**3GPP TSG-RAN WG3 #111-e R3-211361**

**25 Jan ~ 05 Feb, 2021, E-Meeting**

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| --- |
| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **37.473** | **CR** | **0006** | **rev** | **1** | **Current version:** | **16.3.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **x** | Core Network |  |

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|  |
| ***Title:***  | CR to 37.473 on miscellaneous corrections  |
|  |  |
| ***Source to WG:*** | Huawei, China Unicomm, Orange, TIM |
| ***Source to TSG:*** | R3 |
|  |  |
| ***Work item code:*** | LTE\_NR\_arch\_evo-Core  |  | ***Date:*** | 2021-01-25 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
|  |  |
| ***Reason for change:*** | There still remain some errors in the spec, which should be corrected, such as:1. The IE type and reference of the IE *SIB type* in 9.3.1.33 is INTEGER (4,... 7, 9…15,,..32, ...), while the ASN.1 is INTEGER (2..32,...), they are not aligned.
2. The IE *Associated SCell List* is an extra IE which is actually not used.
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|  |  |
| ***Summary of change:*** | * To correct the type and reference of the IE *SIB type* in 9.3.1.33
* To remove the IE *Associated SCell List* in 9.2.2.8 and 9.3.1.56

Impact Analysis:Impact assessment towards the previous version of the specification (same release): This CR has isolated functional impact with the previous version of the specification (same release).The impact can be considered isolated because the change only affects the inactivity monitoing function. |
|  |  |
| ***Consequences if not approved:*** | There are still some erros in the spec. |
|  |  |
| ***Clauses affected:*** | 9.2.2.8, 9.3.1.33, 9.3.1.56 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **x** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **x** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

<<<<<<<<<<<<<<<<<<<< Changes Begin >>>>>>>>>>>>>>>>>>>>

#### 9.2.2.8 UE CONTEXT MODIFICATION RESPONSE

This message is sent by the ng-eNB-DU to confirm the modification of a UE context.

Direction: ng-eNB-DU → ng-eNB-CU.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| --- | --- | --- | --- | --- | --- | --- |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| ng-eNB-CU UE W1AP ID | M |  | 9.3.1.4 |  | YES | reject |
| ng-eNB-DU UE W1AP ID | M |  | 9.3.1.5 |  | YES | reject |
| Resource Coordination Transfer Container | O |  | OCTET STRING | Includes the *MR-DC Resource Coordination Information* IE as defined in TS 38.423 [7] for NGEN-DC and NE-DC cases. | YES | ignore |
| DU To CU RRC Information | O |  | 9.3.1.58 |  | YES | reject |
| **DRB Setup List** |  | *0..1* |  | The List of DRBs which are successfully established. | YES | ignore |
| **>DRB Setup Item IEs** |  | *1 .. <maxnoofDRBs>* |  |  | EACH | ignore |
| >>DRB ID | M |  | 9.3.1.8 |  | - |  |
| >>DL UP TNL Information | M |  | UP Transport Layer Information9.3.2.1 | ng-eNB-DU endpoint of the W1 transport bearer. For delivery of DL PDUs. | - |  |
| **DRB Modified List** |  | *0..1* |  | The List of DRBs which are successfully modified. | YES | ignore |
| **>DRB Modified Item IEs** |  | *1 .. <maxnoofDRBs>* |  |  | EACH | ignore |
| >>DRB ID | M |  | 9.3.1.8 |  | - |  |
| >>DL UP TNL Information | M |  | UP Transport Layer Information9.3.2.1 | ng-eNB-DU endpoint of the W1 transport bearer. For delivery of DL PDUs. | - |  |
| >>RLC Status | O |  | 9.3.1.49 | Indicates the RLC has been re-established at the ng-eNB-DU. | YES | ignore |
| **SRB Failed to be Setup List** |  | *0..1* |  | The List of SRBs which are failed to be established. | YES | ignore |
| **>SRB Failed to be Setup Item IEs** |  | *1 .. <maxnoofSRBs>* |  |  | EACH | ignore |
| >>SRB ID | M |  | 9.3.1.7 |  | - |  |
| >>Cause | O |  | 9.3.1.2 |  | - |  |
| **DRB Failed to be Setup List** |  | *0..1* |  | The List of DRBs which are failed to be setup. | YES | ignore |
| **>DRB Failed to be Setup Item IEs** |  | *1 .. <maxnoofDRBs>* |  |  | EACH | ignore |
| >>DRB ID | M |  | 9.3.1.8 |  | - |  |
| >>Cause | O |  | 9.3.1.2 |  | - |  |
| **SCell Failed To Setup List** |  | *0..1* |  |  | YES | ignore |
| **>SCell Failed to Setup Item** |  | *1 .. <maxnoofSCells>* |  |  | EACH | ignore |
| >>SCell ID | M |  | E-UTRAN CGI9.3.1.12 | SCell Identifier in ng-eNB | - |  |
| >>Cause | O |  | 9.3.1.2 |  | - |  |
| **DRB Failed to be Modified List** |  | 0..1 |  | The List of DRBs which are failed to be modified. | YES | ignore |
| **>DRB Failed to be Modified Item IEs** |  | *1 .. <maxnoofDRBs>* |  |  | EACH | ignore |
| >>DRB ID | M |  | 9.3.1.8 |  | - |  |
| >>Cause | O |  | 9.3.1.2 |  | - |  |
| Inactivity Monitoring Response | O |  | ENUMERATED (Not-supported, ...) |  | YES | reject |
| C-RNTI | O |  | 9.3.1.29 | C-RNTI allocated at the ng-eNB-DU | YES | ignore |
|  |  |  |  |  |  |  |
| **SRB Setup List** |  | *0..1* |  |  | YES | ignore |
| **>SRB Setup Item** |  | *1 .. <maxnoofSRBs>* |  |  | EACH | ignore |
| >>SRB ID | M |  | 9.3.1.7 |  | - |  |
| **SRB Modified List** |  | *0..1* |  |  | YES | ignore |
| **>SRB Modified Item** |  | *1 .. <maxnoofSRBs>* |  |  | EACH | ignore |
| >>SRB ID | M |  | 9.3.1.7 |  | - |  |

