**3GPP TSG-RAN WG4 Meeting # 104-e R4-22XXXXX**

**Electronic Meeting, 15– 26 August 2022**

**Agenda item:** 11.12.5

**Source:** Moderator (CMCC)

**Title:** Email discussion summary for [104-e][236] NR\_ATG\_RRM

**Document for:** Information

# Introduction

This email discussion focuses on RRM core requirements for Rel-18 NR ATG, including agenda 11.12.4. It is the first meeting to discuss RRM core requirement in this WI, the latest revised WID is in RP-221369.

The targets of email discussion for 1st round and 2nd round are:

• 1st round:

* Identify the RRM core requirements which are need to be defined for ATG
* Identify the impacted RRM core requirements by ATG feature.
* Further discuss the ATG solutions for impacted requirements as much as possible

• 2nd round: Strive to conclude the RRM core requirements scope for ATG. Approve the WF.

It is appreciated that the delegates for this topic put their contact information in the table below.

Contact information

|  |  |  |
| --- | --- | --- |
| **Company** | **Name** | **Email address** |
| CMCC | Shiyuan Wang | wangshiyuan@chinamobile.com |
| Huawei | Zhongyi Shen | shenzhongyi3@huawei.com |
| Ericsson | Santhan Thangarasa | Santhan.thangarasa@ericsson.com |
| Nokia, Nokia Shanghai Bell | Anthony Lo | Anthony.Lo@nokia.com |
| LGE | Jin Woong Park | jinwoong.park@lge.com |

Note:

1. Please add your contact information in above table once you make comments on this email thread.
2. If multiple delegates from the same company make comments on single email thread, please add you name as suffix after company name when make comments i.e. Company A (XX, XX)

# Topic #1: General RAN4 RRM ATG related aspects

*Main technical topic overview. The structure can be done based on sub-agenda basis.*

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| **T-doc number** | **Company** | **Proposals / Observations** |
| R4-2211918 | Apple | Observation: ATG UE is a special UE in terms of operating scenarios and potential different UE behaviours.  Proposal 1: It is proposed to define a basic RRM requirement for single CC operation in Rel-18. E.g. CA/DC/enhanced features like MDT are not considered. |
| R4-2212302 | CMCC | Proposal 1: For RRM core requirements, the FR2 related requirements, CA/DC related requirements and inter-RAT measurement related requirements are not applicable to R18 ATG.  Proposal 2: Both inter-frequency and intra-frequency measurement for ATG scenario should be considered. |
| R4-2212384 | LG Electronics UK | Proposal 1: RAN4 needs to study impact on TDD band operation due to longer propagation delay between ground gNB and ATG UE.  Proposal 2: RAN4 needs to study ATG UE assistance information such as altitude, location, propagation delay difference. |
| R4-2212696 | Ericsson | Proposal 1 General section on bands and terminologies are updated with A2G bands and terminologies. |
| R4-2212974 | Huawei, HiSilicon | Proposal 1: Prioritize single carrier operation for RRM requirements. |
| R4-2213868 | ZTE Corporation | Proposal 2: Not need to consider inter-RAT measurement for cell re-selection due to no commerical demand. |

## Open issues summary

*Before e-Meeting, moderators shall summarize list of open issues, candidate options and possible WF (if applicable) based on companies’ contributions.*

### Sub-topic 1-1: ATG Use cases and scenarios

*Sub-topic description:*

*Open issues and candidate options before e-meeting:*

**Issue 1-1-1: Scenarios to be considered for ATG RRM**

* Proposals
  + Option 1: It is proposed to define a basic RRM requirement for single CC operation in Rel-18. E.g. CA/DC/enhanced features like MDT are not considered. (Apple)
  + Option 2: For RRM core requirements, the FR2 related requirements, CA/DC related requirements and inter-RAT measurement related requirements are not applicable to R18 ATG. (CMCC)
  + Option 3: Prioritize single carrier operation for RRM requirements. (HW)
  + Option 4: Not need to consider inter-RAT measurement for cell re-selection due to no commercial demand. (ZTE)
  + Option 5: Both intra-frequency and inter-frequency measurement requirements need to be defined. (CMCC)
* Recommended WF
  + FR2 related requirements, CA/DC related requirements and inter-RAT measurement related requirements are not applicable to R18 ATG.
    - FFS whether MDT and other enhanced features need to be considered in other related Issues
  + Both intra-frequency and inter-frequency measurement requirements need to be defined.

### Sub-topic 1-2：Others general impactions due to ATG feature

*Sub-topic description*

*Open issues and candidate options before e-meeting:*

**Issue 1-2-1: Impaction on TS38.133 Section 3: Definitions, symbols and abbreviations**

* Proposals
  + Option 1: General section on bands and terminologies are updated with A2G bands and terminologies. (Ericsson)
  + Option 2: Depending on potential different REFSENS requirement for ATG UE, new grouping might be needed. (Apple)
  + Option 3: New definitions, symbols and abbreviations will be introduced for ATG (CMCC)
* Recommended WF
  + ATG terminologies need to be introduced. FFS on ATG bands table.

**Issue 1-2-2: TDD impaction**

* Proposals
  + Option 1: RAN4 needs to study impact on TDD band operation due to longer propagation delay between ground gNB and ATG UE. (LGE)
* Recommended WF
  + Discuss Option 1.

**Issue 1-2-3: UE assistance information**

* Proposals
  + Option 1: RAN4 needs to study ATG UE assistance information such as altitude, location, propagation delay difference. (LGE)
* Recommended WF
  + Discuss Option 1.

## Companies views’ collection for 1st round

### Open issues

Sub topic 1-1: ATG Use cases and scenarios

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | **Issue 1-1-1: Scenarios to be considered for ATG RRM**  We are generally fine with recommended WF.  Regarding how to facilitate the discussion and identify RRM spec impact, we thought it is an insufficient approach to pick each requirements to check whether it is needed or not and create dedicated sections for ATG. It will make the spec very huge and unsustainable.  We suggest to follow the HST approach that only updating the spec where specific updating for ATG is needs (current requirements cannot be reused directly). Then there is no need to combine ATG with each requirements.  Thus, we propose to add following principles to facilitate the discussion.  **Identify RRM impact where specific updating for ATG is needed similar as HST.** |
| Ericsson | **Issue 1-1-1: Scenarios to be considered for ATG RRM**  We are fine with the recommended WF.  At this stage of the WI, it is too early to decide whether we can follow approach from HST. We are fine to use those as examples, but we prefer to avoid the note stated in HW’s comment. |
| Apple | **Issue 5-1-1: General measurement requirement**  Option 1-1: GAP design related capability/signalling needs to be reconsidered.  **Issue 5-1-2: NR intra-frequency measurements**  Prefer option 1-1. More thinking is needed on the details.  **Issue 5-1-3: NR inter-frequency measurements**  Prefer Option 1-1. More thinking is needed on the details.  **Issue 5-1-4: L1-RSRP and L1-SINR measurements for Reporting**  Ok with the recommendation from moderator.  **Issue 5-1-5: Cross Link Interference measurements**  Option 2. CLI feature is not necessary for ATG.  **Issue 5-1-6: CSI-RS based L3 measurements**  Keep open for further discussion  **Issue 5-1-7: L1-RSRP measurements for a cell with different PCI from serving cell**  Keep open for further discussion.  **Issue 5-1-8: NR measurements with autonomous gaps**  Keep open for further discussion.  **Issue 5-1-9: Other measurement related requirements**  Option1. |
| LGE | **Issue 1-1-1: Scenarios to be considered for ATG RRM**  Support the recommended WF |
| CMCC | **Issue 1-1-1: Scenarios to be considered for ATG RRM**  We support the Recommended WF. |
| ZTE | Issue 1-1-1:  Support the recommended WF. |

Sub topic 1-2: Others general impactions due to ATG feature

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | **Issue 1-2-1: Impaction on TS38.133 Section 3: Definitions, symbols and abbreviations**  Support recommended WF. Input from RF is needed.  **Issue 1-2-2: TDD impaction**  We are open to discuss the issue. More specific impact on RRM requirements shall be identified.  **Issue 1-2-3: UE assistance information**  We are open to discuss the issue. We have similar proposal for timing/mobility requirements that whether to utilize assistant information as NTN. |
| Ericsson | **Issue 1-2-1: Impaction on TS38.133 Section 3: Definitions, symbols and abbreviations**  We are fine with the recommended WF.  **Issue 1-2-2: TDD impaction**  We support option 1. We also agree it is a new scenario and its impact needs to be studied.  **Issue 1-2-3: UE assistance information**  In general we fine, but we would like to revise the option as follows:  *RAN4 needs to study ATG UE assistance information such as altitude, location, propagation delay difference, flight path etc., or change in any of these parameters.* |
| Apple | **Issue 1-2-1: Impaction on TS38.133 Section 3: Definitions, symbols and abbreviations**  Agree with the recommended WF by moderator.  **Issue 1-2-2: TDD impaction**  We are open for further study for option 1.  **Issue 1-2-3: UE assistance information**  Open for further discussion for option1. But our understanding is that ATG UE should assume GNSS capable. |
| LGE | **Issue 1-2-1: Impaction on TS38.133 Section 3: Definitions, symbols and abbreviations**  Support the recommended WF  **Issue 1-2-2: TDD impaction**  Support the option 1. For FDD band, the impact due to longer propagation delay can be resolved by NTN principle, but for TDD band, RAN4 needs to study the impact due to the propagation delay.  **Issue 1-2-3: UE assistance information**  Support option 1. As similar with NTN, for ATG system, additional UE assistance information should be studied. UE assistance information can be derived by the GNSS. We think the UE assistance information can be used in measurement and mobility as well as timing compensation. This issue is related to the issue 3-1-1 and similar issue is discussed in 3-1-2 in RF session. |
| CMCC | **Issue 1-2-1: Impaction on TS38.133 Section 3: Definitions, symbols and abbreviations**  We support the Recommended WF, ATG terminologies need to be introduced. As for ATG band, it can be further discussed based on the ATG CPE REFSENS requirements,  **Issue 1-2-2: TDD impaction**  We prefer to discuss the TDD impaction in each sub-topic respectively. For example,  The long propagation delay may have impact on TA, we think it can be further discussed in Topic 3.  For measurement, although the largest propagation delay may achieve 1ms, we still think the current SMTC is enough for perform intra and inter frequency measurement in SCS 15kHz and 30kHz  **Issue 1-2-3: UE assistance information**  We think we should start the discussion of whether and what kind of UAI is needed for ATG  Since the WI only involve RAN4, new UE assistance information Reporting method should be avoided. Only current reporting can be introduced to ATG.  In Option 1, there are some UAI examples, in our view:  For the altitude, it can be supported to network through immediate MDT.  For the location, we think it is not safe for UE to report the specific location, even in NTN, it is not approved.  For the propagation delay difference, we think the propagation delay difference is not so severe, network can configure the SMTC and MG without such UE assistance information. |
| ZTE | **Issue 1-2-1: Impaction on TS38.133 Section 3: Definitions, symbols and abbreviations**  Agree with the recommended WF.  **Issue 1-2-2: TDD impaction**  We are open for further study for option 1.  **Issue 1-2-3: UE assistance information**  We are open to discuss the issue. We think the UE assistance information can be used in measurement and mobility as well as timing compensation. |

## Summary for 1st round

### Open issues

*Moderator tries to summarize discussion status for 1st round, list all the identified open issues and tentative agreements or candidate options and suggestion for 2nd round i.e. WF assignment.*

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic #1-1** | **Issue 1-1-1: Scenarios to be considered for ATG RRM**  *Tentative agreements:*   * FR2 related requirements, CA/DC related requirements and inter-RAT measurement related requirements are not applicable to R18 ATG. * Both intra-frequency and inter-frequency measurement requirements need to be defined.   *Recommendations for 2nd round:*  No further discussion |

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic #1-2** | **Issue 1-2-1: Impaction on TS38.133 Section 3: Definitions, symbols and abbreviations**  *Tentative agreements:*   * ATG terminologies need to be introduced. * FFS on ATG bands table based on input from RF session.   *Recommendations for 2nd round:*  No further discussion in this meeting.  **Issue 1-2-2: TDD impaction**  *Candidate options:*   * Option 1: RAN4 needs to study impact on TDD band operation due to longer propagation delay between ground gNB and ATG UE. (HW, Ericsson, Apple, LGE, ZTE)   *Recommendations for 2nd round:*  RAN4 Further discuss the TDD impact due to longer propagation delay between ground gNB and ATG UE. Proponents are encouraged to provide more details about the TDD impaction.  **Issue 1-2-3: UE assistance information**  *Tentative agreements:*  RAN4 further study ATG UE assistance information   * such as altitude, location, propagation delay difference, flight path etc., or change in any of these parameters.   *Recommendations for 2nd round:*  In 2nd round, please check whether other UAI is needed or not |
|  |  |

## Discussion on 2nd round (if applicable)

**Issue 1-2-2: TDD impaction**

*Candidate options:*

* Option 1: RAN4 needs to study impact on TDD band operation due to longer propagation delay between ground gNB and ATG UE. (HW, Ericsson, Apple, LGE, ZTE)

*Recommendations for 2nd round:*

RAN4 Further discuss the TDD impact due to longer propagation delay between ground gNB and ATG UE. Proponents are encouraged to provide more details about the TDD impaction.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | We support option 1. The reason is that so far no analysis or studies has been presented regarding the impact on the propagation delay due to NTN TDD operation. Thus we think it is reasonable to allow some time for the companies to investigate and provided analysis on possible impact of TDD operation for A2G. Our view is to capture this issue as FFS in the WF and continue the discussions at next meeting based on presented analysis of companies. |
| LGE | We think, due to the longer propagation delay, UL and DL timing in TDD band would be overlapped. So, RAN4 needs to study impact related overlapping case. |

**Issue 1-2-3: UE assistance information**

*Tentative agreements:*

RAN4 further study ATG UE assistance information

* such as altitude, location, propagation delay difference, flight path etc., or change in any of these parameters.

*Recommendations for 2nd round:*

In 2nd round, please check whether other UAI is needed or not

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | **Issue 1-2-3: UE assistance information**  Current tentative agreements are fine. Whether other assistance information is needed can be discussed at future meetings based on the type of assistance information and its relevance/necessity. |
| LGE | We are fine and speed and velocity can be added to the list as follows.   * such as altitude, location, propagation delay difference, flight path, speed, velocity etc., or change in any of these parameters.   We think we don’t need to finalize the parameters for UAI in this meeting. Further input for UAI parameters should not be precluded.  For further clarification, is it necessary to provide information from NW side to UE such as gNB position? |
| Moderator | Thanks LGE for providing more information about UAI. Your suggestion will be captured to WF v01  Our intention is not to finalize the parameters, we just want to provide more information to companies, in order to help the discussion, further input is always welcome before issue agreed.  For gNB position, we think can be discussed together with timing requirements. We will not capture it here. |

**Issue 1-2-4: Work plan**

|  |  |  |  |
| --- | --- | --- | --- |
| **WG** | **Meeting Number** | **TU** | **Task** |
| RAN4 | #104 | Core RD  0.5 | * Start the discussion on the RRM core requirements for ATG;   + Identify the differences between ATG and fully ground based systems |
| RAN4 | #104bis | Core RD  0.5 | * Further discuss the RRM core requirements for ATG   + Identify and discuss the requirements which different from ground-based systems |
| RAN4 | #105 | Core RD  0.5 | * Further discuss the RRM core requirements for ATG   + Further discuss the ATG RRM core requirements   + Agree the requirements framework |
| RAN4 | #105 | Core RD  0.5 | * Further discuss the RRM core requirements for ATG * Discussion on CR work split |
| Perf  RD  0.5 | * For Demod perf   + Discuss the test case list and test parameters for UE demod and BS demod   + Discuss the simulation assumption |
| RAN4 | #106bis | Core RD 0.5 | * Further discuss the RRM core requirements for ATG * Start drafting CRs provided there is sufficient progress |
| Perf  RD  0.25 | * For RRM perf   + Discuss RRM test cases and related parameters (if needed) * For Demod perf   + Decide the test case list, further discuss the test parameters for UE demod and BS demod   + Decide the simulation assumption |
| RAN4 | #107 | Core RD 0.25 | * Address all remaining issues * Agree the RRM core requirements for ATG * Further drafting CRs based on progress |
| Perf  RD  0.5 | * For RRM perf   + Decide the test case list, further discuss RRM test case related parameters (if needed) * For Demod perf   + Further discuss the test parameters for UE demod and BS demod   + Simulation results collection |
| RAN4 | #108 | Core RD 0.25 | * Endorse CRs |
| Perf  RD  0.25 | * For RRM perf   + Further discuss RRM test case related parameters and test requirements (if needed)   + Discussion on CR work split * For Demod perf   + Further discuss the test parameters and test setups for UE demod and BS demod   + Simulation results collection   + Discussion on CR work split |
| RAN4 | #108bis | Pref  RD  0.5 | * For RRM perf   + Further discuss remaining issues   + Provide draft CRs * For Demod perf   + Further discuss the test parameters for UE demod and BS demod   + Simulation results collection   + Provide draft CRs |
| RAN4 | #109 | Pref  RD  0.5 | * For RRM perf   + Address all remaining issues   + Endorse draft CRs * For Demod perf   + Address all remaining issues   + Simulation results collection   + Endorse draft CRs |
| RAN4 | #110 | Pref  RD  0.5 | * Endorse CRs |

If you have any comments to the RD work plan, please provide the comment in the table below.

|  |  |
| --- | --- |
| **Company** | **Comments** |
|  |  |

# Topic #2: Mobility

*Main technical topic overview. The structure can be done based on sub-agenda basis.*

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| **T-doc number** | **Company** | **Proposals / Observations** |
| R4-2211643 | CATT | Observation 1: The proposed RRM requirements need to be defined and postponed for ATG UE are listed in Table 1. |
| R4-2211918 | Apple | Proposal 1: It is proposed to define a basic RRM requirement for single CC operation in Rel-18. E.g. CA/DC/enhanced features like MDT are not considered. |
| R4-2212302 | CMCC | Proposal 1: For RRM core requirements, the FR2 related requirements, CA/DC related requirements and inter-RAT measurement related requirements are not applicable to R18 ATG.  Proposal 2: Both inter-frequency and intra-frequency measurement for ATG scenario should be considered.  Observation 2: Considering of the max UE speed 1200km/h, if the ISD is smaller than 118km/h, the current cell re-selection requirement cannot be directly reused. |
| R4-2212696 | Ericsson | Proposal 2 RAN4 to assess if existing IDLE/INACTIVE requirements on serving cell evaluation from HST can be reused for A2G.  Proposal 3 The A2G UE is allowed to not measure on the neighbour cells based on the coverage information of the serving cell e.g. if serving cell RSRP is above threshold.  Proposal 4 For cell reselection and handover, the A2G UE should resume the neighbor cell measurement in normal manner without any relaxation if there is any unpredictable change in flight path or sudden drop in aircraft height due to any critical or emergency situation.  Proposal 5 For cell reselection and handover, UE can determine the sudden change in the flight path autonomously (e.g. internally from flight data) or based on assistance information from the ground base station. Details are FFS.  Proposal 6 The measurement capability requirements of A2G is FFS.  Proposal 7 The current IDLE/INACTIVE paging reception requirements, excluding inter-RAT, are reused for A2G.  Proposal 8 SDT requirements are defined for A2G. Details are FSS.  Proposal 9 The principle from the legacy RRC re-establishment requirements can be reused as baseline for A2G, and any further impact is FFS.  Proposal 10 The principle from the random access requirements can be reused as baseline for A2G, and any further impact is FFS.  Proposal 11 RAN4 to discuss whether to define requirements for 2-step RA for A2G.  Proposal 12 The principle from the RRC connection release with redirection for A2G, and any further impact is FFS. |
| R4-2212974 | Huawei, HiSilicon | Observation 1: The existing requirements should be used if possible.  Proposal 1: Prioritize single carrier operation for RRM requirements.  Proposal 2: RAN4 to discuss whether to consider CHO (timer-based and location-based) introduced in Rel-17 NTN.  Proposal 5: Whether to define requirements for CSI-RS based measurement and positioning measurement for ATG. |
| R4-2213868 | ZTE Corporation | Proposal 1: Reusing legacy R15 requirements of intra-frequency and inter-frequency measurements in cell re-selection is fine.  Proposal 2: Not need to consider inter-RAT measurement for cell re-selection due to no commerical demand.  Proposal 3: Re-using legacy MDT if necessary for ATG UE is fine.  Proposal 4: Considering the requirements for known case handover, re-using legacy legacy requirement for ATG UE is fine.  Proposal 5: Not need to consider handover to unknown cell for ATG scenario.  Proposal 6: Re-using the legacy RRC re-establishment requirements for ATG UE. |

## Open issues summary

*Before e-Meeting, moderators shall summarize list of open issues, candidate options and possible WF (if applicable) based on companies’ contributions.*

### Sub-topic 2-1: Mobility in RRC\_IDLE/INACTIVE

*Sub-topic description:*

*Open issues and candidate options before e-meeting:*

**Issue 2-1-1: Cell selection requirements**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson)
    - Option 1-1: No impact observed (Apple, CMCC, Ericsson)
* Recommended WF
  + Cell selection requirements will be defined for ATG, reuse the legacy requirments.

