**3GPP TSG-RAN4 Meeting #100-e *R4-2115272***

**Electronic Meeting, 16 – 27 August, 2021**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **36.133** | **CR** | **-** | **rev** | **1** | **Current version:** | **14.19.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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|  |
| ***Title:***  | CR to eMTC RRM requirements R14 |
|  |  |
| ***Source to WG:*** | Huawei, HiSilicon |
| ***Source to TSG:*** | R4 |
|  |  |
| ***Work item code:*** | LTE\_feMTC-Core |  | ***Date:*** | 2021-07-22 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-14 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
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| ***Reason for change:*** | There are several issues with eMTC inter-frequency measurement requirements in idle mode:1. The requirements are not scaled with number of carriers, which is different from requirements for normal UEs.
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| ***Summary of change:*** | Address the above issues:1. Add scaling factor Kcarrier
2. Correct some typo
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|  |  |
| ***Consequences if not approved:*** | eMTC inter-frequency measurement requirements in idle mode are incorrect. |
|  |  |
| ***Clauses affected:*** | 4.7.2.1.3, 4.7.2.2.3~5 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **x** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **x** |  Test specifications | TS/TR ... CR ... |
| ***(show related CRs)*** |  | **x** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

<Start of Change 1>

##### 4.7.2.1.3 Measurements of inter-frequency cells for UE category M1 in normal coverage

The requirements in this subclause apply if UE is in the normal coverage area of the serving cell. The UE is considered to be in normal coverage area of serving cell according to RSRP, RSRP Ês/Iot, SCH\_RP and SCH Ês/Iot of the serving cell defined in Annex B.1.3 for a corresponding Band.

The UE shall be able to identify new inter-frequency cells and perform RSRP or RSRQ measurements of identified inter-frequency cells if carrier frequency information is provided by the serving cell, even if no explicit neighbour list with physical layer cell identities is provided. The UE shall not cause any interruption to the paging reception and acquisition of SI while performing measurement on serving or any neighbor cells.

If Srxlev > SnonIntraSearchP and Squal > SnonIntraSearchQ then the UE shall search for inter-frequency layers of higher priority at least every Thigher\_priority\_search where Thigher\_priority\_search is described in clause 4.2.2.

If Srxlev ≤ SnonIntraSearchP or Squal ≤ SnonIntraSearchQ then the UE shall search for and measure inter-frequency layers of higher, equal or lower priority in preparation for possible reselection. In this scenario, the minimum rate at which the UE is required to search for and measure higher priority layers shall be the same as that defined below.

The UE shall be able to evaluate whether a newly detectable inter-frequency cell meets the reselection criteria defined in TS36.304 within Kcarrier\*Tdetect,EUTRAN\_Inter\_NC, if at least carrier frequency information is provided for inter-frequency neighbour cells by the serving cells when Treselection = 0 provided that the reselection criteria is met by a margin of at least 8 dB for reselections based on ranking or 8 dB for RSRP reselections based on absolute priorities or 5.5 dB for RSRQ reselections based on absolute priorities. Kcarrier is the number of inter-frequency carriers in the neighbour cell list. An inter frequency cell is considered to be detectable according to RSRP, RSRP Ês/Iot, SCH\_RP and SCH Ês/Iot defined in Annex B.1.8 for a corresponding Band.

When higher priority cells are found by the higher priority search, they shall be measured at least every Tmeasure,E-UTRAN\_Inter\_NC . If, after detecting a cell in a higher priority search, it is determined that reselection has not occurred then the UE is not required to continuously measure the detected cell to evaluate the ongoing possibility of reselection. However, the minimum measurement filtering requirements specified later in this clause shall still be met by the UE before it makes any determination that it may stop measuring the cell. If the UE detects on a E-UTRA carrier a cell whose physical identity is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.

