

## CHANGE REQUEST

**25.415 CR**

Current Version: **3.0.0**

GSM (AA.BB) or 3G (AA.BBB) specification number ↑

↑ CR number as allocated by MCC support team

For submission to: **RAN#6**  
*list expected approval meeting # here ↑*

for approval   
for information

strategic  *(for SMG use only)*  
non-strategic

Form: CR cover sheet, version 2 for 3GPP and SMG    The latest version of this form is available from: <ftp://ftp.3gpp.org/Information/CR-Form-v2.doc>

**Proposed change affects:**  
*(at least one should be marked with an X)*

(U)SIM     ME     UTRAN / Radio     Core Network

**Source:** Ericsson

**Date:** 20<sup>th</sup> of Oct 1999

**Subject:** Cleanup of coding section (Agenda Item: 9)

**Work item:**

**Category:**

*(only one category shall be marked with an X)*

F Correction	<input type="checkbox"/>
A Corresponds to a correction in an earlier release	<input type="checkbox"/>
B Addition of feature	<input type="checkbox"/>
C Functional modification of feature	<input checked="" type="checkbox"/>
D Editorial modification	<input type="checkbox"/>

**Release:**

Phase 2	<input type="checkbox"/>
Release 96	<input type="checkbox"/>
Release 97	<input type="checkbox"/>
Release 98	<input type="checkbox"/>
Release 99	<input checked="" type="checkbox"/>
Release 00	<input type="checkbox"/>

**Reason for change:**

Reason 1: To clean up the description of the content definition and coding in chapter 6.6.2. The order of the sections are aligned according to the order of the fields in the frame. The format of the description is aligned with how it is described in Iur/Iub UP (see TS 25.427 v2.0.0).

Reason 2: To reserve PDU type 15 for future extensions of PDU types and have instead PDU type 14 for control procedures on Iu UP.

Reason 3: To define the bit and field order of the fields in the frames. The ordering is made so that it is in alignment with principles used in Iur/Iub SWG (see Tdoc R3-99C09).

**Clauses affected:**

6.6.1 (new), 6.6.2, 6.6.3, 6.6.4 (only paragraph number changed)

**Other specs affected:**

Other 3G core specifications	<input type="checkbox"/>	→ List of CRs:
Other GSM core specifications	<input type="checkbox"/>	→ List of CRs:
MS test specifications	<input type="checkbox"/>	→ List of CRs:
BSS test specifications	<input type="checkbox"/>	→ List of CRs:
O&M specifications	<input type="checkbox"/>	→ List of CRs:

**Other comments:**

## 6.6 Elements for Iu UP communication in Support mode

### General

In this specification the structure of frames will be specified by using figures similar to Figure x below.

<u>Bits</u>								<u>Number of Octets</u>	
<u>7</u>	<u>6</u>	<u>5</u>	<u>4</u>	<u>3</u>	<u>2</u>	<u>1</u>	<u>0</u>		
<u>Field 1</u>				<u>Field 2</u>				<u>1</u>	<u>Byte 1</u>
<u>Field 3</u>						<u>Field 4</u>		<u>2</u>	<u>Byte 2</u>
<u>Field 4 continue</u>				<u>Field 5</u>					<u>Byte 3</u>

Figure x: Example frame format

Unless otherwise indicated, fields which consist of multiple bits within a byte will have the more significant bit located at the higher bit position (indicated above frame in Figure x). In addition, if a field spans several bytes, more significant bits will be located in lower numbered bytes (right of frame in Figure x).

On the Iu interface, the frame will be transmitted starting from the lowest numbered byte. Within each byte, the bits are sent according decreasing bit position (bit position 7 first).

Spare bits should be set to 0 by the sender and should not be checked by the receiver.

### 6.6.1 Frames Format for predefined size SDUs

#### 6.6.1.1 PDU Type 0

PDU Type 0 is defined to transfer user data over the Iu UP in support mode for pre-defined SDU sizes ~~mode~~. Error detection scheme is provided over the Iu UP for the payload part.

