**3GPP TSG-RAN WG4 Meeting # 104-bis-e R4-22XXXXX**

**Electronic Meeting, 10– 19 October 2022**

**Agenda item:** 6.8.3.4

**Source:** Moderator (Ericsson)

**Title:** Email discussion summary for [104-bis-e][213] FR2\_multiRx\_RRM\_part3

**Document for:** Information

# Introduction

*Briefly introduce background, the scope of this email discussion (e.g. list of treated agenda items) and provide some guidelines for email discussion if necessary.*

*List of candidate target of email discussion for 1st round and 2nd round*

* 1st round: TBA
* 2nd round: TBA

It is appreciated that the delegates for this topic put their contact information in the table below.

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Note:

1. Please add your contact information in above table once you make comments on this email thread.
2. If multiple delegates from the same company make comments on single email thread, please add you name as suffix after company name when make comments i.e. Company A (XX, XX)

# Topic #1: TCI state switching in multi-rx chain DL reception

*Main technical topic overview. The structure can be done based on sub-agenda basis.*

## Companies’ contributions summary

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| **T-doc number** | **Company** | **Proposals / Observations** |
| R4-2215362 | Intel Corporation | Proposal 1: Dual TCI state switching delay requirements shall base on Rel-15/16 TCI framework.  Proposal 2: For dual TCI state switch, the legacy Rel-15/16 TCI state switch delay requirement can be reused. |
| R4-2215465 | Xiaomi | Observation 1: The Rel-15/Rel-16/Rel-17 based TCI state activation/deactivation have different MAC CE.  Proposal 1: Dual TCI state switching delay requirement shall base on Rel-15/16 framework.  Proposal 2: For scenario 1, 3, 7 as one MAC CE is used before and one MAC CE is used after the TCI state switching, the legacy MAC CE based delay requirement apply.  Proposal 3: For scenario 8, the legacy TCI state switching delay requirement will be used for each TCI state switching.  Proposal 4: The MAC CE processing time will need to be further considered in certain scenario as the 2nd MAC CE comes within the 1st MAC CE processing time.  Proposal 5: If one of the target TCI state of the group of Dual-TCI state is unknown, then it should be considered as unknown. |
| R4-2215762 | MediaTek Inc. | Proposal 1: In R18 multi-Rx, to define the TCI state requirement based on R15/R16 TCI framework.  Proposal 2: For known and unknown condition of TCI state in R18 multi-Rx, the legacy R15/R16 requirement can be reused.  Observation 1: For legacy TCI state switch delay requirement in TS 38.133, the transient time for panel power ON/OFF is not considered.  Proposal 3: Reuse Rel-15/16 TCI state switch delay unless RF session achieves a new conclusion on panels ON/OFF switch time.  Proposal 4: For dual TCI state switch, the legacy TCI state switch delay requirement can be reused. |
| R4-2215806 | LG Electronics Inc. | Proposal 1: Independent TCI state switching delay requirements for multi-Rx chain could be based on Rel-15/Rel-16 TCI state switching delay requirements.  Proposal 2: Further study UE behaviour in case one of the TCI states is unknown for dual TCI state switching. |
| R4-2215815 | OPPO | Observation 1: The RRM impact of inside-panel TCI state switching and cross-panel TCI state switching due to different UE implementation needs to be studied.  Observation 2: For the case of cross-panel TCI state switching, additional panel/RF chain switching time needs to be evaluated.  Proposal 1: Dual TCI state switching delay requirements shall base on Rel-15/16 TCI framework.  Proposal 2: For dual TCI state switching delay requirements, the followings can be discussed:  - the scenarios of different trigger events, e.g., 1 or 2 MAC-CE, 1 or 2 DCI  - whether to update the definition of known/unknown TCI state  - whether TCI association to different PCI is allowed |
| R4-2215870 | vivo | Proposal 1: UE with multi-Rx chain should track timing/frequency independently for each TCI state when dual TCI states are activated.  Proposal 2: Dual TCI state switching delay requirements shall be based on Rel-15/16 TCI framework in this WI.  Proposal 3: When defining Dual TCI state switching delay requirements, following cases shall be considered.  • PDCCH non-SFN: Two MAC CE with one for each TCI state  • PDCCH SFN: single MAC CE for two TCI states  • PDSCH single DCI: single DCI for two TCI states  • PDSCH multiple DCI: Two DCI with one for each TCI state  Proposal 4: The legacy known/unknown condition can be reused for each TCI state of the dual TCI states.  Proposal 5: For MAC-CE based dual TCI states switch, requirements for both known and unknown conditions are specified.  Proposal 6: For DCI based dual TCI states switch, requirements are only specified for known condition, i.e., each of the dual TCI states are known.  Proposal 7: For dual TCI states switch, delay requirements are specified for each TCI state and legacy TCI state switch delay requirements are reused.  Proposal 8: Legacy TCI states switch delay requirements are enhanced for UE with multi-Rx chain. |