**Issue 2-1-2: Cell re-selection requirements**

**Issue 2-1-2-1: Cell re-selection measurement capability**

* Proposals
  + Option 1: The measurement capability requirements of A2G is FFS. (Ericsson)
  + Option 2: Reuse current UE capability for NR intra-frequency measurement and NR inter-frequency measurement. (CMCC)
* Recommended WF
  + Discuss above Options

**Issue 2-1-2-2: Cell re-selection measurement requirements**

* Proposals
* Option 1: Reusing legacy R15 requirements of intra-frequency and inter-frequency measurements in cell re-selection is fine. (ZTE)
* Option 2: Take the current HST requirement as the starting point and check what need to be further enhanced. (Apple)
* Option 3: FFS based on minimum ISD and largest UE movement speed. (CMCC)
* Option 4: (Ericsson)
  + RAN4 should assess if the principle of current serving cell evaluation requirements defined HST can be reused.
  + The A2G UE is allowed to not measure on the neighbour cells based on the coverage information of the serving cell e.g. if serving cell RSRP is above threshold.
    - For cell reselection and handover, the A2G UE should resume the neighbor cell measurement in normal manner without any relaxation if there is any unpredictable change in flight path or sudden drop in aircraft height due to any critical or emergency situation.
    - For cell reselection and handover, UE can determine the sudden change in the flight path autonomously (e.g. internally from flight data) or based on assistance information from the ground base station. Details are FFS
* Recommended WF
  + Define serving cell evaluation requirements, details are FFS. FFS for neighbour cell evaluation requirements.

**Issue 2-1-2-3: Neighbour cell measurements**

* Proposals
* Option 1: (Ericsson)
  + The A2G UE is allowed to not measure on the neighbour cells based on the coverage information of the serving cell e.g. if serving cell RSRP is above threshold.
* Recommended WF
  + Discuss the option.

**Issue 2-1-2-4: Conditions for performing neighbour cell measurements**

* Proposals
* Option 1: (Ericsson)
  + - For cell reselection and handover, the A2G UE should resume the neighbor cell measurement in normal manner without any relaxation if there is any unpredictable change in flight path or sudden drop in aircraft height due to any critical or emergency situation.
    - For cell reselection and handover, UE can determine the sudden change in the flight path autonomously (e.g. internally from flight data) or based on assistance information from the ground base station. Details are FFS
* Recommended WF
  + Discuss the option.

**Issue 2-1-2-5: Paging reception requirements**

* Proposals
  + Option 1: The current IDLE/INACTIVE paging reception requirements, excluding inter-RAT, are reused for A2G. (Ericsson, CMCC, ZTE)
* Recommended WF
  + Check whether Option 1 can be agreeable.

**Issue 2-1-3: Minimization of Drive tests (MDT)**

* Proposals
  + Option 1: Not applicable in this release. (CATT, Apple)
  + Option 2: Re-using legacy MDT if necessary for ATG UE (ZTE, CMCC)
* Recommended WF
  + First discuss the necessity of MDT in RRC\_IDLE and RRC\_INACTIVE

**Issue 2-1-4: IDLE Mode CA/DC requirements**

* Proposals
  + Option 1: Not applicable in this release. (CATT, Apple, CMCC)
* Recommended WF
  + Check whether Option 1 can be agreeable.

**Issue 2-1-5: Small Data Transmissions (SDT)**

* Proposals
  + Option 1: Not applicable in this release. (CATT, Apple)
  + Option 2: SDT requirements are defined for A2G. Details are FSS (Ericsson)
* Recommended WF
  + Discuss above Options

**Issue 2-1-6: Positioning measurements**

* Proposals
  + Option 1: Not applicable in this release. (CATT, Apple)
  + Option 2: Further check whether to define requirements for positioning measurement for ATG (HW)
* Recommended WF
  + Based on moderator’s understanding on HW’s proposal Option 2, HW think there is no significant benefits to support positioning measurement in ATG. Therefore, please check whether Option 1 is agreeable.

### Sub-topic 2-2: Mobility in RRC\_CONNECTED

*Sub-topic description*

*Open issues and candidate options before e-meeting:*

**Issue 2-2-1: Handover**

**Issue 2-2-1-1: NR Handover**

* Proposals
* Option 1: Only intra-frequency HO and [inter-frequency HO] need to be defined. (Apple)
* Option 2: Both intra-frequency HO and inter-frequency HO need to be defined. (CMCC)
  + Option 2-1: Reuse legacy handover requirements for ATG UE (CMCC, ZTE)
* Option 3: The A2G UE is allowed to not measure on the neighbour cells based on the coverage information of the serving cell e.g. if serving cell RSRP is above threshold. (Ericsson)
  + For cell reselection and handover, the A2G UE should resume the neighbor cell measurement in normal manner without any relaxation if there is any unpredictable change in flight path or sudden drop in aircraft height due to any critical or emergency situation.
  + For cell reselection and handover, UE can determine the sudden change in the flight path autonomously (e.g. internally from flight data) or based on assistance information from the ground base station. Details are FFS
* Recommended WF
  + Discuss above Options

**Issue 2-2-1-2: NR Handover to Other RATs**

* Proposals
  + Option 1: Not applicable in this release. (CATT, Apple, CMCC)
* Recommended WF
  + Check whether Option 1 can be agreeable.

**Issue 2-2-1-3: NR DAPS Handover**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT,)
  + Option 2: FFS whether to include DAPS handover in this release. (Apple, CMCC)
* Recommended WF
  + Companies provide views about whether to include DAPS handover

**Issue 2-2-1-4: NR Conditional Handover**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT,)
  + Option 2: FFS whether to include NR conditional handover in this release. (Apple, CMCC)
    - Option 2-1: RAN4 to discuss whether to consider CHO (timer-based and location-based) introduced in Rel-17 NTN. (HW)
* Recommended WF
  + Companies provide views about whether to include legacy CHO and R17 NTN enhanced CHO

**Issue 2-2-1-5: NR Handover with PSCell**

* Proposals
  + Option 1: Not applicable in this release. (CATT, Apple, CMCC)
* Recommended WF
  + Check whether Option 1 can be agreeable.

**Issue 2-2-2: RRC Connection Mobility Control**

**Issue 2-2-2-1: SA: RRC Re-establishment**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, Ericsson, CMCC, ZTE)
    - Option 1-1: RRC Re-establishment delay need to be considered (Apple)
    - Option 1-2: Re-using the legacy RRC re-establishment requirements for ATG UE. (Ericsson, CMCC, ZTE)
* Recommended WF
  + RRC Re-establishment requirements will be defined for ATG, FFS the delay requirements value

**Issue 2-2-2-2: Random access**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, Ericsson, CMCC)
    - Option 1-1: The principle from the random access requirements can be reused as baseline for A2G, and any further impact is FFS. (Ericsson, CMCC)
    - Option 1-2: RAN4 to discuss whether to define requirements for 2-step RA for A2G. (Ericsson)
* Recommended WF
  + Random access requirements will be defined for ATG. Discuss 2-step RA for ATG, FFS the further impact due to ATG feature.

**Issue 2-2-2-3: SA: RRC Connection Release with Redirection**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, Ericsson, CMCC)
    - Option 1-1: The principle from the legacy RRC re-establishment requirements can be reused. (Ericsson, CMCC)
* Recommended WF
  + RRC Connection Release with Redirection will be defined for ATG. Re-using the principle from the legacy RRC re-establishment requirements.

## Companies views’ collection for 1st round

### Open issues

Sub topic 2-1: Mobility in RRC\_IDLE/INACTIVE

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | **Issue 2-1-1: Cell selection requirements**  Support recommended WF. Existing requirements can apply  **Issue 2-1-2: Cell re-selection requirements**  **Issue 2-1-2-1: Cell re-selection measurement capability**  **Issue 2-1-2-2: Cell re-selection measurement requirements**  We support taking Rel-15 cell re-selection requirements as starting point.  **Issue 2-1-2-3: Neighbour cell measurements**  We are open to discuss the issue.  **Issue 2-1-2-4: Conditions for performing neighbour cell measurements**  We are open to discuss the issue.  **Issue 2-1-2-5: Paging reception requirements**  Support option 1  **Issue 2-1-3: Minimization of Drive tests (MDT)**  We are fine to not considering the requirements. But it is a bit ambiguous to say it is not applicable as it is up to UE’s capability indication. It only means RAN4 is not going to define ATG specific requirements.  **Issue 2-1-4: IDLE Mode CA/DC requirements**  Same as issue 2-1-3  **Issue 2-1-5: Small Data Transmissions (SDT)**  Same as issue 2-1-3**Issue 2-1-6: Positioning measurements**  Same as issue 2-1-3 |
| Ericsson | **Issue 2-1-1: Cell selection requirements**  We don’t agree to the recommend WF. We support option 1 which is that RAN4 needs to define RRM requirements for ATG UE for the serving cell selection and evaluation requirements. What those requirements are and how to define those needs to be discussed. As an example, RAN4 to asses whether the framework used for defining the HST requirements can be reused for defining the ATG requirements. This is an issue that needs to be kept open for discussions.  **Issue 2-1-2-1: Cell re-selection measurement capability**  The operating scenario is not entirely clear at the moment. In one example, the serving cell can have much wider coverage and ISD than the corresponding legacy assumptions. In another example, there can be scenarios with many aircraft in same areas in which case multiple cells may be needed to provide service. Therefore, the number carriers to identify and monitor needs more discussions and can be kept as FFS until the scenario is more clear in the RF group.  **Issue 2-1-2-2: Cell re-selection measurement requirements**  Multiple options can be agreed as they are not contradicting. Thus we propose following options to be agreed and captured for further evaluations:   * + RAN4 should assess if the principle of current serving cell evaluation requirements defined HST can be reused.   + The A2G UE is allowed to not measure on the neighbour cells based on the coverage information of the serving cell e.g. if serving cell RSRP is above threshold.     - For cell reselection and handover, the A2G UE should resume the neighbor cell measurement in normal manner without any relaxation if there is any unpredictable change in flight path or sudden drop in aircraft height due to any critical or emergency situation.     - For cell reselection and handover, UE can determine the sudden change in the flight path autonomously (e.g. internally from flight data) or based on assistance information from the ground base station. Details are FFS   + FFS based on minimum ISD and largest UE movement speed.   **Issue 2-1-2-3: Neighbour cell measurements**  We support option 1. Unlike in operation with handled devices in classical TN network, the UE is not expected to do frequent cell changes due to the large cell size, ISD and deterministic flight path. Therefore we believe the UE can be allowed to skip the neighbour cell measurements under some conditions as explained in our paper [R4-2212696]. The detailed conditions need more discussions and thus FFS.  **Issue 2-1-2-4: Conditions for performing neighbour cell measurements**  We support option 1, see our previous comments. The detailed conditions need more discussions and thus FFS.  **Issue 2-1-2-5: Paging reception requirements**  We are fine with option 1.  **Issue 2-1-3: Minimization of Drive tests (MDT)**  We don’t think it is realistic to define MDT requirements within the Rel-18 time frame. Our preference is to focus on developing the core requirements as highest priority and MDT can have lower priority.    **Issue 2-1-4: IDLE Mode CA/DC requirements**  Option 1 should be clarified that RAN4 shall focus on developing RRM requirements assuming single carrier operation in Rel-18, with such clarification we are fine.  **Issue 2-1-5: Small Data Transmissions (SDT)**  We think SDT can be useful feature to support since it can be used to provide NW with critical or periodical updates without switching to CONNECTED mode. In addition, we think most of the existing requirements can be reused with minor effort. We are also open to continue the discussions.  **Issue 2-1-6: Positioning measurements**  Positioning measurement requirements should be down prioritized for ATG. ATG UE will have GNSS and the GNSS coverage is good as it is in the air. Positioning measurements for A2G will also require significant additional work. Therefore we do not see any good motivation for positioning measurement requirements for ATG in R18. |
| Apple. | **Issue 2-1-1: Cell selection requirements**  Agree with the recommended WF by moderator.  **Issue 2-1-2: Cell re-selection requirements**  **Issue 2-1-2-1: Cell re-selection measurement capability**  Option 2 can be a starting point.  **Issue 2-1-2-2: Cell re-selection measurement requirements**  Keep all options open  **Issue 2-1-2-3: Neighbour cell measurements**  Keep all options open  **Issue 2-1-2-4: Conditions for performing neighbour cell measurements**  Keep all options open.  **Issue 2-1-2-5: Paging reception requirements**  The recommended WF seems reasonable.  **Issue 2-1-3: Minimization of Drive tests (MDT)**  We don’t see the motivation to support small data transmission for ATG UE. Since the purpose of ATG UE is to provide high speed data service for in-flight passengers.  So, Option 1.  **Issue 2-1-4: IDLE Mode CA/DC requirements**  Option 1  **Issue 2-1-5: Small Data Transmissions (SDT)**  Option 1  **Issue 2-1-6: Positioning measurements**  Option 1 |
| LGE | **Issue 2-1-1: Cell selection requirements**  Generally fine with the recommended WF, but further discussions with detailed scenarios are needed before making final conclusion  **Issue 2-1-2: Cell re-selection requirements**  **Issue 2-1-2-1: Cell re-selection measurement capability**  Support option 1  **Issue 2-1-2-2: Cell re-selection measurement requirements**  We are fine with option 3 and option 4 to study cell re-selection measurement  **Issue 2-1-2-3: Neighbour cell measurements**  Same option in Issue 2-1-2-2. We are fine with the option and need further discussion  **Issue 2-1-2-4: Conditions for performing neighbour cell measurements**  Same option in Issue 2-1-2-2. We are fine with the option and need further discussion  **Issue 2-1-2-5: Paging reception requirements**  **Issue 2-1-3: Minimization of Drive tests (MDT)**  **Issue 2-1-4: IDLE Mode CA/DC requirements**  Support option 1  **Issue 2-1-5: Small Data Transmissions (SDT)**  Not sure SDT is applicable for ATG, but we are fine to further discuss.  **Issue 2-1-6: Positioning measurements**  Support option1 |
| CMCC | **Issue 2-1-1: Cell selection requirements**  Support the recommended WF.  To Ericsson: There is no HST cell selection requirement even in current requirements. Therefore, we think no need to consider HST framework for cell selection.  **Issue 2-1-2: Cell re-selection requirements**  **Issue 2-1-2-1: Cell re-selection measurement capability**  We prefer Option 2. We are also open to have more discussion if Option 1 is the majority view.  **Issue 2-1-2-2: Cell re-selection measurement requirements**  We think both serving cell evaluation requirements and neighbour cell evaluation requirements should be defined. Whether to use Option 1 or Option 2 can wait ISD evaluation results in RF session  **Issue 2-1-2-3: Neighbour cell measurements**  We need more clarification about Option 1. The RSRP threshold is sent from network or default value?  We think the flight information is not that fixed, it is often impacted by whether. It is not safe to let UE implement whether the neighbour cell measurements are needed or not. Feasibility for UE to get the flight changing information is not clear.  **Issue 2-1-2-4: Conditions for performing neighbour cell measurements**  Similar view as Issue 2-1-2-3, feasibility for UE to get the fight changing information is not clear.  In the second bullet, it is mentioned that UE can determine the flight based on assistance information from ground base station. However, without RAN2 work, we are not sure whether such assistance information can be supported.  **Issue 2-1-2-5: Paging reception requirements**  We support Option 1  **Issue 2-1-3: Minimization of Drive tests (MDT)**  First, we share similar view with HW, no ATG specific MDT requirements are needed.  We prefer Option 2. there is no harm to introduce this feature for capable UE.  **Issue 2-1-4: IDLE Mode CA/DC requirements**  We support Option 1, we also ok with the additional clarification from Ericsson  **Issue 2-1-5: Small Data Transmissions (SDT)**  Similar comment as Issue 2-1-3, there is no harm to introduce this feature for capable UE, provided reusing the legacy requirements.  **Issue 2-1-6: Positioning measurements**  We support Option 1. Based on our understanding, For ATG UE, it is more common to use GNSS to perform positioning. |
| ZTE | **Issue 2-1-1: Cell selection requirements**  Support the recommended WF.  **Issue 2-1-2: Cell re-selection requirements**  **Issue 2-1-2-1: Cell re-selection measurement capability**  Option 2 can be a starting point.  **Issue 2-1-2-2: Cell re-selection measurement requirements**  We support taking Rel-15 cell re-selection requirements as starting point. Whether to use Option 1 or Option 2 can wait ISD evaluation results in RF session  **Issue 2-1-2-3: Neighbour cell measurements**  We are open to discuss the issue.  **Issue 2-1-2-4: Conditions for performing neighbour cell measurements**  We prefer the 1st bullet in Option 1. We are open to further discuss.  **Issue 2-1-2-5: Paging reception requirements**  We support Option 1  **Issue 2-1-3: Minimization of Drive tests (MDT)**  Whether applying MDT, which depends on UE capability. We just need to specify no new MDT requirement necessary.  **Issue 2-1-4: IDLE Mode CA/DC requirements**  Agree with Option 1.  **Issue 2-1-5: Small Data Transmissions (SDT)**  Perfer Option 1.  **Issue 2-1-6: Positioning measurements**  Prefer Option 1. |
| Nokia | **Issue 2-1-2-2: Cell re-selection measurement requirements**  RAN4 should agree with a typically ATG network deployment scenario which can be used as reference to analyze and determine how to make enhancements. |

