**3GPP TSG-RAN WG2 Meeting #114-e *R2-210xxxx***

**Electronic, 19th May– 27th May, 2021**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** | **2551** | **rev** | **3** | **Current version:** | **16.4.1** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | Miscellaneous corrections on TS 38.331 for NR V2X | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | 5G\_V2X\_NRSL-Core | | | | |  | ***Date:*** | | | 2021-05-10 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | F |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | 1. “Sidelink communication” (without any prepositive) refers to R12/13 D2D communication in 3GPP specifications. However, in the current specification, “sidelink communication” in some clasues, e.g. 5.3.3.1a, 5.3.13.1a, 5.3.13.2 and 5.5, is refered to as NR sidelink communication/V2X sidelink communication, which should be modified. 2. In the field description of *valueN*, the reference clause number are left blank. 3. The handling of CG Type 2 while T310 is running is not clear in the specification. 4. How to handle “sl-RLC-bearerToReleaseList” for the UE is missing in current specification. 5. According to TS 38.214, the “MCS table indicator” field in SCI is set based on the RRC parameter sl-Additional-MCS-Table if two MCS tables are configured. However, the correspondence between the 1st /2nd tables and the 256QAM/qam64LowSE MCS tables in the sl-Additional-MCS-Table field is not clear. This may cause misaligned interpretation for SL UEs. 6. IE sl-CBR-CommonTxConfigList is included within SL-UE-SelectedConfig. But in definitions of pre-configured sidelink parameters, both sl-CBR-CommonTxConfigList and SL-UE-SelectedConfig are both imported. Only SL-UE-SelectedConfig is needed within IE SL-PreconfigurationNR, sl-CBR-CommonTxConfigList should be removed. 7. The parameter sl-TxPoolSelectedNormal can be used to indicate multiple resource pools, while the parameter sl-TxPoolExceptional is used to indicate a single resource pool. This has not been taken into account in some text in sub clause 5.8.8 when referring to the resource pool(s) indicated by sl-TxPoolSelectedNormal or sl-TxPoolExceptional. 8. Sensing is only required for sidelink resource allocation mode 2. 9. In NR spec, the procedural text seems hint there would be a single sync configuration for each frequency in pre-configuration, but the ASN.1 includes a list of sync configurations for each frequency in pre-configuration. So it is not clear whether a list of sync configurations is needed in pre-configuration. 10. In current RRC specification, there are 5 conditions for DRB release, the relationship between the 5 conditions is “or”, but “or” is missing between bullet 4 and bullet 5. 11. When PC5-RRC connection release is request by upper layers, UE should release DRB. But this condition for DRB release is missing in the current RRC specification. 12. “E-UTRA sidelink configuration/communication” should be “V2X sidelink configuration/communication” in clause 5.3.5.8 and 6.3.3. 13. The need code of *sl-MeasConfigInfoToAddModList* should be “Need N”. The reason is that according to the below ASN.1 guideline for the use of *ToAddModList* and *ToReleaseList* described in TS 38.331 A.3.9, elementsToAddModList or elementsToReleaseList should be optional and flagged as Need N.   “*Both lists should be made OPTIONAL and flagged as "Need N". The need code reflects that the UE does not maintain the received lists as such but rather updates its configuration using the information therein. In other words, it is not possible to provide via delta signalling an update to a previously signalled elementsToAddModList or elementsToReleaseList (which Need M would imply). The update is always in relation to the UE's internal configuration.*”   1. In the current RRC specification, according to the description of AS security, SL-SRB1 is both integrity protected and ciphered 2. Some editorials still exist. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | 1. Change “sidelink communication” to “NR sidelink communication/V2X sidelink communication” in clause 5.3.3.1a, 5.3.13.1a, 5.3.13.2 and 5.5. 2. Update the reference clause in the field description of *valueN*. 3. Clarified that the UE should not use sidelink configured grant type 2 resources while T310 is running. 4. Add the description of how to handle sl-RLC-bearerToReleaseList for the UE. 5. In section 6.3.5, add clarification in the field description of sl-Additional-MCS-Table when two MCS tables are configured. 6. Delete sl-CBR-CommonTxConfigList-r16 in declaration of NR-Sidelink-Preconf. 7. Clarify in sub clause 5.8.8 that for sidelink resource allocation mode 2, for sl-TxPoolExceptional, the (single) indicated resource pool is used and for sl-TxPoolSelectedNormal, one of the indicated resource pools is used. 8. Clarify in the last paragraph (i.e. on sensing) of sub clause 5.8.8 that it is only applied for sidelink resource allocation mode 2. 9. Clarify in the field description of sl-SyncConfigList that when this field is configured in SL-PreconfigurationNR-r16, only one entry is configured in sl-SyncConfigList. 10. Add “or” after bullet 4 in section 5.8.9.1a.1.1. 11. Add one condition for DRB release that is the PC5-RRC connection release for the specific destination is requested by upper layers. 12. Change “E-UTRA sidelink configuration/communication” to “V2X sidelink configuration/communication” in clause 5.3.5.8 and 6.3.3. 13. Change the need code of *sl-MeasConfigInfoToAddModList* to “Need N”. 14. For description of AS security, clarify that SL-SRB1 carrying Direct Security Mode Command message only needs integrity protection, not ciphering 15. Fix typos and editorials.   **Impact analysis**  **Impacted 5G architecture options:**  Standalone and Non-Standalone  **Impacted functionality:**  Sidelink RRC  **Inter-operability:**  If the network is implemented according to this CR while the UE is not, there is no inter-operability issue.  If the UE is implemented according to this CR while the network is not, there is no inter-operability issue.  If one UE is implemented according to this CR while the other UE is not, there is no inter-operability issue. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Some editorials still exist in the RRC specification. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 5.3.3.1a, 5.3.5.8.2, 5.3.5.14, 5.3.13.1a, 5.3.13.2, 5.5.1, 5.8.6.2, 5.8.1, 5.8.7, 5.8.8, 5.8.9.1.2, 5.8.9.1a.1, 5.8.12, 6.2.2, 6.3.1, 6.3.5, 9.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

|  |
| --- |
| START OF CHANGE |

5.3.3.1a Conditions for establishing RRC Connection for NR sidelink communication/V2X sidelink communication

For NR sidelink communication, an RRC connection establishment is initiated only in the following cases:

1> if configured by upper layers to transmit NR sidelink communication and related data is available for transmission:

2> if the frequency on which the UE is configured to transmit NR sidelink communication is included in *sl-FreqInfoList* within *SIB12* provided by the cell on which the UE camps; and if the valid version of *SIB12* does not include *sl-TxPoolSelectedNormal* for the concerned frequency;

For V2X sidelink communication, an RRC connection is initiated only when the conditions specified for V2X sidelink communication in subclause 5.3.3.1a of TS 36.331 [10] are met.

NOTE: Upper layers initiate an RRC connection. The interaction with NAS is left to UE implementation.

|  |
| --- |
| NEXT CHANGE |

##### 5.3.5.8.2 Inability to comply with *RRCReconfiguration*

The UE shall:

1> if the UE is in (NG)EN-DC:

2> if the UE is unable to comply with (part of) the configuration included in the *RRCReconfiguration* message received over SRB3;

3> if the *RRCReconfiguration* message was received as part of *ConditionalReconfiguration*:

4> continue using the configuration used prior to when the inability to comply with the *RRCReconfiguration* message was detected;

3> else:

4> continue using the configuration used prior to the reception of *RRCReconfiguration* message;

3> if MCG transmission is not suspended:

4> initiate the SCG failure information procedure as specified in subclause 5.7.3 to report SCG reconfiguration error, upon which the connection reconfiguration procedure ends;

3> else:

4> initiate the connection re-establishment procedure as specified in TS 36.331 [10], clause 5.3.7, upon which the connection reconfiguration procedure ends;

2> else, if the UE is unable to comply with (part of) the configuration included in the *RRCReconfiguration* message received over SRB1;

3> if the *RRCReconfiguration* message was received as part of *ConditionalReconfiguration*:

4> continue using the configuration used prior to when the inability to comply with the *RRCReconfiguration* message was detected;

3> else:

4> continue using the configuration used prior to the reception of *RRCReconfiguration* message;

3> initiate the connection re-establishment procedure as specified in TS 36.331 [10], clause 5.3.7, upon which the connection reconfiguration procedure ends.

1> else if *RRCReconfiguration* is received via NR (i.e., NR standalone, NE-DC, or NR-DC):

2> if the UE is unable to comply with (part of) the configuration included in the *RRCReconfiguration* message received over SRB3;

NOTE 0: This case does not apply in NE-DC.

3> if the *RRCReconfiguration* message was received as part of *ConditionalReconfiguration*:

4> continue using the configuration used prior to when the inability to comply with the *RRCReconfiguration* message was detected;

3> else:

4> continue using the configuration used prior to the reception of *RRCReconfiguration* message;

3> if MCG transmission is not suspended:

4> initiate the SCG failure information procedure as specified in subclause 5.7.3 to report SCG reconfiguration error, upon which the connection reconfiguration procedure ends;

3> else:

4> initiate the connection re-establishment procedure as specified in clause 5.3.7, upon which the connection reconfiguration procedure ends;

2> else if the UE is unable to comply with (part of) the configuration included in the *RRCReconfiguration* message received over the SRB1 or if the upper layers indicate that the *nas-Container* is invalid:

NOTE 0a: The compliance also covers the SCG configuration carried within octet strings e.g. field *mrdc-SecondaryCellGroupConfig*. I.e. the failure behaviour defined also applies in case the UE cannot comply with the embedded SCG configuration or with the combination of (parts of) the MCG and SCG configurations.

NOTE 0b: The compliance also covers the V2X sidelink configuration carried within an octet string, e.g. field *sl-ConfigDedicatedEUTRA*. I.e. the failure behaviour defined also applies in case the UE cannot comply with the embedded V2X sidelink configuration.

3> if the *RRCReconfiguration* message was received as part of *ConditionalReconfiguration*:

4> continue using the configuration used prior to when the inability to comply with the *RRCReconfiguration* message was detected;

3> else:

4> continue using the configuration used prior to the reception of *RRCReconfiguration* message;

3> if AS security has not been activated:

4> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'other'

3> else if AS security has been activated but SRB2 and at least one DRB or, for IAB, SRB2,have not been setup:

4> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure';

3> else:

4> initiate the connection re-establishment procedure as specified in 5.3.7, upon which the reconfiguration procedure ends;

1> else if *RRCReconfiguration* is received via other RAT (Handover to NR failure):

2> if the UE is unable to comply with any part of the configuration included in the *RRCReconfiguration* message or if the upper layers indicate that the *nas-Container* is invalid:

3> perform the actions defined for this failure case as defined in the specifications applicable for the other RAT.

NOTE 1: The UE may apply above failure handling also in case the *RRCReconfiguration* message causes a protocol error for which the generic error handling as defined in clause 10 specifies that the UE shall ignore the message.

NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/failure.

NOTE 3: It is up to UE implementation whether the compliance check for an *RRCReconfiguration* received as part of *ConditionalReconfiguration* is performed upon the reception of the message or upon CHO and CPC execution (when the message is required to be applied).

3> add a new entry for this *sl-DestinationIndex* to the stored NR sidelink measurement configuration.

|  |
| --- |
| NEXT CHANGE |

#### 5.3.5.14 Sidelink dedicated configuration

Upon initiating the procedure, the UE shall:

1> if *sl-FreqInfoToReleaseList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> for each entry included in the received *sl-FreqInfoToReleaseList* that is part of the current UE configuration:

3> release the related configurations from the stored NR sidelink communication configurations;

1> if *sl-FreqInfoToAddModList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> if configured to receive NR sidelink communication:

3> use the resource pool(s) indicated by *sl-RxPool* for NR sidelink communication reception, as specified in 5.8.7;

2> if configured to transmit NR sidelink communication:

3> use the resource pool(s) indicated by *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling* or *sl-TxPoolExceptional* for NR sidelink communication transmission, as specified in 5.8.8;

2> perform CBR measurement on the transmission resource pools indicated by *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling* or *sl-TxPoolExceptional* for NR sidelink communication transmission, as specified in 5.5.3;

2> use the synchronization configuration parameters for NR sidelink communication on frequencies included in *sl-FreqInfoToAddModList*, as specified in 5.8.5;

1> if *sl-RadioBearerToReleaseList* or *sl-RLC-BearerToReleaseList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> perform sidelink DRB release as specified in 5.8.9.1a.1;

1> if *sl-RadioBearerToAddModList* or *sl-RLC-BearerToAddModList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> perform sidelink DRB addition/modification as specified in 5.8.9.1a.2;

1> if *sl-ScheduledConfig* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> configure the MAC entity parameters, which are to be used for NR sidelink communication, in accordance with the received *sl-ScheduledConfig*;

1> if *sl-UE-SelectedConfig* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> configure the parameters, which are to be used for NR sidelink communication, in accordance with the received *sl-UE-SelectedConfig*;

1> if *sl-MeasConfigInfoToReleaseList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> for each *SL-DestinationIndex* included in the received *sl-MeasConfigInfoToReleaseList* that is part of the current UE configuration:

3> remove the entry with the matching *SL-DestinationIndex* from the stored NR sidelink measurement configuration information;

1> if *sl-MeasConfigInfoToAddModList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> for each *sl-DestinationIndex* included in the received *sl-MeasConfigInfoToAddModList* that is part of the current stored NR sidelink measurement configuration:

3> reconfigure the entry according to the value received for this *sl-DestinationIndex* from the stored NR sidelink measurement configuration information;

2> for each *sl-DestinationIndex* included in the received *sl-MeasConfigInfoToAddModList* that is not part of the current stored NR sidelink measurement configuration:

3> add a new entry for this *sl-DestinationIndex* to the stored NR sidelink measurement configuration.

|  |
| --- |
| NEXT CHANGE |

#### 5.3.13.1a Conditions for resuming RRC Connection for NR sidelink communication/V2X sidelink communication

For NR sidelink communication an RRC connection is resumed only in the following cases:

1> if configured by upper layers to transmit NR sidelink communication and related data is available for transmission:

2> if the frequency on which the UE is configured to transmit NR sidelink communication is included in *sl-FreqInfoList* within *SIB12* provided by the cell on which the UE camps; and if the valid version of *SIB12* does not include *sl-TxPoolSelectedNormal* for the concerned frequency;

For V2X sidelink communication an RRC connection resume is initiated only when the conditions specified for V2X sidelink communication in subclause 5.3.3.1a of TS 36.331 [10] are met.