| R4-2216277 | Huawei, HiSilicon | Observation 1: Rel-17 unified TCI is not jointly considered with dual TCI, which is listed as an objective in Rel-18 MIMO.  Proposal 1: Define dual TCI state switching delay requirements base on Rel-15/16 TCI framework.  Proposal 2: Define dual TCI state switching requirements for following cases:  • PDCCH non-SFN: Two MAC CE with one for each TCI state  • PDCCH SFN: single MAC CE for two TCI states  • PDSCH single DCI: single DCI for two TCI states  • PDSCH multiple DCI: Two DCI with one for each TCI state  Proposal 3:  The definition of dual TCI state switch shall be clarified considering following cases:  1. Single TCI to dual TCI  2. Dual TCI to single TCI  3. Dual TCI with changes of both QCL Type D RSs  4. Dual TCI with change of only one of QCL type D RS.  Observation 2: For dual TCI switching requirements, the conditions shall be considered that the two TCIs with different QCL type D RS shall be the ones that UE can simultaneously receive.  Observation 3: The requirements shall apply provided that two directions with different QCL typeD are received by different UE panels.  Proposal 4: Following conditions shall be considered for the known conditions:  • The UE has sent at least one L1-RSRP report for the target TCI states before the TCI state switch command where the associated QCL type D RSs are reported within one group configured by groupBasedBeamReporting-r17.  • The associated QCL type D RSs in target TCI states satisfy the conditions that the RSs are received from different panels, where the conditions shall follow RF conclusion. |
| R4-2216477 | ZTE Corporation | Proposal 1: Referring to dual TCI state switching, we can have two assumptions：  2) Independent candidate TCI state pool for each Rx chain/panel. Then the TCI state switching is only allowed within one candidate TCI state pool, cross-pool switching is not allowed.  2）Without the limitation of not cross pool switching allowed, the target TCI state can be in any pool, same of different with the pool of current TCI state, i.e. each TCI state switching can be within panel/Rx chain or cross panels/Rx chains.  Proposal 2: Under the 1st assumption, basically UE can perform dual parallel TCI state switching simultaneously. Under the 2nd assumption, the following three different cases should be considered:  Case 1: Dual known TCI state switching  Case 2: One known TCI state switching + one unknown TCI state switching  Case 3: Dual unknown TCI state switching  And it seems that dual parallel TCI state switching is only possible for Case 1. |
| R4-2216581 | Nokia, Nokia Shanghai Bell | Proposal 1: For definition of TCI state switching delay for dual TCIs, RAN4 to consider at least the scenarios below, without precluding other scenarios in future discussions:  - Single-DCI, multi-TRP scenario  - Multi-DCI, multi-TRP scenario  Proposal 2: Multi-Rx UEs are assumed to support at least 2 active TCI states in Rel-18.  Proposal 3: The dual TCI state switch requirements are applicable when the corresponding TCI states are in the same, or in different panels.  Observation 1: Strict timing synchronization between Rx chains is challenging in case of distributed TRPs (non-ideal backhaul).  Observation 2: In multi-Rx chain, time and frequency can be tracked independently per Rx chain.  Proposal 4: In the case of dual TCIs, consider independent frequency/time tracking per Rx chain.  Proposal 5: Each TCI switching per RX chain is assumed to be independent in aspect of TCI switching delay.  Proposal 6: RAN4 to consider legacy Rel-15/Rel-16 TCI switching delay requirements as baseline to Rel-18 UE requirements with multi-RX chains in multi DCI and multi TRP scenarios.  Observation 3: TCI state switch delay depends on TL1-RSPR\_Measurement\_Period\_SSB and TL1-RSRP\_Measurement\_Period\_CSI-RS  Proposal 7: Enhancements on L1 RSRP delays should be reflected on TCI state switch delay.  Observation 4: UE is expected to track all the active TCI states independent of the panel being used. It is already assumed that that there is no need of any additional delay for cross panel TCI state switching.  Proposal 8: RAN4 not to define additional TCI state switching delay for cross panel TCI state switching.  Observation 5: The use of multiple Rx chains is not necessarily helping on the conditions for a target TCI state to be known or unknown.  Proposal 9: Reuse existing conditions for known/ unknown TCI state for multi Rx chain capable UEs. |
| R4-2216827 | Ericsson | Proposal 1: The new RRM requirements (e.g., measurement or beam management requirements) defined for simultaneous measurements and procedures on two chains need to apply, provided:  o the corresponding active TCI states are configured and used for simultaneous reception during the entire measurement or evaluation period.  Proposal 2: RAN4 to define the necessary UE behaviour and measurement requirements for simultaneous reception when the set of active TCI states changes during the measurement or evaluation period, e.g., when:  o A new active TCI state is added,  o An active TCI state is removed,  o An active TCI state is switched/replaced.  Proposal 3: RAN4 to discuss and decide on how to differentiate in the specification the set of active TCI states which can be used for simultaneous reception from other active TCI states which cannot be used for simultaneous reception.  Proposal 4: RAN4 to discuss the active TCI states requirements for any change to the set of active TCI states used for simultaneous reception, i.e., requirements for:  o addition of an active TCI state to the set of active TCI states for simultaneous reception,  o removal of an active TCI state from the set of active TCI states for simultaneous reception,  o switching/replacement of an active TCI state in the set of active TCI states for simultaneous reception.  Proposal 5: RAN4 to discuss whether there is an issue when the number of active TCI states is at any time larger than the UE capability for simultaneous reception. |

