**3GPP TSG-RAN WG4 Meeting #100-eR4-2112049**

**Electronic meeting, August 16-27, 2021**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **38.101-1** | **CR** | **0886** | **rev** | **1** | **Current version:** | **16.8.0** |  |
|  |
| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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| --- |
|  |
| ***Title:***  | Addition of 3.45-3.55 GHz in US Band n77 |
|  |  |
| ***Source to WG:*** | MediaTek Inc. |
| ***Source to TSG:*** | R4 |
|  |  |
| ***Work item code:*** | TEI16 |  | ***Date:*** | 2021-08-16 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
|  |  |
| Reason for change: | As indicated in FCC 21-32A1, FCC will start an auction to grant new initial licenses subject to flexible use in the 3450-3550 MHz (3.45 GHz, DoD band) band by December 31, 2021. The range 3450-3550 MHz is covered by band n77. The new 3.45 GHz spectrum in US would lead to economies of scale, lower costs for deployment, and more rapid roll-out of new services. To enable the new frequency range within band n77 is beneficial for cellular ecosystem.　  To ensure the network can properly deal with legacy n77 UEs that do not support 3.45-3.55 GHz operation in US, UE’s information to indicate 3450-3550MHz can handle the issues in initial access and handover between serving cell and target cell. |
|  |  |
| ***Summary of change:*** | FCC will add new frequency range 3450 - 3550MHz to US band n77 . The US band n77 will be restricted to 3450 - 3550 MHz and 3700 – 3980 MHz.Based on US band n77 regulation, it is not allowed to access frequency outside of 3450 - 3550 MHz and 3700 – 3980 MHz. With the usage of UE’s information to indicate 3450-3550MHz, the network can properly deal with devices.  |
|  |  |
| ***Consequences if not approved:*** | The new range 3450-3550 MHz cannot be applied to band n77 for US operation. |
|  |  |
| ***Clauses affected:*** | 5.2, 6.2.3 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **x** |  Other core specifications  |  |
| ***affected:*** |  | **x** |  Test specifications |  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications |  |
|  |  |
| ***Other comments:*** | A detailed explanation related to this CR is submitted in R4-2112048. |
|  |  |
| ***This CR's revision history:*** | Rev1 Aligns Note 12 with the decision from RAN4, and in 6.2.3 adds NS signalling for n77 to bar UEs that don’t support the new signalling value from camping on to a carrier In 3450-3550 MHz in the USA.  |

**<<< Start of Changes >>>**

## 5.2 Operating bands

NR is designed to operate in the FR1 operating bands defined in Table 5.2-1.

