**3GPP TSG-RAN WG4 Meeting #104bis-e R4-210XXXX**

**Electronic Meeting, 10 – 19 October, 2022**

**Agenda item:** 4.6.3, 4.6.3.1 and 4.6.4

**Source:** Moderator (Ericsson)

**Title:** Email discussion summary for [104-bis-e][207] NR\_redcap\_RRM\_1

**Document for:** Information

# Introduction

It is appreciated that the delegates for this topic put their contact information in the table below.

Contact information

|  |  |  |
| --- | --- | --- |
| **Company** | **Name** | **Email address** |
| Huawei | Jing Han | Hw.hanjing@huawei.com |

Note:

1. Please add your contact information in above table once you make comments on this email thread.
2. If multiple delegates from the same company make comments on single email thread, please add you name as suffix after company name when make comments i.e. Company A (XX, XX)

# Topic #1: General

Contributions from AI 4.6.3.1.1 are discussed here.

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| **T-doc number** | **Company** | **Proposals / Observations** |
| [**R4-2215364**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215364.zip) | Intel Corporation | Proposal 1: Select 5% false admission rate at the interested RSRP THLD region as a baseline performance target for the design of 1 Rx. offset to cell-specific RSRP THLDs since 5% error rate can give intuitive interpretation related with the amount of “Measurement accuracy degradation with 1 Rx. UE”.  Proposal 2: To keep the same level of false admission rate between 1 Rx. and 2 Rx. RSRP change THLDs at the region of target RSRP change, the 1 Rx. offset to RSRP change THLDs for RRM relaxation such as s-SearchDeltaP-r16 and s-SearchDeltaP-Stationary-r17 should have negative sign while positive value is required for 1 Rx. offset to absolute RSRP THLDs. Note that the difference arises from the admission condition that absolute THLD is for “greater than” condition while change THLD is for “less than” condition. |
| [**R4-2215365**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215365.zip) | Intel Corporation | CR on 1Rx. margin for RedCap UEs configured with relaxed measurement criterion |
| [**R4-2216215**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216215.zip) | Nokia, Nokia Shanghai Bell | 1. Agree changes in R4-2216216 correcting references to the respective SDT section in 38.321, adding term definition for TeDRX-RAN , and removing brackets for FR2-1 aligned to FR2-1 requirements for SDT in clause 5.5.3. |
| [**R4-2216216**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216216.zip) | Nokia, Nokia Shanghai Bell | CR 38.133: Corrections to SDT requirements for RedCap |
| [**R4-2216291**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216291.zip) | Huawei, HiSilicon | Correction to idle measurement requirements for RedCap Ues |
| [**R4-2216855**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216855.zip) | Ericsson | * Proposal #1: The 1Rx RedCap UE shall apply -1 dB offset to the signaled value of *Qrxlevmin* and *Qqualmin* for cell selection and cell resection procedures: * Proposal #2: The 1Rx RedCap UE shall apply +1 dB offset to the signaled value of the following cell-specific RSRP thresholds for executing the corresponding procedures:   + *rsrp-ThresholdSSB*,   + *msgA-RSRP-ThresholdSSB*,   + *msgA-RSRP-Threshold,*   + *absThreshSS-BlocksConsolidation,*   + *sdt-RSRP-Threshold.*   + *s-SearchDeltaP-r16,*   + *s-SearchDeltaP-Stationary-r17*   + *s-SearchThresholdP-r16,*   + *s-SearchThresholdQ-r16,*   + *s-SearchThresholdP2-r17,*   + *s-SearchThresholdQ2-r17.* * Proposal #3: The application of the offset to the signaled cell-specific RSRP threshold is specified under each relevant requirement in TS 38.133 defined for the procedure using the the corresponding cell specific threshold(s). |
| [**R4-2216856**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216856.zip) | Ericsson | Draft CR on offset for cell specific RSRP thresholds for 1Rx Redcap UE in 38.133 |
| [**R4-2216597**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216597.zip) | Nokia, Nokia Shanghai Bell | 1. For absolute thresholds, the offset to be applied by 1Rx RedCap UEs is equal to 1 dB . |
| [**R4-2216293**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216293.zip) | Huawei, HiSilicon | Proposal 1: For RedCap UE with 1 Rx branch, a positive offset (i.e.,+1dB) is supposed to be applied for the following parameters:   * + *rsrp-ThresholdSSB*,   + *msgA-RSRP-ThresholdSSB*,   + *msgA-RSRP-Threshold,*   + *absThreshSS-BlocksConsolidation,*   + *sdt-RSRP-Threshold.*   + *s-SearchThresholdP-r16,*   + *s-SearchThresholdQ-r16,*   + *s-SearchThresholdP2-r17,*   + *s-SearchThresholdQ2-r17.*   + *Qrxlevmin and Qqualmin*   A negative offset (-1dB) is supposed to be applied for the following parameters:   * + *s-SearchDeltaP-r16,*   + *s-SearchDeltaP-Stationary-r17* |
| [**R4-2216294**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216294.zip) | Huawei, HiSilicon | CR on offset margin for 1Rx RedCap UE |
| [**R4-2215606**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215606.zip) | Apple | *Proposal 1: For UE configured with eDRX in RRC\_INACTIVE state, the TA validation requirements for RedCap CG-SDT is defined as,*   * *For FR1,*  |  |  | | --- | --- | | *Measurement* | *FR1* | | *RSRP1* | *(T1 – min(640ms, M1\*T)) ≤ T1’ ≤ (T1 + min(640ms, M1\*T))* | | *RSRP2* | *(T2 – min(640ms, M1\*T)) ≤ T2’ ≤ T2* | | *Note: T is as defined in table 5.1B.2.2-1* | |  * *For FR2-1, same as the requirement with DRX in R17 SDT WI* |
| [**R4-2216764**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216764.zip) | Ericsson | Changes to RRC\_IDLE mode requirements for RedCap for TS 38.133 |
| R4-2215962 | vivo | Proposal 1: RAN4 should implement all modification/update related to this margin within RAN4 specs, i.e., TS 38.133.  Proposal 2: It is not necessary for RAN4 to include any new parameters to the listed parameters in [3] in this and future meeting however if any listed parameter in [3] is not cell-specific, that parameter should be removed from the list.  Proposal 3: Remove the bracket of [1] dB, i.e., the magnitude of the exact offset value is 1 dB  Proposal 4: Update absThreshSS-BlocksConsolidation and sdt-RSRP-Threshold used for 1 Rx Redcap UE in TS38.133 as:  absThreshSS-BlocksConsolidation (1 Rx) = absThreshSS-BlocksConsolidation (2 Rx) + 1 dB  sdt-RSRP-Threshold (1 Rx) = sdt-RSRP-Threshold (2 Rx) + 1 dB  Proposal 5: For the parameter rsrp-ThresholdSSB, msgA-RSRP-ThresholdSSB and msgA-RSRP-Threshold, two options are available:  Option 1: Remove them from the list of parameters.  Option 2: The value for 1 Rx Redcap UE is:  rsrp-ThresholdSSB (1 Rx) = rsrp-ThresholdSSB (2 Rx) + 1 dB  msgA-RSRP-ThresholdSSB (1 Rx) = msgA-RSRP-ThresholdSSB (2 Rx) + 1 dB  msgA-RSRP-Threshold (1 Rx) = msgA-RSRP-Threshold (2 Rx) + 1 dB  Proposal 6: Parameters for the cell-specific RSRP thresholds used for Rel-16 low mobility and/or not at cell edge conditions, and Rel-17 stationary and not at cell edge conditions for RRC IDLE/INACTIVE state and to be applied 1 Rx margin are included in TS18.133  Proposal 7: Suggest  SSearchThresholdP (1 Rx) = SSearchThresholdP (2 Rx) + 1 dB  SSearchThresholdP2 (1 Rx) = SSearchThresholdP2 (2 Rx) + 1 dB  SSearchThresholdQ (1 Rx) = SSearchThresholdQ (2 Rx) + 1 dB  SSearchThresholdQ2 (1 Rx) = SSearchThresholdQ2 (2 Rx) + 1 dB  Proposal 8: Suggest the margin for 1Rx Redcap UE does not apply for the following parameters:  SSearchDeltaP, SSearchDeltaP-Stationary |

## Open issues summary

### Sub-topic 1-1: Cell specific RSRP ofset

**Issue 1-1-1: 1 Rx RSRP offset – method**

Background:

At last meeting following agreement was reached [R4-2214484]: *“The offset is a fixed value in dB in the above cell-specific RSRP thresholds and will be specified in TS 38.133. The magnitude of the exact offset value is [1] dB.”*

* Proposals
  + **Option 1 (Intel):** Select 5% false admission rate at the interested RSRP THLD region as a baseline performance target for the design of 1 Rx. offset to cell-specific RSRP THLDs since 5% error rate can give intuitive interpretation related with the amount of “Measurement accuracy degradation with 1 Rx. UE”.
* Recommended WF

Not clear how option 1 relates to the previous agreement. Proponent of option 1 needs to clarify option 1, and based on that discussions can continue.

**Option 1 Clarification (Intel)**

* + - 1.0 dB is the magnitude of “Measurement accuracy degradation with 1 Rx. UE” agreed in RAN4 with 5%-tile/95-tile range and it is linked with the THLD margin to get 5% false admission rate.
    - Under this framework, +1 dB offset for absolute RSRP THLDs including *Qrxlevmin* and *Qqualmin* are required to get the same 5% false admission rate for both 1 Rx. UEs and 2 Rx. UEs
    - For RSRP change between RSRP measurements, the measurement error would not change much comparing absolute RSRP measurement under the assumption that UE would filter the samples in the similar way for L3-RSRP measurement report with default coefficient. Thus, -1 dB is needed for RSRP change THLDs under this false admission rate framework.

