**3GPP TSG-RAN WG2 Meeting #118-e *draftR2-2206322***

**Electronic, 2022-05-09 - 2022-05-20**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **36.321** | **CR** | **1539** | **rev** | **1** | **Current version:** | **16.7.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:*** | Correction on calculating number of TBs for multi-TB scheduling | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | LTE\_eMTC5-Core | | | | |  | ***Date:*** | | | 2022-05-13 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | RAN1 has updated TS 36.213 in R1-2112713 related to multi-TB scheduling. Change in TS 36.213 updates the existing parameter *k* (*M* in TS 36.213) to refer to number of TB bundles as determined in TS 36.213 Table 7.3-1, therefore the calculation of *k* in TS 36.321is redundant and may not work in all cases. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Change naming of variable *k* to *M* to align with TS 36.213 and replace calculation of *k* with reference to TS 36.213.  **Impact Analysis**  Impacted functionality:  Calculation of HARQ RTT timer length for Multi-TB scheduling for LTE-M.  Inter-operability:  1. If the network is implemented according to the CR and the UE is not, the NW may use different HARQ RTT timer length compared to the UE. This can result in the UE missing a DCI transmission or missed opportunity for DRX.  2. If the UE is implemented according to the CR and the network is not, the NW may use different HARQ RTT timer length compared to the UE. This can result in the UE missing a DCI transmission or missed opportunity for DRX. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | RAN1 and RAN2 specifications are misaligned when calculating the number of TB bundles. This impacts the calculation of the HART RTT timer length and may result in UE and NW using different RTT timer lengths. If the UE uses longer RTT timer compared to the NW, the UE may miss downlink scheduling information. If the UE uses shorter RTT timer compared to the NW, there is a missed opportunity for DRX, i.e. UE starts monitoring PDCCH earlier than needed. | | | | | | | | |
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| ***Clauses affected:*** | | 7.7 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | |  | | |
| ***affected:*** | |  | **X** | Test specifications | | | |  | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | |  | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

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| ***Start of change*** |

## 7.7 HARQ RTT Timers

For each serving cell, in case of FDD configuration not configured with *subframeAssignment-r15* and in case of Frame Structure Type 3 configuration on the serving cell which carries the HARQ feedback for this serving cell the HARQ RTT Timer is set to 8 subframes. For each serving cell, in case of TDD configuration or FDD with *subframeAssignment-r15* configured on the serving cell which carries the HARQ feedback for this serving cell the HARQ RTT Timer is set to k + 4 subframes, where k is the interval between the downlink transmission and the transmission of associated HARQ feedback, as indicated in clauses 10.1 and 10.2 of TS 36.213 [2], and for an RN configured with *rn-SubframeConfig*, as specified in TS 36.331 [8] and not suspended, as indicated in Table 7.5.1-1 of TS 36.216 [11].

For each serving cell, for HARQ processes scheduled using Short Processing Time (TS 36.331 [8]) the HARQ RTT is set to 6 subframes for FDD and Frame Structure Type 3 and set to k + 3 subframes for TDD, where k is the interval between the downlink transmission and the transmission of associated HARQ feedback, as indicated in clauses 10.1 and 10.2 of TS 36.213 [2].

For each serving cell, for HARQ processes scheduled using short TTI (TS 36.331 [8]) the HARQ RTT is set to 8 TTIs if the TTI length is one slot or if *proc-Timeline* is set to n+4 set1, to 12 TTIs if *proc-Timeline* is set to n+6 set1 or n+6 set2 and to 16 TTIs if *proc-Timeline* is set to n+8 set2 for FDD and Frame Structure Type 3.

For TDD short TTI the HARQ RTT is set to k + 4 TTIs, where k is the interval between the downlink transmission and the transmission of associated HARQ feedback, as indicated in clauses 10.1 and 10.2 of TS 36.213 [2].

For BL UEs and UEs in enhanced coverage, when single TB is scheduled by PDCCH the HARQ RTT Timer corresponds to 7 + N where N is the used PUCCH repetition factor, where only valid (configured) UL subframes as configured by upper layers in *fdd-UplinkSubframeBitmapBR* are counted. In case of TDD, HARQ RTT Timer corresponds to 3 + k + N, where k is the interval between the last repetition of downlink transmission and the first repetition of the transmission of associated HARQ feedback, and N is the used PUCCH repetition factor, where only valid UL subframes are counted as indicated in clauses 10.1 and 10.2 of TS 36.213 [2].

For BL UEs and UEs in enhanced coverage, when multiple TBs are scheduled by PDCCH and HARQ-ACK bundling is not configured, the HARQ RTT Timer corresponds to 7 + m \* N where N is the used PUCCH repetition factor and m is the number of scheduled TBs as indicated in PDCCH, where only valid (configured) UL subframes as configured by upper layers in *fdd-UplinkSubframeBitmapBR* are counted.

