12-01 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Correctoin of RedCap UE and CSI reprting requirements | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | This draft big CR contains the following draft CRs:   1. R4-2217431 “Draft CR PDSCH demodulation requirements for RedCap”, Apple. 2. R4-2217441 “Draft CR: Corrections of RedCap SDR requirements”, Huawei, HiSilicon. 3. R4-2217442 “draft CR: Correction of RedCap UE demodulation requirements”, Ericsson. 4. R4-2217445 “Draft CR 38.101-4 Finalization of channel quality reporting requirements under static condition for RedCap”, Nokia, Nokia Shanghai Bell. 5. R4-2217448 “Draft CR: Corrections of RedCap PMI requirements”, Huawei, HiSilicon. 6. R4-2217505 “Draft CR 38.101-4 Finalization of Rank Indicator reporting requirements for RedCap”, Nokia, Nokia Shanghai Bell. 7. R4-2217449 “Draft CR to TS38.101-4, Corrections to ReCap PMI requirements”, MediaTek Inc. 8. R4-2219118, “Draft CR: Correction of RedCap UE demodulation and CSI reporting requirements”, Ericsson. 9. R4-2219841, “Draft CR for corrections to R4-2217430 BigDraftCR for RedCap UE demod”, Qualcomm. 10. R4-2218246, “Draft CR to TS38.101-4, Correction to 4x1 MIMO correlation matrix”, MediaTek. 11. R4-2218247, “Draft CR to TS38.101-4, Corrections to RedCap CSI requirements”, MediaTek. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | UE features for RedCap UE cannot be verified | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 5.1.1.11, 5.2.1.1.1, 5.2.1.2.1, 5.2.2.1.17, 5.3.1, 5.3.2.4, 5.4.1, 5.5.1, 6.1.1.6, 6.2.1.1.1.1, 6.2.1.1.2.1, 6.2.1.2.1.1, 6.2.1.2.2.1, 6.2.2.1.1.4, 6.2.2.2.1.5, 6.2.2.2.2.4, 6.3.1, 6.3.2.2.7, 6.4.2.1.1, 6.4.2.2.1, A.3.2.2.2, A.3.2.3, A.4, B.2.3.1.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | | **x** |  | Test specifications | | | | TS38. 521-4 | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | Revision of R4-2217430 “Big Draft CR to 38.101-4: Correction of RedCap UE demodulation and CSI reporting requirements”, Ericsson. | | | | | | | | |

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

#### 5.1.1.11 Applicability of requirements for RedCap

The performance requirements in Table 5.1.1.11-1 shall apply for UEs which support optional feature *supportOfRedCap*.

Other performance requirements mandatory for UE supporting NR operation defined in Section 5 but not included in table 5.1.1.11-1 should not be considered applicable to RedCap UEs.

Table 5.1.1.11-1: Requirements applicability for RedCap

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| UE capability | Test type | | Test list | Applicability notes |
| RedCap with 1RX | FR1 FDD and HD-FDD (Note 1) | PDSCH | All tests in Clause 5.2.1.1.1 |  |
|  |  | PDCCH | All tests in Clause 5.3.1.1.1 |  |
|  |  | PBCH | All tests in Clause 5.4.1.1 |  |
|  |  | SDR | Clause 5.5.1 |  |
|  | FR1 TDD | PDSCH | All tests in Clause 5.2.1.2.1 |  |
|  |  | PDCCH | All tests in Clause 5.3.1.2.1 |  |
|  |  | PBCH | All tests in Clause 5.4.1.2 |  |
|  |  | SDR | Clause 5.5.1 |  |
| RedCap with 2RX | FR1 FDD and HD-FDD (Note 1) | PDSCH | All tests in Clause 5.2.2.1.17 |  |
|  |  | PDCCH | All tests in Clause 5.3.2.1.4 |  |
|  |  | PBCH | Clause 5.4.2.1 (Table 5.4.2.1-2 Test 1)  Clause 5.4.2.1 (Table 5.4.2.1-3 Test 1) |  |
|  |  | SDR | Clause 5.5.1 |  |
|  | FR1 TDD | PDSCH | All tests in Clause 5.2.2.2.18 |  |
|  |  | PDCCH | All tests in Clause 5.3.2.2.4 |  |
|  |  | PBCH | Clause 5.4.2.2 (Table 5.4.2.2-4 Test 1)  Clause 5.4.2.2 (Table 5.4.2.2-5 Test 1) |  |
|  |  | SDR | Clause 5.5.1 |  |
| Note 1: If UE support only HD-FDD in a FDD band, this UE is tested with HD-FDD mode otherwise UE is tested with full-duplex FDD mode | | | | |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

##### 5.2.1.1.1 Minimum requirements for RedCap

The performance requirements are specified in Table 5.2.1.1.1-3, with the addition of test parameters in Table 5.2.1.1.1-2 and the downlink physical channel setup according to Annex C.3.1.

The test purposes are specified in Table 5.2.1.1.1-1.

Table 5.2.1.1.1-1: Tests purpose

|  |  |
| --- | --- |
| Purpose | Test index |
| Verify the PDSCH mapping Type A normal performance under 1 receive antenna conditions and with different channel models and MCSs for RedCap | 1-1, 1-2, 1-3, 1-4 |

Table 5.2.1.1.1-2: Test parameters

|  |  |  |  |
| --- | --- | --- | --- |
| Parameter | | Unit | Value |
| Duplex mode | |  | FDD |
| Active DL BWP index | |  | 1 |
| PDSCH configuration | Mapping type |  | Type A |
|  | k0 |  | 0 |
|  | Starting symbol (S) |  | 2 |
|  | Length (L) |  | 12 |
|  | PDSCH aggregation factor |  | 1 |
|  | PRB bundling type |  | Static |
|  | PRB bundling size |  | 4 for Test 1-1  2 for other tests |
|  | Resource allocation type |  | Type 0 |
|  | RBG size |  | Config2 |
|  | VRB-to-PRB mapping type |  | Non-interleaved |
|  | VRB-to-PRB mapping interleaver bundle size |  | N/A |
| PDSCH DMRS configuration | DMRS Type |  | Type 1 |
|  | Number of additional DMRS |  | 2 for Test 1-1, 1 for other tests |
|  | Maximum number of OFDM symbols for DL front loaded DMRS |  | 1 |
| CSI-RS for tracking | CSI-RS periodicity | Slots | Table 5.2-1 |
|  | CSI-RS offset | Slots | Table 5.2-1 |
| Number of HARQ Processes | |  | 4 |
| The number of slots between PDSCH and corresponding HARQ-ACK information | |  | 2 |

Table 5.2.1.1.1-3: Minimum performance for Rank 1

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Test num. | Reference channel (Note 1) | Bandwidth (MHz) / Subcarrier spacing (kHz) | Modulation format and code rate | Propagation condition | Correlation matrix and antenna configuration | Reference value | |
|  |  |  |  |  |  | Fraction of maximum throughput (%) | SNR (dB) |
| 1-1 | R.PDSCH.1-1.1 FDD  R.PDSCH.1-1.1 HD-FDD | 10 / 15 | QPSK, 0.30 | TDLB100-400 | 2x1 Low | 70 | [3.7] |
| 1-2 | R.PDSCH.1-2.1 FDD  R.PDSCH.1-1.2 HD-FDD | 10 / 15 | 16QAM, 0.48 | TDLC300-100 | 2x1 Low | 70 | [12.2] |
| 1-3 | R.PDSCH.1-3.5 FDD  R.PDSCH.1-1.3 HD-FDD | 10 / 15 | 64QAM, 0.50 | TDLA30-10 | 2x1 Low | 70 | [16.5] |
| 1-4 | R.PDSCH.1-4.2 FDD  R.PDSCH.1-1.4 HD-FDD | 10 / 15 | 256QAM, 0.67 | TDLA30-10 | 2x1 Low | 70 | [25.3] |
| Note 1: Applied reference channel depends on the supported operation mode: FDD or HD-FDD. | | | | | | | |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

#### 5.2.1.2 TDD

##### 5.2.1.2.1 Minimum requirements for RedCap

The performance requirements are specified in Table 5.2.1.2.1-3, with the addition of test parameters in Table 5.2.1.2.1-2 and the downlink physical channel setup according to Annex C.3.1.

The test purposes are specified in Table 5.2.1.2.1-1.

Table 5.2.1.2.1-1: Tests purpose

|  |  |
| --- | --- |
| Purpose | Test index |
| Verify the PDSCH mapping Type A normal performance under 2 receive antenna conditions and with different channel models, MCSs for RedCap UEs | 1-1, 1-2, 1-3, 1-4 |

Table 5.2.1.2.1-2: Test parameters

|  |  |  |  |
| --- | --- | --- | --- |
| Parameter | | Unit | Value |
| Duplex mode | |  | TDD |
| Active DL BWP index | |  | 1 |
| PDSCH configuration | Mapping type |  | Type A |
|  | k0 |  | 0 |
|  | Starting symbol (S) |  | 2 |
|  | Length (L) |  | Specific to each Reference channel |
|  | PDSCH aggregation factor |  | 1 |
|  | PRB bundling type |  | Static |
|  | PRB bundling size |  | 4 for Test 1-1,  2 for other tests |
|  | Resource allocation type |  | Type 0 |
|  | RBG size |  | Config2 |
|  | VRB-to-PRB mapping type |  | Non-interleaved |
|  | VRB-to-PRB mapping interleaver bundle size |  | N/A |
| PDSCH DMRS configuration | DMRS Type |  | Type 1 |
|  | Number of additional DMRS |  | 2 for Test 1-1,  1 for other tests |
|  | Maximum number of OFDM symbols for DL front loaded DMRS |  | 1 |
| CSI-RS for tracking | First OFDM symbol in the PRB used for CSI-RS |  | Table 5.2-1 |
|  | CSI-RS periodicity | Slots | Table 5.2-1 |
|  | CSI-RS offset | Slots | Table 5.2-1 |
|  | Frequency Occupation |  | Table 5.2-1 |
| Number of HARQ Processes | |  | 8 |
| The number of slots between PDSCH and corresponding HARQ-ACK information | |  | Specific to each TDD UL-DL pattern and as defined in Annex A.1.2 |

Table 5.2.1.2.1-3: Minimum performance for Rank 1

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Test num. | Reference channel | Bandwidth (MHz) / Subcarrier spacing (kHz) | Modulation format and code rate | TDD UL-DL pattern | Propagation condition | Correlation matrix and antenna configuration | Reference value | |
| Fraction of maximum throughput (%) | SNR (dB) |
| 1-1 | R.PDSCH.2-1.5 TDD | 20 / 30 | QPSK, 0.30 | FR1.30-1A | TDLB100-400 | 2x1 Low | 70 | [3.8] |
| 1-2 | R.PDSCH.2-26.1 TDD | 20 / 30 | 16QAM, 0.48 | FR1.30-1 | TDLC300-100 | 2x1 Low | 70 | [12.3] |
| 1-3 | R.PDSCH.2-3.5 TDD | 20 / 30 | 64QAM, 0.50 | FR1.30-1 | TDLA30-10 | 2x1 Low | 70 | [17.1] |
| 1-4 | R.PDSCH.2-4.3 TDD | 20 / 30 | 256QAM, 0.67 | FR1.30-1 | TDLA30-10 | 2x1 Low | 70 | [25.5] |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

##### 5.2.2.1.17 Minimum requirements for RedCap

The performance requirements are specified in Table 5.2.2.1.17-3 and Table 5.2.2.1.17-4, with the addition of test parameters in Table 5.2.2.1.17-2 and the downlink physical channel setup according to Annex C.3.1.

The test purposes are specified in Table 5.2.2.1.17-1.