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#### 9.3.1.33 ng-eNB-CU System Information

This IE contains the system information encoded by the ng-eNB-CU.

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| --- | --- | --- | --- | --- |
| **IE/Group Name** | **Presence** | **Range** | **IE type and reference** | **Semantics description** |
| **SIB type to Be Updated List** |  | *1* |  |  |
| **>SIB type to Be Updated Item IEs** |  | *1... <maxnoofSIBTypes>* |  |  |
| >>SIB type | M |  | INTEGER (2..32, ...) | Indicates a certain SIB block, e.g. 2 means sibType2, 3 for sibType3, etc. Values 2, 3, 8 and 16 are not applicable in this version of the specification. |
| >>SIB message | M |  | OCTET STRING | SIB message containing SIB as defined in TS 36.331 [1]. |
| >>Value Tag | M |  | INTEGER (0..31, ...) |  |

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#### 9.3.1.56 void

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-- IE parameter types from other modules.

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

IMPORTS

 Candidate-SpCell-Item,

 Cause,

 Cells-Failed-to-be-Activated-List-Item,

 Cells-Status-Item,

 Cells-to-be-Activated-List-Item,

 Cells-to-be-Deactivated-List-Item,

 C-RNTI,

 CriticalityDiagnostics,

 CUtoDURRCInformation,

 DRB-Activity-Item,

 DRBID,

 DRBs-FailedToBeModified-Item,

 DRBs-FailedToBeSetup-Item,

 DRBs-FailedToBeSetupMod-Item,

 DRB-Notify-Item,

 DRBs-ModifiedConf-Item,

 DRBs-Modified-Item,

 DRBs-Required-ToBeModified-Item,

 DRBs-Required-ToBeReleased-Item,

 DRBs-Setup-Item,

 DRBs-SetupMod-Item,

 DRBs-ToBeModified-Item,

 DRBs-ToBeReleased-Item,

 DRBs-ToBeSetup-Item,

 DRBs-ToBeSetupMod-Item,

 DRXCycle,

 DUtoCURRCInformation,

 EUTRANQoS,

 NGENB-CU-UE-W1AP-ID,

 NGENB-DU-UE-W1AP-ID,

 NGENB-DU-ID,

 NGENB-DU-Served-Cells-Item,

 NGENB-DU-System-Information,

 InactivityMonitoringRequest,

 InactivityMonitoringResponse,

 NotificationControl,

 EUTRANCGI,

 EUTRANPCI,

 Potential-SpCell-Item,

 RAT-FrequencyPriorityInformation,

 ResourceCoordinationTransferContainer,

 RRCContainer,

 RRCReconfigurationCompleteIndicator,

 SCellIndex,

 SCell-ToBeRemoved-Item,

 SCell-ToBeSetup-Item,

 SCell-ToBeSetupMod-Item,

 SCell-FailedtoSetup-Item,

 SCell-FailedtoSetupMod-Item,

 ServCellIndex,

 Served-Cell-Information,

 Served-Cells-To-Add-Item,

 Served-Cells-To-Delete-Item,

 Served-Cells-To-Modify-Item,

 SRBID,

 SRBs-FailedToBeSetup-Item,

 SRBs-FailedToBeSetupMod-Item,

 SRBs-Required-ToBeReleased-Item,

 SRBs-ToBeReleased-Item,

 SRBs-ToBeSetup-Item,

 SRBs-ToBeSetupMod-Item,

 SRBs-Modified-Item,

 SRBs-Setup-Item,

 SRBs-SetupMod-Item,

 TransactionID,

 TransmissionActionIndicator,

 DUtoCURRCContainer,

 PagingCell-Item,

 UEIdentityIndexValue,

 UE-associatedLogicalW1-ConnectionItem,