Sub topic 2-2: Mobility in RRC\_CONNECTED

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | **Issue 2-2-1: Handover**  **Issue 2-2-1-1: NR Handover**  Support option 2, and the details can be FFS.  **Issue 2-2-1-2: NR Handover to Other RATs**  Same as issue 2-1-3  **Issue 2-2-1-3: NR DAPS Handover**  Same as issue 2-1-3  **Issue 2-2-1-4: NR Conditional Handover**  We think CHO is an important feature to be considered. Whether to consider location based CHO depends on whether to reply on assistant information as Rel-17 NTN.  **Issue 2-2-1-5: NR Handover with PSCell**  Same as issue 2-1-3  **Issue 2-2-2: RRC Connection Mobility Control**  **Issue 2-2-2-1: SA: RRC Re-establishment**  Fine with option 1-2  **Issue 2-2-2-2: Random access**  Support option 1-1  **Issue 2-2-2-3: SA: RRC Connection Release with Redirection**  Support option 1-1. |
| Ericsson | **Issue 2-2-1: Handover**  **Issue 2-2-1-1: NR Handover**  We agree that RAN4 shall define both intra-frequency and inter-frequency requirements for A2G in Rel-17. However, the details should be FFS.  We are fine to keep the discussions related to option 3 in one place under IDLE mode IDLE mode cell re-selection issue (issue 2-1-2-2) since the rationale is the same.  **Issue 2-2-1-2: NR Handover to Other RATs**  Option 1 is agreeable.  **Issue 2-2-1-3: NR DAPS Handover**  We support option 2. At this stage of the WI, we think option 2 is more reasonable as it give companies more time to check and analyse the benefits.  **Issue 2-2-1-4: NR Conditional Handover**  We support option 1. We also think conditional CHO by utilizing the assistant information can be useful for A2G and to avoid unnecessary measurements and cell changes.  **Issue 2-2-1-5: NR Handover with PSCell**  Assuming single carrier operation is considered in this release, no need to consider HO with PSCell.  **Issue 2-2-2: RRC Connection Mobility Control**  **Issue 2-2-2-1: SA: RRC Re-establishment**  Given that this is the first meeting for the WI, we suggest to agree that RAN4 shall define RRC re-establishment requirements for A2G, but the details are FFS.  **Issue 2-2-2-2: Random access**  Given that this is the first meeting for the WI, we suggest to agree that RAN4 shall define RA requirements for A2G, but the details are FFS.  **Issue 2-2-2-3: SA: RRC Connection Release with Redirection**  Given that this is the first meeting for the WI, we suggest to agree that RAN4 shall define requirements for RC connection release with redirection for A2G, but the details are FFS. |
| Apple | **Issue 2-2-1: Handover**  **Issue 2-2-1-1: NR Handover**  Either Option 1 or option 2 is ok.  **Issue 2-2-1-2: NR Handover to Other RATs**  Option 1  **Issue 2-2-1-3: NR DAPS Handover**  Option2. DAPS can be left for future release.  **Issue 2-2-1-4: NR Conditional Handover**  OK with recommended WF  **Issue 2-2-1-5: NR Handover with PSCell**  Option 1  **Issue 2-2-2: RRC Connection Mobility Control**  **Issue 2-2-2-1: SA: RRC Re-establishment**  Ok with the recommended WF.  **Issue 2-2-2-2: Random access**  Ok with the recommended WF  **Issue 2-2-2-3: SA: RRC Connection Release with Redirection**  Ok with the recommended WF |
| LGE | **Issue 2-2-1: Handover**  **Issue 2-2-1-1: NR Handover**  For option 1 and 2, RAN4 needs to discuss detailed ATG scenario before making decision of inter-frequency HO.  For option 3, fine to further discuss  **Issue 2-2-1-2: NR Handover to Other RATs**  Support option 1  **Issue 2-2-1-3: NR DAPS Handover**  **Issue 2-2-1-4: NR Conditional Handover**  It depends on whether the feature of NTN for timer/location-based CHO is introduced in ATG  **Issue 2-2-1-5: NR Handover with PSCell**  Support option 1.  **Issue 2-2-2: RRC Connection Mobility Control**  **Issue 2-2-2-1: SA: RRC Re-establishment**  **Issue 2-2-2-2: Random access**  **Issue 2-2-2-3: SA: RRC Connection Release with Redirection** |
| CMCC | **Issue 2-2-1: Handover**  **Issue 2-2-1-1: NR Handover**  We support Option 2 and Option 2-1  First, the inter-frequency HO should be considered, we clarified the inter-frequency scenario in ATG in our contribution R4-2212302.  Second, we prefer to follow legacy handover procedure and requirement. As we state in Issue 2-1-2-3, we don’t need to consider power saving in this release. And the flight information is not that fixed. It is not safe to let UE implement whether the neighbour cell measurements are needed or not.  **Issue 2-2-1-2: NR Handover to Other RATs**  Option 1 can be agreeable.  **Issue 2-2-1-3: NR DAPS Handover**  We are open to include DAPS handover in this release. If it is introduced, the legacy requirement can be reused.  **Issue 2-2-1-4: NR Conditional Handover**  We are open to introduce legacy CHO and R17 enhanced NTN location-based CHO to ATG. The legacy requirement can be reused.  **Issue 2-2-1-5: NR Handover with PSCell**  Option 1 can be agreeable.  **Issue 2-2-2: RRC Connection Mobility Control**  **Issue 2-2-2-1: SA: RRC Re-establishment**  We support Option 1-2  **Issue 2-2-2-2: Random access**  We are open to introduce 2-step RA for ATG. However, the application scenario should be clarified before agreement.  **Issue 2-2-2-3: SA: RRC Connection Release with Redirection**  We support the recommended WF. |
| ZTE | **Issue 2-2-1: Handover**  **Issue 2-2-1-1: NR Handover**  We support Option 2 and Option 2-1  **Issue 2-2-1-2: NR Handover to Other RATs**  Support Option 1.  **Issue 2-2-1-3: NR DAPS Handover**  In this release, not need to include DAPS handover. DAPS can be left for future release.  **Issue 2-2-1-4: NR Conditional Handover**  CHO can be left for future release.  **Issue 2-2-1-5: NR Handover with PSCell**  Support Option 1.  **Issue 2-2-2: RRC Connection Mobility Control**  **Issue 2-2-2-1: SA: RRC Re-establishment**  Prefer Option 1-2.  **Issue 2-2-2-2: Random access**  Prefer Option 1-1.  **Issue 2-2-2-3: SA: RRC Connection Release with Redirection**  Fine with the recommended WF. |

## Summary for 1st round

### Open issues

*Moderator tries to summarize discussion status for 1st round, list all the identified open issues and tentative agreements or candidate options and suggestion for 2nd round i.e. WF assignment.*

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic#2-1** | **Issue 2-1-1: Cell selection requirements**  *Tentative agreements:*   * No new cell selection requirement for ATG is need to be developed, legacy requirements can be reused.   *Recommendations for 2nd round:*  To check whether tentative agreement is agreeable. If can be agreed, no more discussion is needed.  To Ericsson: For cell selection requirement, which corresponds to Section 4.1 in TS 38.133, there is no serving cell evaluation requirements here. So please kindly check if the above tentative agreements can be agreed.  **Issue 2-1-2: Cell re-selection requirements**  **Issue 2-1-2-1: Cell re-selection measurement capability**  *Candidate options:*   * Option 1: The measurement capability requirements of A2G is FFS. (Ericsson, LGE) * Option 2: Reuse current UE capability for NR intra-frequency measurement and NR inter-frequency measurement. (CMCC, Apple and ZTE (as a starting point))   *Recommendations for 2nd round:*  Please check whether the sentence below can be a tentative agreement in this meeting, and FFS this issue in the future:  *Tentative agreements:*  Use current UE capability for NR intra-frequency measurement and NR inter-frequency measurement as the starting point. Further study the capability after the scenario is clearer in the RF group.  **Issue 2-1-2-2: Cell re-selection measurement requirements**  *Candidate options:*   * Option 1: Reusing legacy R15 requirements of intra-frequency and inter-frequency measurements in cell re-selection is fine. (ZTE, HW (as starting point)) * Option 2: Take the current HST requirement as the starting point and check what need to be further enhanced. (Apple) * Option 3: FFS based on minimum ISD and largest UE movement speed. (CMCC, LGE, ZTE, Nokia) * Option 4: (Ericsson, LGE)   + RAN4 should assess if the principle of current serving cell evaluation requirements defined HST can be reused.   + The A2G UE is allowed to not measure on the neighbour cells based on the coverage information of the serving cell e.g. if serving cell RSRP is above threshold.     - For cell reselection and handover, the A2G UE should resume the neighbor cell measurement in normal manner without any relaxation if there is any unpredictable change in flight path or sudden drop in aircraft height due to any critical or emergency situation.     - For cell reselection and handover, UE can determine the sudden change in the flight path autonomously (e.g. internally from flight data) or based on assistance information from the ground base station. Details are FFS   *Recommendations for 2nd round:*  Moderator suggest to combine the Options as below, please check whether it can be a starting point for further discussion:   * Option 1: Reusing legacy R15 requirements of intra-frequency and inter-frequency measurement as the starting point, further check whether to use HST requirements for ATG until a typically ATG network deployment scenario such as ISD is concluded in RF group.   + Option 1-1: The A2G UE is allowed to not measure on the neighbour cells based on the coverage information of the serving cell e.g. if serving cell RSRP is above threshold.     - For cell reselection and handover, the A2G UE should resume the neighbour cell measurement in normal manner without any relaxation if there is any unpredictable change in flight path or sudden drop in aircraft height due to any critical or emergency situation.     - For cell reselection and handover, UE can determine the sudden change in the flight path autonomously (e.g. internally from flight data) or based on assistance information from the ground base station. Details are FFS   **Issue 2-1-2-3: Neighbour cell measurements**  *Candidate options:*   * Option 1: (Ericsson)   + The A2G UE is allowed to not measure on the neighbour cells based on the coverage information of the serving cell e.g. if serving cell RSRP is above threshold.   *Recommendations for 2nd round:*  Discuss this issue together with Issue 2-1-2-2.  **Issue 2-1-2-4: Conditions for performing neighbour cell measurements**  *Candidate options:*   * Option 1: (Ericsson)   + - For cell reselection and handover, the A2G UE should resume the neighbor cell measurement in normal manner without any relaxation if there is any unpredictable change in flight path or sudden drop in aircraft height due to any critical or emergency situation.     - For cell reselection and handover, UE can determine the sudden change in the flight path autonomously (e.g. internally from flight data) or based on assistance information from the ground base station. Details are FFS   *Recommendations for 2nd round:*  Discuss this issue together with Issue 2-1-2-2.  **Issue 2-1-5: Small Data Transmissions (SDT)**  *Candidate options:*   * Option 1: Not applicable in this release. (CATT, Apple, ZTE) * Option 2: SDT requirements are defined for A2G. Details are FSS (Ericsson) * Option 3: RAN4 is not going to define ATG specific requirements (HW, CMCC)   *Recommendations for 2nd round:*  Based on moderator’s understanding, Option 1 and Option 3 both means that RAN4 is not going to define ATG specific requirement.  Therefore, we suggest that proponents of Option 2 also give the feedback about whether the ATG specific requirement is needed.  **Issue 2-1-2-5: Paging reception requirements**  **Issue 2-1-3: Minimization of Drive tests (MDT)**  **Issue 2-1-4: IDLE Mode CA/DC requirements**  **Issue 2-1-6: Positioning measurements**  *Tentative agreements:*   * For the above four requirements, no specific requirements for ATG are need to be developed.   *Recommendations for 2nd round:*  No more discussion |
|  |  |

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic#2-2** | **Issue 2-2-1: Handover**  **Issue 2-2-1-1: NR Handover**  *Candidate options:*   * Option 1: Only intra-frequency HO and [inter-frequency HO] need to be defined. (Apple) * Option 2: Both intra-frequency HO and inter-frequency HO need to be defined. (CMCC, Apple, HW, Ericsson)   + Option 2-1: Reuse legacy handover requirements for ATG UE (CMCC, ZTE) * Option 3: The A2G UE is allowed to not measure on the neighbour cells based on the coverage information of the serving cell e.g. if serving cell RSRP is above threshold. (Ericsson, LGE (open to discuss))   + For cell reselection and handover, the A2G UE should resume the neighbor cell measurement in normal manner without any relaxation if there is any unpredictable change in flight path or sudden drop in aircraft height due to any critical or emergency situation.   + For cell reselection and handover, UE can determine the sudden change in the flight path autonomously (e.g. internally from flight data) or based on assistance information from the ground base station. Details are FFS   *Recommendations for 2nd round:*  Moderator suggest to combine the Options as below, please check whether it can be a starting point for further discussion:   * Option 1: Both intra-frequency HO and inter-frequency HO need to be defined.   + Option 1-1: Reusing legacy intra-frequency HO and inter-frequency HO requirements as the starting point, FFS other details and potential revisions for ATG.   + Option 1-2: The A2G UE is allowed to not measure on the neighbour cells based on the coverage information of the serving cell e.g. if serving cell RSRP is above threshold.     - For cell reselection and handover, the A2G UE should resume the neighbour cell measurement in normal manner without any relaxation if there is any unpredictable change in flight path or sudden drop in aircraft height due to any critical or emergency situation.     - For cell reselection and handover, UE can determine the sudden change in the flight path autonomously (e.g. internally from flight data) or based on assistance information from the ground base station. Details are FFS   **Issue 2-2-1-3: NR DAPS Handover**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT) * Option 2: FFS whether to include DAPS handover in this release. (Apple, CMCC, Ericsson) * Option 3: RAN4 is not going to define ATG specific requirements (HW, CMCC) * Option 4: Not to include DAPS handover in this release (Apple, ZTE, Ericsson)   *Recommendations for 2nd round:*  Based on moderator’s understanding, Option 2, 3 and 4 all means that RAN4 is not going to define ATG specific requirement, it is also the majority view. So, companies please check whether the below suggestion can be agreed:   * No specific NR DAPS Handover requirements for ATG are need to be developed. No more discussion is needed.   **Issue 2-2-1-4: NR Conditional Handover**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT, Ericsson) * Option 2: FFS whether to include NR conditional handover in this release. (Apple, CMCC)   + Option 2-1: RAN4 to discuss whether to consider CHO (timer-based and location-based) introduced in Rel-17 NTN. (HW) * Option 3: CHO can be left for future release. (ZTE)   *Recommendations for 2nd round:*  Based on moderator’s observation, all companies except ZTE open to the discussion about CHO for ATG, two companies (HW and LGE) mentioned that it is also rely on the feature of NTN for timer/location-based CHO and related assistant information. Therefore, we suggest to continue the discussion about:   * FFS which kind of CHO will be introduced * FFS whether ATG specific CHO requirements are needed.   **Issue 2-2-2: RRC Connection Mobility Control**  **Issue 2-2-2-1: SA: RRC Re-establishment**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, Ericsson, CMCC, ZTE)   + Option 1-1: RRC Re-establishment delay need to be considered (Apple, Ericsson)   + Option 1-2: Re-using the legacy RRC re-establishment requirements for ATG UE. (CMCC, ZTE, HW)   *Recommendations for 2nd round:*  We suggest to continue the discussion with the following as starting point:  Reuse the principle from the legacy RRC Re-establishment delay requirements as baseline for ATG   * Further discuss whether the ATG specific RRC Re-establishment requirement is needed.   **Issue 2-2-2-2: Random access**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, Ericsson, CMCC)   + Option 1-1: The principle from the legacy random access requirements can be reused as baseline for A2G, and any further impact is FFS. (Ericsson, CMCC, HW, ZTE)   + Option 1-2: RAN4 to discuss whether to define requirements for 2-step RA for A2G. (Ericsson, CMCC)   *Recommendations for 2nd round:*  Based on the discussion so far, we suggest to continue the discussion with the following as starting point:  Reuse the principle from the legacy random access requirements as baseline for ATG   * Further discuss the ATG specific impaction and details * Further discuss whether to define requirements for 2-step RA for A2G, and whether ATG specific impaction should be involved.   **Issue 2-2-2-3: SA: RRC Connection Release with Redirection**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, Ericsson, CMCC)   + Option 1-1: The principle from the legacy RRC re-establishment requirements can be reused. (CMCC, HW, Apple, ZTE)   + Option 1-2: Details are FFS (Ericsson,)   *Recommendations for 2nd round:*  We suggest to continue the discussion with the following as starting point:  Reuse the principle from the legacy RRC Connection Release with Redirection requirements as baseline for ATG   * Further discuss whether the ATG specific RRC Connection Release with Redirection requirement is needed   **Issue 2-2-1-2: NR Handover to Other RATs**  **Issue 2-2-1-5: NR Handover with PSCell**  *Tentative agreements:*   * For the above two requirements, no specific requirements for ATG are need to be developed.   *Recommendations for 2nd round:*  No more discussion |
|  |  |

## Discussion on 2nd round (if applicable)

*Moderator can provide summary of 2nd round here. Note that recommended decisions on tdocs should be provided in the section titled ”Recommendations for Tdocs”.*

**Issue 2-1-1: Cell selection requirements**

*Tentative agreements:*

* No new cell selection requirement for ATG is need to be developed, legacy requirements can be reused.

*Recommendations for 2nd round:*

To check whether tentative agreement is agreeable. If can be agreed, no more discussion is needed.