## Open issues summary

*Before e-Meeting, moderators shall summarize list of open issues, candidate options and possible WF (if applicable) based on companies’ contributions.*

### Sub-topic 1-1: Requirements and Scenarios

*Sub-topic description:*

*Open issues and candidate options before e-meeting:*

**Issue 1-1-1: Requirements to be defined**

* Proposals
  + Proposal 1: TCI state switching requirements
    - DL TCI state switch requirements
      * Note: It is my understanding that WI is only for DL reception.
  + Proposal 2: TCI state list update requirements
    - Addition/removal/update of TCI states in the list
* Recommended WF
  + Agree on the proposal 1 and 2.

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| **Company** | **Comments** |
| Qualcomm | If we look at the whole procedure, from a group-based L1 measurement/report all the way to CSI feedback, to enable 4-layer MIMO from two TRPs, Proposal 2 seems to make sense to us. But if the proposal is to cover all possible scenarios without any context, we don’t support it.  It would be good to see the details of Proposal 2 in terms of the scope and requirement impacts. |
| MediaTek | Support proposal 1.  FFS: proposal 2. Same concern as QC. |
| OPPO | In general, agree with P1 and P2. FFS the details. |
| Huawei | Support proposal 1. The scenario of proposal 2 needs further clarification. |
| Nokia | Agree on Proposal 1 and Proposal 2 with caution.  From P1 it is not clear if the proposal relates to whether also UL TCI switch is discussed (UL spatial relation). Anyway, we assume this is not the case (UL is not within the WI).  However, if we have two Rx chain receiving DL from two spatially differently located sources using separate Rx settings (and possibly panels) on UE side, RAN4 would have to discuss whether the TCI states are managed separately or not.  Likely, but probably needs more discussion, the TCI state switch delay requirements for each separate TCI state could be the same as is applicable currently (when assuming single Rx only).  Whether TCI state list update requirements needs to be updated need more discussion.  This issue may also depend on other Issues in other threads of this WI. |
| ZTE | Prefer Option 1.  We understand the motivation of Proposal 2, which can be further discuss. |
| Ericsson | Support proposal 1 and 2.  As per RAN1, UE would be indicating to gNB through group-based reporting about the beam pair UE could simultaneously receive. Based on beam measurement reports, NW needs to add or modify or delete the TCI state list. |
| Intel | Support opiton1. Further discuss option 2. |
| Samsung | Support proposal 1 and 2.  Proposal 1: We agree with moderator’s Note that UL TCI state switch is out of the scope, and the introduced simultaneous multi-RX is nothing related to UL TCI state switching (including UL spatial relationship update).  Proposal 2: Can be discussed further. |
| Apple | We support proposal 1. We also want to further discuss proposal 2. |

**Issue 1-1-2: Scenarios to be considered w.r.t Intra-cell/Inter-cell multi-TRP.**

* Proposals
  + Scenario 1: Intra-cell multi-TRP. That means same PCI is assumed for two TRP and two TCI states are from same PCI
  + Scenario 2: Inter-cell multi-TRP. That means PCI is different for two TRP and TCI states may be from different PCI.
* Recommended WF
  + Discussion is needed.

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| **Company** | **Comments** |
| Qualcomm | This can be up to an outcome of Thread#211. And we support Scenario 1. For Scenario 2, more general requirements can be discussed under R18 eFeMIMO WI. |
| LGE | We can follow the conclusion of thread#211. |
| MediaTek | Support scenario 1.  To our understanding, this WI is mainly for 4 MIMO layer transmission. For the inter cell, UE is high probable located in the middle of SC and the cell with different PCI from serving cell. We tend to believe UE may not use 4 MIMO layer data transmission in that scenario since the channel is not good for both serving cell and the cell with different PCI. |
| OPPO | Follow the conclusion of thread#211 |
| Huawei | Follow the conclusion in 211 |
| Nokia | This is also discussed in other email summary threads of this WI. We see that both scenarios are included  To our understanding this WI is not only MIMO WI. It is a generic WI addressing multi-Rx chain DL reception in FR2. It includes MIMO but also has more general aspects:  Introduce necessary requirement(s) for enhanced FR2-1 UEs with simultaneous DL reception from different directions with different QCL TypeD RSs on a single component carrier  We are fine discussing MIMO related aspect but RAN4 also need to discuss general RRM aspects as it is part of the WID. Hence, we can include both intra-cell and inter-cell.  RAN4 should distinguish the scenarios in:   * R18 Multi-Rx MIMO * R18 Multi-Rx RRM   And at least for R18 Multi-Rx RRM inter-cell scenario is very relevant |
| ZTE | Follow the conclusion in 211 |
| Ericsson | We can follow conclusion on 211. |
| Intel | Discussed in thread 211 either. Follow the conclusion from 211. |
| Samsung | Follow conclusions of 211 |
| CMCC | Follow conclusion of #211 (Issue 1-1-3). |
| Apple | We also think it is better to follow conclusion in thread [211]. |

**Issue 1-1-3: Scenarios to be considered w.r.t single DCI/multi-DCI.**

* Proposals
  + Scenario 1: Single-DCI, multi-TRP scenario
  + Scenario 2: Multi-DCI, multi-TRP scenario
* Recommended WF
  + Discussion is needed.

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| **Company** | **Comments** |
| Qualcomm | With Scenario 1 in Issue 1-1-2, Scenario 1 (single-DCI) is the only option. And this Issue is also up to an outcome of Thread#211. |
| LGE | We can follow the conclusion of thread#211. |
| MediaTek | Support scenario 1. It will increase UE complexity if two PDSCHs are partially overlapped in frequency domain, which is one of possible scenario with multiple DCI. |
| OPPO | Ok to focus on scenario 1 firstly. |
| Huawei | Support both scenarios. |
| Nokia | Both Scenario 1 and Scenario 2 to be supported. |
| ZTE | Support both of them. |
| Ericsson | We can follow the conclusion of thread 211 |
| Intel | Follow the conclusion of thread 211. |
| Samsung | Follow the conclusions of 211.  We prefer to consider both scenarios. But we think the issue keeps to open depends on operator’s deployment actually. |
| CMCC | Follow conclusion of #211 (Issue 1-1-4). |
| Apple | Better to follow conclusion in thread [211]. |

### Sub-topic 1-2: TCI state switching requirements

*Sub-topic description*

*Open issues and candidate options before e-meeting:*

**Issue 1-2-1: Assumptions for dual TCI state switching**

**Issue 1-2-1-1: Dual TCI state switching requirements shall be based on**

* Proposals
  + Option 1: Rel-15/Rel-16 TCI framework
  + Option 2: unified TCI framework
* Recommended WF
  + Discussion needed

