**3GPP TSG-RAN WG2 Meeting #116 electronic R2-21xxxx**

**Online, November, 2021**

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| --- |
| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **38.331**  | **CR** | 2859 | **rev** | **1** | **Current version:** | **16.6.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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|  |
| ***Title:***  |  |
|  |  |
| ***Source to WG:*** | CMCC, CATT, China Telecom, China Unicom, Huawei, HiSilicon, OPPO, vivo |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | TEI16, NR\_RF\_TxD-Core |  | ***Date:*** | 2021-10-22 |
|  |  |  |  |  |
| ***Category:*** | C |  | ***Release:*** | Rel-16 |
| ***r*** | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
|  |  |
| ***Reason for change:*** | In RAN2#115e meeting, CR for introducing UE capability of txDiversity (R2-2108537) was agreed in principle. And it was agreed that formal CR can be approved when RAN4 has completed the phase 1 requirements.In RAN4#100e meeting, formal cat.B CR for phase1 requirements was approved (R4-2115100). Hence the CR agreed in principle (R2-2108537) can be formally approved.  |
|  |  |
| ***Summary of change:*** | Introduce a new Rel-16 capability of *txDiversity-r16* in the section of *BandNR parameters*. The capability is per band and applies to FR1 only.**Impact analysis**Impacted 5G architecture options:(NG)EN-DC, NR SA, NE-DC, NR-DCImpacted functionality:Tx Diversity capabilityInter-operability:1. If the network is implemented according to the CR and the UE is not, the UE cannot report the transparent Tx Diversity capability.2. If the UE is implemented according to the CR and the network is not, there is no compatibility issues.3. Implementation of this CR by a Release 15 UE will not cause compatibility issues. |
|  |  |
| ***Consequences if not approved:*** | Tx Diversity cannot be reported. |
|  |  |
| ***Clauses affected:*** | 6.3.3, Annex C |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | TS/TR 38.306 CR 0660 |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

**<Start of 1st changes>**

*RF-Parameters*

The IE *RF-Parameters* is used to convey RF-related capabilities for NR operation.

***RF-Parameters* information element**

-- ASN1START

-- TAG-RF-PARAMETERS-START

RF-Parameters ::= SEQUENCE {

 supportedBandListNR SEQUENCE (SIZE (1..maxBands)) OF BandNR,

 supportedBandCombinationList BandCombinationList OPTIONAL,

 appliedFreqBandListFilter FreqBandList OPTIONAL,

 ...,

 [[

 supportedBandCombinationList-v1540 BandCombinationList-v1540 OPTIONAL,

 srs-SwitchingTimeRequested ENUMERATED {true} OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1550 BandCombinationList-v1550 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1560 BandCombinationList-v1560 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1610 BandCombinationList-v1610 OPTIONAL,

 supportedBandCombinationListSidelinkEUTRA-NR-r16 BandCombinationListSidelinkEUTRA-NR-r16 OPTIONAL,

 supportedBandCombinationList-UplinkTxSwitch-r16 BandCombinationList-UplinkTxSwitch-r16 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1630 BandCombinationList-v1630 OPTIONAL,

 supportedBandCombinationListSidelinkEUTRA-NR-v1630 BandCombinationListSidelinkEUTRA-NR-v1630 OPTIONAL,

 supportedBandCombinationList-UplinkTxSwitch-v1630 BandCombinationList-UplinkTxSwitch-v1630 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1640 BandCombinationList-v1640 OPTIONAL,

 supportedBandCombinationList-UplinkTxSwitch-v1640 BandCombinationList-UplinkTxSwitch-v1640 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1650 BandCombinationList-v1650 OPTIONAL,

 supportedBandCombinationList-UplinkTxSwitch-v1650 BandCombinationList-UplinkTxSwitch-v1650 OPTIONAL

 ]],

 [[

 extendedBand-n77-r16 ENUMERATED {supported} OPTIONAL

 ]]

}

BandNR ::= SEQUENCE {

 bandNR FreqBandIndicatorNR,

 modifiedMPR-Behaviour BIT STRING (SIZE (8)) OPTIONAL,

 mimo-ParametersPerBand MIMO-ParametersPerBand OPTIONAL,

 extendedCP ENUMERATED {supported} OPTIONAL,

 multipleTCI ENUMERATED {supported} OPTIONAL,

 bwp-WithoutRestriction ENUMERATED {supported} OPTIONAL,

 bwp-SameNumerology ENUMERATED {upto2, upto4} OPTIONAL,

 bwp-DiffNumerology ENUMERATED {upto4} OPTIONAL,

 crossCarrierScheduling-SameSCS ENUMERATED {supported} OPTIONAL,

 pdsch-256QAM-FR2 ENUMERATED {supported} OPTIONAL,

 pusch-256QAM ENUMERATED {supported} OPTIONAL,

 ue-PowerClass ENUMERATED {pc1, pc2, pc3, pc4} OPTIONAL,

 rateMatchingLTE-CRS ENUMERATED {supported} OPTIONAL,

 channelBWs-DL CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (10)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (3)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (3)) OPTIONAL

