**3GPP TSG-RAN WG2** **Meeting #116-e *R2-21xxxxx***

**Electronic, 1st - 12th November 2021**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** | **2863** | **rev** | **1** | **Current version:** | **16.6.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Correction on PO determination for UE in inactive state |
|  |  |
| ***Source to WG:*** | ZTE corporation, Ericsson, vivo, CMCC, China Telecom, China Unicom, Samsung, Sanechips |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | TEI17 |  | ***Date:*** | 2021-11-11 |
|  |  |  |  |  |
| ***Category:*** | F |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
|  |  |
| ***Reason for change:*** | The PF and PO for paging are determined by the following formulae:SFN for the PF is determined by:(SFN + PF\_offset) mod T = (T div N)\*(UE\_ID mod N)Index (i\_s), indicating the index of the PO is determined by:i\_s = floor (UE\_ID/N) mod NsT: DRX cycle of the UE (T is determined by the shortest of the UE specific DRX value(s), if configured by RRC and/or upper layers, and a default DRX value broadcast in system information. In RRC\_IDLE state, if UE specific DRX is not configured by upper layers, the default value is applied).N: number of total paging frames in T (configured by nAndPagingFrameOffset with value T, T/2, T/4, T/8, or T/16)For a UE, it is possible that the T used in inactive state is different from the T used in idle mode as NW is allowed to configure a RAN paging cycle different from the UE specific paging cycle configured by upper layer or the default value in system information while the N used in calculation is still the one broadcast in SIB1 with value T, T/2, T/4, T/8, or T/16.As a result, the index of the PO (i.e. the i\_s) would be different for inactive state and idle state as the N is a value related to the T while the T has different value in idle and inactive state, which deviates from the intention that the POs of a UE for CN-initiated and RAN-initiated paging should be overlapped.To solve this PO mismatch for CN paging and RAN paging, the UE in inactive mode shall use the same i\_s as in idle mode. And a UE capability should be introduced to show UE support for such behavior. Network would indicate “useIdlePO” when releasing UE from RRC\_CONNECTED to RRC\_INACTIVE if UE indicates support. |
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| ***Summary of change:*** | * Introduce UE capability to indicate support for UE in inactive mode to use the same i\_s in PO determination as idle mode.
* Broadcast “ranPagingInIdlePO” in PCCH-Config to indicate that the network supports to send RAN paging in PO that corresponds to the i\_s as determined by UE in RRC\_IDLE state
* Include UE capability to indicate support for UE in inactive mode to use the same i\_s in PO determination as idle mode also in *UERaidoPagingInformation* message.
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| ***Consequences if not approved:*** | The NW is not aware of whether a UE supports to use the same T in both inactive and idle mode to determine the index of PO. |
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| ***Clauses affected:*** | 6.3.2, 6.3.3, 11.2.2 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **x** |  |  Other core specifications  | TS/TR38.304 CR 0224 TS/TR38.306 CR 0665 |
| ***affected:*** |  | **x** |  Test specifications | TS/TR... CR ... |
| ***(show related CRs)*** |  | **x** |  O&M Specifications | TS/TR... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

Start of change

6.3.2 Radio resource control information elements

– *DownlinkConfigCommonSIB*

The IE *DownlinkConfigCommonSIB* provides common downlink parameters of a cell.

***DownlinkConfigCommonSIB* information element**

-- ASN1START

-- TAG-DOWNLINKCONFIGCOMMONSIB-START

DownlinkConfigCommonSIB ::= SEQUENCE {

 frequencyInfoDL FrequencyInfoDL-SIB,

 initialDownlinkBWP BWP-DownlinkCommon,

 bcch-Config BCCH-Config,

 pcch-Config PCCH-Config,

 ...

}

BCCH-Config ::= SEQUENCE {

 modificationPeriodCoeff ENUMERATED {n2, n4, n8, n16},

 ...