Table 5.2.2.1.17-1: Tests purpose

|  |  |
| --- | --- |
| Purpose | Test index |
| Verify the PDSCH mapping Type A normal performance under 2 receive antenna conditions and with different channel models, MCSs for RedCap | 1-1, 1-2, 1-3, 2-1 |

Table 5.2.2.1.17-2: Test parameters

|  |  |  |  |
| --- | --- | --- | --- |
| Parameter | | Unit | Value |
| Duplex mode | |  | FDD |
| Active DL BWP index | |  | 1 |
| PDSCH configuration | Mapping type |  | Type A |
|  | k0 |  | 0 |
|  | Starting symbol (S) |  | 2 |
|  | Length (L) |  | 12 |
|  | PDSCH aggregation factor |  | 1 |
|  | PRB bundling type |  | Static |
|  | PRB bundling size |  | 4 for Test 1-1  2 for other tests |
|  | Resource allocation type |  | Type 0 |
|  | RBG size |  | Config2 |
|  | VRB-to-PRB mapping type |  | Non-interleaved |
|  | VRB-to-PRB mapping interleaver bundle size |  | N/A |
| PDSCH DMRS configuration | DMRS Type |  | Type 1 |
|  | Number of additional DMRS |  | 2 for Test 1-1  1 for other tests |
|  | Maximum number of OFDM symbols for DL front loaded DMRS |  | 1 |
| CSI-RS for tracking | CSI-RS periodicity | Slots | Table 5.2-1 |
|  | CSI-RS offset | Slots | Table 5.2-1 |
| Number of HARQ Processes | |  | 4 |
| The number of slots between PDSCH and corresponding HARQ-ACK information | |  | 2 |

Table 5.2.2.1.17-3: Minimum performance for Rank 1

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Test num. | Reference channel (Note 1) | Bandwidth (MHz) / Subcarrier spacing (kHz) | Modulation format and code rate | Propagation condition | Correlation matrix and antenna configuration | Reference value | |
|  |  |  |  |  |  | Fraction of maximum throughput (%) | SNR (dB) |
| 1-1 | R.PDSCH.1-1.1 FDD  R.PDSCH.1-1.1 HD-FDD | 10 / 15 | QPSK, 0.30 | TDLB100-400 | 2x2, ULA Low | 70 | -0.8 |
| 1-2 | R.PDSCH.1-2.1 FDD  R.PDSCH.1-1.2 HD-FDD | 10 / 15 | 16QAM, 0.48 | TDLC300-100 | 2x2, ULA Low | 70 | [8.1] |
| 1-3 | R.PDSCH.1-4.1 FDD  R.PDSCH.1-1.5 HD-FDD | 10 / 15 | 256QAM, 0.82 | TDLA30-10 | 2x2, ULA Low | 70 | 24.6 |
| Note 1: Applied reference channel depends on the supported operation mode: FDD or HD-FDD. | | | | | | | |

Table 5.2.2.1.17-4: Minimum performance for Rank 2

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Test num. | Reference channel (Note 1) | Bandwidth (MHz) / Subcarrier spacing (kHz) | Modulation format and code rate | Propagation condition | Correlation matrix and antenna configuration | Reference value | |
|  |  |  |  |  |  | Fraction of maximum throughput (%) | SNR (dB) |
| 2-1 | R.PDSCH.1-3.1 FDD  R.PDSCH.1-2.1 HD-FDD | 10 / 15 | 64QAM, 0.50 | TDLA30-10 | 2x2, ULA Low | 70 | 19.4 |
| Note 1: Applied reference channel depends on the supported operation mode: FDD or HD-FDD. | | | | | | | |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

### 5.3.1 1RX requirements

#### 5.3.1.1 FDD

The parameters specified in Table 5.3.2.1-1 are valid for all FDD tests unless otherwise stated.

Table 5.3.1.1-1: Test Parameters

|  |  |  |
| --- | --- | --- |
| Parameter | Unit |  |
| CCE to REG mapping type |  | nonInterleaved |
| REG bundle size |  | 6 |
| Shift index |  | 0 |

##### 5.3.1.1.1 Minimum requirements for RedCap

For the parameters specified in Table 5.3.1.1-1, the average probability of a missed downlink scheduling grant (Pm-dsg) shall be below the specified value in Table 5.3.1.1.1-1. The downlink physical setup is in accordance with Annex C.3.1.

Table 5.3.1.1.1-1: Minimum performance for UE supporting full-duplex FDD or half-duplex FDD

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Test number | Bandwidth (MHz) | CORESET RB | CORESET duration | Aggregation level | Reference Channel | Propagation Condition | Antenna configuration and correlation Matrix | Reference value | |
| Pm-dsg (%) | SNR (dB) |
| 1 | 10 | 48 | 1 | 8 | R.PDCCH. 1-1.3 FDD | TDLA30-10 | 2x1 Low | 1 | [5.8] |

#### 5.3.1.2 TDD

The parameters specified in Table 5.3.1.2-1 are valid for all TDD tests unless otherwise stated.

Table 5.3.1.2-1: Test Parameters

|  |  |  |
| --- | --- | --- |
| Parameter | Unit |  |
| TDD UL-DL pattern |  | FR1.30-1 |
| CCE to REG mapping type |  | interleaved |
| Interleaver size |  | 3 |
| REG bundle size |  | 2 |
| Shift Index |  | 0 |

##### 5.3.1.2.1 Minimum requirements for RedCap

For the parameters specified in Table 5.3.1.2-1, the average probability of a missed downlink scheduling grant (Pm-dsg) shall be below the specified value in Table 5.3.1.2.1-1. The downlink physical setup is in accordance with Annex C.3.1.

Table 5.3.1.2.1-1: Minimum performance for PDCCH with 30 kHz SCS

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Test number | Bandwidth (MHz) | CORESET RB | CORESET duration | Aggregation level | Reference Channel | Propagation Condition | Antenna configuration and correlation Matrix | Reference value | |
| Pm-dsg (%) | SNR (dB) |
| 1 | 20 | 48 | 1 | 4 | R.PDCCH. 2-1.5 TDD | TDLC300-100 | 1x1 | 1 | [8.6] |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

##### 5.3.2.2.4 Minimum requirements for RedCap

For the parameters specified in Table 5.3.2.2-1, the average probability of a missed downlink scheduling grant (Pm-dsg) shall be below the specified value in Table 5.3.2.2.4-1. The downlink physical setup is in accordance with Annex C.3.1.

Table 5.3.2.2.4-1: Minimum performance for PDCCH with 30 kHz SCS

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Test number | Bandwidth (MHz) | CORESET RB | CORESET duration | Aggregation level | Reference Channel | Propagation Condition | Antenna configuration and correlation Matrix | Reference value | |
| Pm-dsg (%) | SNR (dB) |
| 1 | 20 | 48 | 1 | 4 | R.PDCCH. 2-1.5 TDD | TDLC300-100 | 1x2 Low | 1 | [3.6] |
| 2 | 20 | 48 | 1 | 8 | R.PDCCH. 2-1.6 TDD | TDLC300- 100 | 2x2 Low | 1 | [0.0] |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

### 5.4.1 1RX requirements

#### 5.4.1.1 FDD

For the parameters specified in Table 5.4-1 the average probability of a miss-detected PBCH (Pm-bch) shall be below the specified values in Table 5.4.1.1-1 in case SS/PBCH block index is not known. The downlink physical setup is in accordance with Annex C.3.1.

Table 5.4.1.1-1: Minimum performance PBCH in case SS/PBCH block index is not known for RedCap

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Test number | Bandwidth (MHz) / Subcarrier spacing (kHz) | Reference channel | Propagation condition | Antenna configuration and correlation matrix | Reference value | |
| Pm-bch (%) | SNR (dB) |
| 1 | 10 / 15 | R.PBCH.1 | TDLC300-100 | 1 x 1 Low | 1 | [-2.2] |

#### 5.4.1.2 TDD

Table 5.4.1.2-1: Test parameters for PBCH

|  |  |  |
| --- | --- | --- |
| Parameter | Unit | Single antenna port |
| TDD UL-DL pattern |  | FR1.30-1 |

For the parameters specified in Table 5.4-1 and Table 5.4.2.1-1 the average probability of a miss-detected PBCH (Pm-bch) shall be below the specified values in Table 5.4.1.2-2 in case SS/PBCH block index is not known. The downlink physical setup is in accordance with Annex C.3.1.

Table 5.4.1.2-2: Minimum performance PBCH in case SS/BPCH block index is not known for RedCap

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Test number | Bandwidth (MHz) / Subcarrier spacing (kHz) | Reference channel | Propagation condition | Antenna configuration and correlation matrix | Reference value | |
| Pm-bch (%) | SNR (dB) |
| 1 | 20 / 30 | R.PBCH.2 | TDLA30-10 | 1 x 1 Low | 1 | [-0.8] |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

## 5.5 Sustained downlink data rate provided by lower layers

### 5.5.1 FR1 single carrier requirements

The requirements in this clause are applicable to the FR1 single carrier case.

The requirements and procedure defined in Clause 5.5A.1 apply using operating band instead of CA configuration, and bandwidth instead of bandwidth combination.

For RedCap, the requirements and procedure are defined in Clause 5.5A.1 except that the MIMO layers is configured to 2 for UE supporting 2 MIMO layers and 1 for UE supporting 1 MIMO layers for all operating band. Antenna configuration is 1x1 for UE supporting 1 layer and 2x2 for UE supporting 2 layers.

For RedCap UE with HD-FDD mode, the additional test parameters are specified in Table 5.5.1-1

Table 5.5.1-1: Additional test parameters for HD-FDD single carrier

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Value** |
| Duplex mode | |  | HD-FDD |
| PDSCH configuration | Starting symbol (S) |  | 1 |
| Length (L) |  | 13 |
| Number of HARQ Processes | |  | 4 |
| Full DL slots (Note 1, Note 2) | |  | For slots i, if mod(i, 5) = {0,1,2} |
| K1 value (Note 2) | |  | 4 if mod(i, 5) = 0  3 if mod(i, 5) = 1  2 if mod(i, 5) = 2 |
| Note 1: PDSCH is scheduled only on full DL slots.  Note 2: i is the slot index per frame; i = {1, 2, …, 19}. | | | |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

6.1.1.6 Applicability of requirements for RedCap

The performance requirements in Table 6.1.1.6-1 shall apply for UEs which support optional feature *supportOfRedCap*.

Other performance requirements mandatory for UE supporting NR operation defined in Section 6 but not included in table 6.1.1.6-1 should not be considered applicable to RedCap UEs.

**Table 6.1.1.6-1: Requirements applicability for RedCap**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **UE capability** | **Test type** | | **Test list** | **Applicability notes** |
| RedCap with 1RX | FR1 FDD and HD-FDD (Note 1) | CQI | All tests in Clause 6.2.1.1.1.1  All tests in Clause 6.2.1.1.2.1 |  |
|  |  | PMI | All tests in Clause 6.3.1.1.1 |  |
|  | FR1 TDD | CQI | All tests in Clause 6.2.1.2.1.1  All tests in Clause 6.2.1.2.2.1 |  |
|  |  | PMI | All tests in Clause 6.3.1.2.1 |  |
| RedCap with 2RX | FR1 FDD and HD-FDD (Note 1) | CQI | All tests in Clause 6.2.2.1.1.4  All tests in Clause 6.2.2.1.2.4 |  |
|  |  | PMI | Clause 6.3.2.1.1 (Test 1) |  |
|  |  | RI | Clause 6.4.2.1.1 (Test 1) |  |
|  | FR1 TDD | CQI | All tests in Clause 6.2.2.2.1.5  All tests in Clause 6.2.2.2.2.4 |  |
|  |  | PMI | Clause 6.3.2.2.7 (Test 1) |  |
|  |  | RI | Clause 6.4.2.2.1 (Test 1) |  |
| Note 1: If UE support only HD-FDD in a FDD band, this UE is tested with HD-FDD mode otherwise UE is tested with full-duplex FDD mode | | | | |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

###### 6.2.1.1.1.1 Minimum requirement for periodic CQI reporting for RedCap

For the parameters specified in Table 6.2.1.1.1.1-1, and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified by the following:

a) The reported CQI value according to the reference channel shall be in the range of ±1 of the reported median more than 90% of the time.

b) If the PDSCH BLER using the transport format indicated by median CQI is less than or equal to 0.1, then the BLER using the transport format indicated by the (median CQI+1) shall be greater than 0.1. If the PDSCH BLER using the transport format indicated by the median CQI is greater than 0.1, then the BLER using transport format indicated by (median CQI-1) shall be less than or equal to 0.1.