NOTE: Upper layers initiate an RRC connection resume. The interaction with NAS is left to UE implementation.

#### 5.3.13.2 Initiation

The UE initiates the procedure when upper layers or AS (when responding to RAN paging, upon triggering RNA updates while the UE is in RRC\_INACTIVE, or for NR sidelink communication/V2X sidelink communication as specified in sub-clause 5.3.13.1a) requests the resume of a suspended RRC connection.

The UE shall ensure having valid and up to date essential system information as specified in clause 5.2.2.2 before initiating this procedure.

Upon initiation of the procedure, the UE shall:

1> if the resumption of the RRC connection is triggered by response to NG-RAN paging:

2> select '0' as the Access Category;

2> perform the unified access control procedure as specified in 5.3.14 using the selected Access Category and one or more Access Identities provided by upper layers;

3> if the access attempt is barred, the procedure ends;

1> else if the resumption of the RRC connection is triggered by upper layers:

2> if the upper layers provide an Access Category and one or more Access Identities:

3> perform the unified access control procedure as specified in 5.3.14 using the Access Category and Access Identities provided by upper layers;

4> if the access attempt is barred, the procedure ends;

2> set the *resumeCause* in accordance with the information received from upper layers;

1> else if the resumption of the RRC connection is triggered due to an RNA update as specified in 5.3.13.8:

2> if an emergency service is ongoing:

NOTE: How the RRC layer in the UE is aware of an ongoing emergency service is up to UE implementation.

3> select '2' as the Access Category;

3> set the *resumeCause* to *emergency*;

2> else:

3> select '8' as the Access Category;

2> perform the unified access control procedure as specified in 5.3.14 using the selected Access Category and one or more Access Identities to be applied as specified in TS 24.501 [23];

3> if the access attempt is barred:

4> set the variable *pendingRNA-Update* to *true*;

4> the procedure ends;

1> if the UE is in NE-DC or NR-DC:

2> if the UE does not support maintaining SCG configuration upon connection resumption:

3> release the MR-DC related configurations (i.e., as specified in 5.3.5.10) from the UE Inactive AS context, if stored;

1> if the UE does not support maintaining the MCG SCell configurations upon connection resumption:

2> release the MCG SCell(s) from the UE Inactive AS context, if stored;

1> apply the default L1 parameter values as specified in corresponding physical layer specifications, except for the parameters for which values are provided in *SIB1*;

1> apply the default SRB1 configuration as specified in 9.2.1;

1> apply the default MAC Cell Group configuration as specified in 9.2.2;

1> release *delayBudgetReportingConfig* from the UE Inactive AS context, if stored;

1> stop timer T342, if running;

1> release *overheatingAssistanceConfig* from the UE Inactive AS context, if stored;

1> stop timer T345, if running;

1> release *idc-AssistanceConfig* from the UE Inactive AS context, if stored;

1> release *drx-PreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346a, if running;

1> release *maxBW-PreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346b, if running;

1> release *maxCC-PreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346c, if running;

1> release *maxMIMO-LayerPreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346d, if running;

1> release *minSchedulingOffsetPreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346e, if running;

1> release *releasePreferenceConfig* from the UE Inactive AS context, if stored;

1> stop timer T346f, if running;

1> release *referenceTimePreferenceReporting* from the UE Inactive AS context, if stored;

1> release *sl-AssistanceConfigNR* from the UE Inactive AS context, if stored;

1> apply the CCCH configuration as specified in 9.1.1.2;

1> apply the *timeAlignmentTimerCommon* included in *SIB1*;

1> start timer T319;

1> set the variable *pendingRNA-Update* to *false*;

1> initiate transmission of the *RRCResumeRequest* message or *RRCResumeRequest1* in accordance with 5.3.13.3.

|  |
| --- |
| NEXT CHANGE |

### 5.5.1 Introduction

The network may configure an RRC\_CONNECTED UE to perform measurements. The network may configure the UE to report them in accordance with the measurement configuration or perform conditional reconfiguration evaluation in accordance with the conditional reconfiguration. The measurement configuration is provided by means of dedicated signalling i.e. using the *RRCReconfiguration* or *RRCResume.*

The network may configure the UE to perform the following types of measurements:

- NR measurements;

- Inter-RAT measurements of E-UTRA frequencies.

- Inter-RAT measurements of UTRA-FDD frequencies.

The network may configure the UE to report the following measurement information based on SS/PBCH block(s):

- Measurement results per SS/PBCH block;

- Measurement results per cell based on SS/PBCH block(s);

- SS/PBCH block(s) indexes.

The network may configure the UE to report the following measurement information based on CSI-RS resources:

- Measurement results per CSI-RS resource;

- Measurement results per cell based on CSI-RS resource(s);

- CSI-RS resource measurement identifiers.

The network may configure the UE to perform the following types of measurements for NR sidelink and V2X sidelink:

- CBR measurements.

The network may configure the UE to report the following CLI measurement information based on SRS resources:

- Measurement results per SRS resource;

- SRS resource(s) indexes.

The network may configure the UE to report the following CLI measurement information based on CLI-RSSI resources:

- Measurement results per CLI-RSSI resource;

- CLI-RSSI resource(s) indexes.

The measurement configuration includes the following parameters:

**1. Measurement objects:** A list of objects on which the UE shall perform the measurements.

- For intra-frequency and inter-frequency measurements a measurement object indicates the frequency/time location and subcarrier spacing of reference signals to be measured. Associated with this measurement object, the network may configure a list of cell specific offsets, a list of 'blacklisted' cells and a list of 'whitelisted' cells. Blacklisted cells are not applicable in event evaluation or measurement reporting. Whitelisted cells are the only ones applicable in event evaluation or measurement reporting.

- The *measObjectId* of the MO which corresponds to each serving cell is indicated by *servingCellMO* within the serving cell configuration.

- For inter-RAT E-UTRA measurements a measurement object is a single E-UTRA carrier frequency. Associated with this E-UTRA carrier frequency, the network can configure a list of cell specific offsets, a list of 'blacklisted' cells and a list of 'whitelisted' cells. Blacklisted cells are not applicable in event evaluation or measurement reporting. Whitelisted cells are the only ones applicable in event evaluation or measurement reporting.

- For inter-RAT UTRA-FDD measurements a measurement object is a set of cells on a single UTRA-FDD carrier frequency.

- For CBR measurement of NR sidelink communication, a measurement object is a set of transmission resource pool(s) on a single carrier frequency for NR sidelink communication.

- For CLI measurements a measurement object indicates the frequency/time location of SRS resources and/or CLI-RSSI resources, and subcarrier spacing of SRS resources to be measured.

**2. Reporting configurations:** A list of reporting configurations where there can be one or multiple reporting configurations per measurement object. Each measurement reporting configuration consists of the following:

- Reporting criterion: The criterion that triggers the UE to send a measurement report. This can either be periodical or a single event description.

- RS type: The RS that the UE uses for beam and cell measurement results (SS/PBCH block or CSI-RS).

- Reporting format: The quantities per cell and per beam that the UE includes in the measurement report (e.g. RSRP) and other associated information such as the maximum number of cells and the maximum number beams per cell to report.

In case of conditional reconfiguration, each configuration consists of the following:

- Execution criteria: The criteria the UE uses for conditional reconfiguration execution.

- RS type: The RS that the UE uses for obtaining beam and cell measurement results (SS/PBCH block-based or CSI-RS-based), used for evaluating conditional reconfiguration execution condition.

**3. Measurement identities:** For measurement reporting, a list of measurement identities where each measurement identity links one measurement object with one reporting configuration. By configuring multiple measurement identities, it is possible to link more than one measurement object to the same reporting configuration, as well as to link more than one reporting configuration to the same measurement object. The measurement identity is also included in the measurement report that triggered the reporting, serving as a reference to the network. For conditional reconfiguration triggering, one measurement identity links to exactly one conditional reconfiguration trigger configuration. And up to 2 measurement identities can be linked to one conditional reconfiguration execution condition.

**4. Quantity configurations:** The quantity configuration defines the measurement filtering configuration used for all event evaluation and related reporting, and for periodical reporting of that measurement. For NR measurements, the network may configure up to 2 quantity configurations with a reference in the NR measurement object to the configuration that is to be used. In each configuration, different filter coefficients can be configured for different measurement quantities, for different RS types, and for measurements per cell and per beam.

**5. Measurement gaps:** Periods that the UE may use to perform measurements.

A UE in RRC\_CONNECTED maintains a measurement object list, a reporting configuration list, and a measurement identities list according to signalling and procedures in this specification. The measurement object list possibly includes NR measurement object(s), CLI measurement object(s) and inter-RAT objects. Similarly, the reporting configuration list includes NR and inter-RAT reporting configurations. Any measurement object can be linked to any reporting configuration of the same RAT type. Some reporting configurations may not be linked to a measurement object. Likewise, some measurement objects may not be linked to a reporting configuration.

The measurement procedures distinguish the following types of cells:

1. The NR serving cell(s) – these are the SpCell and one or more SCells.

2. Listed cells – these are cells listed within the measurement object(s).

3. Detected cells – these are cells that are not listed within the measurement object(s) but are detected by the UE on the SSB frequency(ies) and subcarrier spacing(s) indicated by the measurement object(s).

For NR measurement object(s), the UE measures and reports on the serving cell(s), listed cells and/or detected cells. For inter-RAT measurements object(s) of E-UTRA, the UE measures and reports on listed cells and detected cells and, for RSSI and channel occupancy measurements, the UE measures and reports on the configured resources on the indicated frequency. For inter-RAT measurements object(s) of UTRA-FDD, the UE measures and reports on listed cells. For CLI measurement object(s), the UE measures and reports on configured measurement resources (i.e. SRS resources and/or CLI-RSSI resources).

Whenever the procedural specification, other than contained in sub-clause 5.5.2, refers to a field it concerns a field included in the *VarMeasConfig* unless explicitly stated otherwise i.e. only the measurement configuration procedure covers the direct UE action related to the received *measConfig*.

In NR-DC, the UE may receive two independent *measConfig*:

- a *measConfig*, associated with MCG, that is included in the *RRCReconfiguration* message received via SRB1; and

- a *measConfig*, associated with SCG, that is included in the *RRCReconfiguration* message received via SRB3, or, alternatively, included within a *RRCReconfiguration* message embedded in a *RRCReconfiguration* message received via SRB1.

In this case, the UE maintains two independent *VarMeasConfig* and *VarMeasReportList*, one associated with each *measConfig*, and independently performs all the procedures in clause 5.5 for each *measConfig* and the associated *VarMeasConfig* and *VarMeasReportList*, unless explicitly stated otherwise.

The configurations related to CBR measurments are only included in the *measConfig* associated with MCG.

|  |
| --- |
| NEXT CHANGE |

#### 5.8.6.2 Selection and reselection of synchronisation reference

The UE shall:

1> if the frequency used for NR sidelink communication is included in *sl-FreqInfoToAddModList* in *sl-ConfigDedicatedNR* within *RRCReconfiguration* message or includedin *sl-ConfigCommonNR* within *SIB12*, and *sl-SyncPriority* is configured for the concerned frequency and set to *gnbEnb*:

2> select a cell as the synchronization reference source as defined in 5.8.6.3:

1> else if the frequency used for NR sidelink communication is included in *sl-FreqInfoToAddModList* in *sl-ConfigDedicatedNR* within *RRCReconfiguration* message or includedin *sl-ConfigCommonNR* within *SIB12*, and *sl-SyncPriority* for the concerned frequency is not configured or is set to *gnss*, and GNSS is reliable in accordance with TS 38.101-1 [15] and TS 38.133 [14]:

2> select GNSS as the synchronization reference source;

1> else if the frequency used for NR sidelink communication is included in *SL-PreconfigurationNR*, and *sl-SyncPriority* in *SidelinkPreconfigNR* is set to *gnss* and GNSS is reliable in accordance with TS 38.101-1 [15] and TS 38.133 [14]:

2> select GNSS as the synchronization reference source;

1> else:

2> perform a full search (i.e. covering all subframes and all possible SLSSIDs) to detect candidate SLSS, in accordance with TS 38.133 [14]

2> when evaluating the one or more detected SLSSIDs, apply layer 3 filtering as specified in 5.5.3.2 using the preconfigured *sl-filterCoefficient*, before using the PSBCH-RSRP measurement results;

2> if the UE has selected a SyncRef UE:

3> if the PSBCH-RSRP of the strongest candidate SyncRef UE exceeds the minimum requirement TS 38.133 [14] by *sl-SyncRefMinHyst* and the strongest candidate SyncRef UE belongs to the same priority group as the current SyncRef UE and the PSBCH-RSRP of the strongest candidate SyncRef UE exceeds the PSBCH-RSRP of the current SyncRef UE by *syncRefDiffHyst*; or

3> if the PSBCH-RSRP of the candidate SyncRef UE exceeds the minimum requirement TS 38.133 [14] by *sl-SyncRefMinHyst* and the candidate SyncRef UE belongs to a higher priority group than the current SyncRef UE; or

3> if GNSS becomes reliable in accordance with TS 38.101-1 [15] and TS 38.133 [14], and GNSS belongs to a higher priority group than the current SyncRef UE; or

3> if a cell is detected and gNB/eNB (if *sl-NbAsSync* is set to *true*) belongs to a higher priority group than the current SyncRef UE; or

3> if the PSBCH-RSRP of the current SyncRef UE is less than the minimum requirement defined in TS 38.133 [14]:

4> consider no SyncRef UE to be selected;

2> if the UE has selected GNSS as the synchronization reference for NR sidelink communication:

3> if the PSBCH-RSRP of the candidate SyncRef UE exceeds the minimum requirement defined in TS 38.133 [14] by *sl-SyncRefMinHyst* and the candidate SyncRef UE belongs to a higher priority group than GNSS; or