To Ericsson: For cell selection requirement, which corresponds to Section 4.1 in TS 38.133, there is no serving cell evaluation requirements here. So please kindly check if the above tentative agreements can be agreed.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | **Issue 2-1-1: Cell selection requirements**  With the clarification, we are fine that the the cell selection requirements defined section 4.1 of TS 38.133 is reused for A2G. It is good to refer to this section of specification in the tentative agreements. |
| LGE | Generally fine with the tentative agreements. But we are fine to further discuss. |

**Issue 2-1-2-2: Cell re-selection measurement requirements**

*Candidate options:*

* Option 1: Reusing legacy R15 requirements of intra-frequency and inter-frequency measurements in cell re-selection is fine. (ZTE, HW (as starting point))
* Option 2: Take the current HST requirement as the starting point and check what need to be further enhanced. (Apple)
* Option 3: FFS based on minimum ISD and largest UE movement speed. (CMCC, LGE, ZTE, Nokia)
* Option 4: (Ericsson, LGE)
  + RAN4 should assess if the principle of current serving cell evaluation requirements defined HST can be reused.
  + The A2G UE is allowed to not measure on the neighbour cells based on the coverage information of the serving cell e.g. if serving cell RSRP is above threshold.
    - For cell reselection and handover, the A2G UE should resume the neighbor cell measurement in normal manner without any relaxation if there is any unpredictable change in flight path or sudden drop in aircraft height due to any critical or emergency situation.
    - For cell reselection and handover, UE can determine the sudden change in the flight path autonomously (e.g. internally from flight data) or based on assistance information from the ground base station. Details are FFS

*Recommendations for 2nd round:*

Moderator suggest to combine the Options as below, please check whether it can be a starting point for further discussion:

* Option 1: Reusing legacy R15 requirements of intra-frequency and inter-frequency measurement as the starting point, further check whether to use HST requirements for ATG until a typically ATG network deployment scenario such as ISD is concluded in RF group.
  + Option 1-1: The A2G UE is allowed to not measure on the neighbour cells based on the coverage information of the serving cell e.g. if serving cell RSRP is above threshold.
    - For cell reselection and handover, the A2G UE should resume the neighbour cell measurement in normal manner without any relaxation if there is any unpredictable change in flight path or sudden drop in aircraft height due to any critical or emergency situation.
    - For cell reselection and handover, UE can determine the sudden change in the flight path autonomously (e.g. internally from flight data) or based on assistance information from the ground base station. Details are FFS

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | **Issue 2-1-2-2: Cell re-selection measurement requirements**  We would like to confirm the meaning of “as the starting point” in the first sentence. We assume, we refer to the method for defining the requirements, and it does not mean that exact R15 requirements are reused. Can moderator confirm whether this understanding is correct? If this understanding is correct, then we are fine with the new combined options from the moderator is fine. |
| LGE | Fine with moderator’s suggestion. |
| CMCC | Basically, we think we share the same understanding with Ericsson, the requirement is open and can be further discussed  The meaning of ‘as the starting point’ is that we will refer to the method for defining the requirements, and the R15 requirements is the baseline, we can further decide whether R15 requirement can be reused, or HST requirements can be reused, or ATG specific requirements is needed to be developed. |

**Issue 2-1-5: Small Data Transmissions (SDT)**

*Candidate options:*

* Option 1: Not applicable in this release. (CATT, Apple, ZTE)
* Option 2: SDT requirements are defined for A2G. Details are FSS (Ericsson)
* Option 3: RAN4 is not going to define ATG specific requirements (HW, CMCC)

*Recommendations for 2nd round:*

Based on moderator’s understanding, Option 1 and Option 3 both means that RAN4 is not going to define ATG specific requirement.

Therefore, we suggest that proponents of Option 2 also give the feedback about whether the ATG specific requirement is needed.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | **Issue 2-1-5: Small Data Transmissions (SDT)**  We think SDT feature can be useful for A2G. For example, it would allow the A2G UE to transmit periodic aircraft data to the BS or other control center without always switching to CONNECTED mode. In addition, CG-SDT transmissions can be performed without performing the TA validation using RSRP measurement change given that the A2G UE is expected to have valid timing information wrt the BS and this would simplify the feature compared to the R17 SDT. Therefore we believe introducing support for SDT may not require significant effort, but it would provide benefits for A2G operation. Hence, we support option 2. |

# Topic #3: Timing and frequency adjustment

*Main technical topic overview. The structure can be done based on sub-agenda basis.*

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| **T-doc number** | **Company** | **Proposals / Observations** |
| R4-2211643 | CATT | Observation 3: The ATG UE should measure its position and moving velocity.  Observation 4: The ATG UE should do the compensation of transmit frequency and timing based on relative moving velocity and distance between UE and gNB.  Observation 5: The mechanism of Koffset and Kmac for NTN system should be used for ATG network. |
| R4-2211918 | Apple | Proposal 4: It is proposed that ATG UE in R18 is GNSS capable. |
| R4-2212302 | CMCC | Observation 1: If the speed of ATG UE is larger than 594km/h, the existing gradual timing adjustment requirement cannot be reused for ATG UE.  Observation 5: The timing advance caused by large ISD and high UE speed can be addressed by current timing adjustment procedure.  Proposal 4: Use the current timing adjustment procedure as the baseline.  Observation 6: ATG UE is feasible to perform UL timing pre-compensation and frequency pre-compensation by using PV ephemeris format and its GNSS.  Proposal 5: Further study whether to introduce the UE based UL timing pre-compensation and frequency pre-compensation based on necessity and performance gain. |
| R4-2212696 | Ericsson | Observation 3: Air-to-ground network (ATG) for NR WI is a RAN4 only WI. This means that we have to rely on the existing procedures up to and including rel-17, that is TN and NTN procedures up to and including release-17, for random access and Timing Advance.  Observation 4: The maximum Doppler frequency for ATG UE is at least 5.6 kHz to cover example bands.  Observation 5: The maximum Doppler frequency for ATG BS is at least 11.6 kHz to cover example bands whilst assuming existing terrestrial 5G access procedures.  Observation 6: There is a fundamental tradeoff between cell range and ability to suppress Doppler frequency in a TN network.  Observation 7: A long sequence is closer to meet the ATG requirement of up to 300 km cell range but can only reach around 100 km and handle ordinary Doppler corresponding to UE speed of up to 300 km/h or 500 km/h with Restricted Sets. A short sequence can handle the Doppler of ATG but not the range.  Observation 8: An ATG system needs a full slot or even several slots of GP, however the large ISD and beamforming might mitigate any issues with regards to GP for TDD.  Observation 9: An NTN network can handle the 300 km cell range of an ATG system.  Observation 10: An NTN network can handle the Doppler of an ATG system.  Observation 11: For ATG, the scenario differs in that the UE is in the air and the BS is on the ground. The equivalent of ephemeris information would be a knowledge of BS positions.  Proposal 25: Clarify maximum Doppler frequency for ATG UE and BS requirements.  Proposal 26: Clarify maximum range in ATG given the capabilities of existing releases up to and including release 17.  Proposal 27: Clarify the need for and size of GP for ATG TDD. |
| R4-2212974 | Huawei, HiSilicon | Observation 1: The legacy close-loop TA adjustment is sufficient to support ATG network.  Proposal 3: RAN4 to discuss whether to consider UE specific TA estimation in ATG network.  Proposal 4: Tp and Tq shall be updated for ATG UE. |
| R4-2213868 | ZTE Corporation | Observation 1: For some combination of frequency and SCS, SSB+TRS is feasible implementation for frequency offset tracking to support 1200km/h for ATG deployment.  Observation 2: The solution of frequency offset tracking in NTN system can be considered as reference for ATG system when SSB+TRS is not sufficient for some combination of frequency and SCS.  Proposal 9: For gradual timing adjustment, since the extremely high speed of ATG, the gradual timing adjustment Tp/Tq need to be magnified. When identifying the exact value, the total time drift of 242 ns should be considered.  Proposal 10: For initial transmit timing, the assumptions for GNSS in NTN can be a baseline. |

## Open issues summary

*Before e-Meeting, moderators shall summarize list of open issues, candidate options and possible WF (if applicable) based on companies’ contributions.*

### Sub-topic 3-1: General issues

*Sub-topic description:*

*Open issues and candidate options before e-meeting:*

**Issue 3-1-1: Whether ATG UE should be capable of GNSS measurement**

* Proposals
  + Option 1: ATG UE should be capable of GNSS measurement (CATT, Apple, ZTE)
  + Option 2: FFS ATG UE should be capable of GNSS measurement or not (CMCC, HW)
* Recommended WF
  + This issue is highly related with Issue 3-2-1, moderator suggest to discuss Issue 3-2-1 first

**Issue 3-1-2: The mechanism of *Koffset* and *Kmac***

* Proposals
  + Option 1: The mechanism of Koffset and Kmac for NTN system should be used for ATG network. (CATT)
* Recommended WF
  + Discuss Option 1

**Issue 3-1-3: Frequency offset tracking**

* Proposals
  + Option 1: The solution of frequency offset tracking in NTN system can be considered as reference for ATG system when SSB+TRS is not sufficient for some combination of frequency and SCS. (ZTE)
* Recommended WF
  + Discuss Option 1

**Issue 3-1-4: Maximal cell range and Doppler**

* Proposals
  + Option 1: (Ericsson)
    - Clarify maximum Doppler frequency for ATG UE and BS requirements
      * The maximum Doppler frequency for ATG BS is at least 11.6 kHz to cover example bands whilst assuming existing terrestrial 5G access procedures
    - Clarify maximum range in ATG given the capabilities of existing releases up to and including release 17.
      * A long sequence is closer to meet the ATG requirement of up to 300 km cell range but can only reach around 100 km and handle ordinary Doppler corresponding to UE speed of up to 300 km/h or 500 km/h with Restricted Sets. A short sequence can handle the Doppler of ATG but not the range.
    - Clarify the need for and size of GP for ATG TDD.
      * An ATG system needs a full slot or even several slots of GP, however the large ISD and beamforming might mitigate any issues with regards to GP for TDD
* Recommended WF
  + Please provide your comments.

### Sub-topic 3-2：Timing and frequency pre-compensation by UE

*Sub-topic description*

*Open issues and candidate options before e-meeting:*

**Issue 3-2-1: Whether to introduce UE based Timing pre-compensation**

* Proposals
  + Option 1: The ATG UE should do the compensation of transmit frequency based on relative moving velocity and distance between UE and gNB. (CATT, ZTE, Ericsson)
  + Option 2: Further study whether to introduce the UE based UL timing pre-compensation based on necessity and performance gain. (CMCC, Apple, HW)
    - Option 2-1: Use the current timing adjustment procedure as the baseline. (CMCC, HW)
* Recommended WF
  + Discuss the necessity of introducing UE based Timing pre-compensation

**Issue 3-2-2: Whether to introduce UE based Frequency pre-compensation**

* Proposals
  + Option 1: The ATG UE should do the compensation of timing based on relative moving velocity and distance between UE and gNB. (CATT)
  + Option 2: Further study whether to introduce the UE based UL frequency pre-compensation based on necessity and performance gain. (CMCC)
* Recommended WF
  + Discuss the above options

### Sub-topic 3-3：Timing requirements

*Sub-topic description*

*Open issues and candidate options before e-meeting:*

**Issue 3-3-1: UE transmit timing**

**Issue 3-3-1-1: Initial transmit timing requirements Te**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE. (CATT, ~~ZTE,~~ Apple, CMCC, HW)
    - FFS if UE specific TA shall be considered in the Te requirement design, like in NTN (Apple, CMCC, HW)
    - Introduce UE specific TA in the Te requirement design. (CATT, ~~ZTE~~)
* Recommended WF
  + This issue is highly related with Issue 3-2-1, moderator suggest to discuss Issue 3-2-1 first

**Issue 3-3-1-2: Gradual timing adjustment**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE. (CATT, ZTE, Apple, CMCC, HW)
    - Option 1-1: Tp and Tq shall be updated for ATG UE (HW, CMCC, Apple, ZTE)
    - Option 1-2: When identifying the exact value, the total time drift of 242 ns should be considered. (ZTE)
* Recommended WF
  + Check whether Option 1-1 can be agreed, companies are encouraged to provide specific values for ATG.

**Issue 3-3-2: UE timer accuracy**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE. (CATT, Apple, CMCC, Ericsson)
    - Option 1-1: The current requirements can be reused. (CMCC, Apple, CATT)
* Recommended WF
  + Check whether Option 1-1 can be agreed

**Issue 3-3-3: Timing advance**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE. (CATT, Apple, CMCC, Ericsson)
    - Option 1-1: FFS on the necessity of considering the open loop TA (UE specific TA if needed) and close loop (TAC based adjustment) for the TA adjustment requirement, like in NTN. (CMCC, Apple)
* Recommended WF
  + This issue is highly related with Issue 3-2-1, moderator suggest to discuss Issue 3-2-1 first

**Issue 3-3-4: Cell phase synchronization accuracy**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE. (CATT, Apple, CMCC)
    - Option 1-1: The legacy TN requirement can be reused or tightened (Apple)
    - Option 1-2: The legacy TN requirement can be reused (CMCC, CATT)
* Recommended WF
  + Cell phase synchronization accuracy will be defined for ATG, the legacy TN requirement can be the baseline, FFS whether to tighten the requirements or not

**Issue 3-3-5: deriveSSB-IndexFromCell tolerance**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE. (Apple, CMCC)
    - Option 1-1: The time misalignment tolerance for ‘deriveSSB-IndexFromCell= true’ shall be revisited due to the extreme large radius of ATG cell. (Apple)
    - Option 1-2: The legacy TN requirement can be reused (CMCC)
  + Option 2: Not applicable for R18 ATG (CATT)
* Recommended WF
  + Discuss the Options above.

**Issue 3-3-6: deriveSSB-IndexFromCell-inter tolerance**

* Proposals
  + Option 1: This section was introduced in MG enhancement WI which is not needed for ATG UE (Apple, CATT)
* Recommended WF
  + Further discuss

**Issue 3-3-7: Other timing requirements**

* Proposals
  + Option 1: For Maximum Transmission Timing Difference and Maximum Receive Timing Difference and the requirements are not applicable for R18 ATG (CATT, Apple, CMCC)
* Recommended WF
  + Check whether Option 1 is agreeable or not.

## Companies views’ collection for 1st round

### Open issues

*One of the two formats, i.e. either example 1 or 2 can be used by moderators.*

Sub topic 3-1: General issues

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | **Issue 3-1-1: Whether ATG UE should be capable of GNSS measurement**  Support recommended WF  **Issue 3-1-2: The mechanism of *Koffset* and *Kmac***  We think the RTT in ATG is not that serve as NTN. It is not well justified whether TN framework can handle the case without introducing Koffset and Kmac.  **Issue 3-1-3: Frequency offset tracking**  **Issue 3-1-4: Maximal cell range and Doppler**  We would like to know what the impact on RRM spec of option 1. For instance, the configuration of GP is determined by gNB, and it will not be reflected in RRM spec. |
| Ericsson | **Issue 3-1-1: Whether ATG UE should be capable of GNSS measurement**  Option 1: ATG UE should be capable of GNSS measurement. We base this on analysis of cell range and Doppler for ATG compared to what a TN and NTN system can achieve. Airborne ATG will also have good GNSS coverage.  **Issue 3-1-2: The mechanism of *Koffset* and *Kmac***  The mechanism of Koffset and Kmac van be used if we decide to have NTN as base for ATG.  **Issue 3-1-3: Frequency offset tracking**  Option 1 is fine for us: The solution of frequency offset tracking in NTN system can be considered as reference for ATG system when SSB+TRS is not sufficient for some combination of frequency and SCS.  **Issue 3-1-4: Maximal cell range and Doppler**  Our analysis show range and Doppler of regular TN and NTN system. A regular TN PRACH preamble has either long range (100 km) but poor Doppler performance and vice versa. |
| Apple | **Issue 3-1-1: Whether ATG UE should be capable of GNSS measurement**  **Option 1.**  **Issue 3-1-2: The mechanism of *Koffset* and *Kmac***  Needs more discussion  **Issue 3-1-3: Frequency offset tracking**  FFS  **Issue 3-1-4: Maximal cell range and Doppler** |
| LGE | **Issue 3-1-1: Whether ATG UE should be capable of GNSS measurement**  Support option 1.  **Issue 3-1-2: The mechanism of *Koffset* and *Kmac***  We think option 1 would be useful for ATG network  **Issue 3-1-3: Frequency offset tracking**  **Issue 3-1-4: Maximal cell range and Doppler**  Fine with option1 to further discuss |
| CMCC | **Issue 3-1-1: Whether ATG UE should be capable of GNSS measurement**  Based on our understanding, GNSS capable ATG UE should be very common in commercial service, the GNSS information is not just for TA compensation, but also for flight direction and other air applications.  In issue 3-2-1, we don’t identify the necessity of introducing UE based TA pre-compensation. Therefore, we think the GNSS capability for ATG is not need to be explicitly introduced in the RAN4 spec  **Issue 3-1-2: The mechanism of *Koffset* and *Kmac***  In NTN, Kmac is the time between reference point and BS, it is on the feeder link. However, there is no feeder link in ATG, so we think Kmac is not considered in ATG.  As for Koffset, compared with NTN, the RTT is much smaller and the UE speed is much higher. It may only be needed in the cell edge if the cell range/ISD is really large.  For cell-specific Koffset, the value will be very small, considering of the distance between cell center and BS. For UE-specific Koffset, due to high-speed feature of ATG UE, the Koffset will be changing continuously.  If the cell range/ISD is not that large, we prefer not to introduce the Koffset mechanism in ATG.  **Issue 3-1-3: Frequency offset tracking**  For n78 and n79, it is more likely to operate in 30kHz SCS. Therefore, the current frequency offset tracking method in TN can be the baseline.  **Issue 3-1-4: Maximal cell range and Doppler**  The maximum cell range is still under evaluating in RF session, we think related issues can be further discussed once RF session achieve the agreement. |
| ZTE | **Issue 3-1-1: Whether ATG UE should be capable of GNSS measurement**  Prefer Option 1.  **Issue 3-1-2: The mechanism of *Koffset* and *Kmac***  We think the RTT in ATG is not that serve as NTN. It is not well justified whether TN framework can handle the case without introducing Koffset and Kmac.  **Issue 3-1-3: Frequency offset tracking**  Prefer Option 1.  **Issue 3-1-4: Maximal cell range and Doppler**  Fine with Option 1 to further discuss. |

Sub topic 3-2: Timing and frequency pre-compensation by UE

|  |  |
| --- | --- |
| **Company** | **Comments** |
| XXX | **Issue 3-2-1: Whether to introduce UE based Timing pre-compensation**  We think the current TN TA framework can handle ATG scenarios. Can companies clarify the necessity of having UE pre-compensation?  **Issue 3-2-2: Whether to introduce UE based Frequency pre-compensation**  Similar as Issue 3-2-1. |
| Ericsson | **Issue 3-2-1: Whether to introduce UE based Timing pre-compensation**  We are fine with both options 1 and 2. We can further study topic.  **Issue 3-2-2: Whether to introduce UE based Frequency pre-compensation**  We are fine with both options 1 and 2. We can further study topic. |
| Apple | **Issue 3-2-1: Whether to introduce UE based Timing pre-compensation**  Option 2  **Issue 3-2-2: Whether to introduce UE based Frequency pre-compensation**  Option 2 |
| LGE | **Issue 3-2-1: Whether to introduce UE based Timing pre-compensation**  We think pre-compensation would be needed, and further discussions are needed.  **Issue 3-2-2: Whether to introduce UE based Frequency pre-compensation**  We think pre-compensation would be needed, and further discussions are needed. |
| CMCC | **Issue 3-2-1: Whether to introduce UE based Timing pre-compensation**  We support Option 2-1.  Based on our analysis, even considering the largest cell serving range 300km and max UE speed 1200km/h, the current RA and TA procedure can compensate the RTT. Therefore, we don’t identify the strong necessity of introducing UE based timing pre-compensation.  Besides, unlike TN network, multiple ATG CPEs appear in one cell is not that common. Therefore, we think it is not much worth to introducing the UE based timing pre-compensation, considering the workload.  **Issue 3-2-2: Whether to introduce UE based Frequency pre-compensation**  We are fine to introduce UE based UL frequency pre-compensation. FFS the details. |
| ZTE | **Issue 3-2-1: Whether to introduce UE based Timing pre-compensation**  We are open to further discuss.  **Issue 3-2-2: Whether to introduce UE based Frequency pre-compensation**  We are open to further discuss. |