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| **Company** | **Comments** |
| Qualcomm | Support Option 1.  In our understanding, Option 2 (unified TCI) is only for single TRP at a given time up to Rel-18. |
| LGE | We support option 1. Unified TCI cannot be configured from multi-TRP. |
| MediaTek | Support option 1. Same view as QC and LGE, i.e. unified TCI is not applicable for mTRP scenario. |
| OPPO | Support Option 1. unified TCI for.multi-TRP is still under discussion in RAN1 R18. |
| Huawei | Support option 1. Unified TCI with mTRP is under discussion in RAN1. |
| Nokia | We prefer to go with Option 1 for Rel-18 assuming existing limitations are open for discussion (i.e. not only for serving cell).  Are we here discussing unified TCI framework as defined in Rel-17 or ongoing in Rel18?  Our understanding is that unified TCI state framework in R17 is defined only for inter-cell BM. Hence, R17 unified TCI framework can be used in this WI for inter-cell discussions. However, currently the TCI state switch requirements applies to serving cell.  So we believe we cannot agree to either option right now as either selection will exclude some scenarios etc. which we’re discussing in 211. |
| ZTE | Do not have strong view, if the majority prefer Option 1, we are fine. |
| Ericsson | Agree with option 1 |
| Intel | Support option 1. |
| Samsung | Support option 1.  From our view, for UE supporting multi-RX chain with simultaneous DL reception, multiple RX chains can be controlled independently, the two TCI state switch on two panels can be performed independently, and then the TCI state switching requirements for the two panels can be applied accordance with the received TCI type.  Since in Rel-17 FeMIMO, unified TCI is mainly focusing on single TRP and it is not jointly considered with mTRP scenarios, and the extension of unified TCI to mTRP is in the scope of Rel-18 MIMO WI, Rel-15/Rel-16 TCI framework can be seen as a baseline |
| Apple | If unified TCI is not specified for mTRP, we can take Option 1. |

**Issue 1-2-1-2: Can the TCI switch is assumed to be independent on each RX chain?**

* Proposals
  + Option 1: Yes. For each RX chain, the TCI state switch is assumed to be independent.
  + Option 2: No. Both the TCI states should be switched together.
* Recommended WF
  + Discussion needed

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| **Company** | **Comments** |
| Qualcomm | It is a bit unclear to us whether Option 2 precludes any possibility of Option 1 and some of bullets of Option 1 in Issue 1-2-2-1 for good. |
| LGE | We think both options could be considered on a case by case. |
| MediaTek | Need more discussion. We expect each RX chain can switch its TCI state independently. However, if the purpose is to receive 4MIMO layers with two panels, then delay requirement of dual TCI state should consider the longest delay of two panels. |
| OPPO | TCI states switch independent or together could be possible. |
| Huawei | Suggest to hold on the discussion until the scenarios/conditions for dual TCI switching are clarified. |
| Nokia | Option 1  Considering the earlier discussions in RAN4 in Rel-16 and Rel17 related to FR2 inter-band CA it seems clear that at least in some scenarios independent BM (IBM) is needed. Whether this is for all scenarios we can discuss further (e.g. also for split panel scenario). However, we also see this related to whether UE tracks BM RS on one or both DL Rx beams.  We understand that the TCI switching timing and known/unknown conditions are independent. So it is more reasonable to assume that they are independent. |
| ZTE | We believe UE can perform one TCI state switching by each RX chain independently. Whether dual TCI state switching happening together, which depend on NW triggering. |
| Ericsson | Both options are possible. We think with dual TCI state switching, there is a possibility that both TCI or single TCI can be changed at a given time. Their switch delay can be independent and shall take same switching delay as single TCI state switch delay. |
| Intel | We think TCI switching can be triggered separately or simultaneously for two panels. It depends on NW configuration. |
| Samsung | We support option 1  From our view, for UE supporting multi-RX chain with simultaneous DL reception from different directions, multiple RX chains can be controlled independently, the two TCI state switch on two panels can be performed independently, that is to say, for each RX chain, the TCI state switch is assumed to be independent. |
| CMCC | In our understanding, for UE supporting multi-Rx chain DL reception, TCI switch is assumed to be independent on each RX chain. As for whether they are switched simultaneously or not, it is up to NW configuration. |
| Apple | More clarification is needed on the application scenarios. |

If the TCI activation is assumed to be independent on each RX chain, each TCI state can be switched independent and at a time single or two TCI state can be switched. If one TCI state is switched at a time, existing requirements may be applicable. When two TCI states are switched at the same time, new requirements may need to be specified.

**Issue 1-2-2: Switch command for dual TCI state switch**

**Issue 1-2-2-1: When two TCI states are switched simultaneously, assumption on the switch commands**

* Proposals
  + Option 1 (Vivo, Huawei): requirements are defined for following modes of switching
    - Two DCI one for each TCI state (PDSCH multiple DCI)
    - Two MAC CE one for each TCI state (PDCCH non-SFN)
    - One DCI for two TCI states (PDSCH single DCI)
    - One MAC CE for two TCI states (PDCCH SFN)
* Recommended WF
  + My understanding is above modes are supported from RAN1 perspective and suggest to agree on option 1.

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| **Company** | **Comments** |
| Qualcomm | Is this a standalone issue, meaning any bullet of Option 1 does not have any impact to other issues and does not need any prerequisite/constraints, e.g. single- vs. multi-DCI, intra- vs. inter-cell mTRP, etc? It doesn’t seem so. |
| LGE | Is it different issue from Issue 1-2-2-2? |
| MediaTek | More discussion is needed. It seems depends on other issue, e.g. sDCI v.s. mDCI. |
| OPPO | Same views as MTK. |
| Huawei | Option 1 is related to the discussion about sDCI and mDCI. |
| Nokia | It is not clear to us what the options are about – are these options or proposals? Some TCI switches are for PDCSH and some for PDCCH. However, we are fine to discuss but as mentioned by MTK it depends on other ongoing discussions. |
| ZTE | Further discussion is needed. |
| Ericsson | All the options are possible for mDCI. For sDCI, only last two are possible. |
| Intel | Depend on conclusion from single DCI and multi-DCI first. |
| Samsung | Further discussion is needed. And this issue is related to the discussion of DCI or mDCI |
| Apple | We prefer to have further discussions on this. |

**Issue 1-2-2-2: TCI state switch scenarios to be considered**

* Proposal 1 (Xiaomi):
  + 1, Single TCI state to Dual TCI state within one MAC CE
  + 2, Single TCI state to Dual TCI state with two MAC CE
  + 3, Dual TCI state within one MAC CE to Single TCI state
  + 4, Dual TCI state with two MAC CE to Single TCI state
  + 5, Dual TCI state with one MAC CE to Dual TCI state with two MAC CE
  + 6, Dual TCI state with two MAC CE to Dual TCI state with one MAC CE
  + 7, Dual TCI state with one MAC CE to Dual TCI state with one MAC CE
  + 8, Dual TCI state with two MAC CE to Dual TCI state with two MAC CE
* Proposal 2 (Huawei): The definition of dual TCI state switch shall be clarified considering following cases:
  + Single TCI to dual TCI
  + Dual TCI to single TCI
  + Dual TCI with changes of both QCL Type D RSs
  + Dual TCI with change of only one of QCL type D RS.
* Recommended WF
  + Both proposals look similar. Can they be merged into proposal 1? Further discussion needed.