 PagingDRX,

 PagingIdentity,

 PWSSystemInformation,

 Broadcast-To-Be-Cancelled-Item,

 Cells-Broadcast-Cancelled-Item,

 E-UTRAN-CGI-List-For-Restart-Item,

 PWS-Failed-E-UTRAN-CGI-Item,

 RepetitionPeriod,

 NumberofBroadcastRequest,

 Cells-To-Be-Broadcast-Item,

 Cells-Broadcast-Completed-Item,

 Cancel-all-Warning-Messages-Indicator,

 NotificationInformation,

 EUTRA-NR-CellResourceCoordinationReq-Container,

 EUTRA-NR-CellResourceCoordinationReqAck-Container,

 RequestType,

 PLMN-Identity,

 BitRate,

 NGENBDUOverloadInformation,

 ResourceCoordinationTransferInformation,

 IgnoreResourceCoordinationRequestContainer

FROM W1AP-IEs

 PrivateIE-Container{},

 ProtocolExtensionContainer{},

 ProtocolIE-Container{},

 ProtocolIE-ContainerPair{},

 ProtocolIE-SingleContainer{},

 W1AP-PRIVATE-IES,

 W1AP-PROTOCOL-EXTENSION,

 W1AP-PROTOCOL-IES,

 W1AP-PROTOCOL-IES-PAIR

FROM W1AP-Containers

 id-Candidate-SpCell-List,

 id-Cause,

 id-Cancel-all-Warning-Messages-Indicator,

 id-NotificationInformation,

 id-Cells-Failed-to-be-Activated-List,

 id-Cells-Status-List,

 id-Cells-to-be-Activated-List,

 id-Cells-to-be-Deactivated-List,

 id-ConfirmedUEID,

 id-C-RNTI,

 id-CUtoDURRCInformation,

 id-CriticalityDiagnostics,

 id-DRB-Activity-List,

 id-DRBs-FailedToBeModified-List,

 id-DRBs-FailedToBeSetup-List,

 id-DRBs-FailedToBeSetupMod-List,

 id-DRBs-ModifiedConf-List,

 id-DRBs-Modified-List,

 id-DRB-Notify-List,

 id-DRBs-Required-ToBeModified-List,

 id-DRBs-Required-ToBeReleased-List,

 id-DRBs-Setup-List,

 id-DRBs-SetupMod-List,

 id-DRBs-ToBeModified-List,

 id-DRBs-ToBeReleased-List,

 id-DRBs-ToBeSetup-List,

 id-DRBs-ToBeSetupMod-List,

 id-DRXCycle,

 id-DUtoCURRCInformation,

 id-ngeNB-CU-UE-W1AP-ID,

 id-ngeNB-DU-UE-W1AP-ID,

 id-ngeNB-DU-ID,

 id-ngeNB-DU-Served-Cells-List,

 id-InactivityMonitoringRequest,

 id-InactivityMonitoringResponse,

 id-oldngeNB-DU-UE-W1AP-ID,

 id-Potential-SpCell-List,

 id-RAT-FrequencyPriorityInformation,

 id-ResetType,

 id-ResourceCoordinationTransferContainer,

 id-RRCContainer,

 id-RRCReconfigurationCompleteIndicator,

 id-SCell-FailedtoSetup-List,

 id-SCell-FailedtoSetupMod-List,

 id-SCell-ToBeRemoved-List,

 id-SCell-ToBeSetup-List,

 id-SCell-ToBeSetupMod-List,

 id-Served-Cells-To-Add-List,

 id-Served-Cells-To-Delete-List,

 id-Served-Cells-To-Modify-List,

 id-ServCellIndex,

 id-SpCell-ID,

 id-SRBID,

 id-SRBs-FailedToBeSetup-List,

 id-SRBs-FailedToBeSetupMod-List,

 id-SRBs-Required-ToBeReleased-List,

 id-SRBs-ToBeReleased-List,

 id-SRBs-ToBeSetup-List,

 id-SRBs-ToBeSetupMod-List,

 id-SRBs-Modified-List,

 id-SRBs-Setup-List,

 id-SRBs-SetupMod-List,

 id-TransactionID,

 id-TransmissionActionIndicator,

 id-UE-associatedLogicalW1-ConnectionListResAck,