Sub topic 3-3: Timing requirements

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | **Issue 3-3-1: UE transmit timing**  **Issue 3-3-1-1: Initial transmit timing requirements**  Depends on issue 3-2-1  **Issue 3-3-1-2: Gradual timing adjustment**  Support option 1-1  **Issue 3-3-2: UE timer accuracy**  Support 3-3-2  **Issue 3-3-3: Timing advance**  Depends on issue 3-2-1  **Issue 3-3-4: Cell phase synchronization accuracy**  Support option 1-2.  **Issue 3-3-5: deriveSSB-IndexFromCell tolerance**  The propagation delay different may impact the tolerance. Suggest FFS.  **Issue 3-3-6: deriveSSB-IndexFromCell-inter tolerance**  Not sure what is the ATG specific impact on this.  **Issue 3-3-7: Other timing requirements**  Fine with option 1. |
| Ericsson | **Issue 3-3-1: UE transmit timing**  **Issue 3-3-1-1: Initial transmit timing requirements**  Option 1 is fine: Introduce UE specific TA in the Te requirement design. FFS if UE specific TA shall be considered in the Te requirement design, like in NTN .  **Issue 3-3-1-2: Gradual timing adjustment**  We are fine with to update Tp and Tq if needed as stated in option 1-1. We think this depends on if TN or NTN rel-17 is used as baseline.  **Issue 3-3-2: UE timer accuracy**  Option 1-1 is fine.  **Issue 3-3-3: Timing advance**  Option 1 and 1-1 is fine: FFS on the necessity of considering the open loop TA (UE specific TA if needed) and close loop (TAC based adjustment) for the TA adjustment requirement, like in NTN.  **Issue 3-3-4: Cell phase synchronization accuracy**  Recommended WF is fine.  **Issue 3-3-5: deriveSSB-IndexFromCell tolerance**  We support option 1 and agree that the details can be revisited based on the A2G scenario assumptions.  **Issue 3-3-6: deriveSSB-IndexFromCell-inter tolerance**  We disagree to option 1. Our view is that the MG enhancements introduced in Rel-17 should also be considered for A2G. The motivation is that NCSG(deriveSSB-IndexFromCell-inter) has benefit for A2G system since the throughput is an important KPI for ATG.  **Issue 3-3-7: Other timing requirements**  This depends on feature set of ATG, sinve MRTD/MTTD is set per feature (CA, DC, MIMO, etc). |
| Huawei | **Issue 3-3-1: UE transmit timing**  **Issue 3-3-1-1: Initial transmit timing requirements**  Option 1  **Issue 3-3-1-2: Gradual timing adjustment**  Option 1-1  **Issue 3-3-2: UE timer accuracy**  Option 1  **Issue 3-3-3: Timing advance**  Option 1  **Issue 3-3-4: Cell phase synchronization accuracy**  Option 1-1  **Issue 3-3-5: deriveSSB-IndexFromCell tolerance**  Option 1-1  **Issue 3-3-6: deriveSSB-IndexFromCell-inter tolerance**  Option 1  **Issue 3-3-7: Other timing requirements**  Option 1 |
| LGE | **Issue 3-3-1: UE transmit timing**  **Issue 3-3-1-1: Initial transmit timing requirements**  Fine with option 1 and recommended WF  **Issue 3-3-1-2: Gradual timing adjustment**  **Issue 3-3-2: UE timer accuracy**  **Issue 3-3-3: Timing advance**  Fine with option 1-1 to discuss introducing open loop and close loop TA for ATG network.  **Issue 3-3-4: Cell phase synchronization accuracy**  **Issue 3-3-5: deriveSSB-IndexFromCell tolerance**  Option 1-1. It will be impact due to large radius for ATG network  **Issue 3-3-6: deriveSSB-IndexFromCell-inter tolerance**  **Issue 3-3-7: Other timing requirements**  Support option 1 |
| CMCC | **Issue 3-3-1: UE transmit timing**  **Issue 3-3-1-1: Initial transmit timing requirements**  Refer to our comment under Issue 3-2-1, we don’t see the necessity of introducing the UE specific TA, therefore, we prefer to use legacy Te requirement.  **Issue 3-3-1-2: Gradual timing adjustment**  We support Option1-1. For Tq, it can be updated to 9.5Ts(8Ts+1.5Ts)  **Issue 3-3-2: UE timer accuracy**  Option 1-1 can be agreed.  **Issue 3-3-3: Timing advance**  Refer to our comment under Issue 3-2-1, we don’t see the necessity of introducing the UE specific TA, therefore, we support to use legacy timing advance adjustment requirement.  **Issue 3-3-4: Cell phase synchronization accuracy**  We prefer to reuse the 3us requirement. Don’t see the necessity of tighten the requirement. Could proponents clarify the reason of tightening the requirement?  **Issue 3-3-5: deriveSSB-IndexFromCell tolerance**  we support to reuse legacy TN requirement. We share the common understanding that the large propagation delay will cause UE can’t fulfill the requirement, however, we don’t think that is the reason to relax the requirement.  **Issue 3-3-6: deriveSSB-IndexFromCell-inter tolerance**  Although the feature was introduced in MG enhancement WI, the inter-frequency scenario is valid in ATG network.  For the tolerance requirement, we support to reuse legacy requirement, same comment as Issue 3-3-5.  **Issue 3-3-7: Other timing requirements**  Option 1 is agreeable. |
| ZTE | **Issue 3-3-1: UE transmit timing**  **Issue 3-3-1-1: Initial transmit timing requirements**  Maybe some misunderstanding happens, we do not intend to enhance the Te requirement.  **Issue 3-3-1-2: Gradual timing adjustment**  Prefer Option 1-1. For the exact updated value, need further discussion.  **Issue 3-3-2: UE timer accuracy**  Option 1-1 can be agreed.  **Issue 3-3-3: Timing advance**  Fine with Option 1-1.  **Issue 3-3-4: Cell phase synchronization accuracy**  Fine with the recommended WF.  **Issue 3-3-5: deriveSSB-IndexFromCell tolerance**  we support Option 1 and whether reuse legacy requirement or tighten, which can be further discussed.  **Issue 3-3-6: deriveSSB-IndexFromCell-inter tolerance**  DeriveSSB-IndexFromCell-inter was introduced in R17 NCSG topic. But until now, whether DeriveSSB-IndexFromCell-inter can be de-coupled with NCSG capability is still suspending, so we are not sure it is applicable to NCSG UE.  **Issue 3-3-7: Other timing requirements**  Option 1 is agreeable. |

## Summary for 1st round

### Open issues

*Moderator tries to summarize discussion status for 1st round, list all the identified open issues and tentative agreements or candidate options and suggestion for 2nd round i.e. WF assignment.*

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic #3-1** | **Issue 3-1-1: Whether ATG UE should be capable of GNSS measurement**  *Candidate options:*   * + Option 1: ATG UE should be capable of GNSS measurement (CATT, Apple, ZTE, LGE, Ericsson, CMCC)   + Option 2: FFS ATG UE should be capable of GNSS measurement or not (CMCC, HW)   *Tentative agreements:*  ATG UE should be capable of GNSS measurement  **Issue 3-1-2: The mechanism of *Koffset* and *Kmac***  *Candidate options:*   * Option 1: The mechanism of Koffset and Kmac for NTN system should be used for ATG network. (CATT, Ericsson (if NTN as base), LGE) * Option 2: no need to introduce the mechanism of Koffset and Kmac for ATG system (HW, CMCC, ZTE) * Option 3: FFS (Apple)   *Recommendations for 2nd round:*  Further discuss above options  **Issue 3-1-3: Frequency offset tracking**  *Candidate options:*   * Option 1: The solution of frequency offset tracking in NTN system can be considered as reference for ATG system when SSB+TRS is not sufficient for some combination of frequency and SCS. (ZTE, Ericsson) * Option 2: For n78 and n79, it is more likely to operate in 30kHz SCS. Therefore, the current frequency offset tracking method in TN can be the baseline. (CMCC) * Option 3: FFS (Apple)   *Recommendations for 2nd round:*  Continue the discussion  **Issue 3-1-4: Maximal cell range and Doppler**  *Candidate options:*   * Option 1: (Ericsson)   + Clarify maximum Doppler frequency for ATG UE and BS requirements     - The maximum Doppler frequency for ATG BS is at least 11.6 kHz to cover example bands whilst assuming existing terrestrial 5G access procedures   + Clarify maximum range in ATG given the capabilities of existing releases up to and including release 17.     - A long sequence is closer to meet the ATG requirement of up to 300 km cell range but can only reach around 100 km and handle ordinary Doppler corresponding to UE speed of up to 300 km/h or 500 km/h with Restricted Sets. A short sequence can handle the Doppler of ATG but not the range.   + Clarify the need for and size of GP for ATG TDD.     - An ATG system needs a full slot or even several slots of GP, however the large ISD and beamforming might mitigate any issues with regards to GP for TDD   *Recommendations for 2nd round:*  Most companies are fine to further discuss this trade-off issue, CMCC mentioned that the maximum cell range is still under evaluating in RF session. Therefore, we suggest to continue the discussion until enough input from RF group is received. Please check whether such tentative agreement is fine for you.  *Tentative agreements:*  Continue the discussion after enough input from RF group is received. |

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic #3-2** | **Issue 3-2-1: Whether to introd**uce UE based Timing pre-compensatio**n**  *Candidate options:*   * Option 1: The ATG UE should do the compensation of transmit frequency based on relative moving velocity and distance between UE and gNB. (CATT, ZTE, Ericsson, LGE) * Option 2: Further study whether to introduce the UE based UL timing pre-compensation based on necessity and performance gain. (CMCC, Apple, HW, Ericsson, ZTE)   + Option 2-1: Use the current timing adjustment procedure as the baseline. (CMCC, HW)   *Recommendations for 2nd round:*   * Continue to discuss the necessity of introducing UE based Timing pre-compensation   **Issue 3-2-2: Whether to introduce UE based Frequency pre-compensation**  *Candidate options:*   * Option 1: The ATG UE should do the compensation of timing based on relative moving velocity and distance between UE and gNB. (CATT, Ericsson, LGE, CMCC) * Option 2: Further study whether to introduce the UE based UL frequency pre-compensation based on necessity and performance gain. (CMCC, HW, Ericsson, Apple, ZTE)   + Option 2-1: Use the current frequency pre-compensation procedure as the baseline. (HW)   *Recommendations for 2nd round:*   * Continue to discuss the necessity of introducing UE based frequency pre-compensation |
|  |  |

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic #3-3** | **Issue 3-3-1: UE transmit timing**  **Issue 3-3-1-1: Initial transmit timing requirements Te**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE. (CATT, Apple, CMCC, HW, Ericsson)   + FFS if UE specific TA shall be considered in the Te requirement design, like in NTN (Apple, CMCC, HW)   + Introduce UE specific TA in the Te requirement design. (CATT)   *Recommendations for 2nd round:*   * This issue is highly related with Issue 3-2-1, moderator suggest to discuss Issue 3-2-1 first   **Issue 3-3-1-2: Gradual timing adjustment**  *Tentative agreements:*  Tp and Tq shall be updated for ATG UE   * *FFS* if TN or NTN rel-17 is used as baseline * *FFS* how to define the exact value   *Recommendations for 2nd round:*  For the first FFS, it depends on Issue 3-2-1, for the second FFS, companies are encouraged to provide analysis and example values.  **Issue 3-3-2: UE timer accuracy**  *Tentative agreements:*  No new specific requirement for ATG is need to be developed.  *Recommendations for 2nd round:*  No more discussion  **Issue 3-3-3: Timing advance**  *Tentative agreements:*   * FFS on the necessity of considering the open loop TA (UE specific TA if needed) and close loop (TAC based adjustment) for the TA adjustment requirement, like in NTN. (CMCC, Apple, Ericsson, LGE, ZTE)   *Recommendations for 2nd round:*  Further discuss depends on Issue 3-2-1  **Issue 3-3-4: Cell phase synchronization accuracy**  *Tentative agreements:*  Cell phase synchronization accuracy will be defined for ATG, the legacy TN requirement can be the baseline, FFS whether to tighten the requirements or not  *Recommendations for 2nd round:*  Encourage proponents of ‘requirements tightening’ to clarify the necessity of such tighten for ATG.  **Issue 3-3-5: deriveSSB-IndexFromCell tolerance**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE. (Apple, CMCC, ZTE)   + Option 1-1: The time misalignment tolerance for ‘deriveSSB-IndexFromCell= true’ shall be revisited due to the extreme large radius of ATG cell. (Apple, LGE)   + Option 1-2: The legacy TN requirement can be reused (CMCC)   + Option 1-3: The propagation delay different may impact the tolerance. FFS details. (HW, Ericsson) * Option 2: Not applicable for R18 ATG (CATT)   *Recommendations for 2nd round:*  Proposals from companies are diverse, we suggest to further discuss.  **Issue 3-3-6: deriveSSB-IndexFromCell-inter tolerance**  *Candidate options:*   * Option 1: This section was introduced in MG enhancement WI which is not needed for ATG UE (Apple, CATT) * Option 2: No ATG impact (HW, CMCC) * Option 3: Need for ATG, NCSG (deriveSSB-IndexFromCell-inter) has benefit for A2G system since the throughput is an important KPI for ATG (Ericsson, CMCC)   *Recommendations for 2nd round:*  CMCC and Ericsson think this feature is also beneficial for ATG, suggest to further discuss whether ATG specific requirement is needed if this feature is introduced.  **Issue 3-3-7: Other timing requirements**  *Tentative agreements:*   * For Maximum Transmission Timing Difference requirements and Maximum Receive Timing Difference requirements, no new specific requirement for ATG is needed to be developed.   *Recommendations for 2nd round:*  No more discussion |
|  |  |

## Discussion on 2nd round (if applicable)

**Issue 3-1-2: The mechanism of *Koffset* and *Kmac***

*Candidate options:*

* Option 1: The mechanism of Koffset and Kmac for NTN system should be used for ATG network. (CATT, Ericsson (if NTN as base), LGE)
* Option 2: no need to introduce the mechanism of Koffset and Kmac for ATG system (HW, CMCC, ZTE)
* Option 3: FFS (Apple)

*Recommendations for 2nd round:*

Further discuss above options

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | We are neutral on should be used or not. If NTN is base for ATG we are fine to include it, if it solves issues. |
| LGE | Support option 1 and option 3. It can be further discussed in next meeting. |

**Issue 3-1-3: Frequency offset tracking**

*Candidate options:*

* Option 1: The solution of frequency offset tracking in NTN system can be considered as reference for ATG system when SSB+TRS is not sufficient for some combination of frequency and SCS. (ZTE, Ericsson)
* Option 2: For n78 and n79, it is more likely to operate in 30kHz SCS. Therefore, the current frequency offset tracking method in TN can be the baseline. (CMCC)
* Option 3: FFS (Apple)

*Recommendations for 2nd round:*

Continue the discussion

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | Option 1. The solution of frequency offset tracking in NTN system can be considered as reference for ATG system when SSB+TRS is not sufficient for some combination of frequency and SCS.  It is true that a dedicated channel with SCS = 30 kHz has higher Doppler tolerance, but with a short sequence PRACH preamble then we can tolerate high Doppler since SCS = 15, 30, 60, 120 and 240 kHz, but we do not get the range, since T\_{SEQ} is small, only 2048\kappa, which becomes 10 km for ∆fRA = 15 kHz and 5 km for ∆fRA = 30 kHz, etc. |

**Issue 3-2-1: Whether to introd**uce UE based Timing pre-compensatio**n**

*Candidate options:*

* Option 1: The ATG UE should do the compensation of transmit frequency based on relative moving velocity and distance between UE and gNB. (CATT, ZTE, Ericsson, LGE)
* Option 2: Further study whether to introduce the UE based UL timing pre-compensation based on necessity and performance gain. (CMCC, Apple, HW, Ericsson, ZTE)
  + Option 2-1: Use the current timing adjustment procedure as the baseline. (CMCC, HW)

*Recommendations for 2nd round:*

* Continue to discuss the necessity of introducing UE based Timing pre-compensation

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | We support option 1. We are not against option 2. We are fine to study. |
| LGE | Support option 1. And Option 2 is fine to discuss further. |

**Issue 3-2-2: Whether to introduce UE based Frequency pre-compensation**

*Candidate options:*

* Option 1: The ATG UE should do the compensation of timing based on relative moving velocity and distance between UE and gNB. (CATT, Ericsson, LGE, CMCC)
* Option 2: Further study whether to introduce the UE based UL frequency pre-compensation based on necessity and performance gain. (CMCC, HW, Ericsson, Apple, ZTE)
  + Option 2-1: Use the current frequency pre-compensation procedure as the baseline. (HW)

*Recommendations for 2nd round:*

Continue to discuss the necessity of introducing UE based frequency pre-compensation

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | We are fine with both options 1 and 2. We can further study topic. |
| LGE | Support option 1. And Option 2 is fine to discuss further. |

**Issue 3-3-1-2: Gradual timing adjustment**

*Tentative agreements:*

Tp and Tq shall be updated for ATG UE

* *FFS* if TN or NTN rel-17 is used as baseline
* *FFS* how to define the exact value

*Recommendations for 2nd round:*

For the first FFS, it depends on Issue 3-2-1, for the second FFS, companies are encouraged to provide analysis and example values.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | The tentative agreement is fine. |

**Issue 3-3-4: Cell phase synchronization accuracy**

*Tentative agreements:*

Cell phase synchronization accuracy will be defined for ATG, the legacy TN requirement can be the baseline, FFS whether to tighten the requirements or not

*Recommendations for 2nd round:*

Encourage proponents of ‘requirements tightening’ to clarify the necessity of such tighten for ATG.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | The tentative agreement is fine. The cell size is the main driver in TDD Guard Period (GP) dimensioning. The other factors driving GP dimensioning are cell phase sync and UE and BS RF transient times (On-Off, Off-On). Cell phase sync and RF transient times are much smaller already, compared to cell ranges of 300 km. |
| LGE | Fine with tentative agreements |

**Issue 3-3-5: deriveSSB-IndexFromCell tolerance**

*Candidate options:*

* Option 1: Need defined RRM requirements for ATG UE. (Apple, CMCC, ZTE)
  + Option 1-1: The time misalignment tolerance for ‘deriveSSB-IndexFromCell= true’ shall be revisited due to the extreme large radius of ATG cell. (Apple, LGE)
  + Option 1-2: The legacy TN requirement can be reused (CMCC)
  + Option 1-3: The propagation delay different may impact the tolerance. FFS details. (HW, Ericsson)
* Option 2: Not applicable for R18 ATG (CATT)

*Recommendations for 2nd round:*

Proposals from companies are diverse, we suggest to further discuss.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| LGE | Support option 1-1 and further discuss in next meeting. |

**Issue 3-3-6: deriveSSB-IndexFromCell-inter tolerance**

*Candidate options:*

* Option 1: This section was introduced in MG enhancement WI which is not needed for ATG UE (Apple, CATT)
* Option 2: No ATG impact (HW, CMCC)
* Option 3: Need for ATG, NCSG (deriveSSB-IndexFromCell-inter) has benefit for A2G system since the throughput is an important KPI for ATG (Ericsson, CMCC)

*Recommendations for 2nd round:*

CMCC and Ericsson think this feature is also beneficial for ATG, suggest to further discuss whether ATG specific requirement is needed if this feature is introduced.

|  |  |
| --- | --- |
| **Company** | **Comments** |
|  |  |

# Topic #4: Signalling characteristics

*Main technical topic overview. The structure can be done based on sub-agenda basis.*

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| **T-doc number** | **Company** | **Proposals / Observations** |
| R4-2212696 | Ericsson | Proposal 1 General section on bands and terminologies are updated with A2G bands and terminologies.  Proposal 13 Interruption requirements defined in section 8.2 are not applicable assuming that single carrier is considered for A2G in this release.  Proposal 14 The existing link recovery requirements defined for FR1 are used as baseline for A2G.  Proposal 15 The existing active BWP switch delay requirements defined for FR1 are used as baseline for A2G.  Proposal 16 The existing active TCI state switch delay requirements defined for FR1 are used as baseline for A2G.  Proposal 17 The existing active spatial relation switch delay requirements defined for FR1 are used as baseline for A2G.  Proposal 18 The existing UE specific CBW change requirements defined for FR1 are used as baseline for A2G.  Proposal 19 No need to consider pathloss reference signal switch delay requirements for A2G in Rel-18.  Proposal 20 The existing requirements on SCell activation and deactivation are used as baseline for A2G provided that CA/multiple carriers are supported for A2G. |
| R4-2213868 | ZTE Corporation | Proposal 11: Reusing legacy requirements of RLM, BFD, LRP and BWP switching is fine. |

## Open issues summary

*Before e-Meeting, moderators shall summarize list of open issues, candidate options and possible WF (if applicable) based on companies’ contributions.*

### Sub-topic 4-1: Signalling characteristics related requriments

*Sub-topic description:*

*Open issues and candidate options before e-meeting:*

**Issue 4-1-1: Radio Link Monitoring**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson)
    - Option 1-1: FFS if the existing RLM evaluation and L1 interval is applicable to ATG UE. (Apple)
    - Option 1-2: Reuse legacy R15 requirements (CMCC, Ericsson, ZTE)
* Recommended WF
  + Radio Link Monitoring requirements will be defined for ATG, please check whether Option 1-2 is agreeable or not based on majority views.