Table 5.2-1: NR operating bands in FR1

|  |  |  |  |
| --- | --- | --- | --- |
| NR operating band | Uplink (UL) *operating band*BS receive / UE transmitFUL\_low  – FUL\_high | Downlink (DL) *operating band*BS transmit / UE receiveFDL\_low – FDL\_high | Duplex Mode |
| n1 | 1920 MHz – 1980 MHz | 2110 MHz – 2170 MHz | FDD |
| n2 | 1850 MHz – 1910 MHz | 1930 MHz – 1990 MHz | FDD |
| n3 | 1710 MHz – 1785 MHz | 1805 MHz – 1880 MHz | FDD |
| n5 | 824 MHz – 849 MHz | 869 MHz – 894 MHz | FDD |
| n7 | 2500 MHz – 2570 MHz | 2620 MHz – 2690 MHz | FDD |
| n8 | 880 MHz – 915 MHz | 925 MHz – 960 MHz | FDD |
| n12 | 699 MHz – 716 MHz | 729 MHz – 746 MHz | FDD |
| n14 | 788 MHz – 798 MHz | 758 MHz – 768 MHz | FDD |
| n18 | 815 MHz – 830 MHz | 860 MHz – 875 MHz | FDD |
| n20 | 832 MHz – 862 MHz | 791 MHz – 821 MHz | FDD |
| n25 | 1850 MHz – 1915 MHz | 1930 MHz – 1995 MHz | FDD |
| n26 | 814 MHz – 849 MHz | 859 MHz – 894 MHz | FDD |
| n28 | 703 MHz – 748 MHz | 758 MHz – 803 MHz | FDD |
| n29 | N/A | 717 MHz – 728 MHz | SDL |
| n303 | 2305 MHz – 2315 MHz | 2350 MHz – 2360 MHz | FDD |
| n34 | 2010 MHz – 2025 MHz | 2010 MHz – 2025 MHz | TDD |
| n3810 | 2570 MHz – 2620 MHz | 2570 MHz – 2620 MHz | TDD |
| n39 | 1880 MHz – 1920 MHz | 1880 MHz – 1920 MHz | TDD |
| n40 | 2300 MHz – 2400 MHz | 2300 MHz – 2400 MHz | TDD |
| n41 | 2496 MHz – 2690 MHz | 2496 MHz – 2690 MHz | TDD |
| n46 | 5150 MHz – 5925 MHz | 5150 MHz – 5925 MHz | TDD13 |
| n4711 | 5855 MHz – 5925 MHz | 5855 MHz – 5925 MHz | TDD |
| n48 | 3550 MHz – 3700 MHz | 3550 MHz – 3700 MHz | TDD |
| n50 | 1432 MHz – 1517 MHz | 1432 MHz – 1517 MHz | TDD1 |
| n51 | 1427 MHz – 1432 MHz | 1427 MHz – 1432 MHz | TDD |
| n53 | 2483.5 MHz – 2495 MHz | 2483.5 MHz – 2495 MHz | TDD |
| n65 | 1920 MHz – 2010 MHz | 2110 MHz – 2200 MHz | FDD4 |
| n66 | 1710 MHz – 1780 MHz | 2110 MHz – 2200 MHz | FDD |
| n70 | 1695 MHz – 1710 MHz | 1995 MHz – 2020 MHz | FDD |
| n71 | 663 MHz – 698 MHz | 617 MHz – 652 MHz | FDD |
| n74 | 1427 MHz – 1470 MHz | 1475 MHz – 1518 MHz | FDD |
| n75 | N/A | 1432 MHz – 1517 MHz | SDL |
| n76 | N/A | 1427 MHz – 1432 MHz | SDL |
| n7712 | 3300 MHz – 4200 MHz | 3300 MHz – 4200 MHz | TDD |
| n78 | 3300 MHz – 3800 MHz | 3300 MHz – 3800 MHz | TDD |
| n79 | 4400 MHz – 5000 MHz | 4400 MHz – 5000 MHz | TDD |
| n80 | 1710 MHz – 1785 MHz | N/A | SUL  |
| n81 | 880 MHz – 915 MHz | N/A | SUL  |
| n82 | 832 MHz – 862 MHz | N/A | SUL  |
| n83 | 703 MHz – 748 MHz | N/A | SUL |
| n84 | 1920 MHz – 1980 MHz | N/A | SUL |
| n86 | 1710 MHz – 1780 MHz | N/A | SUL |
| n89 | 824 MHz – 849 MHz | N/A | SUL |
| n90 | 2496 MHz – 2690 MHz | 2496 MHz – 2690 MHz | TDD5 |
| n91 | 832 MHz – 862 MHz | 1427 MHz – 1432 MHz | FDD9 |
| n92 | 832 MHz – 862 MHz | 1432 MHz – 1517 MHz | FDD9 |
| n93 | 880 MHz – 915 MHz | 1427 MHz – 1432 MHz | FDD9 |
| n94 | 880 MHz – 915 MHz | 1432 MHz – 1517 MHz | FDD9 |
| n958 | 2010 MHz – 2025 MHz | N/A | SUL |
| n9614 | 5925 MHz – 7125 MHz | 5925 MHz – 7125 MHz | TDD13 |
| NOTE 1: UE that complies with the NR Band n50 minimum requirements in this specification shall also comply with the NR Band n51 minimum requirements.NOTE 2: UE that complies with the NR Band n75 minimum requirements in this specification shall also comply with the NR Band n76 minimum requirements.NOTE 3: Uplink transmission is not allowed at this band for UE with external vehicle-mounted antennas.NOTE 4: A UE that complies with the NR Band n65 minimum requirements in this specification shall also comply with the NR Band n1 minimum requirements.NOTE 5: Unless otherwise stated, the applicability of requirements for Band n90 is in accordance with that for Band n41; a UE supporting Band n90 shall meet the requirements for Band n41. A UE supporting Band n90 shall also support band n41.NOTE 6: A UE that supports NR Band n66 shall receive in the entire DL operating band.NOTE 7: A UE that supports NR Band n66 and CA operation in any CA band shall also comply with the minimum requirements specified for the DL CA configurations CA\_n66B and CA\_n66(2A) in the current version of the specification.NOTE 8: This band is applicable in China only.NOTE 9: Variable duplex operation does not enable dynamic variable duplex configuration by the network, and is used such that DL and UL frequency ranges are supported independently in any valid frequency range for the band. NOTE 10: When this band is used for V2X SL service, the band is exclusively used for NR V2X in particular regions.NOTE 11: This band is unlicensed band used for V2X service. There is no expected network deployment in this band.NOTE 12: In the USA this band is restricted to 3450 – 3550 MHz and 3700 – 3980 MHz.NOTE 13: This band is restricted to operation with shared spectrum channel access as defined in 37.213.NOTE 14: This band is applicable in the USA only subject to FCC Report and Order FCC 20-51 |