For BL UEs and UEs in enhanced coverage, when multiple TBs are scheduled by PDCCH and HARQ-ACK bundling is configured the HARQ RTT Timer corresponds to 7 + M \* N where N is the used PUCCH repetition factor and M is the number of TB bundles as specified in clause 7.3 of TS 36.213 [2], where only valid (configured) UL subframes as configured by upper layers in *fdd-UplinkSubframeBitmapBR* are counted.

For NB-IoT, when single TB is scheduled by PDCCH or when multiple TBs are scheduled for the interleaved case when HARQ-ACK bundling is configured the HARQ RTT Timer is set to k+3+N+deltaPDCCH subframes, where k is the interval between the last subframe of the downlink transmission and the first subframe of the associated HARQ feedback transmission and N is the transmission duration in subframes of the associated HARQ feedback, and deltaPDCCH is the interval starting from the subframe following the last subframe of the associated HARQ feedback transmission plus 3 subframes to the first subframe of the next PDCCH occasion.

For NB-IoT, when multiple TBs are scheduled by PDCCH for the non-interleaved case or for the interleaved case when HARQ-ACK bundling is not configured, the HARQ RTT Timer is set to k+2\*N+1+deltaPDCCH subframes where k is the interval between the last subframe of the downlink transmission and the first subframe of the first HARQ feedback transmission and N is the transmission duration in subframes of the associated HARQ feedback, and deltaPDCCH is the interval starting from the subframe following the last subframe of the last HARQ feedback transmission plus 1 subframe to the first subframe of the next PDCCH occasion.

Except for NB-IoT and for HARQ processes scheduled using Short Processing Time and for short TTI, UL HARQ RTT Timer length is set to 4 subframes for FDD and Frame Structure Type 3, and set to kULHARQRTT subframes for TDD, where kULHARQRTT equals to the kPHICH value indicated in Table 9.1.2-1 of TS 36.213 [2] if the UE is not configured with upper layer parameter *symPUSCH-UpPts* for the serving cell, otherwise the kPHICH value is indicated in Table 9.1.2-3.

For NB-IoT, when single TB is scheduled by PDCCH the UL HARQ RTT timer length is set to 4+deltaPDCCH subframes, where deltaPDCCH is the interval starting from the subframe following the last subframe of the PUSCH transmission plus 3 subframes to the first subframe of the next PDCCH occasion.

For NB-IoT, when multiple TBs are scheduled by PDCCH the UL HARQ RTT timer length is set to 1+deltaPDCCH subframes, where deltaPDCCH is the interval starting from the subframe following the last subframe of the PUSCH transmission plus 1 subframe to the first subframe of the next PDCCH occasion.

For HARQ processes scheduled using Short Processing Time (TS 36.331 [8]), the UL HARQ RTT Timer length is set to 3 subframes for FDD and for Frame Structure Type 3, and set to kULHARQRTT subframes for TDD, where kULHARQRTT equals the value indicated in Table 7.7-1 and Table 7.7-2.

For HARQ processes scheduled using short TTI (TS 36.331 [8]), the UL HARQ RTT Timer length is set to 8 TTIs if the TTI length is one slot or if *proc-Timeline* is set to n+4 set1, to 12 TTIs if *proc-Timeline* is set to n+6 set1 or n+6 set2 and to 16 TTIs if *proc-Timeline* is set to n+8 set2 for FDD and Frame Structure Type 3. For TDD short TTI the UL HARQ RTT is set to kULHARQRTT TTIs, where kULHARQRTT equals the value indicated in Table 7.7-3, Table 7.7-4 and Table 7.7-5.

Table 7.7-1: kULHARQRTT for TDD Short Processing Time when special subframe configurations 0~9 is configured

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **TDD UL/DL Configuration** | **subframe index *n*** | | | | | | | | | |
| **0** | **1** | **2** | **3** | **4** | **5** | **6** | **7** | **8** | **9** |
| 0 |  |  | 3 | 3 | 6 |  |  | 3 | 3 | 6 |
| 1 |  |  | 3 | 3 |  |  |  | 3 | 3 |  |
| 2 |  |  | 3 |  |  |  |  | 3 |  |  |
| 3 |  |  | 3 | 3 | 3 |  |  |  |  |  |
| 4 |  |  | 3 | 3 |  |  |  |  |  |  |
| 5 |  |  | 3 |  |  |  |  |  |  |  |
| 6 |  |  | 3 | 3 | 5 |  |  | 3 | 3 |  |

Table 7.7-2: kULHARQRTT for TDD Short Processing Time applied when special subframe configuration 10 is configured