**Issue 1-1-2: Sign of RSRP offset for cell selection and reselection**

* Proposals
  + **Option 1 (Ericsson, Nokia):** The 1Rx RedCap UE shall apply -1 dB offset to the signaled value of *Qrxlevmin* and *Qqualmin* for cell selection and cell resection procedures.
  + **Option 2 (Huawei, Intel):** The 1Rx RedCap UE shall apply +1 dB offset to the signaled value of *Qrxlevmin* and *Qqualmin* for cell selection and cell resection procedures.
* Recommended WF

Discuss the option.

**Issue 1-1-3: Sign of RSRP offset for RRM relaxation**

* Proposals
  + **Option 1 (Intel, Huawei):** To keep the same level of false admission rate between 1 Rx. and 2 Rx. RSRP change THLDs at the region of target RSRP change, the 1 Rx. offset to RSRP change THLDs for RRM relaxation such as *s-SearchDeltaP-r16* and *s-SearchDeltaP-Stationary-r17* should have negative sign.
  + **Option 2 (Ericsson):** Sign of RSRP offset used in RRM relaxation is positive.
* Recommended WF

Discuss the options.

**Issue 1-1-4: Sign of RSRP offset for other procedures**

* Proposals
  + **Option 1 (Ericsson, Nokia, Intel, Huawei, vivo):** The 1Rx RedCap UE shall apply +1 dB offset to the signaled value of the following cell-specific RSRP thresholds for executing the corresponding procedures:
    - *rsrp-ThresholdSSB*,
    - *msgA-RSRP-ThresholdSSB*,
    - *msgA-RSRP-Threshold,*
    - *absThreshSS-BlocksConsolidation,*
    - *sdt-RSRP-Threshold.*
    - *s-SearchThresholdP-r16,*
    - *s-SearchThresholdQ-r16,*
    - *s-SearchThresholdP2-r17,*
    - *s-SearchThresholdQ2-r17.*
* Recommended WF

Discuss the option.

**Issue 1-1-5: How to capture RSRP offset in specification**

* Proposals
  + **Option 1 (Ericsson, Intel, vivo):** The application of the offset to the signaled cell-specific RSRP threshold is specified under each relevant requirement in TS 38.133 defined for the procedure using the the corresponding cell specific threshold(s), see [R4-2216856](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216856.zip) (Ericsson). See R4-2215365 (Intel).
  + **Option 2 (HW):** The application of the offset to the signaled cell-specific RSRP threshold is specified in one section, see [R4-2216294](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216294.zip).
* Recommended WF

Discuss the options.

**Issue 1-1-6: Application of 1 Rx margin**

* Proposals
  + **Option 1 (vivo):** The margin for 1Rx Redcap UE does not apply for the following parameters: SSearchDeltaP, SSearchDeltaP-Stationary
* Recommended WF

Discuss the option.

**Issue 1-1-7: Value of the margin**

* Proposals
  + **Option 1 (vivo):** Remove the bracket of [1] dB, i.e., the magnitude of the exact offset value is 1 dB.
* Recommended WF

Discuss the options.

Sub topic 1-1

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| **Company** | **Comments** |
| Huawei | **Issue 1-1-1: 1 Rx RSRP offset – method**  **Issue 1-1-2: Sign of RSRP offset for cell selection and reselection**  Support option 2. We know the motivation of option 1, however we had different view. At one physical position at cell edge, the average value of received RSRP of 1RX UE is less than that of 2RX UE. If through lowering the Qrxlevmin and Qqualmin (-1db), let 1RX UE camp on a certain cell, however the paging reception performance for this UE on this cell would be very poor. To guarantee performance and UE experience, it is suggested to set -dB for 1RX on top of existing Qrxlevmin and Qqualmin.  **Issue 1-1-3: Sign of RSRP offset for RRM relaxation**  Support option 1. The parameters of s-SearchDeltaP-r16 and s-SearchDeltaP-Stationary-r17 are RSRP change delta. As 1Rx RedCap UE has larger measurement uncertainty/ fluctuation, it can easily satisfy the low mobility/ stationary criterion, and then enters to measurement relaxation procedure. To avoid the case, it is better to set a less RSRP change threshold. Therefore a negative offset on top of the current threshold is preferred.  **Issue 1-1-4: Sign of RSRP offset for other procedures**  Support option 1. These parameters are absolute values. When the measured RSRP is above one of these thresholds, UE will perform related behaviors. Since the 1Rx measurement results may result in larger measurement error, a positive offset on top of the current RSRP threshold is more proper, to avoid 1Rx UE execute radical behaviors.  **Issue 1-1-5: How to capture RSRP offset in specification**  Technically option 2 and option 1 are similar. Option 2 creates an applicability section in clause 3, it makes specification simple.  **Issue 1-1-6: Application of 1 Rx margin**  Shall be discussed together with Issue 1-1-3.  **Issue 1-1-7: Value of the margin**  Support option 1. |

### Sub-topic 1-2: Small data transmission for RedCap

**Issue 1-2-1: Clarification of SDT FR2 requirements**

* Proposals
  + **Option 1 (Apple, Nokia):** Same as the requirement with DRX in R17 SDT WI
* Recommended WF

Given that FR2 requirements were updated in R4-2214626 at RAN4#104-e meeting, further discuss need of option1.

**Issue 1-2-2: Clarification of SDT FR1 requirements**

Background: Note that clarifications to the eDRX was agreed in R4-2214626 at RAN4#104-e meeting.

* Proposals
  + **Option 1 (Nokia):** Add the term definition for TeDRX-RAN
  + **Option 2 (Apple):** For UE configured with eDRX in RRC\_INACTIVE state, the TA validation requirements for RedCap CG-SDT is defined as,
    - * *For FR1,*

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| *Measurement* | *FR1* |
| *RSRP1* | *(T1 – min(640ms, M1\*T)) ≤ T1’ ≤ (T1 + min(640ms, M1\*T))* |
| *RSRP2* | *(T2 – min(640ms, M1\*T)) ≤ T2’ ≤ T2* |
| *Note: T is as defined in table 5.1B.2.2-1* | |

* Recommended WF

Given that clarification was already agreed in R4-2214626, discuss if any further clarification is needed.

Sub topic 1-2

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| **Company** | **Comments** |
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## Companies views’ collection for 1st round

### Open issues

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| **Company** | **Comments** |
| Company A |  |
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### CRs/TPs comments collection

*For close-to-finalize WIs and maintenance work, comments collections can be arranged for TPs and CRs. For ongoing WIs, suggest to focus on open issues discussion on 1st round.*

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| **CR/TP number** | **Comments collection** |
| [**R4-2215365**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215365.zip)  (Intel Corporation) | *CR on 1Rx. margin for RedCap UEs configured with relaxed measurement criterion* |
| Huawei: pending on the conclusion of issue 1-1 |
|  |
| [**R4-2216216**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216216.zip)  (Nokia, Nokia Shanghai Bell) | *CR 38.133: Corrections to SDT requirements for RedCap* |
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| [**R4-2216291**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216291.zip)  (Huawei, HiSilicon) | *Correction to idle measurement requirements for RedCap Ues* |
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| [**R4-2216856**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216856.zip)  (Ericsson) | *Draft CR on offset for cell specific RSRP thresholds for 1Rx Redcap UE in 38.133* |
| Huawei: pending on the conclusion of issue 1-1 |
|  |
| [**R4-2216294**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216294.zip)(Huawei, HiSilicon) | CR on offset margin for 1Rx RedCap UE |
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| [**R4-2216764**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216764.zip)  (Ericsson) | Changes to RRC\_IDLE mode requirements for RedCap for TS 38.133 |
| Huawei: Was the CR is agreed in last meeting? This is a resubmition? Please component clarify. |
|  |

## Summary for 1st round

### Open issues

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|  | **Status summary** |
| **Sub-topic #1** | *Tentative agreements:*  *Candidate options:*  *Recommendations for 2nd round:* |

## Discussion on 2nd round (if applicable)

# Topic #2: Mobility requirements

Contributions from AI 4.6.3.1.2 are discussed here.

## Companies’ contributions summary

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| **T-doc number** | **Company** | **Proposals / Observations** |
| [**R4-2215471**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215471.zip) | Xiaomi | Proposal 1: When RedCap UE configured with separate initial UL BWP, the RRC re-establishment delay requirement and RRC connection release with redirection delay requirement should be extended taking the BWP switching time of 6ms into consideration. |
| [**R4-2216455**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216455.zip) | Ericsson | *Proposal 1: No additional delay is needed when UE handover to a BWP which has different SSB type with the one used for measurement.* |
| [**R4-2216456**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216456.zip) | Ericsson | CR on RedCap HO |
| [**R4-2216877**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216877.zip) | Qualcomm Incorporated | Proposal 1: The scenario when handover is performed to a BWP which has different SSB than the one used during measurement should be considered as handover to an unknown cell.   * Capture the above condition as a note in the Handover related section in TS38.133   Proposal 2: When the Redcap specific initial DL BWP is configured for RA, extend the RRC re-establishment delay and RRC connection release with re-direction delay by X ms.   * Tconnection\_release\_redirect\_NR = TRRC\_procedure\_delay + Tidentify-NR + TSI-NR + TRACH + X * X = 6ms |

## Open issues summary

### Sub-topic 2-1 Handover

**Issue 2-1-1: Requirements for HO to a BWP which has different SSB with the one used for measurement**

* Proposals
  + **Option 1 (Ericsson):** No additional delay is needed when UE handover to a BWP which has different SSB type with the one used for measurement.
  + **Option 2 (QC):** The scenario when handover is performed to a BWP which has different SSB than the one used during measurement should be considered as handover to an unknown cell. Capture the above condition as a note in the Handover related section in TS38.133
* Recommended WF

Discuss the options.

Sub topic 2-1

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| **Company** | **Comments** |
| Huawei | Support option 1. CD-SSB and NCD-SSB have the same PCI, and they are QCLed, and the signal level measurement on CD and NCD are almost the same. We don’t think this case shall be regarded as unknown cell handover scenario. Moreover unknown case requires cell detection procedure which would consume more power, it is not desired for RedCap UE. |

### Sub-topic 2-2 RRC re-establishment

**Issue 2-2-1: RRC reestablishment delay with RedCap specific initial BWP**

* Proposals
  + **Option 1 (QC, Xiaomi):**

When the Redcap specific initial BWP is configured for RA, extend RRC connection release with re-direction delay by X ms.