The following shows the Iu frame structure for PDU type 0 of the Iu UP protocol at the SAP towards the transport layers (TNL-SAP):

Bits								Number of Octets	
7	6	5	4	3	2	1	0		
PDU Type				Frame Number				1	Frame Control Part
FQC		RFCI						1	
<del>PDU type 0 Payload CRC</del>						<u>Payload CRC</u>		2	Frame Check Sum Part
<del>PDU type 0 Header CRC</del>						<del>PDU type 0 Payload CRC</del>			
Payload Fields								0-n	Frame Payload part

Figure 13: Iu UP PDU Type 0 Format

The Iu UP PDU Type 0 is made of three parts:

- 1) Iu UP Frame Control part (fixed size)
- 2) Iu UP Frame Check Sum part (fixed size)
- 3) Iu UP Frame Payload part (pre-defined SDU sizes)

The Iu UP Frame Control Part and the Iu UP Frame Check Sum constitute the Iu UP PDU Type 0 Frame Header.

### 6.6.1.2 PDU Type 1

PDU Type 1 is defined to transfer user data over the Iu UP in support mode for pre-defined SDU sizes ~~mode~~ when no payload error detection scheme is necessary over Iu UP (i.e. no payload CRC).

The following shows the Iu frame structure for PDU type 1 of the Iu UP protocol at the SAP towards the transport layers (TNL-SAP):

Bits								Number of Octets	
7	6	5	4	3	2	1	0		
PDU Type				Frame Number				1	Frame Control Part
FQC		RFCI						1	
<del>Header CRC</del> <del>Spare</del> <del>PDU type 1 Header CRC</del>						<del>Spare</del>		1	Frame Check Sum Part
Payload Fields								0-n	Frame Payload part

Figure 14: Iu UP PDU Type 1 Format

The Iu UP PDU Type 1 is made of three parts:

- 1) Iu UP Frame Control part (fixed size)
- 2) Iu UP Frame Check Sum ~~part~~ (fixed size)
- 3) Iu UP Frame Payload part (pre-defined SDU sizes)

The Iu UP Frame Control Part and the Iu UP Frame Check Sum constitute the Iu UP PDU Type 1 Frame Header.

### 6.6.1.3 PDU Type ~~145~~

#### 6.6.1.3.1 General

PDU Type ~~145~~ is defined to perform control procedures over the Iu UP in support ~~mode~~ for pre-defined SDU sizes ~~mode~~. The control procedure is identified by the procedure indicator. The Frame Payload contains the data information related to the control procedure.

Figure 15 below shows the Iu frame structure for PDU Type ~~145~~ of the Iu UP protocol at the SAP towards the transport layers (TNL-SAP):

Bits								Number of Octets	
7	6	5	4	3	2	1	0		
PDU Type (=14)			Ack/Nack (=0, i.e. procedure)		PDU Type 145 Frame Number			1	Frame Control Part
Spare			Procedure Indicator					1	
PDU type 15 payload CRC PDU type 15 hHeader CRC					Payload CRC			1	Frame Checksum Part
PDU type 15 pPayload CRC								1	
Reserved for procedure data								0-n	Frame payload part

Figure 15: Iu UP PDU Type 145 Format for procedure sending

The Iu UP PDU Type 145 is made of three parts:

- 1) Iu UP Frame Control part (fixed size)
- 2) Iu UP Frame Check Sum part (fixed size)
- 3) Iu UP Frame Payload part (variable length, rounded up to octet)

The Iu UP Frame Control Part and the Iu UP Frame Check Sum constitute the Iu UP PDU Type 145 Frame Header.

### 6.6.1.3.2 Positive Acknowledgement

When the PDU Type 145 is used to positively acknowledge a control procedure, the PDU Type 145 takes the following structure at the TNL-SAP:

Bits								Number of Octets	
7	6	5	4	3	2	1	0		
PDU Type (=14)			Ack/Nack (=1, i.e. Ack)		PDU Type 145 Frame Number			1	Frame Control Part
Spare			Procedure Indicator <i>(indicating the procedure being positively acknowledged)</i>					1	
Spare PDU type 15 hHeader CRC					Spare			1	Frame Checksum Part
Spare								1	

Figure 16: Iu UP PDU Type 145 Format for positive acknowledgement

The Iu UP PDU Type 145 for positive acknowledgment is made of two parts:

- 1) Iu UP Frame Control part (fixed size)
- 2) Iu UP Frame Check Sum part (fixed size)

The Iu UP Frame Control Part and the Iu UP Frame Check Sum constitute the Iu UP PDU Type 145 Frame Header for positive acknowledgment.