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| **Company** | **Comments** |
| Qualcomm | For Proposal 1: The same comment as Issue 1-2-2-1. |
| LGE | Fine with proposal 1. For further clarification, could single DCI to dual DCI be considered the same as the single panel to two panel activation from the UE behavior perspective? |
| MediaTek | Same comment as issue 1-2-2-1 |
| OPPO | Same comment as issue 1-2-2-1 |
| Huawei | For proposal 1, could proponent clarify why there are MAC CE before and after TCI switching? And are these cases all for PDCCH? |
| Nokia | Proposal 1 seems to only cover PDCCH TCI State switching options.  Proposal 2 is generic. Discussion is needed to conclude for PDCCH and PDSCH TCI State switching scenarios.  We agree with Huawei that the definition of dual TCI state switch shall be clarified. |
| ZTE | Same comments as in Issue 1-2-2-1. |
| Ericsson | Pending on whether to support mDCI. |
| Samsung | Agree with Ericsson. And we think the number of cases in propose 1 is huge, which will bring large workload, we also need discuss and cut some down. |

**Issue 1-2-2-3: If the proposal 1 to issue 1-2-2-2 is acceptable, can the following proposal be acceptable.**

* + Proposal 1 (Xiaomi): For scenario 1, 3, 7 as one MAC CE is used before and one MAC CE is used after the TCI state switching, the legacy MAC CE based delay requirement apply
  + Proposal 2 (Xiaomi): For scenario 8, the legacy TCI state switching delay requirement will be used for each TCI state switching.
  + Proposal 3 (Xiaomi): The MAC CE processing time will need to be further considered in certain scenario as the 2nd MAC CE comes within the 1st MAC CE processing time
* Recommended WF
  + Discussion needed

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| **Company** | **Comments** |
| Qualcomm | We’d like to wait until Issues 1-2-2-1 and 1-2-2-2 are settled. To us, here the issue is more or less MAC CE processing time. |
| LGE | Further discuss after conclusion of Issue 1-2-2-2. |
| MediaTek | Wait for the conclusion in Issue 1-2-2-2 |
| OPPO | Wait for the conclusion in Issue 1-2-2-2 |
| Huawei | Same comments as issue 1-2-2-2 |
| Nokia | Wait for the conclusion in Issues 1-2-2-1 and 1-2-2-2 |
| Ericsson | Can be FFS for now |
| Samsung | Wait for the conclusion of issue 1-2-2-2 |

**Issue 1-2-3: Known condition**

**Issue 1-2-3-1: When two TCI states are switched simultaneously, known condition for the TCI states is:**

* Proposals
  + Option 1 (Xiaomi): Assumed known if both TCI states are known. If anyone of the TCI state is unknown, both the TCI states considered unknown.
  + Option 2: Dual TCI states are independent, and each of the TCI state can be known or unknown.
  + Option 3 (MTK, Nokia): the legacy R15/R16 definition can be reused
  + Option 4 (OPPO): Further study whether to update the definition of known/unknown
  + Option 5 (Huawei): Following conditions shall be considered for the known conditions:
    - The UE has sent at least one L1-RSRP report for the target TCI states before the TCI state switch command where the associated QCL type D RSs are reported within one group configured by groupBasedBeamReporting-r17.
    - The associated QCL type D RSs in target TCI states satisfy the conditions that the RSs are received from different panels, where the conditions shall follow RF conclusion.
* Recommended WF
  + Discussion needed

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| **Company** | **Comments** |
| Qualcomm | In this WI, the scenario is that NW activates UE TCIs based on group-based L1 measurement report, hence, we do not think the case of “one known TCI + one unknown TCI” is not much relevant.  Regarding the additional aspect of “RSs are received from different panels” in Option 5, we do not support it yet. |
| MediaTek | Support option 3.  We think how to receive the signals is up to UE implementation. If UE transmitted L1 report for target TCI state based on a certain panel, the same panel will be used for later TCI state switch. So, as long as network indicates UE to switch to the target TCI state, UE behavior and the required delay are clear from both UE and network perspectives.  In general we are fine with second bullet in option 5. But, after checking, it seems more like a requirement applicability? |
| OPPO | Option 2 and 3 are similar and can be used as baseline. More clarification on the relation of the two TCI states is needed |
| Huawei | We support option 5. In existing requirements, the known condition means that UE is aware of the beam of the target TCI. However, in dual TCI switching, the known condition should additionally serve the purpose that these two TCI with different QCL typed can be simultaneously received by UE.  Based on option 1-3, it means UE only know the beam of the two target TCI, but it is possible that UE cannot receive simultaneously. In this case, dual TCI switching does not make sense and cannot be verified.  To QC and MTK: yes the second bullet is working as the applicability condition (probably the RF conclusion). |
| Nokia | We are fine with Option 2 and 3.  We think there is no reason to review the known condition for the TCI states for UE suporting multi Rx. The conditions are not depending on this capability, since they depend solely on whether the RS for the target has up to date measurements and the side conditions. Therefore, we believe that the condition can remain the same. |
| ZTE | Support Option 2 and 3. |
| Ericsson | We do not support option 2. Other options can be further discussed. |
| Intel | Support option 3. If one TCI state is known and another one is unknown, the TCI state switching delay is different for two panels. It can be further discussed. |
| Samsung | Prefer option 5.  We think when two TCI states are switched simultaneously, known condition for the TCI states depends on how UE receive and process two signals from different AOA directions simultaneously.  For UE supporting multi-RX chain with simultaneous DL reception (different QCL Type D RSs), based on the *groupBasedBeamReporting-r17* described in TS 38.214: when the UE is configured with groupBasedBeamReporting-r17, the number of CSI Resource Sets configured is S=2, otherwise the number of CSI-RS Resource Sets configured is limited to S=1, then if the parameter is configured, at least one L1-RSRP report for the target TCI state from UE can be performed by using one of the RSs. And known condition for the TCI states can be realized. |
| Apple | For option 5, RF session agreed “panel” will not be referenced in core requirement. So the condition may be hard to enforce. However, we agree we need to consider if the two target TCIs can be supported by the UE. |