3> if GNSS becomes not reliable in accordance with TS 38.101-1 [15] and TS 38.133 [14]:

4> consider GNSS not to be selected;

2> if the UE has selected cell as the synchronization reference for NR sidelink communication:

3> if the PSBCH-RSRP of the candidate SyncRef UE exceeds the minimum requirement defined in TS 38.133 [14] by *sl-SyncRefMinHyst* and the candidate SyncRef UE belongs to a higher priority group than gNB/eNB; or

3> if the selected cell is not detected:

4> consider the cell not to be selected;

2> if the UE has not selected any synchronization reference:

3> if the UE detects one or more SLSSIDs for which the PSBCH-RSRP exceeds the minimum requirement defined in TS 38.133 [14] by *sl-SyncRefMinHyst* and for which the UE received the corresponding *MasterInformationBlockSidelink* message (candidate SyncRef UEs), or if the UE detects GNSS that is reliable in accordance with TS 38.101-1 [15] and TS 38.133 [14], or if the UE detects a cell, select a synchronization reference according to the following priority group order:

4> if *sl-SyncPriority* corresponding to the concerned frequency is set to *gnbEnb*:

5> UEs of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlockSidelink* message received from this UE, is set to *true*, starting with the UE with the highest PSBCH-RSRP result (priority group 1);

5> UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlockSidelink* message received from this UE, is set to *false*, starting with the UE with the highest PSBCH-RSRP result (priority group 2);

5> GNSS that is reliable in accordance with TS 38.101-1 [15] and TS 38.133 [14] (priority group 3);

5> UEs of which SLSSID is 0, and *inCoverage*, included in the *MasterInformationBlockSidelink* message received from this UE, is set to *true,* or of which SLSSID is 0 and SLSS is transmitted on slot(s) indicated by *sl-SSB-TimeAllocation3*, starting with the UE with the highest PSBCH-RSRP result (priority group 4);

5> UEs of which SLSSID is 0 and SLSS is not transmitted on slot(s) indicated by *sl-SSB-TimeAllocation3*, and *inCoverage*, included in the *MasterInformationBlockSidelink* message received from this UE, is set to *false*, starting with the UE with the highest PSBCH-RSRP result (priority group 5);

5> UEs of which SLSSID is 337 and *inCoverage*, included in the *MasterInformationBlockSidelink* message received from this UE, is set to *false*, starting with the UE with the highest PSBCH-RSRP result (priority group 5);

5> Other UEs, starting with the UE with the highest PSBCH-RSRP result (priority group 6);

4> if *sl-SyncPriority* corresponding to the concerned frequency is set to *gnss*, and *sl-NbAsSync* is set to *true:*

5> UEs of which SLSSID is 0, and *inCoverage*, included in the *MasterInformationBlockSidelink* message received from this UE, is set to *true*,or of which SLSSID is 0 and SLSS is transmitted on slot(s) indicated by *sl-SSB-TimeAllocation3*, starting with the UE with the highest PSBCH-RSRP result (priority group 1);

5> UEs of which SLSSID is 0 and SLSS is not transmitted on slot(s) indicated by *sl-SSB-TimeAllocation3*, and *inCoverage*, included in the *MasterInformationBlockSidelink* message received from this UE, is set to *false*, starting with the UE with the highest PSBCHS-RSRP result (priority group 2);

5> UEs of which SLSSID is 337 and *inCoverage*, included in the *MasterInformationBlockSidelink* message received from this UE, is set to *false*, starting with the UE with the highest PSBCH-RSRP result (priority group 2);

5> the cell detecteted by the UE as defined in 5.8.6.3 (priority group 3);

5> UEs of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlockSidelink* message received from this UE, is set to *true*, starting with the UE with the highest PSBCH-RSRP result (priority group 4);

5> UE of which SLSSID is part of the set defined for in coverage, and *inCoverage*, included in the *MasterInformationBlockSidelink* message received from this UE, is set to *false*, starting with the UE with the highest PSBCH-RSRP result (priority group 5);

5> Other UEs, starting with theUE with the highest S-RSRP result (priority group 6);

4> if *sl-SyncPriority* corresponding to the concerned frequency is set to *gnss*, and *sl-NbAsSync* is set to *false:*

5> UEs of which SLSSID is 0, and *inCoverage*, included in the *MasterInformationBlockSidelink* message received from this UE, is set to *true*, or of which SLSSID is 0 and SLSS is transmitted on slot(s) indicated by *sl-SSB-TimeAllocation3*, starting with the UE with the highest PSBCH-RSRP result (priority group 1);

5> UEs of which SLSSID is 0 and SLSS is not transmitted on slot(s) indicated by *sl-SSB-TimeAllocation3*, and *inCoverage*, included in the *MasterInformationBlockSidelink* message received from this UE, is set to *false*, starting with the UE with the highest PSBCHS-RSRP result (priority group 2);

5> UEs of which SLSSID is 337 and *inCoverage*, included in the *MasterInformationBlockSidelink* message received from this UE, is set to *false*, starting with the UE with the highest PSBCH-RSRP result (priority group 2);

5> Other UEs, starting with the UE with the highest PSBCH-RSRP result (priority group 3);

NOTE: How the UE achieves subframe boundary alignment between V2X sidelink communication and NR sidelink communication (if both are performed by the UE) is as specified in TS 38.213, clause 16.7.

|  |
| --- |
| NEXT CHANGE |

### 5.8.1 General

NR sidelink communication consists of unicast, groupcast and broadcast. For unicast, the PC5-RRC connection is a logical connection between a pair of a Source Layer-2 ID and a Destination Layer-2 ID in the AS. The PC5-RRC signalling, as specified in sub-clause 5.8.9, can be initiated after its corresponding PC5 unicast link establishment (TS 23.287 [55]). The PC5-RRC connection and the corresponding sidelink SRBs and sidelink DRB(s) are released when the PC5 unicast link is released as indicated by upper layers.

For each PC5-RRC connection of unicast, one sidelink SRB (i.e. SL-SRB0) is used to transmit the PC5-S message(s) before the PC5-S security has been established. One sidelink SRB (i.e. SL-SRB1) is used to transmit the PC5-S messages to establish the PC5-S security. One sidelink SRB (i.e. SL-SRB2) is used to transmit the PC5-S messages after the PC5-S security has been established, which is protected. One sidelink SRB (i.e. SL-SRB3) is used to transmit the PC5-RRC signalling, which is protected and only sent after the PC5-S security has been established.

For unicast of NR Sidelink communication, AS security comprises of integrity protection of PC5 signalling (SL-SRB1, SL-SRB2 and SL-SRB3) and user data (SL-DRBs), and it further comprises of ciphering of PC5 signaling (SL-SRB1 only for the Direct Link Security Mode Complete message as specified in TS 24.587[57], SL-SRB2 and SL-SRB3) and user data (SL-DRBs). The ciphering and integrity protection algorithms and parameters for a PC5 unicast link are exchanged by PC5-S messages in the upper layers as specified in TS 33.536 [60], and apply to the corresponding PC5-RRC connection in the AS. Once AS security is activated for a PC5 unicast link in the upper layers as specified in TS 33.536 [60], all messages on SL-SRB2 and SL-SRB3 and/or user data on SL-DRBs of the corresponding PC5-RRC connection are integrity protected and/or ciphered by the PDCP.

For unicast of NR Sidelink communication, if the change of the key is indicated by the upper layers as specified in TS 24.587 [57], UE re-establishes the PDCP entity of the SL-SRB1, SL-SRB2, SL-SRB3 and SL-DRBs on the corresponding PC5-RRC connection.

NOTE 1: In case the configurations for NR sidelink communication are acquired via the E-UTRA, the configurations for NR sidelink communication in *SIB12* and *sl-ConfigDedicatedNR* within *RRCReconfiguration* used in subclause 5.8 are provided by the configurations in *SystemInformationBlockType28* and *sl-ConfigDedicatedNR* within *RRCConnectionReconfiguration* as specified in TS 36.331 [10], respectively.

NOTE 2: In this release, there is one-to-one correspondence between the PC5-RRC connection and the PC5 unicast link as specified in TS 38.300[2].

NOTE3: All SL-DRBs related to the same PC5-RRC connection have the same activation/deactivation setting for ciphering and the same activation/deactivation setting for integrity protection as in TS 33.536 [60].

|  |
| --- |
| NEXT CHANGE |

### 5.8.7 Sidelink communication reception

A UE capable of NR sidelink communication that is configured by upper layers to receive NR sidelink communication shall:

1> if the conditions for NR sidelink communication operation as defined in 5.8.2 are met:

2> if the frequency used for NR sidelink communication is included in *sl-FreqInfoToAddModList* in *RRCReconfiguration* message or *sl-FreqInfoList* included in *SIB12*:

3> if the UE is configured with *sl-RxPool* included in *RRCReconfiguration* message with *reconfigurationwithSync* (i.e. handover):

4> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources indicated by *sl-RxPool*;

3> else if the cell chosen for NR sidelink communication transmission provides *SIB12*:

4> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources indicated by *sl-RxPool in SIB12*;

2> else:

3> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources that were preconfigured by *sl-RxPool* in *SL-PreconfigurationNR*, asdefined in sub-clause 9.3;

|  |
| --- |
| NEXT CHANGE |

### 5.8.8 Sidelink communication transmission

A UE capable of NR sidelink communication that is configured by upper layers to transmit NR sidelink communication and has related data to be transmitted shall:

1> if the conditions for NR sidelink communication operation as defined in 5.8.2 are met:

2> if the frequency used for NR sidelink communication is included in *sl-FreqInfoToAddModList* in *sl-ConfigDedicatedNR* within *RRCReconfiguration* message or includedin *sl-ConfigCommonNR* within *SIB12*:

3> if the UE is in RRC\_CONNECTED and uses the frequency included in *sl-ConfigDedicatedNR* within *RRCReconfiguration* message:

4> if the UE is configured with *sl-ScheduledConfig*:

5> if T310 for MCG or T311 is running; and if *sl-TxPoolExceptional* is included in *sl-FreqInfoList* for the concerned frequency in *SIB12* or included in *sl-ConfigDedicatedNR* in *RRCReconfiguration*; or

5> if T301 is running and the cell on which the UE initiated RRC connection re-establishment provides *SIB12* including *sl-TxPoolExceptional* for the concerned frequency; or

5> if T304 for MCG is running and the UE is configured with *sl-TxPoolExceptional* included in *sl-ConfigDedicatedNR* for the concerned frequency in *RRCReconfiguration*:

6> configure lower layers to perform the sidelink resource allocation mode 2 based on random selection using the pool of resources indicated by *sl-TxPoolExceptional* as defined in TS 38.321 [3];

5> else:

6> configure lower layers to perform the sidelink resource allocation mode 1 for NR sidelink communication;

5> if T311 is running, configure the lower layers to release the resources indicated by *rrc-ConfiguredSidelinkGrant* (if any);

4> if the UE is configured with *sl-UE-SelectedConfig*:

5> if a result of sensing on the resources configured in *sl-TxPoolSelectedNormal* for the concerned frequency included in *sl-ConfigDedicatedNR* within *RRCReconfiguration* is not available in accordance with TS 38.214 [19];

6> if *sl-TxPoolExceptional* for the concerned frequency is included in *RRCReconfiguration*; or

6> if the PCell provides *SIB12* including *sl-TxPoolExceptional* in *sl-FreqInfoList* for the concerned frequency:

7> configure lower layers to perform the sidelink resource allocation mode 2 based on random selection using the pool of resources indicated by *sl-TxPoolExceptional* as defined in TS 38.321 [3];

5> else, if the *sl-TxPoolSelectedNormal* for the concerned frequency is included in the *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

6> configure lower layers to perform the sidelink resource allocation mode 2 based on sensing (as defined in TS 38.321 [3] and TS 38.214 [19]) using the pools of resources indicated by *sl-TxPoolSelectedNormal* for the concerned frequency;

3> else:

4> if the cell chosen for NR sidelink communication transmission provides *SIB12*:

5> if *SIB12* includes *sl-TxPoolSelectedNormal* for the concerned frequency,and a result of sensing on the resources configured in the *sl-TxPoolSelectedNormal* is available in accordance with TS 38.214 [19]

6> configure lower layers to perform the sidelink resource allocation mode 2 based on sensing using the pools of resources indicated by *sl-TxPoolSelectedNormal* for the concerned frequency as defined in TS 38.321 [3];

5> else if *SIB12* includes *sl-TxPoolExceptional* for the concerned frequency:

6> from the moment the UE initiates RRC connection establishment or RRC connection resume, until receiving an *RRCReconfiguration* including *sl-ConfigDedicatedNR*, or receiving an *RRCRelease* or an *RRCReject*; or

6> if a result of sensing on the resources configured in *sl-TxPoolSelectedNormal* for the concerned frequency in *SIB12* is not available in accordance with TS 38.214 [19]:

7> configure lower layers to perform the sidelink resource allocation mode 2 based on random selection (as defined in TS 38.321 [3]) using one of the pools of resources indicated by *sl-TxPoolExceptional* for the concerned frequency;

2> else:

3> configure lower layers to perform the sidelink resource allocation mode 2 based on sensing (as defined in TS 38.321 [3] and TS 38.213 [13]) using the pools of resources indicated by *sl-TxPoolSelectedNormal* in *SidelinkPreconfigNR* for the concerned frequency.

NOTE: The UE should continue to use resources configured in *rrc-ConfiguredSidelinkGrant* (while T310 is running) until it is released (i.e. until T310 has expired). The UE does not use sidelink configured grant type 2 resources while T310 is running.