The UE shall measure RSRP or RSRQ at least every Kcarrier\*Tmeasure,EUTRAN\_Inter\_NC for identified lower or equal priority inter-frequency cells. If the UE detects on a E-UTRA carrier a cell whose physical identity is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.

The UE shall filter RSRP or RSRQ measurements of each measured higher, lower and equal priority inter-frequency cell using at least 2 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least Tmeasure,EUTRAN\_Inter\_NC/2.

The UE shall not consider a E-UTRA neighbour cell in cell reselection, if it is indicated as not allowed in the measurement control system information of the serving cell.

For an inter-frequency cell that has been already detected, but that has not been reselected to, the filtering shall be such that the UE shall be capable of evaluating that the inter-frequency cell has met reselection criterion defined TS 36.304 within Kcarrier\*Tevaluate,E-UTRAN\_Inter\_NC, when Treselection = 0 provided that the reselection criteria is met by a margin of at least [7]dB for reselections based on ranking or [7]dB for RSRP reselections based on absolute priorities or [5]dB for RSRQ reselections based on absolute priorities.

If Treselection timer has a non zero value and the inter-frequency cell is better ranked than the serving cell, the UE shall evaluate this inter-frequency cell for the Treselection time. If this cell remains better ranked within this duration, then the UE shall reselect that cell.

For UE not configured with eDRX\_IDLE cycle, Tdetect,EUTRAN\_Inter\_NC, Tmeasure,EUTRAN\_Inter\_NC and Tevaluate, E-UTRAN\_Inter\_NC are specified in Table 4.7.2.1.3-1. For UE configured with eDRX\_IDLE cycle, Tdetect,EUTRAN\_Inter\_NC, Tmeasure,EUTRAN\_Inter\_NC and Tevaluate, E-UTRAN\_Inter\_NC are specified in Table 4.7.2.1.3-2. Additionally, the requirements in Table 4.7.2.1.3-2 apply provided that the serving cell is configured with eDRX\_IDLE and is the same in all PTWs during any of Tdetect,EUTRAN\_Inter\_NC, Tmeasure,EUTRAN\_Inter\_NC and Tevaluate, E-UTRAN\_Inter\_NC when multiple PTWs are used.

Table 4.7.2.1.3-1 : Tdetect,EUTRAN\_Inter\_NC, Tmeasure,EUTRAN\_Inter\_NC and Tevaluate,E-UTRAN\_Inter\_NC

|  |  |  |  |
| --- | --- | --- | --- |
| DRX cycle length [s] | Tdetect,EUTRAN\_Inter\_NC [s] (number of DRX cycles) | Tmeasure,EUTRAN\_Inter\_NC [s] (number of DRX cycles) | Tevaluate,E-UTRAN\_Inter\_NC[s] (number of DRX cycles) |
| 0.32 | 11.52 (36) | 1.28 (4) | 5.12 (16) |
| 0.64 | 17.92 (28) | 1.28 (2) | 5.12 (8) |
| 1.28 | 32(25) | 1.28 (1) | 6.4 (5) |
| 2.56 | 58.88 (23) | 2.56 (1) | 7.68 (3) |

Table 4.7.2.1.3-2: Tdetect,EUTRAN\_Inter\_NC, Tmeasure,EUTRAN\_Inter\_NC and Tevaluate, E-UTRAN\_inter\_NC for UE configured with eDRX\_IDLE cycle

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| eDRX\_IDLE cycle length [s] | DRX cycle length [s] | PTW length [s] (number of 1.28s periods) | Tdetect,EUTRAN\_Inter\_NC [s] (number of DRX cycles) | Tmeasure,EUTRAN\_Inter\_NC [s] (number of DRX cycles) | Tevaluate,E-UTRAN\_inter\_NC[s] (number of DRX cycles) |
| 5.12 ≤ eDRX\_IDLE cycle length ≤ 2621.44 | 0.32 | ≥1.28 (1) | (23) | 0.32 (1) |  (2) |
| 0.64 | ≥1.28 (1) | 0.64 (1) |  (2) |
| 1.28 | ≥1.28 (1) | 1.28 (1) | (2) |
| 2.56 | ≥2.56 (2) | 2.56 (1) |  (2) |
| NOTE 1: The number of DRX cycles in this table is given for the DRX cycles within PTWs.NOTE 2: The eDRX\_IDLE cycle lengths are as specified in Section 10.5.5.32 of TS 24.008 [34]. |