**<<< End of Changes >>>**

6.2.3 UE additional maximum output power reduction

6.2.3.1 General

Additional emission requirements can be signalled by the network. Each additional emission requirement is associated with a unique network signalling (NS) value indicated in RRC signalling by an NR frequency band number of the applicable operating band and an associated value in the field *additionalSpectrumEmission.* Throughout this specification, the notion of indication or signalling of an NS value refers to the corresponding indication of an NR frequency band number of the applicable operating band, the IE field *freqBandIndicatorNR* and an associated value of *additionalSpectrumEmission* in the relevant RRC information elements [7]*.*

To meet the additional requirements, additional maximum power reduction (A-MPR) is allowed for the maximum output power as specified in Table 6.2.1-1. Unless stated otherwise, the total reduction to UE maximum output power is max(MPR, A-MPR) where MPR is defined in clause 6.2.2. Outer and inner allocation notation used in clause 6.2.3 is defined in clause 6.2.2 In absence of modulation and waveform types the A-MPR applies to all modulation and waveform types.

Table 6.2.3.1-1 specifies the additional requirements with their associated network signalling values and the allowed A-MPR and applicable operating band(s) for each NS value. In case of a power class 3 UE, when IE *powerBoostPi2BPSK* is set to 1, power class 2 A-MPR values apply. The mapping of NR frequency band numbers and values of the *additionalSpectrumEmission* to network signalling labels is specified in Table 6.2.3.1-1A.

For almost contiguous allocations in CP-OFDM waveforms in power class 3, the allowed A-MPR defined in clause 6.2.3 is increased by CEIL{ 10 log10(1 + NRB\_gap / NRB\_alloc), 0.5 } dB, where NRB\_gap is the total number of unallocated RBs between allocated RBs and NRB\_alloc is the total number of allocated RBs, and the parameter LCRB is replaced by NRB\_alloc + NRB\_gap in specifying the RB allocation regions.

Unless otherwise specified, pi/2 BPSK in following A-MPR tables refers to both variants of pi/2 BPSK referenced in 6.2.2 tables 6.2.2-1.