* X = 6ms
* Recommended WF
  + Discuss the option.

Sub topic 2-2

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| **Company** | **Comments** |
| Huawei | In general, we think the scenario may happen in practical network, so additional time duration for UE switching from initial BWP with CD-SSB to redcap specific BWP with RA resource are needed. However 6ms seems too long for BWP switch. We are open to further discuss the concrete value. |

### Sub-topic 2-3 RRC Connection release with redirection

**Issue 2-3-1: RRC connection release with redirection delay with RedCap specific initial BWP**

* Proposals
  + Option 1 (QC, Xiaomi):

When the Redcap specific initial BWP is configured for RA, extend RRC connection release with re-direction delay by X ms.

* Tconnection\_release\_redirect\_NR = TRRC\_procedure\_delay + Tidentify-NR + TSI-NR + TRACH + X
* X = 6ms
* Recommended WF
  + Discuss the option.

Sub topic 2-3

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| **Company** | **Comments** |
| Huawei | Same comments as issue 2-2-1. |

### CRs/TPs comments collection

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| **CR/TP number** | **Comments collection** |
| [**R4-2216456**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216456.zip)  (Ericsson) | CR on RedCap HO |
| Huawei:  According to the existing description, the target cell is regarded as known cell regardless measurement on CD-SSB or NCD-SSB if the following conditions are met:  “In the interruption requirement a cell is known if it has been meeting the relevant cell identification requirement during the last 5 seconds otherwise it is unknown. Relevant cell identification requirements are described in Clause 9.2.5 for intra-frequency handover and Clause 9.3.4 for inter-frequency handover.” |
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## Summary for 1st round

### Open issues

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|  | **Status summary** |
| **Sub-topic#1** | *Tentative agreements:*  *Candidate options:*  *Recommendations for 2nd round:* |

## Discussion on 2nd round (if applicable)

# Topic #3: Timing requirements

Contributions from AI 4.6.3.1.3 are discussed here.

## Companies’ contributions summary

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| **T-doc number** | **Company** | **Proposals / Observations** |
| [**R4-2216217**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216217.zip) | Nokia, Nokia Shanghai Bell | 1. RAN4 to ensure that Tx timing requirements cover the scenario of active BWP without SSB and need for measurement gap for monitoring CD-SSB in case of MG sharing and large MGRP/SMTC. 2. Existing Tx timing requirements are reused for the scenario of active BWP without SSB and need for measurement gap for monitoring CD-SSB in case of MG sharing and large MGRP/SMTC under the condition that UE prioritizes SSB measurement for obtaining reference timing of the serving cell over other configured measurements. |
| [**R4-2216218**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216218.zip) | Nokia, Nokia Shanghai Bell | CR 38.133 Correction to Tx timing requirements for active BWP without SSB for RedCap |
| [**R4-2216878**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216878.zip) | Qualcomm Incorporated | Proposal 1: UE shall meet UL Tx timing accuracy requirement based on intra-freq reference SSB outside active BWP if max (MGRP, SMTC period) x CSSFintra\_RedCap <= 160 ms |
| [**R4-2216880**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216880.zip) | Qualcomm Incorporated | Draft CR on timing requirements with measurement gaps for RedCap UEs |

## Open issues summary

### Sub-topic 3-1 Timing

**Issue 3-1-1: Requirements for the case SSB and MG overlapping**

*Background: Following was agreed at RAN4#103-e [R4-2210592]:*

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| --- |
| ***Whether SSB has to be in UE active BWP for meeting the UE transmit timing requirements***  *For core requirement, Redcap UE should meet the existing Te and Tq requirements provided that the SSB is available at the UE at least once every 160 ms on the following conditions that*   * *The SSB should be within active BWP, or* * *The SSB is not within active BWP, and the gap is configured* * *Capture the condition in the section for RedCap timing of the specification* |

* Proposals
* **Option 1 (Nokia):**  RAN4 to ensure that Tx timing requirements cover the scenario of active BWP without SSB and need for measurement gap for monitoring CD-SSB in case of MG sharing and large MGRP/SMTC.
* Recommended WF
  + Discuss the option.

**Issue 3-1-2: Timing requirements when SSB is not in the active BWP**

* Proposals
* **Option 1 (Nokia):** Existing Tx timing requirements are reused for the scenario of active BWP without SSB and need for measurement gap for monitoring CD-SSB in case of MG sharing and large MGRP/SMTC under the condition that UE prioritizes SSB measurement for obtaining reference timing of the serving cell over other configured measurements.
* **Option 2 (QC):** UE shall meet UL Tx timing accuracy requirement based on intra-freq reference SSB outside active BWP if max (MGRP, SMTC period) x CSSFintra\_RedCap <= 160 ms.
* Recommended WF

Discuss the options.

Sub topic 3-1

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| --- | --- |
| **Company** | **Comments** |
| Huawei | **Issue 3-1-1: Requirements for the case SSB and MG overlapping**  We think the current discription is generic enough to cover many cases including the scenario in option 1.  **Issue 3-1-2: Timing requirements when SSB is not in the active BWP**  Disagree on option 1 and option 2.  In our view “available at UE” means UE is able to use this SSB for timing tracking at least every 160ms, therefore the current requirements don’t need to be changed. How UE preform and obtain measurement resource is up to UE implementation. It shall be noted that the current discription is a trade-off after lots of discussion, we would not like to re-discuss the issue. |

### CRs/TPs comments collection

|  |  |
| --- | --- |
| **CR/TP number** | **Comments collection** |
| [**R4-2216218**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216218.zip)  (Nokia, Nokia Shanghai Bell) | *Huawei: depends on issue 3-1-1 and 3-1-2.* |
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| [**R4-2216880**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216880.zip)(Qualcomm Incorporated) | *Huawei: depends on issue 3-1-1 and 3-1-2.* |
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## Summary for 1st round

### Open issues

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| --- | --- |
|  | **Status summary** |
| **Sub-topic#1** | *Tentative agreements:*  *Candidate options:*  *Recommendations for 2nd round:* |

## Discussion on 2nd round (if applicable)

# Topic #4: Signalling characteristics

Contributions from AI 4.6.3.1.4 are discussed here.

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| T-doc number | Company | Proposals / Observations |
| [R4-2215472](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215472.zip) | Xiaomi | Proposal 1: RAN4 to define relaxed RLM/BFD requirements for RedCap UE.  Proposal 2: It is feasible to further relax RLM/BFD requirements for Rel-17 RedCap UE satisfying both Rel-17 stationary criterion and Rel-17 RLM/BFD relaxation criteria (low mobility criterion and good serving cell quality criterion).  Proposal 3: Further relaxation of RLM/BFD should be restricted to the condition when the configuration of Rel-17 stationary criterion is more stringent than Rel-17 RLM/BFD low mobility criterion, i.e. SSearchDeltaP\_stationary ≤ SSearchDeltaP-Connected and/or TSearchDeltaP\_stationary ≥ TSearchDeltaP-Connected. |
| [R4-2216292](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216292.zip) | Huawei, HiSilicon | Proposal 1: Not to define further relaxation (relaxed RLM/BFD) based on Rel-17 UE power saving WI for RedCap in Rel-17. |
| [R4-2216598](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216598.zip) | Nokia, Nokia Shanghai Bell | 1. RAN4 to prioritize the definition of RLM/BFD relaxation requirements to 2 Rx RedCap UEs in Rel-17. 2. The RLM/BFD relaxation factors defined in the NR\_power\_sav\_enh WI are applicable to 2 Rx RedCap UEs. |
| [R4-2215606](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215606.zip) | Apple | *Proposal 2:* *RAN4 will not define further relaxation (relaxed RLM/BFD) based on Rel-17 UE power saving WI for RedCap in Rel-17.* |

## Open issues summary

### Sub-topic 4-1 Relaxed RLM/BFD

**Issue 4-1-1: Whether to define further relaxation (relaxed RLM/BFD) based on Rel-17 UE power saving WI for RedCap in Rel-17**

* Proposals
  + **Option 1 (Xiaomi):** RAN4 to define relaxed RLM/BFD requirements for RedCap UE.
    - **Option 1a (Nokia):** RAN4 to prioritize the definition of RLM/BFD relaxation requirements to 2 Rx RedCap UEs in Rel-17.
  + **Option 2 (HW, Apple):** Not to define further relaxation (relaxed RLM/BFD) based on Rel-17 UE power saving WI for RedCap in Rel-17.
* Recommended WF

Discuss the options.

**Issue 4-1-2: If further relaxation (relaxed RLM/BFD) based on Rel-17 UE power saving WI is defined for RedCap in Rel-17**

* Proposals
  + **Option 1 (**Xiaomi**):** For RedCap UE satisfaying both Rel-17 stationary criterion and Rel-17 RLM/BFD relaxation criteria (low mobility criterion and good serving cell quality criterion):
    - Further relaxation of RLM/BFD should be restricted to the condition when the configuration of Rel-17 stationary criterion is more stringent than Rel-17 RLM/BFD low mobility criterion, i.e. SSearchDeltaP\_stationary ≤ SSearchDeltaP-Connected and/or TSearchDeltaP\_stationary ≥ TSearchDeltaP-Connected.
  + **Option 1b (Nokia):** The RLM/BFD relaxation factors defined in the NR\_power\_sav\_enh WI are applicable to 2 Rx RedCap UEs.
* Recommended WF

Discuss the options.