Table 6.2.1.1.1.1-1: CQI reporting definition test

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | | | **Unit** | **Test 1** | | **Test 2** | |
| Bandwidth | | | MHz | 10 | | | |
| Subcarrier spacing | | | kHz | 15 | | | |
| Duplex Mode | | |  | FDD | | | |
| SNR | | | dB | 5 | 6 | 11 | 12 |
| Propagation channel | | |  | AWGN | | | |
| Antenna configuration | | |  | 2×1 with static channel specified in Annex B.1 | | | |
| Beamforming Model | | |  | As specified in Annex B.4.1 | | | |
| ZP CSI-RS configuration | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 4 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 5,4 | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 9 | | | |
| CSI-RS  periodicity and offset | | slot | 10/5 | | | |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 2 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 3,(6) | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 13 | | | |
| NZP CSI-RS-timeConfig  periodicity and offset | | slot | 10/5 | | | |
| CSI-IM configuration | CSI-IM resource Type | |  | Periodic | | | |
| CSI-IM RE pattern | |  | 0 | | | |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) | |  | (4, 9) | | | |
| CSI-IM timeConfig  periodicity and offset | | slot | 10/5 | | | |
| ReportConfigType | | |  | Periodic | | | |
| CQI-table | | |  | Table 1 | | | |
| reportQuantity | | |  | cri-RI-PMI-CQI | | | |
| timeRestrictionForChannelMeasurements | | |  | Not configured | | | |
| timeRestrictionForInterferenceMeasurements | | |  | Not configured | | | |
| cqi-FormatIndicator | | |  | Wideband | | | |
| pmi-FormatIndicator | | |  | Wideband | | | |
| Sub-band Size | | | RB | 8 | | | |
| Csi-ReportingBand | | |  | 1111111 | | | |
| CSI-Report periodicity and offset | | | slot | 10/9 | | | |
| aperiodicTriggeringOffset | | |  | Not configured | | | |
| Codebook configuration | | Codebook Type |  | typeI-SinglePanel | | | |
| Codebook Mode |  | 1 | | | |
| (CodebookConfig-N1,CodebookConfig-N2) |  | Not configured | | | |
| CodebookSubsetRestriction |  | 000001 | | | |
| RI Restriction |  | N/A | | | |
| Physical channel for CSI report | | |  | PUCCH | | | |
| CQI/RI/PMI delay | | | ms | 10 | | | |
| Maximum number of HARQ transmission | | |  | 1 | | | |
| Measurement channel | | |  | As specified in Table A.4-1, TBS.1-3 | | | |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

6.2.1.1.2.1 Minimum requirement for wideband CQI reporting for RedCap

The purpose of the requirements is to verify that the RedCap UE is tracking the channel variations and selecting the largest transport format possible according to the prevailing channel state for the frequency non-selective scheduling.

The reporting accuracy of CQI under frequency non-selective fading conditions is determined by the reporting variance, the relative increase of the throughput obtained when the transport format is indicated by the reported CQI compared to the throughput obtained when a fixed transport format is configured according to the reported median CQI, and a minimum BLER using the transport formats indicated by the reported CQI. To account for sensitivity of the input SNR the wideband CQI reporting under frequency selective fading conditions is considered to be verified if the reporting accuracy is met for at least one of two SNR levels separated by an offset of 1 dB.

For the parameters specified in Table 6.2.1.1.2.1-1 and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified by the following:

a) A CQI index not in the set {median CQI -1, median CQI, median CQI +1} shall be reported at least *α*% of the time where *α*% is specified in Table 6.2.1.1.2.1-2;

b) The ratio of the throughput obtained when transmitting the transport format indicated by each reported wideband CQI index and that obtained when transmitting a fixed transport format configured according to the wideband CQI median shall be ≥ *γ*, where *γ* is specified in Table 6.2.1.1.2.1-2;

c) When transmitting the transport format indicated by each reported wideband CQI index, the average BLER for the indicated transport formats shall be greater than or equal to 0.02.

Table 6.2.1.1.2.1-1: Wideband CQI reporting test under frequency non-selective fading conditions

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | | Unit | Test 1 | | Test 2 | |
| Bandwidth | | | MHz | 10 | | | |
| Subcarrier spacing | | | kHz | 15 | | | |
| Duplex Mode | | |  | FDD | | | |
| SNR | | | dB | 9 | 10 | 15 | 16 |
| Propagation channel | | |  | TDLA30-5 | | | |
| Antenna configuration | | |  | 2×1 | | | |
| Correlation configuration | | |  | ULA high | | | |
| Beamforming Model | | |  | As specified in Annex B.4.1 | | | |
| ZP CSI-RS configuration | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 4 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 5,4 | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 9 | | | |
| CSI-RS  periodicity and offset | | slot | 10/5 | | | |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 2 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 3,(6) | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 13 | | | |
| NZP CSI-RS-timeConfig  periodicity and offset | | slot | 10/5 | | | |
| CSI-IM configuration | CSI-IM resource Type | |  | Periodic | | | |
| CSI-IM RE pattern | |  | 0 | | | |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) | |  | (4, 9) | | | |
| CSI-IM timeConfig  periodicity and offset | | slot | 10/5 | | | |
| ReportConfigType | | |  | Periodic | | | |
| CQI-table | | |  | Table 1 | | | |
| reportQuantity | | |  | cri-RI-PMI-CQI | | | |
| timeRestrictionForChannelMeasurements | | |  | Not configured | | | |
| timeRestrictionForInterferenceMeasurements | | |  | Not configured | | | |
| cqi-FormatIndicator | | |  | Wideband | | | |
| pmi-FormatIndicator | | |  | Wideband | | | |
| Sub-band Size | | | RB | 8 | | | |
| Csi-ReportingBand | | |  | 1111111 | | | |
| CSI-Report periodicity and offset | | | slot | 10/9 | | | |
| aperiodicTriggeringOffset | | |  | Not configured | | | |
| Codebook configuration | | Codebook Type |  | typeI-SinglePanel | | | |
| Codebook Mode |  | 1 | | | |
| (CodebookConfig-N1,CodebookConfig-N2) |  | Not configured | | | |
| CodebookSubsetRestriction |  | 000001 | | | |
| RI Restriction |  | N/A | | | |
| Physical channel for CSI report | | |  | PUCCH | | | |
| CQI/RI/PMI delay | | | ms | 10 | | | |
| Maximum number of HARQ transmission | | |  | 1 | | | |
| Measurement channel | | |  | As specified in Table A.4-1, TBS.1-3 | | | |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

###### 6.2.1.2.1.1 Minimum requirement for periodic CQI reporting for RedCap

The purpose of the requirements is to verify that the reported CQI values are in accordance with the CQI definition given in TS 38.214 [12]. The reporting accuracy of CQI under AWGN condition is determined by the reporting variance and BLER performance using the transport format indicated by the reported CQI median. To account for sensitivity of the input SNR the reporting definition is considered to be verified if the reporting accuracy is met for at least one of two SNR levels separated by an offset of 1 dB.

For the parameters specified in Table 6.2.1.2.1.1-1, and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified by the following:

a) The reported CQI value according to the reference channel shall be in the range of ±1 of the reported median more than 90% of the time.

b) If the PDSCH BLER using the transport format indicated by median CQI is less than or equal to 0.1, then the BLER using the transport format indicated by the (median CQI+1) shall be greater than 0.1. If the PDSCH BLER using the transport format indicated by the median CQI is greater than 0.1, then the BLER using transport format indicated by (median CQI-1) shall be less than or equal to 0.1.

Table 6.2.1.2.1.1-1: CQI reporting definition test

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | | | **Unit** | **Test 1** | | **Test 2** | |
| Bandwidth | | | MHz | 20 | | | |
| Subcarrier spacing | | | kHz | 30 | | | |
| Duplex Mode | | |  | TDD | | | |
| TDD UL-DL pattern | | |  | FR1.30-1 | | | |
| SNR | | | dB | 5 | 6 | 11 | 12 |
| Propagation channel | | |  | AWGN | | | |
| Antenna configuration | | |  | 2×1 with static channel specified in Annex B.1 | | | |
| Beamforming Model | | |  | As specified in Annex B.4.1 | | | |
| ZP CSI-RS configuration | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 4 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 5,4 | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 9 | | | |
| CSI-RS  periodicity and offset | | slot | 10/1 | | | |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 2 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 3,(6) | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 13 | | | |
| NZP CSI-RS-timeConfig  periodicity and offset | | slot | 10/1 | | | |
| CSI-IM configuration | CSI-IM resource Type | |  | Periodic | | | |
| CSI-IM RE pattern | |  | 0 | | | |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) | |  | (4, 9) | | | |
| CSI-IM timeConfig  periodicity and offset | | slot | 10/1 | | | |
| ReportConfigType | | |  | Periodic | | | |
| CQI-table | | |  | Table 1 | | | |
| reportQuantity | | |  | cri-RI-PMI-CQI | | | |
| timeRestrictionForChannelMeasurements | | |  | Not configured | | | |
| timeRestrictionForInterferenceMeasurements | | |  | Not configured | | | |
| cqi-FormatIndicator | | |  | Wideband | | | |
| pmi-FormatIndicator | | |  | Wideband | | | |
| Sub-band Size | | | RB | 8 | | | |
| Csi-ReportingBand | | |  | 1111111 | | | |
| CSI-Report periodicity and offset | | | slot | 10/9 | | | |
| aperiodicTriggeringOffset | | |  | Not configured | | | |
| Codebook configuration | | Codebook Type |  | typeI-SinglePanel | | | |
| Codebook Mode |  | 1 | | | |
| (CodebookConfig-N1,CodebookConfig-N2) |  | Not configured | | | |
| CodebookSubsetRestriction |  | 000001 | | | |
| RI Restriction |  | N/A | | | |
| Physical channel for CSI report | | |  | PUCCH | | | |
| CQI/RI/PMI delay | | | ms | 9.5 | | | |
| Maximum number of HARQ transmission | | |  | 1 | | | |
| Measurement channel | | |  | As specified in Table A.4-1, TBS.1-5 | | | |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

6.2.1.2.2.1 Minimum requirement for wideband CQI reporting for RedCap

The purpose of the requirements is to verify that the RedCap UE is tracking the channel variations and selecting the largest transport format possible according to the prevailing channel state for the frequency non-selective scheduling.

The reporting accuracy of CQI under frequency non-selective fading conditions is determined by the reporting variance, the relative increase of the throughput obtained when the transport format is indicated by the reported CQI compared to the throughput obtained when a fixed transport format is configured according to the reported median CQI, and a minimum BLER using the transport formats indicated by the reported CQI. To account for sensitivity of the input SNR the reporting definition is considered to be verified if the reporting accuracy is met for at least one of two SNR levels separated by an offset of 1 dB.