**Issue 4-1-2: Link Recovery Procedure**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson)
    - Option 1-1: FFS: if the existing BFD/CBD evaluation and BFD L1 interval is applicable to ATG UE. (Apple)
    - Option 1-2: Reuse legacy R15 SA requirements (CMCC, Ericsson, ZTE)
* Recommended WF
  + Link Recovery Procedure requirements will be defined for ATG, please check whether Option 1-2 is agreeable or not based on majority views.

**Issue 4-1-3: Active BWP switching delay**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson)
    - Option 1-1: The current requirement can be reused. (Apple, CMCC, Ericsson)
* Recommended WF
  + Active BWP switching delay requirements will be defined for ATG, please check whether Option 1-1 is agreeable or not

**Issue 4-1-4: Active TCI state switching delay**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, Ericsson)
    - Option 1-1: FFS: if the existing TCI switching requirement is applicable to ATG UE. (Apple)
    - Option 1-2: The principle from the legacy active TCI state switch delay can be reused. (Ericsson)
* Recommended WF
  + Active TCI state switching delay requirements will be defined for ATG. Further discuss Option 1-1 and Option 1-2

**Issue 4-1-5: Active spatial relation switch delay**

* Proposals
  + Option 1: The principle from the legacy active spatial relation switch delay requirements can be reused. (Ericsson)
  + Option 2: Not applicable to R18 ATG (CATT, Apple, CMCC)
* Recommended WF
  + Further check if Option 2 is agreeable based on majority view.

**Issue 4-1-6: UE-specific CBW change**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, Ericsson, CMCC)
    - Option 1-1: The principle from the legacy UE specific CBW change requirements can be reused. (Apple, CMCC,)
* Recommended WF
  + UE-specific CBW change requirements will be defined for ATG. Further check if Option 1-1 is agreeable

**Issue 4-1-7: Pathloss reference signal switching delay**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC)
    - Option 1-1: FFS: if the existing PL-RS switching requirement is applicable to ATG UE. (Apple)
    - Option 1-2: Reuse legacy requirement (CMCC)
  + Option 2: This feature is related to eMIMO and thus no need to consider for A2G. ()
* Recommended WF
  + Discuss the Options above

**Issue 4-1-8: Active downlink TCI state switching delay for unified TCI**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT, Apple)
    - Option 1-1: The current requirement could be reused. (Apple)
  + Option 2: No need to consider this feature for A2G. (CMCC,)
* Recommended WF
  + Discuss the Options above

**Issue 4-1-9: Active uplink TCI state switching delay for unified TCI**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT)
  + Option 2: This is from R16 eMIMO, no need to consider this feature for A2G. (Apple, CMCC,)
* Recommended WF
  + Discuss the Options above

**Issue 4-1-10: TRP specific Link Recovery Procedures**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT)
  + Option 2: This is from R16 eMIMO, no need to consider this feature for A2G. (Apple, CMCC,)
* Recommended WF
  + Discuss the Options above

**Issue 4-1-11: Pre-configured measurement gap activation/deactivation delay**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT)
  + Option 2: GAP enhancement contents are not considered for ATG UE in Rel-18. (Apple, CMCC,)
* Recommended WF
  + Discuss the Options above

**Issue 4-1-12: Other CA related signalling characteristics requirements**

* Proposals
  + Option 1: For Interruption requirement, SCell activation and deactivation delay requirement, due to single CC operation in this release, they are not applicable for R18 ATG (CATT, Apple, CMCC)
  + Option 2: Depends on the scope of WI. If CA/multicarriers are supported then the existing requirements can be used as baseline. (Ericsson)
* Recommended WF
  + This issue is related to Issue 1-1-1, can be discussed after Issue 1-1-1 is concluded.

**Issue 4-1-13: Other signalling characteristics requirements**

* Proposals
  + Option 1: For UE UL carrier RRC reconfiguration delay requirement, NE-DC: E-UTRAN PSCell Addition and Release Delay requirement, NR-DC: PSCell Addition and Release Delay requirement, PSCell Change requirement and SCG Activation and Deactivation Delay requirement, they are not applicable for R18 ATG. (CATT, Apple, CMCC,)
* Recommended WF
  + Check if Option 1 can be agreed.

## Companies views’ collection for 1st round

### Open issues

Sub topic 4-1

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | **Issue 4-1-1: Radio Link Monitoring**  Support option 1-2  **Issue 4-1-2: Link Recovery Procedure**  Support option 1-2  **Issue 4-1-3: Active BWP switching delay**  Support option 1-1  **Issue 4-1-4: Active TCI state switching delay**  Support option 1-2  **Issue 4-1-5: Active spatial relation switch delay**  No need to consider  **Issue 4-1-6: UE-specific CBW change**  Current requirements can apply  **Issue 4-1-7: Pathloss reference signal switching delay**  Current requirements can apply  **Issue 4-1-8: Active downlink TCI state switching delay for unified TCI**  We are fine not to consider requirements and focus on identified spec impact.  **Issue 4-1-9: Active uplink TCI state switching delay for unified TCI**  We are fine not to consider requirements and focus on identified spec impact.  **Issue 4-1-10: TRP specific Link Recovery Procedures**  We are fine not to consider requirements and focus on identified spec impact.  **Issue 4-1-11: Pre-configured measurement gap activation/deactivation delay**  We are fine not to consider requirements and focus on identified spec impact.  **Issue 4-1-12: Other CA related signalling characteristics requirements**  Support recommended WF  **Issue 4-1-13: Other signalling characteristics requirements**  For requirements related to CA/DC operation, we are fine to not considering the updating if any. For UL carrier reconfiguration delay, it is basic requirements introduced in R15 apply to single Cell, which is referred to RAN2 spec about RRC procedure delay. No spec impact is identified. |
| Ericsson | **Issue 4-1-1: Radio Link Monitoring**  We prefer a high-level agreement as stated in option 1, but the details can be FFS. It is too early to conclude whether the evaluation period or other details are identical to legacy requirements.  **Issue 4-1-2: Link Recovery Procedure**  We prefer a high-level agreement as stated in option 1/option 1-1, but the details can be FFS. It is too early to conclude whether the CBD/BFD evaluation period or other details are identical to legacy requirements.  **Issue 4-1-3: Active BWP switching delay**  Similar comment, prefer a high-level agreement that RAN4 shall define requirements for active BWP switching for A2G, but the details are FFS.  **Issue 4-1-4: Active TCI state switching delay**  For similar reason, both option 1-1 and 1-2 can be reused.  **Issue 4-1-5: Active spatial relation switch delay**  Assuming that FR2 is not considered in this WI, option 2 is agreeable.  **Issue 4-1-6: UE-specific CBW change**  Option 1-1 is agreeable, FFS on the details.  **Issue 4-1-7: Pathloss reference signal switching delay**  It depends on whether eMIMO is considered in the WI. Since it is not explicitly excluded, we prefer option 1-1.  **Issue 4-1-8: Active downlink TCI state switching delay for unified TCI**  We suggest an alternative proposal:  *“RAN4 to define active downlink TCI state switching requirements for A2G, but it is FFS whether legacy requirements can be reused. “*  **Issue 4-1-9: Active uplink TCI state switching delay for unified TCI**  We suggest an alternative proposal:  *“RAN4 to define active uplink TCI state switching requirements for A2G, but it is FFS whether legacy requirements can be reused. “*  **Issue 4-1-10: TRP specific Link Recovery Procedures**  More discussions are needed whether link recovery procures using multi-TRP transmission is relevant for A2G UEs. We are not confident that this feature is very useful for the A2G, thus we are fine with option 2.  TRP-specific link recovery is the feature UE maintains TCI per TRP. This means gNB provide multi-TRP transmission. In ATG, UE is on the plane, and cell ISD=200km. So I don't think ATG gNB provide multi-TRP transmission for ATG UEs.  **Issue 4-1-11: Pre-configured measurement gap activation/deactivation delay**  We support option 1. Please note that Ericsson’s position has be wrongly captured.  **Issue 4-1-12: Other CA related signalling characteristics requirements**  Assuming single carrier operation only for A2G in this release, option 1 is agreeable.  **Issue 4-1-13: Other signalling characteristics requirements**  Assuming single carrier operation only for A2G in this release, option 1 is agreeable. |
| Apple | **Issue 4-1-1: Radio Link Monitoring**  Option 1-1. Would like to further check though option 1-2 might be ok.  **Issue 4-1-2: Link Recovery Procedure**  Option 1-1. Would like to further check though option 1-2 might be ok.  **Issue 4-1-3: Active BWP switching delay**  Option 1-1  **Issue 4-1-4: Active TCI state switching delay**  Option 1-1. Would like to further check though option 1-2 might be ok.  **Issue 4-1-5: Active spatial relation switch delay**  Option 5  **Issue 4-1-6: UE-specific CBW change**  Option 1-1  **Issue 4-1-7: Pathloss reference signal switching delay**  Would like to keep this open for the time being.  **Issue 4-1-8: Active downlink TCI state switching delay for unified TCI**  **Issue 4-1-9: Active uplink TCI state switching delay for unified TCI**  Option 2  **Issue 4-1-10: TRP specific Link Recovery Procedures**  Option 2  **Issue 4-1-11: Pre-configured measurement gap activation/deactivation delay**  Option 2  **Issue 4-1-12: Other CA related signalling characteristics requirements**  Option 1  **Issue 4-1-13: Other signalling characteristics requirements**  Option 1 |
| LGE | **Issue 4-1-1: Radio Link Monitoring**  Option 1-2 could be available.  **Issue 4-1-2: Link Recovery Procedure**  Option 1-2 could be available.  **Issue 4-1-3: Active BWP switching delay**  Support option 1  **Issue 4-1-4: Active TCI state switching delay**  Fine with option 1-2  **Issue 4-1-5: Active spatial relation switch delay**  **Issue 4-1-6: UE-specific CBW change**  **Issue 4-1-7: Pathloss reference signal switching delay**  **Issue 4-1-8: Active downlink TCI state switching delay for unified TCI**  **Issue 4-1-9: Active uplink TCI state switching delay for unified TCI**  **Issue 4-1-10: TRP specific Link Recovery Procedures**  **Issue 4-1-11: Pre-configured measurement gap activation/deactivation delay**  We prefer option 2  **Issue 4-1-12: Other CA related signalling characteristics requirements**  Fine with recommended WF  **Issue 4-1-13: Other signalling characteristics requirements**  Support option 1 |
| CMCC | **Issue 4-1-1: Radio Link Monitoring**  We don’t identify the necessity of relax or tighten the RLM requirement, we prefer Option 1-2.  **Issue 4-1-2: Link Recovery Procedure**  Similar view as Issue 4-1-1, we support Option 1-2.  **Issue 4-1-3: Active BWP switching delay**  Option 1-1 is agreeable.  **Issue 4-1-4: Active TCI state switching delay**  We support Option 1-2; the legacy principle can be reused.  **Issue 4-1-5: Active spatial relation switch delay**  We support Option 2.  **Issue 4-1-6: UE-specific CBW change**  Option 1-1 is agreeable  **Issue 4-1-7: Pathloss reference signal switching delay**  We prefer Option 1-2.  **Issue 4-1-8: Active downlink TCI state switching delay for unified TCI**  We support Option 2. Even Option 1 is considered, the legacy requirement can be reused.  **Issue 4-1-9: Active uplink TCI state switching delay for unified TCI**  We support Option 2. Even Option 1 is considered, the legacy requirement can be reused.  **Issue 4-1-10: TRP specific Link Recovery Procedures**  We support Option 2. Even Option 1 is considered, the legacy requirement can be reused.  **Issue 4-1-11: Pre-configured measurement gap activation/deactivation delay**  We support Option2.  **Issue 4-1-12: Other CA related signalling characteristics requirements**  We support Option 1.  **Issue 4-1-13: Other signalling characteristics requirements**  For UE UL carrier RRC reconfiguration delay requirement, we should consider legacy requirements, for others, we think they are not applicable for R18 ATG. |
| ZTE | **Issue 4-1-1: Radio Link Monitoring**  Support option 1-2  **Issue 4-1-2: Link Recovery Procedure**  Support option 1-2  **Issue 4-1-3: Active BWP switching delay**  Support option 1-1  **Issue 4-1-4: Active TCI state switching delay**  Support option 1-2  **Issue 4-1-5: Active spatial relation switch delay**  Support Option 2  **Issue 4-1-6: UE-specific CBW change**  Current requirements can apply  **Issue 4-1-7: Pathloss reference signal switching delay**  Current requirements can apply  **Issue 4-1-8: Active downlink TCI state switching delay for unified TCI**  Support Option 2  **Issue 4-1-9: Active uplink TCI state switching delay for unified TCI**  Support Option 2  **Issue 4-1-10: TRP specific Link Recovery Procedures**  Support Option 2  **Issue 4-1-11: Pre-configured measurement gap activation/deactivation delay**  Support Option 2  **Issue 4-1-12: Other CA related signalling characteristics requirements**  Support Option 1  **Issue 4-1-13: Other signalling characteristics requirements**  Support Option 1 |
| Nokia | **Issue 4-1-2: Link Recovery Procedure**  Share the same view as Ericsson. |

## Summary for 1st round

### Open issues

*Moderator tries to summarize discussion status for 1st round, list all the identified open issues and tentative agreements or candidate options and suggestion for 2nd round i.e. WF assignment.*

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic #4-1** | **Issue 4-1-1: Radio Link Monitoring**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson)   + Option 1-1: FFS if the existing RLM evaluation and L1 interval is applicable to ATG UE. (Apple, Ericsson)   + Option 1-2: Reuse legacy R15 requirements (CMCC, ZTE, HW, Apple, LGE)   *Recommendations for 2nd round:*  We suggest to continue the discussion with the following as starting point:  Reuse the principle from the legacy RLM requirements as baseline for ATG   * Further discuss whether ATG specific impaction should be involved.   **Issue 4-1-2: Link Recovery Procedure**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson, Nokia)   + Option 1-1: FFS: if the existing BFD/CBD evaluation and BFD L1 interval is applicable to ATG UE. (Apple)   + Option 1-2: Reuse legacy R15 SA requirements (CMCC, Ericsson, ZTE, HW, LGE)   *Recommendations for 2nd round:*  We suggest to continue the discussion with the following as starting point:  Reuse the principle from the legacy Link Recovery Procedure requirements as baseline for ATG   * Further discuss whether ATG specific impaction should be involved.   **Issue 4-1-3: Active BWP switching delay**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson, LGE)   + Option 1-1: The current requirement can be reused. (Apple, CMCC, Ericsson, HW, ZTE)   *Recommendations for 2nd round:*  We suggest to continue the discussion with the following as starting point:  Reuse the principle from the legacy Active BWP switching delay requirements as baseline for ATG   * Further discuss whether ATG specific impaction should be involved.   **Issue 4-1-4: Active TCI state switching delay**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, Ericsson)   + Option 1-1: FFS: if the existing TCI switching requirement is applicable to ATG UE. (Apple, Ericsson)   + Option 1-2: The principle from the legacy active TCI state switch delay can be reused. (Ericsson, HW, LGE, CMCC, ZTE)   *Recommendations for 2nd round:*  We suggest to continue the discussion with the following as starting point:  Reuse the principle from the legacy Active TCI state switching delay requirements as baseline for ATG   * Further discuss whether ATG specific impaction should be involved.   **Issue 4-1-7: Pathloss reference signal switching delay**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC)   + Option 1-1: FFS: if the existing PL-RS switching requirement is applicable to ATG UE. (Apple, Ericsson)   + Option 1-2: Reuse legacy requirement (CMCC, HW, ZTE)   *Recommendations for 2nd round:*  We suggest to continue the discussion with the following as starting point:  Reuse the principle from the legacy Pathloss reference signal switching delay requirements as baseline for ATG   * Further discuss whether ATG specific impaction should be involved.   **Issue 4-1-8: Active downlink TCI state switching delay for unified TCI**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT) * Option 2: No need to consider this feature for A2G. (CMCC, HW, ZTE) * Option 3: RAN4 to define active downlink TCI state switching requirements for A2G, but it is FFS whether legacy requirements can be reused. (Ericsson)   *Recommendations for 2nd round:*  We suggest to continue the discussion with the following as starting point:   * Further discuss whether ATG specific impaction is need be involved.   **Issue 4-1-9: Active uplink TCI state switching delay for unified TCI**  *Candidate options:*   * + Option 1: Need defined RRM requirements for ATG UE (CATT)   + Option 2: This is from R16 eMIMO, no need to consider this feature for A2G. (Apple, CMCC, HW)   + Option 3: RAN4 to define active uplink TCI state switching requirements for A2G, but it is FFS whether legacy requirements can be reused. (Ericsson)   *Recommendations for 2nd round:*  We suggest to continue the discussion with the following as starting point:   * Further discuss whether ATG specific impaction is need be involved.   **Issue 4-1-11: Pre-configured measurement gap activation/deactivation delay**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT, Ericsson) * Option 2: GAP enhancement contents are not considered for ATG UE in Rel-18. (Apple, CMCC, HW, LGE, ZTE)   *Recommendations for 2nd round:*  We suggest that the proponents of Option 1 further provide the analysis about necessity of this feature, and whether the ATG specific requirements is needed or not.  **Issue 4-1-5: Active spatial relation switch delay**  **Issue 4-1-6: UE-specific CBW change**  **Issue 4-1-10: TRP specific Link Recovery Procedures**  **Issue 4-1-12: Other CA related signalling characteristics requirements**   * Interruption requirement; SCell activation and deactivation delay requirement   **Issue 4-1-13: Other signalling characteristics requirements**   * UE UL carrier RRC reconfiguration delay requirement; NE-DC: E-UTRAN PSCell Addition and Release Delay requirement; NR-DC: PSCell Addition and Release Delay requirement; PSCell Change requirement; SCG Activation and Deactivation Delay requirement   *Tentative agreements:*   * For the above all requirements, no specific requirements for ATG are need to be developed.   *Recommendations for 2nd round:*  Further check in 2nd round |