For higher priority cells, a UE may optionally use a shorter value forTmeasure,EUTRAN\_Inter\_NC,which shall not be less than Max(0.64 s, one DRX cycle).

For any requirement in this section, when the UE transitions between any two states when being configured with eDRX\_IDLE, being configured with eDRX\_IDLE cycle, changing eDRX\_IDLE cycle length, or changing PTW configuration, the UE shall meet the transition requirement, which is the less stringent requirement of the two requirements corresponding to the first state and the second state, during the transition time interval which is the time corresponding to the transition requirement. After the transition time interval, the UE has to meet the requirement corresponding to the second state.

<End of Change 1>

<Start of Change 2>

##### 4.7.2.2.3 Measurements of inter-frequency cells for UE category M1 in enhanced coverage

The requirements in this subclause apply if UE is in the enhanced coverage area of the serving cell. The UE is considered to be in enhanced coverage area of serving cell according to RSRP, RSRP Ês/Iot, SCH\_RP and SCH Ês/Iot of the serving cell defined in Annex B.1.3 for a corresponding Band.

The UE shall be able to identify new inter-frequency cells and perform RSRP or RSRQ measurements of identified inter-frequency cells if carrier frequency information is provided by the serving cell, even if no explicit neighbour list with physical layer cell identities is provided. The UE shall not cause any interruption to the paging reception and acquisition of SI while performing measurement on serving or any neighbor cells.

If Srxlev > SnonIntraSearchP and Squal > SnonIntraSearchQ then the UE shall search for inter-frequency layers of higher priority at least every Thigher\_priority\_search where Thigher\_priority\_search is described in clause 4.2.2.

If Srxlev ≤ SnonIntraSearchP or Squal ≤ SnonIntraSearchQ then the UE shall search for and measure inter-frequency layers of higher, equal or lower priority in preparation for possible reselection. In this scenario, the minimum rate at which the UE is required to search for and measure higher priority layers shall be the same as that defined below.

The UE shall be able to evaluate whether a newly detectable inter-frequency cell meets the reselection criteria defined in TS36.304 within Kcarrier\*Tdetect,EUTRAN\_Inter\_EC, if at least carrier frequency information is provided for inter-frequency neighbour cells by the serving cells when Treselection = 0 provided that the reselection criteria is met by a margin of at least 8 dB for reselections based on ranking. Kcarrier is the number of inter-frequency carriers in the neighbour cell list. An inter frequency cell is considered to be detectable according to RSRP, RSRP Ês/Iot, SCH\_RP and SCH Ês/Iot defined in Annex B.1.8 for a corresponding Band.

When higher priority cells are found by the higher priority search, they shall be measured at least every Tmeasure,E-UTRAN\_Inter\_EC . If, after detecting a cell in a higher priority search, it is determined that reselection has not occurred then the UE is not required to continuously measure the detected cell to evaluate the ongoing possibility of reselection. However, the minimum measurement filtering requirements specified later in this clause shall still be met by the UE before it makes any determination that it may stop measuring the cell. If the UE detects on a E-UTRA carrier a cell whose physical identity is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.

The UE shall measure RSRP or RSRQ at least every Kcarrier\*Tmeasure,EUTRAN\_Inter\_EC for identified lower or equal priority inter-frequency cells. If the UE detects on a E-UTRA carrier a cell whose physical identity is indicated as not allowed for that carrier in the measurement control system information of the serving cell, the UE is not required to perform measurements on that cell.