If configured to perform sidelink resource allocation mode 2, the UE capable of NR sidelink communication that is configured by upper layers to transmit NR sidelink communication shall perform sensing on all pools of resources which may be used for transmission of the sidelink control information and the corresponding data. The pools of resources are indicated by *SidelinkPreconfigNR*, *sl-TxPoolSelectedNormal* in *sl-ConfigDedicatedNR*, or *sl-TxPoolSelectedNormal* in *SIB12* for the concerned frequency, as configured above.

|  |
| --- |
| NEXT CHANGE |

##### 5.8.9.1.2 Actions related to transmission of *RRCReconfigurationSidelink* message

The UE shall set the contents of *RRCReconfigurationSidelink* message as follows:

1> for each sidelink DRB that is to be released, according to sub-clause 5.8.9.1a.1.1, due to configuration by *sl-ConfigDedicatedNR,* *SIB12*, *SidelinkPreconfigNR* or by upper layers:

2> set the *SLRB-PC5-ConfigIndex* included in the *slrb-ConfigToReleaseList* corresponding to the sidelink DRB;

1> for each sidelink DRB that is to be established or modified, according to sub-clause 5.8.9.1a.2.1, due to receiving *sl-ConfigDedicatedNR,* *SIB12* or *SidelinkPreconfigNR*:

2> set the *SLRB-Config* included in the *slrb-ConfigToAddModList*, according to the received *sl-RadioBearerConfig* and *sl-RLC-BearerConfig* corresponding to the sidelink DRB;

1> set the *sl-MeasConfig* as follows:

2> If the frequency used for NR sidelink communication is included in *sl-FreqInfoToAddModList* in *sl-ConfigDedicatedNR* within *RRCReconfiguration* message or included in *sl-ConfigCommonNR* within SIB12:

3> if UE is in RRC\_CONNECTED:

4> set the *sl-MeasConfig* according to stored NR sidelink measurement configuration information for this destination;

3> if UE is in RRC\_IDLE or RRC\_INACTIVE:

4> set the *sl-MeasConfig* according to stored NR sidelink measurement configuration received from *SIB12*;

2> else:

3> set the *sl-MeasConfig* according to the *sl-MeasPreconfig* in *SidelinkPreconfigNR*;

1> start timer T400 for the destination associated with the sidelink DRB;

1> set the *sl-CSI-RS-Config*;

1> set the *sl-LatencyBoundCSI-Report*,

NOTE 1: How to set the parameters included in *sl-CSI-RS-Config* and *sl-LatencyBoundCSI-Report* is up to UE implementation.

The UE shall submit the *RRCReconfigurationSidelink* message to lower layers for transmission.

|  |
| --- |
| NEXT CHANGE |

#### 5.8.9.1a Sidelink radio bearer management

##### 5.8.9.1a.1 Sidelink DRB release

5.8.9.1a.1.1 Sidelink DRB release conditions

For NR sidelink communication, a sidelink DRB release is initiated in the following cases:

1> for groupcast, broadcast and unicast, if *slrb-Uu-ConfigIndex* (if any) of the sidelink DRB isincluded in *sl-RadioBearerToReleaseList* in *sl-ConfigDedicatedNR*; or

1> for groupcast and broadcast, if no sidelink QoS flow with data indicated by upper layers is mapped to the sidelink DRB for transmission, which is (re)configured by receiving *SIB1*2 or *SidelinkPreconfigNR*; or

1> for unicast, if no sidelink QoS flow with data indicated by upper layers is mapped to the sidelink DRB for transmission, which is (re)configured by receiving *SIB12* or *SidelinkPreconfigNR*, and if no sidelink QoS flow mapped to the sidelink DRB, which is (re)configured by receiving *RRCReconfigurationSidelink*, has data; or

1> for unicast, if *SLRB-PC5-ConfigIndex* (if any) of the sidelink DRB isincluded in *slrb-ConfigToReleaseList* in *RRCReconfigurationSidelink* or if *sl-ResetConfig* is included in *RRCReconfigurationSidelink*; or

1> for unicast, when the corresponding PC5-RRC connection is released due to sidelink RLF being detected, according to clause 5.8.9.3; or

1> for unicast, when the corresponding PC5-RRC connection is released due to upper layer request according to clause 5.8.9.5.

|  |
| --- |
| NEXT CHANGE |

5.8.12 DFN derivation from GNSS

When the UE selects GNSS as the synchronization reference source, the DFN, the subframe number within a frame and slot number within a frame used for NR sidelink communication are derived from the current UTC time, by the following formulae:

*DFN*= Floor (0.1\*(*Tcurrent* –*Tref–OffsetDFN*)) mod 1024

*SubframeNumber*= Floor (*Tcurrent* –*Tref–OffsetDFN*) mod 10

*SlotNumber*= Floor ((*Tcurrent* –Tref–*OffsetDFN*)\*2μ) mod (10\*2μ)

Where:

***Tcurrent*** is the current UTC time obtained from GNSS. This value is expressed in milliseconds;

***Tref*** is the reference UTC time 00:00:00 on Gregorian calendar date 1 January, 1900 (midnight between Thursday, December 31, 1899 and Friday, January 1, 1900). This value is expressed in milliseconds;

***OffsetDFN*** is the value *sl-OffsetDFN* if configured, otherwise it is zero. This value is expressed in milliseconds.

μ=0/1/2/3 corresponding to the 15/30/60/120 kHz of SCS for SL, respectively.

NOTE 1: In case of leap second change event, how UE obtains the scheduled time of leap second change to adjust *Tcurrent* correspondingly is left to UE implementation. How UE handles to avoid the sudden discontinuity of DFN is left to UE implementation.

NOTE 2: Void.

|  |
| --- |
| NEXT CHANGE |

### 6.2.2 Message definitions

– *SidelinkUEInformationNR*

The *SidelinkUEinformationNR* message is used for the indication of NR sidelink UE information to the network.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to Network

***SidelinkUEInformationNR* message**

-- ASN1START

-- TAG-SIDELINKUEINFORMATIONNR-START

SidelinkUEInformationNR-r16::= SEQUENCE {

criticalExtensions CHOICE {

sidelinkUEInformationNR-r16 SidelinkUEInformationNR-r16-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

SidelinkUEInformationNR-r16-IEs ::= SEQUENCE {

sl-RxInterestedFreqList-r16 SL-InterestedFreqList-r16 OPTIONAL,

sl-TxResourceReqList-r16 SL-TxResourceReqList-r16 OPTIONAL,

sl-FailureList-r16 SL-FailureList-r16 OPTIONAL,

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

SL-InterestedFreqList-r16 ::= SEQUENCE (SIZE (1..maxNrofFreqSL-r16)) OF INTEGER (1..maxNrofFreqSL-r16)

SL-TxResourceReqList-r16 ::= SEQUENCE (SIZE (1..maxNrofSL-Dest-r16)) OF SL-TxResourceReq-r16

SL-TxResourceReq-r16 ::= SEQUENCE {

sl-DestinationIdentity-r16 SL-DestinationIdentity-r16,

sl-CastType-r16 ENUMERATED {broadcast, groupcast, unicast, spare1},

sl-RLC-ModeIndicationList-r16 SEQUENCE (SIZE (1.. maxNrofSLRB-r16)) OF SL-RLC-ModeIndication-r16 OPTIONAL,

sl-QoS-InfoList-r16 SEQUENCE (SIZE (1..maxNrofSL-QFIsPerDest-r16)) OF SL-QoS-Info-r16 OPTIONAL,

sl-TypeTxSyncList-r16 SEQUENCE (SIZE (1..maxNrofFreqSL-r16)) OF SL-TypeTxSync-r16 OPTIONAL,

sl-TxInterestedFreqList-r16 SL-TxInterestedFreqList-r16 OPTIONAL,

sl-CapabilityInformationSidelink-r16 OCTET STRING OPTIONAL

}

SL-TxInterestedFreqList-r16 ::= SEQUENCE (SIZE (1..maxNrofFreqSL-r16)) OF INTEGER (1..maxNrofFreqSL-r16)

SL-QoS-Info-r16 ::= SEQUENCE {

sl-QoS-FlowIdentity-r16 SL-QoS-FlowIdentity-r16,

sl-QoS-Profile-r16 SL-QoS-Profile-r16 OPTIONAL

}

SL-RLC-ModeIndication-r16 ::= SEQUENCE {

sl-Mode-r16 CHOICE {

sl-AM-Mode-r16 NULL,

sl-UM-Mode-r16 NULL

},

sl-QoS-InfoList-r16 SEQUENCE (SIZE (1..maxNrofSL-QFIsPerDest-r16)) OF SL-QoS-Info-r16

}

SL-FailureList-r16 ::= SEQUENCE (SIZE (1..maxNrofSL-Dest-r16)) OF SL-Failure-r16

SL-Failure-r16 ::= SEQUENCE {

sl-DestinationIdentity-r16 SL-DestinationIdentity-r16,

sl-Failure-r16 ENUMERATED {rlf,configFailure, spare6, spare5, spare4, spare3, spare2, spare1}

}

-- TAG-SIDELINKUEINFORMATIONNR-STOP

-- ASN1STOP

| ***SidelinkUEinformationNR* field descriptions** |
| --- |
| ***sl-RxInterestedFreqList***  Indicates the index of frequency on which the UE is interested to receive NR sidelink communication. The value 1 corresponds to the frequency of first entry in *sl-FreqInfoList* broadcast in *SIB12*, the value 2 corresponds to the frequency of second entry in *sl-FreqInfoList* broadcast in *SIB12* and so on. In this release, only value 1 can be included in the interested frequency list. |
| ***sl-TxResourceReq***  Paramters to request the transmisison resouces for NR sidelink communication to the network in the Sidelink UE Information report. |

| ***SL-TxResourceReq* field descriptions** |
| --- |
| ***sl-CapabilityInformationSidelink***  Includes the *UECapabilityInformationSidelink* message (which can be also included in *ueCapabilityInformationSidelink-r16* in *UECapabilityEnquirySidelink* from peer UE) received from the peer UE. |
| ***sl-CastType***  Indicates the cast type for the correponding destination for which to request the resource. |
| ***sl-DestinationIdentity***  Indicates the destination for which the TX resource request and allocation from the network are concerned. |
| ***sl-QoS-InfoList***  Includes the QoS profile of the sidelink QoS flow as specified in TS 23.287 [55] |
| ***sl-QoS-FlowIdentity***  This identity uniquely identifies one sidelink QoS flow between the UE and the network in the scope of UE, which is unique for different destination and cast type. |
| ***sl-RLC-ModeIndication***  This field indicates the RLC mode and optionally the related QoS profiles for the sidelink radio bearer, which has not been configured by the network and is initiated by another UE in unicast. The RLC mode for one sidelink radio bearer is aligned between UE and NW by the *sl-QoS-FlowIdentity*. |
| ***sl-TxInterestedFreqList***  Each entry of this field indicates the index of frequency on which the UE is interested to transmit NR sidelink communication. The value 1 corresponds to the frequency of first entry in *sl-FreqInfoList* broadcast in *SIB12*, the value 2 corresponds to the frequency of second entry in *sl-FreqInfoList broadcast* in *SIB12* and so on. In this release, only value 1 can be included in the interested frequency list. In this relase, only one entry can be included in the list. |
| ***sl-TypeTxSyncList***  A list of synchronization reference used by the UE. The UE shall include the same number of entries, listed in the same order, as in *sl-TxInterestedFreqList*, i.e. one for each carrier freqeuncy included in *sl-TxInterestedFreqList*. |

| ***SL-Failure* field descriptions** |
| --- |
| ***sl-DestinationIdentity***  Indicates the destination for which the SL failure is reporting for unicast. |
| ***sl-Failure***  Indicates the sidelink failure cause for the sidelink RLF (value *rlf*) and sidelink AS configuration failure (value *configFailure*) for the associated destination for unicast. |

|  |
| --- |
| NEXT CHANGE |

### 6.3.1 System information blocks

– *SIB13*

SIB13 contains configurations of V2X sidelink communication defined in TS 36.331 [10].

***SIB13* information element**

-- ASN1START

-- TAG-SIB13-START

SIB13-r16 ::= SEQUENCE {

sl-V2X-ConfigCommon-r16 OCTET STRING,

dummy OCTET STRING,

tdd-Config-r16 OCTET STRING,

lateNonCriticalExtension OCTET STRING OPTIONAL,

...

}

-- TAG-SIB13-STOP

-- ASN1STOP

| ***SIB13* field descriptions** |
| --- |
| ***dummy***  This field is not used in the specification and the UE ignores the received value. |
| ***sl-V2X-ConfigCommon***  This field includes the E-UTRA *SystemInformationBlockType21* message as specified in TS 36.331 [10]. |
| ***tdd-Config***  This field includes the *tdd-Config* in E-UTRA *SystemInformationBlockType1* message as specified in TS 36.331 [10]. |

|  |
| --- |
| NEXT CHANGE |

### 6.3.2 Radio resource control information elements

– *RadioBearerConfig*

The IE *RadioBearerConfig* is used to add, modify and release signalling and/or data radio bearers. Specifically, this IE carries the parameters for PDCP and, if applicable, SDAP entities for the radio bearers.

***RadioBearerConfig* information element**

-- ASN1START

-- TAG-RADIOBEARERCONFIG-START

RadioBearerConfig ::= SEQUENCE {

srb-ToAddModList SRB-ToAddModList OPTIONAL, -- Cond HO-Conn

srb3-ToRelease ENUMERATED{true} OPTIONAL, -- Need N

drb-ToAddModList DRB-ToAddModList OPTIONAL, -- Cond HO-toNR

drb-ToReleaseList DRB-ToReleaseList OPTIONAL, -- Need N

securityConfig SecurityConfig OPTIONAL, -- Need M

...

}

SRB-ToAddModList ::= SEQUENCE (SIZE (1..2)) OF SRB-ToAddMod

SRB-ToAddMod ::= SEQUENCE {

srb-Identity SRB-Identity,

reestablishPDCP ENUMERATED{true} OPTIONAL, -- Need N

discardOnPDCP ENUMERATED{true} OPTIONAL, -- Need N

pdcp-Config PDCP-Config OPTIONAL, -- Cond PDCP

...

}

DRB-ToAddModList ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-ToAddMod

DRB-ToAddMod ::= SEQUENCE {

cnAssociation CHOICE {

eps-BearerIdentity INTEGER (0..15),

sdap-Config SDAP-Config

} OPTIONAL, -- Cond DRBSetup

drb-Identity DRB-Identity,

reestablishPDCP ENUMERATED{true} OPTIONAL, -- Need N

recoverPDCP ENUMERATED{true} OPTIONAL, -- Need N

pdcp-Config PDCP-Config OPTIONAL, -- Cond PDCP

...,

[[

daps-Config-r16 ENUMERATED{true} OPTIONAL -- Cond DAPS

]]

}

DRB-ToReleaseList ::= SEQUENCE (SIZE (1..maxDRB)) OF DRB-Identity

SecurityConfig ::= SEQUENCE {

securityAlgorithmConfig SecurityAlgorithmConfig OPTIONAL, -- Cond RBTermChange1

keyToUse ENUMERATED{master, secondary} OPTIONAL, -- Cond RBTermChange

...