## Discussion on 2nd round (if applicable)

**Issue 4-1-1: Radio Link Monitoring**

*Candidate options:*

* Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson)
  + Option 1-1: FFS if the existing RLM evaluation and L1 interval is applicable to ATG UE. (Apple, Ericsson)
  + Option 1-2: Reuse legacy R15 requirements (CMCC, ZTE, HW, Apple, LGE)

*Recommendations for 2nd round:*

We suggest to continue the discussion with the following as starting point:

Reuse the principle from the legacy RLM requirements as baseline for ATG

* Further discuss whether ATG specific impaction should be involved.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | **Issue 4-1-1: Radio Link Monitoring**  We agree to reuse the principle for defining RLM requirements based on existing requirements. But it shall be noted that it does not mean that the exact requirements are reused. In order to assess whether the existing requirements can be reused, or existing requirements from similar features such as HST, RAN4 needs to analyse the those requirements taking into account the difference in UE speed and the variation in measurement performance due to higher doppler. If the differences are minimal then the existing requirements can be reused. Therefore we believe this issue can be kept open to allow companies to do analysis and bring contributions for next meeting. |
| LGE | Fine with moderator’s suggestion |
| Moderator | We don’t think the note suggested by Ericsson is needed, since the recommendation has been clearly clarified that we will further discuss whether ATG impaction should be involved. It means that the issue is open and FFS, RAN4 can have further study and further analysis.  Same response for Issue 4-1-2/3/4/7/8/9 |

**Issue 4-1-2: Link Recovery Procedure**

*Candidate options:*

* Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson, Nokia)
  + Option 1-1: FFS: if the existing BFD/CBD evaluation and BFD L1 interval is applicable to ATG UE. (Apple)
  + Option 1-2: Reuse legacy R15 SA requirements (CMCC, Ericsson, ZTE, HW, LGE)

*Recommendations for 2nd round:*

We suggest to continue the discussion with the following as starting point:

Reuse the principle from the legacy Link Recovery Procedure requirements as baseline for ATG

* Further discuss whether ATG specific impaction should be involved.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | **Issue 4-1-2: Link Recovery Procedure**  Similar comments as for issue 4-1-1. |
| LGE | Fine with moderator’s suggestion |

**Issue 4-1-3: Active BWP switching delay**

*Candidate options:*

* Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson, LGE)
  + Option 1-1: The current requirement can be reused. (Apple, CMCC, Ericsson, HW, ZTE)

*Recommendations for 2nd round:*

We suggest to continue the discussion with the following as starting point:

Reuse the principle from the legacy Active BWP switching delay requirements as baseline for ATG

* Further discuss whether ATG specific impaction should be involved.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | **Issue 4-1-3: Active BWP switching delay**  Similar comments as for issue 4-1-1. |
| LGE | Fine with moderator’s suggestion |

**Issue 4-1-4: Active TCI state switching delay**

*Candidate options:*

* Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, Ericsson)
  + Option 1-1: FFS: if the existing TCI switching requirement is applicable to ATG UE. (Apple, Ericsson)
  + Option 1-2: The principle from the legacy active TCI state switch delay can be reused. (Ericsson, HW, LGE, CMCC, ZTE)

*Recommendations for 2nd round:*

We suggest to continue the discussion with the following as starting point:

Reuse the principle from the legacy Active TCI state switching delay requirements as baseline for ATG

* Further discuss whether ATG specific impaction should be involved.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | **Issue 4-1-3: Active BWP switching delay**  Similar comment as for issue 4-1-1. |
| LGE | Fine with moderator’s suggestion |

**Issue 4-1-7: Pathloss reference signal switching delay**

*Candidate options:*

* Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC)
  + Option 1-1: FFS: if the existing PL-RS switching requirement is applicable to ATG UE. (Apple, Ericsson)
  + Option 1-2: Reuse legacy requirement (CMCC, HW, ZTE)

*Recommendations for 2nd round:*

We suggest to continue the discussion with the following as starting point:

Reuse the principle from the legacy Pathloss reference signal switching delay requirements as baseline for ATG

* Further discuss whether ATG specific impaction should be involved.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | **Issue 4-1-7: Pathloss reference signal switching delay**  Current requirements define the known condition for pathloss reference signal and MAC-CE based pathloss reference signal switching delay. At this early phase of the WI given that no companies have provided any analysis, we believe it is reasonable to agree on option 1 together with option 1-1, and further analyse the detailed requirements at future meeting. |

**Issue 4-1-8: Active downlink TCI state switching delay for unified TCI**

*Candidate options:*

* Option 1: Need defined RRM requirements for ATG UE (CATT)
* Option 2: No need to consider this feature for A2G. (CMCC, HW, ZTE)
* Option 3: RAN4 to define active downlink TCI state switching requirements for A2G, but it is FFS whether legacy requirements can be reused. (Ericsson)

*Recommendations for 2nd round:*

We suggest to continue the discussion with the following as starting point:

* Further discuss whether ATG specific impaction is need be involved.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | For example, there are different types of TCI state switching delay such as MAC-CE based, DCI-based and known conditions. Some of the requirements contain delay requirement, e.g. T L1-RSRP , TL1-RSPR\_Measurement\_Period\_SSB and TL1-RSRP\_Measurement\_Period\_CSI-RS. At this stage of WI, we are not sure whether the existing delay requirements can be applied for A2G given the higher doppler or whether new delay A2G specific delay parameters need to be introduced. Therefore we support option 3. |

**Issue 4-1-9: Active uplink TCI state switching delay for unified TCI**

*Candidate options:*

* + Option 1: Need defined RRM requirements for ATG UE (CATT)
  + Option 2: This is from R16 eMIMO, no need to consider this feature for A2G. (Apple, CMCC, HW)
  + Option 3: RAN4 to define active uplink TCI state switching requirements for A2G, but it is FFS whether legacy requirements can be reused. (Ericsson)

*Recommendations for 2nd round:*

We suggest to continue the discussion with the following as starting point:

* Further discuss whether ATG specific impaction is need be involved.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | Similar comments as for issue 4-1-8. |

**Issue 4-1-11: Pre-configured measurement gap activation/deactivation delay**

*Candidate options:*

* Option 1: Need defined RRM requirements for ATG UE (CATT, Ericsson)
* Option 2: GAP enhancement contents are not considered for ATG UE in Rel-18. (Apple, CMCC, HW, LGE, ZTE)

*Recommendations for 2nd round:*

We suggest that the proponents of Option 1 further provide the analysis about necessity of this feature, and whether the ATG specific requirements is needed or not.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | We think gap enhancement in Rel-17 is valid for ATG.  From our understanding, throughput is a key point for ATG system(one ATG point may connect to further multiple users). On the one hand, Pre-MG can be dynamically deactivated which can help to improve the system throughput. On the other hand, NCSG is also a good candidate to improve the ATG system throughput with less interruption compared to traditional MG. |

**Issue 4-1-5: Active spatial relation switch delay**

**Issue 4-1-6: UE-specific CBW change**

**Issue 4-1-10: TRP specific Link Recovery Procedures**

**Issue 4-1-12: Other CA related signalling characteristics requirements**

* Interruption requirement; SCell activation and deactivation delay requirement

**Issue 4-1-13: Other signalling characteristics requirements**

* UE UL carrier RRC reconfiguration delay requirement; NE-DC: E-UTRAN PSCell Addition and Release Delay requirement; NR-DC: PSCell Addition and Release Delay requirement; PSCell Change requirement; SCG Activation and Deactivation Delay requirement

*Tentative agreements:*

* For the above all requirements, no specific requirements for ATG are need to be developed.

*Recommendations for 2nd round:*

Further check in 2nd round

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | **Issue 4-1-5: Active spatial relation switch delay**  For example, there are different types of active spatial relation switch delay requirements such as MAC-CE based, DCI-based and RRC-based switching delay. Some of the requirements contain delay requirement, e.g. T L1-RSRP , TL1-RSPR\_Measurement\_Period\_SSB and TL1-RSRP\_Measurement\_Period\_CSI-RS. At this stage of WI, we are not sure whether the existing delay requirements can be applied for A2G given the higher doppler or whether new delay A2G specific delay parameters need to be introduced. Therefore we think we can agree to develop these requirements but exact requirements can be FFS.  **Issue 4-1-6: UE-specific CBW change:**  Fine with the tentative agreement.  **Issue 4-1-10: TRP specific Link Recovery Procedures**  Firstly, RAN4 needs to decide whether TRP specific link recovery procedure is relevant for A2G. We are fine to not consider this feature for A2G.  **Issue 4-1-12: Other CA related signalling characteristics requirements/ Issue 4-1-13: Other signalling characteristics requirements**  Whether the requirements specified in these sections are releant for A2G depends on the scenario to be considered for A2G. For example, if only single carrier operation with PCell is considered then none these requirements are relevant for A2G. Therefore we believe the discussions can be postponed until the scenario is clear. |
| CMCC | For Issue 4-1-5, Ericsson’s comment will be captured in WF v01, we will keep the issue open and further analysis whether ATG specific impaction should be considered for this requirement.  For other multiple none ATG specific impaction issues, if there are no strong concerns, we prefer to keep the current tentative agreement. |

# Topic #5: Measurement

*Main technical topic overview. The structure can be done based on sub-agenda basis.*

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| **T-doc number** | **Company** | **Proposals / Observations** |
| R4-2212302 | CMCC | Observation 3: Considering of the max UE speed 1200km/h, as long as ISD is larger than 3.2km, the current intra-frequency measurement requirement can be reused.  Observation 4: Considering of the max UE speed 1200km/h, as long as ISD is larger than 12.16km, the current inter-frequency requirement can be reused.  Proposal 3: For R18 ATG intra-frequency measurement and inter-frequency measurement, reuse the current requirements. |
| R4-2212696 | Ericsson | Proposal 21 Only FR1 MG is considered in ATG network.  Proposal 22 CSSF needs update if single carrier is supported, such as no deactivated SCell measurement, no SCCs, PSCell measurement. RedCap single carrier measurement requirement can be a reference.  Proposal 23 RAN4 can further study the trade-off between Inter-frequency measurement within MG and the throughput due to large cell coverage.  Proposal 24 UE doesn’t need to support any inter-RAT measurement in ATG system.  Proposal 25 RAN4 to further discuss whether UE supports CGI reading in ATG system. |
| R4-2212974 | Huawei, HiSilicon | Proposal 5: Whether to define requirements for CSI-RS based measurement and positioning measurement for ATG. |
| R4-2213868 | ZTE Corporation | Proposal 7: It is not necessary to specify the upper bound of DRS cycle for ATG system.  Proposal 8: Reusing legacy requirements of L1 measurement is fine. |

## Open issues summary

*Before e-Meeting, moderators shall summarize list of open issues, candidate options and possible WF (if applicable) based on companies’ contributions.*

### Sub-topic 5-1: Measurement procedure and requirements

*Sub-topic description:*

*Open issues and candidate options before e-meeting:*

**Issue 5-1-1: General measurement requirement**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson)
    - Option 1-1: GAP design and related capability/scaling needs to be reconsidered. (Apple)
    - Option 1-2: Reuse legacy R15 requirements (CMCC)
    - Option 1-3: Only FR1 MG is considered in ATG network. (Ericsson)
* Recommended WF
  + General measurement requirement will be defined for ATG, further discuss the GAP design and related capability/scaling.

**Issue 5-1-2: NR intra-frequency measurements**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson, ZTE, HW)
    - Option 1-1: FFS on the details. (Apple)
    - Option 1-2: Reuse legacy R15 requirements, it is not necessary to specify the upper bound of DRS cycle for ATG system. (CMCC, ZTE)
    - Option 1-3: CSSF needs update if single carrier is supported, such as no deactivated SCell measurement, no SCCs, PSCell measurement. RedCap single carrier measurement requirement can be a reference. (Ericsson)
* Recommended WF
  + NR intra-frequency measurements will be defined for ATG, further discuss the details

**Issue 5-1-3: NR inter-frequency measurements**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson, ZTE, HW)
    - Option 1-1: FFS on the details. (Apple)
    - Option 1-2: Reuse legacy R15 requirements, it is not necessary to specify the upper bound of DRS cycle for ATG system. (CMCC, ZTE)
    - Option 1-3: RAN4 can further study the trade-off between Inter-frequency measurement within MG and the throughput due to large cell coverage. (Ericsson)
* Recommended WF
  + NR inter-frequency measurements will be defined for ATG, further discuss the details

**Issue 5-1-4: L1-RSRP and L1-SINR measurements for Reporting**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, ZTE)
    - Option 1-1: Reusing legacy requirements of L1 measurement. (CMCC, ZTE, Apple)
* Recommended WF
  + L1-RSRP and L1-SINR measurements for Reporting will be defined for ATG, further check if Option 1-1 can be agreed.

**Issue 5-1-5: Cross Link Interference measurements**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT)
  + Option 2: This requirement is not necessary for ATG UE (Apple, CMCC)
* Recommended WF
  + Discuss the above Options.

**Issue 5-1-6: CSI-RS based L3 measurements**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT, CMCC)
  + Option 2: FFS Whether to define requirements for CSI-RS based measurement and positioning measurement for ATG. (HW)
* Recommended WF
  + Discuss the above Options.

**Issue 5-1-7: L1-RSRP measurements for a cell with different PCI from serving cell**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT, CMCC)
* Recommended WF
  + Discuss the above Options.

**Issue 5-1-8: NR measurements with autonomous gaps**

* Proposals
  + Option 1: Need defined RRM requirements for ATG UE (CATT, CMCC)
    - Option 1-1: Reusing legacy requirements. (CMCC)
    - Option 1-2: RAN4 to further discuss whether UE supports CGI reading in ATG system. (Ericsson)
* Recommended WF
  + Discuss the above Options.

**Issue 5-1-9: Other measurement related requirements**

* Proposals
  + Option 1: For Inter-RAT measurements, NE-DC: Measurements, NR measurements for positioning, Measurement for Propagation Delay Compensation, they are not applicable for R18 ATG UE (CATT, Apple, CMCC, HW)
* Recommended WF
  + Please check if Option 1 is agreeable.

### Sub-topic 5-2: Measurement performance

*Sub-topic description:*

*Open issues and candidate options before e-meeting:*

**Issue 5-2-1: Measurement performance requirement**

* Proposals
  + Option 1: The following measurement performance are needed. (Apple)
    - Intra-frequency RSRP accuracy requirement for FR1
    - Inter-frequency RSRP accuracy requirement for FR1
    - Intra-frequency RSRQ accuracy requirement for FR1
    - Inter-frequency RSRQ accuracy requirement for FR1
    - Intra-frequency SINR accuracy requirement for FR1
    - Inter-frequency SINR accuracy requirement for FR1
    - Power headroom
    - Pcmax,c,c
    - L1-RSRP accuracy requirements for FR1
    - SFTD accuracy requirements
    - CLI measurement accuracy requirement??
  + Option 2: Measurements for E-UTRAN and UTRAN FDD are not needed. (Apple)
* Recommended WF
  + Suggest to focus on measurement core requirements first, then come back to this issue.