For the parameters specified in Table 6.2.1.2.2.1-1 and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified by the following:

a) A CQI index not in the set {median CQI -1, median CQI, median CQI +1} shall be reported at least *α*% of the time where *α*% is specified in Table 6.2.1.2.2.1-2;

b) The ratio of the throughput obtained when transmitting the transport format indicated by each reported wideband CQI index and that obtained when transmitting a fixed transport format configured according to the wideband CQI median shall be ≥ *γ*, where *γ* is specified in Table 6.2.1.2.2.1-2;

c) When transmitting the transport format indicated by each reported wideband CQI index, the average BLER for the indicated transport formats shall be greater than or equal to 0.02.

Table 6.2.1.2.2.1-1: Wideband CQI reporting test under frequency non-selective fading conditions

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Parameter | | | Unit | Test 1 | | Test 2 | |
| Bandwidth | | | MHz | 20 | | | |
| Subcarrier spacing | | | kHz | 30 | | | |
| Duplex Mode | | |  | TDD | | | |
| TDD UL-DL pattern | | |  | FR1.30-1 | | | |
| SNR | | | dB | 9 | 10 | 15 | 16 |
| Propagation channel | | |  | TDLA30-5 | | | |
| Antenna configuration | | |  | 2×1 | | | |
| Correlation configuration | | |  | ULA high | | | |
| Beamforming Model | | |  | As specified in Annex B.4.1 | | | |
| ZP CSI-RS configuration | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 4 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 5,4 | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 9 | | | |
| CSI-RS  periodicity and offset | | slot | 10/1 | | | |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 2 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 3,(6) | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 13 | | | |
| NZP CSI-RS-timeConfig  periodicity and offset | | slot | 10/1 | | | |
| CSI-IM configuration | CSI-IM resource Type | |  | Periodic | | | |
| CSI-IM RE pattern | |  | 0 | | | |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) | |  | (4, 9) | | | |
| CSI-IM timeConfig  periodicity and offset | | slot | 10/1 | | | |
| ReportConfigType | | |  | Periodic | | | |
| CQI-table | | |  | Table 1 | | | |
| reportQuantity | | |  | cri-RI-PMI-CQI | | | |
| timeRestrictionForChannelMeasurements | | |  | Not configured | | | |
| timeRestrictionForInterferenceMeasurements | | |  | Not configured | | | |
| cqi-FormatIndicator | | |  | Wideband | | | |
| pmi-FormatIndicator | | |  | Wideband | | | |
| Sub-band Size | | | RB | 8 | | | |
| Csi-ReportingBand | | |  | 1111111 | | | |
| CSI-Report periodicity and offset | | | slot | 10/9 | | | |
| aperiodicTriggeringOffset | | |  | Not configured | | | |
| Codebook configuration | | Codebook Type |  | typeI-SinglePanel | | | |
| Codebook Mode |  | 1 | | | |
| (CodebookConfig-N1,CodebookConfig-N2) |  | Not configured | | | |
| CodebookSubsetRestriction |  | 000001 | | | |
| RI Restriction |  | N/A | | | |
| Physical channel for CSI report | | |  | PUCCH | | | |
| CQI/RI/PMI delay | | | ms | 9.5 | | | |
| Maximum number of HARQ transmission | | |  | 1 | | | |
| Measurement channel | | |  | As specified in Table A.4-1, TBS.1-5 | | | |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

###### 6.2.2.1.1.4 Minimum requirement for periodic CQI reporting for RedCap

For the parameters specified in Table 6.2.2.1.1.4-1, and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified by the following:

a) The reported CQI value according to the reference channel shall be in the range of ±1 of the reported median more than 90% of the time.

b) If the PDSCH BLER using the transport format indicated by median CQI is less than or equal to 0.1, then the BLER using the transport format indicated by the (median CQI+1) shall be greater than 0.1. If the PDSCH BLER using the transport format indicated by the median CQI is greater than 0.1, then the BLER using transport format indicated by (median CQI-1) shall be less than or equal to 0.1.

Table 6.2.2.1.1.4-1: CQI reporting definition test

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | | | **Unit** | **Test 1** | | **Test 2** | |
| Bandwidth | | | MHz | 10 | | | |
| Subcarrier spacing | | | kHz | 15 | | | |
| Duplex Mode | | |  | FDD | | | |
| SNR | | | dB | 8 | 9 | 14 | 15 |
| Propagation channel | | |  | AWGN | | | |
| Antenna configuration | | |  | 2×2 with static channel specified in Annex B.1 | | | |
| Beamforming Model | | |  | As specified in Annex B.4.1 | | | |
| ZP CSI-RS configuration | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 4 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 5,4 | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 9 | | | |
| CSI-RS  periodicity and offset | | slot | 10/5 | | | |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 2 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 3,(6) | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 13 | | | |
| NZP CSI-RS-timeConfig  periodicity and offset | | slot | 10/5 | | | |
| CSI-IM configuration | CSI-IM resource Type | |  | Periodic | | | |
| CSI-IM RE pattern | |  | 0 | | | |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) | |  | (4, 9) | | | |
| CSI-IM timeConfig  periodicity and offset | | slot | 10/5 | | | |
| ReportConfigType | | |  | Periodic | | | |
| CQI-table | | |  | Table 1 | | | |
| reportQuantity | | |  | cri-RI-PMI-CQI | | | |
| timeRestrictionForChannelMeasurements | | |  | Not configured | | | |
| timeRestrictionForInterferenceMeasurements | | |  | Not configured | | | |
| cqi-FormatIndicator | | |  | Wideband | | | |
| pmi-FormatIndicator | | |  | Wideband | | | |
| Sub-band Size | | | RB | 8 | | | |
| Csi-ReportingBand | | |  | 1111111 | | | |
| CSI-Report periodicity and offset | | | slot | 10/9 | | | |
| aperiodicTriggeringOffset | | |  | Not configured | | | |
| Codebook configuration | | Codebook Type |  | typeI-SinglePanel | | | |
| Codebook Mode |  | 1 | | | |
| (CodebookConfig-N1,CodebookConfig-N2) |  | Not configured | | | |
| CodebookSubsetRestriction |  | 010000 | | | |
| RI Restriction |  | N/A | | | |
| Physical channel for CSI report | | |  | PUCCH | | | |
| CQI/RI/PMI delay | | | ms | 10 | | | |
| Maximum number of HARQ transmission | | |  | 1 | | | |
| Measurement channel | | |  | As specified in Table A.4-1, TBS.1-4 | | | |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

###### 6.2.2.2.1.5 Minimum requirement for periodic CQI reporting for RedCap

The purpose of the requirements is to verify that the reported CQI values are in accordance with the CQI definition given in TS 38.214 [12]. The reporting accuracy of CQI under AWGN condition is determined by the reporting variance and BLER performance using the transport format indicated by the reported CQI median. To account for sensitivity of the input SNR the reporting definition is considered to be verified if the reporting accuracy is met for at least one of two SNR levels separated by an offset of 1 dB.

For the parameters specified in Table 6.2.2.2.1.5-1, and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified by the following:

a) The reported CQI value according to the reference channel shall be in the range of ±1 of the reported median more than 90% of the time.

b) If the PDSCH BLER using the transport format indicated by median CQI is less than or equal to 0.1, then the BLER using the transport format indicated by the (median CQI+1) shall be greater than 0.1. If the PDSCH BLER using the transport format indicated by the median CQI is greater than 0.1, then the BLER using transport format indicated by (median CQI-1) shall be less than or equal to 0.1.

Table 6.2.2.2.1.5-1: CQI reporting definition test

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | | | **Unit** | **Test 1** | | **Test 2** | |
| Bandwidth | | | MHz | 20 | | | |
| Subcarrier spacing | | | kHz | 30 | | | |
| Duplex Mode | | |  | TDD | | | |
| TDD UL-DL pattern | | |  | FR1.30-1 | | | |
| SNR | | | dB | 8 | 9 | 14 | 15 |
| Propagation channel | | |  | AWGN | | | |
| Antenna configuration | | |  | 2×2 with static channel specified in Annex B.1 | | | |
| Beamforming Model | | |  | As specified in Annex B.4.1 | | | |
| ZP CSI-RS configuration | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 4 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 5,4 | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 9 | | | |
| CSI-RS  periodicity and offset | | slot | 10/1 | | | |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type | |  | Periodic | | | |
| Number of CSI-RS ports (*X*) | |  | 2 | | | |
| CDM Type | |  | FD-CDM2 | | | |
| Density (ρ) | |  | 1 | | | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 3,(6) | | | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 13 | | | |
| NZP CSI-RS-timeConfig  periodicity and offset | | slot | 10/1 | | | |
| CSI-IM configuration | CSI-IM resource Type | |  | Periodic | | | |
| CSI-IM RE pattern | |  | 0 | | | |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) | |  | (4, 9) | | | |
| CSI-IM timeConfig  periodicity and offset | | slot | 10/1 | | | |
| ReportConfigType | | |  | Periodic | | | |
| CQI-table | | |  | Table 1 | | | |
| reportQuantity | | |  | cri-RI-PMI-CQI | | | |
| timeRestrictionForChannelMeasurements | | |  | Not configured | | | |
| timeRestrictionForInterferenceMeasurements | | |  | Not configured | | | |
| cqi-FormatIndicator | | |  | Wideband | | | |
| pmi-FormatIndicator | | |  | Wideband | | | |
| Sub-band Size | | | RB | 8 | | | |
| Csi-ReportingBand | | |  | 1111111 | | | |
| CSI-Report periodicity and offset | | | slot | 10/9 | | | |
| aperiodicTriggeringOffset | | |  | Not configured | | | |
| Codebook configuration | | Codebook Type |  | typeI-SinglePanel | | | |
| Codebook Mode |  | 1 | | | |
| (CodebookConfig-N1,CodebookConfig-N2) |  | Not configured | | | |
| CodebookSubsetRestriction |  | 010000 | | | |
| RI Restriction |  | N/A | | | |
| Physical channel for CSI report | | |  | PUCCH | | | |
| CQI/RI/PMI delay | | | ms | 9.5 | | | |
| Maximum number of HARQ transmission | | |  | 1 | | | |
| Measurement channel | | |  | As specified in Table A.4-1, TBS.1-6 | | | |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

6.2.2.2.2.4 Minimum requirement for wideband CQI reporting for RedCap

The purpose of the requirements is to verify that the RedCap UE is tracking the channel variations and selecting the largest transport format possible according to the prevailing channel state for the frequency non-selective scheduling.

The reporting accuracy of CQI under frequency non-selective fading conditions is determined by the reporting variance, the relative increase of the throughput obtained when the transport format is indicated by the reported CQI compared to the throughput obtained when a fixed transport format is configured according to the reported median CQI, and a minimum BLER using the transport formats indicated by the reported CQI. To account for sensitivity of the input SNR the reporting definition is considered to be verified if the reporting accuracy is met for at least one of two SNR levels separated by an offset of 1 dB.

For the parameters specified in Table 6.2.2.2.2.4-1 and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified by the following:

a) A CQI index not in the set {median CQI -1, median CQI, median CQI +1} shall be reported at least *α*% of the time where *α*% is specified in Table 6.2.2.2.2.4-2;

b) The ratio of the throughput obtained when transmitting the transport format indicated by each reported wideband CQI index and that obtained when transmitting a fixed transport format configured according to the wideband CQI median shall be ≥ *γ*, where *γ* is specified in Table 6.2.2.2.2.4-2;

c) When transmitting the transport format indicated by each reported wideband CQI index, the average BLER for the indicated transport formats shall be greater than or equal to 0.02.

