**3GPP TSG-RAN WG2 Meeting #115 electronicR2-210XXXX**

**Online, 16th – 27th Aug 2021**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **36.331** | **CR** | **4701** | **rev** | **1** | **Current version:** | **16.5.0** |  |
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| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | Correction on the Release Cause for RRC\_INACTVE UE | | | | | | | | | |
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| ***Source to WG:*** | vivo | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_newRAT-Core | | | | |  | ***Date:*** | | | 2021-08-06 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **A** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
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| ***Reason for change:*** | | 1. In the current TS36.331, release causes for RRC\_INACTIVE UE resuming the RRC connection procedure are inconsistent. ‘RRC connection failure’ is used in two cases(i.e., T300 expires, upon receiving integrity check failure indication from lower layers while T300 is running). While ‘RRC Resume failure’ is used in other cases(i.e., cell selection or reselection occurs while T309 or T302 is running and if the UE is connected to 5GC, cell reselection occurs while T300 is running, and UE is unable to comply with (part of) the configuration included in the RRCConnectionResume message). 2. Further, according to TS24.501, ‘RRC resume failure’ can be considered as an indication that the resumption of the RRC connection has failed. | | | | | | | | |
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| ***Summary of change:*** | | 1. Revise the release cause from ‘RRC connection failure’ to ‘RRC Resume failure’ for RRC\_INACTIVE UE in subclause 5.3.3.6. 2. Revise the release cause from ‘RRC connection failure’ to ‘RRC Resume failure’ for RRC\_INACTIVE UE in subclause 5.3.3.16.     **Impact analysis**  Impacted 5G architecture options: E-UTRA/5GC, NGEN-DC.  Impacted functionality: Release cause.  Inter-operability:  If UE implements according to the CR and the network does not, there is no inter-operability issue foreseen. | | | | | | | | |
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| ***Consequences if not approved:*** | | If the CR is not approved:   1. The release causes for RRC\_INACTIVE UE resuming the RRC connection procedure are inconsistent. 2. There is a misalignment between the NAS specification and RRC specification. | | | | | | | | |
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| ***Clauses affected:*** | | 5.3.3.6 T300 expiry  5.3.3.16 Integrity check failure from lower layers while T300 is running for UP-EDT or RRC\_INACTIVE | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
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| ***Other comments:*** | |  | | | | | | | | |
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| ***This CR's revision history:*** | | R2-2107839-> R2-210XXXX  In rev 1, remove the “RAN box tick” in the cover page(s). | | | | | | | | |

START OF CHANGES

5.3.3.6 T300 expiry

The UE shall:

1> if timer T300 expires:

2> if UE has sent *RRCConnectionResumeRequest* message and has not received *RRCConnectionResume* message:

3> reset MAC;

3> if UE is resuming an RRC connection after early security reactivation in accordance with conditions in 5.3.3.18:

4> perform the actions as specified in 5.3.3.9a;

3> else:

4> re-establish RLC for all RBs that are established;

4> suspend SRB1;

2> else:

3> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established;

2> if the UE is a NB-IoT UE:

3> if *connEstFailOffset* is included in *SystemInformationBlockType2-NB*:

4> use *connEstFailOffset* for the parameter Qoffsettemp for the concerned cell when performing cell selection and reselection according to TS 36.304 [4];

3> else:

4> use value of infinity for the parameter Qoffsettemp for the concerned cell when performing cell selection and reselection according to TS 36.304 [4];

NOTE 0: For NB-IoT, the number of times that the UE detects T300 expiry on the same cell before applying connEstFailOffset and the amount of time that the UE applies connEstFailOffset before removing the offset from evaluation of the cell is up to UE implementation.

2> else if the UE supports RRC Connection Establishment failure temporary Qoffset and T300 has expired a consecutive *connEstFailCount* times on the same cell for which *txFailParams* is included in *SystemInformationBlockType2*:

3> for a period as indicated by *connEstFailOffsetValidity*:

4> use *connEstFailOffset* for the parameter Qoffsettemp for the concerned cell when performing cell selection and reselection according to TS 36.304 [4] and TS 25.304 [40];

NOTE 1: When performing cell selection, if no suitable or acceptable cell can be found, it is up to UE implementation whether to stop using *connEstFailOffset* for the parameter Qoffsettemp during *connEstFailOffsetValidity* for the concerned cell.

2> except for NB-IoT, store the following connection establishment failure information in the *VarConnEstFailReport* by setting its fields as follows:

3> clear the information included in *VarConnEstFailReport*, if any;

3> set the *plmn-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1*;

3> set the *failedCellId* to the global cell identity of the cell where connection establishment failure is detected;

3> set the *measResultFailedCell* to include the RSRP and RSRQ, if available, of the cell where connection establishment failure is detected and based on measurements collected up to the moment the UE detected the failure;

3> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell re-selection, to include neighbouring cell measurements for at most the following number of neighbouring cells: 6 intra-frequency and 3 inter-frequency neighbours per frequency as well as 3 inter-RAT neighbours, per frequency/ set of frequencies (GERAN) per RAT and according to the following:

4> for each neighbour cell included, include the optional fields that are available;

NOTE 2: The UE includes the latest results of the available measurements as used for cell reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].

3> if available, set the *logMeasResultListWLAN* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;

3> if available, set the *logMeasResultListBT* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;

3> if detailed location information is available, set the content of the *locationInfo* as follows:

4> include the *locationCoordinates*;

4> include the *horizontalVelocity*, if available;

NOTE 3: Which location information related configuration is used by the UE to make the *logMeasResultListWLAN, logMeasResultListBT* and *locationInfo* available for inclusion in the *VarConnEstFailReport* is left to UE implementation.

3> set the *numberOfPreamblesSent* to indicate the number of preambles sent by MAC for the failed random access procedure;

3> set *contentionDetected* to indicate whether contention resolution was not successful as specified in TS 36.321 [6] for at least one of the transmitted preambles for the failed random access procedure;

3> set *maxTxPowerReached* to indicate whether or not the maximum power level was used for the last transmitted preamble, see TS 36.321 [6];

2> if in RRC\_INACTIVE:

3> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12, with release cause 'RRC Resume failure';

2> else inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication, upon which the procedure ends;

The UE may discard the connection establishment failure information, i.e. release the UE variable *VarConnEstFailReport,* 48 hours after the failure is detected, upon power off or upon detach.

NEXT CHANGE

5.3.3.16 Integrity check failure from lower layers while T300 is running

The UE shall:

1> upon receiving integrity check failure indication from lower layers concerning SRB1 or SRB2 while T300 is running and if the UE is resuming the RRC connection after early security reactivation in accordance with conditions in 5.3.3.18:

2> discard the stored UE AS context and *resumeIdentity*;

2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other';

1> upon receiving integrity check failure indication from lower layers while T300 is running and if the UE is resuming the RRC connection from RRC\_INACTIVE:

2> perform the actions upon leaving RRC\_INACTIVE as specified in 5.3.12, with release cause 'RRC Resume failure';

END OF CHANGES