**Table 6.2.3.1-1: Additional maximum power reduction (A-MPR)**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Network signalling label** | **Requirements (clause)** | **NR Band** | **Channel bandwidth (MHz)** | **Resources blocks (*N*RB)** | **A-MPR (dB)** |
| NS\_01 |  | Table 5.2-1 | 5, 10, 15, 20, 25, 30, 40, 50, 60, 70, 80, 90, 100 | Table 5.3.2-1 | N/A |
| NS\_03 | 6.5.2.3.3 | n2, n25, n66,n70, n86 |  |  | Clause 6.2.3.7 |
| NS\_03U | 6.5.2.3.3, 6.5.2.4.2 | n2, n25, n66, n86 |  |  | Clause 6.2.3.7 |
| NS\_04 | 6.5.2.3.2, 6.5.3.3.1 | n41 | 10, 15, 20, 30, 40, 50, 60, 70, 80, 90, 100 |  | Clause 6.2.3.2 |
| NS\_05 | 6.5.3.3.4 | n1, n65, n84 | 5, 10, 15, 20(NOTE 2) |  | Clause 6.2.3.4 |
| NS\_05U | 6.5.3.3.4, 6.5.2.4.2 | n1, n65, n84 | 5, 10, 15, 20 |  | Clause 6.2.3.4 |
| NS\_06 | 6.5.2.3.4 | n12, n85 | 5, 10, 15 |  | N/A |
|  |  | n14 | 5,10 |  |  |
| NS\_07 | 6.5.3.3.26 | n13 | 5,10 | Table 6.2.3.29-1 | Table6.2.3.29-2 |
| NS\_10 |  | n20 | 15, 20 | Table 6.2.3.3-1 | Table6.2.3.3-1 |
| NS\_12 | 6.5.3.3.17 | n26 | 5,10 | Table 6.2.3.21-1 | Table 6.2.3.21-2 |
| NS\_13 | 6.5.3.3.18 | n26 | 5 | Table 6.2.3.22-1 | Table 6.2.3.22-2 |
| NS\_14 | 6.5.3.3.19 | n26 | 10,15,20 | Table 6.2.3.23-1 | Table 6.2.3.23-2 |
| NS\_15 | 6.5.3.3.20 | n26 | 5,10,15,20 | Table 6.2.3.24-1 | Table 6.2.3.24-2 |
| NS\_17 | 6.5.3.3.2 | n28, n83 | 5,10 | Table 5.3.2-1 | N/A |
| NS\_18 | 6.5.3.3.3 | n28, n83 | 5 |  | Table 6.2.3.13-1, A1 |
|  |  |  | 10, 15, 20 |  | Table 6.2.3.13-1, A2 |
|  |  |  | 30 |  | Table 6.2.3.13-1, A3, A4, A5 |
| NS\_21 | 6.5.3.3.12 | n30 | 5, 10 |  | Clause 6.2.3.14 |
| NS\_24 | 6.5.3.3.13 | n65 (NOTE 4) | 5, 10, 15, 20 | Table 6.2.3.15-1 | Clause 6.2.3.15 |
| NS\_27 | 6.5.2.3.86.5.3.3.14 | n48 | 5, 10, 15, 20, 30, 40 | Table 6.2.3.16-1 | Table 6.2.3.16-2 |
| NS\_35 | 6.5.2.3.1 | n71 | 5, 10, 15, 20 | Table 5.3.2-1 | N/A |
| NS\_37 | 6.5.3.3.6 | n74(NOTE 3) | 10, 15 | Table 6.2.3.8-1 | Table6.2.3.8-1 |