Table 6.2.2.2.2.4-1: Wideband CQI reporting test under frequency non-selective fading conditions

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Parameter | | | Unit | Test 1 | |
| Bandwidth | | | MHz | 20 | |
| Subcarrier spacing | | | kHz | 30 | |
| Duplex Mode | | |  | TDD | |
| TDD UL-DL pattern | | |  | FR1.30-1 | |
| SNR | | | dB | 6 | 7 |
| Propagation channel | | |  | TDLA30-5 | |
| Antenna configuration | | |  | 2×2 | |
| Correlation configuration | | |  | ULA high | |
| Beamforming Model | | |  | As specified in Annex B.4.1 | |
| ZP CSI-RS configuration | CSI-RS resource Type | |  | Periodic | |
| Number of CSI-RS ports (*X*) | |  | 4 | |
| CDM Type | |  | FD-CDM2 | |
| Density (ρ) | |  | 1 | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 5,4 | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 9 | |
| CSI-RS  periodicity and offset | | slot | 10/1 | |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type | |  | Periodic | |
| Number of CSI-RS ports (*X*) | |  | 2 | |
| CDM Type | |  | FD-CDM2 | |
| Density (ρ) | |  | 1 | |
| First subcarrier index in the PRB used for CSI-RS (k0) | |  | Row 3,(6) | |
| First OFDM symbol in the PRB used for CSI-RS (l0) | |  | 13 | |
| NZP CSI-RS-timeConfig  periodicity and offset | | slot | 10/1 | |
| CSI-IM configuration | CSI-IM resource Type | |  | Periodic | |
| CSI-IM RE pattern | |  | 0 | |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) | |  | (4, 9) | |
| CSI-IM timeConfig  periodicity and offset | | slot | 10/1 | |
| ReportConfigType | | |  | Periodic | |
| CQI-table | | |  | Table 1 | |
| reportQuantity | | |  | cri-RI-PMI-CQI | |
| timeRestrictionForChannelMeasurements | | |  | Not configured | |
| timeRestrictionForInterferenceMeasurements | | |  | Not configured | |
| cqi-FormatIndicator | | |  | Wideband | |
| pmi-FormatIndicator | | |  | Wideband | |
| Sub-band Size | | | RB | 8 | |
| Csi-ReportingBand | | |  | 1111111 | |
| CSI-Report periodicity and offset | | | slot | 10/9 | |
| aperiodicTriggeringOffset | | |  | Not configured | |
| Codebook configuration | | Codebook Type |  | typeI-SinglePanel | |
|  | | Codebook Mode |  | 1 | |
|  | | (CodebookConfig-N1,CodebookConfig-N2) |  | Not configured | |
|  | | CodebookSubsetRestriction |  | 000001 | |
|  | | RI Restriction |  | N/A | |
| Physical channel for CSI report | | |  | PUCCH | |
| CQI/RI/PMI delay | | | ms | 9.5 | |
| Maximum number of HARQ transmission | | |  | 1 | |
| Measurement channel | | |  | As specified in Table A.4-1, TBS.1-6 | |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

## 6.3 Reporting of Precoding Matrix Indicator (PMI)

The minimum performance requirements of PMI reporting are defined based on the precoding gain, expressed as the relative increase in throughput when the transmitter is configured according to the UE reported PMI compared to the case when the transmitter is using random precoding, respectively. When the transmitter uses random precoding, for each PDSCH allocation a precoder is randomly generated with equal probability of each applicable i1 and i2 combination and applied to the PDSCH. A fixed transport format (FRC) is configured for all requirements.

The requirements for transmission scheme 1 with higher layer parameter *codebookType* set to 'typeI-SinglePanel' are specified in terms of the ratio:



In the definition of *γ*, for 4TX, 8TX, 16TX, and 32TX PMI requirements, is 90 % of the maximum throughput obtained at  using the precoders configured according to the UE reports, and is the throughput measured at with random precoding.

The requirements for transmission scheme 1 with higher layer parameter *codebookType* set to 'typeII' or 'typeII-r16' are specified in terms of the ratio:



In the definition of *γ*, for 16TX PMI requirements, is 90 % of the maximum throughput obtained at  using the precoders configured according to the UE reports, and is the throughput measured at with random precoding.

### 6.3.1 1RX requirements

#### 6.3.1.1 FDD

##### 6.3.1.1.1 Single PMI with 4TX TypeI-SinglePanel Codebook for RedCap

For the parameters specified in Table 6.3.1.1.1-1, and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified in Table 6.3.1.1.1-2. The requirements are

Table 6.3.1.1.1-1: Test parameters (single layer)

|  |  |  |  |
| --- | --- | --- | --- |
| Parameter | | Unit | Test 1 |
| Bandwidth | | MHz | 10 |
| Subcarrier spacing | | kHz | 15 |
| Duplex Mode | |  | FDD |
| Propagation channel | |  | TDLA30-5 |
| Antenna configuration | |  | High ULA 4 x 1 |
| Beamforming Model | |  | As specified in Annex B.4.1 |
| ZP CSI-RS configuration | CSI-RS resource Type |  | Periodic |
| Number of CSI-RS ports (*X*) |  | 4 |
| CDM Type |  | FD-CDM2 |
| Density (ρ) |  | 1 |
| First subcarrier index in the PRB used for CSI-RS (k0) |  | Row 5,(4) |
| First OFDM symbol in the PRB used for CSI-RS (l0, l1) |  | (9) |
| CSI-RS  periodicity and offset | slot | 5/1 |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type |  | Aperiodic |
| Number of CSI-RS ports (*X*) |  | 4 |
| CDM Type |  | FD-CDM2 |
| Density (ρ) |  | 1 |
| First subcarrier index in the PRB used for CSI-RS (k0) |  | Row 4, (0) |
| First OFDM symbol in the PRB used for CSI-RS (l0) |  | (13) |
| CSI-RS  periodicity and offset |  | Not configured |
| aperiodicTriggeringOffset |  | 0 |
| CSI-IM configuration | CSI-IM resource Type |  | Aperiodic |
| CSI-IM RE pattern |  | Pattern 0 |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) |  | (4,9) |
| CSI-IM timeConfig  periodicity and offset | slot | Not configured |
| ReportConfigType | |  | Aperiodic |
| CQI-table | |  | Table 1 |
| reportQuantity | |  | cri-RI-PMI-CQI |
| timeRestrictionForChannelMeasurements | |  | Not configured |
| timeRestrictionForInterferenceMeasurements | |  | Not configured |
| cqi-FormatIndicator | |  | Wideband |
| pmi-FormatIndicator | |  | Wideband |
| Sub-band Size | | RB | 8 |
| csi-ReportingBand | |  | 1111111 |
| CSI-Report periodicity and offset | | slot | Not configured |
| Aperiodic Report Slot Offset | |  | 3 |
| CSI request | |  | 1 in slots i, where mod(i, 5) = 1, otherwise it is equal to 0 |
| reportTriggerSize | |  | 1 |
| CSI-AperiodicTriggerStateList | |  | One State with one Associated Report Configuration  Associated Report Configuration contains pointers to NZP CSI-RS and CSI-IM |
| Codebook configuration | Codebook Type |  | typeI-SinglePanel |
| Codebook Mode |  | 1 |
| (CodebookConfig-N1,CodebookConfig-N2) |  | (2,1) |
| (CodebookConfig-O1,CodebookConfig-O2) |  | (4,1) |
| CodebookSubsetRestriction |  | 11111111 |
| RI Restriction |  | 00000001 |
| Physical channel for CSI report | |  | PUSCH |
| CQI/RI/PMI delay | | ms | 6 |
| Maximum number of HARQ transmission | |  | 4 |
| Measurement channel (Note 4) | |  | R.PDSCH.1-6.1 FDD  R.PDSCH.1-3.1 HD-FDD |
| PDSCH & PDSCH DMRS Precoding configuration for random Precoding | |  | Single Panel Type I, Random precoder selection updated per slot, with equal probability of each applicable i1, i2 combination, and with Wideband granularity |
| Note 1: When Throughput is measured using random precoder selection, the precoder shall be updated in each slot (1 ms granularity) with equal probability of each applicable i1, i2 combination.  Note 2: If the UE reports in an available uplink reporting instance at slot#n based on PMI estimation at a downlink slot not later than slot#(n-3), this reported PMI cannot be applied at the gNB downlink before slot#(n+3).  Note 3: Randomization of the principle beam direction shall be used as specified in Annex B.2.3.2.3.  Note 4: Applied reference channel depends on the supported operation mode: FDD or HD-FDD | | | |

Table 6.3.1.1.1-2: Minimum requirement

|  |  |
| --- | --- |
| Parameter | Test 1 |
| ** | 1.3 |

#### 6.3.1.2 TDD

##### 6.3.1.2.1 Single PMI with 4TX TypeI-SinglePanel Codebook for RedCap

For the parameters specified in Table 6.3.1.2.1-1, and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified in Table 6.3.1.2.1-2.

Table 6.3.1.2.1-1: Test parameters (single layer)

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Test 1** |
| Bandwidth | | MHz | 20 |
| Subcarrier spacing | | kHz | 30 |
| Duplex Mode | |  | TDD |
| TDD DL-UL configuration | |  | FR1.30-1 as specified in Annex A |
| Propagation channel | |  | TDLA30-5 |
| Antenna configuration | |  | High ULA 4 x 1 |
| Beamforming Model | |  | As specified in Annex B.4.1 |
| ZP CSI-RS configuration | CSI-RS resource Type |  | Periodic |
| Number of CSI-RS ports (*X*) |  | 4 |
| CDM Type |  | FD-CDM2 |
| Density (ρ) |  | 1 |
| First subcarrier index in the PRB used for CSI-RS (k0) |  | Row 5,(4) |
| First OFDM symbol in the PRB used for CSI-RS (l0) |  | (9) |
| CSI-RS  periodicity and offset | slot | 10/1 |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type |  | Aperiodic |
| Number of CSI-RS ports (*X*) |  | 4 |
| CDM Type |  | FD-CDM2 |
| Density (ρ) |  | 1 |
| First subcarrier index in the PRB used for CSI-RS (k0) |  | Row 4, (0) |
| First OFDM symbol in the PRB used for CSI-RS (l0) |  | (13) |
| CSI-RS  periodicity and offset | slot | Not configured |
| aperiodicTriggeringOffset |  | 0 |
| CSI-IM configuration | CSI-IM resource Type |  | Aperiodic |
| CSI-IM RE pattern |  | Pattern 0 |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) |  | (4,9) |
| CSI-IM timeConfig  periodicity and offset | slot | Not configured |
| ReportConfigType | |  | Aperiodic |
| CQI-table | |  | Table 1 |
| reportQuantity | |  | cri-RI-PMI-CQI |
| timeRestrictionForChannelMeasurements | |  | Not configured |
| timeRestrictionForInterferenceMeasurements | |  | Not configured |
| cqi-FormatIndicator | |  | Wideband |
| pmi-FormatIndicator | |  | Wideband |
| Sub-band Size | | RB | 8 |
| csi-ReportingBand | |  | 1111111 |
| CSI-Report periodicity and offset | | slot | Not configured |
| Aperiodic Report Slot Offset | |  | 8 |
| CSI request | |  | 1 in slots i, where mod(i, 10) = 1, otherwise it is equal to 0 |
| reportTriggerSize | |  | 1 |
| CSI-AperiodicTriggerStateList | |  | One State with one Associated Report Configuration  Associated Report Configuration contains pointers to NZP CSI-RS and CSI-IM |
| Codebook configuration | Codebook Type |  | typeI-SinglePanel |
| Codebook Mode |  | 1 |
| (CodebookConfig-N1,CodebookConfig-N2) |  | (2,1) |
| (CodebookConfig-O1,CodebookConfig-O2) |  | (4,1) |
| CodebookSubsetRestriction |  | 11111111 |
| RI Restriction |  | 00000001 |
| Physical channel for CSI report | |  | PUSCH |
| CQI/RI/PMI delay | | ms | 5.5 |
| Maximum number of HARQ transmission | |  | 4 |
| Measurement channel | |  | R.PDSCH.2-8.4 TDD |
| PDSCH & PDSCH DMRS Precoding configuration for random Precoding | |  | Single Panel Type I, Random precoder selection updated per slot, with equal probability of each applicable i1, i2 combination, and with Wideband granularity |
| Note 1: When Throughput is measured using random precoder selection, the precoder shall be updated in each slot (0.5 ms granularity) with equal probability of each applicable i1, i2 combination.  Note 2: If the UE reports in an available uplink reporting instance at slot #n based on PMI estimation at a downlink slot not later than slot#(n-4), this reported PMI cannot be applied at the gNB downlink before slot#(n+4).  Note 3: Randomization of the principle beam direction shall be used as specified in Annex B.2.3.2.3. | | | |

Table 6.3.2.2.1-2: Minimum requirement

|  |  |
| --- | --- |
| **Parameter** | **Test 1** |
| ** | 1.3 |

### 6.3.2 2RX requirements

#### 6.3.2.1 FDD

##### 6.3.2.1.1 Single PMI with 4TX TypeI-SinglePanel Codebook

For the parameters specified in Table 6.3.2.1.1-1, and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified in Table 6.3.2.1.1-2.