Sub topic 4-1

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| --- | --- |
| **Company** | **Comments** |
| Huawei | **Issue 4-1-1: Whether to define further relaxation (relaxed RLM/BFD) based on Rel-17 UE power saving WI for RedCap in Rel-17**  Support option 2.  As per the objective in RedCap WID, “No RRM measurement relaxations are specified for the serving cell”, it is no need to further discuss the requirements of further RLM/BFD relaxation for RedCap UE.  In addition, in RRC connected mode, stationary criterion is introduced for RedCap UE. If the stationary criterion is met, RedCap UE is required to report the information to network. When the met criterion is not satisfied anymore, UE is required to report the information to network as well. Enabling/disabling of RRM measurement relaxation is under network’s control. RAN4 has already agreed that no new UE behaviour/requirements are needed on how to evaluate RRM relaxation criteria at RRC\_CONNECTED mode (R4-2210596). As network can have the knowledge of RedCap UE state (e.g., stationary state), proper configuration can be configured, e.g., longer DRX cycle. Then the RLM/ BFD evaluation period would be accordingly prolonged. The power saving gain is achieved as well. Therefore we don’t think there is need to introduce an additional relaxation criterion (low mobility criterion) for RLM/BDF measurement for RedCap UE, as stationary criterion has already been specified for connected mode UE. In addition, it is up to network configuration and UE implementation to how to let UE to achieve power saving gain. |

### CRs/TPs comments collection

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| **CR/TP number** | **Comments collection** |
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## Summary for 1st round

### Open issues

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| --- | --- |
|  | **Status summary** |
| **Sub-topic#1** | *Tentative agreements:*  *Candidate options:*  *Recommendations for 2nd round:* |

## Discussion on 2nd round (if applicable)

# Topic #5: Measurement procedure

Contributions from AI 4.6.3.1.5 are discussed here.

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| T-doc number | Company | Proposals / Observations |
| [R4-2215491](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215491.zip) | CMCC | Proposal: No need to clarify in the spec that serving cell thresholds of s-MeasureConfig for Connected mode should be checked based on reference SSB measurement. |
| [R4-2215606](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215606.zip) | Apple | *Proposal 3: The serving cell thresholds of s-MeasureConfig for Connected mode should be checked based on reference SSB measurement.* |
| [R4-2215607](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215607.zip) | Apple | CR for serving cell thresholds of s-MeasureConfig for RedCap |
|  |  |  |
| [R4-2216457](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216457.zip) | Ericsson | *Proposal 1: In RedCap, RAN4 to define UE behaviour when the MG and the SMTC meets the proximity condition with the time distance = 4ms.*  *Proposal 2: When the SMTC for intra-frequency layer is fully-partially overlapping with the MG due to NCD-SSB offset, UE is required to perform intra-frequency measurement and drop the configured MG.* |
| [R4-2216458](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216458.zip) | Ericsson | CR on RedCap CGI |
| [R4-2216599](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216599.zip) | Nokia, Nokia Shanghai Bell | 1. The serving cell thresholds of s-MeasureConfig for Connected mode ssb-RSRP should be checked based on reference SSB measurement. |
| [R4-2216771](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216771.zip) | Ericsson | Inter-RAT accuracy requirements for RedCap |
| [R4-2216881](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216881.zip) | Qualcomm Incorporated | *Draft CR on measurement procedures for RedCap UEs* |

## Open issues summary

### Sub-topic 5-1 Use of NCD-SSB for CONNECTED mode measurements

**Issue 5-1-1: Serving cell threshold associated SSB**

* Proposals
  + **Option 1 (Apple, Nokia):** The serving cell thresholds of *s-MeasureConfig* for Connected mode should be checked based on reference SSB measurement.
  + **Option 2 (CMCC):** No need to clarify in the spec that serving cell thresholds of *s-MeasureConfig* for Connected mode should be checked based on reference SSB measurement.

Recommended WF

* + Discuss the options.

Sub topic 5-1

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| --- | --- |
| **Company** | **Comments** |
| Huawei | Prefer Option 2.  Technically, we think option 1 is reasonable and alligned with the RAN2 agreements. However we doubt the necessity of clarifiction on it, as the current intra-frequency measurement definition already reflects the corresponding agreements. Moreover s-MeasureConfig is used in RAN2 and not in the RRM specification and RAN2 has implicitly specify that UE performs measurements on serving cell based on reference SSB. |

### CRs/TPs comments collection

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| --- | --- |
| **CR/TP number** | **Comments collection** |
| [**R4-2215607**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215607.zip)  (Apple) | *CR for serving cell thresholds of s-MeasureConfig for RedCap* |
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|  |
| [**R4-2216458**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216458.zip)  (Ericsson) | *CR on RedCap CGI* |
|  |
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| [**R4-2216771**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216771.zip)  (Ericsson) | *Inter-RAT accuracy requirements for RedCap* |
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| [**R4-2216881**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216881.zip)  (Qualcomm Incorporated) | *Draft CR on measurement procedures for RedCap UEs* |
|  |
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## Summary for 1st round

### Open issues

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| --- | --- |
|  | **Status summary** |
| **Sub-topic#1** | *Tentative agreements:*  *Candidate options:*  *Recommendations for 2nd round:* |

# Topic #6 Performance part of RedCap – Test config.

Contributions from AI 4.6.4.1 are discussed here.

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| **T-doc number** | **Company** | **Proposals / Observations** |
| [**R4-2215492**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215492.zip) | CMCC | Proposal 1: Define RedCap test cases for NCD-SSB.  Proposal 2: Define handover test cases for NCD-SSB as follows:   |  |  | | --- | --- | | Test Index | Test | | 1 | Intra-frequency handover from FR1 CD-SSB to FR1 CD-SSB; known target cell for UE (1Rx, 2Rx) | | 2 | Intra-frequency handover from FR1 NCD-SSB to FR1 NCD-SSB; unknown target cell for UE (1Rx, 2Rx) | | 3 | Inter-frequency handover from FR1 CD-SSB to FR1 NCD-SSB; unknown target cell for UE (1Rx, 2Rx) |   Proposal 3: Define intra-frequency measurement test cases for NCD-SSB as follows:   |  |  | | --- | --- | | Test Index | Test | | 1 | SA event triggered reporting tests without gap under non-DRX (1Rx, 2Rx) | | 2 | SA event triggered reporting tests with per-UE gaps under non-DRX (1Rx, 2Rx) | | 3 | SA event triggered reporting tests without gap under non-DRX with SSB index reading (1Rx, 2Rx) | | 4 | SA event triggered reporting tests with per-UE gaps under non-DRX with SSB index reading (1Rx, 2Rx) |   Proposal 4: Define active BWP switch test cases between BWP-1 with CD-SSB and BWP-2 with NCD-SSB as follows:   |  |  | | --- | --- | | Test Index | Test | | 1 | DCI-based and Timer-based Active BWP Switch: NR FR1 DL active BWP switch of Cell with non-DRX in SA (1Rx, 2Rx) | | 2 | DCI-based and Timer-based Active BWP Switch: NR FR1 DL active BWP switch with non-DRX in SA (1Rx, 2Rx) |   Proposal 5: NCD-SSB periodicity 80ms, NCD-SSB offset 5ms. |
|  |  |  |
| [**R4-2216452**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216452.zip) | Ericsson | *Proposal 1: RAN4 to define the total RF channel bandwidth for NCD-SSB test cases as follow.*   * *In FR1, TDD SCS=30KHz: 40MHz* * *In FR1, TDD SCS=15KHz, FD-FDD SCS=15KHz, HD-FDD SCS=15KHz: 20MHz* * *In FR2, TDD SCS=120/240KHz: 100MHz*   *Proposal 2: RAN4 to define the dedicated BWPs/SSBs for NCD-SSB test cases as follow.*   * *Two dedicated BWPs whose BW is the half of the total RF CBW are configured without any overlapping in frequency domain.* * *CD-SSB is configured within one dedicated DLBWP, and NCD-SSB is configured within the other dedicated DLBWP.*   *Proposal 3: RAN4 to introduce the new dedicated BWPs for RedCap test as follow: One BWP configuration for RedCap UE with SSB; another BWP configuration for RedCap UE where the BWP is not fully overlapped with SSB.*  Table 1: Downlink BWP patterns for dedicated BWP configuration  *Proposal 4: RAN4 to define the different CD-SSBs for NCD-SSB test cases as follow.*  Table 3: SSB.4 RedCap FR1: SSB Pattern 4 for SSB SCS=15 kHz in 20 MHz channel  Table 4: SSB.5 RedCap FR1: SSB Pattern 5 for SSB SCS=30 kHz in 40 MHz channel  Table 5: SSB.2 RedCap FR2: SSB Pattern 2 for SSB SCS = 120 kHz in 100 MHz channel with 1 SSB per SS-  *Proposal 5: RAN4 to define the different SSBs for NCD-SSB test cases as follow.*  Table 6: SSB.6 RedCap FR1: SSB Pattern 6 for SSB SCS=15 kHz in 20 MHz channel  Table 7: SSB.7 RedCap FR1: SSB Pattern 7 for SSB SCS=30 kHz in 40 MHz channel  Table 8: SSB.3 RedCap FR2: SSB Pattern 3 for SSB SCS = 120 kHz in 100 MHz channel with 1 SSB per SS-  *Proposal 6: RAN4 to define the different SMTCs for NCD-SSB test cases as follow.*  Table 9: SMTC.2 RedCap: SMTC Pattern 2 for SMTC period = 80 ms and duration = 1 ms  Table 10: SMTC.3 RedCap: SMTC Pattern 3 for SMTC period = 40 ms and duration = 1 ms  *Proposal 7: RAN4 to define the NCD-SSB test case based on SMTC.2 if no measurement gap is needed; and SMTC.3 if measurement gap is needed.*  *Proposal 8: RAN4 to define the NCD-SSB test case configuration as follow.*  Table 11: Supported test configurations in FR1  Table 12: General test case setting for NCD-SSB  *Proposal 9: RAN4 to define the NCD-SSB based Handover test case by verifying the UE transmitting the RACH in the dedicated UL BWP associated with the DL BWP including NCD-SSB.*  *Proposal 10: RAN4 to define the NCD-SSB based intra-frequency measurements test case.* |
| [**R4-2216453**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216453.zip) | Ericsson | draftCR on RedCap NCD-SSB RMC |
| [**R4-2216600**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216600.zip) | Nokia, Nokia Shanghai Bell | 1. RAN4 to define RRM test cases with NCD-SSB. 2. RAN4 to define the following test cases for Handover:  |  |  | | --- | --- | | Test Index | Test | | 1 | Intra-frequency handover from FR1 to FR1; known target cell for UE (1Rx, 2Rx)  1 Rx: CD-SSB  2 Rx: NCD-SSB | | 2 | Intra-frequency handover from FR1 to FR1; unknown target cell for UE (1Rx, 2Rx)  1 Rx: NCD-SSB  2 Rx: CD-SSB | | 3 | Inter-frequency handover from FR1 to FR1; unknown target cell for UE (1Rx, 2Rx)  1 Rx: CD-SSB  2 Rx: NCD-SSB |  1. RAN4 to define the following test cases for intra-frequency measurements:  |  |  | | --- | --- | | Test Index | Test | | 1 | SA event triggered reporting tests without gap under non-DRX (1Rx, 2Rx)  CD-SSB | | 2 | SA event triggered reporting tests with per-UE gaps under non-DRX (1Rx, 2Rx)  NCD-SSB | | 3 | SA event triggered reporting tests without gap under non-DRX with SSB index reading (1Rx, 2Rx)  NCD-SSB | | 4 | SA event triggered reporting tests with per-UE gaps under non-DRX with SSB index reading (1Rx, 2Rx)  CD-SSB | |
| [**R4-2216765**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216765.zip) | Ericsson | Updated test case list for RedCap RRM performance part |
| [**R4-2216298**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216298.zip) | Huawei, HiSilicon | Proposal 1: The test cases highlighted in yellow highlight are proposed to be defined for NCD-SSB based handover TC.  FR1  A.X.3.1.x1 Intra-frequency handover from FR1 to FR1; known target cell for 1 Rx UE  A.X.3.1.x2 Intra-frequency handover from FR1 to FR1; known target cell for 2 Rx UE  A.X.3.1.x1 Intra-frequency handover from FR1 to FR1; unknown target cell for 1 Rx UE  A.X.3.1.x2 Intra-frequency handover from FR1 to FR1; unknown target cell for 2 Rx UE  A.X.3.1.x1 Inter-frequency handover from FR1 to FR1; unknown target cell for 1 Rx UE  A.X.3.1.x2 Inter-frequency handover from FR1 to FR1; unknown target cell for 2 Rx UE  FR2  A.X.3.1.x Intra-frequency handover from FR2 to FR2; unknown target cell  A.X.3.1. x Inter-frequency handover from FR2 to FR2; unknown target cell  Proposal 2: It is suggested the NCD-SSB configuration with 80ms periodicity and 5ms offset. |