**Issue 1-2-4: Delay requirements:**

**Issue 1-2-4-1: Requirements are specified for following cases only. Do you agree with below proposals?**

* Proposals
* Proposal 1 (Vivo): For DCI based switching, only known TCI state switching requirement are defined
* Proposal 2 (Vivo): For MAC-CE based dual TCI states switch, requirements for both known and unknown conditions are specified.
* Recommended WF
  + Discussion is needed.

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| **Company** | **Comments** |
| Qualcomm | For Proposal 2, we are yet to be quite convinced whether TCI switching to unknown one is really relevant to the concerned scenario of the WI. |
| MediaTek | Wait for the conclusion of known/unknown condition. |
| Huawei | Depends on above issue. We want to know what is the difference between DCI based and MAC CE based. |
| Nokia | Proposal 1 and 2 are ok. This follows existing framework. We are wondering why there would be a difference to existing. |
| ZTE | If two independent TCI state switching can be assumed, it seems reuse legacy is fine. |
| Ericsson | Can be FFS for now |
| Intel | Depend on 1-2-3-1. |
| Samsung | Both follow the existing framework. If known/unknown condition is not modified in this WI, and if independent TCI state switching are assumed, both proposals are OK. |
| Apple | Since the UE needs to make sure it can support two AoAs, it is unclear if there is a need to consider the unknow case. |

**Issue 1-2-4-1: Does the cross-panel switch time needs to be defined.**

* Proposals
  + Option 1 (MTK): Reuse Rel-15/16 TCI state switch delay unless RF session achieves a new conclusion on panels ON/OFF switch time. I.e., transient time is not considered for cross panel switch.
  + Option 2 (Nokia): RAN4 not to define additional TCI state switching delay for cross panel TCI state switching
  + Option 3 (new): RAN4 not to define additional TCI state switching delay for cross panel TCI state switching **unless RF session achieves a new conclusion on panels ON/OFF switch time**.
* Recommended WF
  + Discussion needed

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| **Company** | **Comments** |
| Qualcomm | Okay with Option 1. Even in the legacy requirement, there could be a case where TCI switching is across panels, for which we don’t think there is any specific requirement. Why does this need to be differently considered in this WI? |
| LGE | We think that further clarification for cross-panel switch issue in multi-Rx chain is needed before deciding whether to define requirements or not. |
| MediaTek | Support option 1. Same view as QC. In R18 WI, the delay for cross panel switch should not be considered because we do not consider such time in the R15/R16 legacy requirement. |
| OPPO | Fine with option 1 at this stage, |
| Huawei | Cross-panel or within panel is transparent to gNB and cannot be differentiated in the spec. Can be FFS if RF achieve new conclusions. No need to draw any conclusions in RRM |
| Nokia | Prefer Option 2 or Option 3.  We see the point of Option 1, but in our view the proposal is mixing the reuse of Rel 15/16 delay with the cross panel switching time. Which is discussed on Issue 1-2-1-1 and Issue 1-2-4-2.  Therefore, we suggested a new Option 3, which we believe captures the spirit of Option 1. |
| ZTE | Generally fine with Option 1. |
| Ericsson | We agree with QC and MTK. |
| Samsung | We support option 1 or option 3 .  Whether to define additional cross-panel switch time should be decided after RF conclusion |
| Apple | Current requirement should account for the switching delay, however, with dual TCI switching, we need to consider if there is additional constraint at the UE that would justify extra delay. |

**Issue 1-2-4-2: TCI state switch delay requirements**

* Proposals
  + Option 1 (Vivo): for known TCI state, reuse legacy requirements. For unknown state, legacy TCI states switch delay requirements are enhanced for UE with multi-Rx chain
  + Option 2 (Intel): For dual TCI state switch, the legacy Rel-15/16 TCI state switch delay requirement can be reused
  + Option 3(MTK): Legacy TCI states switch delay requirements are reused for UE with multi-Rx chain
  + Option 4 (Nokia): Enhancements on L1 RSRP delays should be reflected on TCI state switch delay
  + Option 5 (LGE): Further study UE behavior in case one of the TCI states is unknown for dual TCI state switching
* Recommended WF
  + Discussion needed

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| **Company** | **Comments** |
| Qualcomm | This issue depends on conclusions of other Issue items. |
| LGE | We think legacy requirements could be reused, but further discussion for all the options should be needed depending on the conclusion of other issues. |
| MediaTek | Ok to discuss this issue when other issues are concluded. |
| OPPO | We can further discuss this after clear assumption. |
| Huawei | It is too early to draw the conclusion on whether legacy requirements can be reused since the scenario and conditions are not clear. Suggest to focus on the basic question first. |
| Nokia | Option 4. But fine to discuss further. |
| ZTE | Wait for the conclusion of other related issues. |
| Ericsson | Can be FFS. |
| Intel | Fine to discuss later. |
| Samsung | Support option 4. But OK to discuss the issue in the future based on related conclusions |
| Apple | Should be considered together with the previous issue. |