Table 6.3.2.1.1-1: Test parameters (single layer)

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Test 1** |
| Bandwidth | | MHz | 10 |
| Subcarrier spacing | | kHz | 15 |
| Duplex Mode | |  | FDD |
| Propagation channel | |  | TDLA30-5 |
| Antenna configuration | |  | High XP 4 x 2  (N1,N2) = (2,1) |
| Beamforming Model | |  | As specified in Annex B.4.1 |
| ZP CSI-RS configuration | CSI-RS resource Type |  | Periodic |
| Number of CSI-RS ports (*X*) |  | 4 |
| CDM Type |  | FD-CDM2 |
| Density (ρ) |  | 1 |
| First subcarrier index in the PRB used for CSI-RS (k0) |  | Row 5,(4) |
| First OFDM symbol in the PRB used for CSI-RS (l0, l1) |  | (9) |
| CSI-RS  periodicity and offset | slot | 5/1 |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type |  | Aperiodic |
| Number of CSI-RS ports (*X*) |  | 4 |
| CDM Type |  | FD-CDM2 |
| Density (ρ) |  | 1 |
| First subcarrier index in the PRB used for CSI-RS (k0) |  | Row 4, (0) |
| First OFDM symbol in the PRB used for CSI-RS (l0) |  | (13) |
| CSI-RS  periodicity and offset |  | Not configured |
| aperiodicTriggeringOffset |  | 0 |
| CSI-IM configuration | CSI-IM resource Type |  | Aperiodic |
| CSI-IM RE pattern |  | Pattern 0 |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) |  | (4,9) |
| CSI-IM timeConfig  periodicity and offset | slot | Not configured |
| ReportConfigType | |  | Aperiodic |
| CQI-table | |  | Table 1 |
| reportQuantity | |  | cri-RI-PMI-CQI |
| timeRestrictionForChannelMeasurements | |  | Not configured |
| timeRestrictionForInterferenceMeasurements | |  | Not configured |
| cqi-FormatIndicator | |  | Wideband |
| pmi-FormatIndicator | |  | Wideband |
| Sub-band Size | | RB | 8 |
| csi-ReportingBand | |  | 1111111 |
| CSI-Report periodicity and offset | | slot | Not configured |
| Aperiodic Report Slot Offset | |  | 4 |
| CSI request | |  | 1 in slots i, where mod(i, 5) = 1, otherwise it is equal to 0 |
| reportTriggerSize | |  | 1 |
| CSI-AperiodicTriggerStateList | |  | One State with one Associated Report Configuration  Associated Report Configuration contains pointers to NZP CSI-RS and CSI-IM |
| Codebook configuration | Codebook Type |  | typeI-SinglePanel |
| Codebook Mode |  | 1 |
| (CodebookConfig-N1,CodebookConfig-N2) |  | (2,1) |
| (CodebookConfig-O1,CodebookConfig-O2) |  | (4,1) |
| CodebookSubsetRestriction |  | 11111111 |
| RI Restriction |  | 00000001 |
| Physical channel for CSI report | |  | PUSCH |
| CQI/RI/PMI delay | | ms | 6 |
| Maximum number of HARQ transmission | |  | 4 |
| Measurement channel (Note 4) | |  | R.PDSCH.1-6.1 FDD  R.PDSCH.1-3.1 HD-FDD |
| PDSCH & PDSCH DMRS Precoding configuration for random Precoding | |  | Single Panel Type I, Random precoder selection updated per slot, with equal probability of each applicable i1, i2 combination, and with Wideband granularity |
| Note 1: When Throughput is measured using random precoder selection, the precoder shall be updated in each slot (1 ms granularity) with equal probability of each applicable i1, i2 combination.  Note 2: If the UE reports in an available uplink reporting instance at slot#n based on PMI estimation at a downlink slot not later than slot#(n-3), this reported PMI cannot be applied at the gNB downlink before slot#(n+3).  Note 3: Randomization of the principle beam direction shall be used as specified in Annex B.2.3.2.3.  Note 4: Applied reference channel depends on the supported operation mode: FDD or HD-FDD. | | | |

Table 6.3.2.1.1-2: Minimum requirement

|  |  |
| --- | --- |
| **Parameter** | **Test 1** |
| ** | 1.3 |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

6.3.2.2.7 Single PMI with 4TX TypeI-SinglePanel Codebook for RedCap

For the parameters specified in Table 6.3.2.2.7-1, and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified in Table 6.3.2.2.7-2.

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

##### 6.4.2.1.1 Minimum requirements for RedCap

The minimum performance requirement in Table 6.4.2.1.1-2 is defined as the ratio of the throughput obtained when transmitting based on UE reported RI and that obtained when transmitting with fixed rank 1 shall be ≥ .

For the parameters specified in Table 6.4.2.1.1-1, and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified in Table 6.4.2.1.1-2.

Table 6.4.2.1.1-1: RI Test (FDD)

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Test 1** |
| Bandwidth | | MHz | 10 |
| Subcarrier spacing | | kHz | 15 |
| Duplex Mode | |  | FDD |
| SNR | | dB | 20 |
| Propagation channel | |  | TDLA30-5 |
| Antenna configuration | |  | ULA Low 2x2 |
| Beamforming Model | |  | As defined in Annex B.4.1 |
| ZP CSI-RS configuration | CSI-RS resource Type |  | Periodic |
| Number of CSI-RS ports (*X*) |  | 4 |
| CDM Type |  | FD-CDM2 |
| Density (ρ) |  | 1 |
| First subcarrier index in the PRB used for CSI-RS (k0) |  | Row 5,(4) |
| First OFDM symbol in the PRB used for CSI-RS (l0) |  | (9) |
| CSI-RS  periodicity and offset | slot | 10/5 |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type |  | Periodic |
| Number of CSI-RS ports (*X*) |  | 2 |
| CDM Type |  | FD-CDM2 |
| Density (ρ) |  | 1 |
| First subcarrier index in the PRB used for CSI-RS (k0) |  | Row 3 (6) |
| First OFDM symbol in the PRB used for CSI-RS (l0) |  | (13) |
| NZP CSI-RS-timeConfig  periodicity and offset | slot | 10/5 |
| CSI-IM configuration | CSI-IM resource Type |  | Periodic |
| CSI-IM RE pattern |  | 0 |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) |  | (4,9) |
| CSI-IM timeConfig  periodicity and offset | slot | 10/5 |
| ReportConfigType | |  | Periodic |
| CQI-table | |  | Table 1 |
| reportQuantity | |  | cri-RI-PMI-CQI |
| timeRestrictionForChannelMeasurements | |  | not configured |
| timeRestrictionForInterferenceMeasurements | |  | not configured |
| cqi-FormatIndicator | |  | Wideband |
| pmi-FormatIndicator | |  | Wideband |
| Sub-band Size | | RB | 8 |
| csi-ReportingBand | |  | 1111111 |
| CSI-Report periodicity and offset | | slot | 10/9 |
| Codebook configuration | Codebook Type |  | typeI-SinglePanel |
| Codebook Mode |  | 1 |
| (CodebookConfig-N1,CodebookConfig-N2) |  | N/A |
| CodebookSubsetRestriction |  | 000011 for fixed rank 1, 010011 for following rank |
| RI Restriction |  | N/A |
| Physical channel for CSI report | |  | PUCCH |
| CQI/RI/PMI delay | | ms | 10 |
| Maximum number of HARQ transmission | |  | 1 |
| RI Configuration | |  | Fixed RI = 1 and follow RI |
| Note 1: Measurement channels are specified in Table A.4-1.  TBS.1-3 is used for Rank 1 case. TBS.1-4 is used for Rank 2 case. | | | |

Table 6.4.2.1.1-2: Minimum requirement (FDD)

|  |  |
| --- | --- |
|  | **Test 1** |
| **1 | 1.05 |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

##### 6.4.2.2.1 Minimum requirements for RedCap

The minimum performance requirement in Table 6.4.2.2.1-2 is defined as the ratio of the throughput obtained when transmitting based on UE reported RI and that obtained when transmitting with fixed rank 1 shall be ≥ .

For the parameters specified in Table 6.4.2.2.1-1, and using the downlink physical channels specified in Annex C.3.1, the minimum requirements are specified in Table 6.4.2.2.1-2.

Table 6.4.2.2.1-1: RI Test (TDD)

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | | **Unit** | **Test 1** |
| Bandwidth | | MHz | 20 |
| Subcarrier spacing | | kHz | 30 |
| Duplex Mode | |  | TDD |
| TDD Slot Configuration | |  | FR1.30-1 |
| SNR | | dB | 20 |
| Propagation channel | |  | TDLA30-5 |
| Antenna configuration | |  | ULA Low 2x2 |
| Beamforming Model | |  | As defined in Annex B.4.1 |
| ZP CSI-RS configuration | CSI-RS resource Type |  | Periodic |
| Number of CSI-RS ports (*X*) |  | 4 |
| CDM Type |  | FD-CDM2 |
| Density (ρ) |  | 1 |
| First subcarrier index in the PRB used for CSI-RS (k0) |  | Row 5,(4) |
| First OFDM symbol in the PRB used for CSI-RS (l0) |  | (9) |
| CSI-RS  periodicity and offset | slot | 10/1 |
| NZP CSI-RS for CSI acquisition | CSI-RS resource Type |  | Periodic |
| Number of CSI-RS ports (*X*) |  | 2 |
| CDM Type |  | FD-CDM2 |
| Density (ρ) |  | 1 |
| First subcarrier index in the PRB used for CSI-RS (k0) |  | Row 3 (6) |
| First OFDM symbol in the PRB used for CSI-RS (l0) |  | (13) |
| NZP CSI-RS-timeConfig  periodicity and offset | slot | 10/1 |
| CSI-IM configuration | CSI-IM resource Type |  | Periodic |
| CSI-IM RE pattern |  | 0 |
| CSI-IM Resource Mapping  (kCSI-IM,lCSI-IM) |  | (4,9) |
| CSI-IM timeConfig  periodicity and offset | slot | 10/1 |
| ReportConfigType | |  | Periodic |
| CQI-table | |  | Table 1 |
| reportQuantity | |  | cri-RI-PMI-CQI |
| timeRestrictionForChannelMeasurements | |  | not configured |
| timeRestrictionForInterferenceMeasurements | |  | not configured |
| cqi-FormatIndicator | |  | Wideband |
| pmi-FormatIndicator | |  | Wideband |
| Sub-band Size | | RB | 8 |
| csi-ReportingBand | |  | 1111111 |
| CSI-Report periodicity and offset | | slot | 10/9 |
| Codebook configuration | Codebook Type |  | typeI-SinglePanel |
| Codebook Mode |  | 1 |
| (CodebookConfig-N1,CodebookConfig-N2) |  | N/A |
| CodebookSubsetRestriction |  | 000011 for fixed rank 1, 010011 for following rank |
| RI Restriction |  | N/A |
| Physical channel for CSI report | |  | PUCCH |
| CQI/RI/PMI delay | | ms | 9.5 |
| Maximum number of HARQ transmission | |  | 1 |
| RI Configuration | |  | Fixed RI = 1 and follow RI |
| Note 1: Measurement channels are specified in Table A.4-1.  TBS.1-5 is used for Rank 1 case. TBS.1-6 is used for Rank 2 case. | | | |