 id-DUtoCURRCContainer,

 id-EUTRANCGI,

 id-PagingCell-List,

 id-PagingDRX,

 id-UEIdentityIndexValue,

 id-PagingIdentity,

 id-PWSSystemInformation,

 id-RepetitionPeriod,

 id-NumberofBroadcastRequest,

 id-Cells-To-Be-Broadcast-List,

 id-Cells-Broadcast-Completed-List,

 id-Broadcast-To-Be-Cancelled-List,

 id-Cells-Broadcast-Cancelled-List,

 id-E-UTRAN-CGI-List-For-Restart-List,

 id-PWS-Failed-E-UTRAN-CGI-List,

 id-EUTRA-NR-CellResourceCoordinationReq-Container,

 id-EUTRA-NR-CellResourceCoordinationReqAck-Container,

 id-RequestType,

 id-ServingPLMN,

 id-NGENB-DU-UE-AMBR-UL,

 id-NGENBDUOverloadInformation,

 id-ResourceCoordinationTransferInformation,

 id-IgnoreResourceCoordinationRequestContainer,

 maxCellinngeNBDU,

 maxnoofCandidateSpCells,

 maxnoofDRBs,

 maxnoofErrors,

 maxnoofIndividualW1ConnectionsToReset,

 maxnoofPotentialSpCells,

 maxnoofSCells,

 maxnoofSRBs,

 maxnoofPagingCells,

 maxnoofTNLAssociations,

 maxCellineNB,

 maxnoofUEIDs

<<<<<<<<<<<<<<<<<<<< Next Changes Begin >>>>>>>>>>>>>>>>>>>>

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-- UE CONTEXT MODIFICATION RESPONSE

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

UEContextModificationResponse ::= SEQUENCE {

 protocolIEs ProtocolIE-Container { { UEContextModificationResponseIEs} },

 ...

}

UEContextModificationResponseIEs W1AP-PROTOCOL-IES ::= {

 { ID id-ngeNB-CU-UE-W1AP-ID CRITICALITY reject TYPE NGENB-CU-UE-W1AP-ID PRESENCE mandatory }|

 { ID id-ngeNB-DU-UE-W1AP-ID CRITICALITY reject TYPE NGENB-DU-UE-W1AP-ID PRESENCE mandatory }|

 { ID id-ResourceCoordinationTransferContainer CRITICALITY ignore TYPE ResourceCoordinationTransferContainer PRESENCE optional }|

 { ID id-DUtoCURRCInformation CRITICALITY reject TYPE DUtoCURRCInformation PRESENCE optional}|

 { ID id-DRBs-SetupMod-List CRITICALITY ignore TYPE DRBs-SetupMod-List PRESENCE optional}|

 { ID id-DRBs-Modified-List CRITICALITY ignore TYPE DRBs-Modified-List PRESENCE optional}|

 { ID id-SRBs-FailedToBeSetupMod-List CRITICALITY ignore TYPE SRBs-FailedToBeSetupMod-List PRESENCE optional }|

 { ID id-DRBs-FailedToBeSetupMod-List CRITICALITY ignore TYPE DRBs-FailedToBeSetupMod-List PRESENCE optional }|

 { ID id-SCell-FailedtoSetupMod-List CRITICALITY ignore TYPE SCell-FailedtoSetupMod-List PRESENCE optional }|

 { ID id-DRBs-FailedToBeModified-List CRITICALITY ignore TYPE DRBs-FailedToBeModified-List PRESENCE optional }|

 { ID id-InactivityMonitoringResponse CRITICALITY reject TYPE InactivityMonitoringResponse PRESENCE optional }|

 { ID id-C-RNTI CRITICALITY ignore TYPE C-RNTI PRESENCE optional }|

 { ID id-SRBs-SetupMod-List CRITICALITY ignore TYPE SRBs-SetupMod-List PRESENCE optional }|

 { ID id-SRBs-Modified-List CRITICALITY ignore TYPE SRBs-Modified-List PRESENCE optional },

 ...