**Issue 1-2-5: Time frequency tracking requirements**

* Proposals
  + Option 1: UE with multi-Rx chain should track timing/frequency independently for each TCI state when dual TCI states are activated.
* Recommended WF
  + Discussion needed

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| **Company** | **Comments** |
| Qualcomm | This is up to UE implementation and whether it is so-called “panel split” or “separate panel” based beams at a given time. In general, if we don’t have this term of “chain” in Option 1, UE should anyway follow the configured/activated TCI states in terms of QCL-type A/C. |
| MediaTek | We share the same view as QC. UE should anyway follow the configured/activated TCI states in terms of QCL-type A/C. |
| OPPO | FFS |
| Huawei | The conditions/scenarios of dual TCI switching should be concluded first. |
| Nokia | We agree with option 1.  To QC and MTK: we would need to define some way to address this. We earlier use common/independent BM (CBM/IBM) but this was for FR2 inter-CA discussions. We are not using ‘chain’ and ‘panel’ but we need to somehow find a terminology to describe reception by use of two different spatial setting on UE side. Otherwise, the discussion may become more complicated.  Related to the Option we can clarify a bit: UE of course follow the TCI states as requested. The question here is whether UE follow time and frequency tracking for each TCI state?  This is also related to the discussion on thread 211 on architecture. UE should be tracking time for e  ach TCI independently. |
| ZTE | To our understanding, UE should perform T/F tracking if the target TCI state is not maintained in the active TCI state list no matter single TCI state switching or dual TCI state switching. |
| Ericsson | We agree with Option 1 |
| Samsung | Option 1 is OK |
| Apple | This is a strong assumption on implementation. We wonder if we really need this proposal. |

### Sub-topic 1-3: TCI state list update requirements

*Sub-topic description*

*Open issues and candidate options before e-meeting:*

**Issue 1-3-1: TCI state pools**

* Proposals
  + Option 1: Independent candidate TCI state pool for each Rx chain/panel. Then the TCI state switching is only allowed within one candidate TCI state pool, cross-pool switching is not allowed.
  + Option 2: Cross pool switching is allowed, i.e., the target TCI state can be in any pool, same of different with the pool of current TCI state, i.e., each TCI state switching can be within panel/Rx chain or cross panels/Rx chains.

Clarification required from the proponent. Is the TCI state pool referred here same as active TCI state list?

* Recommended WF
  + Discussion needed

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| **Company** | **Comments** |
| Qualcomm | Please clarify the term of “TCI state pool.” Is this just a discussion language or spec-defined term, e.g. simultaneousTCI-UpdateList or TCI pool for unified TCI? And is there any explicit relation (in spec) about TCI state pool and UE panel? |
| MediaTek | More discussion is needed. Unclear about the concept of independent candidate TCI state pools. Could proponent explain more? Thanks. |
| Huawei | It is not very clear to us what the TCI state pool refer to? It seems not supported by current RAN1/2 spec. |
| Nokia | More discussion needed  We also don’t understand what is meant by TCI state pools.  For option 1, we don’t understand why limiting to case 1, since the UE might switch to a TCI state from a sidelobe. So, the good candidate options are not so linear and easy to identify as in this pool.  For option 2 we also don’t understand the intention. |
| ZTE | Here we use “TCI state pool” to reflect the candidate TCI states which can be covered by a single panel. In our opinion, each panel has certain coverage of directions, not any two TCI states can be supported to be simultaneous received by UE, only when each of them belongs to the coverage of each panel, simultaneous reception is allowed.  Here Option 1 means each of the dual TCI state switching is only limited within a same panel coverge, i.e. the target TCI state of procedure 1 is only limited within the coverage of panel 1, and the target TCI state of procedure 2 is only limited within the coverage of panel 2.  Here Option 2 means each of the dual TCI state switching can be cross panel switching.  We are wondering companies’ view between Option 1 and Option 2. |
| Ericsson | It’s configured by the network, and we see no need for this limitation. |
| Samsung | We have concerns on this new concept of “TCI state pool” since it totally depends on UE implementation, while the information is unknown to network, so we expect the impacted RAN4 requirement is just delay.... But if “cross-pool switching is not allowed”, how NW can know this restriction? |

**Issue 1-3-2: Active TCI state list update requirements**

* Proposals
  + Proposal 1: RAN4 to discuss the active TCI states requirements for any change to the set of active TCI states used for simultaneous reception, i.e., requirements for:
    - addition of an active TCI state to the set of active TCI states for simultaneous reception,
    - removal of an active TCI state from the set of active TCI states for simultaneous reception,
    - switching/replacement of an active TCI state in the set of active TCI states for simultaneous reception.
* Recommended WF
  + Can the above proposal be agreed? Companies are requested provide their views on the above proposal.

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| **Company** | **Comments** |
| Qualcomm | In principle, okay with Proposal 1. But there seems to be a correlation between Issue 1-1-1 and this. How are they different from each other? |
| MediaTek | Same comment in Issue 1-1-1. |
| Huawei | Similar question as issue 1-3-2, does the “set” stands for a set of TCI states? |
| Nokia | We support Proposal 1.  Yes, TCI state to be removed/replaced can be currently active and used in case of simultaneous reception too. But this issue of addition/removal/replacement of the active TCI state will be applicable for legacy TCI state management too. We assume dual TCI state management should be same as legacy TCI state management as dual TCI states need to be independently managed. |
| Ericsson | Agree to Proposal 1. One should also note that the enhanced measurement requirements will apply on the set of two TCI states which are intended for simultaneous reception, i.e., if they cannot be used then the legacy requirements should apply. |
| Samsung | Discuss the necessity of active TCI state list update first |

**Issue 1-3-3: Other proposals**

* Proposals
  + Proposal 1: The new RRM requirements (e.g., measurement or beam management requirements) defined for simultaneous measurements and procedures on two chains need to apply, provided:
    - the corresponding active TCI states are configured and used for simultaneous reception during the entire measurement or evaluation period.
  + Proposal 2: RAN4 to define the necessary UE behaviour and measurement requirements for simultaneous reception when the set of active TCI states changes during the measurement or evaluation period, e.g., when:
    - A new active TCI state is added,
    - An active TCI state is removed,
    - An active TCI state is switched/replaced.
  + Proposal 3: RAN4 to discuss and decide on how to differentiate in the specification the set of active TCI states which can be used for simultaneous reception from other active TCI states which cannot be used for simultaneous reception.
* Recommended WF
  + Can the above proposals be agreed? Companies are requested to provide your views on above proposals.