### 6.6.1.3.3 Negative Acknowledgement

When the PDU Type 145 is used to negatively acknowledge a control procedure, the PDU Type 145 takes the following structure at the TNL-SAP:

Bits								Number of Octets	
7	6	5	4	3	2	1	0		
PDU Type (=14)			Ack/Nack (=2, i.e. Nack)		PDU Type 145 Frame Number			1	Frame Control Part
Spare			Procedure Indicator (indicating the procedure being negatively acknowledged)					1	
Spare					Spare			1	Frame Checksum Part
PDU type 15 hHeader CRC					Spare			1	
Spare								1	
Cause Indicator								1	Frame payload part

Figure 17: Iu UP PDU Type 145 Format for negative acknowledgment

The Iu UP PDU Type 145 for negative acknowledgment is made of three parts:

1. Iu UP Frame Control part (fixed size)
2. Iu UP Frame Check Sum part (fixed size)
3. Iu UP Frame Payload part (fixed size)

The Iu UP Frame Control Part and the Iu UP Frame Check Sum constitute the Iu UP PDU Type 145 Frame Header for negative acknowledgment.

### 6.6.1.3.4 Procedures Coding

#### 6.6.1.3.4.1 Initialization

The Figure below specifies how the initialization procedure is coded.

Bits								Number of Octets	
7	6	5	4	3	2	1	0		
PDU Type (=145)				Ack/Nack (=0. <u><i>I.e. Procedure</i></u> )		PDU Type 145 Frame Number		1	Frame Control Part
Spare				Procedure Indicator (=0)				1	
<del>PDU type 15 payload CRC</del>						<u><i>Payload CRC</i></u>		2	Frame Checksum part
<del>PDU type 15 hHeader CRC</del>						<del>PDU type 15 pPayload CRC</del>			
Spare				Number of subflows <u><i>per RFCI</i></u> (N)		Chain <u><i>ind</i></u>		1	Frame payload part
Spare	LI	1 <sup>st</sup> RFCI						1	
<del>Data of LI</del> Length of subflow 1 <del>for RFCI</del>								1 or 2 (dep. LI)	
<del>Data of LI</del> Length of subflow 2 to N <del>for RFCI</del>								(N-1)x(1 or 2)	
Spare	LI	2 <sup>nd</sup> RFCI						1	
<del>Data of LI</del> Length of subflow 1 <del>for RFCI</del>								1 or 2 (dep. LI)	
<del>Data of LI</del> Length of subflow 2 to N <del>for RFCI</del>								(N-1)x(1 or 2)	
...									

Figure 18: lu UP PDU Type 145 used for Initialiszation

6.6.1.3.4.2 Rate Control

The Figure below specifies how the rate control procedure is coded.

Bits							Number of Octets	
7	6	5	4	3	2	1		
PDU Type (=14)			Ack/Nack (=0, i.e. Procedure)		PDU Type 145		1	Frame Control Part
Spare			Procedure Indicator (=1)				1	
PDU type 15 payload CRC					Payload CRC		1	Frame Checksum Part
PDU type 15 hHeader CRC							1	
PDU type 15 pPayload CRC							1	
Spare		Number of RFCIs Indicator (N)					0-n	Frame payload part
Padding when needed (0)		RFCI N-1 Ind	...	RFCI 2 Ind	RFCI 1 Ind	RFCI 0 Ind		

Figure 19: Iu UP PDU Type 145 Format used for Rate Control

6.6.1.3.4.3 Time Alignment (FFS)

6.6.1.3.4.4 Abnormal Event (TBD)

This is to be defined

**6.6.2 Coding of information elements in frames**  
~~Frames content definition and Frames coding~~

~~6.6.2.36.6.1.4~~ PDU Type

**Description:** The PDU type indicates the structure of the Iu UP frame. The field takes the value of the PDU Type it identifies: i.e. 0 for PDU Type 0. The PDU type is in bit 4 to bit 7 in the first octet of the frame.

