**3GPP TSG-RAN WG2** **Meeting #116-e *R2-21xxxxx***

**Electronic, 1st - 12th November 2021**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.331** | **CR** | **draft** | **rev** | **-** | **Current version:** | **16.6.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Correction on PO determination for UE in inactive state | | | | | | | | | |
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| ***Source to WG:*** | ZTE corporation, Sanechips | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | TEI17 | | | | |  | ***Date:*** | | | 2021-10-20 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | F |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | The PF and PO for paging are determined by the following formulae:  SFN for the PF is determined by:  (SFN + PF\_offset) mod T = (T div N)\*(UE\_ID mod N)  Index (i\_s), indicating the index of the PO is determined by:  i\_s = floor (UE\_ID/N) mod Ns  T: DRX cycle of the UE (T is determined by the shortest of the UE specific DRX value(s), if configured by RRC and/or upper layers, and a default DRX value broadcast in system information. In RRC\_IDLE state, if UE specific DRX is not configured by upper layers, the default value is applied).  N: number of total paging frames in T (configured by nAndPagingFrameOffset with value T, T/2, T/4, T/8, or T/16)  For a UE, it is possible that the T used in inactive state is different from the T used in idle mode as NW is allowed to configure a RAN paging cycle different from the UE specific paging cycle configured by upper layer or the default value in system information while the N used in calculation is still the one broadcast in SIB1 with value T, T/2, T/4, T/8, or T/16.  As a result, the index of the PO (i.e. the i\_s) would be different for inactive state and idle state as the N is a value related to the T while the T has different value in idle and inactive state, which deviates from the intention that the POs of a UE for CN-initiated and RAN-initiated paging should be overlapped.  To solve this PO mismatch for CN paging and RAN paging, it has been proposed that UE in inactive mode use the same i\_s as in idle mode to determine the PO. And a UE capability should be introduced to show UE support for such behavior. Network would indicate “useIdlePO” when releasing UE from RRC\_CONNECTED to RRC\_INACTIVE if UE indicates support. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | * Introduce UE capability to indicate support for UE in inactive mode to use the same i\_s in PO determination as idle mode. * Broadcast “ranPagingInIdlePO” in PCCH-Config to indicate that the network supports to send RAN paging in PO that corresponds to the i\_s as determined by UE in RRC\_IDLE state   **Impact Analysis**  Impacted 5G architecture options:  SA, NR-DC,EUTRA/5GC    Impacted functionality:  Paging    Inter-operability:  If UE is implemented according to this CR while NW is not, NW would not be able to interpret the new capability.  If NW is implemented according to this CR while UE is not, UE would not report such capability to NW. | | | | | | | | |
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| ***Consequences if not approved:*** | | NW is not aware whether a UE support to use the same T in both inactive and idle mode to determine the index of PO. | | | | | | | | |
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| ***Clauses affected:*** | | 6.3.2, 6.3.3 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **x** |  | Other core specifications | | | | TS/TR38.304 CR 0213  TS/TR38.306 CR 0614 | | |
| ***affected:*** | |  | **x** | Test specifications | | | | TS/TR... CR ... | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

Start of change

6.3.2 Radio resource control information elements

– *DownlinkConfigCommonSIB*

The IE *DownlinkConfigCommonSIB* provides common downlink parameters of a cell.

***DownlinkConfigCommonSIB* information element**

-- ASN1START

-- TAG-DOWNLINKCONFIGCOMMONSIB-START

DownlinkConfigCommonSIB ::= SEQUENCE {

frequencyInfoDL FrequencyInfoDL-SIB,

initialDownlinkBWP BWP-DownlinkCommon,

bcch-Config BCCH-Config,

pcch-Config PCCH-Config,

...

}

BCCH-Config ::= SEQUENCE {

modificationPeriodCoeff ENUMERATED {n2, n4, n8, n16},

...