}

PCCH-Config ::= SEQUENCE {

 defaultPagingCycle PagingCycle,

 nAndPagingFrameOffset CHOICE {

 oneT NULL,

 halfT INTEGER (0..1),

 quarterT INTEGER (0..3),

 oneEighthT INTEGER (0..7),

 oneSixteenthT INTEGER (0..15)

 },

 ns ENUMERATED {four, two, one},

 firstPDCCH-MonitoringOccasionOfPO CHOICE {

 sCS15KHZoneT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..139),

 sCS30KHZoneT-SCS15KHZhalfT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..279),

 sCS60KHZoneT-SCS30KHZhalfT-SCS15KHZquarterT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..559),

 sCS120KHZoneT-SCS60KHZhalfT-SCS30KHZquarterT-SCS15KHZoneEighthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..1119),

 sCS120KHZhalfT-SCS60KHZquarterT-SCS30KHZoneEighthT-SCS15KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..2239),

 sCS120KHZquarterT-SCS60KHZoneEighthT-SCS30KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..4479),

 sCS120KHZoneEighthT-SCS60KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..8959),

 sCS120KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..17919)

 } OPTIONAL, -- Need R

 ...,

 [[

 nrofPDCCH-MonitoringOccasionPerSSB-InPO-r16 INTEGER (2..4) OPTIONAL -- Cond SharedSpectrum2

 ]],

 [[

ranPagingInIdlePO-r17 ENUMERATED{true} OPTIONAL -- Need R

 ]]

}

-- TAG-DOWNLINKCONFIGCOMMONSIB-STOP

-- ASN1STOP

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| ***DownlinkConfigCommonSIB* field descriptions** |
| ***bcch-Config***The modification period related configuration. |
| ***frequencyInfoDL-SIB***Basic parameters of a downlink carrier and transmission thereon. |
| ***initialDownlinkBWP***The initial downlink BWP configuration for a PCell. The network configures the *locationAndBandwidth* so that the initial downlink BWP contains the entire CORESET#0 of this serving cell in the frequency domain. The UE applies the *locationAndBandwidth* upon reception of this field (e.g. to determine the frequency position of signals described in relation to this *locationAndBandwidth*) but it keeps CORESET#0 until after reception of *RRCSetup*/*RRCResume/RRCReestablishment*. |
| ***nrofPDCCH-MonitoringOccasionPerSSB-InPO***The number of PDCCH monitoring occasions corresponding to an SSB within a Paging Occasion, see TS 38.304 [20], clause 7.1. |
| ***pcch-Config***The paging related configuration. |

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| ***BCCH-Config* field descriptions** |
| ***modificationPeriodCoeff***Actual modification period, expressed in number of radio frames m = *modificationPeriodCoeff* \* *defaultPagingCycle*, see clause 5.2.2.2.2. *n2* corresponds to value 2, *n4* corresponds to value 4, and so on. |

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| ***PCCH-Config* field descriptions** |
| ***defaultPagingCycle***Default paging cycle, used to derive 'T' in TS 38.304 [20]. Value *rf32* corresponds to 32 radio frames, value *rf64* corresponds to 64 radio frames and so on. |
| ***firstPDCCH-MonitoringOccasionOfPO***Points out the first PDCCH monitoring occasion for paging of each PO of the PF, see TS 38.304 [20]. |
| ***nAndPagingFrameOffset***Used to derive the number of total paging frames in T (corresponding to parameter N in TS 38.304 [20]) and paging frame offset (corresponding to parameter PF\_offset in TS 38.304 [20]). A value of *oneSixteenthT* corresponds to T / 16, a value of oneEighthT corresponds to T / 8, and so on.If *pagingSearchSpace* is set to zero and if SS/PBCH block and CORESET multiplexing pattern is 2 or 3 (as specified in TS 38.213 [13]):- for *ssb-periodicityServingCell* of 5 or 10 ms, N can be set to one of {*oneT, halfT, quarterT, oneEighthT, oneSixteenthT*}- for *ssb-periodicityServingCell* of 20 ms, N can be set to one of {*halfT, quarterT, oneEighthT, oneSixteenthT*}- for *ssb-periodicityServingCell* of 40 ms, N can be set to one of {*quarterT, oneEighthT, oneSixteenthT*}- for *ssb-periodicityServingCell* of 80 ms, N can be set to one of {*oneEighthT, oneSixteenthT*}- for *ssb-periodicityServingCell* of 160 ms, N can be set to *oneSixteenthT*If *pagingSearchSpace* is set to zero and if SS/PBCH block and CORESET multiplexing pattern is 1 (as specified in TS 38.213 [13]), N can be set to one of {*halfT, quarterT, oneEighthT, oneSixteenthT*}If *pagingSearchSpace* is not set to zero, N can be configured to one of {*oneT, halfT, quarterT, oneEighthT, oneSixteenthT*} |
| ***ns***Number of paging occasions per paging frame. |
| ***ranPagingInIdlePO***Indicates that the network supports to send RAN paging in PO that corresponds to the i\_s as determined by UE in RRC\_IDLE state, see TS38.304 [20]. |