**Value range:** {0-14, 15=reserved for future PDU type extensions}

**Field length:** 4 bits

~~6.6.2.56.6.1.5~~ Ack/Nack

**Description:** The Ack/Nack field tells if the frame is a:

- a control procedure frame
- ~~or a~~ positive acknowledgement (ACK) of a control procedure frame
- a negative acknowledgement (NACK) ~~for of~~ a control procedure frame.

**Value range:** {0=control procedure frame, 1=ACK, 2=NACK, 3=spare}

**Field length:** 2 bits



Value	Definition
0	Procedure sending
1	Ack
2	Nack
3	Spare

### 6.6.2.16.6.1.6 Frame Number

**Description:** The Iu UP frame numbering is handled by a Frame Number. The purpose of the Frame Number is to provide the receiving entity with a mechanism to keep track of lost Iu UP frames. For a given user data connection, there is no relations between the frame numbers of frames sent in the downlink direction and the frame numbers of frames sent in the uplink direction.

**Value range:** {0-15}

**Field length:** 4 bits

The frame number is in bit 0 to bit 3 in the first octet of the frame the value varying from 0 to 15.

### 6.6.2.26.6.1.7 PDU Type 145 Frame Number

**Description:** The Iu UP frame numbering is handled by a Frame Number. The purpose of the PDU Type 145 Frame Number is to provide the receiving entity with a mechanism to keep track of lost Iu UP frames.

It is also used to relate the acknowledgment frame to the frame being acknowledged i.e. the same PDU Type 145 Frame Number is used in the acknowledgement frame as the one used in the frame being acknowledged.

**Value range:** {0-3}

**Field length:** 2 bits

The value range of the PDU Type 15 Frame number is 0-3.

### 6.6.2.176.6.1.8 Frame Quality Classification (FQC)

**Description:** Frame Quality Classification is used to classify the Iu UP frames depending on whether errors have occurred in the frame or not. Frame Quality Classification is dependent on the RAB attribute 'Delivery of erroneous SDUs'.

**Value range:** {0=frame good, 1=frame bad, 2-3=spare}

**Field length:** 2 bits

The meaning of the FQC field is specified below:

FQC Value	Definition
0	Frame good
1	Frame bad
2	Spare
3	Spare

### 6.6.2.46.6.1.9 RAB sub-Flow Combination Indicator (RFCI)

**Description:** The RFCI tells the content of the payload. This can be used to specify the sizes of the subflows. The RFCI is stored in bit 0 to bit 5 of the second octet of the frame control part. The RFCI can get values ranging from 0 to 62. The value 63 is reserved for indicating that RFCI is not applicable for the current PDU.

Value range: {0-62, 63=RFCI not applicable}

Field length: 6 bits

### 6.6.2.66.6.1.10 Procedure Indicator

Description: The Procedure Indicator identifies the control procedure in the current frame.

Value range: {0=initialization, 1=rate control, 2=time alignment, 3=abnormal event, 4-15=spare}

Field length: 4 bits

The meaning of the Procedure Indicator is given in the table below.

Value	Definition
0	Initialization procedure
1	Rate control
2	FFS (Time Alignment)
3	TBD (Abnormal Event)
4-15	Spare

### 6.6.2.76.6.1.11 PDU type 0 Header CRC

Description: This field contains the CRC of all fields in Frame Control Part. The CRC is a 6-bit checksum based on the generator polynom  $G(D) = D^6 + D^5 + D^3 + D^2 + D^1 + 1$ .

With this CRC all error bursts shorter than 7 bits are detected, as well as all odd number of bits faulty (and two-bit faults) when the protected area is shorter than 24 bits, (max 3 octets).

Field length: 6 bits

### 6.6.2.86.6.1.12 PDU type 0 Payload CRC

Description: This field contains the CRC of the Frame Payload. The CRC is a 10-bit checksum based on the generator polynom  $G(D) = D^{10} + D^9 + D^5 + D^4 + D^1 + 1$ .