## Open issues summary

### Sub-topic 6-1 Test configuration and list of test cases

**Issue 6-1-1: Whether to define test cases for NCD-SSB**

* Proposals
  + **Option 1 (Nokia, CMCC, Ericsson, HW):** RAN4 to define RRM test cases with NCD-SSB.
* Recommended WF

Discuss the option.

**Issue 6-1-2: If NCD-SSB test cases are introduced, total RF channel bandwidth for NCD-SSB test cases**

* Proposals
  + **Option 1 (Ericsson):** RAN4 to define the total RF channel bandwidth for NCD-SSB test cases as follow.
    - * In FR1, TDD SCS=30KHz: 40MHz
      * In FR1, TDD SCS=15KHz, FD-FDD SCS=15KHz, HD-FDD SCS=15KHz: 20MHz
      * In FR2, TDD SCS=120/240KHz: 100MHz
* Recommended WF

Discuss the option.

**Issue 6-1-3: If NCD-SSB test cases are introduced, dedicated BWPs and SSBs for NCD-SSB test cases**

* Proposals
  + **Option 1 (Ericsson):** RAN4 to define the dedicated BWPs/SSBs for NCD-SSB test cases as follow:
    - * Two dedicated BWPs whose BW is the half of the total RF CBW are configured without any overlapping in frequency domain.
      * CD-SSB is configured within one dedicated DLBWP, and NCD-SSB is configured within the other dedicated DLBWP.
* Recommended WF

Discuss the option.

**Issue 6-1-4: Dedicated DL BWP configuration for test cases**

* Proposals
  + **Option 1 (Ericsson):** RAN4 to introduce the new dedicated BWPs for RedCap test as follow: One BWP configuration for RedCap UE with SSB; another BWP configuration for RedCap UE where the BWP is not fully overlapped with SSB.

Table 1: Downlink BWP patterns for dedicated BWP configuration

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **BWP Parameters** | **Unit** | **Values** | | |
| Reference BWP |  | DLBWP.1.1 RedCap | DLBWP.1.2 RedCap | DLBWP.1.3 RedCap |
| Starting PRB index |  | RBa Note 1 | RBb Note 2 | RBc Note 3 |
| Bandwidth | RB | 25 for SSB SCS = 15KHz,  51 for SSB SCS = 30KHz,  32 for SSB SCS = 120KHz  [16] for SSB SCS = 240KHz | 25 for SSB SCS = 15KHz,  51 for SSB SCS = 30KHz,  32 for SSB SCS = 120KHz  [16] for SSB SCS = 240KHz | [52] for SSB SCS = 15KHz,  [51] for SSB SCS = 30KHz,  [32] for SSB SCS = 120KHz  [16] for SSB SCS = 240KHz |
| Note 1: RBa is the lowest PRB index to guarantee the BWP including SSB PRB index (RBJ, RBJ+1,.…, RBJ+19) which is defined in Clause A.3.10.  Note 2: RBb is the lowest PRB index to guarantee the BWP not fully overlapped with SSB PRB index (RBJ, RBJ+1,.…, RBJ+19) which is defined in Clause A.3.10.  Note 3: RBc is the lowest PRB index to guarantee the BWP including SSB PRB index (RBJ, RBJ+1,.…, RBJ+19) which is defined in Clause A.3.10. | | | | |

* Recommended WF
  + To moderator’s understanding, DLBWP.1.1 and DLBWP.1.2 cannot be used for RedCap FR2 test since the DL BWP exceeds RedCap UE’s BW capability. Thus, they should be replaced by DLBWP.1.1 RedCap and DLBWP.1.2 RedCap
  + DLBWP.1.3 RedCapis used for NCD-SSB test.

**Issue 6-1-5: Dedicated UL BWP configuration for test cases**

* Proposals
  + **Option 1 (Ericsson):** RAN4 to introduce the new dedicated BWPs for RedCap test as follow: One BWP configuration for RedCap UE with SSB; another BWP configuration for RedCap UE where the BWP is not fully overlapped with SSB.
* **Table 2: Uplink BWP patterns for dedicated BWP configuration**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **BWP Parameters** | **Unit** | **Values** | | |
| Reference BWP |  | ULBWP.1.1 RedCap | ULBWP.1.2 RedCap | ULBWP.1.3 RedCap |
| Starting PRB index |  | RBa Note 1 | RBb Note 2 | RBc Note 3 |
| Bandwidth | RB | 25 for SSB SCS = 15KHz,  51 for SSB SCS = 30KHz,  32 for SSB SCS = 120KHz  [16] for SSB SCS = 240KHz | 25 for SSB SCS = 15KHz,  51 for SSB SCS = 30KHz,  32 for SSB SCS = 120KHz  [16] for SSB SCS = 240KHz | [52] for SSB SCS = 15KHz,  [51] for SSB SCS = 30KHz,  [32] for SSB SCS = 120KHz  [16] for SSB SCS = 240KHz |
| Note 1: RBa is the same as RBa for DLBWP.1.1 RedCap.  Note 2: RBb is the same as RBb for DLBWP.1.2 RedCap.  Note 3: RBc is the same as RBc for DLBWP.1.3 RedCap. | | | | |

* Recommended WF
  + To moderator’s understanding, DLBWP.1.1 and DLBWP.1.2 cannot be used for RedCap FR2 test since the DL BWP exceeds RedCap UE’s BW capability. Thus, they should be replaced by DLBWP.1.1 RedCap and DLBWP.1.2 RedCap
  + DLBWP.1.3 RedCapis used for NCD-SSB test..

**Issue 6-1-6: If NCD-SSB test cases are introduced, CD-SSB configurations for NCD-SSB test cases**

* Proposals
  + **Option 1 (Ericsson):** RAN4 to define the different CD-SSBs for NCD-SSB test cases as follow:
* Table 3: SSB.4 RedCap FR1: SSB Pattern 4 for SSB SCS=15 kHz in 20 MHz channel

|  |  |
| --- | --- |
| **SSB Parameters** | **Values** |
| Channel bandwidth | 20 MHz |
| SSB SCS | 15 kHz |
| SSB periodicity (TSSB) | 20 ms |
| Number of SSBs per SS-burst | 1 |
| SS/PBCH block index | 0 |
| Symbol numbers containing SSB Note 2 | 2-5 |
| Slot numbers containing SSB Note 2 | 0 |
| SFN containing SSB | SFN mod (max(TSSB,10ms)/10ms) = 0 |
| RB numbers containing SSB within channel BW | (RBJ, RBJ+1,.…, RBJ+19)Note 1 |
| Note 1: RBs containing SSB can be configured in any frequency location within the associated bandwidth part according to the allowed synchronization raster defined in TS 38.104 [13].  Note 2: These values have been derived from other parameters for information purposes (as per TS 38.213 [3]). They are not settable parameters themselves. | |