The UE shall filter RSRP or RSRQ measurements of each measured higher, lower and equal priority inter-frequency cell using at least 4 measurements. Within the set of measurements used for the filtering, at least two measurements shall be spaced by at least Tmeasure,EUTRAN\_Inter\_EC/2.

The UE shall not consider a E-UTRA neighbour cell in cell reselection, if it is indicated as not allowed in the measurement control system information of the serving cell.

For an inter-frequency cell that has been already detected, but that has not been reselected to, the filtering shall be such that the UE shall be capable of evaluating that the inter-frequency cell has met reselection criterion defined TS 36.304 within Kcarrier\*Tevaluate,E-UTRAN\_Inter\_EC, when Treselection = 0 provided that the reselection criteria is met by a margin of at least 6 dB for reselections based on ranking.

If Treselection timer has a non zero value and the inter-frequency cell is better ranked than the serving cell, the UE shall evaluate this inter-frequency cell for the Treselection time. If this cell remains better ranked within this duration, then the UE shall reselect that cell.

For UE not configured with eDRX\_IDLE cycle, Tdetect,EUTRAN\_Inter\_EC, Tmeasure,EUTRAN\_Inter\_EC and Tevaluate, E-UTRAN\_inter\_EC are specified in Table 4.7.2.2.3-1. For UE configured with eDRX\_IDLE cycle, Tdetect,EUTRAN\_Inter\_EC, Tmeasure,EUTRAN\_Inter\_EC and Tevaluate, E-UTRAN\_inter\_EC are specified in Table 4.7.2.2.3-3. Additionally, the requirements in Table 4.7.2.2.3-3 apply provided that the serving cell is configured with eDRX\_IDLE and is the same in all PTWs during any of Tdetect,EUTRAN\_Inter\_EC, Tmeasure,EUTRAN\_Inter\_EC and Tevaluate, E-UTRAN\_inter\_EC when multiple PTWs are used.

Table 4.7.2.2.3-1: Tdetect,EUTRAN\_Inter\_EC, Tmeasure,EUTRAN\_Inter\_EC and Tevaluate,E-UTRAN\_Inter\_EC

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| SCH Ês/Iot of neighboring cell: Q2 [dB] | DRX cycle length [s] | Tdetect,EUTRAN\_Inter\_EC [s] (number of DRX cycles)  | Tmeasure,EUTRAN\_Inter\_EC [s] (number of DRX cycles) | Tevaluate,E-UTRAN\_inter\_EC[s] (number of DRX cycles) |
| **-15≤ Q2 < -6** | 0.32 | 330.24 (1032) | 1.28 (4) | 10.24 (32) |
| 0.64 | 330.24 (516) | 1.28 (2) | 10.24 (16) |
| 1.28 | 524.8 (410) | 1.28 (1) | 12.8 (10) |
| 2.56 | 1039.36 (406) | 2.56 (1) | 15.36 (6) |
| **Q2≥-6** | 0.32 | 16.64 (52) | 1.28 (4) | 10.24 (32) |
| 0.64 | 23.04 (36) | 1.28 (2) | 10.24 (16) |
| 1.28 | 38.4 (30) | 1.28 (1) | 12.8 (10) |
| 2.56 | 66.56 (26) | 2.56 (1) | 15.36 (6) |

Table 4.7.2.2.3-2: Void

Table 4.7.2.2.3-3: Tdetect,EUTRAN\_Inter\_EC, Tmeasure,EUTRAN\_Inter\_EC and Tevaluate, E-UTRAN\_inter\_EC for UE configured with eDRX\_IDLE cycle