With this CRC all error bursts shorter than 11 bits are detected, as well as all odd number of bits faulty (and two-bit faults) when the protected area is shorter than 500 bits (max 62 octets).

Field length: 10 bits

### 6.6.2.9 PDU type 1 Header CRC

Same as PDU Type 0 Header CRC.

### 6.6.2.10 PDU type 15 Header CRC

This field contains the CRC of all fields in Frame Control Part. The CRC is a 6 bit checksum based on the generator polynom  $G(D) = D^6 + D^5 + D^3 + D^2 + D^1 + 1$ .

With this CRC all error bursts shorter than 7 bits are detected, as well as all odd number of bits faulty (and two-bit faults) when the protected area is shorter than 24 bits, (max 3 octets).

### ~~6.6.2.11 PDU type 15 Payload Check Sum~~

~~This field contains the CRC of the Frame Payload part. The CRC is a 10 bit checksum based on the generator polynomial  $G(D) = D^{10} + D^9 + D^5 + D^4 + D^1 + 1$ .~~

~~With this CRC all error bursts shorter than 11 bits are detected, as well as all odd number of bits faulty (and two bit faults) when the protected area is shorter than 500 bits (max 62 octets).~~

### ~~6.6.2.12~~ 6.6.1.13 Chain Indicator

**Description:** Chain indicator is used to indicate whether the control procedure frame is the last frame related to the control procedure.

**Value range:** {0=this frame is the last frame for the procedure, 1=additional frames will be sent for the procedure}

**Field length:** 1 bit

~~The Chain Indicator is set to 0 when this is the last frame.~~

~~The Chain Indicator is set to 1 when this is not the last frame.~~

### ~~6.6.2.13~~ 6.6.1.14 Number of Subflows per RFCI

**Description:** Number of Subflows per RFCI field indicates the number of subflows the RAB is made of. It is used to decode the SDU size information data lengths. All RFCs consist of the same number of subflows within a specific RAB.

**Value range:** {0=reserved, 1-7}

**Field length:** 3 bits

~~The Number of Subflows can range from 1 to 7.~~

### ~~6.6.2.14~~ 6.6.1.15 Length Indicator (LI)

~~LI~~ **Description:** Length Indicator, indicates if 1 (~~LI=0~~) or 2 (~~LI=1~~) octets is used for the RAB subflow size information.

~~LI is 1 when more than 255 bits is used for a subflow.~~

**Value range:** {0=one octet used, 1=two octets used}

**Field length:** 1 bit

### ~~6.6.2.15~~ 6.6.1.16 Number of RFCIs Indicator

**Description:** Number of RFCIs Indicator indicates the number of RFCIs Indicators present in the control procedure frame.

**Value range:** {0-63}

**Field length:** 6 bits

~~Number of RFCI Indicator can range from 0 to 63.~~

### ~~6.6.2.16~~ 6.6.1.17 RFCI n Indicator

**Description:** RFCI n Indicator points to an RFCI number e.g. RFCI 0 Indicator-~~0~~ points to RFCI 0, RFCI 1 Indicator-~~1~~ points to RFCI 1, etc...

**Value range:** {0=RFCI allowed, 1=RFCI barred}

**Field length:** 1 bit

RFCI Indicator set to 0 indicates that the corresponding RFCI number is punctured out of the RFCI set.

RFCI Indicator set to 1 indicates that the corresponding RFCI number remains in the RFCI set.

### 6.6.2.186.6.1.18 Cause Indicator

**Description:** Cause field is used to indicate the reason for the control procedure execution.

**Value range:** {0=reserved, 1=frame format error, 2-15=spare, 16=unknown field, 17-255=spare}

**Field length:** 8 bits

The meaning of the Cause Indicator is given in the table below:

Value	Definition
0	Reserved
1	Frame Format Error
2-15	Spare
16	Unknown field
17-31	Spare
32-255	Spare

### 6.6.3.1

## 6.6.3 Timers

$T_{INIT}$

This Timer is used to supervise the reception of the initialisation acknowledgement frame from the peer Iu UP instance. This Timer is set by O&M.