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **TDD UL/DL Configuration** | **subframe index n** | | | | | | | | | |
| **0** | **1** | **2** | **3** | **4** | **5** | **6** | **7** | **8** | **9** |
| 0 |  | 4 | 3 | 3 | 6 |  | 4 | 3 | 3 | 6 |
| 1 |  | 3 | 3 | 3 |  |  | 3 | 3 | 3 |  |
| 2 |  | 3 | 3 |  |  |  | 3 | 3 |  |  |
| 3 |  | 4 | 3 | 3 | 3 |  |  |  |  |  |
| 4 |  | 3 | 3 | 3 |  |  |  |  |  |  |
| 5 |  | 3 | 3 |  |  |  |  |  |  |  |
| 6 |  | 4 | 3 | 3 | 5 |  | 3 | 3 | 3 |  |

Table 7.7-3: kULHARQRTT for TDD short TTI applied when special subframe configurations 1, 2, 3, 4, 6, 7 and 8 are configured

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **TDD UL/DL Configuration** | **sTTI index *n*** | | | | | | | | | | | | | | | | | | | |
| **0** | **1** | **2** | **3** | **4** | **5** | **6** | **7** | **8** | **9** | **10** | **11** | **12** | **13** | **14** | **15** | **16** | **17** | **18** | **19** |
| 0 |  |  |  |  | 6 | 5 | 4 | 4 | 4 | 4 |  |  |  |  | 6 | 5 | 4 | 4 | 4 | 4 |
| 1 |  |  |  |  | 4 | 4 | 4 | 4 |  |  |  |  |  |  | 4 | 4 | 4 | 4 |  |  |
| 2 |  |  |  |  | 4 | 4 |  |  |  |  |  |  |  |  | 4 | 4 |  |  |  |  |
| 3 |  |  |  |  | 6 | 5 | 4 | 4 | 4 | 4 |  |  |  |  |  |  |  |  |  |  |
| 4 |  |  |  |  | 4 | 4 | 4 | 4 |  |  |  |  |  |  |  |  |  |  |  |  |
| 5 |  |  |  |  | 4 | 4 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 6 |  |  |  |  | 6 | 5 | 4 | 4 | 4 | 4 |  |  |  |  | 4 | 4 | 4 | 4 |  |  |

Table 7.7-4: kULHARQRTT for TDD short TTI applied when special subframe configurations 0, 5 and 9 are configured

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **TDD UL/DL Configuration** | **sTTI index *n*** | | | | | | | | | | | | | | | | | | | |
| **0** | **1** | **2** | **3** | **4** | **5** | **6** | **7** | **8** | **9** | **10** | **11** | **12** | **13** | **14** | **15** | **16** | **17** | **18** | **19** |
| 0 |  |  |  |  | 6 | 5 | 4 | 4 | 4 | 11 |  |  |  |  | 6 | 5 | 4 | 4 | 4 | 11 |
| 1 |  |  |  |  | 4 | 4 | 4 | 4 |  |  |  |  |  |  | 4 | 4 | 4 | 4 |  |  |
| 2 |  |  |  |  | 4 | 4 |  |  |  |  |  |  |  |  | 4 | 4 |  |  |  |  |
| 3 |  |  |  |  | 6 | 5 | 4 | 4 | 4 | 4 |  |  |  |  |  |  |  |  |  |  |
| 4 |  |  |  |  | 4 | 4 | 4 | 4 |  |  |  |  |  |  |  |  |  |  |  |  |
| 5 |  |  |  |  | 4 | 4 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 6 |  |  |  |  | 6 | 5 | 4 | 4 | 4 | 9 |  |  |  |  | 4 | 4 | 4 | 4 |  |  |

Table 7.7-5: kULHARQRTT for TDD short TTI applied when special subframe configuration 10 is configured

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **TDD UL/DL Configuration** | **sTTI index *n*** | | | | | | | | | | | | | | | | | | | |
| **0** | **1** | **2** | **3** | **4** | **5** | **6** | **7** | **8** | **9** | **10** | **11** | **12** | **13** | **14** | **15** | **16** | **17** | **18** | **19** |
| 0 |  |  |  | 7 | 6 | 5 | 4 | 4 | 4 | 11 |  |  |  | 7 | 6 | 5 | 4 | 4 | 4 | 11 |
| 1 |  |  |  | 5 | 4 | 4 | 4 | 4 |  |  |  |  |  | 5 | 4 | 4 | 4 | 4 |  |  |
| 2 |  |  |  | 4 | 4 | 4 |  |  |  |  |  |  |  | 4 | 4 | 4 |  |  |  |  |
| 3 |  |  |  | 7 | 6 | 5 | 4 | 4 | 4 | 4 |  |  |  |  |  |  |  |  |  |  |
| 4 |  |  |  | 5 | 4 | 4 | 4 | 4 |  |  |  |  |  |  |  |  |  |  |  |  |
| 5 |  |  |  | 4 | 4 | 4 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 6 |  |  |  | 7 | 6 | 5 | 4 | 4 | 4 | 9 |  |  |  | 5 | 4 | 4 | 4 | 4 |  |  |

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| ***End of change*** |