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| **Conditional Presence** | **Explanation** |
| *SharedSpectrum2* | The field is optional present, Need R, if this cell operates with shared spectrum channel access. Otherwise, it is absent, Need R. |

Next change

6.3.3 UE capability information elements

– *UE-NR-Capability*

The IE *UE-NR-Capability* is used to convey the NR UE Radio Access Capability Parameters, see TS 38.306 [26].

***UE-NR-Capability* information element**

-- ASN1START

-- TAG-UE-NR-CAPABILITY-START

UE-NR-Capability ::= SEQUENCE {

 accessStratumRelease AccessStratumRelease,

 pdcp-Parameters PDCP-Parameters,

 rlc-Parameters RLC-Parameters OPTIONAL,

 mac-Parameters MAC-Parameters OPTIONAL,

 phy-Parameters Phy-Parameters,

 rf-Parameters RF-Parameters,

 measAndMobParameters MeasAndMobParameters OPTIONAL,

 fdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

 tdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

 fr1-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 featureSets FeatureSets OPTIONAL,

 featureSetCombinations SEQUENCE (SIZE (1..maxFeatureSetCombinations)) OF FeatureSetCombination OPTIONAL,

 lateNonCriticalExtension OCTET STRING (CONTAINING UE-NR-Capability-v15c0) OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1530 OPTIONAL

}

-- Regular non-critical extensions:

UE-NR-Capability-v1530 ::= SEQUENCE {

 fdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

 tdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

 dummy ENUMERATED {supported} OPTIONAL,

 interRAT-Parameters InterRAT-Parameters OPTIONAL,

 inactiveState ENUMERATED {supported} OPTIONAL,

 delayBudgetReporting ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1540 OPTIONAL

}

UE-NR-Capability-v1540 ::= SEQUENCE {

 sdap-Parameters SDAP-Parameters OPTIONAL,

 overheatingInd ENUMERATED {supported} OPTIONAL,

 ims-Parameters IMS-Parameters OPTIONAL,

 fr1-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

 fr2-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

 fr1-fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1550 OPTIONAL

}

UE-NR-Capability-v1550 ::= SEQUENCE {

 reducedCP-Latency ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1560 OPTIONAL

}

UE-NR-Capability-v1560 ::= SEQUENCE {

 nrdc-Parameters NRDC-Parameters OPTIONAL,

 receivedFilters OCTET STRING (CONTAINING UECapabilityEnquiry-v1560-IEs) OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1570 OPTIONAL

}

UE-NR-Capability-v1570 ::= SEQUENCE {

 nrdc-Parameters-v1570 NRDC-Parameters-v1570 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1610 OPTIONAL

}

-- Late non-critical extensions:

UE-NR-Capability-v15c0 ::= SEQUENCE {

 nrdc-Parameters-v15c0 NRDC-Parameters-v15c0 OPTIONAL,

 partialFR2-FallbackRX-Req ENUMERATED {true} OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- Regular non-critical extensions:

UE-NR-Capability-v1610 ::= SEQUENCE {

 inDeviceCoexInd-r16 ENUMERATED {supported} OPTIONAL,

 dl-DedicatedMessageSegmentation-r16 ENUMERATED {supported} OPTIONAL,

 nrdc-Parameters-v1610 NRDC-Parameters-v1610 OPTIONAL,

 powSav-Parameters-r16 PowSav-Parameters-r16 OPTIONAL,

 fr1-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

 fr2-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

 bh-RLF-Indication-r16 ENUMERATED {supported} OPTIONAL,

 directSN-AdditionFirstRRC-IAB-r16 ENUMERATED {supported} OPTIONAL,

 bap-Parameters-r16 BAP-Parameters-r16 OPTIONAL,

 referenceTimeProvision-r16 ENUMERATED {supported} OPTIONAL,

 sidelinkParameters-r16 SidelinkParameters-r16 OPTIONAL,

 highSpeedParameters-r16 HighSpeedParameters-r16 OPTIONAL,

 mac-Parameters-v1610 MAC-Parameters-v1610 OPTIONAL,

 mcgRLF-RecoveryViaSCG-r16 ENUMERATED {supported} OPTIONAL,

 resumeWithStoredMCG-SCells-r16 ENUMERATED {supported} OPTIONAL,

 resumeWithStoredSCG-r16 ENUMERATED {supported} OPTIONAL,

 resumeWithSCG-Config-r16 ENUMERATED {supported} OPTIONAL,

 ue-BasedPerfMeas-Parameters-r16 UE-BasedPerfMeas-Parameters-r16 OPTIONAL,

 son-Parameters-r16 SON-Parameters-r16 OPTIONAL,

 onDemandSIB-Connected-r16 ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1640 OPTIONAL

}

UE-NR-Capability-v1640 ::= SEQUENCE {

 redirectAtResumeByNAS-r16 ENUMERATED {supported} OPTIONAL,

 phy-ParametersSharedSpectrumChAccess-r16 Phy-ParametersSharedSpectrumChAccess-r16 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1650 OPTIONAL

}

UE-NR-Capability-v1650 ::= SEQUENCE {

 mpsPriorityIndication-r16 ENUMERATED {supported} OPTIONAL,

 highSpeedParameters-v1650 HighSpeedParameters-v1650 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v17xy OPTIONAL

}

UE-NR-Capability-v17xy ::= SEQUENCE {

 inactiveStatePO-Determination-r17 ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode ::= SEQUENCE {

 phy-ParametersXDD-Diff Phy-ParametersXDD-Diff OPTIONAL,

 mac-ParametersXDD-Diff MAC-ParametersXDD-Diff OPTIONAL,

 measAndMobParametersXDD-Diff MeasAndMobParametersXDD-Diff OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode-v1530 ::= SEQUENCE {

 eutra-ParametersXDD-Diff EUTRA-ParametersXDD-Diff

}

UE-NR-CapabilityAddFRX-Mode ::= SEQUENCE {

 phy-ParametersFRX-Diff Phy-ParametersFRX-Diff OPTIONAL,

 measAndMobParametersFRX-Diff MeasAndMobParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1540 ::= SEQUENCE {

 ims-ParametersFRX-Diff IMS-ParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1610 ::= SEQUENCE {

 powSav-ParametersFRX-Diff-r16 PowSav-ParametersFRX-Diff-r16 OPTIONAL,

 mac-ParametersFRX-Diff-r16 MAC-ParametersFRX-Diff-r16 OPTIONAL

}

BAP-Parameters-r16 ::= SEQUENCE {

 flowControlBH-RLC-ChannelBased-r16 ENUMERATED {supported} OPTIONAL,

 flowControlRouting-ID-Based-r16 ENUMERATED {supported} OPTIONAL

}

-- TAG-UE-NR-CAPABILITY-STOP

-- ASN1STOP

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| --- |
| ***UE-NR-Capability* field descriptions** |
| ***featureSetCombinations***A list of *FeatureSetCombination:s* for *supportedBandCombinationList* in *UE-NR-Capability*. The *FeatureSetDownlink:s* and *FeatureSetUplink:s* referred to from these *FeatureSetCombination:s* are defined in the *featureSets* list in *UE-NR-Capability*. |

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| ***UE-NR-Capability-v1540 field descriptions*** |
| ***fr1-fr2-Add-UE-NR-Capabilities***This instance of *UE-NR-CapabilityAddFRX-Mode* does not include any other fields than *csi-RS-IM-ReceptionForFeedback*/ *csi-RS-ProcFrameworkForSRS*/ *csi-ReportFramework*. |

11.2.2 Message definitions

– *UERadioPagingInformation*

This message is used to transfer radio paging information, covering both upload to and download from the 5GC, and between gNBs.