 }

 } OPTIONAL,

 channelBWs-UL CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (10)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (3)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (3)) OPTIONAL

 }

 } OPTIONAL,

 ...,

 [[

 maxUplinkDutyCycle-PC2-FR1 ENUMERATED {n60, n70, n80, n90, n100} OPTIONAL

 ]],

 [[

 pucch-SpatialRelInfoMAC-CE ENUMERATED {supported} OPTIONAL,

 powerBoosting-pi2BPSK ENUMERATED {supported} OPTIONAL

 ]],

 [[

 maxUplinkDutyCycle-FR2 ENUMERATED {n15, n20, n25, n30, n40, n50, n60, n70, n80, n90, n100} OPTIONAL

 ]],

 [[

 channelBWs-DL-v1590 CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (16)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (8)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (8)) OPTIONAL

 }

 } OPTIONAL,

 channelBWs-UL-v1590 CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (16)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (8)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (8)) OPTIONAL

 }

 } OPTIONAL

 ]],

 [[

 asymmetricBandwidthCombinationSet BIT STRING (SIZE (1..32)) OPTIONAL

 ]],

 [[

 -- R1 10: NR-unlicensed

 sharedSpectrumChAccessParamsPerBand-r16 SharedSpectrumChAccessParamsPerBand-r16 OPTIONAL,

 -- R1 11-7b: Independent cancellation of the overlapping PUSCHs in an intra-band UL CA

 cancelOverlappingPUSCH-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 14-1: Multiple LTE-CRS rate matching patterns

 multipleRateMatchingEUTRA-CRS-r16 SEQUENCE {

 maxNumberPatterns-r16 INTEGER (2..6),

 maxNumberNon-OverlapPatterns-r16 INTEGER (1..3)

 } OPTIONAL,

 -- R1 14-1a: Two LTE-CRS overlapping rate matching patterns within a part of NR carrier using 15 kHz overlapping with a LTE carrier

 overlapRateMatchingEUTRA-CRS-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 14-2: PDSCH Type B mapping of length 9 and 10 OFDM symbols

 pdsch-MappingTypeB-Alt-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 14-3: One slot periodic TRS configuration for FR1

 oneSlotPeriodicTRS-r16 ENUMERATED {supported} OPTIONAL,

 olpc-SRS-Pos-r16 OLPC-SRS-Pos-r16 OPTIONAL,

 spatialRelationsSRS-Pos-r16 SpatialRelationsSRS-Pos-r16 OPTIONAL,

 simulSRS-MIMO-TransWithinBand-r16 ENUMERATED {n2} OPTIONAL,

 channelBW-DL-IAB-r16 CHOICE {

 fr1-100mhz SEQUENCE {

 scs-15kHz ENUMERATED {supported} OPTIONAL,

 scs-30kHz ENUMERATED {supported} OPTIONAL,

 scs-60kHz ENUMERATED {supported} OPTIONAL

 },

 fr2-200mhz SEQUENCE {

 scs-60kHz ENUMERATED {supported} OPTIONAL,

 scs-120kHz ENUMERATED {supported} OPTIONAL

 }

 } OPTIONAL,

 channelBW-UL-IAB-r16 CHOICE {

 fr1-100mhz SEQUENCE {

 scs-15kHz ENUMERATED {supported} OPTIONAL,

 scs-30kHz ENUMERATED {supported} OPTIONAL,

 scs-60kHz ENUMERATED {supported} OPTIONAL

 },

 fr2-200mhz SEQUENCE {

 scs-60kHz ENUMERATED {supported} OPTIONAL,

 scs-120kHz ENUMERATED {supported} OPTIONAL

 }

 } OPTIONAL,

 rasterShift7dot5-IAB-r16 ENUMERATED {supported} OPTIONAL,

 ue-PowerClass-v1610 ENUMERATED {pc1dot5} OPTIONAL,

 condHandover-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverFailure-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverTwoTriggerEvents-r16 ENUMERATED {supported} OPTIONAL,

 condPSCellChange-r16 ENUMERATED {supported} OPTIONAL,

 condPSCellChangeTwoTriggerEvents-r16 ENUMERATED {supported} OPTIONAL,

 mpr-PowerBoost-FR2-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 11-9: Multiple active configured grant configurations for a BWP of a serving cell

 activeConfiguredGrant-r16 SEQUENCE {

 maxNumberConfigsPerBWP-r16 ENUMERATED {n1, n2, n4, n8, n12},

 maxNumberConfigsAllCC-r16 INTEGER (2..32)