| NS\_38 | 6.5.3.3.7 | n74 | 5, 10, 15, 20 | Table 6.2.3.9-1 | Table6.2.3.9-1 |
| NS\_39 | 6.5.3.3.8 | n74 | 10, 15, 20 | Table 6.2.3.10-1 | Table 6.2.3.10-1 |
| NS\_40 | 6.5.3.3.9 | n51 | 5 |  | Table6.2.3.5-1 |
| NS\_41 | 6.5.3.3.10 | n50 | 5, 10, 15, 20, 30, 40, 50, 60 |  | Table 6.2.3.11-1 |
| NS\_42 | 6.5.3.3.11 | n50 | 5, 10, 15, 20, 30, 40, 50, 60 |  | Table 6.2.3.12-1 |
| NS\_43 | 6.5.3.3.5 | n8, n81 | 5, 10, 15 |  | Clause 6.2.3.6 |
| NS\_43U | 6.5.3.3.5, 6.5.2.4.2 | n8, n81 | 5, 10, 15 |  | Clause 6.2.3.6 |
| NS\_44 | 6.5.3.3.24 | n38 | 25, 30, 40 | Table 6.2.3.20-1 | Table 6.2.3.20-1 |
| NS\_45 | 6.5.3.3.21 | n53 | 5, 10 |  | Clause 6.2.3.25 |
| NS\_46 | 6.5.3.3.25 | n7 | 25, 30, 40, 50 | Table 6.2.3.17-1 | Table 6.2.3.17-2 |
| NS\_47 | 6.5.3.3.15 | n41 (Note 5) | 30 | Table 6.2.3.18-1 | Table 6.2.3.18-2 |
| NS\_48 | 6.5.3.3.22 | n1 and n84 | 25, 30, 40, 50 | Table 6.2.3.26-1 | Table 6.2.3.26-1 |
| NS\_49 | 6.5.3.3.23 | n1 and n84 | 25, 30, 40, 50 | Table 6.2.3.27-1 | Table 6.2.3.27-1 |
| NS\_50 | 6.5.3.3.16 | n39, n98 | 25, 30, 40 |  | Clause 6.2.3.19 |
| NS\_51 | 6.5.3.3.22 | n65 | 50 | Table 6.2.3.28-1 | Table 6.2.3.28-2 |
| NS\_56 | 6.5.3.3.27 | n24, n99 | 5, 10 |  | Clause 6.2.3.30 |
| NS\_xy | 5.2 (Table 5.2-1 Note 12) | n77 |  |  | N/A |
| NS\_100 | 6.5.2.4.2 | n1, n2, n3, n5, n8, n18, n25, n26, n65, n66, n80, n81, n84, n86, n89(NOTE 1) |  |  | Table6.2.3.1-2 |
| NOTE 1: This NS can be signalled for NR bands that have UTRA services deployedNOTE 2: No A-MPR is applied for 5 MHz BWChannel where the lower channel edge is ≥ 1930 MHz,10 MHz BWChannel where the lower channel edge is ≥ 1950 MHz and 15 MHz BWChannel where the lower channel edge is ≥ 1955 MHz.NOTE 3: Applicable when the NR carrier is within 1447.9 – 1462.9 MHzNOTE 4: Applicable when the upper edge of the channel bandwidth frequency is greater than 1980 MHNOTE 5: Applicable when the NR carrier is within 2545 – 2575 MHz |