}

-- TAG-RADIOBEARERCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| ***DRB-ToAddMod* field descriptions** |
| ***cnAssociation***  Indicates if the bearer is associated with the *eps-bearerIdentity* (when connected to EPC) or *sdap-Config* (when connected to 5GC). |
| ***daps-Config***  Indicates that the bearer is configured as DAPS bearer. |
| ***drb-Identity***  In case of DC, the DRB identity is unique within the scope of the UE, i.e. an MCG DRB cannot use the same value as a split DRB. For a split DRB the same identity is used for the MCG and SCG parts of the configuration. |
| ***eps-BearerIdentity***  The EPS bearer ID determines the EPS bearer. |
| ***reestablishPDCP***  Indicates that PDCP should be re-established. Network sets this to *true* whenever the security key used for this radio bearer changes. Key change could for example be due to termination point change for the bearer, reconfiguration with sync, resuming an RRC connection, or the first reconfiguration after reestablishment. It is also applicable for LTE procedures when NR PDCP is configured. Network doesn't include this field for DRB if the bearer is configured as DAPS bearer. |
| ***recoverPDCP***  Indicates that PDCP should perform recovery according to TS 38.323 [5]. Network doesn't include this field if the bearer is configured as DAPS bearer. |
| ***sdap-Config***  The SDAP configuration determines how to map QoS flows to DRBs when NR or E-UTRA connects to the 5GC and presence/absence of UL/DL SDAP headers. |

|  |
| --- |
| ***RadioBearerConfig* field descriptions** |
| ***securityConfig***  Indicates the security algorithm and key to use for the signalling and data radio bearers configured with the list in this IE *RadioBearerConfig*. When the field is not included after AS security has been activated, the UE shall continue to use the currently configured *keyToUse* and security algorithm for the radio bearers reconfigured with the lists in this IE *RadioBearerConfig*. The field is not included when configuring SRB1 before AS security is activated. |
| ***srb3-ToRelease***  Release SRB3. SRB3 release can only be done over SRB1 and only at SCG release and reconfiguration with sync. |

|  |
| --- |
| ***SecurityConfig* field descriptions** |
| ***keyToUse***  Indicates if the bearers configured with the list in this IE *RadioBearerConfig* are using the master key or the secondary key for deriving ciphering and/or integrity protection keys. For MR-DC, network should not configure SRB1 and SRB2 with secondary key and SRB3 with the master key. When the field is not included, the UE shall continue to use the currently configured *keyToUse* for the radio bearers reconfigured with the lists in this IE *RadioBearerConfig*. |
| ***securityAlgorithmConfig***  Indicates the security algorithm for the signalling and data radio bearers configured with the list in this IE *RadioBearerConfig*. When the field is not included, the UE shall continue to use the currently configured security algorithm for the radio bearers reconfigured with the lists in this IE *RadioBearerConfig*. |

|  |
| --- |
| ***SRB-ToAddMod* field descriptions** |
| ***discardOnPDCP***  Indicates that PDCP should discard stored SDU and PDU according to TS 38.323 [5]. |
| ***reestablishPDCP***  Indicates that PDCP should be re-established. Network sets this to *true* whenever the security key used for this radio bearer changes. Key change could for example be due to reconfiguration with sync, for SRB2 when resuming an RRC connection, or at the first reconfiguration after RRC connection reestablishment in NR. For LTE SRBs using NR PDCP, it could be for handover, RRC connection reestablishment or resume. Network doesn't include this field if any DAPS bearer is configured. |
| ***srb-Identity***  Value 1 is applicable for SRB1 only. Value 2 is applicable for SRB2 only. Value 3 is applicable for SRB3 only. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *RBTermChange* | The field is mandatory present in case of:  - set up of signalling and data radio bearer,  - change of termination point for the radio bearer between MN and SN.  It is optionally present otherwise, Need S. |
| *RBTermChange1* | The field is mandatory present in case of:  - set up of signalling and data radio bearer,  - change of termination point for the radio bearer between MN and SN,  - handover from E-UTRA/EPC or E-UTRA/5GC to NR,  - handover from NR or E-UTRA/EPC to E-UTRA/5GC if the UE supports NGEN-DC.  It is optionally present otherwise, Need S. |
| *PDCP* | The field is mandatory present if the corresponding DRB is being setup or corresponding DRB is reconfigured with NR PDCP or corresponding SRB associated with two RLC entities is being setup or if the number of RLC bearers associated with the DRB or SRB is changed. The field is optionally present, Need S, if the corresponding SRB associated with one RLC entity is being setup or corresponding SRB is reconfigured with NR PDCP; otherwise the field is optionally present, need M. |
| *DRBSetup* | The field is mandatory present if the corresponding DRB is being setup; otherwise the field is optionally present, need M. |
| *HO-Conn* | The field is mandatory present  - in case of inter-system handover from E-UTRA/EPC to E-UTRA/5GC or NR,  - or when the *fullConfig* is included in the *RRCReconfiguration* message and NE-DC/NR-DC is not configured,  - or in case of *RRCSetup*.  Otherwise the field is optionally present, need N.  Upon *RRCSetup*, only SRB1 can be present. |
| *HO-toNR* | The field is mandatory present  - in case of inter-system handover from E-UTRA/EPC to E-UTRA/5GC or NR,  - or when the *fullConfig* is included in the *RRCReconfiguration* message and NE-DC/NR-DC is not configured.  In case of *RRCSetup*, the field is absent; otherwise the field is optionally present, need N. |
| *DAPS* | The field is optionally present, need N, in case masterCellGroup includes ReconfigurationWithSync, SCell(s) and SCG are not configured, multi-DCI/single-DCI based multi-TRP are not configured in any DL BWP, *supplementaryUplink* is not configured, ethernetHeaderCompression is not configured for the DRB, and NR sidelink and V2X sidelink is not configured. Otherwise the field is absent. |

|  |
| --- |
| NEXT CHANGE |

### 6.3.3 UE capability information elements

– *SidelinkParameters*

The IE *SidelinkParameters* is used to convey capabilities related to NR and V2X sidelink communications.

***SidelinkParameters* information element**

-- ASN1START

-- TAG-SIDELINKPARAMETERS-START

SidelinkParameters-r16 ::= SEQUENCE {

sidelinkParametersNR-r16 SidelinkParametersNR-r16 OPTIONAL,

sidelinkParametersEUTRA-r16 SidelinkParametersEUTRA-r16 OPTIONAL

}

SidelinkParametersNR-r16 ::= SEQUENCE {

rlc-ParametersSidelink-r16 RLC-ParametersSidelink-r16 OPTIONAL,

mac-ParametersSidelink-r16 MAC-ParametersSidelink-r16 OPTIONAL,

fdd-Add-UE-Sidelink-Capabilities-r16 UE-SidelinkCapabilityAddXDD-Mode-r16 OPTIONAL,

tdd-Add-UE-Sidelink-Capabilities-r16 UE-SidelinkCapabilityAddXDD-Mode-r16 OPTIONAL,

supportedBandListSidelink-r16 SEQUENCE (SIZE (1..maxBands)) OF BandSidelink-r16 OPTIONAL,

...

}

SidelinkParametersEUTRA-r16 ::= SEQUENCE {

sl-ParametersEUTRA1-r16 OCTET STRING OPTIONAL,

sl-ParametersEUTRA2-r16 OCTET STRING OPTIONAL,

sl-ParametersEUTRA3-r16 OCTET STRING OPTIONAL,

supportedBandListSidelinkEUTRA-r16 SEQUENCE (SIZE (1..maxBandsEUTRA)) OF BandSidelinkEUTRA-r16 OPTIONAL,

...

}

RLC-ParametersSidelink-r16 ::= SEQUENCE {

am-WithLongSN-Sidelink-r16 ENUMERATED {supported} OPTIONAL,

um-WithLongSN-Sidelink-r16 ENUMERATED {supported} OPTIONAL,

...

}

MAC-ParametersSidelink-r16 ::= SEQUENCE {

mac-ParametersSidelinkCommon-r16 MAC-ParametersSidelinkCommon-r16 OPTIONAL,

mac-ParametersSidelinkXDD-Diff-r16 MAC-ParametersSidelinkXDD-Diff-r16 OPTIONAL,

...

}

UE-SidelinkCapabilityAddXDD-Mode-r16 ::= SEQUENCE {

mac-ParametersSidelinkXDD-Diff-r16 MAC-ParametersSidelinkXDD-Diff-r16 OPTIONAL

}

MAC-ParametersSidelinkCommon-r16 ::= SEQUENCE {

lcp-RestrictionSidelink-r16 ENUMERATED {supported} OPTIONAL,

multipleConfiguredGrantsSidelink-r16 ENUMERATED {supported} OPTIONAL,

...

}

MAC-ParametersSidelinkXDD-Diff-r16 ::= SEQUENCE {

multipleSR-ConfigurationsSidelink-r16 ENUMERATED {supported} OPTIONAL,

logicalChannelSR-DelayTimerSidelink-r16 ENUMERATED {supported} OPTIONAL,

...

}

BandSidelinkEUTRA-r16 ::= SEQUENCE {

freqBandSidelinkEUTRA-r16 FreqBandIndicatorEUTRA,

-- R1 15-7: Transmitting LTE sidelink mode 3 scheduled by NR Uu

gnb-ScheduledMode3SidelinkEUTRA-r16 SEQUENCE {

gnb-ScheduledMode3DelaySidelinkEUTRA-r16 ENUMERATED {ms0, ms0dot25, ms0dot5, ms0dot625, ms0dot75, ms1,

ms1dot25, ms1dot5, ms1dot75, ms2, ms2dot5, ms3, ms4,

ms5, ms6, ms8, ms10, ms20}

} OPTIONAL,

-- R1 15-9: Transmitting LTE sidelink mode 4 configured by NR Uu

gnb-ScheduledMode4SidelinkEUTRA-r16 ENUMERATED {supported} OPTIONAL

}

BandSidelink-r16 ::= SEQUENCE {

freqBandSidelink-r16 FreqBandIndicatorNR,

--15-1

sl-Reception-r16 SEQUENCE {

harq-RxProcessSidelink-r16 ENUMERATED {n16, n24, n32, n48, n64},

pscch-RxSidelink-r16 ENUMERATED {value1, value2},

scs-CP-PatternRxSidelink-r16 CHOICE {

fr1-r16 SEQUENCE {

scs-15kHz-r16 BIT STRING (SIZE (16)) OPTIONAL,

scs-30kHz-r16 BIT STRING (SIZE (16)) OPTIONAL,

scs-60kHz-r16 BIT STRING (SIZE (16)) OPTIONAL

},

fr2-r16 SEQUENCE {

scs-60kHz-r16 BIT STRING (SIZE (16)) OPTIONAL,

scs-120kHz-r16 BIT STRING (SIZE (16)) OPTIONAL

}

} OPTIONAL,

extendedCP-RxSidelink-r16 ENUMERATED {supported} OPTIONAL

} OPTIONAL,

--15-2

sl-TransmissionMode1-r16 SEQUENCE {

harq-TxProcessModeOneSidelink-r16 ENUMERATED {n8, n16},

scs-CP-PatternTxSidelinkModeOne-r16 CHOICE {

fr1-r16 SEQUENCE {

scs-15kHz-r16 BIT STRING (SIZE (16)) OPTIONAL,

scs-30kHz-r16 BIT STRING (SIZE (16)) OPTIONAL,

scs-60kHz-r16 BIT STRING (SIZE (16)) OPTIONAL

},

fr2-r16 SEQUENCE {

scs-60kHz-r16 BIT STRING (SIZE (16)) OPTIONAL,

scs-120kHz-r16 BIT STRING (SIZE (16)) OPTIONAL

}

},

extendedCP-TxSidelink-r16 ENUMERATED {supported} OPTIONAL,

harq-ReportOnPUCCH-r16 ENUMERATED {supported} OPTIONAL

} OPTIONAL,

--15-4

sync-Sidelink-r16 SEQUENCE {

gNB-Sync-r16 ENUMERATED {supported} OPTIONAL,

gNB-GNSS-UE-SyncWithPriorityOnGNB-ENB-r16 ENUMERATED {supported} OPTIONAL,

gNB-GNSS-UE-SyncWithPriorityOnGNSS-r16 ENUMERATED {supported} OPTIONAL

} OPTIONAL,

--15-10

sl-Tx-256QAM-r16 ENUMERATED {supported} OPTIONAL,

--15-11

psfch-FormatZeroSidelink-r16 SEQUENCE {

psfch-RxNumber ENUMERATED {n5, n15, n25, n32, n35, n45, n50, n64},

psfch-TxNumber ENUMERATED {n4, n8, n16}

} OPTIONAL,

--15-12

lowSE-64QAM-MCS-TableSidelink-r16 ENUMERATED {supported} OPTIONAL,

--15-15

enb-sync-Sidelink-r16 ENUMERATED {supported} OPTIONAL,

...,

[[

--15-3

sl-TransmissionMode2-r16 SEQUENCE {

harq-TxProcessModeTwoSidelink-r16 ENUMERATED {n8, n16},

scs-CP-PatternTxSidelinkModeTwo-r16 ENUMERATED {supported} OPTIONAL,

dl-openLoopPC-Sidelink-r16 ENUMERATED {supported} OPTIONAL

} OPTIONAL,

--15-5

congestionControlSidelink-r16 SEQUENCE {

cbr-ReportSidelink-r16 ENUMERATED {supported} OPTIONAL,

cbr-CR-TimeLimitSidelink-r16 ENUMERATED {time1, time2}

} OPTIONAL,

--15-22

fewerSymbolSlotSidelink-r16 ENUMERATED {supported} OPTIONAL,

--15-23

sl-openLoopPC-RSRP-ReportSidelink-r16 ENUMERATED {supported} OPTIONAL,

--13-1

sl-Rx-256QAM-r16 ENUMERATED {supported} OPTIONAL

]]

}

-- TAG-SIDELINKPARAMETERS-STOP

-- ASN1STOP

|  |
| --- |
| ***SidelinkParametersEUTRA* field descriptions** |
| ***sl-ParametersEUTRA1, sl-ParametersEUTRA2, sl-ParametersEUTRA3***  This field includes IE of *SL-Parameters-v1430* (where *v2x-eNB-Scheduled-r14* and *V2X-SupportedBandCombination-r14* shall not be included), *SL-Parameters-v1530* (where *V2X-SupportedBandCombination-r1530* shall not be included) and *SL-Parameters-v1540* respectively defined in 36.331 [10]. It is used for reporting the per-UE capability for V2X sidelink communication. |

6.3.5 Sidelink information elements

– *SL-CBR-PriorityTxConfigList*

The IE *SL-CBR-PriorityTxConfigList* indicates the mapping between PSSCH transmission parameter (such as MCS, PRB number, retransmission number, CR limit) sets by using the indexes of the configurations provided in *sl-CBR-PSSCH-TxConfigList*, CBR ranges by an index to the entry of the CBR range configuration in *sl-CBR-RangeConfigList*, and priority ranges. It also indicates the default PSSCH transmission parameters to be used when CBR measurement results are not available.