## Companies views’ collection for 1st round

### Open issues

*One of the two formats, i.e. either example 1 or 2 can be used by moderators.*

Sub topic 5-1: Measurement procedure and requirements

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | **Issue 5-1-1: General measurement requirement**  Support recommended WF.  **Issue 5-1-2: NR intra-frequency measurements**  Support recommended WF.  **Issue 5-1-3: NR inter-frequency measurements**  Support recommended WF.  **Issue 5-1-4: L1-RSRP and L1-SINR measurements for Reporting**  Support recommended WF.  **Issue 5-1-5: Cross Link Interference measurements**  We think CLI are an important feature for ATG as ATG is deployed in non-disjoint frequency manner. It is suggested to FFS the RRM impact of CLI  **Issue 5-1-6: CSI-RS based L3 measurements**  We are open to discuss the RRM impact of CSI-RS L3 measurement  **Issue 5-1-7: L1-RSRP measurements for a cell with different PCI from serving cell**  We are open to discuss.  **Issue 5-1-8: NR measurements with autonomous gaps**  Support option 1-1  **Issue 5-1-9: Other measurement related requirements**  Generally fine. Suggest to focus on ATG specific impact. |
| Ericsson | **Issue 5-1-1: General measurement requirement**  Support recommended WF.  We also want to further check the understanding in the group whether we will only define the FR1 MG for ATG network.  **Issue 5-1-2: NR intra-frequency measurements**  Support recommended WF.  **Issue 5-1-3: NR inter-frequency measurements**  Support recommended WF.  **Issue 5-1-4: L1-RSRP and L1-SINR measurements for Reporting**  Support recommended WF.  **Issue 5-1-5: Cross Link Interference measurements**  Assuming that only single carrier is considered A2G, we are fine with option 2.  **Issue 5-1-6: CSI-RS based L3 measurements**  Option 2. We think CSI-RS measurement is an enhanced method for L3 measurement. Now we’re just defining the baseline solution for ATG.  **Issue 5-1-7: L1-RSRP measurements for a cell with different PCI from serving cell**  We want to further check the reason why UE needs to measurement a cell different with serving cell for L1-RSRP.  **Issue 5-1-8: NR measurements with autonomous gaps**  Support option 1-1  **Issue 5-1-9: Other measurement related requirements**  Support option 1 |
| Apple | **Issue 5-1-1: General measurement requirement**  Option 1-1: GAP design related capability/signalling needs to be reconsidered.  **Issue 5-1-2: NR intra-frequency measurements**  Prefer option 1-1. More thinking is needed on the details.  **Issue 5-1-3: NR inter-frequency measurements**  Prefer Option 1-1. More thinking is needed on the details.  **Issue 5-1-4: L1-RSRP and L1-SINR measurements for Reporting**  Ok with the recommendation from moderator.  **Issue 5-1-5: Cross Link Interference measurements**  Option 2. CLI feature is not necessary for ATG.  **Issue 5-1-6: CSI-RS based L3 measurements**  Keep open for further discussion  **Issue 5-1-7: L1-RSRP measurements for a cell with different PCI from serving cell**  Keep open for further discussion.  **Issue 5-1-8: NR measurements with autonomous gaps**  Keep open for further discussion.  **Issue 5-1-9: Other measurement related requirements**  Option1. |
| LGE | **Issue 5-1-1: General measurement requirement**  Fine with option 1-1, and if possible, NTN feature can be reused.  **Issue 5-1-2: NR intra-frequency measurements**  Fine with recommended WF  **Issue 5-1-3: NR inter-frequency measurements**  Fine with recommended WF  **Issue 5-1-4: L1-RSRP and L1-SINR measurements for Reporting**  It could reuse legacy requirements for L1-RSRP and L1-SINR measurement  **Issue 5-1-5: Cross Link Interference measurements**  Support option 2  **Issue 5-1-6: CSI-RS based L3 measurements**  Further discussions are needed whether CSI-RS L3 measurement is useful for ATG network.  **Issue 5-1-7: L1-RSRP measurements for a cell with different PCI from serving cell**  Not against the option 1, but need further check with AGT scenario.  **Issue 5-1-8: NR measurements with autonomous gaps**  **Issue 5-1-9: Other measurement related requirements**  Support option 1 |
| CMCC | **Issue 5-1-1: General measurement requirement**  For measurement gap, we are fine to only consider FR1 MG in this release.  For measurement capability, we think the legacy intra and inter frequency measurement capability can be the baseline, we are open to have further discuss.  For scaling, we think the legacy method can be baseline.  **Issue 5-1-2: NR intra-frequency measurements**  We support Option 1-2 and are also fine with further discussion  **Issue 5-1-3: NR inter-frequency measurements**  First, inter-frequency measurements with MG is the valid scenario in ATG network, we support Option 1-2 to reuse the current requirements.  **Issue 5-1-4: L1-RSRP and L1-SINR measurements for Reporting**  Option 1-1 can be agreed  **Issue 5-1-5: Cross Link Interference measurements**  Generally, since the ATG network is sync, we think this requirement is not necessary.  While considering ATG and ground-based network use different TDD configuration, this may introduce CLI. We are open to have more discussion about the scenario.  **Issue 5-1-6: CSI-RS based L3 measurements**  We prefer to cover CSI-RS based L3 measurements for ATG, the legacy requirement can be reused.  **Issue 5-1-7: L1-RSRP measurements for a cell with different PCI from serving cell**  We prefer to cover this requirement; legacy requirement can be reused.  **Issue 5-1-8: NR measurements with autonomous gaps**  We support Option1-1. We think it shouldn’t preclude ATG UE to support CGI reading  **Issue 5-1-9: Other measurement related requirements**  Option 1 is agreeable |
| ZTE | **Issue 5-1-1: General measurement requirement**  Fine with option 1-2.  **Issue 5-1-2: NR intra-frequency measurements**  Fine with Option 1-2.  **Issue 5-1-3: NR inter-frequency measurements**  Fine with Option 1-2.  **Issue 5-1-4: L1-RSRP and L1-SINR measurements for Reporting**  Fine with Option 1-1.  **Issue 5-1-5: Cross Link Interference measurements**  Support option 2  **Issue 5-1-6: CSI-RS based L3 measurements**  Further discussions are needed whether CSI-RS L3 measurement is useful for ATG network.  **Issue 5-1-7: L1-RSRP measurements for a cell with different PCI from serving cell**  Not against the option 1, but need further check with AGT scenario.  **Issue 5-1-8: NR measurements with autonomous gaps**  Need to discuss whether autonomos gap is needed with ATG scenario,  **Issue 5-1-9: Other measurement related requirements**  Support option 1 |
| Nokia | **Issue 5-1-2: NR intra-frequency measurements**  RAN4 should agree on a typical ATG network deployment scenario which can be used as reference to analyze and determine if legacy requirements can be reused.  **Issue 5-1-4: L1-RSRP and L1-SINR measurements for Reporting**  Could the proponents provide further details showing how the legacy requirements can be reused?  What is the typical ATG network deployment scenario considered? How many beams per cell are used? Are fine beams used? |

Sub topic 5-2: Measurement performance

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | **Issue 5-2-1: Measurement performance requirement**  Support recommended WF. |
| Ericsson | Support recommended WF. |
| Apple | Option 1 and Option 2.  Our understanding is that the performance definition and mapping for each measurement can be delayed to performance part. However, the requirement structure should be discussed together with core part. E.g. which requirement is in the structure and which is not. |
| LGE | **Issue 5-2-1: Measurement performance requirement**  Support the recommended WF |
| CMCC | **Issue 5-2-1: Measurement performance requirement**  Support the recommended WF |
| ZTE | Support recommended WF. |

## Summary for 1st round

### Open issues

*Moderator tries to summarize discussion status for 1st round, list all the identified open issues and tentative agreements or candidate options and suggestion for 2nd round i.e. WF assignment.*

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic #5-1** | **Issue 5-1-1: General measurement requirement**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson)   + Option 1-1: GAP design and related capability/scaling needs to be reconsidered. (Apple, LGE)   + Option 1-2: Reuse legacy R15 requirements (CMCC, ZTE)   + Option 1-3: Only FR1 MG is considered in ATG network. (Ericsson, CMCC)   *Recommendations for 2nd round:*  We summarize companies’ view below, please check whether it can be a starting point for further discussion:   * FFS the MG design   + Option 1: Only FR1 MG is considered in ATG network * FFS the UE measurement capability/scaling   **Issue 5-1-2: NR intra-frequency measurements**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson, ZTE, HW)   + Option 1-1: FFS on the details. (Apple)   + Option 1-2: Reuse legacy R15 requirements, it is not necessary to specify the upper bound of DRS cycle for ATG system. (CMCC, ZTE)   + Option 1-3: CSSF needs update if single carrier is supported, such as no deactivated SCell measurement, no SCCs, PSCell measurement. RedCap single carrier measurement requirement can be a reference. (Ericsson)   *Recommendations for 2nd round:*  We suggest to continue the discussion with the following as starting point:  Reuse the principle from the legacy R15 NR intra-frequency measurements as baseline for ATG   * Further discuss whether ATG specific impaction should be involved, such as CSSF and so on.   **Issue 5-1-3: NR inter-frequency measurements**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson, ZTE, HW)   + Option 1-1: FFS on the details. (Apple)   + Option 1-2: Reuse legacy R15 requirements, it is not necessary to specify the upper bound of DRS cycle for ATG system. (CMCC, ZTE)   + Option 1-3: RAN4 can further study the trade-off between Inter-frequency measurement within MG and the throughput due to large cell coverage. (Ericsson)   *Recommendations for 2nd round:*  We suggest to continue the discussion with the following as starting point:  Reuse the principle from the legacy R15 NR inter-frequency measurements as baseline for ATG   * Further discuss whether ATG specific impaction should be involved.   **Issue 5-1-4: L1-RSRP and L1-SINR measurements for Reporting**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, ZTE)   + Option 1-1: Reusing legacy requirements of L1 measurement. (CMCC, ZTE, Apple, HW, Ericsson, LGE) * Option 2: proponents of Option 1 provide further details showing how the legacy requirements can be reused? What is the typical ATG network deployment scenario considered? How many beams per cell are used? Are fine beams used? (Nokia)   *Recommendations for 2nd round:*  We suggest to continue the discussion with the following as starting point, please Nokia check whether the following wording is fine, proponents of Option 1 are encouraged to give some feedback.   * No new specific L1-RSRP and L1-SINR measurements for Reporting requirements for ATG are need to be developed.   **Issue 5-1-5: Cross Link Interference measurements**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT) * Option 2: This requirement is not necessary for ATG UE (Apple, CMCC, Ericsson, LGE, ZTE) * Option 3: FFS the RRM impact of CLI (HW, CMCC)   *Recommendations for 2nd round:*  We suggest to continue the discussion, proponents of Option 1 and Option 3 are encouraged to provide more details about the scenario for CLI, and whether ATG specific requirements are needed.  **Issue 5-1-6: CSI-RS based L3 measurements**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT, CMCC) * Option 2: FFS Whether to define requirements for CSI-RS based measurement and positioning measurement for ATG. (HW, Ericsson, Apple, LGE, ZTE)   *Recommendations for 2nd round:*  We suggest to continue the discussion, further analyse whether CSI-RS L3 measurement is useful for ATG network, and whether ATG specific requirements are needed.  **Issue 5-1-7: L1-RSRP measurements for a cell with different PCI from serving cell**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT, CMCC) * Option 2: FFS (HW, Ericsson, Apple, LGE, ZTE)   *Recommendations for 2nd round:*  We suggest to continue the discussion, further analyse whether this feature is needed for ATG network, what is the specific scenario, whether ATG specific requirements are needed.  **Issue 5-1-8: NR measurements with autonomous gaps**  *Candidate options:*   * Option 1: Need defined RRM requirements for ATG UE (CATT, CMCC)   + Option 1-1: Reusing legacy requirements. (CMCC, HW, Ericsson) * Option 2: FFS (Apple, ZTE)   *Recommendations for 2nd round:*  The discussion can be continued, further analyse whether autonomous gap is needed with ATG scenario, whether ATG specific requirements are needed.  **Issue 5-1-9: Other measurement related requirements**  *Tentative agreements:*   * For Inter-RAT measurements; NE-DC: Measurements; NR measurements for positioning; Measurement for Propagation Delay Compensation; they are not applicable for R18 ATG UE, no new ATG specific requirement is needed.   *Recommendations for 2nd round:*  No more discussion |
|  |  |

## Discussion on 2nd round (if applicable)

**Issue 5-1-1: General measurement requirement**

*Candidate options:*

* Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson)
  + Option 1-1: GAP design and related capability/scaling needs to be reconsidered. (Apple, LGE)
  + Option 1-2: Reuse legacy R15 requirements (CMCC, ZTE)
  + Option 1-3: Only FR1 MG is considered in ATG network. (Ericsson, CMCC)

*Recommendations for 2nd round:*

We summarize companies’ view below, please check whether it can be a starting point for further discussion:

* FFS the MG design
  + Option 1: Only FR1 MG is considered in ATG network
* FFS the UE measurement capability/scaling

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | We’re OK with the recommendation. |
| LGE | Okay with moderators’ suggestion as starting point |

**Issue 5-1-2: NR intra-frequency measurements**

*Candidate options:*

* Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson, ZTE, HW)
  + Option 1-1: FFS on the details. (Apple)
  + Option 1-2: Reuse legacy R15 requirements, it is not necessary to specify the upper bound of DRS cycle for ATG system. (CMCC, ZTE)
  + Option 1-3: CSSF needs update if single carrier is supported, such as no deactivated SCell measurement, no SCCs, PSCell measurement. RedCap single carrier measurement requirement can be a reference. (Ericsson)

*Recommendations for 2nd round:*

We suggest to continue the discussion with the following as starting point:

Reuse the principle from the legacy R15 NR intra-frequency measurements as baseline for ATG

* Further discuss whether ATG specific impaction should be involved, such as CSSF and so on.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | From our understanding, only single carrier is supported. The CSSF needs to be updated. |

**Issue 5-1-3: NR inter-frequency measurements**

*Candidate options:*

* Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, Ericsson, ZTE, HW)
  + Option 1-1: FFS on the details. (Apple)
  + Option 1-2: Reuse legacy R15 requirements, it is not necessary to specify the upper bound of DRS cycle for ATG system. (CMCC, ZTE)
  + Option 1-3: RAN4 can further study the trade-off between Inter-frequency measurement within MG and the throughput due to large cell coverage. (Ericsson)

*Recommendations for 2nd round:*

We suggest to continue the discussion with the following as starting point:

Reuse the principle from the legacy R15 NR inter-frequency measurements as baseline for ATG

* Further discuss whether ATG specific impaction should be involved.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | We’re fine to reuse the legacy R16 principle, but we also suggest to consider the trade-off between MG activation and the ATG Tput. |

**Issue 5-1-4: L1-RSRP and L1-SINR measurements for Reporting**

*Candidate options:*

* Option 1: Need defined RRM requirements for ATG UE (CATT, Apple, CMCC, ZTE)
  + Option 1-1: Reusing legacy requirements of L1 measurement. (CMCC, ZTE, Apple, HW, Ericsson, LGE)
* Option 2: proponents of Option 1 provide further details showing how the legacy requirements can be reused? What is the typical ATG network deployment scenario considered? How many beams per cell are used? Are fine beams used? (Nokia)

*Recommendations for 2nd round:*

We suggest to continue the discussion with the following as starting point, please Nokia check whether the following wording is fine, proponents of Option 1 are encouraged to give some feedback.

* No new specific L1-RSRP and L1-SINR measurements for Reporting requirements for ATG are need to be developed.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | We’re OK with the recommendation. |

**Issue 5-1-5: Cross Link Interference measurements**

*Candidate options:*

* Option 1: Need defined RRM requirements for ATG UE (CATT)
* Option 2: This requirement is not necessary for ATG UE (Apple, CMCC, Ericsson, LGE, ZTE)
* Option 3: FFS the RRM impact of CLI (HW, CMCC)

*Recommendations for 2nd round:*

We suggest to continue the discussion, proponents of Option 1 and Option 3 are encouraged to provide more details about the scenario for CLI, and whether ATG specific requirements are needed.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | We’re OK with the recommendation. |

**Issue 5-1-6: CSI-RS based L3 measurements**

*Candidate options:*

* Option 1: Need defined RRM requirements for ATG UE (CATT, CMCC)
* Option 2: FFS Whether to define requirements for CSI-RS based measurement and positioning measurement for ATG. (HW, Ericsson, Apple, LGE, ZTE)

*Recommendations for 2nd round:*

We suggest to continue the discussion, further analyse whether CSI-RS L3 measurement is useful for ATG network, and whether ATG specific requirements are needed.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | We’re OK with the recommendation. |
| LGE | Support option 2. |

**Issue 5-1-7: L1-RSRP measurements for a cell with different PCI from serving cell**

*Candidate options:*

* Option 1: Need defined RRM requirements for ATG UE (CATT, CMCC)
* Option 2: FFS (HW, Ericsson, Apple, LGE, ZTE)

*Recommendations for 2nd round:*

We suggest to continue the discussion, further analyse whether this feature is needed for ATG network, what is the specific scenario, whether ATG specific requirements are needed.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | We’re OK with the recommendation. |
| LGE | Option 2. |

**Issue 5-1-8: NR measurements with autonomous gaps**

*Candidate options:*

* Option 1: Need defined RRM requirements for ATG UE (CATT, CMCC)
  + Option 1-1: Reusing legacy requirements. (CMCC, HW, Ericsson)
* Option 2: FFS (Apple, ZTE)

*Recommendations for 2nd round:*

The discussion can be continued, further analyse whether autonomous gap is needed with ATG scenario, whether ATG specific requirements are needed.

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Ericsson | We’re OK with the recommendation. |

# Topic #6: Specifiction documentaion

*Main technical topic overview. The structure can be done based on sub-agenda basis.*

## Companies’ contributions summary

|  |  |  |
| --- | --- | --- |
| **T-doc number** | **Company** | **Proposals / Observations** |
| R4-2211643 | CATT | Observation 2: The RRM requirements for ATG UE can be defined in new sections of section number with suffix D in specification. |
| R4-2211918 | Apple | Proposal 2: It is proposed to define RRM requirement for ATG UE in separate subclause |
| R4-2212302 | CMCC | Proposal 6: Add ATG related requirements in the current corresponding section, similar as HST |

## Open issues summary

*Before e-Meeting, moderators shall summarize list of open issues, candidate options and possible WF (if applicable) based on companies’ contributions.*

### Sub-topic 6-1: Specifiction documentaion

*Sub-topic description:*

*Open issues and candidate options before e-meeting:*

**Issue 6-1-1: How to involve ATG RRM core requirements in TS38.133**

* Proposals
  + Option 1: The RRM requirements for ATG UE can be defined in new sections of section number with suffix D in specification. (CATT, Apple)
  + Option 2: Add ATG related requirements in the current corresponding section, similar as HST. (CMCC)
* Recommended WF
  + Discuss this issue in later meetings, after identify the RRM impact of ATG features.

## Companies views’ collection for 1st round

### Open issues

Sub topic 6-1: Specifiction documentaion

|  |  |
| --- | --- |
| **Company** | **Comments** |
| Huawei | **Issue 6-1-1: How to involve ATG RRM core requirements in TS38.133**  We support option 2. Spec updating can be made when the requirements needs to be changed for ATG just like HST. We prefer not to create dedicated sections for ATG, which will make the spec more and more complicated and unsustainable. |
| Ericsson | We support option 1 which a much cleaner approach. But we are also fine to keep this open until the full RRM impact is identified. |
| Apple | Option 1 is preferred. |
| LGE | **Issue 6-1-1: How to involve ATG RRM core requirements in TS38.133**  We are open to both options. It might depends on how many new requirements would be defined and reused with legacy requirements. |
| CMCC | **Issue 6-1-1: How to involve ATG RRM core requirements in TS38.133**  Based on our observation, there are not that much RRM core requirements need to be update for ATG.  Therefore, we think it is rather redundant to create new sections for ATG. We prefer Option 2. |
| ZTE | Prefer Option 2. |

## Summary for 1st round

### Open issues

*Moderator tries to summarize discussion status for 1st round, list all the identified open issues and tentative agreements or candidate options and suggestion for 2nd round i.e. WF assignment.*

|  |  |
| --- | --- |
|  | **Status summary** |
| **Sub-topic #6-1** | **Issue 6-1-1: How to involve ATG RRM core requirements in TS38.133**  *Candidate options:*   * Option 1: The RRM requirements for ATG UE can be defined in new sections of section number with suffix D in specification. (CATT, Apple) * Option 2: Add ATG related requirements in the current corresponding section, similar as HST. (CMCC, ZTE)   *Recommendations for 2nd round:*  As mentioned by LGE, which method is more reasonable might depends on how many new requirements would be defined and reused with legacy requirements. We suggest to continue discussing this issue in the next meeting, when more requirements are identified whether they need new ATG requirements or not. |

## Discussion on 2nd round (if applicable)

# Recommendations for Tdocs

## 1st round

**New tdocs**

|  |  |  |  |
| --- | --- | --- | --- |
| **New Tdoc number** | **Title** | **Source** | **Comments** |
|  | WF on NR ATG RRM core requirements | CMCC | To capture agreements |
|  |  |  |  |

**Existing tdocs**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Tdoc number** | **Revised to** | **Title** | **Source** | **Recommendation** | **Comments** |
| R4-22xxxxx |  | CR on … | XXX | Agreeable, Revised, Merged, Postponed, Not Pursued |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
|  |  |  |  |  |  |

Notes:

1. Please include the summary of recommendations for all tdocs across all sub-topics incl. existing and new tdocs.
2. For the Recommendation column please include one of the following:
   1. CRs/TPs: Agreeable, Revised, Merged, Postponed, Not Pursued
   2. Other documents: Agreeable, Revised, Noted
3. For new LS documents, please include information on To/Cc WGs in the comments column
4. Do not include hyper-links in the documents

## 2nd round

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Tdoc number** | **Revised to** | **Title** | **Source** | **Recommendation** | **Comments** |
| R4-22xxxxx |  | CR on … | XXX | Agreeable, Revised, Merged, Postponed, Not Pursued |  |
| R4-22xxxxx |  | WF on … | YYY | Agreeable, Revised, Noted |  |
| R4-22xxxxx |  | LS on … | ZZZ | Agreeable, Revised, Noted |  |
|  |  |  |  |  |  |

Notes:

1. Please include the summary of recommendations for all tdocs across all sub-topics.
2. For the Recommendation column please include one of the following:
   1. CRs/TPs: Agreeable, Revised, Merged, Postponed, Not Pursued
   2. Other documents: Agreeable, Revised, Noted
3. Do not include hyper-links in the documents