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| eDRX\_IDLE cycle length [s] | DRX cycle length [s] | PTW length [s] (number of 1.28s periods) | Tdetect,EUTRAN\_Inter\_EC [s] (number of DRX cycles) for neighboring cell with SCH Es/IoT: -15≤ Q2 < -6 [dB] | Tdetect,EUTRAN\_Inter\_EC [s] (number of DRX cycles) for neighboring cell with SCH Es/IoT:Q2≥-6 [dB] | Tmeasure,EUTRAN\_Inter\_EC [s] (number of DRX cycles) | Tevaluate,E-UTRAN\_inter\_EC[s] (number of DRX cycles) |
| 5.12 ≤ eDRX\_IDLE cycle length ≤ 2621.44 | 0.32 | ≥1.28 (1) | Note 3 (406) | Note 3 (26) | 0.32 (1) | Note 3 (6) |
| 0.64 | ≥1.28 (1) | 0.64 (1) | Note 3 (6) |
| 1.28 | ≥1.28 (1) | 1.28 (1) | Note 3 (6) |
| 2.56 | ≥2.56 (2) | 2.56 (1) | Note 3 (6) |
|  | NOTE 1: The number of DRX cycles in this table is given for the DRX cycles within PTWs.NOTE 2: The eDRX\_IDLE cycle lengths are as specified in Section 10.5.5.32 of TS 24.008 [34].NOTE 3: The detection period and the evaluation period depend on the number *N* of DRX cycles and are calculated according to the formula below:. |

For higher priority cells, a UE may optionally use a shorter value forTmeasure,EUTRAN\_Inter\_EC,which shall not be less than Max(0.64 s, one DRX cycle).

For any requirement in this section, when the UE transitions between any two states when being configured with eDRX\_IDLE, being configured with eDRX\_IDLE cycle, changing eDRX\_IDLE cycle length, or changing PTW configuration, the UE shall meet the transition requirement, which is the less stringent requirement of the two requirements corresponding to the first state and the second state, during the transition time interval which is the time corresponding to the transition requirement. After the transition time interval, the UE has to meet the requirement corresponding to the second state.

##### 4.7.2.2.4 Maximum allowed layers for multiple monitoring for UE category M1 in enhanced coverage

The UE category M1 in enhanced coverage shall be capable of monitoring at least:

- Depending on UE capability, 2 FDD E-UTRA inter-frequency carriers, and

- Depending on UE capability, 2 TDD E-UTRA inter-frequency carriers.

In addition to the requirements defined above, the UE shall be capable of monitoring a total of at least 5 carrier frequency layers, which include one serving carrier frequency and any of the above defined combination of E-UTRA FDD inter-frequency and E-UTRA TDD inter-frequency layers.

##### 4.7.2.2.5 Maximum interruption in paging reception for Category M1 UEs in enhanced coverage

UE shall perform the cell re-selection with minimum interruption in monitoring downlink channels for paging reception. When the UE is configured with eDRX\_IDLE cycle, the UE shall not miss any paging in a PTW provided the paging is sent in at least [2] DRX cycles before the end of that PTW.

At intra-frequency cell re-selection, the UE shall monitor the downlink of serving cell for paging reception until the UE is capable to start monitoring downlink channels of the target intra-frequency cell for paging reception. The interruption time shall not exceed TSI-EUTRA-M1-EC + 50 ms.

TSI-EUTRA-M1-EC is the time required for receiving all the relevant system information data, which include MIB and relavant SIB, according to the reception procedure and the RRC procedure delay of system information blocks defined in TS 36.331 [2] for an E-UTRAN cell.

These requirements assume extended coverage radio conditions and do not take into account cell re-selection failure.

For any requirement in this section, when the UE transitions between any two states when being configured with eDRX\_IDLE, being configured with eDRX\_IDLE cycle, changing eDRX\_IDLE cycle length, or changing PTW configuration, the UE shall meet the transition requirement, which is the less stringent requirement of the two requirements corresponding to the first state and the second state, during the transition time interval which is the time corresponding to the transition requirement. After the transition time interval, the UE has to meet the requirement corresponding to the second state.

<End of Change 2>