Direction: gNB to/ from 5GC and gNB to/from gNB

***UERadioPagingInformation* message**

-- ASN1START

-- TAG-UE-RADIO-PAGING-INFORMATION-START

UERadioPagingInformation ::= SEQUENCE {

 criticalExtensions CHOICE {

 c1 CHOICE{

 ueRadioPagingInformation UERadioPagingInformation-IEs,

 spare7 NULL,

 spare6 NULL, spare5 NULL, spare4 NULL,

 spare3 NULL, spare2 NULL, spare1 NULL

 },

 criticalExtensionsFuture SEQUENCE {}

 }

}

UERadioPagingInformation-IEs ::= SEQUENCE {

 supportedBandListNRForPaging SEQUENCE (SIZE (1..maxBands)) OF FreqBandIndicatorNR OPTIONAL,

 nonCriticalExtension UERadioPagingInformation-v15e0-IEs OPTIONAL

}

UERadioPagingInformation-v15e0-IEs ::= SEQUENCE {

 dl-SchedulingOffset-PDSCH-TypeA-FDD-FR1 ENUMERATED {supported} OPTIONAL,

 dl-SchedulingOffset-PDSCH-TypeA-TDD-FR1 ENUMERATED {supported} OPTIONAL,

 dl-SchedulingOffset-PDSCH-TypeA-TDD-FR2 ENUMERATED {supported} OPTIONAL,

 dl-SchedulingOffset-PDSCH-TypeB-FDD-FR1 ENUMERATED {supported} OPTIONAL,

 dl-SchedulingOffset-PDSCH-TypeB-TDD-FR1 ENUMERATED {supported} OPTIONAL,

 dl-SchedulingOffset-PDSCH-TypeB-TDD-FR2 ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UERadioPagingInformation-v17xy-IEs OPTIONAL

}

UERadioPagingInformation-v17xy-IEs ::= SEQUENCE {

 inactiveStatePO-Determination-r17 ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- TAG-UE-RADIO-PAGING-INFORMATION-STOP

-- ASN1STOP

| ***UERadioPagingInformation* field descriptions** |
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| ***supportedBandListNRForPaging***Indicates the UE supported NR frequency bands which are derived by the gNB from *UE-NR-Capability*. |
| ***dl-SchedulingOffset-PDSCH-TypeA-FDD-FR1***Indicates whether the UE supports DL scheduling slot offset (K0) greater than 0 for PDSCH mapping type A in FDD FR1. |
| ***dl-SchedulingOffset-PDSCH-TypeA-TDD-FR1***Indicates whether the UE supports DL scheduling slot offset (K0) greater than 0 for PDSCH mapping type A in TDD FR1. |
| ***dl-SchedulingOffset-PDSCH-TypeA-TDD-FR2***Indicates whether the UE supports DL scheduling slot offset (K0) greater than 0 for PDSCH mapping type A in TDD FR2. |
| ***dl-SchedulingOffset-PDSCH-TypeB-FDD-FR1***Indicates whether the UE supports DL scheduling slot offset (K0) greater than 0 for PDSCH mapping type B in FDD FR1. |
| ***dl-SchedulingOffset-PDSCH-TypeB-TDD-FR1***Indicates whether the UE supports DL scheduling slot offset (K0) greater than 0 for PDSCH mapping type B in TDD FR1. |
| ***dl-SchedulingOffset-PDSCH-TypeB-TDD-FR2***Indicates whether the UE supports DL scheduling slot offset (K0) greater than 0 for PDSCH mapping type B in TDD FR2. |
| ***inactiveStatePO-Determination***Indicates whether the UE supports to use the same i\_s to determine PO in RRC\_INACTIVE state as in RRC\_IDLE state. |

End of change