Table 6.4.2.2.1-2: Minimum requirement (TDD)

|  |  |
| --- | --- |
|  | **Test 1** |
| **1 | 1.05 |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

#### A.3.2.2.2 Reference measurement channels for SCS 30 kHz FR1

Table A.3.2.2.2-1: PDSCH Reference Channel for TDD UL-DL pattern FR1.30-1 and FR1.30-1A (QPSK)

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | | | | |
| Reference channel |  | R.PDSCH.2-1.1 TDD | R.PDSCH.2-1.2 TDD | R.PDSCH.2-1.3 TDD | R.PDSCH.2-1.4 TDD | R.PDSCH.2-1.5 TDD |
| Channel bandwidth | MHz | 40 | 40 | 40 | 40 | 20 |
| Subcarrier spacing | kHz | 30 | 30 | 30 | 30 | 30 |
| Allocated resource blocks | PRBs | 106 | 6 | 106 | 106 | 51 |
| Number of consecutive PDSCH symbols |  |  |  |  |  |  |
| For Slots 0 and Slot i, if mod(i, 10) = {8,9} for i from {0,…,39} |  | N/A | N/A | N/A | N/A | N/A |
| For Slot i, if mod(i, 10) = 7 for i from {0,…,39} |  | 4 | 4 | N/A | N/A | 4 |
| For Slot i, if mod(i, 10) = {0,1,2,3,4,5,6} for i from {1,…,39} |  | 12 | 12 | 7 | 12 | 12 |
| Allocated slots per 2 frames |  | 31 | 31 | 27 | 27 | 31 |
| MCS table |  | 64QAM | 64QAM | 64QAM | 64QAMLowSE | 64QAM |
| MCS index |  | 4 | 4 | 4 | 14 | 4 |
| Modulation |  | QPSK | QPSK | QPSK | QPSK | QPSK |
| Target Coding Rate |  | 0.30 | 0.30 | 0.30 | 0.59 | 0.30 |
| Number of MIMO layers |  | 1 | 1 | 1 | 1 | 1 |
| Number of DMRS REs |  |  |  |  |  |  |
| For Slots 0 and Slot i, if mod(i, 10) = {8,9} for i from {0,…,39} |  | N/A | N/A | N/A | N/A | N/A |
| For Slot i, if mod(i, 10) = 7 for i from {0,…,39} |  | 6 | 6 | N/A | N/A | 6 |
| For Slot i, if mod(i, 10) = {0,1,2,3,4,5,6} for i from {1,…,39} |  | 18 | 12 | 12 | 12 | 18 |
| Overhead for TBS determination |  | 0 | 0 | 0 | 0 | 0 |
| Information Bit Payload per Slot |  |  |  |  |  |  |
| For Slots 0 and Slot i, if mod(i, 10) = {8,9} for i from {0,…,39} | Bits | N/A | N/A | N/A | N/A | N/A |
| For Slot i, if mod(i, 10) = 7 for i from {0,…,39} | Bits | 2664 | 144 | N/A | N/A | 1288 |
| For Slot i, if mod(i, 10) = {0,1,2,3,4,5,6} for i from {1,…,39} | Bits | 8064 | 480 | 4608 | 16392 | 3840 |
| Transport block CRC per Slot |  |  |  |  |  |  |
| For Slots 0 and Slot i, if mod(i, 10) = {8,9} for i from {0,…,39} | Bits | N/A | N/A | N/A | N/A | N/A |
| For Slot i, if mod(i, 10) = 7 for i from {0,…,39} | Bits | 16 | 16 | N/A | N/A | 16 |
| For Slot i, if mod(i, 10) = {0,1,2,3,4,5,6} for i from {1,…,39} | Bits | 24 | 16 | 24 | 24 | 24 |
| Number of Code Blocks per Slot |  |  |  |  |  |  |
| For Slots 0 and Slot i, if mod(i, 10) = {8,9} for i from {0,…,39} | CBs | N/A | N/A | N/A | N/A | N/A |
| For Slot i, if mod(i, 10) = 7 for i from {0,…,39} | CBs | 1 | 1 | N/A | N/A | 1 |
| For Slot i, if mod(i, 10) = {0,1,2,3,4,5,6} for i from {1,…,39} | CBs | 1 | 1 | 1 | 2 | 1 |
| Binary Channel Bits Per Slot |  |  |  |  |  |  |
| For Slots 0 and Slot i, if mod(i, 10) = {8,9} for i from {0,…,39} | Bits | N/A | N/A | N/A | N/A | N/A |
| For Slots i = 20, 21 | Bits | 25440 | 1512 | 13992 | 26712 | 12240 |
| For Slot i, if mod(i, 10) = 7 for i from {0,…,39} | Bits | 8904 | 504 | N/A | N/A | 4284 |
| For Slot i, if mod(i, 10) = {0,1,2,3,4,5,6} for i from {1,…,19,22,…,39} | Bits | 26712 | 1584 | 15264 | 27984 | 12852 |
| Max. Throughput averaged over 2 frames | Mbps | 11.419 | 0.677 | 6.221 | 22.129 | 5.442 |
| Note 1: SS/PBCH block is transmitted in slot #0 with periodicity 20 ms  Note 2: Slot i is slot index per 2 frames | | | | | | |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

### A.3.2.3 HD-FDD

#### A.3.2.3.1 Reference measurement channels for SCS 15 kHz FR1

Table A.3.2.3.1-1: PDSCH Reference Channel for HD-FDD

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Parameter | Unit | Value | | | | |
| Reference channel |  | R.PDSCH.1-1.1 HD-FDD | R.PDSCH.1-1.2 HD-FDD | R.PDSCH.1-1.3 HD-FDD | R.PDSCH.1-1.4 HD-FDD | R.PDSCH.1-1.5 HD-FDD |
| Channel bandwidth | MHz | 10 | 10 | 10 | 10 | 10 |
| Subcarrier spacing | kHz | 15 | 15 | 15 | 15 | 15 |
| Number of allocated resource blocks | PRBs | 52 | 52 | 52 | 52 | 52 |
| Number of consecutive PDSCH symbols |  |  |  |  |  |  |
| For Slot i, if mod(i, 5) = 3 for i from {0,…,19} |  | 8 | 8 | 8 | 8 | 8 |
| For Slot i, if mod(i, 5) = {0,1,2} for i from {1,…,19} |  | 12 | 12 | 12 | 12 | 12 |
| Allocated slots per 2 frames | Slots | 15 | 15 | 15 | 15 | 15 |
| MCS table |  | 64QAM | 64QAM | 64QAM | 256QAM | 256QAM |
| MCS index |  | 4 | 13 | 19 | 20 | 24 |
| Modulation |  | QPSK | 16QAM | 64QAM | 256QAM | 256QAM |
| Target Coding Rate |  | 0.30 | 0.48 | 0.51 | 0.67 | 0.82 |
| Number of MIMO layers |  | 1 | 1 | 1 | 1 | 1 |
| Number of DMRS REs |  |  |  |  |  |  |
| For Slot i, if mod(i, 5) = 3 for i from {0,…,19} |  | 18 | 12 | 12 | 12 | 12 |
| For Slot i, if mod(i, 5) = {0,1,2} for i from {1,…,19} |  | 18 | 12 | 12 | 12 | 12 |
| Overhead for TBS determination |  | 0 | 0 | 0 | 0 | 0 |
| Information Bit Payload per Slot |  |  |  |  |  |  |
| For Slot i = 0 | Bits | N/A | N/A | N/A | N/A | N/A |
| For Slot i, if mod(i, 5) = 3 for i from {0,…,19} | Bits | 2472 | 8456 | 13320 | 23040 | 28680 |
| For Slot i, if mod(i, 5) = {0,1,2} for i from {1,…,19} | Bits | 3904 | 13064 | 21000 | 36896 | 45096 |
| Transport block CRC per Slot |  |  |  |  |  |  |
| For Slot i = 0 | Bits | N/A | N/A | N/A | N/A | N/A |
| For Slot i, if mod(i, 5) = 3 for i from {0,…,19} | Bits | 24 | 24 | 24 | 24 | 24 |
| For Slot i, if mod(i, 5) = {0,1,2} for i from {1,…,19} | Bits | 24 | 24 | 24 | 24 | 24 |
| Number of Code Blocks per Slot |  |  |  |  |  |  |
| For Slot i = 0 | CBs | N/A | N/A | N/A | N/A | N/A |
| For Slot i, if mod(i, 5) = 3 for i from {0,…,19} | CBs | 1 | 2 | 2 | 3 | 4 |
| For Slot i, if mod(i, 5) = {0,1,2} for i from {1,…,19} | CBs | 1 | 2 | 3 | 5 | 6 |
| Binary Channel Bits Per Slot |  |  |  |  |  |  |
| For Slot i = 0 | Bits | N/A | N/A | N/A | N/A | N/A |
| For Slots i = 10, 11 | Bits | 12480 | 26208 | 39312 | 52416 | TBA |
| For Slot i, if mod(i, 5) = 3 for i from {0,…,19} | Bits | 8112 | 17472 | 26208 | 34944 | 34944 |
| For Slot i, if mod(i, 5) = {0,1,2} for i from {1,…,9,12,…,19} | Bits | 13104 | 27456 | 41184 | 54912 | 54912 |
| Max. Throughput averaged over 2 frames | Mbps | 2.642 | 11.489 | 14.214 | 24.901 | 30.539 |
| Note 1: SS/PBCH block is transmitted in slot #0 with periodicity 20 ms  Note 2: Slot i is slot index per 2 frames | | | | | | |