***SL-CBR-PriorityTxConfigList* information element**

-- ASN1START

-- TAG-SL-CBR-PRIORITYTXCONFIGLIST-START

SL-CBR-PriorityTxConfigList-r16 ::= SEQUENCE (SIZE (1..8)) OF SL-PriorityTxConfigIndex-r16

SL-PriorityTxConfigIndex-r16 ::= SEQUENCE {

sl-PriorityThreshold-r16 INTEGER (1..8) OPTIONAL, -- Need M

sl-DefaultTxConfigIndex-r16 INTEGER (0..maxCBR-Level-1-r16) OPTIONAL, -- Need M

sl-CBR-ConfigIndex-r16 INTEGER (0..maxCBR-Config-1-r16) OPTIONAL, -- Need M

sl-Tx-ConfigIndexList-r16 SEQUENCE (SIZE (1.. maxCBR-Level-r16)) OF SL-TxConfigIndex-r16 OPTIONAL -- Need M

}

SL-TxConfigIndex-r16 ::= INTEGER (0..maxTxConfig-1-r16)

-- TAG-SL-CBR-PRIORITYTXCONFIGLIST-STOP

-- ASN1STOP

| ***SL-CBR-PriorityTxConfigList* field descriptions** |
| --- |
| ***sl-CBR-ConfigIndex***  Indicates the CBR ranges to be used by an index to the entry of the CBR range configuration in *sl-CBR-RangeConfigList*. |
| ***sl-DefaultTxConfigIndex***  Indicates the PSSCH transmission parameters to be used by the UEs which do not have available CBR measurement results, by means of an index to the corresponding entry in *tx-ConfigIndexList*. Value 0 indicates the first entry in *tx-ConfigIndexList*. The field is ignored if the UE has available CBR measurement results. |
| ***sl-PriorityThreshold***  Indicates the upper bound of priority range which is associated with the configurations in *sl-CBR-ConfigIndex* and in *sl-Tx-ConfigIndexList*. The upper bounds of the priority ranges are configured in ascending order for consecutive entries of *SL-PriorityTxConfigIndex* in *SL-CBR-PriorityTxConfigList*. For the first entry of S*L-PriorityTxConfigIndex*, the lower bound of the priority range is 1. |

– *SL-ConfigDedicatedNR*

The IE *SL-ConfigDedicatedNR* specifies the dedicated configuration information for NR sidelink communication.

***SL-ConfigDedicatedNR* information element**

-- ASN1START

-- TAG-SL-CONFIGDEDICATEDNR-START

SL-ConfigDedicatedNR-r16 ::= SEQUENCE {

sl-PHY-MAC-RLC-Config-r16 SL-PHY-MAC-RLC-Config-r16 OPTIONAL, -- Need M

sl-RadioBearerToReleaseList-r16 SEQUENCE (SIZE (1..maxNrofSLRB-r16)) OF SLRB-Uu-ConfigIndex-r16 OPTIONAL, -- Need N

sl-RadioBearerToAddModList-r16 SEQUENCE (SIZE (1..maxNrofSLRB-r16)) OF SL-RadioBearerConfig-r16 OPTIONAL, -- Need N

sl-MeasConfigInfoToReleaseList-r16 SEQUENCE (SIZE (1..maxNrofSL-Dest-r16)) OF SL-DestinationIndex-r16 OPTIONAL, -- Need N

sl-MeasConfigInfoToAddModList-r16 SEQUENCE (SIZE (1..maxNrofSL-Dest-r16)) OF SL-MeasConfigInfo-r16 OPTIONAL, -- Need N

t400-r16 ENUMERATED {ms100, ms200, ms300, ms400, ms600, ms1000, ms1500, ms2000} OPTIONAL, -- Need M

...

}

SL-DestinationIndex-r16 ::= INTEGER (0..maxNrofSL-Dest-1-r16)

SL-PHY-MAC-RLC-Config-r16::= SEQUENCE {

sl-ScheduledConfig-r16 SetupRelease { SL-ScheduledConfig-r16 } OPTIONAL, -- Need M

sl-UE-SelectedConfig-r16 SetupRelease { SL-UE-SelectedConfig-r16 } OPTIONAL, -- Need M

sl-FreqInfoToReleaseList-r16 SEQUENCE (SIZE (1..maxNrofFreqSL-r16)) OF SL-Freq-Id-r16 OPTIONAL, -- Need N

sl-FreqInfoToAddModList-r16 SEQUENCE (SIZE (1..maxNrofFreqSL-r16)) OF SL-FreqConfig-r16 OPTIONAL, -- Need N

sl-RLC-BearerToReleaseList-r16 SEQUENCE (SIZE (1..maxSL-LCID-r16)) OF SL-RLC-BearerConfigIndex-r16 OPTIONAL, -- Need N

sl-RLC-BearerToAddModList-r16 SEQUENCE (SIZE (1..maxSL-LCID-r16)) OF SL-RLC-BearerConfig-r16 OPTIONAL, -- Need N

sl-MaxNumConsecutiveDTX-r16 ENUMERATED {n1, n2, n3, n4, n6, n8, n16, n32} OPTIONAL, -- Need M

sl-CSI-Acquisition-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

sl-CSI-SchedulingRequestId-r16 SetupRelease {SchedulingRequestId} OPTIONAL, -- Need M

sl-SSB-PriorityNR-r16 INTEGER (1..8) OPTIONAL, -- Need R

networkControlledSyncTx-r16 ENUMERATED {on, off} OPTIONAL -- Need M

}

-- TAG-SL-CONFIGDEDICATEDNR-STOP

-- ASN1STOP

| ***SL-ConfigDedicatedNR* field descriptions** |
| --- |
| ***sl-MeasConfigInfoToAddModList***  This field indicates the RSRP measurement configurations for unicast destinations to add and/or modify. |
| ***sl-MeasConfigInfoToReleaseList***  This field indicates the RSRP measurement configurations for unicast destinations to remove. |
| ***sl-PHY-MAC-RLC-Config***  This field indicates the lower layer sidelink radio bearer configurations. |
| ***sl-RadioBearerToAddModList***  This field indicates one or multiple sidelink radio bearer configurations to add and/or modify. |
| ***sl-RadioBearerToReleaseList***  This field indicates one or multiple sidelink radio bearer configurations to remove. |

| ***SL-PHY-MAC-RLC-Config* field descriptions** |
| --- |
| ***networkControlledSyncTx***  This field indicates whether the UE shall transmit synchronisation information (i.e. become synchronisation source). Value *on* indicates the UE to transmit synchronisation information while value *off* indicates the UE to not transmit such information. |
| ***sl-MaxNumConsecutiveDTX***  This field indicates the maximum number of consecutive HARQ DTX before triggering sidelink RLF. Value n1 corresponds to 1, value n2 corresponds to 2, and so on. |
| ***sl-FreqInfoToAddModList***  This field indicates the NR sidelink communication configuration on some carrier frequency (ies) to add and/or modify. In this release, only one entry can be configured in the list. |
| ***sl-FreqInfoToReleaseList***  This field indicates the NR sidelink communication configuration on some carrier frequency (ies) to remove. In this release, only one entry can be configured in the list. |
| ***sl-RLC-BearerToAddModList***  This field indicates one or multiple sidelink RLC bearer configurations to add and/or modify. |
| ***sl-RLC-BearerToReleaseList***  This field indicates one or multiple sidelink RLC bearer configurations to remove. |
| ***sl-ScheduledConfig***  Indicates the configuration for UE to transmit NR sidelink communication based on network scheduling. This field is not configured simultaneously with sl-UE-SelectedConfig. |
| ***sl-UE-SelectedConfig***  Indicates the configuration used for UE autonomous resource selection. This field is not configured simultaneously with *sl-ScheduledConfig*. |
| ***sl-CSI-Acquisition***  Indicates whether CSI reporting is enabled in sidelink unicast. If the field is absent, sidelink CSI reporting is disabled. |
| ***sl-CSI-SchedulingRequestId***  If present, it indicates the scheduling request configuration applicable for sidelink CSI report MAC CE, as specified in TS 38.321 [3]. |
| ***sl-SSB-PriorityNR***  This field indicates the priority of NR sidelink SSB transmission and reception. |

– *SL-FreqConfig*

The IE *SL-FreqConfig* specifies the dedicated configuration information on one particular carrier frequency for NR sidelink communication.

***SL-FreqConfig* information element**

-- ASN1START

-- TAG-SL-FREQCONFIG-START

SL-FreqConfig-r16 ::= SEQUENCE {

sl-Freq-Id-r16 SL-Freq-Id-r16,

sl-SCS-SpecificCarrierList-r16 SEQUENCE (SIZE (1..maxSCSs)) OF SCS-SpecificCarrier,

sl-AbsoluteFrequencyPointA-r16 ARFCN-ValueNR OPTIONAL, -- Need M

sl-AbsoluteFrequencySSB-r16 ARFCN-ValueNR OPTIONAL, -- Need R

frequencyShift7p5khzSL-r16 ENUMERATED {true} OPTIONAL, -- Cond V2X-SL-Shared

valueN-r16 INTEGER (-1..1),

sl-BWP-ToReleaseList-r16 SEQUENCE (SIZE (1..maxNrofSL-BWPs-r16)) OF BWP-Id OPTIONAL, -- Need N

sl-BWP-ToAddModList-r16 SEQUENCE (SIZE (1..maxNrofSL-BWPs-r16)) OF SL-BWP-Config-r16 OPTIONAL, -- Need N

sl-SyncConfigList-r16 SL-SyncConfigList-r16 OPTIONAL, -- Need M

sl-SyncPriority-r16 ENUMERATED {gnss, gnbEnb} OPTIONAL -- Need M

}

SL-Freq-Id-r16 ::= INTEGER (1.. maxNrofFreqSL-r16)

-- TAG-SL-FREQCONFIG-STOP

-- ASN1STOP

| ***SL-FreqConfig* field descriptions** |
| --- |
| ***frequencyShift7p5khzSL***  Enable the NR SL transmission with a 7.5 kHz shift to the LTE raster. If the field is absent, the frequency shift is disabled. |
| ***sl-AbsoluteFrequencyPointA***  Absolute frequency of the reference resource block (Common RB 0). Its lowest subcarrier is also known as Point A. |
| ***sl-AbsoluteFrequencySSB***  Indicates the frequency location of sidelink SSB. The transmission bandwidth for sidelink SSB is within the bandwidth of this sidelink BWP. |
| ***sl-BWP-ToAddModList***  This field indicates the list of sidelink BWP(s) on which the NR sidelink communication configuration is to be added or reconfigured. In this release, only one BWP is allowed to be configured for NR sidelink conmunication. |
| ***sl-BWP-ToReleaseList***  This field indicates the list of sidelink BWP(s) on which the NR sidelink communication configuration is to be released. |
| ***sl-Freq-Id***  This field indicates the identity of the dedicated configuration information on the carrier frequency for NR sidelink communication. |
| ***sl-SCS-SpecificCarrierList***  A set of UE specific channel bandwidth and location configurations for different subcarrier spacings (numerologies). Defined in relation to Point A. The UE uses the configuration provided in this field only for the purpose of channel bandwidth and location determination. In this release, only one *SCS-SpecificCarrier* is allowed to be configured for NR sidelink conmunication. |
| ***sl-SyncPriority***  This field indicates synchronization priority order, as specified in sub-clause 5.8.6. |
| ***valueN***  Indicate the NR SL transmission with a valueN \*5kHz shift to the LTE raster. (see TS 38.101-1 [15], clause 5.4E.2). |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *V2X-SL-Shared* | This field is mandatory present if the carrier frequency configured for NR sidelink communication is shared by V2X sidelink communication. It is absent, Need R, otherwise. |

– *SL-FreqConfigCommon*

The IE *FreqConfigCommon* specifies the cell-specific configuration information on one particular carrier frequency for NR sidelink communication.

***SL-FreqConfigCommon* information element**

-- ASN1START

-- TAG-SL-FREQCONFIGCOMMON-START

SL-FreqConfigCommon-r16 ::= SEQUENCE {

sl-SCS-SpecificCarrierList-r16 SEQUENCE (SIZE (1..maxSCSs)) OF SCS-SpecificCarrier,

sl-AbsoluteFrequencyPointA-r16 ARFCN-ValueNR,

sl-AbsoluteFrequencySSB-r16 ARFCN-ValueNR OPTIONAL, -- Need R

frequencyShift7p5khzSL-r16 ENUMERATED {true} OPTIONAL, -- Cond V2X-SL-Shared

valueN-r16 INTEGER (-1..1),

sl-BWP-List-r16 SEQUENCE (SIZE (1..maxNrofSL-BWPs-r16)) OF SL-BWP-ConfigCommon-r16 OPTIONAL, -- Need R

sl-SyncPriority-r16 ENUMERATED {gnss, gnbEnb} OPTIONAL, -- Need R

sl-NbAsSync-r16 BOOLEAN OPTIONAL, -- Need R

sl-SyncConfigList-r16 SL-SyncConfigList-r16 OPTIONAL, -- Need R

...