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| **Company** | **Comments** |
| Qualcomm | Proposals do not seem mutual exclusive. We are open to further discussion. |
| MediaTek | For proposal 1, we are unclear the explicit spec impact. Why we have to mention “during the entire measurement or evaluation period” Could proponent explain more?  FFS for proposal 2 and 3. |
| Huawei | For proposal 1, clarification is needed. Is it talking about the impact of dual TCI switching to other RRM requirements? What is the difference compared with legacy requirements (measure restriction and scheduling restriction)  For proposal 2, the definition of Set needs clarification.  For proposal 3, conclusion from RF about the separation between two AoAs is needed. |
| Nokia | For proposal 1, we have some question, why do we need to discuss measurement or evaluation period? Are there exceptions here that would avoid the requirements to apply? Is the proposal concerning only TCI state switching or also other RRM procedures?  For proposal 2, how is this different than the TCI switching delay we are discussing? Discussion on this proposal might be influenced by decision on Issue 1-2-1-2 and others.  For Proposal 3, we don’t think this is needed. We can use groupBasedReporting pairs to identify whether the TCI States can be enabled for simultaneous reception or not. |
| Ericsson | Agree on Proposal 1, Proposal 2, and Proposal 3, as proponents.  To Qualcomm: the proposals are not mutually exclusive, i.e., these are not options where one needs to select just one.  To MediaTek: if simultaneous reception is not used for receiving all instances of each of the two RSs, then the enhanced requirements may not apply, at least not for both RSs. This does not mean that both panels must be kept continuously active all the time.  To Huawei: from the NW perspective, the UE is not expected to meet the enhanced requirements if there are no two active TCI states configured for a specific purpose (simultaneous reception of RSs). This applies for TCI but also for RRM requirements. We are not defining requirements just for any TCI combination, rather these requirements shall be linked to the purpose of simultaneous reception of RSs (and this purpose actually defines also the "set"). If needed, RAN4 can later work on a more detailed definition of the "set".  To Nokia: We think the proposal is for other RRM procedures too. We mean to say, the enhanced requirements that are going to introduced are need to be meet only if the UE is receiving from two beams simultaneously during the requirements period (e.g., measurement period in some cases and evaluation period in some other cases). If the UE is changed to single TCI state (or single beam) in between some of the procedure, the requirements defined here need not apply. |
| Samsung | Same comments in issue 1-3-2 |

## Companies views’ collection for 1st round

### Open issues

### CRs/TPs comments collection

*For close-to-finalize WIs and maintenance work, comments collections can be arranged for TPs and CRs. For ongoing WIs, suggest to focus on open issues discussion on 1st round.*

|  |  |
| --- | --- |
| **CR/TP number** | **Comments collection** |
| XXX | Company A |
| Company B |
|  |
| YYY | Company A |
| Company B |
|  |

## Summary for 1st round

### Open issues

*Moderator tries to summarize discussion status for 1st round, list all the identified open issues and tentative agreements or candidate options and suggestion for 2nd round i.e. WF assignment.*

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic #1** | *Tentative agreements:*  *Candidate options:*  *Recommendations for 2nd round:* |

### CRs/TPs

*Moderator tries to summarize discussion status for 1st round and provides recommendation on CRs/TPs Status update*

*Note: The tdoc decisions shall be provided in Section 3 and this table is optional in case moderators would like to provide additional information.*

|  |  |
| --- | --- |
| **CR/TP number** | **CRs/TPs Status update recommendation** |
| XXX | *Based on 1st round of comments collection, moderator can recommend the next steps such as “agreeable”, “to be revised”* |

## Discussion on 2nd round (if applicable)

# Recommendations for TDocs

## 1st round

**New tdocs**

|  |  |  |  |
| --- | --- | --- | --- |
| **New Tdoc number** | **Title** | **Source** | **Comments** |
|  | WF on … | YYY |  |
|  | LS on … | ZZZ | To: RAN\_X; Cc: RAN\_Y |
|  |  |  |  |

**Existing tdocs**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Tdoc number** | **Revised to** | **Title** | **Source** | **Recommendation** | **Comments** |
| R4-22xxxxx |  | CR on … | XXX | Agreeable, Revised, Merged, Postponed, Not Pursued |  |
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Notes:

1. Please include the summary of recommendations for all tdocs across all sub-topics incl. existing and new tdocs.
2. For the Recommendation column please include one of the following:
   1. CRs/TPs: Agreeable, Revised, Merged, Postponed, Not Pursued
   2. Other documents: Agreeable, Revised, Noted
3. For new LS documents, please include information on To/Cc WGs in the comments column
4. Do not include hyper-links in the documents

## 2nd round

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Tdoc number** | **Revised to** | **Title** | **Source** | **Recommendation** | **Comments** |
| R4-22xxxxx |  | CR on … | XXX | Agreeable, Revised, Merged, Postponed, Not Pursued |  |
| R4-22xxxxx |  | WF on … | YYY | Agreeable, Revised, Noted |  |
| R4-22xxxxx |  | LS on … | ZZZ | Agreeable, Revised, Noted |  |
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Notes:

1. Please include the summary of recommendations for all tdocs across all sub-topics.
2. For the Recommendation column please include one of the following:
   1. CRs/TPs: Agreeable, Revised, Merged, Postponed, Not Pursued
   2. Other documents: Agreeable, Revised, Noted
3. Do not include hyper-links in the documents