}

PCCH-Config ::= SEQUENCE {

defaultPagingCycle PagingCycle,

nAndPagingFrameOffset CHOICE {

oneT NULL,

halfT INTEGER (0..1),

quarterT INTEGER (0..3),

oneEighthT INTEGER (0..7),

oneSixteenthT INTEGER (0..15)

},

ns ENUMERATED {four, two, one},

firstPDCCH-MonitoringOccasionOfPO CHOICE {

sCS15KHZoneT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..139),

sCS30KHZoneT-SCS15KHZhalfT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..279),

sCS60KHZoneT-SCS30KHZhalfT-SCS15KHZquarterT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..559),

sCS120KHZoneT-SCS60KHZhalfT-SCS30KHZquarterT-SCS15KHZoneEighthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..1119),

sCS120KHZhalfT-SCS60KHZquarterT-SCS30KHZoneEighthT-SCS15KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..2239),

sCS120KHZquarterT-SCS60KHZoneEighthT-SCS30KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..4479),

sCS120KHZoneEighthT-SCS60KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..8959),

sCS120KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..17919)

} OPTIONAL, -- Need R

...,

[[

nrofPDCCH-MonitoringOccasionPerSSB-InPO-r16 INTEGER (2..4) OPTIONAL -- Cond SharedSpectrum2

]]

[[

ranPagingInIdlePO-r16 ENUMERATED{true} OPTIONAL, -- Need R

]]

}

-- TAG-DOWNLINKCONFIGCOMMONSIB-STOP

-- ASN1STOP

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| ***DownlinkConfigCommonSIB* field descriptions** |
| ***bcch-Config***  The modification period related configuration. |
| ***frequencyInfoDL-SIB***  Basic parameters of a downlink carrier and transmission thereon. |
| ***initialDownlinkBWP***  The initial downlink BWP configuration for a PCell. The network configures the *locationAndBandwidth* so that the initial downlink BWP contains the entire CORESET#0 of this serving cell in the frequency domain. The UE applies the *locationAndBandwidth* upon reception of this field (e.g. to determine the frequency position of signals described in relation to this *locationAndBandwidth*) but it keeps CORESET#0 until after reception of *RRCSetup*/*RRCResume/RRCReestablishment*. |
| ***nrofPDCCH-MonitoringOccasionPerSSB-InPO***  The number of PDCCH monitoring occasions corresponding to an SSB within a Paging Occasion, see TS 38.304 [20], clause 7.1. |
| ***pcch-Config***  The paging related configuration. |

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| ***BCCH-Config* field descriptions** |
| ***modificationPeriodCoeff***  Actual modification period, expressed in number of radio frames m = *modificationPeriodCoeff* \* *defaultPagingCycle*, see clause 5.2.2.2.2. *n2* corresponds to value 2, *n4* corresponds to value 4, and so on. |

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| ***PCCH-Config* field descriptions** |
| ***defaultPagingCycle***  Default paging cycle, used to derive 'T' in TS 38.304 [20]. Value *rf32* corresponds to 32 radio frames, value *rf64* corresponds to 64 radio frames and so on. |
| ***firstPDCCH-MonitoringOccasionOfPO***  Points out the first PDCCH monitoring occasion for paging of each PO of the PF, see TS 38.304 [20]. |
| ***nAndPagingFrameOffset***  Used to derive the number of total paging frames in T (corresponding to parameter N in TS 38.304 [20]) and paging frame offset (corresponding to parameter PF\_offset in TS 38.304 [20]). A value of *oneSixteenthT* corresponds to T / 16, a value of oneEighthT corresponds to T / 8, and so on.  If *pagingSearchSpace* is set to zero and if SS/PBCH block and CORESET multiplexing pattern is 2 or 3 (as specified in TS 38.213 [13]):  - for *ssb-periodicityServingCell* of 5 or 10 ms, N can be set to one of {*oneT, halfT, quarterT, oneEighthT, oneSixteenthT*}  - for *ssb-periodicityServingCell* of 20 ms, N can be set to one of {*halfT, quarterT, oneEighthT, oneSixteenthT*}  - for *ssb-periodicityServingCell* of 40 ms, N can be set to one of {*quarterT, oneEighthT, oneSixteenthT*}  - for *ssb-periodicityServingCell* of 80 ms, N can be set to one of {*oneEighthT, oneSixteenthT*}  - for *ssb-periodicityServingCell* of 160 ms, N can be set to *oneSixteenthT*  If *pagingSearchSpace* is set to zero and if SS/PBCH block and CORESET multiplexing pattern is 1 (as specified in TS 38.213 [13]), N can be set to one of {*halfT, quarterT, oneEighthT, oneSixteenthT*}  If *pagingSearchSpace* is not set to zero, N can be configured to one of {*oneT, halfT, quarterT, oneEighthT, oneSixteenthT*} |
| ***ns***  Number of paging occasions per paging frame. |
| ***ranPagingInIdlePO***  Indicates that the network supports to send RAN paging in PO that corresponds to the i\_s as determined by UE in RRC\_IDLE state, see TS38.304 [20]. |