* Table 4: SSB.5 RedCap FR1: SSB Pattern 5 for SSB SCS=30 kHz in 40 MHz channel

|  |  |
| --- | --- |
| **SSB Parameters** | **Values** |
| Channel bandwidth | 40 MHz |
| SSB SCS | 30 kHz |
| SSB periodicity (TSSB) | 20 ms |
| Number of SSBs per SS-burst | 1 |
| SS/PBCH block index | 0 |
| Symbol numbers containing SSB Note 3 | 4-7 or 2-5 Note 2 |
| Slot numbers containing SSB Note 3 | 0 |
| SFN containing SSB | SFN mod (max(TSSB,10ms)/10ms) = 0 |
| RB numbers containing SSB within channel BW | (RBJ, RBJ+1,.…, RBJ+19)Note 1 |
| Note 1: RBs containing SSB can be configured in any frequency location within the associated bandwidth part according to the allowed synchronization raster defined in TS 38.104 [13].  Note 2: Symbols 4-7 is chosen, if the SSB pattern Case B should be used for the current band as indicated by Table 5.4.3.3-1 of TS 38.104 [13]; Otherwise, symbol 2-5 is chosen.  Note 3: These values have been derived from other parameters for information purposes (as per TS 38.213 [3]). They are not settable parameters themselves | |

* Table 5: SSB.2 RedCap FR2: SSB Pattern 2 for SSB SCS = 120 kHz in 100 MHz channel with 1 SSB per SS-burst

|  |  |
| --- | --- |
| SSB Parameters | Values |
| Channel bandwidth | 100 MHz |
| SSB SCS | 120 kHz |
| SSB periodicity (TSSB) | 40 ms |
| Number of SSBs per SS-burst | 1 |
| SS/PBCH block index | 0 |
| Symbol numbers containing SSBs Note 2 | 4-7 |
| Slot numbers containing SSB Note 2 | 0 |
| SFN containing SSB | SFN mod (max(TSSB,10ms)/10ms) = 0 |
| RB numbers containing SSBs within channel BW | (RBJ, RBJ+1,.…, RBJ+19)Note 1 |
| Note 1: RBs containing SSB can be configured in any frequency location within the associated bandwidth part according to the allowed synchronization raster defined in TS 38.104 [13].  Note 2: These values have been derived from other parameters for information purposes (as per TS 38.213 [3]). They are not settable parameters themselves. | |

* Recommended WF
  + Discuss the option.

**Issue 6-1-7: If NCD-SSB test cases are introduced, different SSB configurations for NCD-SSB test cases**

* Proposals
  + **Option 1 (Ericsson):** RAN4 to define the different CD-SSBs for NCD-SSB test cases as follow:
* Table 6: SSB.6 RedCap FR1: SSB Pattern 6 for SSB SCS=15 kHz in 20 MHz channel

|  |  |
| --- | --- |
| **SSB Parameters** | **Values** |
| Channel bandwidth | 20 MHz |
| SSB SCS | 15 kHz |
| SSB periodicity (TSSB) | 80 ms |
| Number of SSBs per SS-burst | 1 |
| SS/PBCH block index | 0 |
| Symbol numbers containing SSB Note 2 | 2-5 |
| Slot numbers containing SSB Note 2 | 0 |
| SFN containing SSB | SFN mod (max(TSSB,10ms)/10ms) = 0 |
| RB numbers containing SSB within channel BW | (RBJ, RBJ+1,.…, RBJ+19)Note 1 |
| Note 1: RBs containing SSB can be configured in any frequency location within the associated bandwidth part except the allowed synchronization raster defined in TS 38.104 [13].  Note 2: These values have been derived from other parameters for information purposes (as per TS 38.213 [3]). They are not settable parameters themselves. | |

* Table 7: SSB.7 RedCap FR1: SSB Pattern 7 for SSB SCS=30 kHz in 40 MHz channel

|  |  |
| --- | --- |
| **SSB Parameters** | **Values** |
| Channel bandwidth | 40 MHz |
| SSB SCS | 30 kHz |
| SSB periodicity (TSSB) | 80 ms |
| Number of SSBs per SS-burst | 1 |
| SS/PBCH block index | 0 |
| Symbol numbers containing SSB Note 3 | 4-7 or 2-5 Note 2 |
| Slot numbers containing SSB Note 3 | 0 |
| SFN containing SSB | SFN mod (max(TSSB,10ms)/10ms) = 0 |
| RB numbers containing SSB within channel BW | (RBJ, RBJ+1,.…, RBJ+19)Note 1 |
| Note 1: RBs containing SSB can be configured in any frequency location within the associated bandwidth part except the allowed synchronization raster defined in TS 38.104 [13].  Note 2: Symbols 4-7 is chosen, if the SSB pattern Case B should be used for the current band as indicated by Table 5.4.3.3-1 of TS 38.104 [13]; Otherwise, symbol 2-5 is chosen.  Note 3: These values have been derived from other parameters for information purposes (as per TS 38.213 [3]). They are not settable parameters themselves | |

* Table 8: SSB.3 RedCap FR2: SSB Pattern 3 for SSB SCS = 120 kHz in 100 MHz channel with 1 SSB per SS-burst

|  |  |
| --- | --- |
| SSB Parameters | Values |
| Channel bandwidth | 100 MHz |
| SSB SCS | 120 kHz |
| SSB periodicity (TSSB) | 40 ms |
| Number of SSBs per SS-burst | 1 |
| SS/PBCH block index | 0 |
| Symbol numbers containing SSBs Note 2 | 4-7 |
| Slot numbers containing SSB Note 2 | 0 |
| SFN containing SSB | SFN mod (max(TSSB,10ms)/10ms) = 0 |
| RB numbers containing SSBs within channel BW | (RBJ, RBJ+1,.…, RBJ+19)Note 1 |
| Note 1: RBs containing SSB can be configured in any frequency location within the associated bandwidth part except the allowed synchronization raster defined in TS 38.104 [13].  Note 2: These values have been derived from other parameters for information purposes (as per TS 38.213 [3]). They are not settable parameters themselves. | |

1. Recommended WF

Discuss the option.

**Issue 6-1-8: If NCD-SSB test cases are introduced, SMTC configuration for NCD-SSB test cases**

1. Proposals
2. **Option 1 (Ericsson):** RAN4 to define the different SMTCs for NCD-SSB test cases as follow.
3. Table 9: SMTC.2 RedCap: SMTC Pattern 2 for SMTC period = 80 ms and duration = 1 ms

|  |  |
| --- | --- |
| SMTC Parameters | Values |
| SMTC periodicity | 80 ms |
| SMTC offset | 5 ms |
| SMTC duration | 1 ms |

1. Table 10: SMTC.3 RedCap: SMTC Pattern 3 for SMTC period = 40 ms and duration = 1 ms

|  |  |
| --- | --- |
| SMTC Parameters | Values |
| SMTC periodicity | 40 ms |
| SMTC offset | 20 ms |
| SMTC duration | 1 ms |

1. **Option 2 (CMCC, Huawei):** It is suggested the NCD-SSB configuration with 80ms periodicity and 5ms offset.
2. **Option 3 (Nokia**): Introduce NCD-SSB configuration as: NCD-SSB periodicity 40ms, NCD-SSB offset [20 ms].
3. Recommended WF
   * Discuss the options.

Sub topic 6-1

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | **Issue 6-1-1: Whether to define test cases for NCD-SSB**  Option 1.  Taking handover as an example. In R17 handover requirements specified for RedCap UE are applied for the following handover scenarios:   * Handover to a target cell’s initial BWP associated with CD-SSB; * Handover to a target cell’s specific Redcap BWP associated with NCD-SSB besides to the initial BWP associated with CD-SSB (i.e. UE directly sync to the NCD-SSB and perform RACH on that BWP).   Although the requirements of the second scenario with NCD-SSB reuse the requirements of CD-SSB based handover, it is necessary to verify the functionality.  **Issue 6-1-2: If NCD-SSB test cases are introduced, total RF channel bandwidth for NCD-SSB test cases**  Generally fine with the idea that CD-SSB is configured within one DLBWP, and NCD-SSB is configured within another DLBWP. We are wondering whether the RF bandwidth of FR2 shall be 200MHz? and we suggest to only verify 120kHz SCS in FR2 as legacy.  **Issue 6-1-3: If NCD-SSB test cases are introduced, dedicated BWPs and SSBs for NCD-SSB test cases**  Option 1 is fine.  **Issue 6-1-6: If NCD-SSB test cases are introduced, CD-SSB configurations for NCD-SSB test cases**  Fine with table 3, 4. The question in issue 6-1-2 is also for table 5.  **Issue 6-1-7: If NCD-SSB test cases are introduced, different SSB configurations for NCD-SSB test cases**  Option 1 is fine.  **Issue 6-1-8: If NCD-SSB test cases are introduced, SMTC configuration for NCD-SSB test cases**  Support option 2. Regarding the periodicity of NCD-SSB, from network configuration overload perspective, NCD-SSB with 20ms periodicity would degrade about 5% overload loss. Then 80ms periodicity of NCD-SSB (Tssb) is a good trade-off and only about 1.2% overload loss. |

### Sub-topic 6-2 NCD SSB test cases

**Issue 6-2-1: HO test cases in FR1**

* Proposals
  + **Option 1 (CMCC):** RAN4 to define following HO test cases for NCD-SSB:

|  |  |
| --- | --- |
| Test Index | Test |
| 1 | Intra-frequency handover from FR1 CD-SSB to FR1 CD-SSB; known target cell for UE (1Rx, 2Rx) |
| 2 | Intra-frequency handover from FR1 NCD-SSB to FR1 NCD-SSB; unknown target cell for UE (1Rx, 2Rx) |
| 3 | Inter-frequency handover from FR1 CD-SSB to FR1 NCD-SSB; unknown target cell for UE (1Rx, 2Rx) |

* + **Option 2 (Nokia):**

|  |  |
| --- | --- |
| Test Index | Test |
| 1 | Intra-frequency handover from FR1 to FR1; known target cell for UE (1Rx, 2Rx)  1 Rx: CD-SSB  2 Rx: NCD-SSB |
| 2 | Intra-frequency handover from FR1 to FR1; unknown target cell for UE (1Rx, 2Rx)  1 Rx: NCD-SSB  2 Rx: CD-SSB |
| 3 | Inter-frequency handover from FR1 to FR1; unknown target cell fsor UE (1Rx, 2Rx)  1 Rx: CD-SSB  2 Rx: NCD-SSB |

* + **Option 3 (Huawei):** Following TCs are proposed to be defined for NCD-SSB based handover TC:
    - A.X.3.1.x1 Intra-frequency handover from FR1 to FR1; known target cell for 1 Rx UE
    - A.X.3.1.x2 Intra-frequency handover from FR1 to FR1; unknown target cell for 2 Rx UE
    - A.X.3.1.x2 Inter-frequency handover from FR1 to FR1; unknown target cell for 2 Rx UE
* Recommended WF
  + Discuss the options.