}

-- TAG-SL-FREQCONFIGCOMMON-STOP

-- ASN1STOP

| ***SL-FreqConfigCommon* field descriptions** |
| --- |
| ***frequencyShift7p5khzSL***  Enable the NR SL transmission with a 7.5 kHz shift to the LTE raster. If the field is absent, the frequency shift is disabled. |
| ***sl-AbsoluteFrequencyPointA***  Absolute frequency of the reference resource block (Common RB 0). Its lowest subcarrier is also known as Point A. |
| ***sl-AbsoluteFrequencySSB***  Indicates the frequency location of sidelink SSB. The transmission bandwidth for sidelink SSB is within the bandwidth of this sidelink BWP. |
| ***sl-BWP-List***  This field indicates the list of sidelink BWP(s) on which the NR sidelink communication configuration. In this release, only one BWP is allowed to be configured for NR sidelink conmunication. |
| ***sl-NbAsSync***  This field indicates whether the network can be selected as synchronization reference directly/indirectly only, if *sl-SyncPriority* is set to gnss. If this filed is set to TRUE, the network is enabled to be selected as synchronization reference directly/indirectly. The field is only present in *SidelinkPreconfigNR*. Otherwise it is absent. |
| ***sl-SyncPriority***  This field indicates synchronization priority order, as specified in sub-clause 5.8.6.. |
| ***sl-SyncConfigList***  This field indicates the configuration by which the UE is allowed to receive and transmit synchronisation information for NR sidelink communication. Network configures *sl-SyncConfig* including *txParameters* when configuring UEs to transmit synchronisation information. If this field is configured in *SL-PreconfigurationNR-r16*, only one entry is configured in *sl-SyncConfigList*. |
| ***valueN***  Indicate the NR SL transmission with a valueN \*5kHz shift to the LTE raster (see TS 38.101-1 [15], clause 5.4E.2.X.X). |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *V2X-SL-Shared* | This field is mandatory present if the carrier frequency configured for NR sidelink communication is shared by V2X sidelink communication. It is absent, Need R, otherwise. |

– *SL-PSSCH-TxConfigList*

The IE *SL-PSSCH-TxConfigList* indicates PSSCH transmission parameters. When lower layers select parameters from the range indicated in IE *SL-PSSCH-TxConfigList*, the UE considers both configurations in IE *SL-PSSCH-TxConfigList* and the CBR-dependent configurations represented in IE *SL-CBR-PriorityTxConfigList*. Only one IE *SL-PSSCH-TxConfig* is provided per *SL-TypeTxSync*.

***SL-PSSCH-TxConfigList* information element**

-- ASN1START

-- TAG-SL-PSSCH-TXCONFIGLIST-START

SL-PSSCH-TxConfigList-r16 ::= SEQUENCE (SIZE (1..maxPSSCH-TxConfig-r16)) OF SL-PSSCH-TxConfig-r16

SL-PSSCH-TxConfig-r16 ::= SEQUENCE {

sl-TypeTxSync-r16 SL-TypeTxSync-r16 OPTIONAL, -- Need R

sl-ThresUE-Speed-r16 ENUMERATED {kmph60, kmph80, kmph100, kmph120,

kmph140, kmph160, kmph180, kmph200},

sl-ParametersAboveThres-r16 SL-PSSCH-TxParameters-r16,

sl-ParametersBelowThres-r16 SL-PSSCH-TxParameters-r16,

...

}

SL-PSSCH-TxParameters-r16 ::= SEQUENCE {

sl-MinMCS-PSSCH-r16 INTEGER (0..27),

sl-MaxMCS-PSSCH-r16 INTEGER (0..31),

sl-MinSubChannelNumPSSCH-r16 INTEGER (1..27),

sl-MaxSubchannelNumPSSCH-r16 INTEGER (1..27),

sl-MaxTxTransNumPSSCH-r16 INTEGER (1..32),

sl-MaxTxPower-r16 SL-TxPower-r16 OPTIONAL -- Cond CBR

}

-- TAG-SL-PSSCH-TXCONFIGLIST-STOP

-- ASN1STOP

| ***SL-PSSCH-TxConfigList* field descriptions** |
| --- |
| ***sl-MaxTxTransNumPSSCH***  Indicates the maximum transmission number (including new transmission and retransmission) for PSSCH. |
| ***sl-MaxTxPower***  This field indicates the maximum transmission power for transmission on PSSCH and PSCCH. |
| ***sl-MinMCS-PSSCH, sl-MaxMCS-PSSCH***  This field indicates the minimum and maximum MCS values used for transmissions on PSSCH. |
| ***sl-MinSubChannelNumPSSCH, sl-MaxSubChannelNumPSSCH***  This field indicates the minimum and maximum number of sub-channels which may be used for transmissions on PSSCH. |
| ***sl-TypeTxSync***  This field indicates the synchronization reference type. For configurations by the eNB/gNB, only *gnbEnb* can be configured; and for pre-configuration or when this field is absent, the configuration is applicable for all synchronization reference types. |
| ***sl-ThresUE-Speed***  This field indicates a UE absolute speed threshold. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *CBR* | The field is optionally present, Need R, when the IE *SL-PSSCH-TxParameters* is present in *SL-CBR-CommonTxConfigList,* *SL-UE-SelectedConfig,* *SIB12* or *SidelinkPreconfigNR*; otherwise the field is not present, need R. |

– *SL-ResourcePool*

The IE *SL-ResourcePool* specifies the configuration information for NR sidelink communication resource pool.

***SL-ResourcePool* information element**

-- ASN1START

-- TAG-SL-RESOURCEPOOL-START

SL-ResourcePool-r16 ::= SEQUENCE {

sl-PSCCH-Config-r16 SetupRelease { SL-PSCCH-Config-r16 } OPTIONAL, -- Need M

sl-PSSCH-Config-r16 SetupRelease { SL-PSSCH-Config-r16 } OPTIONAL, -- Need M

sl-PSFCH-Config-r16 SetupRelease { SL-PSFCH-Config-r16 } OPTIONAL, -- Need M

sl-SyncAllowed-r16 SL-SyncAllowed-r16 OPTIONAL, -- Need M

sl-SubchannelSize-r16 ENUMERATED {n10, n12, n15, n20, n25, n50, n75, n100} OPTIONAL, -- Need M

dummy INTEGER (10..160) OPTIONAL, -- Need M

sl-StartRB-Subchannel-r16 INTEGER (0..265) OPTIONAL, -- Need M

sl-NumSubchannel-r16 INTEGER (1..27) OPTIONAL, -- Need M

sl-Additional-MCS-Table-r16 ENUMERATED {qam256, qam64LowSE, qam256-qam64LowSE } OPTIONAL, -- Need M

sl-ThreshS-RSSI-CBR-r16 INTEGER (0..45) OPTIONAL, -- Need M

sl-TimeWindowSizeCBR-r16 ENUMERATED {ms100, slot100} OPTIONAL, -- Need M

sl-TimeWindowSizeCR-r16 ENUMERATED {ms1000, slot1000} OPTIONAL, -- Need M

sl-PTRS-Config-r16 SL-PTRS-Config-r16 OPTIONAL, -- Need M

sl-UE-SelectedConfigRP-r16 SL-UE-SelectedConfigRP-r16 OPTIONAL, -- Need M

sl-RxParametersNcell-r16 SEQUENCE {

sl-TDD-Configuration-r16 TDD-UL-DL-ConfigCommon OPTIONAL, -- Need M

sl-SyncConfigIndex-r16 INTEGER (0..15)

} OPTIONAL, -- Need M

sl-ZoneConfigMCR-List-r16 SEQUENCE (SIZE (16)) OF SL-ZoneConfigMCR-r16 OPTIONAL, -- Need M

sl-FilterCoefficient-r16 FilterCoefficient OPTIONAL, -- Need M

sl-RB-Number-r16 INTEGER (10..275) OPTIONAL, -- Need M

sl-PreemptionEnable-r16 ENUMERATED {enabled, pl1, pl2, pl3, pl4, pl5, pl6, pl7, pl8} OPTIONAL, -- Need R

sl-PriorityThreshold-UL-URLLC-r16 INTEGER (1..9) OPTIONAL, -- Need M

sl-PriorityThreshold-r16 INTEGER (1..9) OPTIONAL, -- Need M

sl-X-Overhead-r16 ENUMERATED {n0,n3, n6, n9} OPTIONAL, -- Need S

sl-PowerControl-r16 SL-PowerControl-r16 OPTIONAL, -- Need M

sl-TxPercentageList-r16 SL-TxPercentageList-r16 OPTIONAL, -- Need M

sl-MinMaxMCS-List-r16 SL-MinMaxMCS-List-r16 OPTIONAL, -- Need M

...,

[[

sl-TimeResource-r16 BIT STRING (SIZE (10..160)) OPTIONAL -- Need M

]]

}

SL-ZoneConfigMCR-r16 ::= SEQUENCE {

sl-ZoneConfigMCR-Index-r16 INTEGER (0..15),

sl-TransRange-r16 ENUMERATED {m20, m50, m80, m100, m120, m150, m180, m200, m220, m250, m270, m300, m350,

m370, m400, m420, m450, m480, m500, m550, m600, m700, m1000, spare9, spare8,

spare7, spare6, spare5, spare4, spare3, spare2, spare1}

OPTIONAL, -- Need M

sl-ZoneConfig-r16 SL-ZoneConfig-r16 OPTIONAL, -- Need M

...

}

SL-SyncAllowed-r16 ::= SEQUENCE {

gnss-Sync-r16 ENUMERATED {true} OPTIONAL, -- Need R

gnbEnb-Sync-r16 ENUMERATED {true} OPTIONAL, -- Need R

ue-Sync-r16 ENUMERATED {true} OPTIONAL -- Need R

}

SL-PSCCH-Config-r16 ::= SEQUENCE {

sl-TimeResourcePSCCH-r16 ENUMERATED {n2, n3} OPTIONAL, -- Need M

sl-FreqResourcePSCCH-r16 ENUMERATED {n10,n12, n15, n20, n25} OPTIONAL, -- Need M

sl-DMRS-ScrambleID-r16 INTEGER (0..65535) OPTIONAL, -- Need M

sl-NumReservedBits-r16 INTEGER (2..4) OPTIONAL, -- Need M

...

}

SL-PSSCH-Config-r16 ::= SEQUENCE {

sl-PSSCH-DMRS-TimePatternList-r16 SEQUENCE (SIZE (1..3)) OF INTEGER (2..4) OPTIONAL, -- Need M

sl-BetaOffsets2ndSCI-r16 SEQUENCE (SIZE (4)) OF SL-BetaOffsets-r16 OPTIONAL, -- Need M

sl-Scaling-r16 ENUMERATED {f0p5, f0p65, f0p8, f1} OPTIONAL, -- Need M

...

}

SL-PSFCH-Config-r16 ::= SEQUENCE {

sl-PSFCH-Period-r16 ENUMERATED {sl0, sl1, sl2, sl4} OPTIONAL, -- Need M

sl-PSFCH-RB-Set-r16 BIT STRING (SIZE (10..275)) OPTIONAL, -- Need M

sl-NumMuxCS-Pair-r16 ENUMERATED {n1, n2, n3, n6} OPTIONAL, -- Need M

sl-MinTimeGapPSFCH-r16 ENUMERATED {sl2, sl3} OPTIONAL, -- Need M

sl-PSFCH-HopID-r16 INTEGER (0..1023) OPTIONAL, -- Need M

sl-PSFCH-CandidateResourceType-r16 ENUMERATED {startSubCH, allocSubCH} OPTIONAL, -- Need M

...

}

SL-PTRS-Config-r16 ::= SEQUENCE {

sl-PTRS-FreqDensity-r16 SEQUENCE (SIZE (2)) OF INTEGER (1..276) OPTIONAL, -- Need M

sl-PTRS-TimeDensity-r16 SEQUENCE (SIZE (3)) OF INTEGER (0..29) OPTIONAL, -- Need M

sl-PTRS-RE-Offset-r16 ENUMERATED {offset01, offset10, offset11} OPTIONAL, -- Need M

...

}

SL-UE-SelectedConfigRP-r16 ::= SEQUENCE {

sl-CBR-PriorityTxConfigList-r16 SL-CBR-PriorityTxConfigList-r16 OPTIONAL, -- Need M

sl-Thres-RSRP-List-r16 SL-Thres-RSRP-List-r16 OPTIONAL, -- Need M

sl-MultiReserveResource-r16 ENUMERATED {enabled} OPTIONAL, -- Need M

sl-MaxNumPerReserve-r16 ENUMERATED {n2, n3} OPTIONAL, -- Need M

sl-SensingWindow-r16 ENUMERATED {ms100, ms1100} OPTIONAL, -- Need M

sl-SelectionWindowList-r16 SL-SelectionWindowList-r16 OPTIONAL, -- Need M

sl-ResourceReservePeriodList-r16 SEQUENCE (SIZE (1..16)) OF SL-ResourceReservePeriod-r16 OPTIONAL, -- Need M

sl-RS-ForSensing-r16 ENUMERATED {pscch, pssch},

...