Table A.3.2.3.1-2: PDSCH Reference Channel for HD-FDD

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Parameter | Unit | Value | | | | |
| Reference channel |  | R.PDSCH.1-2.1 HD-FDD |  |  |  |  |
| Channel bandwidth | MHz | 10 |  |  |  |  |
| Subcarrier spacing | kHz | 15 |  |  |  |  |
| Number of allocated resource blocks | PRBs | 52 |  |  |  |  |
| Number of consecutive PDSCH symbols |  |  |  |  |  |  |
| For Slot i, if mod(i, 5) = 3 for i from {0,…,19} |  | 8 |  |  |  |  |
| For Slot i, if mod(i, 5) = {0,1,2} for i from {1,…,19} |  | 12 |  |  |  |  |
| Allocated slots per 2 frames | Slots | 15 |  |  |  |  |
| MCS table |  | 64QAM |  |  |  |  |
| MCS index |  | 19 |  |  |  |  |
| Modulation |  | 64QAM |  |  |  |  |
| Target Coding Rate |  | 0.51 |  |  |  |  |
| Number of MIMO layers |  | 2 |  |  |  |  |
| Number of DMRS REs |  |  |  |  |  |  |
| For Slot i, if mod(i, 5) = 3 for i from {0,…,19} |  | 12 |  |  |  |  |
| For Slot i, if mod(i, 5) = {0,1,2} for i from {1,…,19} |  | 12 |  |  |  |  |
| Overhead for TBS determination |  | 0 |  |  |  |  |
| Information Bit Payload per Slot |  |  |  |  |  |  |
| For Slot i = 0 | Bits | N/A |  |  |  |  |
| For Slot i, if mod(i, 5) = 3 for i from {0,…,19} | Bits | 26632 |  |  |  |  |
| For Slot i, if mod(i, 5) = {0,1,2} for i from {1,…,19} | Bits | 42016 |  |  |  |  |
| Transport block CRC per Slot |  |  |  |  |  |  |
| For Slot i = 0 | Bits | N/A |  |  |  |  |
| For Slot i, if mod(i, 5) = 3 for i from {0,…,19} | Bits | 24 |  |  |  |  |
| For Slot i, if mod(i, 5) = {0,1,2} for i from {1,…,19} | Bits | 24 |  |  |  |  |
| Number of Code Blocks per Slot |  |  |  |  |  |  |
| For Slot i = 0 | CBs | N/A |  |  |  |  |
| For Slot i, if mod(i, 5) = 3 for i from {0,…,19} | CBs | 4 |  |  |  |  |
| For Slot i, if mod(i, 5) = {0,1,2} for i from {1,…,19} | CBs | 5 |  |  |  |  |
| Binary Channel Bits Per Slot |  |  |  |  |  |  |
| For Slot i = 0 | Bits | N/A |  |  |  |  |
| For Slots i = 10, 11 | Bits | 78624 |  |  |  |  |
| For Slot i, if mod(i, 5) = 3 for i from {0,…,19} | Bits | 52416 |  |  |  |  |
| For Slot i, if mod(i, 5) = {0,1,2} for i from {1,…,9,12,…,19} | Bits | 82368 |  |  |  |  |
| Max. Throughput averaged over 2 frames | Mbps | 28.435 |  |  |  |  |
| Note 1: SS/PBCH block is transmitted in slot #0 with periodicity 20 ms  Note 2: Slot i is slot index per 2 frames | | | | | | |

Table A.3.2.3.1-3: PDSCH Reference Channel for HD-FDD PMI reporting requirements

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Parameter** | **Unit** | **Value** | | | | |
| Reference channel |  | R.PDSCH.1-3.1 HD-FDD |  |  |  |  |
| Channel bandwidth | MHz | 10 |  |  |  |  |
| Subcarrier spacing | kHz | 15 |  |  |  |  |
| Number of allocated resource blocks | PRBs | 52 |  |  |  |  |
| Number of consecutive PDSCH symbols |  |  |  |  |  |  |
| For Slot i, if mod(i, 5) = 3 for i from {0,…,19} |  | 8 |  |  |  |  |
| For Slot i, if mod(i, 5) = {0,2} for i from {1,…,19} |  | 12 |  |  |  |  |
| Allocated slots per 2 frames | Slots | 11 |  |  |  |  |
| MCS table |  | 64QAM |  |  |  |  |
| MCS index |  | 13 |  |  |  |  |
| Modulation |  | 16QAM |  |  |  |  |
| Target Coding Rate |  | 0.48 |  |  |  |  |
| Number of MIMO layer |  | 1 |  |  |  |  |
| Number of DMRS REs (Note 3) |  | 24 |  |  |  |  |
| Overhead for TBS determination |  | 0 |  |  |  |  |
| Information Bit Payload per Slot |  |  |  |  |  |  |
| For Slot i = 0 | Bits | N/A |  |  |  |  |
| For CSI Slots i, if mod (i,5) =1, i={0,…,19} |  | N/A |  |  |  |  |
| For Non CSI-RS Slot i, if mod (i,5) =3, i={0,..19} | Bits | 7168 |  |  |  |  |
| For Non CSI-RS Slot i, if mod (i,5) ={0,2}, i={1,..19} | Bits | 12040 |  |  |  |  |
| Transport block CRC per Slot |  |  |  |  |  |  |
| For Slot i = 0 | Bits | N/A |  |  |  |  |
| For CSI Slots i, if mod (i,5) =1, i={0,…,19} |  | N/A |  |  |  |  |
| For Non CSI-RS Slot i, if mod (i,5) =3, i={0,..19} | Bits | 24 |  |  |  |  |
| For Non CSI-RS Slot i, if mod (i,5) ={0,2}, i={1,..19} | Bits | 24 |  |  |  |  |
| Number of Code Blocks per Slot |  |  |  |  |  |  |
| For Slot i = 0 | CBs | N/A |  |  |  |  |
| For CSI Slots i, if mod (i,5) =1, i={0,…,19} |  | N/A |  |  |  |  |
| For Non CSI-RS Slot i, if mod (i,5) =3, i={0,..,19} | CBs | 1 |  |  |  |  |
| For Non CSI-RS Slot i, if mod (i,5) ={0,2}, i={1,..,19} | CBs | 2 |  |  |  |  |
| Binary Channel Bits Per Slot |  |  |  |  |  |  |
| For Slot i = 0 | Bits | N/A |  |  |  |  |
| For CSI Slots i, if mod (i,5) =1, i={0,…,19} |  | N/A |  |  |  |  |
| For Slots i = 10 | Bits | 23712 |  |  |  |  |
| For Non CSI-RS Slot i, if mod (i,5) =3, i={0,..,19} | Bits | 14976 |  |  |  |  |
| For Non CSI-RS Slot i, if mod (i,5) ={0,2}, i={1,..9,11,…,19} | Bits | 24960 |  |  |  |  |
| Max. Throughput averaged over 2 frames | Mbps | 5.648 |  |  |  |  |
| Note 1: SS/PBCH block is transmitted in slot #0 with periodicity 20 ms  Note 2: Slot i is slot index per 2 frames  Note 3: Number of DMRS REs includes the overhead of the DM-RS CDM groups without data | | | | | | |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

# A.4 CSI reference measurement channels

This clause defines the DL signal applicable to the reporting of channel state information (Clauses 6 and 8).

Tables in this clause specifies the mapping of CQI index to Information Bit payload, which complies with the CQI definition specified in clause 5.2.2.1 of TS 38.214 [12] and with MCS definition specified in clause 5.1.3 of TS 38.214 [12].

Table A.4-1: Mapping of CQI Index to Information Bit payload (CQI table 1)

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| TBS Scheme | | | | TBS.1-1 | TBS.1-2 | TBS.1-3 | TBS.1-4 | TBS.1-5 | TBS.1-6 |
| MCS table | | | | 64QAM | | | | | |
| Number of allocated PDSCH resource blocks | | | | 66 | 66 | 52 | 52 | 51 | 51 |
| Number of consecutive PDSCH symbols | | | | 12 | 12 | 12 | 12 | 12 | 12 |
| Number of PDSCH MIMO layers | | | | 1 | 2 | 1 | 2 | 1 | 2 |
| Number of DMRS REs (Note 1) | | | | 24 | 24 | 24 | 24 | 24 | 24 |
| Overhead for TBS determination | | | | 6 | 6 | 0 | 0 | 0 | 0 |
| Available RE-s | | | | 7590 | 7590 | 6240 | 6240 | 6120 | 6120 |
| CQI index | Spectral efficiency | MCS index | Modulation | Information Bit Payload per Slot | | | | | |
| 0 | OOR | OOR | OOR | N/A | N/A | N/A | N/A | N/A | N/A |
| 1 | 0.2344 | 0 | QPSK | 1800 | 3624 | 1480 | 2976 | 1480 | 2856 |
| 2 | 0.2344 | 0 | 1800 | 3624 | 1480 | 2976 | 1480 | 2856 |
| 3 | 0.3770 | 2 | 2856 | 5640 | 2408 | 4744 | 2408 | 4616 |
| 4 | 0.6016 | 4 | 4480 | 8968 | 3752 | 7424 | 3752 | 7296 |
| 5 | 0.8770 | 6 | 6528 | 13064 | 5504 | 11016 | 5376 | 10760 |
| 6 | 1.1758 | 8 | 8712 | 17928 | 7296 | 14600 | 7168 | 14344 |
| 7 | 1.4766 | 11 | 16QAM | 11016 | 22032 | 9224 | 18432 | 8968 | 17928 |
| 8 | 1.9141 | 13 | 14344 | 28680 | 12040 | 24072 | 11784 | 23568 |
| 9 | 2.4063 | 15 | 17928 | 35856 | 15112 | 30216 | 14600 | 29192 |
| 10 | 2.7305 | 18 | 64QAM | 20496 | 40976 | 16896 | 33816 | 16896 | 33816 |
| 11 | 3.3223 | 20 | 25104 | 50184 | 20496 | 40976 | 20496 | 40976 |
| 12 | 3.9023 | 22 | 29192 | 58384 | 24576 | 49176 | 24072 | 48168 |
| 13 | 4.5234 | 24 | 33816 | 67584 | 28168 | 56368 | 27656 | 55304 |
| 14 | 5.1152 | 26 | 38936 | 77896 | 31752 | 63528 | 31240 | 62504 |
| 15 | 5.5547 | 28 | 42016 | 83976 | 34816 | 69672 | 33816 | 67584 |
| Note 1: Number of DMRS REs includes the overhead of the DM-RS CDM groups without data  Note 2: PDSCH is not scheduled on slots containing CSI-RS for tracking, CSI-RS for CSI acquisition and CSI-RS for beam refinement or slots which are not full DL  Note 3: PDSCH is not scheduled on slots containing PBCH, i.e. slot#0 per 20ms periodicity  Note 4: Spectral efficiency is based on MCS Table defined in Table 5.1.3.1-1 of TS 38.214 [12] | | | | | | | | | |

------------------------------------------------------------- End of change ------------------------------------------------------------

----------------------------------------------------- Beginning of Change ------------------------------------------------------------

B.2.3.1.2 MIMO Correlation Matrices at High, Medium and Low Level

The *α* and *β* for different correlation types are given in Table B.2.3.1.2-1.

**Table B.2.3.1.2-1: The *α* and *β* parameters for ULA MIMO correlation matrices**

|  |  |  |
| --- | --- | --- |
| **Correlation Model** | *α* | *β* |
| **Low correlation** | 0 | 0 |
| **Medium Correlation** | 0.3 | 0.9 |
| **Medium Correlation A** | 0.3 | 0.3874 |
| **High Correlation** | 0.9 | 0.9 |

The correlation matrices for high, medium, medium A and low correlation are defined in Table B.2.3.1.2-2, B.2.3.1.2-3, B.2.3.1.2-4 and B.2.3.1.2-5 as below.

The values in Table B.2.3.1.2-2 have been adjusted for the 4x2 and 4x4 high correlation cases to insure the correlation matrix is positive semi-definite after round-off to 4 digit precision. This is done using the equation:



Where the value "*a*" is a scaling factor such that the smallest value is used to obtain a positive semi-definite result. For the 4x1 high correlation and 4x2 high correlation case, *a*=0.00010. For the 4x4 high correlation case, *a*=0.00012.

The same method is used to adjust the 2x4 and 4x4 medium correlation matrix in Table B.2.3.1.2-3 to insure the correlation matrix is positive semi-definite after round-off to 4 digit precision with *a* = 0.00010 and *a* = 0.00012.

**Table B.2.3.1.2-2: MIMO correlation matrices for high correlation**

|  |  |
| --- | --- |
| **1x2 case** |  |
| **2x1 case** |  |
| **2x2 case** |  |
| **4x1**  **case** |  |
| **4x2 case** |  |
| **4x4 case** |  |

------------------------------------------------------------- End of change ------------------------------------------------------------