[The NS\_01 label with the field *additionalPmax* [7] absent is default for all NR bands.]

**Table 6.2.3.1-1A: Mapping of network signalling label**

|  |  |
| --- | --- |
| **NR band** | **Value of additionalSpectrumEmission** |
|  | **0** | **1** | **2** | **3** | **4** | **5** | **6** | **7** |
| n1 | NS\_01 | NS\_100 | NS\_05 | NS\_05U | NS\_48 | NS\_49 |  |  |
| n2 | NS\_01 | NS\_100 | NS\_03 | NS\_03U |  |  |  |  |
| n3 | NS\_01 | NS\_100 |  |  |  |  |  |  |
| n5 | NS\_01 | NS\_100 |  |  |  |  |  |  |
| n7 | NS\_01 | NS\_46 |  |  |  |  |  |  |
| n8 | NS\_01 | NS\_100 | NS\_43 | NS\_43U |  |  |  |  |
| n12 | NS\_01 | NS\_06 |  |  |  |  |  |  |
| n13 | NS\_01 | NS\_06 | NS\_07 |  |  |  |  |  |
| n14 | NS\_01 | NS\_06 |  |  |  |  |  |  |
| n18 | NS\_01 | NS\_100 |  |  |  |  |  |  |
| n20 | NS\_01 | Void | NS\_10 |  |  |  |  |  |
| n24 | NS\_01 | NS\_56 |  |  |  |  |  |  |
| n25 | NS\_01 | NS\_100 | NS\_03 | NS\_03U |  |  |  |  |
| n26 | NS\_01 | NS\_100 | NS\_12 | NS\_13 | NS\_14 | NS\_15 |  |  |
| n28 | NS\_01 | NS\_17 | NS\_18 |  |  |  |  |  |
| n30 | NS\_01 | NS\_21 |  |  |  |  |  |  |
| n34 | NS\_01 |  |  |  |  |  |  |  |
| n38 | NS\_01 | NS\_44 |  |  |  |  |  |  |
| n39 | NS\_01 | NS\_50 |  |  |  |  |  |  |
| n40 | NS\_01 |  |  |  |  |  |  |  |
| n41 | NS\_01 | NS\_04 | NS\_47 |  |  |  |  |  |
| n48 | NS\_01 | NS\_27 |  |  |  |  |  |  |
| n50 | NS\_01 | NS\_41 | NS\_42 |  |  |  |  |  |
| n51 | NS\_01 | NS\_40 |  |  |  |  |  |  |
| n53 | NS\_01 | NS\_45 |  |  |  |  |  |  |
| n65 | NS\_01 | NS\_24 | NS\_100 | NS\_05 | NS\_05U | NS\_51 |  |  |
| n66 | NS\_01 | NS\_100 | NS\_03 | NS\_03U |  |  |  |  |
| n70 | NS\_01 | NS\_03 |  |  |  |  |  |  |
| n71 | NS\_01 | NS\_35 |  |  |  |  |  |  |
| n74 | NS\_01 | NS\_37 | NS\_38 | NS\_39 |  |  |  |  |
| n77 | NS\_01 | NS\_xy |  |  |  |  |  |  |
| n78 | NS\_01 |  |  |  |  |  |  |  |
| n79 | NS\_01 |  |  |  |  |  |  |  |
| n80 | NS\_01 | NS\_100 |  |  |  |  |  |  |
| n81 | NS\_01 | NS\_100 | NS\_43 | NS\_43U |  |  |  |  |
| n82 | NS\_01 | Void |  |  |  |  |  |  |
| n83 | NS\_01 | NS\_17 | NS\_18 |  |  |  |  |  |
| n84 | NS\_01 | NS\_100 | NS\_05 | NS\_05U |  |  |  |  |
| n85 | NS\_01 | NS\_06 |  |  |  |  |  |  |
| n86 | NS\_01 | NS\_100 | NS\_03 | NS\_03U |  |  |  |  |
| n89 | NS\_01 | NS\_100 |  |  |  |  |  |  |
| n91 | NS\_01 |  |  |  |  |  |  |  |
| n92 | NS\_01 |  |  |  |  |  |  |  |
| n93 | NS\_01 |  |  |  |  |  |  |  |
| n94 | NS\_01 |  |  |  |  |  |  |  |
| n95 | NS\_01 |  |  |  |  |  |  |  |
| n97 | NS\_01 |  |  |  |  |  |  |  |
| n98 | NS\_01 | NS\_50 |  |  |  |  |  |  |
| n99 | NS\_01 | NS\_56 |  |  |  |  |  |  |
| NOTE: *additionalSpectrumEmission* corresponds to an information element of the same name defined in clause 6.3.2 of TS 38.331 [7]. |

**Table 6.2.3.1-2: A-MPR for NS\_100 (UTRA protection)**

|  |  |
| --- | --- |
| **Modulation/Waveform** | **Outer (dB)** |
| DFT-s-OFDM | Pi/2 BPSK | ≤ 2 |
|  | QPSK | ≤ 2 |
|  | 16 QAM | ≤ 2.5 |
|  | 64 QAM | ≤ 3 |
|  | 256 QAM | ≤ 4.5 |
| CP-OFDM | QPSK | ≤ 4 |
|  | 16 QAM | ≤ 4 |
|  | 64 QAM | ≤ 4 |
|  | 256 QAM | ≤ 6.5 |
| NOTE 1: VoidNOTE 2: Void |

**<<< End of Changes >>>**