}

SL-ResourceReservePeriod-r16 ::= CHOICE {

sl-ResourceReservePeriod1-r16 ENUMERATED {ms0, ms100, ms200, ms300, ms400, ms500, ms600, ms700, ms800, ms900, ms1000},

sl-ResourceReservePeriod2-r16 INTEGER (1..99)

}

SL-SelectionWindowList-r16 ::= SEQUENCE (SIZE (8)) OF SL-SelectionWindowConfig-r16

SL-SelectionWindowConfig-r16 ::= SEQUENCE {

sl-Priority-r16 INTEGER (1..8),

sl-SelectionWindow-r16 ENUMERATED {n1, n5, n10, n20}

}

SL-TxPercentageList-r16 ::= SEQUENCE (SIZE (8)) OF SL-TxPercentageConfig-r16

SL-TxPercentageConfig-r16 ::= SEQUENCE {

sl-Priority-r16 INTEGER (1..8),

sl-TxPercentage-r16 ENUMERATED {p20, p35, p50}

}

SL-MinMaxMCS-List-r16 ::= SEQUENCE (SIZE (1..3)) OF SL-MinMaxMCS-Config-r16

SL-MinMaxMCS-Config-r16 ::= SEQUENCE {

sl-MCS-Table-r16 ENUMERATED {qam64, qam256, qam64LowSE},

sl-MinMCS-PSSCH-r16 INTEGER (0..27),

sl-MaxMCS-PSSCH-r16 INTEGER (0..31)

}

SL-BetaOffsets-r16 ::= INTEGER (0..31)

SL-PowerControl-r16 ::= SEQUENCE {

sl-MaxTransPower-r16 INTEGER (-30..33),

sl-Alpha-PSSCH-PSCCH-r16 ENUMERATED {alpha0, alpha04, alpha05, alpha06, alpha07, alpha08, alpha09, alpha1} OPTIONAL, -- Need M

dl-Alpha-PSSCH-PSCCH-r16 ENUMERATED {alpha0, alpha04, alpha05, alpha06, alpha07, alpha08, alpha09, alpha1} OPTIONAL, -- Need S

sl-P0-PSSCH-PSCCH-r16 INTEGER (-16..15) OPTIONAL, -- Need S

dl-P0-PSSCH-PSCCH-r16 INTEGER (-16..15) OPTIONAL, -- Need M

dl-Alpha-PSFCH-r16 ENUMERATED {alpha0, alpha04, alpha05, alpha06, alpha07, alpha08, alpha09, alpha1} OPTIONAL, -- Need S

dl-P0-PSFCH-r16 INTEGER (-16..15) OPTIONAL, -- Need M

...

}

-- TAG-SL-RESOURCEPOOL-STOP

-- ASN1STOP

| ***SL-ZoneConfigMCR* field descriptions** |
| --- |
| ***sl-TransRange***  Indicates the communication range requirement for the corresponding *sl-ZoneConfigMCR-Index*. |
| ***sl-ZoneConfig***  Indicates the zone configuration for the corresponding *sl-ZoneConfigMCR-Index*. |
| ***sl-ZoneConfigMCR-Index***  Indicates the codepoint of the communication range requirement field in SCI. |

|  |
| --- |
| ***SL-ResourcePool* field descriptions** |
| ***dummy***  This field is not used in the specification. If received it shall be ignored by the UE. |
| ***sl-FilterCoefficient***  This field indicates the filtering coefficient for long-term measurement and reference signal power derivation used for sideilnk open-loop power control. |
| ***sl-Additional-MCS-Table***  Indicates the MCS table(s) additionally used in the resource pool. 64QAM table is (pre-)configured as default. Zero, one or two can be additionally (pre-)configured using the 256QAM and/or low-SE MCS tables. If two MCS tables are indicated, 256QAM MCS table is the 1st table and qam64lowSE MCS table is the 2nd table as specified in TS 38.214 [19], clause 8.1.3.1. |
| ***sl-NumSubchannel***  Indicates the number of subchannels in the corresponding resource pool, which consists of contiguous PRBs only. |
| ***sl-PreemptionEnable***  Indicates whether pre-emption is disabled or enabled in a resource pool. If the field is present and the value is *pl1*, *pl2*, and so on (but not *enabled*), it means that pre-emption is enabled and a priority level p\_preemption is configured. If the field is present and the value is *enabled*, the pre-emption is enabled (but p\_preemption is not configured) and pre-emption is applicable to all levels. |
| ***sl-PriorityThreshold-UL-URLLC***  Indicates the threshold used to determine whether NR sidelink transmission is prioritized over uplink transmission of priority index 1 as specified in TS 38.213[13], clause 16.2.4.3, or whether PUCCH transmission carrying SL HARQ is prioritized over PUCCH transmission carrying UCI of priority index 1 if they overlap in time as specified in TS 38.213 [13], clause 9.2.5.0. |
| ***sl-PriorityThreshold***  Indicates the threshold used to determine whether NR sidelink transmission is prioritized over uplink transmission of priority index 0 as specified in TS 38.213[13], clause 16.2.4.3, or whether PUCCH transmission carrying SL HARQ is prioritized over PUCCH transmission carrying UCI of priority index 0 if they overlap in time as specified in TS 38.213 [13], clause 9.2.5.0. |
| ***sl-RB-Number***  Indicates the number of PRBs in the corresponding resource pool, which consists of contiguous PRBs only. The remaining RB cannot be used (See TS 38.214[19], clause 8). |
| ***sl-StartRB-Subchannel***  Indicates the lowest RB index of the subchannel with the lowest index in the resource pool with respect to the lowest RB index of a SL BWP. |
| ***sl-SubchannelSize***  Indicates the minimum granularity in frequency domain for the sensing for PSSCH resource selection in the unit of PRB. |
| ***sl-SyncAllowed***  Indicates the allowed synchronization reference(s) which is (are) allowed to use the configured resource pool. |
| ***sl-SyncConfigIndex***  Indicates the synchronisation configuration that is associated with a reception pool, by means of an index to the corresponding entry *SL-SyncConfigList* of in *SIB12* for NR sidelink communication. |
| ***sl-TDD-Configuration***  Indicates the TDD configuration associated with the reception pool of the cell indicated by *sl-SyncConfigIndex*. |
| ***sl-ThreshS-RSSI-CBR***  Indicates the S-RSSI threshold for determining the contribution of a sub-channel to the CBR measurement. Value 0 corresponds to -112 dBm, value 1 to -110 dBm, value n to (-112 + n\*2) dBm, and so on. |
| ***sl-TimeResource***  Indicates the bitmap of the resource pool, which is defined by repeating the bitmap with a periodicity during a SFN or DFN cycle. |
| ***sl-TimeWindowSizeCBR***  Indicates the time window size for CBR measurement. |
| ***sl-TimeWindowSizeCR***  Indicates the time window size for CR evaluation. |
| ***sl-TxPercentageList***  Indicates the portion of candidate single-slot PSSCH resources over the toal resources. Value p20 corresponds to 20%, and so on. |
| ***sl-X-Overhead***  Accounts for overhead from CSI-RS, PT-RS. If the field is absent, the UE applies value *n0* (see TS 38.214 [19], clause 5.1.3.2). |

| ***SL-SyncAllowed* field descriptions** |
| --- |
| ***gnbEnb-Sync***  If configured, the (pre-) configured resources can be used if the UE is directly or indirectly synchronized to eNB or gNB (i.e., synchronized to a reference UE which is directly synchronized to eNB or gNB). |
| ***gnss-Sync***  If configured, the (pre-) configured resources can be used if the UE is directly or indirectly synchronized to GNSS (i.e., synchronized to a reference UE which is directly synchronized to GNSS). |
| ***ue-Sync***  If configured, the (pre-) configured resources can be used if the UE is synchronized to a reference UE which is not synchronized to eNB, gNB and GNSS directly or indirectly. |

| ***SL-PSCCH-Config* field descriptions** |
| --- |
| ***sl-FreqResourcePSCCH***  Indicates the number of PRBs for PSCCH in a resource pool where it is not greater than the number PRBs of the subchannel. |
| ***sl-DMRS-ScrambleID***  Indicates the initialization value for PSCCH DMRS scrambling. |
| ***sl-NumReservedBits***  Indicates the number of reserved bits in first stage SCI. |
| ***sl-TimeResourcePSCCH***  Indicates the number of symbols of PSCCH in a resource pool. |

| ***SL-PSSCH-Config* field descriptions** |
| --- |
| ***sl-BetaOffsets2ndSCI***  Indicates candidates of beta-offset values to determine the number of coded modulation symbols for second stage SCI. The value indicates the index of Table 9.3-2 of TS 38.213 [13]. |
| ***sl-PSSCH-DMRS-TimePatternList***  Indicates the set of PSSCH DMRS time domain patterns in terms of PSSCH DMRS symbols in a slot that can be used in the resource pool. |
| ***sl-Scaling***  Indicates a scaling factor to limit the number of resource elements assigned to the second stage SCI on PSSCH. Value *f0p5* corresponds to 0.5, value *f0p65* corresponds to 0.65, and so on. |

| ***SL-PSFCH-Config* field descriptions** |
| --- |
| ***sl-MinTimeGapPSFCH***  The minimum time gap between PSFCH and the associated PSSCH in the unit of slots. |
| ***sl-NumMuxCS-Pair***  Indicates the number of cyclic shift pairs used for a PSFCH transmission that can be multiplexed in a PRB. |
| ***sl-PSFCH-CandidateResourceType***  Indicates the number of PSFCH resources available for multiplexing HARQ-ACK information in a PSFCH transmission (see TS 38.213 [13], clause 16.3). |
| ***sl-PSFCH-HopID***  Scrambling ID for sequence hopping of the PSFCH used in the resource pool. |
| ***sl-PSFCH-Period***  Indicates the period of PSFCH resource in the unit of slots within this resource pool. If set to *sl0*, no resource for PSFCH, and HARQ feedback for all transmissions in the resource pool is disabled. |
| ***sl-PSFCH-RB-Set***  Indicates the set of PRBs that are actually used for PSFCH transmission and reception. The leftmost bit of the bitmap refers to the lowest RB index in the resource pool, and so on |

| ***SL-PTRS-Config* field descriptions** |
| --- |
| ***sl-PTRS-FreqDensity***  Presence and frequency density of SL PT-RS as a function of scheduled BW. If the field is not configured, the UE uses K\_PT-RS = 2 |
| ***sl-PTRS-TimeDensity***  Presence and time density of SL PT-RS as a function of MCS. If the field is not configured, the UE uses L\_PT-RS = 1 |
| ***sl-PTRS-RE-Offset***  Indicates the subcarrier offset for SL PT-RS . If the field is not configured, the UE applies the value offset00 |

| ***SL-UE-SelectedConfigRP* field descriptions** |
| --- |
| ***sl-MaxNumPerReserve***  Indicates the maximum number of reserved PSCCH/PSSCH resources that can be indicated by an SCI. |
| ***sl-MultiReserveResource***  Indicates if it is allowed to reserve a sidelink resource for an initial transmission of a TB by an SCI associated with a different TB, based on sensing and resource selection procedure. |
| ***sl-ResourceReservePeriodList***  Set of possible resource reservation period allowed in the resource pool in the unit of ms. Up to 16 values can be configured per resource pool. |
| ***sl-RS-ForSensing***  Indicates whether DMRS of PSCCH or PSSCH is used for L1 RSRP measurement in the sensing operation. |
| ***sl-SensingWindow***  Parameter that indicates the start of the sensing window. |
| ***sl-SelectionWindowList***  Parameter that determines the end of the selection window in the resource selection for a TB with respect to priority indicated in SCI. Value n1 corresponds to 1\*2µ, value n5 corresponds to 5\*2µ, and so on, where µ = 0,1,2,3 refers to SCS 15,30,60,120 kHz respectively. |
| ***sl-Thres-RSRP-List***  Indicates a list of 64 thresholds, and the threshold should be selected based on the priority in the decoded SCI and the priority in the SCI to be transmitted. A resource is excluded if it is indicated or reserved by a decoded SCI and PSSCH/PSCCH RSRP in the associated data resource is above a threshold. |

| ***SL-PowerControl* field descriptions** |
| --- |
| ***sl-MaxTransPower***  Indicates the maximum value of the UE's sidelink transmission power on this resource pool. The unit is dBm. |
| ***sl-Alpha-PSSCH-PSCCH***  Indicates alpha value for sidelink pathloss based power control for PSCCH/PSSCH when sl-P0-PSSCH is configured. When the field is absent the UE applies the value 1. |
| ***sl-P0-PSSCH-PSCCH***  Indicates P0 value for sidelink pathloss based power control for PSCCH/PSSCH. If not configured, sidelink pathloss based power control is disabled for PSCCH/PSSCH. |
| ***dl-Alpha-PSSCH-PSCCH***  Indicates alpha value for downlink pathloss based power control for PSCCH/PSSCH when dl-P0-PSSCH is configured. When the field is absent the UE applies the value 1. |
| ***dl-P0-PSSCH-PSCCH***  Indicates P0 value for downlink pathloss based power control for PSCCH/PSSCH. If not configured, downlink pathloss based power control is disabled for PSCCH/PSSCH. |
| ***dl-Alpha-PSFCH***  Indicates alpha value for downlink pathloss based power control for PSFCH when dl-P0-PSFCH is configured. When the field is absent the UE applies the value 1. |
| ***dl-P0-PSFCH***  Indicates P0 value for downlink pathloss based power control for PSFCH. If not configured, downlink pathloss based power control is disabled for PSFCH. |

| ***SL-MinMaxMCS-Config* field descriptions** |
| --- |
| ***sl-MaxMCS-PSSCH***  Indicates the maximum MCS value used for Mode 1 configured and dynamic grants when using the associated MCS table. If no MCS is configured, UE autonomously selects MCS from the full range of values. |
| ***sl-MinMCS-PSSCH***  Indicates the minimum MCS value for Mode 1 configured and dynamic grants when using the associated MCS table. If no MCS is configured, UE autonomously selects MCS from the full range of values. |

|  |
| --- |
| NEXT CHANGE |

## 9.3 Sidelink pre-configured parameters

This ASN.1 segment is the start of the NR definitions of pre-configured sidelink parameters.

– *NR-Sidelink-Preconf*

-- ASN1START

-- TAG-NR-SIDELINK-PRECONF-DEFINITIONS-START

NR-Sidelink-Preconf DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

SL-FreqConfigCommon-r16,

SL-RadioBearerConfig-r16,

SL-RLC-BearerConfig-r16,

SL-EUTRA-AnchorCarrierFreqList-r16,

SL-NR-AnchorCarrierFreqList-r16,

SL-MeasConfigCommon-r16,

SL-UE-SelectedConfig-r16,

TDD-UL-DL-ConfigCommon,

maxNrofFreqSL-r16,

maxNrofSLRB-r16,

maxSL-LCID-r16

FROM NR-RRC-Definitions;

-- TAG-NR-SIDELINK-PRECONF-DEFINITIONS-STOP

-- ASN1STOP