**3GPP TSG-RAN WG3 Meeting #108-eR3-204463**

**Online, June 1st – 11th 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.470** | **CR** | **0026** | **rev** | **15** | **Current version:** | **16.1.0** |  |
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| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | BL CR to 38.470: Support for IAB | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Ericsson, Nokia, Nokia Shanghai Bell | | | | | | | | | |
| ***Source to TSG:*** | R3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_IAB-Core | | | | |  | ***Date:*** | | | 2020-06-23 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12) Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
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| ***Reason for change:*** | | Introduction of support for IAB in TS 38.470. | | | | | | | | |
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| ***Summary of change:*** | | -IAB-specific statements related to RRC message transfer and F1-AP UE context management.  -IAB-specific statements related to F1 interface capabilities.  -IAB-specific statements related to F1 UE context management function. | | | | | | | | |
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| ***Consequences if not approved:*** | | Support for IAB related functions will be missing from the TS 38.470. | | | | | | | | |
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| ***Clauses affected:*** | | 3.3, 4.3, 5.2.3, 5.2.4. | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |

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| ***This CR's revision history:*** | Rev3: baseline kicked off at RAN3#103bis (R3-192161).  Rev4: submission of the BL CR to RAN3#104.  Rev5: submission of the BL CR to RAN3#105.  Rev6: submission of the BL CR to RAN3#105bis.  Rev7: submission of the BL CR to RAN3#106.  Rev8 (post-RAN3#106): captured the agreed TP R3-197658.  Rev9: rebased on the TS 38.470 v16.0.0, removed changes on changes, updated the list of affected clauses, fixed typos.  Rev10 (RAN3#107-e): BL CR revised based on the discussion in CB#35.  Rev11 (post-RAN3#107-e): captured the agreed TP R3-201402.  Rev12 (pre-RAN3#107bis-e): rebased on TS 38.470 v16.1.0.  Rev13 (post-RAN3#107bis-e): captured the agreed TP R3-202757.  Rev14: submission of the BL CR to RAN3#108-e.  Rev15: submission to RAN#88-e. |

-------------------------------------------Change 1-------------------------------------------

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

BH Backhaul

DRB Data Radio Bearers

F1-U F1 User plane interface

F1-C F1 Control plane interface

F1AP F1 Application Protocol

GTP-U GPRS Tunnelling Protocol

IAB Integrated Access and Backhauling

IP Internet Protocol

NR-MIB NR-Master Information Block

O&M Operation and Maintenance

PA Paging Area

PF Paging Frame

PO Paging Occasion

QoS Quality of Service

RIM Remote Interference Management

RLC Radio Link Control

RRC Radio Resource Control

SCTP Stream Control Transmission Protocol

SRB Signalling Radio Bearers

SIB1 System Information Block 1

TNL Transport Network Layer

-------------------------------------------Change 2-------------------------------------------

## 4.3 F1 interface capabilities

The F1 interface supports:

- procedures to establish, maintain and release radio bearers for the NG-RAN part of PDU sessions and for E-UTRAN Radio Access Bearers;

- procedures to establish, maintain and release BH RLC channels;

- the separation of each UE on the protocol level for user specific signalling management;

- the separation of each IAB-MT on the protocol level for IAB-MT-specific signalling management;

- the transfer of RRC signalling messages between the UE and the gNB-CU.

-------------------------------------------Change 3-------------------------------------------

### 5.2.3 F1 UE context management function

The F1 UE context management function supports the establishment and modification of the necessary overall UE context.

The establishment of the F1 UE context is initiated by the gNB-CU and accepted or rejected by the gNB-DU based on admission control criteria (e.g., resource not available).

The modification of the F1 UE context can be initiated by either gNB-CU or gNB-DU. The receiving node can accept or reject the modification. The F1 UE context management function also supports the release of the context previously established in the gNB-DU. The release of the context is triggered by the gNB-CU either directly or following a request received from the gNB-DU. The gNB-CU request the gNB-DU to release the UE Context when the UE enters RRC\_IDLE or RRC\_INACTIVE.

This function can be also used to manage DRBs and SRBs, i.e., establishing, modifying and releasing DRB and SRB resources. The establishment and modification of DRB resources are triggered by the gNB-CU and accepted/rejected by the gNB-DU based on resource reservation information and QoS information to be provided to the gNB-DU. For each DRB to be setup or modified, the S-NSSAI may be provided by gNB-CU to the gNB-DU in the UE Context Setup procedure and the UE Context Modification procedure.

The mapping between QoS flows and radio bearers is performed by gNB-CU and the granularity of bearer related management over F1 is radio bearer level. For NG-RAN, the gNB-CU decides an aggregated DRB QoS profile for each radio bearer based on received QoS flow profile, and provides both aggregated DRB QoS profile and QoS flow profile to the gNB-DU, and the gNB-DU either accepts the request or rejects it with appropriate cause value. With this function, gNB-DU could also notify gNB-CU whether the QoS for already established DRBs is not fulfilled any longer or it is fulfilled again. To support packet duplication for intra-gNB-DU CA as described in TS 38.300 [8], one data radio bearer should be configured with two GTP-U tunnels between gNB-CU and a gNB-DU.

With this function, gNB-CU requests the gNB-DU to setup or change of the SpCell (as defined in TS 38.321 [10]) for the UE, and the gNB-DU either accepts or rejects the request with appropriate cause value.

With this function, the gNB-CU requests the setup of the SCell(s) at the gNB-DU side, and the gNB-DU accepts all, some or none of the SCell(s) and replies to the gNB-CU. The gNB-CU requests the removal of the SCell(s) for the UE.

With this function, the gNB-CU indicates the UL UE AMBR limit to the gNB-DU, and the gNB-DU enforces the indicated limit.

With this function, the gNB-DU indicates that a bearer, or a UE is inactive or active. The gNB-CU consolidates all the serving gNB-DUs for the UE and takes further action.

In addition, for IAB-nodes and IAB-donors:

* The F1 UE context management function is used to manage BH RLC channels, i.e. establishing, modifying and releasing BH RLC channel resources. The establishment of BH RLC channels is triggered by the IAB-donor-CU. The establishment and modification is accepted/rejected by the IAB-node’s parent, based on e,g, resource reservation information and QoS information provided to the IAB-node’s parent.
* The DRB QoS profile framework is reused for BH RLC channels carrying DRBs. Prioritization of traffic on the F1-C interface is based on traffic type (e.g. UE-associated F1AP signalling, non-UE- associated F1AP signalling) and is enforced in the IAB-donor-DU and in IAB-nodes, considering that the traffic on the F1-C interface has higher priority than other traffic; in-sequence delivery over the signaling connection is always ensured.
* The IAB-donor-CU associates each BH RLC channel carrying control plane traffic with one of the signaled control plane traffic type values.

-------------------------------------------Change 4-------------------------------------------

### 5.2.4 RRC message transfer function

This function allows to transfer RRC messages between gNB-CU and gNB-DU. RRC messages are transferred over F1-C. The gNB-CU is responsible for the encoding of the dedicated RRC message with assistance information provided by gNB-DU. This function also allows gNB-DU to report to gNB- CU if the downlink RRC message has been successfully delivered to UE or not.

For IAB-nodes, this function allows to transfer RRC messages for setting up and configuring the IAB-MT side of the BH RLC channel. These RRC messages are carried on F1-C between the IAB-donor-CU and the parent IAB-DU i.e. the DU side of the BH RLC channel.

-------------------------------------------End of changes-------------------------------------------