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| **Conditional Presence** | **Explanation** |
| *SharedSpectrum2* | The field is optional present, Need R, if this cell operates with shared spectrum channel access. Otherwise, it is absent, Need R. |

Next change

6.3.3 UE capability information elements

– *UE-NR-Capability*

The IE *UE-NR-Capability* is used to convey the NR UE Radio Access Capability Parameters, see TS 38.306 [26].

***UE-NR-Capability* information element**

-- ASN1START

-- TAG-UE-NR-CAPABILITY-START

UE-NR-Capability ::= SEQUENCE {

accessStratumRelease AccessStratumRelease,

pdcp-Parameters PDCP-Parameters,

rlc-Parameters RLC-Parameters OPTIONAL,

mac-Parameters MAC-Parameters OPTIONAL,

phy-Parameters Phy-Parameters,

rf-Parameters RF-Parameters,

measAndMobParameters MeasAndMobParameters OPTIONAL,

fdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

tdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

fr1-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

featureSets FeatureSets OPTIONAL,

featureSetCombinations SEQUENCE (SIZE (1..maxFeatureSetCombinations)) OF FeatureSetCombination OPTIONAL,

lateNonCriticalExtension OCTET STRING (CONTAINING UE-NR-Capability-v15c0) OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1530 OPTIONAL

}

-- Regular non-critical extensions:

UE-NR-Capability-v1530 ::= SEQUENCE {

fdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

tdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

dummy ENUMERATED {supported} OPTIONAL,

interRAT-Parameters InterRAT-Parameters OPTIONAL,

inactiveState ENUMERATED {supported} OPTIONAL,

delayBudgetReporting ENUMERATED {supported} OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1540 OPTIONAL

}

UE-NR-Capability-v1540 ::= SEQUENCE {

sdap-Parameters SDAP-Parameters OPTIONAL,

overheatingInd ENUMERATED {supported} OPTIONAL,

ims-Parameters IMS-Parameters OPTIONAL,

fr1-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

fr2-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

fr1-fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1550 OPTIONAL

}

UE-NR-Capability-v1550 ::= SEQUENCE {

reducedCP-Latency ENUMERATED {supported} OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1560 OPTIONAL

}

UE-NR-Capability-v1560 ::= SEQUENCE {

nrdc-Parameters NRDC-Parameters OPTIONAL,

receivedFilters OCTET STRING (CONTAINING UECapabilityEnquiry-v1560-IEs) OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1570 OPTIONAL

}

UE-NR-Capability-v1570 ::= SEQUENCE {

nrdc-Parameters-v1570 NRDC-Parameters-v1570 OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1610 OPTIONAL

}

-- Late non-critical extensions:

UE-NR-Capability-v15c0 ::= SEQUENCE {

nrdc-Parameters-v15c0 NRDC-Parameters-v15c0 OPTIONAL,

partialFR2-FallbackRX-Req ENUMERATED {true} OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- Regular non-critical extensions:

UE-NR-Capability-v1610 ::= SEQUENCE {

inDeviceCoexInd-r16 ENUMERATED {supported} OPTIONAL,

dl-DedicatedMessageSegmentation-r16 ENUMERATED {supported} OPTIONAL,

nrdc-Parameters-v1610 NRDC-Parameters-v1610 OPTIONAL,

powSav-Parameters-r16 PowSav-Parameters-r16 OPTIONAL,

fr1-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

fr2-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

bh-RLF-Indication-r16 ENUMERATED {supported} OPTIONAL,

directSN-AdditionFirstRRC-IAB-r16 ENUMERATED {supported} OPTIONAL,

bap-Parameters-r16 BAP-Parameters-r16 OPTIONAL,

referenceTimeProvision-r16 ENUMERATED {supported} OPTIONAL,

sidelinkParameters-r16 SidelinkParameters-r16 OPTIONAL,

highSpeedParameters-r16 HighSpeedParameters-r16 OPTIONAL,

mac-Parameters-v1610 MAC-Parameters-v1610 OPTIONAL,

mcgRLF-RecoveryViaSCG-r16 ENUMERATED {supported} OPTIONAL,

resumeWithStoredMCG-SCells-r16 ENUMERATED {supported} OPTIONAL,

resumeWithStoredSCG-r16 ENUMERATED {supported} OPTIONAL,

resumeWithSCG-Config-r16 ENUMERATED {supported} OPTIONAL,

ue-BasedPerfMeas-Parameters-r16 UE-BasedPerfMeas-Parameters-r16 OPTIONAL,

son-Parameters-r16 SON-Parameters-r16 OPTIONAL,

onDemandSIB-Connected-r16 ENUMERATED {supported} OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1640 OPTIONAL

}

UE-NR-Capability-v1640 ::= SEQUENCE {

redirectAtResumeByNAS-r16 ENUMERATED {supported} OPTIONAL,

phy-ParametersSharedSpectrumChAccess-r16 Phy-ParametersSharedSpectrumChAccess-r16 OPTIONAL,

nonCriticalExtension UE-NR-Capability-v1650 OPTIONAL

}

UE-NR-Capability-v1650 ::= SEQUENCE {

mpsPriorityIndication-r16 ENUMERATED {supported} OPTIONAL,

highSpeedParameters-v1650 HighSpeedParameters-v1650 OPTIONAL,

nonCriticalExtension UE-NR-Capability-v17xy OPTIONAL

}

UE-NR-Capability-v17xy ::= SEQUENCE {

inactiveStatePODetermination-r17 ENUMERATED {supported} OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode ::= SEQUENCE {

phy-ParametersXDD-Diff Phy-ParametersXDD-Diff OPTIONAL,

mac-ParametersXDD-Diff MAC-ParametersXDD-Diff OPTIONAL,

measAndMobParametersXDD-Diff MeasAndMobParametersXDD-Diff OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode-v1530 ::= SEQUENCE {

eutra-ParametersXDD-Diff EUTRA-ParametersXDD-Diff

}

UE-NR-CapabilityAddFRX-Mode ::= SEQUENCE {

phy-ParametersFRX-Diff Phy-ParametersFRX-Diff OPTIONAL,

measAndMobParametersFRX-Diff MeasAndMobParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1540 ::= SEQUENCE {

ims-ParametersFRX-Diff IMS-ParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1610 ::= SEQUENCE {

powSav-ParametersFRX-Diff-r16 PowSav-ParametersFRX-Diff-r16 OPTIONAL,

mac-ParametersFRX-Diff-r16 MAC-ParametersFRX-Diff-r16 OPTIONAL

}

BAP-Parameters-r16 ::= SEQUENCE {

flowControlBH-RLC-ChannelBased-r16 ENUMERATED {supported} OPTIONAL,

flowControlRouting-ID-Based-r16 ENUMERATED {supported} OPTIONAL

}

-- TAG-UE-NR-CAPABILITY-STOP

-- ASN1STOP

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| ***UE-NR-Capability* field descriptions** |
| ***featureSetCombinations***  A list of *FeatureSetCombination:s* for *supportedBandCombinationList* in *UE-NR-Capability*. The *FeatureSetDownlink:s* and *FeatureSetUplink:s* referred to from these *FeatureSetCombination:s* are defined in the *featureSets* list in *UE-NR-Capability*. |

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| ***UE-NR-Capability-v1540 field descriptions*** |
| ***fr1-fr2-Add-UE-NR-Capabilities***  This instance of *UE-NR-CapabilityAddFRX-Mode* does not include any other fields than *csi-RS-IM-ReceptionForFeedback*/ *csi-RS-ProcFrameworkForSRS*/ *csi-ReportFramework*. |

End of change