**Issue 6-2-2: HO test cases in FR2**

* Proposals
  + **Option 1 (Huawei):** Following TCs are proposed to be defined for NCD-SSB based handover TC:
    - A.X.3.1.x Intra-frequency handover from FR2 to FR2; unknown target cell
* Recommended WF
  + Discuss the option.

**Issue 6-2-3: Measurement test cases**

* Proposals
  + **Option 1 (CMCC, Nokia):** Define intra-frequency measurement test cases for NCD-SSB as follows:

|  |  |
| --- | --- |
| Test Index | Test |
| 1 | SA event triggered reporting tests without gap under non-DRX (1Rx, 2Rx) |
| 2 | SA event triggered reporting tests with per-UE gaps under non-DRX (1Rx, 2Rx) |
| 3 | SA event triggered reporting tests without gap under non-DRX with SSB index reading (1Rx, 2Rx) |
| 4 | SA event triggered reporting tests with per-UE gaps under non-DRX with SSB index reading (1Rx, 2Rx) |

* Recommended WF

Discuss the option and also clarify the proposal whether they apply to FR1 or FR2 or both.

**Issue 6-2-4: BWP switching test cases**

* Proposals
  + **Option 1 (CMCC):** Define active BWP switch test cases between BWP-1 with CD-SSB and BWP-2 with NCD-SSB as follows:

|  |  |
| --- | --- |
| Test Index | Test |
| 1 | DCI-based and Timer-based Active BWP Switch: NR FR1 DL active BWP switch of Cell with non-DRX in SA (1Rx, 2Rx) |
| 2 | DCI-based and Timer-based Active BWP Switch: NR FR1 DL active BWP switch with non-DRX in SA (1Rx, 2Rx) |

**Issue 6-2-5: Updated test case list for RedCap**

* Recommended WF

Companies are encouraged to provide their comments directly to the updated test case list.

Sub topic 6-2

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| --- | --- |
| **Company** | **Comments** |
| Huawei | **Issue 6-2-1: HO test cases in FR1**  Support option 3. There is no need to design two full sets of TCs to verify both CD-SSB based handover and NCD-SSB based handover. Handover to NCD-SSB TC can be selected from intra-frequency and inter-frequency scenarios. As RedCap UE can be 1Rx capable or 2RX capable in FR1, some TC can be selected from each 1RX and 2RX TC sets (see yellow highlight).   |  | | --- | | **FR1**  A.X.3.1.x1 Intra-frequency handover from FR1 to FR1; known target cell for 1 Rx UE  A.X.3.1.x2 Intra-frequency handover from FR1 to FR1; known target cell for 2 Rx UE  ===  A.X.3.1.x1 Intra-frequency handover from FR1 to FR1; unknown target cell for 1 Rx UE  A.X.3.1.x2 Intra-frequency handover from FR1 to FR1; unknown target cell for 2 Rx UE  ==  A.X.3.1.x1 Inter-frequency handover from FR1 to FR1; unknown target cell for 1 Rx UE  A.X.3.1.x2 Inter-frequency handover from FR1 to FR1; unknown target cell for 2 Rx UE  **FR2**  A.X.3.1.x Intra-frequency handover from FR2 to FR2; unknown target cell  A.X.3.1. x Inter-frequency handover from FR2 to FR2; unknown target cell |   **Issue 6-2-2: HO test cases in FR2**  Support option 1. Same comments as issue 6-2-1  **Issue 6-2-3: Measurement test cases**  Can we pick some TCs from option 1 to save test load. |

### CRs/TPs comments collection

|  |  |
| --- | --- |
| **CR/TP number** | **Comments collection for Test Configurations CRs** |
| [**R4-2216453**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216453.zip)  (Ericsson) | *draftCR on RedCap NCD-SSB RMC* |
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# Topic #7: Performance part of RedCap – FR1 test cases

Contributions from AI 4.6.4.2.2 - 4.6.4.2.7 are discussed here.

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| **T-doc number** | **Company** | **Proposals / Observations** |
| [**R4-2216601**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216601.zip) | Nokia, Nokia Shanghai Bell | draft CR on correction to IDLE mode test cases for RedCap in FR1 |
| [**R4-2215473**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215473.zip) | Xiaomi | CR on 4-step random access test in FR1 for RedCap UE |
| [**R4-2216299**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216299.zip) | Huawei, HiSilicon | Test case for handover for FR1 RedCap UE |
| [**R4-2216602**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216602.zip) | Nokia, Nokia Shanghai Bell | draft CR on correction to CONNECTED mode test cases for RedCap in FR1 |
| [**R4-2216749**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216749.zip) | MediaTek inc. | DraftCR on Intra-frequency handover from FR1 to FR1 unknown target cell for 2 and 1 Rx UE |
| [**R4-2215420**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215420.zip) | CATT | CR on timing test for RedCap for FR1 |
| [**R4-2216603**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216603.zip) | Nokia, Nokia Shanghai Bell | draft CR on corrections on timing test cases for RedCap |
| [**R4-2216748**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216748.zip) | MediaTek inc. | DraftCR on NR UE Transmit Timing Test for FR1 for 1 and 2 Rx UE |
| [**R4-2215474**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215474.zip) | Xiaomi | CR on SSB-based RLM in-sync test in FR1 for RedCap UE |
| [**R4-2215493**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215493.zip) | CMCC | Draft CR on test case for FR1 active BWP swith and UE specific CBW change |
| [**R4-2216301**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216301.zip) | Huawei, HiSilicon | RLM test cases for FR1 RedCap UE |
| [**R4-2216604**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216604.zip) | Nokia, Nokia Shanghai Bell | Draft CR introducing BFD and LR test cases for RedCap in FR1 |
| [**R4-2216750**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216750.zip) | MediaTek inc. | DraftCR on Radio Link Monitoring Out-of-sync Test for FR1 PCell configured with SSB-based RLM RS in DRX mode for 1 and 2 Rx UE |
| [**R4-2215422**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215422.zip) | CATT | Draft CR for RedCap UEs for intra-frequency measurement in FR1 |
| [**R4-2215808**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215808.zip) | OPPO | CR on SA test with per-UE gaps under non-DRX with SSB index reading for intra-frequency measurement |
| [**R4-2215964**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215964.zip) | vivo | draft CR for CSI-RS based L1-RSRP for Redcap |
| [**R4-2216305**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216305.zip) | Huawei, HiSilicon | Test case on SA inter-frequency measurement procedure in FR1 for Redcap |
| [**R4-2216751**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216751.zip) | MediaTek inc. | DraftCR on SA event triggered reporting tests without gap under non-DRX for 1 Rx and 2 Rx UE |
| [**R4-2216756**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216756.zip) | MediaTek inc. | Draft CR on the test case for SA event triggered reporting tests for FR1 without SSB time index detection when DRX is not used |
| [**R4-2216772**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216772.zip) | Ericsson | RRM test cases for FR1: Measurement procedure |
| [**R4-2216303**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216303.zip) | Huawei, HiSilicon | Test case for intra-frequency SS-RSRQ measurement accuracy for FR1 RedCap UE |
| [**R4-2216343**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216343.zip) | Ericsson | Draft CR for introduction of the test cases for FR1 measurement accuracy on Redcap |
| [**R4-2216307**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216307.zip) | Huawei, HiSilicon | Test case on E-UTRA – NR inter-RAT measurement performance for Redcap |

## Open issues summary

### CRs/TPs comments collection

|  |  |
| --- | --- |
| **CR/TP number** | **Comments collection for RRC\_IDLE state mobility CRs** |
| [**R4-2216601**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216601.zip)  (Nokia, Nokia Shanghai Bell) | draft CR on correction to IDLE mode test cases for RedCap in FR1 |
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| **CR/TP number** | **Comments collection for RRC\_CONNECTED state mobility CRs** |
| [**R4-2215473**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215473.zip)(Xiaomi) | CR on 4-step random access test in FR1 for RedCap UE |
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| [**R4-2216299**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216299.zip)(Huawei, HiSilicon) | Test case for handover for FR1 RedCap UE |
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| [**R4-2216602**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216602.zip)(Nokia, Nokia Shanghai Bell) | draft CR on correction to CONNECTED mode test cases for RedCap in FR1 |
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| [**R4-2216749**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216749.zip)(MediaTek inc.) | DraftCR on Intra-frequency handover from FR1 to FR1 unknown target cell for 2 and 1 Rx UE |
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| **CR/TP number** | **Comments collection for Timing CRs** |
| [**R4-2215420**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215420.zip)(CATT) | CR on timing test for RedCap for FR1 |
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| [**R4-2216603**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216603.zip)(Nokia, Nokia Shanghai Bell) | draft CR on corrections on timing test cases for RedCap |
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| [**R4-2216748**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216748.zip)(MediaTek inc.) | DraftCR on NR UE Transmit Timing Test for FR1 for 1 and 2 Rx UE |
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| **CR/TP number** | **Comments collection for Signaling characteristics CRs** |
| [**R4-2215474**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215474.zip)(Xiaomi) | CR on SSB-based RLM in-sync test in FR1 for RedCap UE |
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| [**R4-2215493**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215493.zip)(CMCC) | Draft CR on test case for FR1 active BWP swith and UE specific CBW change |
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| [**R4-2216301**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216301.zip)(Huawei, HiSilicon) | RLM test cases for FR1 RedCap UE |
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| [**R4-2216604**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216604.zip)(Nokia, Nokia Shanghai Bell) | Draft CR introducing BFD and LR test cases for RedCap in FR1 |
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| [**R4-2216750**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216750.zip)(MediaTek inc.) | DraftCR on Radio Link Monitoring Out-of-sync Test for FR1 PCell configured with SSB-based RLM RS in DRX mode for 1 and 2 Rx UE |
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| **CR/TP number** | **Comments collection for Measurement procedures CRs** |
| [**R4-2215422**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215422.zip)(CATT) | Draft CR for RedCap UEs for intra-frequency measurement in FR1 |
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| [**R4-2215808**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215808.zip)(OPPO) | CR on SA test with per-UE gaps under non-DRX with SSB index reading for intra-frequency measurement |
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| [**R4-2215964**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215964.zip)(vivo) | draft CR for CSI-RS based L1-RSRP for Redcap |
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| [**R4-2216305**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216305.zip)(Huawei, HiSilicon) | Test case on SA inter-frequency measurement procedure in FR1 for Redcap |
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| [**R4-2216751**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216751.zip)(MediaTek inc.) | DraftCR on SA event triggered reporting tests without gap under non-DRX for 1 Rx and 2 Rx UE |
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| [**R4-2216756**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216756.zip)(MediaTek inc.) | Draft CR on the test case for SA event triggered reporting tests for FR1 without SSB time index detection when DRX is not used |
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| [**R4-2216772**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216772.zip)(Ericsson) | RRM test cases for FR1: Measurement procedure |
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| [**R4-2216307**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216307.zip)  (Huawei, HiSilicon) | *Test case on E-UTRA – NR inter-RAT measurement performance for Redcap* |
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| **CR/TP number** | **Comments collection for Measurement accuracy CRs** |
| [**R4-2216303**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216303.zip)(Huawei, HiSilicon) | Test case for intra-frequency SS-RSRQ measurement accuracy for FR1 RedCap UE |
| Configuration 3 shall be 20MHz TDD |
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| [**R4-2216343**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216343.zip)(Ericsson) | Draft CR for introduction of the test cases for FR1 measurement accuracy on Redcap |
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## Summary for 1st round