 } OPTIONAL,

 -- R1 11-9a: Joint release in a DCI for two or more configured grant Type 2 configurations for a given BWP of a serving cell

 jointReleaseConfiguredGrantType2-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 12-2: Multiple SPS configurations

 sps-r16 SEQUENCE {

 maxNumberConfigsPerBWP-r16 INTEGER (1..8),

 maxNumberConfigsAllCC-r16 INTEGER (2..32)

 } OPTIONAL,

 -- R1 12-2a: Joint release in a DCI for two or more SPS configurations for a given BWP of a serving cell

 jointReleaseSPS-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 13-19: Simultaneous positioning SRS and MIMO SRS transmission within a band across multiple CCs

 simulSRS-TransWithinBand-r16 ENUMERATED {n2} OPTIONAL,

 trs-AdditionalBandwidth-r16 ENUMERATED {trs-AddBW-Set1, trs-AddBW-Set2} OPTIONAL,

 handoverIntraF-IAB-r16 ENUMERATED {supported} OPTIONAL

 ]],

 [[

 -- R1 22-5a: Simultaneous transmission of SRS for antenna switching and SRS for CB/NCB /BM for intra-band UL CA

 -- R1 22-5c: Simultaneous transmission of SRS for antenna switching and SRS for antenna switching for intra-band UL CA

 simulTX-SRS-AntSwitchingIntraBandUL-CA-r16 SimulSRS-ForAntennaSwitching-r16 OPTIONAL,

 -- R1 10: NR-unlicensed

 sharedSpectrumChAccessParamsPerBand-v1630 SharedSpectrumChAccessParamsPerBand-v1630 OPTIONAL

 ]],

 [[

 handoverUTRA-FDD-r16 ENUMERATED {supported} OPTIONAL,

 -- R4 7-4: Report the shorter transient capability supported by the UE: 2, 4 or 7us

 enhancedUL-TransientPeriod-r16 ENUMERATED {us2, us4, us7} OPTIONAL,

 sharedSpectrumChAccessParamsPerBand-v1640 SharedSpectrumChAccessParamsPerBand-v1640 OPTIONAL

 ]],

 [[

 type1-PUSCH-RepetitionMultiSlots-v1650 ENUMERATED {supported} OPTIONAL,

 type2-PUSCH-RepetitionMultiSlots-v1650 ENUMERATED {supported} OPTIONAL,

 pusch-RepetitionMultiSlots-v1650 ENUMERATED {supported} OPTIONAL,

 configuredUL-GrantType1-v1650 ENUMERATED {supported} OPTIONAL,

 configuredUL-GrantType2-v1650 ENUMERATED {supported} OPTIONAL,

 sharedSpectrumChAccessParamsPerBand-v1650 SharedSpectrumChAccessParamsPerBand-v1650 OPTIONAL

 ]],

 [[

 enhancedSkipUplinkTxConfigured-v1660 ENUMERATED {supported} OPTIONAL,

 enhancedSkipUplinkTxDynamic-v1660 ENUMERATED {supported} OPTIONAL

]],

[[

txDiversity-r16 ENUMERATED {supported} OPTIONAL

]]

}

-- TAG-RF-PARAMETERS-STOP

-- ASN1STOP

**<End of 1st changes>**

**<Start of 2nd changes>**

Annex C (normative): List of CRs Containing Early Implementable Features and Corrections

This annex lists the Change Requests (CRs) whose changes may be implemented by a UE of an earlier release than which the CR was approved in (i.e. CRs that contain on their coversheets the sentence "Implementation of this CR from Rel-N will not cause interoperability issues").

**Table C-1: List of CRs Containing Early Implementable Features and Corrections**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **TDoc Number (RP-xxxxxx): CR Title** | **CR Number(s)** | **CR Revision Number(s)** | **Earliest Implementable Release** | **Additional Information** |
| RP-200335: Correction on usage of access category 2 for UAC for RNA update | 1141 | 2 | Release 15 |  |
| RP-201185: Introduction of signalling for high-speed train scenarios | 1464 | 5 | Release 15 |  |
| RP-201216: Release-16 UE capabilities based on RAN1, RAN4 feature lists and RAN2 | 1665 | 2 | Release 15 | Early implementation part is referring to the aspect covered by R2-2006203: Extension of CSI-RS capabilities per codebook type |
| RP-202768: UE behaviour when UL 7.5KHz shift is not supported | 2107 | 2 | Release 15 |  |
| RP-202790: Correction on uac-AccessCategory1-SelectionAssistanceInfo | 2130 | 1 | Release 15 |  |
| RP-211483: Clarification on the initiation of RNA update | 2581 | 1 | Release 15 |  |
| RP-201190: Introduction of eCall over IMS for NR | 1670 | - | Release 15 |  |
| RP-212598: Distinguishing support of extended band n77 | 2810 | 2 | Release 15 |  |
| RP-21xxxx: CR on 38.331 for introducing UE capability of txDiversity | 2859 | 1 | Release 15 |  |

**<End of 2nd changes>**