}

DRBs-SetupMod-List ::= SEQUENCE (SIZE(1..maxnoofDRBs)) OF DRBs-SetupMod-Item

DRBs-Modified-List::= SEQUENCE (SIZE(1..maxnoofDRBs)) OF DRBs-Modified-Item

SRBs-SetupMod-List ::= SEQUENCE (SIZE(1..maxnoofSRBs)) OF SRBs-SetupMod-Item

SRBs-Modified-List ::= SEQUENCE (SIZE(1..maxnoofSRBs)) OF SRBs-Modified-Item

DRBs-FailedToBeModified-List ::= SEQUENCE (SIZE(1..maxnoofDRBs)) OF DRBs-FailedToBeModified-Item

SRBs-FailedToBeSetupMod-List ::= SEQUENCE (SIZE(1..maxnoofSRBs)) OF SRBs-FailedToBeSetupMod-Item

DRBs-FailedToBeSetupMod-List ::= SEQUENCE (SIZE(1..maxnoofDRBs)) OF DRBs-FailedToBeSetupMod-Item

SCell-FailedtoSetupMod-List ::= SEQUENCE (SIZE(1..maxnoofSCells)) OF SCell-FailedtoSetupMod-Item

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AdditionalSIBMessageList ::= SEQUENCE (SIZE(1..maxnoofAdditionalSIBs)) OF AdditionalSIBMessageList-Item

AdditionalSIBMessageList-Item ::= SEQUENCE {

 additionalSIB OCTET STRING,

 iE-Extensions ProtocolExtensionContainer { { AdditionalSIBMessageList-Item-ExtIEs} } OPTIONAL

}

AdditionalSIBMessageList-Item-ExtIEs W1AP-PROTOCOL-EXTENSION ::= {

 ...

}

AllocationAndRetentionPriority ::= SEQUENCE {

 priorityLevel PriorityLevel,

 pre-emptionCapability Pre-emptionCapability,

 pre-emptionVulnerability Pre-emptionVulnerability,

 iE-Extensions ProtocolExtensionContainer { {AllocationAndRetentionPriority-ExtIEs} } OPTIONAL,

 ...

}

AllocationAndRetentionPriority-ExtIEs W1AP-PROTOCOL-EXTENSION ::= {

 ...

}

AvailablePLMNList ::= SEQUENCE (SIZE(1..maxnoofBPLMNs)) OF AvailablePLMNList-Item

<<<<<<<<<<<<<<<<<<<< Next part, for information against the change >>>>>>>>>>>>>>>>>>>>

SIB1-message ::= OCTET STRING

SIB2-message ::= OCTET STRING

SIB3-message ::= OCTET STRING

SIB8-message ::= OCTET STRING

SIB16-message ::= OCTET STRING

SibtypetobeupdatedListItem ::= SEQUENCE {

 sIBtype INTEGER (2..32,...),

 sIBmessage OCTET STRING,

 valueTag INTEGER (0..31,...),

 iE-Extensions ProtocolExtensionContainer { { SibtypetobeupdatedListItem-ExtIEs } } OPTIONAL,

 ...

}

SibtypetobeupdatedListItem-ExtIEs W1AP-PROTOCOL-EXTENSION ::= {

 ...

}

SliceSupportList ::= SEQUENCE (SIZE(1.. maxnoofSliceItems)) OF SliceSupportItem

SliceSupportItem ::= SEQUENCE {

 sNSSAI SNSSAI,

 iE-Extensions ProtocolExtensionContainer { { SliceSupportItem-ExtIEs } } OPTIONAL,

 ...

}

SliceSupportItem-ExtIEs W1AP-PROTOCOL-EXTENSION ::= {

 ...

}

<<<<<<<<<<<<<<<<<<<< Next Changes Begin >>>>>>>>>>>>>>>>>>>>

id-Cancel-all-Warning-Messages-Indicator ProtocolIE-ID ::= 79

id-NGENB-DU-UE-AMBR-UL ProtocolIE-ID ::= 80

id-ServingPLMN ProtocolIE-ID ::= 81

id-NGENBDUOverloadInformation ProtocolIE-ID ::= 82

id-ResourceCoordinationTransferInformation ProtocolIE-ID ::= 83

id-SRBs-Setup-List ProtocolIE-ID ::= 85

id-SRBs-SetupMod-List ProtocolIE-ID ::= 86

id-SRBs-Modified-List ProtocolIE-ID ::= 87

id-IgnoreResourceCoordinationRequestContainer ProtocolIE-ID ::= 88

id-NotificationInformation ProtocolIE-ID ::= 89

id-AdditionalSIBMessageList ProtocolIE-ID ::= 90

<<<<<<<<<<<<<<<<<<<< Changes End >>>>>>>>>>>>>>>>>>>>