### Open issues

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|  | **Status summary** |
| **Sub-topic#1** | *Tentative agreements:*  *Candidate options:*  *Recommendations for 2nd round:* |

# Topic #8: Performance part of RedCap – FR2 test cases

Contributions from AI 4.6.4.3.2 - 4.6.4.3.7 are discussed here.

## Companies’ contributions summary

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| **T-doc number** | **Company** | **Proposals / Observations** |
| [**R4-2215475**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215475.zip) | Xiaomi | CR on 4-step random access test in FR2 for RedCap UE |
| [**R4-2216300**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216300.zip) | Huawei, HiSilicon | Test case for handover for FR2 RedCap UE |
| [**R4-2215421**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215421.zip) | CATT | CR on timing test for RedCap for FR2 |
| [**R4-2215476**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215476.zip) | Xiaomi | CR on RLM in-sync and scheduling restriction in FR2 for RedCap UE |
| [**R4-2215494**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215494.zip) | CMCC | Draft CR on test case for FR2 active BWP swith, UE specific CBW change, active TCI state switch and uplink spatial relation switch delay |
| [**R4-2215965**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215965.zip) | vivo | draft CR for CSI-RS-based BFD and LR for FR2 PCell |
| [**R4-2216302**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216302.zip) | Huawei, HiSilicon | RLM test cases for FR2 RedCap UE |
| [**R4-2215423**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215423.zip) | CATT | Draft CR for RedCap UEs for intra-frequency measurement in FR2 |
| [**R4-2215477**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215477.zip) | Xiaomi | CR on SA event triggered reporting test with per-UE gaps under DRX for RedCap UE in FR2 |
| [**R4-2215478**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215478.zip) | Xiaomi | CR on SSB and CSI-RS based L1-RSRP measurement for RedCap UE in FR2 |
| [**R4-2216306**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216306.zip) | Huawei, HiSilicon | Test case on SA inter-frequency measurement procedure in FR2 for Redcap |
| [**R4-2216752**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216752.zip) | MediaTek inc. | DraftCR on SSB based L1-RSRP measurement when DRX is not used for FR2 |
| [**R4-2216757**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216757.zip) | MediaTek inc. | Draft CR on the test case for SA event triggered reporting test without gap under DRX |
| [**R4-2216773**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216773.zip) | Ericsson | RRM test cases for FR2: Measurement procedure |
| [**R4-2216304**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216304.zip) | Huawei, HiSilicon | Test case for intra-frequency SS-RSRQ measurement accuracy for FR2 RedCap UE |
| [**R4-2216344**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216344.zip) | Ericsson | Draft CR for introduction of the test cases for FR2 measurement accuracy on Redcap |
| [**R4-2216753**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216753.zip) | MediaTek inc. | DraftCR on SSB based L1-RSRP measurement for beam reporting for FR2 |
| [**R4-2216754**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216754.zip) | MediaTek inc. | DraftCR on CSI-RS based L1-RSRP measurement for beam reporting for FR2 |

## Open issues summary

### CRs/TPs comments collection

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| **CR/TP number** | **Comments collection for RRC\_IDLE state mobility CRs** |
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| **CR/TP number** | **Comments collection for RRC\_CONNECTED state mobility CRs** |
| [**R4-2215475**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215475.zip)(Xiaomi) | CR on 4-step random access test in FR2 for RedCap UE |
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| [**R4-2216300**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216300.zip)(Huawei, HiSilicon) | Test case for handover for FR2 RedCap UE |
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| **CR/TP number** | **Comments collection for Timing CRs** |
| [**R4-2215421**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215421.zip)  (CATT) | CR on timing test for RedCap for FR2 |
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| **CR/TP number** | **Comments collection for Signaling characteristics CRs** |
| [**R4-2215476**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215476.zip)(Xiaomi) | CR on RLM in-sync and scheduling restriction in FR2 for RedCap UE |
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| [**R4-2215494**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215494.zip)(CMCC) | Draft CR on test case for FR2 active BWP swith, UE specific CBW change, active TCI state switch and uplink spatial relation switch delay |
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| [**R4-2215965**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215965.zip)(vivo) | draft CR for CSI-RS-based BFD and LR for FR2 PCell |
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| [**R4-2216302**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216302.zip)(Huawei, HiSilicon) | RLM test cases for FR2 RedCap UE |
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| **CR/TP number** | **Comments collection for Measurement procedures CRs** |
| [**R4-2215423**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215423.zip)(CATT) | Draft CR for RedCap UEs for intra-frequency measurement in FR2 |
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| [**R4-2215477**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215477.zip)(Xiaomi) | CR on SA event triggered reporting test with per-UE gaps under DRX for RedCap UE in FR2 |
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| [**R4-2215478**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2215478.zip)(Xiaomi) | CR on SSB and CSI-RS based L1-RSRP measurement for RedCap UE in FR2 |
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| [**R4-2216306**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216306.zip) (Huawei, HiSilicon) | Test case on SA inter-frequency measurement procedure in FR2 for Redcap |
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| [**R4-2216752**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216752.zip)(MediaTek inc.) | DraftCR on SSB based L1-RSRP measurement when DRX is not used for FR2 |
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| [**R4-2216757**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216757.zip)(MediaTek inc.) | Draft CR on the test case for SA event triggered reporting test without gap under DRX |
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| [**R4-2216773**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216773.zip)(Ericsson) | RRM test cases for FR2: Measurement procedure |
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| **CR/TP number** | **Comments collection for Measurement accuracy CRs** |
| [**R4-2216304**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216304.zip)(Huawei, HiSilicon) | Test case for intra-frequency SS-RSRQ measurement accuracy for FR2 RedCap UE |
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| [**R4-2216344**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216344.zip)(Ericsson) | Draft CR for introduction of the test cases for FR2 measurement accuracy on Redcap |
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| [**R4-2216753**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216753.zip)(MediaTek inc.) | DraftCR on SSB based L1-RSRP measurement for beam reporting for FR2 |
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| [**R4-2216754**](https://www.3gpp.org/ftp/TSG_RAN/WG4_Radio/TSGR4_104bis-e/Docs/R4-2216754.zip)(MediaTek inc.) | DraftCR on CSI-RS based L1-RSRP measurement for beam reporting for FR2 |
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## Summary for 1st round

### Open issues

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| --- | --- |
|  | **Status summary** |
| **Sub-topic#1** | *Tentative agreements:*  *Candidate options:*  *Recommendations for 2nd round:* |

# Recommendations for Tdocs

## 1st round

**New tdocs**

|  |  |  |
| --- | --- | --- |
| **Title** | **Source** | **Comments** |
| WF on … | YYY |  |
| LS on … | ZZZ | To: RAN\_X; Cc: RAN\_Y |
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**Existing tdocs**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Tdoc number** | **Title** | **Source** | **Recommendation** | **Comments** |
| R4-210xxxx | CR on … | XXX | Agreeable, Revised, Merged, Postponed, Not Pursued |  |
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Notes:

1. Please include the summary of recommendations for all tdocs across all sub-topics incl. existing and new tdocs.
2. For the Recommendation column please include one of the following:
   1. CRs/TPs: Agreeable, Revised, Merged, Postponed, Not Pursued
   2. Other documents: Agreeable, Revised, Noted
3. For new LS documents, please include information on To/Cc WGs in the comments column
4. Do not include hyper-links in the documents

## 2nd round

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| --- | --- | --- | --- | --- |
| **Tdoc number** | **Title** | **Source** | **Recommendation** | **Comments** |
| R4-210xxxx | CR on … | XXX | Agreeable, Revised, Merged, Postponed, Not Pursued |  |
| R4-210xxxx | WF on … | YYY | Agreeable, Revised, Noted |  |
| R4-210xxxx | LS on … | ZZZ | Agreeable, Revised, Noted |  |
|  |  |  |  |  |

Notes:

1. Please include the summary of recommendations for all tdocs across all sub-topics.
2. For the Recommendation column please include one of the following:
   1. CRs/TPs: Agreeable, Revised, Merged, Postponed, Not Pursued
   2. Other documents: Agreeable, Revised, Noted
3. Do not include hyper-links in the documents